

Memorandum

U.S. Department of Transportation

Office of the Secretary of Transportation Office of Inspector General

Subject: INFORMATION: Audit Announcement –

Review of the Federal Railroad Administration's
Progress in Implementing the Rail Safety

Improvement Act

Project No. 12C3001C000

From: Mitchell Behm

Assistant Inspector General for Rail, Maritime, and Economic Analysis Date: February 6, 2012

Reply to Attn. of: JA-50

To: Federal Railroad Administrator

In response to several fatal rail accidents between 2002 and 2008, Congress passed the Rail Safety Improvement Act of 2008 (RSIA), the first authorization of the Federal Railroad Administration's (FRA) safety programs since 1994. RSIA directs FRA to, among other things, promulgate new safety regulations. These new regulations will govern different areas related to railroad safety, such as hours of service requirements for railroad workers, automated collision-prevention technology in trains, standards for track inspections, and safety at highway-rail grade crossings.

We are initiating this audit to assess FRA's progress on the RSIA-required rulemakings. Specifically, our objectives are to assess FRA's progress in: (1) developing and issuing the new regulations, and (2) establishing or modifying policies and procedures to ensure compliance with the new RSIA-required regulations.

We plan to begin this audit the week of February 6, 2012 and will contact your audit liaison to schedule a formal entrance conference. The audit will take place at DOT's headquarters and other sites, as needed. If you have any questions or require

additional information, please contact me at (202) 366-9970, or Yana Hudson, Program Director, at (202) 366-2985.

cc: Audit Liaison, FRA, RAD-41 Audit Liaison, OST, M-1

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