

## Training and Qualification - Operator Qualification

**1. Operator Qualification Plan and Covered Tasks (detail)** *Is there an OQ plan that includes covered tasks, and the basis used for identifying covered tasks?* (TQ.OQ.OQPLAN.P) (detail)

195.505(a) (195.501(b); 195.3(c)(B)(9))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**2. Reevaluation Intervals for Covered Tasks (detail)** *Does the process establish and justify requirements for reevaluation intervals for each covered task?* (TQ.OQ.REEVALINTERVAL.P) (detail)

195.505(g)

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**3. Contractors Adhering to OQ Plan (confirm)** *Does the process require the OQ plan to be communicated to contractors and provide a means to ensure that contractors are following the plan?* (TQ.OQ.OQPLANCONTRACTOR.P) (confirm)

195.505(a) (195.505(f))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**4. Contractor and Other Entity Qualification (detail)** *Are contractor organizations or other entities that perform covered tasks qualified?* (TQ.OQ.OQCONTRACTOR.P) (detail)

195.505(b) (195.505(c); 195.505(d); 195.505(e); 195.505(f))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**6. Contractor and Other Entity Qualification (confirm)** *Are qualification records available for contractor personnel that contain the required elements?* (TQ.OQ.OQCONTRACTOR.R) (confirm)

195.507(a) (195.507(b))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**7. Management of Other Entities Performing Covered Tasks (confirm)** *Do records document evaluation of other entity (ies) performing the covered task(s) on behalf of the operator (e.g., through mutual assistance agreements) prior to performing task? (TQ.OQ.OTHERENTITY.R) (confirm)*

195.505(b) (195.505(c); 195.503)

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**8. Evaluation Methods (detail)** *Are evaluation methods established and documented appropriate to each covered task? (TQ.OQ.EVALMETHOD.P) (detail)*

195.505(b) (195.503; 195.509(d); 195.509(e))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**9. Evaluation Methods (detail)** *Are evaluation methods documented for covered tasks and consistent with personnel qualification records? (TQ.OQ.EVALMETHOD.R) (detail)*

195.505(b) (195.503; 195.509(d); 195.509(e))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**10. Abnormal Operating Conditions (detail)** *Does the OQ plan adequately address abnormal operating conditions (AOCs)? (TQ.OQ.ABNORMAL.P) (detail)*

195.503 (195.505(b))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**11. Abnormal Operating Conditions (detail)** *Do records indicate evaluation of qualified individuals for recognition and reaction to AOCs? (TQ.OQ.ABNORMAL.R) (detail)*

195.507(a) (195.507(b); 195.503)

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**13. Qualification Records for Personnel Performing Covered Tasks (confirm)** *Do records indicate personnel qualification records contain the required elements? (TQ.OQ.RECORDS.R) (confirm)*

195.507(a) (195.507(b))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**14. Training Requirements (Initial, Retraining, and Reevaluation) (detail)** *Does the operators program include training as a part of the qualification and/or requalification process? (TQ.OQ.TRAINING.P) (detail)*

195.505(h)

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**16. Covered Task Performed by Non-qualified Individual (confirm)** *Are there provisions for non-qualified individuals to perform covered tasks while being directed and observed by a qualified individual, and are there restrictions and limitations placed on such activities? (TQ.OQ.NONQUALIFIED.P) (confirm)*

195.505(c)

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**18. Personnel Performance Monitoring (detail)** *Does the OQ program include provisions to evaluate an individual if the operator has reason to believe the individual is no longer qualified to perform a covered task based on: covered task performance by an individual contributed to an incident or accident; other factors affecting the performance of covered tasks? (TQ.OQ.PERFMONITOR.P) (detail)*

195.505(d) (195.505(e))

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**21. Planning for Mergers and Acquisitions (Due Diligence re: Acquiring Qualified Individuals) (detail)** *Does the process require managing qualifications of individuals performing covered tasks during program integration following a merger or acquisition? (TQ.OQ.MERGERACQ.P) (detail)*

195.505(b) (195.503)

Sat+	Sat	Concern	Unsat	NA	NC

**Notes**

**22. Management of Change (confirm)** *Does the OQ program identify how changes to procedures, tools standards and other elements used by individuals in performing covered tasks are communicated to the individuals, including contractor individuals, and how these changes are implemented in the evaluation method(s)? (TQ.OQ.MOC.P) (confirm)*

195.505(f)

Sat+	Sat	Concern	Unsat	NA	NC

<b>Notes</b>
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**23. Notification of Significant Plan Changes (confirm)** *Does the process require significant OQ program changes to be identified and the Administrator or State agency notified? (TQ.OQ.CHANGENOTIFY.P) (confirm)*

195.505(i)

Sat+	Sat	Concern	Unsat	NA	NC

<b>Notes</b>
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