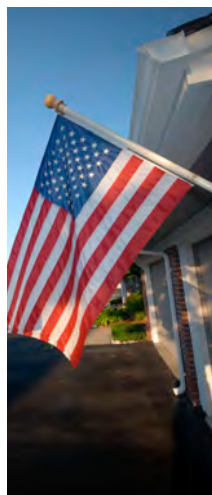
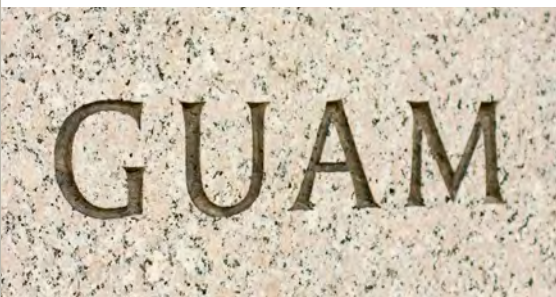


Guam Realignment Comprehensive Oversight Plan



**INTERAGENCY COORDINATION GROUP
OF INSPECTORS GENERAL**

[FISCAL YEAR 2010]

Additional copies of this Oversight Plan can be obtained by contacting:

**Office of the Deputy Inspector General for Auditing
Attn: Corporate Planning Branch
Room 801
400 Army Navy Drive
Arlington, VA 22202-2884**

(703) 604-9142 (DSN 664-9142)

This plan is also available on our Web site at:

<http://www.dodig.mil/audit/guam/index.htm>

If you need additional information from the following agencies, please contact them directly.

**Department of Homeland Security Office of Inspector General
(202) 254-4100**

<http://www.dhs.gov/oig>

**Department of the Interior Office of Inspector General
(202) 208-5512**

<http://www.doioig.gov/>

**Naval Audit Service
(202) 433-5757**

<http://www.hq.navy.mil/NavalAudit/>

To obtain copies of Naval Audit Service reports, please use the following contact information:

Phone: (202) 433-5757

Fax: (202) 433-5921

Email: NAVAUDSVC.FOIA@navy.mil

Mail: Naval Audit Service

Attn: FOIA

1006 Beauty Place, SE

Washington Navy Yard, DC 20374-5005

[June 4, 2010]

Message from the Chairman, Interagency Coordination Group

I am pleased to provide the first annual Interagency Coordination Group of Inspectors General for Guam Realignment oversight plan to the Congressional Defense Committees, the Secretary of Defense, and the Secretary of the Interior. The National Defense Authorization Act for Fiscal Year 2010, section 2835 (Public Law 111-84), requires the chairperson of the Interagency Coordination Group to prepare an annual oversight plan detailing planned audits and investigations related to the Guam realignment.

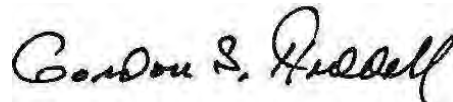
The Guam Realignment Comprehensive Oversight Plan identifies and incorporates the planned and ongoing Guam realignment initiatives of the Inspectors General of the Department of Defense, Department of the Interior, and Department of Homeland Security; and the Auditor General of the Naval Audit Service for FY 2010.

I recently returned from a visit to U.S. Pacific Command and U.S. Forces Japan including military installations in Okinawa and Guam. Based on my personal observations and briefings by knowledgeable officials, I want to inform you of my concerns.

- Substantial Guam infrastructure shortcomings fall outside of DOD funding authority and could adversely impact the realignment. These concerns need to be addressed with additional non-DOD funding and interagency planning and execution.
- Housing requirements of military families in Okinawa should be resolved at this time and not be held in abeyance while negotiations with Japan continue. Based on available data, 45 percent of 6,986 family units need attention and 1,129 units are considered inadequate because of mildew, mold, and failing infrastructure.
- Competing priorities and the number of contractors available could adversely impact the realignment.

As required by section 2835, the Interagency Coordination Group will update this oversight plan annually.

Sincerely,



Gordon S. Heddell
Inspector General
Department of Defense

FOREWORD

The Interagency Coordination Group of Inspectors General for Guam Realignment is pleased to present the Initial Comprehensive Oversight Plan for Guam.

The Fiscal Year 2010 National Defense Authorization Act (Public Law 111-84), section 2835, “Interagency Coordination Group of Inspectors General For Guam Realignment,” October 28, 2009, requires the Interagency Coordination Group to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated or otherwise made available for military construction on Guam; and of the programs, operations, and contracts carried out using such funds, including:

- (A) the oversight and accounting of the obligation and expenditure of such funds;*
- (B) the monitoring and review of construction activities funded by such funds;*
- (C) the monitoring and review of contracts funded by such funds;*
- (D) the monitoring and review of the transfer of such funds and associated information between and among departments, agencies, and entities of the United States and private and nongovernmental entities;*
- (E) the maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such funds; and*
- (F) the monitoring and review of the implementation of the Defense Posture Review Initiative relating to the realignment of military installations and the relocation of military personnel on Guam.*

Additionally, section 2835 requires the chairperson of the Interagency Coordination Group to prepare an annual oversight plan detailing the planned audits and reviews related to the Guam realignment.

Congress established the Interagency Coordination Group to provide objective supervision of audits and investigations, to include inspections, evaluations, and reviews relating to the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. In addition to providing oversight, the Interagency Coordination Group considers the significant challenges that will arise throughout the course of the military realignment to ensure sufficient infrastructure is in place to support the military and citizens of Guam.

The Interagency Coordination Group will continue its efforts to coordinate oversight plans through existing interagency working groups and councils in order to provide transparency and accountability to the American people and to the U.S. forces affected by this realignment.

Table of Contents

Oversight and Accounting of Obligations and Expenditures

Planned Projects.....1

Monitoring and Review of Construction Activities

Planned Projects2

Ongoing Projects.....3

Monitoring and Review of Contracts

Planned Projects.....4

Monitoring and Review of Japan Funds

Planned Projects.....5

Maintenance of Records on Use of Funds

Ongoing Projects.....6

Monitoring and Review of the Implementation of the Defense Posture Review Initiative

Planned Projects.....7

Ongoing Projects.....8

Other Related Guam Coverage

Planned Projects.....9

Ongoing Projects.....10

Completed Projects.....11

Oversight Coordination

The National Defense Authorization Act requires that we conduct audits in the areas as shown in the Foreword and the Table of Contents. As shown in the plan, some audits will cover common areas of interest. For those common areas of interest, we will coordinate to avoid duplication of efforts.

Oversight and Accounting of Obligations and Expenditures

PLANNED PROJECTS

Inspector General, Department of Defense

Obligations and Expenditures for the Guam Realignment. Objective: To determine whether DOD properly obligated and expended Guam realignment funds. Specifically, we will determine whether DOD can accurately identify and report obligations and expenditures for the Guam realignment. We will also determine whether DOD is properly maintaining supporting documentation.

Monitoring and Review of Construction Activities

PLANNED PROJECTS

Inspector General, Department of Defense

Military Construction Requirements for Defense Posture Review Initiative.^{1*}

Objective: To determine whether the military construction projects meet end-user requirements, Leadership in Energy and Environmental Design Silver requirements, and construction standards; and are in accordance with applicable Federal and DOD laws and regulations.

Inspector General, Department of Homeland Security

U.S. Citizenship and Immigration Services' Adjudication of Petitions for Nonimmigrant Workers (I-129 Petition). Objective: To determine whether U.S. Citizenship and Immigration Services' adjudications of Petitions for Nonimmigrant Workers (Form I-129) are being conducted in accordance with established policies and procedures and address fraud detection and national security concerns.

¹ The start dates for the military construction audits are contingent upon the approval of the Environmental Impact Statement.

* We will coordinate to avoid duplication of efforts.

Monitoring and Review of Construction Activities

ONGOING PROJECTS

Inspector General, Department of Defense

Adequacy of Medical Facility Planning Guam (D2010-D000LF-0113.000). Objective: To evaluate the adequacy of plans to accommodate increased healthcare requirements in Guam as a result of the base closure actions in Okinawa, Japan.

Naval Audit Service

Department of the Navy Fiscal Year 2012 Military Construction Projects Related to the U.S. Marine Corps' Relocation from Okinawa, Japan, to Guam (N2010-NIA00-0075.000).* Objective: To verify that project scope requirements for selected military construction projects related to the U.S. Marine Corps' Okinawa/Guam base relocation are valid and sufficiently supported.

Department of the Navy's Execution of the Environmental Impact Statement for the U.S. Marine Corps' Relocation from Okinawa, Japan, to Guam (N2010-NIA000.0076.000). Objective: To verify that the Department of the Navy has sufficient controls and management oversight in place to ensure the Environmental Impact Statement remains on schedule and Environmental Impact Statement requirements for military construction will be met for the U.S. Marine Corps' relocation to Guam.

* We will coordinate to avoid duplication of efforts.

Monitoring and Review of Contracts

PLANNED PROJECTS

Inspector General, Department of Defense

Military Construction Contracts for Guam Realignment.^{2*} Objective: To determine whether the military construction contracts were awarded in accordance with Federal Acquisition Regulations and require contractors to conform to applicable laws, regulations, and standards.

Request for Proposal of the Design-Build Multiple Award Construction Contract. Objective: To evaluate the requirements identified in the Request for Proposal. Specifically, we will determine if requirements for the two projects included in the Request for Proposal are supported and funded. In addition, we will evaluate these projects to assess if they represent the type of projects to be awarded as task orders under the Multiple Award Construction Contract.

² The start dates for the military construction audits are contingent upon the approval of the Environmental Impact Statement.

* We will coordinate to avoid duplication of efforts.

Monitoring and Review of Japan Funds

PLANNED PROJECTS

Inspector General, Department of Defense

Defense Financial and Accounting Service-Indianapolis Processes for Monitoring and Investing the Government of Japan Direct Cash Distributions related to the U.S. Marine Corps Relocation in Guam. Objective: To determine whether internal controls over funds provided by the Government of Japan to support the relocation of U.S. Marine Corps troops from Okinawa, Japan, to Guam are adequate. Specifically, we will assess the effectiveness of the Defense Financial and Accounting Service-Indianapolis' internal controls over collections, disbursements, interest earned, and reporting of these direct cash contributions.

Maintenance of Records on Use of Funds

ONGOING PROJECTS

Inspector General, Department of Defense

Internal Controls Over Repayment of Special Purpose Entity Funds for the Guam Realignment (D2010-D000FC-0161.000).³ Objective: To determine whether DOD has developed procedures for tracking and calculating the repayment of funds financed by the Special Purpose Entities Fund for the construction and maintenance of housing and utilities in Guam. Specifically, we will determine whether the necessary internal controls are in place to ensure accurate and timely repayment amounts.

³ This audit is related to both the monitoring and review of Japan funds and to the maintenance of records on the use of Japan funds.

Monitoring and Review of the Implementation of the Defense Posture Review Initiative

PLANNED PROJECTS

Inspector General, Department of Defense

Third Marine Expeditionary Force Training Opportunities After Relocation to Guam.* Objective: To determine whether the training requirements for Third Marine Expeditionary Force after moving to Guam can be met with the limited land space available, or if the training requirements will have to be conducted elsewhere.

* We will coordinate to avoid duplication of efforts.

Monitoring and Review of the Implementation of the Defense Posture Review Initiative

ONGOING PROJECTS

Inspector General, Department of Defense

U.S. Marine Corps Small Arms Accountability at Activities Relocating as Part of the Defense Posture Review Initiative (D2010-D000FC-0133.000). Objective: To determine the accuracy of the U.S. Marine Corps Small Arms Registry data for weapons held at activities relocating to Guam, and to assess the internal control processes in place to maintain accountability of the small arms during relocation.

Military Family Housing in Okinawa, Japan (D2010-D000LA-0191.000). Objective: To assess the living conditions of military families in Okinawa.

Naval Audit Service

Consideration of Requirements for U.S. Marine Corps Training Ranges Associated with the Relocation of U.S. Marine Corps Forces to Guam (N2009-NIA000-0116.000).* Objective: To verify that requirements for U.S. Marine Corps training ranges are being appropriately addressed in the planning for the relocation of U.S. Marine Corps Forces to Guam.

Quality of Life Plans for U.S. Marine Corps Forces Relocating from Okinawa, Japan, to Guam (N2010-NIA000-0117.000). Objective: To verify that: (1) the Department of the Navy has adequate quality of life plans for U.S. Marine Corps forces relocating from Okinawa, Japan, to Guam; and (2) there is effective oversight to ensure the quality of life plans meet standards and leadership expectations.

* We will coordinate to avoid duplication of efforts.

Other Related Guam Coverage

PLANNED PROJECTS

Inspector General, Department of Defense

Development of Program and Contractual Infrastructure for the Guam Relocation.

Objective: To assess the development of program and contractual technical requirements for the Guam Relocation. Specifically, we will assess program and contractual technical requirements for required infrastructure. Infrastructure assessed will include harbor, roads, power production and transmission, drinking water, waste water/solid, and communications.

Inspector General, Department of the Interior

Guam Public Safety Programs. Objective: To evaluate existing programs in the Guam Homeland Security and Guam Police Department, and assess efforts to prepare for a growing population.

Guam Power Authority. Objective: To examine Guam Power Authority's ability to properly account for the maintenance and condition of key infrastructure.

Other Related Guam Coverage

ONGOING PROJECTS

Naval Audit Service

Verification of Operational Capabilities and Internal Controls at Joint Region Marianas (N2010-NIA000-0112.000). Objective: To verify that operational capabilities and internal controls over joint basing practices are effectively planned and implemented at Joint Region Marianas.

Implementation of Shipping Agreements for Guam Contracts. Objective: To verify that the Department of the Navy is sufficiently implementing established DOD shipping agreements for Guam, and to verify that the Department of the Navy has controls in place to efficiently monitor shipping requirements in military construction contracts on Guam.

Completed Projects

Naval Audit Service

Department of the Navy's Processes Used to Establish Water Rates for Guam Waterworks Authority (N2009-0024), April 7, 2009

Verification of an Acquisition Strategy for the U.S. Marine Corps Relocation Effort (N2009-0028), May 8, 2009

Status of the Department of the Navy Processes and Controls Regarding the Management of the Government of Japan Funds Related to the U.S. Marine Corps' Relocation (N2009-0038), July 9, 2009

Ocean Bills of Lading for Naval Facilities Engineering Command Marianas Contracts (N2009-0047), September 16, 2009

Department of the Navy Acquisition Checks and Balances at Naval Base Guam Supported Activities (N2009-0050), September 30, 2009

Department of the Navy Proposed Fiscal Year 2011 Military Construction Projects Related to the U.S. Marine Corps' Okinawa/Guam Base Relocation (N2009-0051), September 30, 2009



Department of Defense Office of Inspector General
400 Army Navy Drive
Arlington, VA 22202

Department of the Interior Office of Inspector General
1849 C Street, N.W. Mail Stop 4428
Washington, D.C. 20240

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