

Department of the Navy Defense Acquisition Workforce Improvement Act (DAWIA) Operating Guide

December 21, 2011



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Foreword

The Department of the Navy (DON) Defense Acquisition Workforce Improvement Act (DAWIA) Operating Guide is issued under the authority of DoD Instruction 5000.66, "Operation of the Defense Acquisition, Technology, and Logistics Workforce Education, Training, and Career Development Program," dated December 21, 2005 and SECNAVINST 5430.7Q, "Assignment of Responsibilities and Authorities in the Office of the Secretary of the Navy," dated August 17, 2009. This Guide specifies the process for Acquisition Workforce management within DON. This Guide is effective immediately and is applicable to the Offices of the Secretary of the Navy, the Chief of Naval Operations (CNO), the Commandant of the Marine Corps (CMC), and all Navy and Marine Corps activities, installations, commands, ships, and stations. It may be accessed through the Department of the Navy, <u>ASN(RDA) website</u>.

DON has significant efforts underway to improve the development of its Acquisition Workforce. These efforts are targeted to deliver a forward thinking Acquisition Workforce that is well-managed, highly trained and fully qualified to fill Critical and Key Leadership Positions. They directly coincide with our goals to rebuild, reinforce, and professionalize the acquisition community. The DON DAWIA Operating Guide is the framework for achieving these goals. It touches every member of the workforce throughout all professional career stages.

Please take note that we've modified the Guide to make it more user-friendly. I trust that you'll find it not only informative, but also clear and easy to use.

René Thomas-Rizzo

Director, Acquisition Career Management



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CHAPTER SUMMARIES/MAJOR CHANGES

- vi. New Record of Changes Chart to record dates/details of Interim Guidance as it is issued.
- vii. New Quick Reference Guide for the Acquisition Workforce (AWF). Provides AWF members and their supervisors with an overview of the DON DAWIA Program. Includes links to valuable resources to help manage their acquisition careers.
- Chapter 1 Introduction. Provides a brief summary of the DAWIA Program and to whom it applies. Reserve Component Officer Policy is under review.
- Chapter 2 Acquisition Workforce (AWF). Explains the current composition of the DON AWF and summarizes the relationship between Key Leadership Positions (KLPs), Critical Acquisition Positions (CAPs), and other general acquisition positions.
- Chapter 3 Command Acquisition Workforce Management Structure and Data Sources. Explains the roles commands have in management and oversight of the DON DAWIA Program and the Data Sources available to support them.
- Chapter 4 Responsibilities and Authorities. Provides responsibilities and authorities of
 those overseeing and executing the DON DAWIA Program. Provides information on
 approving authorities for CAP/KLP, military assignments and position waivers. Adds Navy
 Personnel Command, Officer Community Management Branch responsibilities (BUPERS31). Adds emphasis on the role AWF Supervisors play in the success of the DAWIA
 Program.
- Chapter 5 Key Leadership Positions (KLPs). Consolidates key information related to DON's KLPs. In accordance with DoD Guidance, modifies mandatory KLPs and adds KLPs for ACAT I/IA Program Leads when the function is required based on the phase or type of program. Adds quarterly reporting requirement for waivers.
- Chapter 6 Critical Acquisition Positions (CAPs). Consolidates key information related to DON's CAPs. Modifies criteria for designating and approving CAPs. Civilian CAP designation is based on responsibility, authority, and accountability, rather than grade level alone. Delegates civilian CAP waiver authority to Commands. Adds quarterly reporting requirement for waivers.
- **Chapter 7 Acquisition Positions.** Provides basic information about all levels of acquisition positions, waivers and reporting requirements.
- Chapter 8 Certification. One of the backbones of the DAWIA Program This chapter provides detailed criteria for determining position certification levels and adds emphasis on meeting DAWIA Goals. Civilian certification level is based on duties/responsibilities, rather than grade level alone.
- Chapter 9 Defense Acquisition Corps (AC). Emphasizes the importance of becoming an AC member. Changes DON civilian grade eligibility to "Must occupy a DON acquisition

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position at GS-13 or higher (or equivalent). Clarifies military grade eligibility: Must be O-4 or higher - vice O-4 select.

- Chapter 10 Special Statutory Requirements for Acquisition Positions. Addresses positions that have additional requirements above and beyond those in the general DAWIA Program. Chapter focuses on Flag/SES, certain Key Leadership Positions (KLPs) and Contracting Positions.
- Chapter 11 Waivers. Provides guidance for the use of waivers related to acquisition position requirements. Places 24-month limit on all position waivers. Modifies applicability of the 6-month grace period for AC members in relation to completion of PMT401 and PMT402 only. The 6-month grace period no longer applies to any other statutory requirement. Delegates approving authority for civilian CAP waivers to commands with the exception of SES and 1102 positions. Adds quarterly reporting requirement.
- Chapter 12 Education. Addresses elements of the DAWIA Program when a degree is required, and provides guidance for meeting mandatory education/degree.
- Chapter 13 Training. Addresses the DAWIA Program training requirements. Updates DAU registration policy to better support the DON AWF demand signal by ensuring highest priority needs are met first.
- Chapter 14 Experience. Provides detailed guidance on how to credit acquisition experience toward career field certification, Acquisition Corps membership, and for meeting Special Statutory Requirements. Clarifies the criteria for substituting education for experience.
- Chapter 15 Continuous Learning (CL). Provides guidance for the use of CL by AWF members to maintain currency and proficiency in their functional discipline and acquisition initiatives. New policy supports currency in primary career field and cross-functional career development and provides detailed guidance on crediting activities for CL Points. Reflects current cycle for meeting 80 CL points every 2 years (resets at end of 2-year period).
- Chapter 16 Selection Process for Program Oversight and Major Acquisition Command Positions. Provides guidance on Program Oversight and Acquisition Command Ashore slating process. Portions of the guidance for this chapter are under review and will be incorporated at a later date.
- Chapter 17 Selection Process for Acquisition Positions. Builds on information from earlier chapters to assist commands in determining selection and placement of personnel in acquisition positions.
- Chapter 18 Individual Development Plans (IDPs) and Performance Appraisals. Provides guidance on employee-supervisor engagement to develop effective career development plans and adds supporting reference from DAWIA law to Managing for Acquisition Workforce Excellence. States that performance plans and appraisals for both AWF members and their supervisors should include DAWIA program goals and requirements.

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- Chapter 19 Naval Acquisition Development Program. Provides details on expanded development opportunities through the Naval Acquisition Intern Program (NAIP), Naval Acquisition Associates Program (NAAP) and Wounded Warrior Development Program.
- Chapter 20 Contracting Professional Development Program (CPDP). Explains the premise of the CPDP and provides a link to the CPDP Operating Guide. Adds an annual reporting requirement.
- Chapter 21 Acquisition Workforce Position Designation. Provides detailed, step-by-step guidance for designating acquisition positions and introduces new DON Civilian Acquisition Assignment Coding Sheet.
- **Appendices A-B.** Adds a DAWIA Glossary and defines many of the widely used acquisition acronyms.
- **Appendix C Waiver Summary Sheet.** Provides new summary sheet for use in processing KLP/Flag/GO/SES and Navy Military CAP waivers.
- **Appendix D Quarterly Waiver Report.** Provides a new template to standardize the format for quarterly waiver reporting of CAPs/KLPs.
- **Appendices E-G.** Provides samples of PEO/MPM slating panel and selection process documentation.
- Appendix H DON Civilian Acquisition Assignment Coding Sheet. Provides a new form designed to assist commands in the position designation process.
- **Appendices I-L.** Provides samples of official forms for CAP/KLP tenure requirements, Position Waivers and DoD Fulfillment of Mandatory Training.
- Appendix M Position Category Descriptions. Provides copies of each DoD AT&L Position Category Description used within DON.

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RECORD OF CHANGES

Use this table to record interim changes as they are issued.

Change Date	Chapter/Section	Page(s)	Remarks

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Quick Reference Guide for the Acquisition Workforce

As a member of the Acquisition Workforce (AWF), i.e., an employee assigned to an acquisition designated position, you are responsible for meeting the DAWIA requirements of your position and maintaining currency in your career field.

Your supervisor is responsible for creating an environment that provides opportunities for you to develop and reach your full potential. This includes playing an active role in assisting and advising you on career development decisions, ensuring your education, training, and experience needs are included in your Individual Development Plan, providing for adequate time to pursue career development activities, and encouraging cross-functional training and assignments.

Basic tips for managing your acquisition career are covered below.

- 1. **Review Your Position**. For civilians, acquisition position information is found in your Position Description (PD). For military, acquisition position information is identified in your orders. Questions should be directed to your supervisor.
- 2. **Know Your Career Field and Certification Level**. Every acquisition position in DON must have a position category/career field and level specified. These two fields are the most essential for your knowledge, as they will drive your certification requirements, your development plan, your prioritization for DAU training, and your continuous learning requirements. For civilians, the acquisition position category/career field and certification level should be indicated on the Position Description (PD) and coded in the Defense Civilian Personnel Data System (DCPDS). If you are Navy or Marine Corps military, the position category/career field is included in the Additional Qualification Designation (AQD) code or Military Occupational Specialty (MOS); and certification level is determined by the billet rank. For detailed information refer to Chapter 21, Acquisition Position Designation.
- 3. **Review Your Certification Requirements**. The acquisition career field and level identified for your position form the basis for determining your certification requirements (education, training, and experience). For most AWF members these requirements must be met within 24 months of assignment or a waiver extending that timeframe must be granted to remain in the position. AWF members assigned to Key Leadership Positions (KLPs) must be certified at time of assignment. Certification requirements are periodically updated and can be found in the Defense Acquisition University (DAU) iCatalog. Review them with your supervisor to determine what education, training, and experience you need. For detailed information refer to Chapter 8, Certification.
- 4. **Create an Individual Development Plan (IDP)**. You are required to have an approved IDP. The IDP is a planning tool that allows you and your supervisor to identify and track your career objectives, including education, training or special experience needed to meet the certification requirements of your position. You and your supervisor should ensure that your IDP is kept up to date. For detailed information refer to Chapter 18, Individual Development Plans and Performance Appraisals.

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- 5. **Apply for DAU Training.** To apply for DAU training, log onto <u>eDACM</u>, select Manage Career/Training/Search for DAU Training. Alternative methods for meeting DAU training requirements may be accomplished through completing DAU-certified equivalent courses or applying for course fulfillment. For detailed information refer to Chapter 13, Training.
- 6. **Apply for Certification**. Once you meet training, education and experience requirements, you may apply for certification by logging onto <u>eDACM</u>/Manage Career/Certification/Manage Certification Requests. After you've achieved the certification required for your position, you are encouraged to pursue higher level certifications in your career field and certifications in other career fields. For detailed information refer to Chapter 8, Certification.
- 7. **Meet Continuous Learning (CL) Requirements**. To maintain currency in acquisition and leadership skills you are required to obtain 80 CL points every two years. Completion of certification training counts toward CL points, along with other acquisition-related activities. Log onto <u>eDACM</u>, select Manage Career/DON Continuous Learning to request CL points and apply for Navy-sponsored CL events. For detailed information refer to Chapter 15, Continuous Learning.
- 8. **Become a Member of the Acquisition Corps**. If you are a civilian you may apply for Acquisition Corps membership when you are at the GS-13 (or equivalent) grade or higher and meet Acquisition Corps eligibility requirements. If your career goals include acquisition management and leadership, Corps membership is important because it is needed at the time of assignment to Critical Acquisition Positions and Key Leadership Positions. Log onto <u>eDACM</u>, select Manage Career/Acquisition Corps/Manage Acquisition Corps Requests. Marine Corps officers at the O-4 level and above may apply via eDACM. Navy officers at the O-4 level or above apply through an Administrative Board twice yearly. Information about the Administrative Boards may be found on the Naval Personnel Command (NPC) website. For detailed information refer to Chapter 9, Acquisition Corps.
- 9. **Know Where To Seek Assistance**. Major acquisition commands have an established structure for DAWIA program execution. Employees should seek assistance from supervisors and DAWIA points of contact within the command before contacting other sources. DAWIA contact information is found on the <u>DACM website</u>. Additionally, information can be found in eDACM on your DAWIA transcript. Questions regarding information in eDACM may be directed to the <u>eDACM Support Team</u>. The eDACM Support Team provides day-to-day operation and management of the eDACM system and function as a first line of support to the AWF for questions related to eDACM and DAWIA.
- **10. Resources**. The DON <u>DACM website</u> contains policy documents, operating guidelines, career management updates, education and training opportunities, the DACM Quarterly Newsletter and more. The <u>eDACM</u> website is the DON's centralized system for managing your acquisition career. The personalized dashboard provides a snapshot of your progress in meeting acquisition position requirements. eDACM allows you to:

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- View your DAWIA Transcript
- Apply for DAU Training and Travel Funding
- Apply for DON Sponsored Continuous Learning (CL) Events and request CL points
- Apply for the Acquisition Workforce Tuition Assistance Program (AWTAP)
- Apply for Career Field Certification
- Apply for the Acquisition Corps

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Chapter 1 Introduction

1.1 Overview

The Department of the Navy (DON) Defense Acquisition Workforce Improvement Act (DAWIA) Program is a career development and management program established to implement provisions of the Defense Acquisition Workforce Improvement Act (DAWIA) (PL 101-510), and associated Department of the Defense (DoD) regulations.

1.2 Applicability

- 1.2.1 The DAWIA Operating Guide provides guidance for:
 - DON (AWF), i.e., civilian and military workforce members assigned to acquisition positions.
 - Direct-hire Foreign Nationals (FNs) assigned to Contracting and Purchasing positions only for the purpose of DAU Training in Contracting and Purchasing.
 - Individuals who would like to compete for acquisition positions.
 - Managers and supervisors.
 - Administrators of AWF career management programs such as recruitment, selection, career development, retention, or strategic planning.
- 1.2.2 Reserve Component policy is currently under review. In the interim, Selected Reservist (SELRES) community managers must coordinate Reserve officer DAU training and certification requests with the Office of the Director, Acquisition Career Management (DACM). The Reserve Component funds travel and per diem for Reserve Officers.
- 1.2.3 The provisions of this Guide do not apply to persons assigned to:
 - Wage Grade positions.
 - Non-appropriated funded positions.
 - FNs except for those identified in 1.2.1 above.
 - Clerical positions.
 - Civilian positions in the 1106 occupational series and comparable military.
 - Executive Schedule Positions (not to be confused with Senior Executive Service positions).
 - Non-managerial positions that are primarily involved in basic research and applied research/exploratory development programs, e.g., bench scientists at Navy laboratories.

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• Civilian Student Trainee positions, i.e., positions in occupational series ending in "99."

1.3 Key References

- 1.3.1 Defense Acquisition Workforce Improvement Act (DAWIA), Title 10, USC, Chapter 87, Sections 1701-1764.
- 1.3.2 Defense Acquisition, Technology, and Logistics Workforce Education, Training, and Career Development Program dated January 12, 2005, <u>DoD Directive 5000.52</u>.
- 1.3.3 Reporting Management Information on DoD Military and Civilian Acquisition Personnel and Positions dated November 1, 1991, <u>DoDI 5000.55</u>.
- 1.3.4 "Operation of the Defense Acquisition Workforce Education, Training and Career Development Program" dated December 21, 2005, <u>DoD Instruction</u> 5000.66.
- 1.3.5 "DoD A Desk Guide for Acquisition, Technology, and Logistics Career Management" dated January 10, 2006, <u>DoD Desk Guide for Acquisition, Technology and Logistics.</u>
- 1.3.6 "Department of the Navy (DON) Managers' Internal Control (MIC) Program" dated November 8, 2006, SECNAV Instruction 5200.35E.
- 1.3.7 "Department of the Navy Acquisition, Logistics and Technology Workforce Management, Oversight, and Stewardship" dated July 22, 2009, <u>SECNAV Instruction 5300.38</u>.
- 1.3.8 "Assignment of Responsibilities and Authorities in the Office of the Secretary of the Navy" dated August 17, 2009, <u>SECNAV Instruction 5430.7Q</u>.
- 1.3.9 "Identification of Major Program Manager Billets" dated March 4, 2007, <u>OPNAVINST 1211.8C.</u>
- 1.3.10 Defense Acquisition Workforce Development Fund (Section 852)
 Implementation Guidance dated October, 21, 2010, Section 852 Legislation & Policy.
- Department of the Navy Naval Acquisition Development Program (NADP)
 Operating Guide dated September 27, 2010, NADP Operating Guide.
- 1.3.12 Department of the Navy Acquisition Workforce Tuition Assistance Program (AWTAP) Policy dated March 1, 2011, <u>AWTAP</u>.

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1.4 Cancellations

- 1.4.1. DON Defense Acquisition Workforce Improvement Act (DAWIA) Operating Guide dated November 24, 2009 and all DAWIA policy memoranda addressing policy contained within the guide.
- 1.4.2. DACM Memorandum "Limited Delegation of DAWIA Position Waiver Signature Authority" dated April 22, 2009.
- 1.4.3. DACM Memorandum "DON DAWIA Operating Guide- Interim Guidance dated May 23, 2011.

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Chapter 2 Acquisition Workforce

2.1 Overview

The DON Acquisition Workforce is comprised of 52,796 members - 48,330 civilians and 4,466 military (as of September 30, 2011). Strategies to strengthen the capability and capacity of this workforce continue to be a major element of acquisition reform and significant efforts are on track to rebalance its size and improve the quality, with a focus of having the right people, in the right job, at the right time.

2.2 Key Leadership Positions

The DON Defense Acquisition Workforce Improvement Act (DAWIA) Program focuses on the development of an Acquisition Workforce (AWF) with the skills and attributes required to effectively manage the defense acquisition process. The program requires substantial education, training and experience designed to create a cadre of highly skilled acquisition professionals ready for assignment to the Navy's and Marine Corps' most senior acquisition positions – called Key Leadership Positions (KLPs). These positions are expected to be filled by acquisition personnel at grade levels equal to or higher than those serving in Critical Acquisition Positions (CAPs).

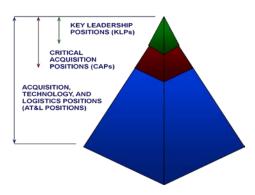


Figure 2-1 AWF Pyramid

As illustrated in Figure 2-1, KLPs make up less than one percent of the AWF. These positions require special ASN(RDA) and USD(AT&L) attention, have significant leadership responsibilities, are held by personnel in the most demanding acquisition positions, and are critical to the success of our acquisition programs. These positions are designated by ASN(RDA) based on recommendation from the leadership of DON's major acquisition organizations. They include such positions as Program Executive Officers (PEOs), ACAT I/II Program Managers (PMs) and Deputy Program Managers (DPMs), and Senior Contracting Officials. They have the most demanding education, training and experience requirements mandated by DAWIA statute and have a three or four-year tenure obligation.

2.3 Critical Acquisition Positions

Critical Acquisition Positions (CAPs) are senior acquisition positions with significant responsibility, primarily involving supervisory or management duties, in acquisition systems. They include such positions as ACAT III/IV PMs and Deputy PMs (DPMs), Commanders, Deputy Commanders, and Technical Directors at Warfare Centers. Their education, training and experience requirements are geared toward preparing them to assume KLPs. Among the requirements are Acquisition Corps membership and Level III certification. All CAPs have a three-year tenure obligation.

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2.4 Acquisition Positions

The platform of the AWF Pyramid in Figure 2-1 reflects the largest composition of AWF members - persons assigned to developmental and journeyman level acquisition positions. These individuals are assigned to positions in which at least 50% of their responsibilities are acquisition-related in one of the acquisition career fields, e.g., contracting, life cycle logistics, program management, systems engineering and facilities engineering. All AWF members have training and experience requirements. Some have education requirements depending on their career fields. In order to ensure AWF members maintain currency in their career field, they have a continuous learning requirement.

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Chapter 3 Command Acquisition Workforce Management Structure & Data Sources

3.1 Overview

Major Acquisition Commands and other organizations with significant acquisition functions must have a clearly defined management structure to support the implementation of DAWIA within the organization as depicted in Figure 3-1, Major Acquisition Command DAWIA Management Structure. The following provides broad guidance on the roles and responsibilities within the DAWIA management structure.

The DAWIA management structure can be incorporated into an actual or virtual organizational structure. Roles and responsibilities at different levels may be consolidated if appropriate and may be collateral duties.

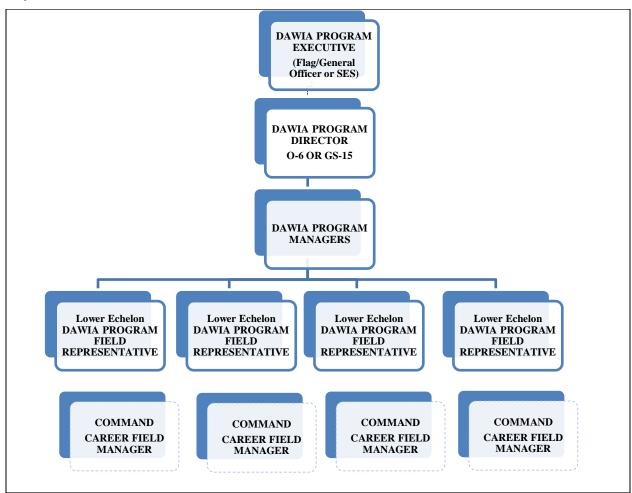


Figure 3-1 Typical Major Acquisition Command DAWIA Management Structure

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3.2 DAWIA Management Structure

- 3.2.1 DAWIA Program Executive. The Program Executive, typically a Flag/General Officer or Senior Executive Service member (SES), is the Echelon I or II Commander's lead agent for DAWIA policies and programs. The Program Executive, supported by a headquarters' organization, a command-level board, an integrated product team or a similar body authorized by the Commander, is responsible to the head of the command (e.g. the Systems Commander) for the overall implementation, compliance and health of the DON DAWIA program.
- 3.2.2 DAWIA Program Director. The DAWIA Program Director (DPD), typically at the O-6 or GS-15 (or equivalent) level, is the DAWIA subject matter expert within the command. The DPD represents the Program Executive on acquisition workforce matters. The Program Director:
 - Executes the program, command-wide, in accordance with DON DAWIA program policies and guidance, and broad direction established by the Command's DAWIA Program Executive.
 - Works directly with DACM senior staff to obtain clarification and make recommendations on DON DAWIA policies and programs, resolve issues and provide feedback on how well the program is working to support their command.
- 3.2.3 DAWIA Program Managers. DAWIA Program Managers support the DAWIA Program Director and Program Executive by managing one or more aspects of the DON DAWIA Program for the command and may serve as the Command representative for programs such as the Naval Acquisition Development Program (NADP), Career Field Certification, Continuous Learning, Acquisition Corps Membership or any other areas established by the command structure.
- 3.2.4 DAWIA Program Field Representatives. These individuals work at field activities and are responsible for the day-to-day execution for one or more of the DAWIA programs at their activity. Field representatives:
 - Implement command guidance and ensure that Acquisition Workforce (AWF) members understand DON DAWIA program requirements.
 - Provide feedback to the DAWIA Program Manager and activity leadership
 on the health of the DON DAWIA program at their activity, identifying
 areas of concern and matters that need the Program Manager's and/or
 activity's attention.
- 3.2.5 Career Field Managers. Support the members of the Naval Acquisition Development Program from the reporting activity/command.

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3.3 Data Sources

Civilian Data Sources

Acquisition workforce (AWF) data is critical to the Department of Navy's reporting, management, and strategic planning of the acquisition workforce.

eDACM is the Department of Navy's acquisition career management system. It includes modules for updating an employee's profile, registering for DAU courses, applying for tuition assistance, tracking continuous learning, applying for career field certification, and more. Because all of these career management transactions depend on the accuracy of data originating in other, authoritative sources, it is important to understand those sources and the shared responsibility for the quality of AWF data. Figure 3-2 below depicts data sources external to the DACM office feeding into eDACM MIS (Management Information System). The MIS is another component of eDACM, known for its reporting and analytic capabilities, but (as depicted below) is also the integrator of external data sources, providing profile and transcript information critical to the transactional side of eDACM. Whether considering external AWF data, such as the career field and certification level required of the position, or eDACM data, like the training course completions and certifications earned, the responsibility for maintaining the completeness and accuracy of AWF data is shared across managers, supervisors, employees and manpower/personnel support staff.

AWF Data Sources

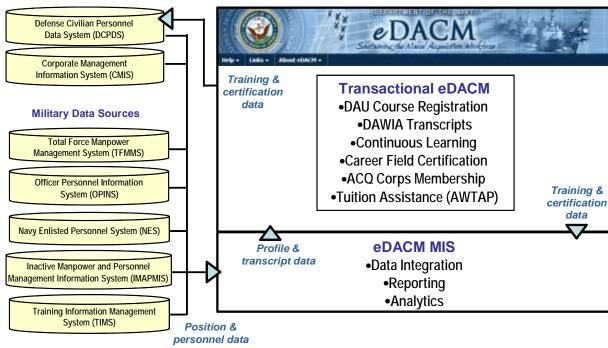


Figure 3-2 AWF Data Sources

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Chapter 4 Responsibilities and Authorities

4.1 Overview

This chapter identifies the responsibilities and authorities delegated to Department of Defense (DoD), and Department of the Navy (DON) principals for overseeing and executing the DON Defense Acquisition Workforce Improvement Act (DAWIA) Program.

4.2 DoD AT&L Workforce Senior Steering Board (SSB)

The SSB, chaired by the Under Secretary of Defense (Acquisition, Technology and Logistics (USD(AT&L)), oversees the execution of the Acquisition, Technology and Logistics Education, Training, and Career Development Program. The SSB ensures uniform program execution across DoD. Membership includes:

- 4.2.1 Service Acquisition Executives (SAEs) of the Military Departments
- 4.2.2 Representatives designated by the SAEs outside of the Military Departments
- 4.2.3 Functional Leads (FLs)
- 4.2.4 Director, Human Capital Initiatives, OUSD (AT&L) serves as the SSB Executive Secretary
- 4.2.5 Others as the USD(AT&L) considers appropriate, such as:
 - Deputy Under Secretary of Defense for Civilian Personnel Policy
 - Deputy Under Secretary of Defense for Military Personnel Policy
 - Defense Acquisition Board Advisors not otherwise represented
 - Comptroller

4.3 DoD AT&L Workforce Management Group (WMG)

The mission of the WMG is to administer and guide the implementation and integration of initiatives and policy by the Under Secretary of Defense (USD) Acquisition, Technology, and Logistics (AT&L) under the Defense Acquisition Workforce Improvement Act (DAWIA), implementing policy and programs in support of the related Acquisition Workforce, and initiatives to support other DoD workforce elements in their performance of duties which are closely related to acquisition processes. The WMG provides assistance, oversight, and review of the Defense Acquisition Workforce Education, Training, and Career Development Program to ensure integration of enterprise initiatives and to advise the SSB on workforce matters.

The WMG is chaired by the Director, Human Capital Initiatives (HCI). Membership includes the Directors, Acquisition Career Management; Functional Leads, and representatives from the Defense Acquisition University (DAU).

4.4 DoD Functional Leads (FLs)

USD(AT&L) appoints Functional Leads to serve as senior subject matter experts for their acquisition functional areas. FLs establish position category descriptions; education, training,

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and experience requirements; career field competency standards; certification standards and course content requirements. These functions are carried out in collaboration with the Defense Acquisition University, the Directors of Acquisition Career Management, and other stakeholders, as required, to ensure they meet overarching Defense needs and are uniform in their integration and execution across the Defense enterprise.

4.5 DoD Functional Integrated Product Teams (FIPTs)

Each Functional Lead charters a FIPT to provide advice and support, and appoints a representative to serve as the chair. FIPT membership includes Component, DAU, and other representatives as needed.

4.6 Assistant Secretary of the Navy (Research, Development and Acquisition) (ASN(RDA))

The ASN(RDA) serves as the responsible and accountable authority for DON Acquisition Workforce (AWF) management, oversight, and stewardship and provides broad executive oversight of the DON DAWIA Program, ensuring that it meets the overarching needs of the Navy/Marine Corps team. The ASN(RDA):

- 4.6.1 Designates/oversees Key Leadership Positions (KLPs); sets KLP tenure requirements.
- 4.6.2 Approves designation of Major Program Manager equivalent positions (refer to OPNAVINST 1211.8C dated March 4, 2007).
- 4.6.3 Approves selections for Program Executive Officer (PEO), Direct Reporting Program Manager (DRPM), and Acquisition Category (ACAT) I/II PM positions.
- 4.6.4 The ASN(RDA), via the Director, Acquisition Career Management (DACM), is the waiver approving authority for the following positions:
 - All Flag, General Officer (GO), Senior Executive Service (SES)
 - The following KLPs:
 - Program Executive Officer (PEO), Deputy Program Executive Officer (DPEO)/Executive Director, Program Executive Officer (ED PEO)
 - Direct Reporting Program Manager (DRPM) and Deputy Direct Reporting Program Manager (DDRPM)
 - ACAT I/IA Program Manager (PM) and Deputy Program Manager (DPM)
 - o ACAT II PM and DPM
 - Navy Major Program Managers and Commanders/Commanding Officers of Major Acquisition Shore Commands

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4.7 ASN(RDA) Principal Military Deputy (PMD)

- 4.7.1 Serves as the ASN(RDA) principal deputy for executive oversight and leadership of the military AWF.
- 4.7.2 Serves as the Co-chair of the Acquisition Career Field Council (ACC), which provides oversight for DON Acquisition Enterprise competencies and capabilities.

4.8 ASN(RDA) Principal Civilian Deputy (PCD)

- 4.8.1 Serves as the ASN(RDA) principal deputy for executive oversight and leadership of the civilian AWF.
- 4.8.2 Serves as the Co-chair of the Acquisition Career Field Council (ACC), which provides oversight for DON Acquisition Enterprise competencies and capabilities.

4.9 Director, Acquisition Career Management (DACM)

The DACM is the focal point in DON for the management, development and sustainment of the AWF and provides policy guidance on matters associated with DAWIA implementation. The DACM:

- 4.9.1 Serves as the direct advisor to the ASN(RDA) on all matters pertaining to education, training and career development.
- 4.9.2 Serves as an advocate for DON AWF interfacing with the Office of the Secretary of Defense.
- 4.9.3 Oversees the execution of the Naval Acquisition Development Program (NADP).
- 4.9.4 Develops strategies and policies to ensure AWF requirements are aligned with planned acquisition workload, documented in a common staffing framework and communicated in the Program Objective Memorandum and the Planning, Programming, Budgeting and Execution processes.
- 4.9.5 In coordination with community leaders, implements acquisition community management stewardship processes; establishes and participates on DON and DoD forums, e.g., boards and working groups; and designates senior level representatives to advise on matters that affect the education, training, career development, and overall management of the AWF.
- 4.9.6 Manages the Defense Acquisition Workforce Development Fund (Section 852).
- 4.9.7 Oversees Key Leadership Positions (KLPs). Collaborates with DoD and other Services to implement statutory requirements, serves in an advisory role at ACAT I/II PM slating panels and advises the ASN(RDA) on sufficiency of ACAT I/II PM assignment packages.

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- 4.9.8 Manages acquisition career development programs and opportunities, including Certification, Continuous Learning, Acquisition Workforce Tuition Assistance, Naval Acquisition Development Program and the Industrial College of the Armed Forces.
- 4.9.9 Oversees eDACM to support workforce management, performance measures and reporting requirements.
- 4.9.10 Grants career field certification to Flag Officers, General Officers (GOs) and Senior Executive Service (SES) members.
- 4.9.11 Grants Acquisition Corps membership to Flag/GO and SES members.
- 4.9.12 Approves waivers for:
 - KLPs that are not identified in 4.6.4 above.
 - Navy Military CAPs except those that are comparable to civilian 1102s, and Contracting Officers with warrants above the Simplified Acquisition Threshold.
- 4.9.13 Is the single point of contact on all AWF matters related to Navy Reserve Officers.

4.10 Director, Naval Acquisition Career Center (NACC)

- 4.10.1 Manages the Naval Acquisition Development Program (NADP). The purpose of the program is to develop highly skilled professionals to meet projected DON AWF requirements.
- 4.10.2 Executes elements of the DON DAWIA Program under the direction of the DACM, including:
 - The Naval Acquisition Development Program
 - DAU training (registration, quota management and travel)
 - Continuous Learning
 - The Acquisition Workforce Tuition Assistance Program (AWTAP)

4.11 DON National Competency Leads

The ASN(RDA) Principal Civilian Deputy appoints functional leads to serve as senior subject matter experts for their respective functional area. A functional area includes one or more acquisition career fields. There are nine competencies, as follows: Program Management; Systems Engineering; Test and Evaluation; Logistics; Contracting; Business-Financial Management; Business-Cost Estimating, Science and Technology; and Facilities Engineering. The DON National Competency Leads provide advice and support to the ASN(RDA) and serve as the DON representative on DoD FIPTs for their respective functional areas.

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4.12 Naval Acquisition Career Field Council (ACC)

The Naval Acquisition Career Field Council is a cross-competency group co-chaired by the ASN(RDA) Principal Civilian and Principal Military Deputies that:

- 4.12.1 Recommends naval policy to ensure the AWF can meet acquisition and technical program requirements.
- 4.12.2 Advocates for acquisition and technical community competencies.
- 4.12.3 Provides oversight to the Naval Acquisition Enterprise competencies and capabilities.
- 4.12.4 Promotes acquisition professional development.
- 4.12.5 Validates adequacy of acquisition training and education.

4.13 Heads of Echelon I and II Organizations

Chief of Naval Operations, Systems Commands, and other Echelon I and II organizations implement a management structure to oversee and execute the following authorities and responsibilities. These authorities may be further delegated.

- 4.13.1 Ensure AWF members meet all DAWIA statutory training and certification requirements.
- 4.13.2 Designate an organization to integrate DAWIA program activities and ensure consistent program execution.
- 4.13.3 Appoint a DAWIA Program Executive, DAWIA Program Director, DAWIA Program Manager(s), and DAWIA representatives within their headquarters and field activities to oversee and manage program operations.
- 4.13.4 Incorporate the AWF as an assessable unit of the echelon Managers' Internal Control Program (see <u>SECNAV Instruction 5200.35E</u>, <u>Department of the Navy (DON) Managers' Internal Control Program</u>).
- 4.13.5 Include position validation as an assessable unit and statement of assurance in management control plans. Position reviews must be documented in the annual statement of assurance.
- 4.13.6 Designate military and civilian acquisition positions and assign individuals to those positions in accordance with the provisions of this Operating Guide.
- 4.13.7 Validate acquisition position designations prior to recruitment, during reorganizations, or when the duties and responsibilities of the position change.
- 4.13.8 Approve certifications for AWF members, except Flag Officers and SES.
- 4.13.9 Grant Acquisition Corps membership to civilians, except SES.
- 4.13.10 Approve civilian CAP (non-KLP) waivers except:
 - SES, which are approved by the ASN(RDA) via the DACM.

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- 1102 series positions and Contracting Officers with warrants above the Simplified Acquisition Threshold (SAT); which are approved by the Senior Contracting Official.
- Commands may delegate CAP approving authority to AWF Flags/GOs/SES only.
- 4.13.11 CAPs and KLPs without Special Statutory Requirements (see Chapter 10, Table 10-1) should be reviewed on a case-by-case basis for possible rotation after the individual has completed five years in the position. Rotation is encouraged for career development.
- 4.13.12 Nominate Major Program Manager billets to the ASN(RDA) via the DACM.

 OPNAVINST 1211.8C provides a standard method for identifying these billets.

4.14 Commandant of the Marine Corps (CMC)

In addition to authorities identified in 4.13 above, approves career field certifications and Acquisition Corps memberships for Marine Corps military, except for General Officers and SES which are approved by the DACM. This authority may be further delegated.

4.15 DON Senior Contracting Officials (SCOs)

- 4.15.1 DON SCOs for each contracting activity, including the Naval Field Contracting System, as defined by the Defense FAR Supplement (DFARS), Subpart 202.1, are delegated waiver authority for non-KLP 1102s (and comparable military) and Contracting Officers with warrants above the Simplified Acquisition Threshold.
- 4.15.2 This authority may not be further delegated.

4.16 Navy Personnel Command, Professional Acquisition Workforce Management Branch (PERS-447)

- 4.16.1 Serves as the focal point for Active Duty Navy Officers, through the O-6 rank, for acquisition career management issues.
- 4.16.2 Provides acquisition career counseling for Active Duty Navy Officers.
- 4.16.3 Oversees Acquisition Corps Selection/Acquisition Corps Eligible Board matters for Active Duty Navy Officers.
- 4.16.4 Oversees Navy Officer certifications to ensure accuracy and to ensure Navy-wide consistency in crediting experience.
- 4.16.5 Ensures statutory and regulatory acquisition requirements are met for Active Duty Navy Officers.
- 4.16.6 Endorses and processes position and tenure waivers for Active Duty Navy Officers.

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- 4.16.7 Approves certifications for Active Duty Navy Officers, through the O-6 rank, who are not assigned to acquisition positions.
- 4.16.8 Approves Acquisition Corps membership for Navy Acquisition Corps Eligible Officers who meet Acquisition Corps requirements.

4.17 Navy Personnel Command, Officer Community Management Branch (BUPERS-31)

- 4.17.1 Serves as the focal point for Active Duty Acquisition Corps Officer Community Management.
- 4.17.2 Reviews all changes to acquisition billets. Approves changes to KLP acquisition billets upon direction from DACM, ASN(RDA).
- 4.17.3 Manages the acquisition billet base across all designators to ensure that adequate opportunities exist for training, certification and promotion through the O-6 rank.
- 4.17.4 Provides coordinated policy inputs (from across all designators) to OPNAV N1/N13 and DACM on Acquisition Corps matters.
- 4.17.5 Coordinates with PERS-447 as required for Acquisition Corps Selection/Acquisition Corps Eligible Board matters and Acquisition Corps personnel matters.
- 4.17.6 Coordinates with PERS-447 to provide Acquisition Corps status reports and analysis to DACM.

4.18 Acquisition Workforce (AWF) Supervisors

The AWF Supervisor is instrumental to the success of the DAWIA Program. The completion of DAWIA program goals and requirements of assigned AWF members is to be included in the performance plans and appraisals for both AWF members and their supervisors. In addition to customary supervisory duties, the AWF Supervisor should:

- 4.18.1 Become familiar with this Guide and how it applies to management of the AWF.
- 4.18.2 Ensure that acquisition positions for their employees are properly designated and coded in official personnel/manpower systems.
- 4.18.3 Play an active role in assisting and advising AWF members on career development decisions, ensuring that education, training and experience needs are captured in the employee's Individual Development Plan (IDP).
- 4.18.4 Provide an opportunity for on-the-job training through assignment of commensurate levels of work focused on employee development.
- 4.18.5 Review and process AWF member requests for Defense Acquisition University (DAU) training, Continuous Learning Points, Career Field

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Certification, Acquisition Corps, and Acquisition Workforce Tuition Assistance in a timely manner.

4.18.6 Ensure AWF members are provided adequate time during duty hours to pursue career development activities and encourage cross-functional training/assignments.

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Chapter 5 Key Leadership Positions

5.1 Overview

Key Leadership Positions (KLPs) are senior acquisition positions that require special ASN(RDA) and USD (AT&L) attention with regard to qualifications and accountability. They are expected to be filled by military personnel at the O-6 level or GS-15 civilian equivalents or higher. Exceptions may be authorized for individuals at the O-5 or GS-14 level on a case-by-case basis. Individuals assigned to KLPs have a significant level of responsibility and are key to the success of a major defense acquisition program (MDAP) or effort.

5.2 Designation Criteria

Within DON, the following positions must be designated as KLPs:

- 5.2.1 Program Executive Officer (PEO) and Deputy Program Executive Officer (DPEO)/Executive Director, Program Executive Office (ED PEO)
- 5.2.2 Direct Reporting Program Manager (DRPM) and Deputy Direct Reporting Program Manager (DDRPM)
- 5.2.3 ACAT I/IA Program Manager (PM) and Deputy Program Manager (DPM)
- 5.2.4 ACAT II PM and DPM
- 5.2.5 Senior Contracting Official (SCO)
- 5.2.6 ACAT I/IA/II Program Lead-Systems Engineer
- 5.2.7 ACAT I/IA/II Program Lead-Cost Estimator
- 5.2.8 ACAT I/IA/II Program Lead-Contracting Officer
- 5.2.9 ACAT I/IA/II Program Lead-Business Financial Manager

The following ACAT I/IA Program Leads when the function is required based on the phase or type of program:

- 5.2.10 Program Lead Logistics (Product Support Manager)
- 5.2.11 Program Lead Test and Evaluation
- 5.2.12 Program Lead Production, Quality, and Manufacturing
- 5.2.13 Program Lead Information Technology

5.3 Position Requirements

- 5.3.1 Acquisition Corps Membership. Upon selection to a KLP, the selectee must be a member of the Acquisition Corps, become a member, or obtain a position waiver.
- 5.3.2 Special Statutory Requirements. Most KLPs, e.g. Program Executive Officers, ACAT I/II PMs/DPMs, and Senior Contracting Officials, have to meet Special Statutory Requirements. Individuals must meet all Special

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Statutory Requirements that apply or obtain a position waiver upon assignment with the following exception: Acquisition Corps members selected for PEO, ACAT I PM and DPM, and ACAT II PM and DPM have a 6-month period from tenure start date to complete PMT401 and PMT402. This 6-month grace period does not apply to any other Special Statutory Requirement. For more information refer to Chapter 10, Special Statutory Requirements.

- 5.3.3 Certification. All KLPs require Level III certification in the career field associated with the KLP upon assignment. See Chapter 8 for additional information regarding certification.
- 5.3.4 Tenure Obligation
 - For KLPs except DRPMs, DDRPMs and ACAT I PMs and DPMs, the tenure is three years.
 - DRPM, DDRPM and ACAT I PM and DPM tenure agreements shall correspond to the major milestone closest to 4 years, unless exceptional circumstances merit a tailored tenure period approved by the ASN(RDA). The tenure period will be identified as part of the assignment approval process.
 - The assigning organization is responsible for ensuring the agreement is executed and for maintaining the agreement. The Critical Acquisition Position Service Agreement Key Leadership Position (KLP) Form (DD 2889) is used to document the KLP tenure agreement.
- 5.3.5 Continuous Learning. Individuals in KLPs must earn a minimum of 80 Continuous Learning points (CLPs) every two years. Additional guidance on earning CL points is found in Chapter 15 Continuous Learning.
- Five-Year Rotation Review. KLPs that do not have Special Statutory Requirements (See Chapter 10, Table 10-1) should be reviewed on a case-by-case basis for possible rotation after an individual has completed five years in the position. Rotation is encouraged for career development.

5.4 Approving Authorities

- 5.4.1. ASN(RDA), via the DACM, approves KLP designation and assignments for the following:
 - PEO, DRPM, and ACAT I/II PM Positions. ASN(RDA) must consult with USD(AT&L) on PEO and ACAT ID PM selections.
 - DPEO, DDRPM, and ACAT I/II DPMs positions when a waiver is required.
- 5.4.2. Commands approve all other KLP assignments when waivers are not required.

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5.5 Waivers

- 5.5.1. A waiver (DD 2905) is required when an individual does not meet position requirements. The waiver is position-specific and does not carry over to subsequent assignments or to future incumbents of the position.
- 5.5.2. ASN(RDA) approves waivers via the DACM for the following KLPs:
 - Program Executive Officer (PEO), Deputy Program Executive Officer (DPEO)/Executive Director, Program Executive Officer (ED PEO)
 - Direct Reporting Program Manager (DRPM) and Deputy Direct Reporting Program Manager (DDRPM)
 - ACAT I/IA Program Manager (PM) and Deputy Program Manager (DPM)
 - ACAT II PM and DPM
- 5.5.3. The DACM approves waivers for KLPs not identified above.
- 5.5.4. Waiver duration cannot exceed 24 months. For details information refer to Chapter 11, Waivers.

5.6 Reporting Requirements

Commands must report KLP compliance and waiver status to the DACM on a quarterly basis. Refer to Appendix D Quarterly Waiver Report.

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Chapter 6 Critical Acquisition Positions

6.1 Overview

Critical Acquisition Positions (CAPs) are senior acquisition positions (civilian and active component military) that have significant responsibility, primarily involving supervisory or management duties in the acquisition system.

6.2 Designation Criteria

Within DON, CAPs are limited to the following positions:

- 6.2.1. Per statute, all military acquisition positions that are required to be filled by officers at the O-5 and above grade levels (and are not already identified as Key Leadership Positions (KLPs) (Title 10, USC, 1733).
- 6.2.2. Supervisory/managerial positions at the GS-14 grade level (or equivalent) and above that are not KLPs and meet one of the following:
 - Flag/GO/SES positions
 - Commanders, Deputy Commanders and Technical Directors at Warfare Centers and major shore commands with a primary acquisition mission
 - Echelon II Contracting Officers holding Unlimited Warrant authority
 - Echelon III and IV Chief Contracting Officers, Technical Managers, and Program Leads overseeing significant acquisition functions of the command or in support of Major Defense Acquisition Programs.
 - ACAT II Program Leads:
 - o Program Lead Logistics
 - o Program Lead Test and Evaluation
 - o Program Lead Production, Quality, and Manufacturing
 - Program Lead Information Technology
 - ACAT III and ACAT IV Program Managers and Deputy Program Managers
 - Principal Leads on ACAT I/II Program subsystems
 - Acquisition Competency Leads at Echelon I and II organizations
 - Other positions as approved on a case-by-case basis by the DACM.

6.3 Position Requirements

6.3.1 Acquisition Corps Membership. All CAPs require Acquisition Corps Membership. Upon selection to a CAP, the selectee must be a member of the Acquisition Corps, become a member, or obtain a position waiver.

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- 6.3.2 Special Statutory Requirements. Some CAPs, e.g. Flag/SES, and 1102s have Special Statutory Requirements. Individuals must meet all Special Statutory Requirements that apply or obtain a position waiver upon assignment. For more information refer to Chapter 10, Special Statutory Requirements.
- 6.3.3 Certification. All CAPs require Level III certification in the career field associated with the CAP within 24 months of assignment. For more information refer to Chapter 8, Certification.
- 6.3.4 Tenure Obligation
 - Civilians and Marine Corps Officers: Persons selected for CAPs must sign an agreement to remain in the CAP for a minimum of three years. The assigning organization is responsible for ensuring the agreement is executed and for maintaining the agreement. Form DD 2888, Critical Acquisition Position Service Agreement is used to document the tenure agreement.
 - Navy Officers: The orders to a CAP include the 3-year tenure requirement.
- 6.3.5 Continuous Learning. Individuals in CAPs must earn a minimum of 80 Continuous Learning Points (CLPs) every two years. For detailed information refer to Chapter 15, Continuous Learning.
- 6.3.6 Five-Year Rotation Review. All CAPs should be reviewed on a case-by-case basis for possible rotation after an individual has completed five years in the position. Rotation is encouraged for career development.

6.4 Approving Authorities

Heads of Commands have the authority to designate civilian and military CAPs and make assignments.

6.5 Waivers

- 6.5.1. A waiver (<u>DD 2905</u>) is required when an individual does not meet position requirements. The waiver is position-specific and does not carry over to subsequent assignments or to future incumbents of the position.
- 6.5.2. Heads of Commands approve civilian CAP waivers, except for those in 6.5.4 and 6.5.5 below. The authority to approve civilian CAP waivers may be delegated to AWF Flag, General Officer, and SES members only.
- 6.5.3. Navy military CAP waivers are coordinated via PERS-447. Waivers are approved by the DACM except for those in 6.5.4 below. Waiver Summary Sheet found in Appendix C must accompany the DD2905.
- 6.5.4. The ASN(RDA), via the Director, Acquisition Career Management (DACM), is the waiver approving authority for the following positions:

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- Flag/GO/SES positions that are not KLPs.
- Commanders/Commanding Officers of Major Acquisition Shore Commands
- 6.5.5. Senior Contracting Officials approve waivers for:
 - 1102 (and equivalent military) CAPs.
 - Contracting Officers with warrants above the Simplified Acquisition Threshold.
- 6.5.6. Waiver duration cannot exceed 24 months. For detailed information refer to Chapter 11, Waivers.
- 6.5.7. For CAPs, a tenure waiver is not required for the following: promotion; reassignment to/from a command/command equivalent position; separation; retirement; removal for cause; reduction-in-force; mobilization; assignment to military theater/zone of operation; elimination of position; or reassignment due to program cancellation, merger, or organizational realignment.

6.6 Reporting Requirements

Commands must report CAP waiver status to the DACM on a quarterly basis. For detailed information refer to Appendix D, Quarterly Waiver Report.

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Chapter 7 Acquisition Positions

7.1 Overview

Acquisition positions are civilian and military positions that are in the DON acquisition system, have acquisition duties, fall in an acquisition position category established by the USD(AT&L), and are coded in official civilian and military personnel data systems. DON acquisition positions are located within organizations that have a major acquisition mission such as Systems Commands and Acquisition Program Offices. They may also be located in other organizations that have significant acquisition functions. Military acquisition positions may also be found in Joint and other DoD organizations.

7.2 Designation Criteria

At a minimum, the following positions must be designated as acquisition positions regardless of location (exceptions are identified in Chapter 1, 1.2.2):

- 7.2.1 Senior positions with direct influence on the success of Defense acquisition programs governed by DoD Directive 5000.1.
- 7.2.2 Civilian occupational series 1102, 1103, and 1105 positions.
- 7.2.3 Positions that require the employee to hold a warrant above the Simplified Acquisition Threshold.

7.3 Position Requirements

- 7.3.1 Special Statutory Requirements. Some acquisition positions, e.g. 1102 series (and equivalent military) positions have Special Statutory Requirements. For detailed information refer to Chapter 10, Special Statutory Requirements for Acquisition Positions.
- 7.3.2 Certification. All acquisition positions have certification requirements. Acquisition Workforce (AWF) members must become certified in their primary career field at the level required within 24 months of assignment.
- 7.3.3 Continuous Learning. All acquisition positions have Continuous Learning (CL) Requirements. AWF members must earn a minimum of 80 CL points every two years.

7.4 Approving Authorities

Command management is responsible for identifying and ensuring acquisition positions are properly coded in the appropriate personnel data systems.

7.5 Waivers

7.5.1 A waiver (<u>DD 2905</u>) is required when an individual does not meet position requirements. The waiver is position-specific and does not carry over to subsequent assignments or to future incumbents of the position.

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- 7.5.2 Echelon I and II Commands have the authority to approve the following waivers:
 - Civilian positions that are not KLPs
 - Military positions that are not KLPs or CAPs
 - All 1102 series positions and comparable military and Contracting Officers with warrants above the Simplified Acquisition Threshold (SAT) must be approved by the Senior Contracting Official.
- 7.5.3 Waiver duration cannot exceed 24 months. For detailed information refer to Chapter 11, Waivers.

7.6 Reporting Requirements

In accordance with DoD Instruction 5000.55, management is required to monitor the shape and health of the acquisition workforce by reporting quarterly metrics on qualifications, assignments and tenure.

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Chapter 8 Certification

8.1 Overview

Certification is the process through which DON management determines that an Acquisition Workforce (AWF) member meets the mandatory (Core) standards (experience, education and training) established for a career field. All personnel occupying acquisition positions have a primary certification requirement which is determined by the position category and certification level assigned to the position. The DACM publishes annual DAWIA Goals with the overarching focus on 100% certification compliance.

There are three levels of career field certification. The certification level assigned to the position is based on the duties, responsibilities and authorities of the position. Once AWF members become certified, they remain certified even if the certification requirements change. Certified AWF members shall maintain currency in their career fields.

Career Field Certification is reciprocal among the military departments and other DoD Components. Certifications awarded by the DON are recognized by other DoD Components. Similarly, the DON recognizes certifications approved by military departments and other DoD Components.

8.2 Eligibility

The following DON employees are permitted to request career field certification:

- 8.2.1 Civilians assigned to acquisition positions.
- 8.2.2 Active Duty Military Officers currently serving in acquisition or serving in an acquisition career track.
- 8.2.3 Enlisted personnel in acquisition positions. For detailed information refer to Chapter 21, Acquisition Position Designation.

8.3 Certification Standards

- 8.3.1 There are two sets of standards identified for certification Core (mandatory) and Core Plus (recommended). DoD publishes the certification standards annually. They may be found in the <u>DAU iCatalog</u>.
- 8.3.2 AWF Members must meet the Core (mandatory) certification standards in place at the time of request for certification.
- 8.3.3 AWF members in positions that require Level II or Level III certification are encouraged, but not required to become certified at the lower level(s). However, all course prerequisites must be met and may require that lower level training be taken or fulfilled.
- 8.3.4 All acquisition career field certifications have specific experience requirements at each level that are identified in the Certification and Core Development Guides in the DAU iCatalog. Some career field certifications

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also have specific education requirements. For detailed information refer to Chapter 14, Experience.

8.4 Certification Levels

There are several factors to consider when determining the certification level for acquisition positions. Certification levels should not be based solely on the position's grade or rank, but on the direct influence and accountability for Defense acquisition programs. Table 8-1, provides guidance for determining certification levels. Note: With the exception of KLPs, military certification levels are still tied to the grade of the position to which assigned.

Certification Level	Consideration Factors
Level III (Advanced)	 Military Positions - This level is required for all KLPs, CAPs, O-5 and O-6 positions, and positions in the SPRDE-PSE career field.
(Advanced)	 Civilian Positions - This level is required for all KLPs, CAPs, and positions in the
	SPRDE-PSE career field.
	For other positions, this level is typically assigned to positions located in organizations
	with a major acquisition mission, e.g. the systems commands.
	Level III would be appropriate for acquisition technical experts whose duties require a high level of knowledge and skills associated with major defense acquisition programs.
	For example, a GS-12/13 working in an ACAT I and II program office may require a
	Level III designation whereas a GS-14 (or equivalent) working in an Echelon III or IV
	activity may require Level I or II.
Level II	• Military Positions - This level is required for all O-4 positions.
(Intermediate)	This may also be assigned to positions in the Naval Acquisition Contracting Officer
	(NACO) and the Naval Integrated Logistics Support (ILS) Developmental Programs. This level (or Level I) may be assigned to Enlisted acquisition positions at the E-4
	grade level and above if in Contracting, and E-6 and above if other than Contracting.
	Civilian Positions - This level is appropriate for those in Intern development
	programs and journeymen or senior positions (non-KLP/CAP) that need an
	intermediate level of acquisition knowledge and skills.
	For example, Level II would be appropriate for a GS-14/15 manager working in a Warfare Center or field activity who has subordinate acquisition technical experts.
Level I (Basic)	Military Positions - This level is required for all O-1 through O-3 positions (see
Zever r (Zusie)	exceptions for NACO and ILS Developmental Programs identified in Level II
	above).
	This level (or Level II) may also be assigned to Enlisted positions at the E-4 grade
	level and above if in Contracting, and E-6 and above if other than Contracting.
	Civilian Positions - Basic certification standards are designated to establish fundamental qualifications and expertise in the individual's career field. It is
	appropriate for entry level and other positions that require a basic knowledge of the
	acquisition systems.

Table 8-1 Certification Levels

8.5 Certification Timeframes

8.5.1 Key Leadership Positions (KLPs): Employees must meet the certification standards of their KLP at the time of assignment or obtain a position waiver.

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- 8.5.2 Critical Acquisition Positions (CAPs) and all other acquisition positions: Employees must meet the certification standards within 24 months of assignment or obtain a position waiver.
- 8.5.3 Changes to certification standards do not start a new 24-month period. Movement to another acquisition position in the same position category (career field) and at the same certification level as the previous position does not start a new 24-month period.
- 8.5.4 Subsidiary career field certifications. There are no timeframes associated with certifications that are not required by the AWF member's current acquisition designated position.
 - AWF members should meet primary certification requirements before pursuing subsidiary certifications.
 - AWF members pursuing certifications in subsidiary career fields must start at Level I regardless of the individual's duties and responsibilities of grade/rank.
 - AWF members are encouraged to pursue subsidiary career field certification. The subsidiary career field should build upon competencies in the primary career field and relate to the individual's acquisition assignment.

8.6 Waivers

If an AWF member is not certified within the required timeframe, a waiver is required to allow that individual to remain in the position for up to 24 months. The waiver does not certify the employee. Waivers are position-specific and do not carry over to subsequent assignments or to future incumbents of the position. For detailed information refer to Chapter 11, Waivers.

8.7 Certification Application Process

- 8.7.1 AWF members who have met all mandatory training, education and experience requirements submit certification requests online via eDACM/Manage Career/Manage Certification Requests.
- 8.7.2 Active Duty Navy Officers who are not assigned to acquisition positions may request certification via email to PERS 447. Using the DAU iCatalog Core Certification and Development Guides, request must include documentation of experience, training and education required to meet certification requested, e.g. Fitness Reports (FITREPS), Sailor/Marine American Council on Education Registry Transcripts (SMART), etc.
- 8.7.3 Marine Corps Officers who are not assigned to acquisition positions may submit certification requests to the Marine Corps Systems Commanding General or his/her agent.

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8.8 Certification Approval Process

- 8.8.1 Two levels (maximum of three) of review are required to grant certifications. In a two-level process, the first level is the reviewing official and the second level is the approving official.
- 8.8.2 All Navy Officer certification requests must be routed through PERS-447 for an assessment of the officer's experience before final certification approval is granted.
- 8.8.3 Flag Officers, General Officers, and Senior Executive Service request career field certification by submitting an email to the <u>DACM Desk</u> using the Core Certification and Development Guides in the DAU iCatalog and address all mandatory education, training, and experience requirements for the career field level requested.

8.9 Approving Authorities

- 8.9.1 The DACM has the authority to approve Flag Officer, General Officer, and SES certifications.
- 8.9.2 Commands have the authority to certify all others assigned to acquisition positions. For Level II and III certifications, the approval authority must be Level III certified in the career field in which certification is requested, with the following exceptions: certification requests in the Purchasing and Industrial Contract Property Management career fields can be assessed by an individual Level III certified in Contracting.
- 8.9.3 Commands that do not have Level III certified approving authorities per the above, may request an exception to this requirement by emailing the DACM Desk. The request should include the reason for the exception, and the career field(s) affected.
- 8.9.4 PERS-447 maintains the approval authority for Active Duty Navy Officers not assigned to acquisition positions.
- 8.9.5 The Commanding General, or his/her agent at Marine Corps Systems Command, maintains the approval authority for Marine Corps Officers not assigned to acquisition positions.
- 8.9.6 Supervisors and approving officials are responsible for ensuring that applicant credentials support the certification standards.

8.10 Reporting Requirement

- 8.10.1 The DACM publishes annual DAWIA Goals that include a certification goal.
- 8.10.2 Commands must report certification status at the end of each quarter and may be periodically asked to provide a by-name report of AWF members not in compliance.

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Chapter 9 Acquisition Corps

9.1 Overview

Established in 1990, the purpose of the Acquisition Corps (AC) is to create a pool of highly qualified AWF personnel to fill Critical Acquisition Positions (CAPs) and Key Leadership Positions (KLPs).

In 2005, DoD Directive 5000.52 consolidated the separate DoD component acquisition corps into a single Defense Acquisition Corps. As a result of the consolidation, the name of the DON Acquisition Professional Community (APC) changed to Acquisition Corps. All APC members, including those who were waived into the APC, became members of the single Acquisition Corps. AC membership in any DoD Component is recognized by all DoD Components. New entrants must meet current Acquisition Corps requirements. Acquisition Corps membership, or a position waiver, is required for assignment to CAPs and KLPs.

As the Department moves forward on efforts to improve the development of its Acquisition Workforce, expectations and opportunities for acquisition professionals will increase by order of magnitude. To prepare for advancement to levels of greater responsibility and authority, acquisition professionals must demonstrate exceptional analytical and decision-making capabilities, job performance and qualifying experience. Earning membership into the Acquisition Corps is a critical step in preparation for acquisition leadership.

As of September 30, 2011, 12,309 or 23% of DON AWF personnel were members of the Acquisition Corps. They comprise an elite group of acquisition professionals with the skills and attributes required to lead and effectively manage the defense acquisition process.

9.2 Membership Requirements

To qualify for membership in the Acquisition Corps, AWF personnel must meet certain education, certification, position, grade, and experience criteria. Additionally, the Surface Warfare and Submarine Warfare military communities have a command screen requirement. A summary of the Acquisition Corps requirements is provided on the following page in Table 9-1.

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EDUCATION	☐ Baccalaureate degree from an accredited educational institution, and
Degree and credit hours must be recorded on a college transcript from an institution of higher education that is accredited by a regional agency, which is approved by the Secretary of Education to grant accreditation. Quarter- or trimester-hours must be converted to semester hours	One of the following: 24 semester credit hours from among the following disciplines: accounting, business, finance, law, contracts, purchasing, economics, industrial management, marketing, quantitative methods, and organization and management. 24 semester credit hours in the person's career field and 12 semester credit hours in the disciplines listed above in italics. Credit hours within the person's career field may also satisfy the requirement for part or all of the 12 credit hours in the disciplines listed above. The same hours may be used to meet both requirements. 24 semester credit hours in the person's career field and training equivalent to 12 semester credit hours in the disciplines listed above in italics.
CERTIFICATION LEVEL	One of the following: DON civilian employee or military member certified at Level II or above in an Acquisition Career Field. Individual from outside DoD selected for a Critical Acquisition Position – qualified for certification at level II or above.
POSITION AND RANK	One of the following: ☐ DON Civilian: Must occupy a DON Acquisition Position at the GS-13 or higher grade (or equivalent) or tentatively selected for a KLP or CAP. ☐ DON Military: Must be at the O-4 grade (vice 0-4 select) or higher.
EXPERIENCE	 □ 4 years of service in an Acquisition Position either in the DoD or in a comparable position in industry or government. ▶ For Unrestricted Line Officers (URLs) only: Up to 18 months of acquisition experience may be credited for the same amount of time in CDR (O-5) or CAPT (O-6) command tour when responsibilities demonstrate program management competencies such as planning, execution, business acumen, resource management and interface with the materiel establishment(s).
Other	☐ CDR command screen for Surface Warfare and Submarine Warfare officers only.

Table 9-1 Acquisition Corps Membership Requirements

9.3 Application Process

An application and approval process has been established based on the category and position of the AWF member applying for membership.

9.3.1 Flag/General Officers, and SES: Email applications to the DACM desk. Applications must address all AC membership criteria identified in Table 9-1 above.

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- 9.3.2 Civilians and Marine Corps Military Officers assigned to acquisition positions apply online using eDACM.
- 9.3.3 Navy Officers on Active Duty, except for Flag Officers: An administrative board sponsored by PERS-447 screens and selects Navy Officers who apply for membership in the Acquisition Corps or as Navy Acquisition Corps Eligible individuals.
 - Applicants selected by the administrative Acquisition Corps Selection Board are awarded the "APM" Additional Qualification Designator (AQD) identifying them as AC members. They continue to be assigned through their respective officer communities but are now qualified for assignment to Critical Acquisition Positions (CAPs).
 - Officers selected as Navy Acquisition Corps Eligible may not meet one or more AC membership requirements at time of application. However, they must be at least at the O-4 grade (vice O-4 select) and have significant potential for assignment to future CAPs. These individuals are not members of the AC; however, when all requirements are met, membership will be granted through PERS-447.
 - Navy Acquisition Corps Eligible officers are expected to meet any
 deficient membership requirements within one year of selection. If the
 requirements are not met, the individual will be removed from ACEligible rolls. One-year extensions may be granted by PERS-447 on a
 case-by-case basis.
- 9.3.4 Civilians and Marine Corps Officers who are not currently in acquisition positions but have been tentatively selected for CAPs submit completed application addressing requirements identified in Table 9-1 to approving official via the chain of command.
 - Civilians: Provide application as directed by hiring officials (SES applications must be submitted to the DACM for approval).
 - Marine Corps Officers: Send applications to the Commanding General, Marine Corps Systems Command, or his/her agent.
 - Once the individual reports for duty command officials should coordinate recording of the AC membership with the DAWIA Program Director (DPD). The DPD may then scan and forward the approved application via email to the eDACM Support Team for entry in the individual's DAWIA Transcript.

9.4 Approving Authorities

- 9.4.1 The following identifies the approval authority for membership to the Acquisition Corps:
 - Flag/General Officers and SES: The approval authority is the DACM.

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- Civilians other than SES: Approval is granted by designated final approving authority within the Command. Final approving authority must be an AWF member certified at Level III and at least one level above applicant's first-level supervisor.
- Marine Corps Officers: Approval is granted by the Commanding General or his/her agent at MARCORSYSCOM.
- Navy Officers on Active Duty through O-6: Approval is granted by the Acquisition Corps Selection Board.
- 9.4.2 Supervisors and approving officials should ensure that applicant credentials support the Acquisition Corps eligibility criteria.

9.5 Waivers

- 9.5.1 There are no waivers into the Acquisition Corps. Applicants must meet Acquisition Corps requirements to become a member.
- 9.5.2 A position waiver must be granted to assign a person who is not an Acquisition Corps member to a CAP or KLP. This waiver is position-specific and does not carry over to subsequent assignments or to future incumbents of the position. Use the AT&L Position Requirements or Tenure Waiver form (DD 2905) to process waivers. For detailed information refer to Chapter 11, Waivers.

9.6 Reporting Requirements

The DACM will periodically conduct a promotion parity review to ensure that the qualifications of active duty commissioned officers selected for the Acquisition Corps are such that these officers are being promoted at a rate not less than the rate for equivalent non-acquisition officers of the Navy or Marine Corps.

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Chapter 10 Special Statutory Requirements for Acquisition Positions

10.1 Overview

Certain acquisition positions have special Statutory Requirements that address such areas as: education, years of experience, specific training and tenure. Individuals must meet all criteria that apply or obtain a position waiver. All individuals assigned to positions with the Special Statutory Requirement for the Program Manager's Course (PMT401), and the Executive Program Manager's Course (PMT402) must complete both courses within 6 months of assignment. This 6-month grace period does not apply to any other Special Statutory Requirement.

10.2 Requirements

Position	Special Statutory Requirement
Flag General Officers and SES	• 10 years acquisition experience in an AWF position, at least 4 years of which performed while assigned to a CAP
Program Executive Officer (PEO) (This is a KLP)	 10 years acquisition experience in an AWF position, at least 4 years of which performed while assigned to a CAP Served as a PM or DPM Complete PMT 401and PMT 402 within 6 months of tenure start date Tenure period: 3 years Requires that ASN(RDA) consult with USD(AT&L) when USD(AT&L) is the MDA (ACAT ID Programs)
PM for MDAPs (ACAT I/IA) (This is a KLP)	 8 years acquisition experience with at least 2 years in a program office or similar organization Complete PMT 401and PMT 402 within 6 months of tenure start date *Tenure period: 4 years or closest to next major milestone Requires that ASN(RDA) consult with USD(AT&L) when USD(AT&L) is the MDA (ACAT ID Programs)
DPM for MDAPs (ACAT I/IA) (This is a KLP)	 6 years acquisition experience with at least 2 years in a program office or similar organization Complete PMT 401and PMT 402 within 6 months of tenure start date *Tenure period: 4 years or closest to next major milestone
PM for Significant Non-major Programs (ACAT II) (This is a KLP)	 6 years acquisition experience Complete PMT 401and PMT 402 within 6 months of tenure start date Tenure period: 3 years

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Position	Special Statutory Requirement
DPM for Significant Non- major Programs (ACAT II) This is a KLP)	 4 years acquisition experience Complete PMT 401and PMT 402 within 6 months of tenure start date Tenure period: 3 years
Civilian GS-1102 (and equivalent military positions)	 Contracting Special Statutory Requirements and exceptions to those requirements are addressed in the Defense Federal Acquisition Regulation, Subpart 201.603, Selection, Appointment, and Termination of Appointment Baccalaureate degree from an accredited educational institution 24 semester credit hours (or the equivalent) in any of the following disciplines: accounting, business, finance, law, contracts, purchasing, economics, industrial management, marketing, quantitative methods, and organizational management Exceptions to education requirements: The above education requirements do not apply to a DoD employee or member of the Armed Forces who: Served as a contracting officer with authority to award or administer contracts in excess of the Simplified Acquisition Threshold on or before September 30, 2000 Served in an 1102 positions (or comparable military position) on or before September 30, 2000 Is an enlisted member who is deployed and performing Contingency Contracting Force functions under the authority of USC 10, Chapter 87, Section 1724(f).
Contracting Officers that Award or Administer Contracts above the Simplified Acquisition Threshold	 Complete all contracting courses required for the grade 2 years of experience in a contracting position 1102 education requirements for a degree and 24 semester hours Meet any additional requirements established, based on the dollar value and complexity of the contracts awarded or administered in the position Exceptions to education requirements: Same as those for Civilian 1102 (and equivalent military positions)
Senior Contracting Official (SCO) (This is a KLP)	 1102 education requirements for a degree and 24 semester hours (see above) 4 years of experience in Contracting

*(or as tailored by ASN(RDA)

Table 10-1 Special Statutory Requirements

10.3 Waivers

10.3.1. A Position Waiver is required when an individual does not meet Special Statutory Requirements. It must be submitted at the time of selection and approved prior to the individual assuming the position. It is documented on the AT&L Position Requirements or Tenure Waiver form (DD 2905).

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- 10.3.2. Waiver duration cannot exceed 24 months. For detailed information refer to Chapter 11, Waivers.
- 10.3.3. The following lists Approving Authorities for Special Statutory Waivers:
 - ASN(RDA) via DACM:
 - o PEO/DRPM
 - ACAT I/IA PM/DPM
 - o ACAT II PM/DPM
 - o Flag/General Officers and SES
 - DACM:
 - o Senior Contracting Official
 - Senior Contracting Official:
 - 1102s (and equivalent military) and Contracting Officers with warrants above Simplified Acquisition Threshold (SAT). (Waivers may be granted to current DoD employees only.) This authority may not be further delegated.

10.4 Reporting Requirements

Commands must report Special Statutory Requirements compliance and waiver status to the DACM on a quarterly basis. It is included in the quarterly CAP and KLP Waiver report. For detailed information refer to Appendix D, Quarterly Waiver Report format.

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Chapter 11 Waivers

11.1 Overview

Acquisition position requirements may be waived in exceptional circumstances. Waivers are directly associated with meeting Special Statutory Requirements, Career Field Certification, Acquisition Corps, and Tenure. Waivers are position-specific and do not carry over to subsequent assignments. Waivers cannot exceed 24 months from date of assignment. Chart 11-1, Acquisition Position Waiver Chart, summarizes circumstances and timing of waivers.

11.2 Submission Requirements

- 11.2.1 All waiver requests must be submitted via the <u>DD 2905</u> Acquisition, Technology and Logistics (AT&L) Workforce Position Requirements or Tenure Waiver.
- 11.2.2 Waivers associated with Key Leadership Positions (KLPs), Flag/General Officer/SES, and Military CAPs must also include a Waiver Summary Sheet with plan to meet position requirements. A format is provided in Appendix C.
- 11.2.3 Commands are responsible for tracking and monitoring the status of all acquisition position and tenure waivers.

11.3 Approving Authorities

- 11.3.1 The ASN(RDA), via the Director, Acquisition Career Management (DACM), is the waiver approving authority for the following positions:
 - All Flag, General Officer (GO), Senior Executive Service (SES)
 - The following KLPs:
 - Program Executive Officer (PEO), Deputy Program Executive Officer (DPEO)/Executive Director, Program Executive Officer (ED PEO)
 - Direct Reporting Program Manager (DRPM) and Deputy Direct Reporting Program Manager (DDRPM)
 - ACAT I/IA Program Manager (PM) and Deputy Program Manager (DPM)
 - o ACAT II PM and DPM
 - Navy Major Program Managers and Commanders/Commanding Officers of Major Acquisition Shore Commands
- 11.3.2 The DACM is the approving authority for:
 - KLPs that are not identified in 11.3.1 above.
 - Navy Military CAPs except those that are comparable to civilian 1102s, and Contracting Officers with warrants above the Simplified Acquisition Threshold.

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- 11.3.3 The approving authority is the Senior Contracting Official (SCO) for:
 - Civilian series 1102 (and equivalent military) that are not KLPs.
 - Contracting Officers with warrants above the Simplified Acquisition Threshold.
- 11.3.4 The approving authority is the Echelon I/II Command for Civilian Critical Acquisition Positions (CAPs) and regular acquisition positions except those specifically identified in section 11.3.3 above.
- 11.3.5 Navy military waivers (except for Flag Officers) are coordinated through PERS-447. Marine Corps military waivers are coordinated through the Commanding General or his/her agent at MARCORSYSCOM.

11.4 Reporting Requirements

- 11.4.1 Quarterly Waiver Reports are required for CAPs and KLPs. A format is provided in Appendix D.
 - CAP Waiver Report. Submit to DACM at the end of each quarter for the fiscal year.
 - KLP Waiver Report. Submit to DACM with Quarterly KLP Update Report.

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ACQUISITION POSITION WAIVER CHART						
WAIVER CANNOT EXCEED 24 MONTHS	APPROVING AUTHORITY	WAIVER TYPE	WHEN (see notes below)			
The following KLPs:		Certification	At Time of Selection			
PEO, DPEO/ED PEO		*Special Statutory	At Time of Selection			
DRPM and DDRPMACAT I/IA PM/DPM		Acquisition Corps	At Time of Selection			
ACAT II PM/DPM	ASN(RDA) via DACM					
And:	Ditow2					
 All Flag/GO/SES Navy Major Program Managers and Commanders/Commanding Officers of Major Acquisition Shore Commands 		Tenure	Prior to Early Departure			
KLPs not identified above	DACM	Certification	KLPs: At Time of Selection			
And: All Military CAPs except 1102 equivalent			Military CAPs: 24 Months After Assignment			
and Contracting Officials with warrants		*Special Statutory	At Time of Selection			
above the Simplified Acquisition Threshold (SAT).		Acquisition Corps	At Time of Selection			
		Tenure	Prior to Early Departure			
Civilian CAPs, with the following exceptions: SES, 1102s, and Contracting	Echelon I & II	Certification	24 Months After Assignment			
Officers above the Simplified Acquisition Threshold (SAT).	Commands (This may be	*Special Statutory	At Time of Selection			
Timeshold (OTTT).	further delegated to AWF	Acquisition Corps	At Time of Selection			
	Flag/GO/ SES)	**Tenure	Prior to Early Departure			
Non-KLP 1102s (and equivalent military) and Contracting Officers with warrants above SAT. (Waivers may be granted to current DoD employees only.)	Senior Contracting Official (This may not be delegated)	*Special Statutory	At Time of Selection			
All Other Acquisition Positions	Echelon I & II Commands (This may be further delegated)	Certification	24 Months After Assignment			

^{*} All individuals assigned to positions with the Special Statutory Requirement for the Program Manager's Course (PMT401), and the Executive Program Manager's Course (PMT402) must complete both courses within 6 months of assignment. This 6-month grace period does not apply to any other Special Statutory Requirement.

Waiver requests for Active Component Navy officers, except for Flag/General Officers, must be submitted to the approving official via PERS-447. Approving officials must notify PERS-447 on actions taken regarding waiver requests.

^{**} For CAPs (Non-KLP) a tenure waiver is not required for acceptable deviations which are identified in Chapter 6.

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Chapter 12 Education

12.1 Overview

In accordance with USC 10, Chapter 87, Section 1732, Acquisition Workforce (AWF) members are required to meet education standards for Acquisition Corps Membership and for career field certification listed in the Certification and Core Development Guides and published annually in the DAU iCatalog.

Coursework completed to meet education requirements must be documented on a transcript from an accredited institution of higher education in order to validate degree completion and credit hours earned.

12.2 Requirements

- 12.2.1 Acquisition Corps Membership requires a baccalaureate degree and twenty four (24) semester credit hours of business from the following disciplines: accounting, business finance, law, contracts, purchasing, economics, industrial management, marketing, quantitative methods, and organization and management.
- DoD Career Field Functional Integrated Product Teams (FIPTs) have identified education standards that must be met for career field certification in the following career fields: Business Cost Estimating, Contracting, all Systems Planning, Research, Development and Engineering career fields, and Test & Evaluation, which are summarized in Table 12-1 below. These standards are subject to change periodically. For the most current information, refer to the DAUiCatalog.

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	Education Standards					
Career Field						
Business Cost Estimating	Baccalaureate degree (any field of study) 3 semester credit hours from a calculus course 21 semester credit hours in any combination of the following fields of study: operations research, economics, mathematics, chemistry, physics or other sciences where the utilization of advanced mathematical skills in geometry, trigonometry, statistics, probability and/or quantitative analysis					
Contracting	Baccalaureate degree (Any Field of Study) At least 24 semester hours in accounting, law, business, finance, contracts, purchasing, economics, industrial management, marketing, quantitative methods, or organization and management					
SPRDE-SE, SPRDE-PSE, SPRDE-S&TM	Baccalaureate or graduate degree in a technical or scientific field such as engineering, physics, chemistry, biology, mathematics, operations research, engineering management, or computer science					
Test & Evaluation	 Baccalaureate degree or higher (Any Field of Study) *Effective Oct. 1, 2012 the degree must be in a technical or scientific field. 24 semester hours or equivalent in technical or scientific courses such as mathematics (e.g., calculus, probability, statistics), physical sciences (e.g., chemistry, biology, physics), psychology, operations research/systems analysis, engineering, computer sciences, and information technology 					

Table 12-1 Education Standards

12.3 Meeting Education Requirements

- 12.3.1 Education requirements may be met by completing coursework or degree programs from an accredited institution of higher education.
- Tuition assistance is available through the Acquisition Workforce Tuition Assistance Program (AWTAP) to assist DON AWF members in meeting the education standards for career field certification and to comply with statutory requirements. Located on the eDACM website, the DON AWTAP Policy outlines in detail the eligibility requirements, priority levels, procedure for requesting tuition assistance, and standards that must be met in order to receive and maintain approval to participate in AWTAP.
- 12.3.3 American Council on Education (ACE) recommended credits may be applied when documented on an official college or university transcript. ACE has reviewed and recommended credit for many of the DAU courses. ACE Credit recommendations can be found on the ACE Military Guide website.

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- Passing scores on Defense Activity for Non-Traditional Education Support (DANTES) examinations for semester credit hours may also be applied.
- 12.3.5 When used in the context of DAWIA, "24/12" refers to an Acquisition Corps education requirement that consists of 24 semester credit hours in the person's primary career field (typically business or technical fields of study) and one of the following:
 - 12 semester credit hours in the business-related courses listed in 12.2.1 above. Credit hours within the person's primary career field may also satisfy all or part of this requirement
 - Training equivalent to 12 semester credit hours in business-related courses, which must be based on the credit hour and discipline recommendations published by the ACE
 - Through a combination of the above, education, and training equaling 12 credit hours
- 12.3.6 All credit hours, other than those approved by ACE, must be reflected on an official college or university transcript. For detailed information on crediting semester hours toward the 24/12 requirements refer to the <u>DAU iCatalog</u> and Select "Meeting Education Standards."

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Chapter 13 Training

13.1 Overview

Whether obtaining acquisition career field certification, Acquisition Corps membership, or meeting continuous learning requirements associated with the DON DAWIA program, organizations are responsible for ensuring civilian and military Acquisition Workforce (AWF) members attend Defense Acquisition University (DAU) courses. Persons registering for DAU training are prioritized to ensure they meet mandatory requirements and are provided career development opportunities. DAU delivers courses through resident classroom offerings at DAU facilities or on-site at the location where training is required and through distance learning (webbased) coursework. Supervisors must ensure that AWF members are provided an opportunity to complete required certification training or continuous learning courses, including distance learning, during duty hours.

13.2 Requirements

All acquisition career fields have training requirements for certification. In addition, certain acquisition positions have Special Statutory training requirements, e.g., warranted contracting officers and major ACAT Program Managers (PMs) and Deputy Program Managers (DPMs).

- 13.2.1 DoD publishes certification standards annually in the DAU iCatalog. The Certification and Core Development Guides identify education, training, and experience requirements that are needed to become certified in each career field and level.
- 13.2.2 Training requirements may be met by:
 - Completing a DAU course
 - Completing a DAU approved equivalent course
 - Fulfilling a DAU course

13.3 Process

- DON employees request and receive approval for DAU training via eDACM. Since training priorities are based on information contained in eDACM, employees should ensure information contained in the user profile is accurate. The eDACM Support Team can assist with correcting profiles to reflect the information in the individual's official personnel record.
- 13.3.2 Individuals pending deployment to theaters of operation may require certain DAU courses. When applying for required courses, the individual must identify the position to which deployed (including location and timeframe) and request their command or organization training manager advise the DON Registrar.
- DON has determined that AWF members certified at DAWIA level II or III in any career field, other than Auditing, Contracting, Facilities Engineering,

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Purchasing, and Industrial/Property Management are assumed to have achieved learning objectives for ACQ 101, ACQ 201A, and ACQ 201B. The requirement for these courses will be waived for these AWF members.

13.4 DON DAU Training Priorities

- Priority 1. Civilian and Military AWF members who need the training to meet position certification requirements.
 - AWF members participating in developmental programs that require Level II certification.
 - Active Duty Unrestricted Line Officers (URLs) that are Commander-Command Screened; Engineering Duty Officers, Aerospace Engineering Duty Officers, and Aeronautical Maintenance Duty Officers pursuing PM, PQM, SPRDE, and T&E courses at a level appropriate to their rank; and Navy Military Acquisition Corps Eligibles.
 - Direct Hire Foreign Nationals in Contracting and Purchasing positions.
- Priority 2. AWF members requesting courses required for career field certification at a level higher than their position requires.
 - Active duty Supply Corps and CEC officers not in acquisition-coded positions pursuing Contracting, Logistics, and Business-Financial Management DAU courses at a level appropriate to their rank.
- 13.4.3 Priority 3. AWF members requesting courses required for subsidiary career field certifications and AWF members requesting Core Plus courses.
- 13.4.4 Priority 4. All other DON employees.

13.5 Priority Registration

- Applications from Priority 1 students as identified in section 13.4.1 above will be accepted without regard to prerequisite completion for those classes required to fulfill their current certification level requirement. Reservations will be given for all such requested classes; however, students and their supervisors are responsible for ensuring that all course prerequisites and applicable pre-coursework is completed prior to course attendance or as specified by DAU in specific course requirements.
- 13.5.2 Students with a Priority 1 requirement who have not been able to obtain a reservation may be registered for a course in lieu of a student holding a lower priority seat up to 15 working days prior to the class start date. The student with the lowest priority and the latest registration date will be "bumped" ("last in, first out" concept). "Bumped" students will be notified and must reapply for the next available course offering after confirmation of their availability.

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13.6 Funding For DAU Courses

- 13.6.1 DON employees are not required to pay tuition for DAU training.
- 13.6.2 Travel and per diem funding for eligible Priority 1 and 2 students, and for AWF members attending PMT 401 and PMT 402 may be obtained via eDACM.
- 13.6.3 Student's command funds Local Excess (Mileage) travel to a DAU campus as applicable. Local excess mileage is when the mileage is in excess of what is authorized for the command's local commuting area. Details are provided in eDACM, Manage Career/Travel.

13.7 DAU Course Completions

- 13.7.1 The DAU training history maintained in eDACM includes the completion of a DAU course, equivalent course, or fulfillment.
- DAU course completions are automatically recorded in eDACM and DON DAWIA Transcripts within 10 days of course completion.

13.8 DAU Equivalent Courses

- 13.8.1 DAU officially recognizes a variety of training from other providers as equivalent to specific DAU courses. A list of approved equivalent courses is contained in the <u>DAU iCatalog</u> and is updated as required.
- 13.8.2 After completing an equivalent course AWF members may submit proof of completion to the <u>eDACM Support Team</u>. eDACM Support Specialists validate equivalencies and add them to DAWIA transcripts.
- 13.8.3 Supporting documentation, such as course completion certificate or college transcript, must include the student's name, course dates, course name and the name of the provider.

13.9 DAU Course Fulfillment

- 13.9.1 Course fulfillment provides a means for AWF members to receive credit for DAU courses for which they demonstrate competency through an assessment of their previous work experience, education, training, or any combination thereof.
 - Fulfillment is only available to persons serving in acquisition positions.
 - Fulfillment guides and self-assessment forms for 100-300 level courses are found on the DAU website at DAU Fulfillment Program.
 - There is no fulfillment option for DAU CL modules. They must be completed as required.

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13.10 Fulfillment Process

- 13.10.1 Echelon I and II commands must establish a process to oversee the approval of fulfillments.
 - Applicants must complete <u>Form DD 2518</u> Fulfillment of Mandatory DoD Training Requirement and provide justification.
 - Applicants must meet the learning objectives identified on the selfassessment forms for the course in which fulfillment is requested.

13.10.2 Justification guidance:

- For Command-sponsored events that have been predetermined by competent authorities to fulfill a DAU course requirement, the course completion certificate (or other official command document) provides sufficient justification. The documentation must include the student's name, course dates, and a statement that the event(s) fulfills the DAU course(s) including DAU course number and title.
- For all others, attach the completed self-assessment form for the course being fulfilled and a description of how the learning objectives were achieved through experience, education, alternative training, or any combination of the three. Justification may be addressed in a checklist format or attached as a separate document. Justification must include the following:
 - o How, where and when the learning objectives were met. For example, if obtained through experience, identify the assignment, dates in the assignment, and the specific duties involved. If through education, identify the course(s) and the competencies obtained in those courses that relate to the learning objectives.
 - Documentation that supports the justification, e.g., college transcripts, training certificates, fitness reports or performance appraisals that address competencies and/or acquisition duties, etc.

13.11 Fulfillment Approval

- 13.11.1 The applicant forwards the fulfillment request to his or her first level supervisor.
- 13.11.2 The first level supervisor is authorized to approve fulfillment requests for DAU 100 level course fulfillments and Command-sponsored events predetermined to fulfill a DAU course requirement.
- 13.11.3 DAU 200 and 300 level courses:
 - First-level supervisor recommends approval/disapproval and forwards the request to the appropriate approving authority.

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- DAU 200 level course fulfillments must be approved by an individual at least equal in rank to the applicant and Level III certified in the career field in which fulfillment is requested.
- DAU 300 level course fulfillments must be approved by the designated authority as delegated by the Echelon I or II Commander. Personnel from Echelon III and IV activities must submit the fulfillment request to the authority designated by the Echelon II Commander. Approving officials must be at least an O-6 or GS-15 (or equivalent) and Level III certified in the career field in which fulfillment is requested.
- In situations where no one in the command is qualified to approve 200 or 300 level course fulfillments, forward the fulfillment package to the DACM desk.

13.12 Expedited Fulfillments

- As circumstances warrant, the DACM may authorize an expedited fulfillment process for AWF members to meet multiple DAU courses that were not previously needed for certification to the level required by their positions.
- 13.12.2 The expedited process allows AWF members to submit a single package, i.e., one DD Form 2518, to request fulfillment of multiple courses. A course fulfillment justification for each applicable course is required. Once the expedited process is authorized, DACM will notify commands and provide detailed guidance for submitting, obtaining approval and processing application packages.

13.13 Retention of Approved Fulfillments

- 13.13.1 The eDACM Support Team adds approved fulfillments to AWF members' DAWIA transcripts. Requests should be routed through the command DAWIA Program Director to the eDACM Support Team.
- 13.13.2 Applicants and requesting organizations are advised to maintain copies of approved fulfillment packages.

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Chapter 14 Experience

14.1 Overview

All acquisition career fields require general and/or specific acquisition experience at each certification level. Membership in the Defense Acquisition Corps requires general acquisition experience. Experience gained while serving in acquisition positions counts toward achievement of certification and statutory experience requirements. Experience requirements may also be met through comparable experience gained while performing acquisition functions in non-acquisition positions, another Government agency or private industry.

14.2 Requirements

Personnel responsible for evaluating acquisition experience should take the following into consideration. If a requirement is stated as "general acquisition experience," then any acquisition-related experience meets the requirement. If a requirement states that a certain number of years in a specific career field are required (e.g, two years of contracting experience for certification at Level II), the individual must meet requirements exactly as stated in the DoD Certification and Core Development Guides; published annually in the Defense Acquisition University (DAU) iCatalog.

Experience may be assessed using the following criteria:

- 14.2.1 Acquisition Positions. Acquisition experience in a specific career field is credited for assignments in acquisition positions.
- 14.2.2 Non-Acquisition Positions
 - Acquisition experience in a specific career field may be credited for assignments in non-acquisition positions if acquisition duties were performed for a substantial amount of time and match one of the <u>Position Category Descriptions (PCDs)</u> found on the Defense Acquisition University website. A maximum of 50% of the time spent in the position may be credited.
 - Note: If time spent performing acquisition duties in a non-acquisition position constitutes greater than 50% of the total assignment the command should consider officially coding the position/billet for acquisition in the appropriate manpower/personnel system.
- 14.2.3 Non-DoD Federal Agencies and Private Industry. Acquisition experience credit may be given for assignments in federal agencies and private industry if 50% or more of the acquisition functions performed match one of the Position Category Descriptions (PCDs) on the DAU website. If the assignment was less than 50% acquisition-related, it does not count towards acquisition experience.

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14.3 Additional Military Guidance

- 14.3.1 Military workforce members assigned to acquisition billets and who are augmented may receive applicable acquisition experience credit while on the Individual Augmentation (IA).
- 14.3.2 For Unrestricted Line Officers (URLs) only: Command tours at O-5 or above (Commanding Officer time only) are credited a maximum of 18 months of related acquisition experience.
- 14.3.3 For Navy Officers, an experience assessment must be obtained from PERS-447 before crediting toward career field certification or Defense Acquisition Corps requirements. Supporting documentation provided must be from official personnel records (e.g., Fitness Reports and Award Justifications).
- 14.3.4 For Marine Corps Officers, experience assessments are conducted by the Commanding General, or his/her agent at MARCORSYSCOM.

14.4 Crediting Acquisition-Related Education toward Experience

- 14.4.1 Acquisition-related education is defined as a graduate level degree in a technical, hard science, business field (Engineering, MBA, etc.), or acquisition related education (e.g., ICAF [Acquisition Track], Nuclear Power training, Test Pilot School, Naval Post Graduate School).
- 14.4.2 Acquisition-related education can only be credited towards a maximum of one year of acquisition experience provided the following:
 - An individual is obtaining certification or meeting position requirement with experience requirement greater than or equal to 4 years

OR

- An individual must meet Acquisition Corps experience requirements for current position or MOS 8059
- 14.4.3 Acquisition-related education may only be credited for experience toward primary career field certification. Once credited for experience toward primary career field certification, education may not be substituted for experience requirements in subsidiary career field certifications.

14.5 Approving Authorities

- 14.5.1 For Navy Active Duty Officers through the O-6 grade, PERS-447 is approving authority for experience assessments.
- 14.5.2 Echelon I and II commands review and assess experience claimed toward meeting Career Field Certifications and Acquisition Corps membership requirements. For Level II and III certifications, the experience assessment must be conducted by an individual or group of individuals who are assigned to positions of authority and Level III certified in the career field in which certification is requested, with the following exceptions:

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- Purchasing experience can be assessed by an individual certified at Level III in Contracting
- Industrial Contract Property Management experience can be assessed by an individual certified at Level III in Contracting.
- Echelon III and IV commands that do not have Level III certified approving authorities to assess experience may request an exception to this requirement by emailing the <u>DACM Desk</u>.

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Chapter 15 Continuous Learning

15.1 Overview

The objective of the Acquisition Workforce (AWF) Continuous Learning Program is to ensure that AWF members remain current in their primary acquisition career field, cross-functional proficiencies, acquisition policy initiatives and leadership and management skills. A robust Continuous Learning Program promotes awareness, understanding and adaptability to change. Workforce members are enjoined to coordinate with managers and supervisors to create a professional career development plan that will keep them informed of key initiatives in a rapidly-changing environment. The Certification and Core Plus Development Guides, located on the main Training page of the DAU website, provides a framework for continuous learning coursework.

15.2 Position Requirements

AWF members must participate in at least 80 hours of continuous learning activities every 2 years with a goal of participating in at least 40 hours annually.

15.3 Continuous Learning Cycle (Timeframe)

- 15.3.1 The start date of the AWF member's first two-year period is the date the employee is assigned to an acquisition position. If the position is designated after the individual is assigned, the start date is the date the position is designated.
- 15.3.2 The Continuous Learning (CL) Cycle resets at the end of the first two-year period. Points earned above and beyond the 80 point requirements do not carry over to the next two-year period.

15.4 Assignment of Continuous Learning Points

- 15.4.1 CL points associated with DAU and DAU CL Courses are established by DAU and are automatically credited to the workforce member's transcript upon course completion.
- 15.4.2 CL points that have been approved for Continuous Education Units (CEUs) should be awarded at a rate of ten (10) CL points for every (1) CEU.
- 15.4.3 CL points associated with DACM-sponsored CL events have been determined and are automatically credited to the workforce member's DAWIA transcript upon course completion (including completion of survey, if required).
- 15.4.4 CL points associated with AWTAP funded courses have been determined and are automatically credited to the workforce member's DAWIA transcript upon successful course completion and grade approval in accordance with AWTAP Policy.
- Other activities for which AWF members may receive credit are outlined in Table 15-1, along with the associated point value. CL points for these

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activities must be requested using the DON Continuous Learning site, which is accessible via <u>eDACM</u>, and should be recorded in whole points only; half points should be rounded up.

- 15.4.6 AWF members assigned to theaters of operation are considered to be on rotation assignments and may request up to 40 CL points upon their return.
- 15.4.7 Requests for CL points from AWF members occupying Level I and II positions require Supervisory review and approval.
- 15.4.8 Non-acquisition related or annual employee training requirements such as Information Assurance Awareness, Prevention of Sexual Harassment (POSH), Retirement Planning Seminars/Workshops, safety drills, etc., are not applicable to the AWF Continuous Learning Program.

applicable to the 71441 Continuous Learning 1 Togram.							
Creditable Activity	Point Value						
Training							
DAU Courses/Modules	10 per CEU (see <u>DAU icatalog</u>)						
Continuous Learning Modules — testing or assessment associated	1 point per hour of instruction						
Other Acquisition Career Field/Functional Training	1 point per hour of instruction						
Leadership Training	1 point per hour of instruction						
DANTE Equivalency Exams	Same points as awarded for the corresponding						
Academic Credits related to Acquisition Caree	r Fields						
Quarter Hour	10 per Quarter Hour						
Semester Hour	15 per Semester Hour						
Continuing Education Unit (CEU)	10 per CEU						
Acquisition Experience - Max points cover 2-ye	ear cycle						
On-the-Job Experiential Assignments	20 point maximum						
Rotational Assignments	40 point maximum						
Training With Industry	40 point maximum						
IPT/Special Project Leader	15 point maximum						
IPT/Special Project Member	10 point maximum						
Mentor	5 point maximum						
Professional Activities related to Primary Career Field - Max points cover 2-year cycle							
Teaching/Lecturing	20 point maximum						
Symposia/Conference Presentations	20 point maximum						
Writing for Publications	20 point maximum						

Table 15-1 CL Point Value

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Chapter 16 Selection Process for Program Oversight and Major Acquisition Command Ashore Positions (under review)

16.1 Overview

This chapter provides guidance on assignment of personnel to Program Oversight and Acquisition Command Ashore positions.

16.2 Program Oversight and Major Acquisition Command Ashore Positions

The following positions require ASN(RDA) Program Oversight or are considered Major Acquisition Command Positions. Acquisition qualification requirements are in Table 16-1.

- Program Executive Officers (PEOs), Deputy PEOs (DPEOs) and Executive Director PEOs (ED PEOs)
- Direct Reporting Program Managers (DRPMs) and Deputy Direct Reporting Program Managers (DDRPMs)
- Program Managers (PMs) and Deputy Program Managers (DPMs) of Major Defense Acquisition Programs (ACAT I)
- PMs and DPMs of Major Acquisition Information System (MAIS)
 Programs (ACAT IA)
- PMs and DPMs of Significant Non-Major Defense Acquisition Programs (ACAT II)
- Navy Major (non-ACAT I/II) Program Manager equivalents approved to wear the Command Ashore/Major Program Manager insignia (approved in accordance with OPNAVINST 1211.8C)
- Commanding Officers/Commanders of Major Acquisition Shore activities performing significant acquisition missions approved to wear the Command Ashore/Major Program Manager insignia (approved in accordance with OPNAVINST 1211.8C)

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Position	AC Member	ACQ Experience	Program Office Experience	AQD Cert	Tenure Required
PEO/DRPM	Yes	10 yrs/ 4 in a CAP	Serve as PM/DPM	III	3 yrs (PEO) 4 yrs (DRPM)
DPEO/ED PEO/DDRPM	Yes	8 years	2 years	ш	3 yrs 4 yrs (DDRPM)
ACAT I PM ACAT I DPM	Yes Yes	8 years 6 years	2 years 2 years	III	4 yrs 4 yrs
ACAT II PM ACAT II DPM	Yes Yes	6 years 4 years	2 years N/A	III	3 yrs 3 yrs
Navy Major (non-ACAT I/II) PM Equivalents	Yes	4 years	N/A	III	3 yrs
CO/CDR of Major Acquisition Shore Commands	Yes	4 years	N/A	III	3 yrs

- PEO/DRPM, ACAT I PM/DPM, and ACAT II PM/DPM: PMT 401/402 completion required within 6 months of tenure start date.
- PEO/DRPM and ACAT ID PM: ASN(RDA) consultation with Defense Acquisition Executive required prior to assignment.
- AC Membership: Baccalaureate Degree; 24 semester hrs in business or 24 semester hrs in the person's acquisition career field and 12 semester hrs in business; Level II Certification; and 4 yrs acquisition experience.

Table 16-1 Acquisition Qualification Requirements

16.3 Approving Authorities

- 16.3.1 The ASN(RDA) approves selections for:
 - PEO, DRPM, ACAT I/II PM positions. ASN(RDA) must consult with USD(AT&L) on PEO, DRPM and ACAT ID PM selections.
 - All other positions identified in Section 16.2 when a waiver is involved.
- 16.3.2 The Commanders for each of the Systems Commands (SYSCOMs) have the authority to conduct the slating panels.

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- 16.3.3 SYSCOM Commanders approve selections for:
 - DPMs of ACAT I/IA and ACAT II Programs.
 - Navy Major (non-ACAT I/II) Program Manager equivalents approved to wear the Command Ashore/Major Program Manager insignia (approved in accordance with <u>OPNAVINST 1211.8C</u>), unless a waiver is required, in which case ASN (RDA) will approve the selection.
 - Commanding Officers/Commanders of Major Acquisition Shore activities
 performing significant acquisition missions approved to wear the
 Command Ashore/Major Program Manager insignia (approved in
 accordance with <u>OPNAVINST 1211.8C</u>) unless a waiver is required.
- 16.3.4 PEOs approve selections for DPEOs.
- 16.3.5 DRPMs approve selections for DDRPMs.
- 16.3.6 Table 16-2 provides a summary of the slating process.

16.4 ACAT I & II MPM Slating Timelines

- MPM and Significant Non-major (ACAT II) positions should be slated 6-12 months prior to position availability in order to allow lead time for selection approval and for the selected individual to obtain necessary acquisition training.
- 16.4.2 Systems Commands, in conjunction with affiliated PEOs and DRPMs, provide a forecast of anticipated vacancies for the upcoming 12 months to the DACM on October 1st each year.
- 16.4.3 For ACAT I/II PMs (including *Special Interest Programs*), rotation occurs upon completion of the tenure requirement unless previously approved by ASN(RDA).

16.5 Slating Panel General Guidance

Guidance under review and will be incorporated at a later date. While under review, Appendices E through G are recommended for Slating Panel use.

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POSITION MANAGEMENT	PEO	DPEO/ED PEO	DRPM	DDRPM	ACAT I PM	ACAT I DPM	ACAT II PM	ACAT II DPM	Navy Major PMs & Maj. Acquisition Shore Command COs/CDRs
ASN(RDA) manages selection process and selection.	X		X						
ASN(RDA)/USD(AT&L) consultation required for selection.	X		X		X (ACAT ID Only)				
ASN(RDA) approves the selection. Nomination package sent to ASN(RDA) via the DACM.					X		X		
ASN(RDA) approves the selection when a waiver is required (nomination package w/waiver sent via the DACM).		X		X		X		X	X
PEO/DRPM/Systems Commander approves the selection when selectee meets all DAWIA requirements.		X		X		X		X	X
Slating Panel required. Members must be Flag, General Officer, and SES.					X	X	X	X	X
Military/civilian candidates in slate.					X	X	X	X	
Slating procedures determined by the Systems Commander/ PEO/ DRPM.		X		X		X		X	X
Position Tenure: milestone closest to 4 yrs or as tailored and approved by ASN(RDA).			X	X	X	X			
Position Tenure: 3 yrs	X	X					X	X	X

Table 16 - 2 Slating and Selection Process Summary

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16.6 Selection Process for PEOs and DRPMs

- 16.6.1. ASN(RDA) will manage nomination and selection procedures on a case-by-case basis.
- 16.6.2. Flag and General Officer selections and assignments will be made in coordination with the Chief of Naval Operations/Vice Chief of Naval Operations and the Commandant of the Marine Corps, respectively.

16.7 Selection Process for ACAT I/II PMs

- 16.7.1. The Commanders for each of the Systems Commands retain the authority to conduct Major Program Manager (MPM) slating panels.
- 16.7.2. At a minimum, Slating panel membership should include Flag/General Officers, Senior Executive Service (SES) members, PEO representatives, ASNRDA/DASN Representative, and the Navy DACM. Additional members may include Fleet representatives, OPNAV representatives, or acquisition command representatives as required.
- 16.7.3. All qualified personnel within the DON may compete for reassignment.

16.8 Selection Process for Other Positions

- 16.8.1. The selection process for DPEOs, EDPEOs, DDRPMs, ACAT I/II DPMs, Navy Major (non-ACAT I/II) PMs, and Commanding Officers/Commanders of Major Acquisition Shore activities as identified in Section 16.2 above is as follows:
 - The SYSCOM Commanders, in conjunction with affiliated PEOs and DRPMs, as appropriate, will develop procedures for filling vacancies.
 - The selecting official may approve the selection when the selectee meets all position requirements, including statutory requirements for assignment.
- ASN(RDA) selection and waiver approval is required when all position requirements are not met. The selecting official will forward the nomination package identifying the recommended candidate to the DACM for ASN(RDA) approval. The package should include Billet/Program information, the candidate's resume and waiver (with justification).

16.9 Slating Panel Selection Package

Guidance under review and will be incorporated at a later date.

16.10 Acquisition Experience Assessment Guidance

Guidance under review and will be incorporated at a later date.

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Chapter 17 Selection Process for Acquisition Positions

17.1 Overview

This chapter provides guidance on recruitment and assignment of personnel to acquisition designated positions.

17.2 Civilian Recruiting and Selection Procedures

- 17.2.1 The area of consideration is in accordance with local civilian personnel procedures.
- 17.2.2 The vacancy announcement must state that the position is a DAWIA acquisition position, identify the acquisition Career Field, Certification Level, any Special Statutory requirements (e.g., for occ. series 1102), Acquisition Corps (AC)membership, and Tenure requirements for Critical Acquisition Positions (CAPs) and Key Leadership Positions (KLPs).
- 17.2.3 Acquisition position requirements associated with the specific position must be considered in the selection process. Because acquisition position requirements may be waived, they do not have to be used as screen-out factors.
- 17.2.4 AC membership for CAPs and KLPs must be met or waived upon assignment.
- 17.2.5 Special Statutory Requirements must be met or waived upon assignment with the following exception: AC members selected for positions where PMT 401 and PMT 402 are required have a 6 month grace period from the tenure start date to complete the training.
- 17.2.6 Certification to the level required by the position must be met upon assignment to a KLP. For all other positions, certification must be achieved within 24 months of assignment.
- 17.2.7 Comparable training and experience from other agencies or private sector entities and comparable certifications from non-DoD sources may be credited towards meeting position requirements as authorized by DoD. For detailed information refer to Chapter 14, Experience.
- 17.2.8 Applicants who meet the education, experience, and training requirements for certification and/or AC membership but have not yet achieved certification or AC membership should be evaluated as if they were certified and/or AC members.

17.3 Civilian Temporary Assignments to Acquisition Designated Positions

17.3.1. Temporary Promotions: Individuals must meet Acquisition Workforce Program requirements as if they were being permanently assigned.

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17.3.2. Details: Individuals do not have to meet Acquisition Workforce Program requirements, but are encouraged to take assignment-related acquisition training if detail is for 6 months or more.

17.4 Military Assignments

- 17.4.1 Assignment officers/detailers should strive to fill acquisition positions with qualified AWF members who meet the requirements of the position.
- 17.4.2 To be assigned to an acquisition position, Special Statutory Requirements must be met during the assignment process with the following exception: AC members have a six-month grace period from the tenure start date to complete PMT401 and PMT402. Additionally, for CAPs and KLPs, the requirement for Acquisition Corps membership must be met and a tenure agreement must be executed during the assignment process.

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Chapter 18 Individual Development Plan and Performance Appraisals

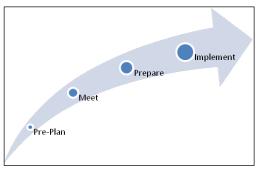
18.1 Overview

The Individual Development Plan (IDP) is an administrative mechanism for identifying and tracking development needs and goals. It is used to encourage employees to take responsibility and accountability for their career development and ensure they acquire the skills needed to stay current in their career field. The IDP should, as a minimum, include education, experience and training required to meet the certification level of the position. Additionally, it provides an opportunity for supervisors and employees to discuss their plan for career development and progression. All Acquisition Workforce (AWF) members should prepare and maintain an approved IDP in coordination with their supervisors.

18.2 Requirements

- 18.2.1 IDPs should identify the following key elements:
 - Short Term and Long Term Goals
 - Estimated timeframe for completion
 - Actual Time frame for completion
 - Development Objectives
 - Training and Development Opportunities
 - Supervisor and Employee Signatures

18.3 Process



The IDP should outline the specific path to achieve education requirements, certification requirements, and desired professional competencies required of the individuals' developmental and targeted positions. It should identify appropriate education, training, and experiential assignments that must be completed at realistic milestones such that progress can be tracked and measured. The recommended steps to develop an IDP are provided below.

- 18.3.1 Pre-plan the employee should develop their IDP and identify goals for successful career development.
- 18.3.2 Meet the employee should schedule a meeting with their supervisor to discuss their goals for career development.
- 18.3.3 Prepare the employee should prepare their IDP and obtain supervisor approval to implement.
- 18.3.4 Implement the employee should begin pursuing the goals identified.

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18.3.5 Evaluate – the employee should meet with their supervisor at regular intervals to discuss progress towards their goals and refine their IDP as necessary.

18.4 Performance Appraisals – Management for Acquisition Workforce Excellence

In accordance with USC 10, Chapter 87, Section 1701(a), management should advise AWF members on how to develop performance plans that reflect an understanding of how their performance contributes to the Department's mission and success of the defense acquisition system. Performance plans and appraisals for both AWF members and their supervisors should include DAWIA program goals and requirements (such as certification and continuous learning) in the performance appraisal process, and through subsequent evaluations, address any shortfalls in meeting program requirements.

- Organizations must establish a process that provides an opportunity for review and inclusion of comments on an AWF member's appraisal by a person serving in an acquisition position in the same acquisition career field (USC 10, Chapter 87, 1722).
- In accordance with DoD Instruction 5000.66, 5.3.12, the first level of contracting officers' evaluations must be performed within the contracting career chain, with the following exception: the performance evaluation of the senior official in charge of contracting for the organization.

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Chapter 19 Naval Acquisition Development Programs

19.1 Overview

The Director, Acquisition Career Management (DACM) exercises oversight responsibility for the Naval Acquisition Development Program (NADP) which consists of the Naval Acquisition Intern Program (NAIP) and the Naval Acquisition Associates Program (NAAP). Both programs are designed to provide the DON Acquisition Enterprise with highly skilled professionals who have the tools to deliver critical products and services to the warfighter on time and within budget. Fourteen Navy/Marine Corps commands participate in these highly sought after programs which are recognized as models for other DoD and Federal agencies. The following paragraphs and the NADP Operating Guide provide additional information and guidance.

19.2 Naval Acquisition Intern Program (NAIP)

The NAIP is a highly competitive 3-year developmental program that attracts outstanding college graduates from a variety of academic disciplines and career paths. It is comprised of the men and women who have a clear interest in, and commitment to, excellence in the leadership and management of public policies and programs that support the warfighter. The Program provides participants an opportunity for career broadening assignments and rapid advancement and is the foundation for building the Department's acquisition leadership of the future.

- 19.2.1 Eligibility. Interested candidates qualify based on the following criteria:
 - Meets the applicant qualification requirements as outlined in the NADP Operating Guide
 - Meets the citizenship, clearance, and other requirements
 - Have a bachelor's degree, which meets the requirements of the desired career field, from an accredited institution, documented on an official transcript
- 19.2.2 Program Length. The program length can be two, two and half, or three years in length depending upon the individual's career field and their credentials at the time of hire.
- 19.2.3 Program Authority. The NAIP was established on October 1, 1992 by the Defense Acquisition Workforce Improvement Act (DAWIA), USC 10, Chapter 87, Sections 1701-1764.

19.3 Naval Acquisition Associates Program (NAAP)

The NAAP is a structured management training program designed to recruit and develop midcareer professionals with significant experience in the public or private sector to meet mid-level DON Acquisition Workforce requirements.

19.3.1 Eligibility. Interested candidates qualify based on the following criteria:

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- Candidate must not have been a DoD employee serving in a position in the Acquisition Workforce as of January 28, 2008.
- Meets the applicant qualification requirements as outlined in the NADP Operating Guide
- Meets the citizenship, clearance, and other requirements
- Have a bachelor's degree, which meets the desired career field requirements, from an accredited institution, documented on an official transcript
- 19.3.2 Program Length. The NAAP is a two-year career development program.
- 19.3.3 Program Authority. The NAAP was established within Section 852 of the National Defense Authorization Act (NDAA) of 2008, Public Law No. 110-181 and amended by the NDAA of 2010, Public Law No: 111-84. This legislation created the Defense Acquisition Workforce Development Fund (DAWDF).

19.4 Wounded Warrior (NADP-WW)

The Naval Acquisition Development Program Wounded Warrior (NADP-WW) supports command outreach to Wounded Warrior post medical treatment by providing an expanded avenue for employment opportunities in the Naval Acquisition Intern Program (NAIP). NADP-WW is currently available to any Wounded Warrior that meets Office of Personnel Management (OPM) standards for the prospective job series at the GS -5 and GS -7 level.

- 19.4.1 Eligibility. Interested candidates qualify based on the following criteria:
 - Honorably discharged disabled veterans who have a compensable serviceconnected disability of 30 percent or more and the disability resulted from injury or disease received in the line of duty as a direct result of armed conflict or war.
 - Those with three (3) years of general progressively responsible experience, (one) 1 year of which was equivalent to at least GS-4, or completion of a 4-year course of study leading to a bachelor's degree (if required).
 - Demonstrate the ability to analyze problems to identify significant factors, gather pertinent data, and recognize solutions; plan and organize work.
 - Effective communication, both orally and in writing.
 - All disabilities must be documented by a notice of retirement or discharge due to service-connected disability from military service dated at anytime, or by a notice of compensable disability rating from the Department of Veteran Affairs, dated 1991 or later.

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- 19.4.2 Program Length. This program expands the current program length to a maximum of 4 years for the GS-5 candidate entering without a degree or required qualifications for the typical NAIP three year program. Upon successful completion of this program, the candidate will be placed at the GS-12 level.
- 19.4.3 Program Authority. NADP-WW is established within Section 852 of the National Defense Authorization Act (NDAA) of 2008, Public Law No. 110-181. This legislation created the Defense Acquisition Workforce Development Fund (DAWDF).

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Chapter 20 Contracting Professional Development Program

20.1 Overview

The purpose of the DON Contracting Professional Development Program (CPDP) is to recruit individuals with great potential and provide them with a path to meet requirements for assignment to positions in the 1102 occupational series. This program, managed locally by the Command on behalf of the DACM, is a probationary program that equips Commands with a tool to recruit highly skilled individuals who do not meet the 1102 statutory education requirements and provide them with the opportunity to meet the education requirements as well as certification requirements during the length of the program. The DON CPDP Operating Guide is available on-line and provides details on how candidates must be recruited, hired, and developed.

20.1.1 Eligibility

- Must meet the qualification requirements of the developmental position
- Possess the background and experience that exhibit high potential for successful completion of the program
- Meet one of the requirements described below:
 - Have a bachelor's degree, with a grade point average of at least 3.0 on a 4.0 scale, from an accredited institution of higher education; OR
 - Have successfully completed 24 semester hours from an accredited institution of higher education in any combination of the following disciplines: accounting, business, finance, law, contracts, purchasing, economics, industrial management, marketing, quantitative methods, or organization and management.
- 20.1.2 Program Length. The program is a probationary program of up to 36 months.

20.2 Annual Reporting Requirement

At the end of each fiscal year, commands must provide the following information to the DACM:

- 20.2.1. Total number of CPDP participants.
- 20.2.2. During the reporting period:
 - Number of participants who entered the program.
 - Number of participants who successfully completed the program and were assigned to their target 1102 positions.
 - Number of participants who left or were otherwise removed from the program. Explain circumstances.
 - Confirmation that the program is beneficial and still required.
 - Comments/Issues.

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Chapter 21 Acquisition Position Designation

21.1 Overview

The designation and coding of acquisition positions, summarized in Table 21-1, Position Designation Quick Overview below, form the framework for all aspects of the DON DAWIA Program. Acquisition positions must be properly identified and coded in appropriate official data systems so that employees assigned to them can become certified, be selected for Acquisition Corps membership, receive priority for training, apply for tuition assistance, participate in Continuous Learning and take part in other aspects of the program.

21.2 Process

	QUICK OVERVIEW
1.	Identify positions that have Special Statutory Requirements
2.	Identify Key Leadership Positions
3.	Identify Critical Acquisition Positions
4.	Identify positions that must be designated
5.	For all other positions, identify those that meet the definition of acquisition and the 50% rule
6.	Determine the Position Category (Career Field)
7.	Determine the Certification Level
8.	Code the Acquisition Workforce Position in official personnel/manpower system
9.	Review and validate the Acquisition Workforce Position annually and when changes occur

Table 21-1 Position Designation Quick Overview

Step 1 - Identify positions that have Special Statutory Requirements (i.e., requirements specifically identified in DAWIA statute). They are:

- Flag, General Officers, and SES
- Program Executive Officer (PEO)
- PM for MDAPs (ACAT I/IA)
- DPM for MDAPs (ACAT I/IA)
- PM for Significant Non-major Programs (ACAT II)
- DPM for Significant Non-major Programs (ACAT II)

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- Senior Contracting Officials (SCOs are the Heads of Contracting Activities (HCAs), including the Naval Field Contracting System, as defined by the <u>Defense</u> <u>FAR Supplement (DFARS)</u>, <u>Subpart 202.1</u>)
- Civilian 1102 (and equivalent military positions)
- Contracting Officers that Award or Administer Contracts above the Simplified Acquisition Threshold

Step 2 - Determine if the Acquisition Position is a Key Leadership Position (KLP)

Key Leadership Positions (KLPs) are senior acquisition positions that require special ASN(RDA) and USD(AT&L) attention with regard to qualifications, accountability, and tenure. They are expected to be filled by military personnel at the O-6 level or civilian equivalents and above. Individuals assigned to KLPs have a significant level of responsibility and are key to the success of a program or effort. For more detailed information refer to Chapter 5, Key Leadership Positions.

Step 3 – Determine if the Position is a Critical Acquisition Position (CAP)

Critical Acquisition Positions (CAPs) are senior acquisition positions (civilian and active component military) that have significant responsibility, primarily involving supervisory or management duties in the acquisition system. These positions require Defense Acquisition Corps membership, Level III certification and a tenure obligation.

Within DON, CAPS are limited to the following positions:

Per statute, all military acquisition positions that must be filled by officers at the O-5 grade level and above that are not Key Leadership Positions (KLPs) (USC, 10, Chapter 87, Section 1733). For more detailed information refer to Chapter 6, Critical Acquisition Positions.

Step 4 – Identify other positions that <u>must</u> be designated

- Civilian occupational series 1102, 1103 and 1105 positions
- All positions in the Naval Acquisition Development Program and Navy military Integrated Logistics Support (ILS) and Naval Contracting Officer (NACO) Interns
- All positions in the DON Contracting Professional Development Program
- All positions that require the incumbent to hold a warrant above the Simplified Acquisition Threshold

Step 5 - For all other positions—identify those that meet the definition of Acquisition and the 50% rule

At least 50% of the duties and responsibilities must fall within the definition of acquisition: Acquisition is the "conceptualization, initiation, design, development, test, contracting, production, deployment, logistics support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions."

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Step 6 - Determine the Position Category (Career Field)

Once a position has been determined to be an acquisition position, it must be assigned to a Position Category (also known as a career field). This is done by associating the duties of the position to the Position Category Description (PCD) that best describes the preponderance of those duties. PCDs are found on the <u>Defense Acquisition University</u> website. Each PCD addresses the duties, locations, and civilian occupational series, Navy Additional Qualification Designators (AQD) and Marine Corps Military Occupational Specialties (MOS) typically associated with the Position Category.

The following table provides Position Categories from which to select. The PCDs, along with the DON special criteria shown below, should be used to determine the appropriate category for the position.

POSITION CATEGORY	CAREER FIELD CODE	SPECIAL CRITERIA
BUSINESS- FINANCIAL MANAGEMENT	K	N/A
BUSINESS-COST ESTIMATING	P	• This Position Category has a degree requirement for certification. In order to designate a position in this Category, the occupational series of the position must require the degree identified for the Position Category.
CONTRACTING	С	 All civilian positions in the 1102 occupational series and comparable military must be designated in Contracting. All positions in the DON Contracting Professional Development Program must be designated in Contracting. This Position Category has a degree requirement for certification. In order to designate a position in this Category, the occupational series of the position must require the degree identified for the Position Category.
FACILITIES ENGINEERING	F	N/A
INDUSTRIAL/ CONTRACT PROPERTY MANAGEMENT	D	All civilian positions in the 1103 occupational series and comparable military must be designated in Industrial/Contract Property Management.
INFORMATION TECHNOLOGY	R	N/A
LIFE CYCLE LOGISTICS	L	N/A
PRODUCTION, QUALITY AND MANUFACTURING	Н	Code "H" is used in Civilian personnel systems. Code "G" is used in Navy military personnel systems.

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POSITION CATEGORY	CAREER FIELD CODE	SPECIAL CRITERIA
PROGRAM MANAGEMENT	A	 The following acquisition positions must be designated in Program Management: Program Executive Officer (PEO) Deputy PEO (DPEO) Executive Director PEO (ED PEO) Direct Reporting Program Manager (DRPM) Deputy DRPM ACAT I-IV Program Managers (PM) ACAT I-IV Deputy Program Managers (DPM) International Acquisition Career Path: The International Acquisition (IA) career path is within the Program Management Position Category. IA is not a Position Category and there are no IA certification requirements—persons cannot be certified in IA. IA may be considered for positions coded as PM Level II or III. The IA Unique Position Training Standards (UPTS) and any prerequisites identified in the DAU iCatalog are mandatory and must be met for individuals assigned to PM-IA positions. If assigned to a PM-IA position, both the PM certification requirements and the IA training requirements must be met.
PURCHASING	Е	 All civilian positions in the 1105 occupational series and comparable military must be designated in Purchasing This Position Category should not be used for officer billets.
SYSTEMS PLANNING, RESEARCH, DEVELOPMENT & ENGINEERING – SCIENCE AND TECHNOLOGY MANAGER	I	 S&T Manager is used for management positions typically located in the Office of Naval Research and the Navy laboratories. This Position Category has a degree requirement for certification. In order to designate a position in this Category, the occupational series of the position must require the degree identified for the Position Category.
SYSTEMS PLANNING, RESEARCH, DEVELOPMENT & ENGINEERING – SYSTEMS ENGINEERING (SPRDE-SE)	s	This Position Category has a degree requirement for certification. In order to designate a position in this Category, the occupational series of the position must require the degree identified for the Position Category.
SYSTEMS PLANNING, RESEARCH, DEVELOPMENT & ENGINEERING – PROGRAM SYSTEMS ENGINEER.(SPRDE- PSE)	W	 The following positions must be designated in this Position Category: Key Leadership Positions (KLPs) designated as Chief Systems Engineer or Program Lead Systems Engineer. All civilians positions at GS-15 (or equivalent) and above and active component Naval officer positions at O-6 and above with the following duties: Senior management responsibilities for systems-of-systems engineering management across the full life cycle, and Application of most or all of the DoD Systems Engineering technical and management processes integrating multiple domains at a systems-of-systems level. Other positions with competency/functional leadership responsibilities for systems-of-systems engineering. Typical position titles are Technical Director, Chief Engineer, Lead Systems Engineer, Systems Engineering IPT Lead, and Assistant PEO/PM for Systems Engineering. This Position Category has a degree requirement for certification. In order to designate a position in this Category, the occupational series of the position must require the degree identified for the Position Category.

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POSITION CATEGORY	CAREER FIELD CODE	SPECIAL CRITERIA
TEST AND EVALUATION	T	• This Position Category has a degree requirement for certification. In order to designate a position in this Category, the occupational series of the position must require the degree identified for the Position Category.

Table 21-2 Position Criteria

Step 7 - Determine the Certification Level

A certification level must be assigned to each acquisition position. There are three certification levels: Level I (Basic); Level II (Intermediate); or Level III (Advanced). For more detailed information on designation of certification levels, refer to Chapter 8, Certification.

Step 8 - Complete a DON Civilian Acquisition Assignment Coding Sheet for each acquisition position and attach it to all position and personnel updates.

For all except Navy Enlisted positions, the human resources/manpower offices code acquisition position information into appropriate data/manpower systems.

- For civilian positions, the DON Civilian Acquisition Assignment Coding Sheet provided at Appendix H must be attached to the position description and used to code acquisition positions (and any subsequent changes) in the Defense Civilian Personnel Data System.
- For Navy Enlisted personnel positions, requesting officials should send an email with the following information to the <u>DACM Desk</u>:
 - Organization
 - Location
 - o UIC (Unit Identification Code)
 - Billet Sequence Code
 - Position Category and Level
 - Summary of acquisition duties
 - o Projected Rotation Date
 - o Name/rank of incumbent and date assigned to the billet

Step 9 - Position Review and Validation

- Acquisition position designations must be reviewed and validated annually, prior to recruitment, during reorganizations, and when the duties and responsibilities of the positions change.
- Position validation should be included as an assessable unit and statement of assurance in management control plans. Position validation must be documented in the annual statement of assurance (refer to <u>SECNAV Instruction 5200.35E</u>).

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Appendix A Glossary of Terms

Accredited institutions of higher education are colleges and universities that have received a regional or national accreditation. These schools are recognized and approved by the Under Secretary of Education for student loans and federal tuition assistance programs. A listing of these schools is available through the U.S. Department of Education website.

Acquisition is the conceptualization, initiation, design, development, test, contracting, production, deployment, logistics support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy Department of Defense (DoD) needs, intended for use in, or in support of, military missions.

Acquisition Career Field is one or more occupations that require similar functional acquisition competencies.

Acquisition Category (ACAT) refers to categories established to facilitate decentralized decision making and execution and compliance with statutorily imposed requirements. The categories determine the level of review, decision authority, and applicable procedures.

ACAT I programs are Major Defense Acquisition Programs (MDAPs). A MDAP is a program that is not a highly sensitive classified program and that is designated by the Under Secretary of Defense for Acquisition, Technology and Logistics (USD(AT&L)) as a MDAP; or that is estimated to require eventual expenditure for research, development, test, and evaluation (RDT&E), including all planned increments, of more than \$365 million (Fiscal Year (FY) 2000 constant dollars) or procurement, including all planned increments, of more than \$2.19 billion (FY 2000 constant dollars). ACAT I programs have two sub-categories:

- **ACAT ID** for which the Milestone Decision Authority (MDA) is USD(AT&L). The "D" refers to the Defense Acquisition Board (DAB), which advises the USD(AT&L) at major decision points.
- **ACAT IC** for which the MDA is the DoD component head or, if delegated, the DoD component acquisition executive (CAE). The "C" refers to component.

The USD(AT&L) designates programs as ACAT ID or ACAT IC.

ACAT IA programs are Major Automated Information Systems (MAIS). A MAIS is a DoD acquisition program for an automated information system (AIS) that is either designated by the MDA as a MAIS, or estimated to exceed:

- \$32 million (FY 2000 constant dollars), for all increments, regardless of appropriation or fund source, directly related to the AIS definition, design, development, and deployment, and incurred in any single FY; or
- \$126 million (FY 2000 constant dollars), for all expenditures, for all increments, regardless of appropriation or fund source, directly related to the AIS definition, design, development, and deployment, and incurred from the beginning of the Materiel Solution Analysis (MSA) Phase through deployment at all sites; or

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\$378 million (FY 2000 constant dollars) for all expenditures, for all increments, regardless of appropriation or fund source, directly related to the AIS definition, design, development, deployment, operations and maintenance (O&M), and incurred from the beginning of the MSA phase through sustainment for the estimated useful life of the system.

AIS do not include computer resources that are an integral part of a weapon or weapon system; used for highly sensitive classified programs (as determined by the Secretary of Defense (SECDEF)); used for other highly sensitive information technology (IT) programs (as determined by the Department of Defense Chief Information Officer (DoD CIO)); or determined by the USD(AT&L) or designee to be better overseen as a non-AIS program.

ACAT IA programs have two sub-categories:

- **ACAT IAM** for which the MDA is the USD(AT&L). The USD(AT&L) may delegate MDA authority to the DoD CIO, or other designee. The "M" (in ACAT IAM) refers to MAIS.
- **ACAT IAC** which the MDA (USD(AT&L), DoD CIO, or other designee) has delegated to the head of the DoD component or, if delegated, the CAE. The "C" (in ACAT IAC) refers to component.

ACAT II programs are defined as those acquisition programs that do not meet the criteria for an ACAT I program, but do meet the criteria for a major system. A major system is defined as a program estimated by the DoD component head to require eventual expenditure for RDT&E of more than \$140 million in FY 2000 constant dollars, or for procurement of more than \$660 million in FY 2000 constant dollars or those designated by the DoD component head to be ACAT II. The MDA is the DoD CAE.

ACAT III programs are defined as those acquisition programs that do not meet the criteria for ACAT II. The MDA is designated by the CAE. This category includes less-than-major AISs.

ACAT IV (Navy and Marine Corps only) ACAT programs in the Navy and Marine Corps not otherwise designated as ACAT III are designated ACAT IV. There are two categories of ACAT IV programs: IVT and IVM. ACAT IVT programs require Operational Test and Evaluation (OT&E) while ACAT IVM programs do not.

Acquisition Management is management of any or all of the activities within the broad spectrum of "acquisition," as defined in this glossary. Also includes training of the Defense Acquisition Workforce and activities in support of the Planning, Programming, Budgeting, and Execution (PPBE) process for defense acquisition systems/programs. For acquisition programs, this term is synonymous with program management.

Acquisition Managers are persons responsible at different levels for some activity related to developing, producing, and/or fielding an Automated Information System (AIS) or weapon system. Includes senior-level managers responsible for ultimate decisions, program managers (PMs), and commodity or functional-area managers.

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Acquisition Position Categories are subsets of acquisition positions that are characterized by a common set of core acquisition and functional competencies.

Acquisition Program is a directed, funded effort that provides a new, improved, or continuing materiel, weapon, or information system or service capability in response to an approved need. Acquisition programs are divided into categories that are established to facilitate decentralized decision making, execution, and compliance with statutory requirements.

AT&L Position Category Descriptions (PCDs) are resources used to designate acquisition positions. Once a Department of Defense position (civilian or military) has been evaluated and it has been determined to be an acquisition position it must be assigned to one of the current acquisition career fields/paths. This is done by associating those acquisition related duties/functions to the Position Category Description (PCD) that best describes the preponderance of those acquisition related duties/functions.

Acquisition Positions are those civilian and military positions in the Department of Defense that are designated as acquisition positions in accordance with 10 USC Section 1721 and required to adhere to regulations issued by the USD(AT&L).

Acquisition Workforce/AWF comprises the persons who occupy acquisition designated/coded positions.

Career Field Certification is the process by which the DoD recognizes that AWF members have achieved functional and core disciplines as required by their career field and level assigned to their positions.

Competencies, as used in this Operating Guide, are the core acquisition, functional, and leadership skills, knowledge, and abilities required by the AWF to perform the duties and responsibilities of the various AWF positions.

Component Acquisition Executive (CAE) is the Service Acquisition Executive of a Military Department or the person designated to be the CAE by the Head of a DoD Component other than a Military Department. For the purpose of this Operating Guide, the Head of a DoD Component is the CAE for the DoD Components that have not designated a CAE. The USD(AT&L) performs this role for the OSD. Within DON, the SAE is the Assistant Secretary of the Navy (Research, Development, and Acquisition (ASN(RDA)).

Continuous Learning (CL) is a mandatory element of the DAWIA Program and provides a means for AWF members to learn and demonstrate currency in their primary functional disciplines, and participate in acquisition leadership opportunities.

Contracting Officer is a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings.

Critical Acquisition Positions (CAPs) are a subset of acquisition positions specifically designated by the CAE in accordance with DAWIA, responsible for implementing regulation, and based on the criticality of that position to the acquisition program, effort, or function it supports.

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DANTES (**Defense Activity for Non-Traditional Education Support**) examinations may be substituted for semester credit hours. DANTES sponsors the College Level Examination Program (CLEP) and DANTES Subject Standardized Tests (DSSTs) through the College Board and Educational Testing Service (ETS). Twelve (12) tests are available in the business career field. More information can be found on the <u>DANTES</u> website.

Defense Acquisition Corps, referred to as the "Acquisition Corps," is a pool of highly qualified members of the AWF qualified to fill CAPs. It is composed of those persons who have met the Acquisition Corps standards prescribed by DAWIA and implementing regulation, and who have been granted membership in the Acquisition Corps by the USD(AT&L) or by a CAE to whom this authority has been delegated.

Defense Acquisition Workforce Education, Training, and Career Development Program includes the structure, resources, policies, and procedures to enable the AWF to achieve the competencies required to perform the duties and responsibilities as required by acquisition positions and to promote integrated AWF management.

Defense Civilian Personnel Data System (DCPDS) is a human resources information support system for civilian personnel operations in the Department of Defense (DoD). The DCPDS enabled the Department to move from multiple systems to a single information system for DoD civilian employees.

Directive-Type Memorandum (DTM) is one of several forms of DoD Issuance (e.g., DoD Directives (DoDDs), DoD Manuals DoD Instructions (DoDIs)) used to change or cancel DoD policy. DTMs are usually issued when time constraints mandate such an action. DTMs do not permanently change or supplement existing issuances, and are effective for not more than 180 days from the date signed, unless extended. Ultimately DTMs are incorporated into existing DoD issuance, converted to a new DoD issuance, reissued, or cancelled.

DON Echelon I and II commands are the top 2 organizational levels within the Department. Echelon I includes the Secretariat (Secretary of the Navy, SECNAV Executive Assistants, and Chief of Naval Research) and the CNO/Commandant of the Marine Corps and their deputies and assistants. Echelon II commands are those that are structured directly below; examples include the six Systems Commands (SYSCOMs).

eDACM - DON Acquisition Career Management System that includes modules for updating an employee's profile, registering for DAU courses, applying for tuition assistance, tracking continuous learning, applying for career field certification, and more. More information and login can be found on the <u>eDACM</u> website.

Functional Leads (FLs) are senior DoD officials who serve as the subject matter expert for their respective functional area for oversight and management of career development requirements.

Heads of Contracting Activities (HCAs) are agency heads authorized to contract for supplies and services. May be delegated to major command heads within an agency. Title is by virtue of position.

Integrated Product Team (IPT) is a team composed of representatives from appropriate functional disciplines working together to build successful programs, identify and resolve issues,

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and make sound and timely recommendations to facilitate decision-making. There are three types of IPTs: Overarching IPT (OIPTs) that focus on strategic guidance, program assessment, and issue resolution; Working-level IPT (WIPTs) that identify and resolve program issues, determine program status, and seek opportunities for acquisition reform; and Program-level IPT (PIPTs) that focus on program execution and may include representatives from both government and industry after contract award.

Key Leadership Positions (KLPs) are a subset of CAPs designated by the CAE based on the critical nature of the acquisition program or effort involved and the criticality of that position to the success of that program or effort. These positions represent a significant level of responsibility and authority and warrant special management attention to qualification and tenure requirements.

Major Acquisition Information Systems (MAIS) see "Acquisition Category—ACAT IA".

Major Defense Acquisition Program (**MDAP**) is defined in USC 2430 as a Department of Defense (DoD) acquisition program that is not a highly sensitive classified program (as determined by the Secretary of Defense) and that is designated by the Secretary of Defense as a major defense acquisition program, or that is estimated by the Secretary of Defense to require an eventual total expenditure for research, development, test, and evaluation of more than \$365 million (updated to FY 2000 constant dollars) or an eventual total expenditure for procurement of more than \$2.19 billion (updated to FY 2000 constant dollars).

Management Information System (MIS) is an orderly and disciplined accounting and reporting methodology, usually mechanized, which provides for the accurate recording of data, and the timely extrapolation and transmission of management information used in decision-making.

Navy Enlisted Personnel System (NES) is the Navy's authoritative database for all active duty Navy enlisted personnel. The system generates and maintains the official automated personnel records of all United States Navy/United States Naval Reserve (USN/USNR) active duty enlisted personnel. NES is primarily used to calculate enlisted strength, to authorize the establishment of a pay record at Defense Finance Accounting Center, and to prepare Enlisted Distribution Verification Reports (EDVR) for distribution to field activities. Additionally, the enlisted distribution and promotion processes are dependent upon the quality of NES data, as are numerous managerial and congressional groups overseeing aggregated information about the active enlisted population.

Officer Personnel Information System (OPINS) is a corporate system that generates and maintains the official automated personnel records of all the United States Navy/United States Naval Reserve (USN/USNR) active duty officers and officer candidates for both current and historical purposes. OPINS also maintains personnel records for active duty officers (Active Duty for Special Work (ADSW), Full Time Support (FTS), Officer Candidates Accounting and Reporting Subsystem (OCARS), Naval Reserve Officer Training Corps (NROTC), and United States Naval Academy (USNA). OPINS is primarily used to calculate officer staffing strength, authorize the establishment of a pay record at the Defense Finance and Accounting Service (DFAS), prepare Officer Data Cards (ODC) for dissemination to officers, and prepare Officer Distribution Control Reports (ODCR) for dispersal to field activities.

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Program Executive Officer (PEO) is a military or civilian official who has responsibility for directing several Major Defense Acquisition Programs (MDAPs) and for assigned major system and non-MDAPs. A PEO normally has no other command or staff responsibilities within the Component, and only reports to and receives guidance and direction from the DoD Component Acquisition Executive (CAE).

Program Management is the process whereby a single leader exercises centralized authority and responsibility for planning, organizing, staffing, controlling, and leading the combined efforts of participating/assigned civilian and military personnel and organizations, for the management of a specific defense acquisition program or programs, throughout the system life cycle.

Program Manager (PM) is the designated individual with responsibility for and authority to accomplish program objectives for development, production, and sustainment to meet the user's operational needs. The PM shall be accountable for credible cost, schedule, and performance reporting to the Milestone Decision Authority (MDA). (DoDD 5000.01)

Senior Contracting Official (SCO) Within DON, the SCOs are the Heads of Contracting Activities and their deputies who are responsible for the oversight and review of their subordinate contracting organizations. The HCAs are NAVAIR, NAVSEA, SPAWAR, NAVFAC, MSC, NAVSUP, ONR, SSP, NAVICP, Marine Corps HQ-ILS and MARCORSYSCOM.

Technical Manager in the context of CAPs are Supervisory positions at the first through the third levels within the Warfare Center who oversee significant acquisition functions of the command or support Major Defense Acquisition Programs and are typically at the GS-15 grade level (or equivalent) or above.

Total Force Manpower Management System (TFMMS) is a classified mainframe manpower system that contains requirements, authorizations, and end strength data for Navy officer, enlisted, and civilian personnel.

Training Information Management System (TIMS) (Marine Corps) is a classified mainframe manpower system that contains requirements, authorizations, and end strength data for Marine Corps officer, enlisted, and civilian personnel.

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Appendix B Acronyms

AC Acquisition Corps (formerly Acquisition Professional Community-APC)

ACAT Acquisition Category

ACC Acquisition Career Field Council
ACE American Council on Education
AQD Additional Qualification Designator

APM Acquisition Professional Member

ASN(RDA) Assistant Secretary of the Navy (Research, Development, and Acquisition)

AT&L Acquisition, Technology & Logistics

AWF Acquisition Workforce

AWTAP Acquisition Workforce Tuition Assistance Program

CAE Component Acquisition Executive (within DON, this is known as the Service

Acquisition Executive (SAE) and is the ASN(RDA)

CAP Critical Acquisition Position
CEU Continuing Education Unit

CL Continuous Learning

CLC Continuous Learning Center
CLP Continuous Learning Point

CMC Commandant of the Marine Corps

CNO Chief of Naval Operations

CPDP Contracting Professional Development Program

DACMs Directors for Acquisition Career Management

DACM-MIS DACM Management Information System

DAE Defense Acquisition Executive

DANTES Defense Activity for Non-Traditional Education Support

DASN Deputy Assistant Secretary of the Navy

DRPM Direct Reporting Program Manager

DAU Defense Acquisition University

DAWDF Defense Acquisition Workforce Development Fund
DAWIA Defense Acquisition Workforce Improvement Act

DCPDS Defense Civilian Personnel Data System

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DDRMP Deputy Direct Reporting Program Manager

DoD Department of Defense

DoDD Department of Defense Directive
DoDI Department of Defense Instruction

DPD DAWIA Program Director
DPM Deputy Program Manager

DRPM Direct Reporting Program Manager

DTM Directive-Type Memorandum

eDACM DON Acquisition Career Management System
ED PEO Executive Director, Program Executive Officer

FL Functional Leads

FIPT Functional Integrated Product Team

FN Foreign National GO General Officer

HCAs Heads of Contracting Activities

IDP Individual Development Plan

IMAPMIS Inactive Manpower & Personnel Management Information System

IPT Integrated Product Team
KLP Key Leadership Position

MAIS Major Acquisition Information Systems (ACAT IA Programs)

MDA Milestone Decision Authority

MDAP Major Defense Acquisition Programs (ACAT I Programs)

MDP Master Development Plan

MIS Management Information System
MOS Military Occupational Specialty

NAAP Naval Acquisition Associates Program

NACC Naval Acquisition Career Center

NADP Naval Acquisition Development Program

NADP-WW Naval Acquisition Development Program Wounded Warrior

NAIP Naval Acquisition Intern Program
NDAA National Defense Authorization Act

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NES Navy Enlisted Personnel System

NPC Naval Personnel Command

OPINS Officer Personnel Information System

OPM Office of Personnel Management

OPNAVINST Office of Chief of Naval Operations Instructions

PCD Position Category Description

PCD Principal Civilian Deputy (ASN(RDA))

PD Position Description

PEO Program Executive Officer

PM Program Manager

PMD Principal Military Deputy (ASN(RDA))

SCO Senior Contracting Official

SECNAVINST Secretary of the Navy Instruction

SES Senior Executive Service SSB Senior Steering Board

TFMMS Total Force Manpower Management System

TIMS Training Information Management System (Marine Corps)

URL Unrestricted Line Officer

USC United States Code

USD(AT&L) Under Secretary of Defense for Acquisition, Technology, and Logistics

WMG Workforce Management Group

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Appendix C Waiver Summary Sheet

WAIVER SUMMARY SHEET FOR

KEY LEADERSHIP POSITIONS/FLAG/GO/SES AND MILITARY CRITICAL ACQUISITION POSITIONS

1.	Name:
2.	Position Title and Grade:
3.	Command/Organization:
4.	Type of Position:KLP FLAG GO SES Navy Mil CAP
5.	Waiver Required:
	Acquisition Corps (TrainingEducationExperience)
	Level III Certification (TrainingEducationExperience)
	Special Statutory (TrainingEducationExperience)
	Tenure
6.	Duration of Waiver (cannot exceed 24 months):
7	Desition Deview (required prior to initiating weiver):
7.	Position Review (required prior to initiating waiver):
	Position has been reviewed and is properly designated
8.	Waiver Justification (brief summary):
9.	Certification(s) Achieved:
10.	Acquisition Experience (total number of months):
10.	List Acquisition Assignments (Provide position title, location, dates assigned and number of
	months credited. If the experience was not in an acquisition position, include brief summary of acquisition duties).
11.	Education (degree, school, and date achieved)
12.	Attach individual's development plan for meeting position requirements.

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Appendix D Quarterly Waiver Report

QUARTERLY WAIVER REPORT FOR CAPS AND KLPS				
For Quarter	Ending:	- CAI	— KLP	
		Enter # of waivers in boxes provided		
1. Of the	<u> </u>	waivers approved during the quarter:		
a.		# Acquisition Corps waivers due to:		
1.		Lack of education		
2.		Lack of Level II certification		
3.		Lack of experience		
b.		# Special Statutory Waivers due to:		
1.		Lack of education		
2.		Lack of training		
3.		Lack of experience		
C.		# Level III Certification waivers due to:		
1.		Lack of education		
2.		Lack of training		
3.		Lack of experience		
d.		# tenure waivers		
e.		Total # of waivers that were cancelled (provide reasons – e.g., removed CAP d achieved required certification, individual no longer in position, etc.).	esignation,	
Reason:				
2. Progre	ss. Ider	ntify only those who have been working under waivers for at least 3 months	i	
a.		Individuals now meet all CAP/KLP requirements.		
b.		Individuals are showing progress in meeting requirements and are expected to target date for completing requirements.	meet	
C.		Individuals have not shown any progress. Identify action taken.		
3. Actions taken to minimize waivers and meet DON Acquisition Corps and Certification goals.				
4. Attach development plan for each individual (annotated to show progress).				

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Appendix E PEO/Command MPM Selection Endorsement Memo (under review)

SAMPLE PEO/Command MPM Selection Endorsement Memo

MEMORANDUM FOR THE ASSISTANT SECRETARY OF THE NAVY (RESEARCH, DEVELOPMENT AND ACQUISITION)

Via: (1) Director, Acquisition Career Management

Subj: SELECTION OF MAJOR PROGRAM MANAGER, PROGRAM XYZ (PEO XXX)

Reference: xxx

Encl: (1) xxx

(2) xxx

Mr. Secretary,

- 1. The Slating panel for Program XYZ (PEO XXX) Major Program Manager (MPM) position met on DD Month YYYY. This program is an ACAT I position and requires ASN(RDA) approval. There were six applicants, 3 military / 3 civilian. Four of the six were qualified and two required waivers. The slating panel determined the following primary nominee and two alternates (in order) as the best qualified candidates:
 - a. Candidate 1
 - b. Candidate 2
 - c. Candidate 3
- 2. Candidate 1 stands out as the best fit for the YYY PEO/Systems Command XXX MPM position. Among a group of qualified candidates, Candidate 1 brings the strongest

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blend of leadership, technical and programmatic experience and expertise. More importantly, his/her skills align best with the current state of Program XXX portfolio.

- 3. Specifically, Candidate 1 brings:
 - a. Programmatic ability and relevant functional experience.
- (1) List successes in managing program budgets including ACAT Levels of programs, major achievements, and outstanding performance in relevant tours.
- (2) Successful achievement of Acquisition Milestones and Gate Reviews. List successes in each Milestone and Gate Review.
- (3) Broad experience and success in contracting. List contract successes and budget estimates for programs
 - b. Technical ability and experience.
- (1) List experience with related acquisition programs that would facilitate expert knowledge in MPM Position and related technical degrees and certification.
 - (2) List successful management of major technical/design reviews.
 - (3) List understanding and involvement in S&T programs.
- 4. I concur with the results of the Slating Panel which determined Candidate 1 to be the best qualified candidate. Subsequent Enclosures provide information on the three top qualified candidates.
- 5. I fully support and strongly endorse the selection of Candidate 1 as the Major Program Manager, Program XYZ (PEO XXX), and recommend approval.

Signed,

YYY PEO/Systems Command Flag Officer/SES

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Appendix F Slating Panel Metrics Sheet (under review)

SYSCOM:		
PEO:	Program Office	
Program Name:	ACAT:	
Tenure Start Date:		

Number of Best Qualified Candidates Forwarded to ASN(RDA)			Total Number of Candidates		Number of Qualified Candidates		Number of Candidates Requiring Waiver	
Mil	Civ	Mil	Civ	Mil	Civ	Mil	Civ	

Definitions:

Qualified

- -Meets minimum statutory experience requirements
- **-Level III certification in Program Management**
- -Proven on the job performance
- -Relevant technical and/or operational experience
- -Member of Acquisition Corps
- -Can meet all statutory requirements (i.e., PMT 401 and PMT 402) within 6 months of assignment
- -Exceptional interview, as applicable

Require Waiver

- -Candidates that do not meet the statutory requirements
- -Acquisition Corp members that cannot meet statutory requirements within $\boldsymbol{6}$ months

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Appendix G Candidate Information Sheet (under review)

Candidate:	_ Rank/Gr	ade: De	signator/Series:			
ated Position T	`itle:				ACAT:	
cquisition Co	-	quisition Co	rps Member	Date:		
ducation:						
Year	Degi	ee & Field	d Institutio		Institution	
equisition Co		Cer	tification Le	evel	D-4-	
Career Field		1	2	3	Date	

Training:

Required training	PMT 401 or Predecessor (PMT 301 or PMT 302)	PMT 402 or Predecessor (PMT 303)
Complete (Y/N)		
Date completed		

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72 months – ACAT II Minimum Total

Experience: (list most recent experience first)

demonstrate functional competency experience)

					Months A	cquisition Ex	xperience
#	Dates	Assignment	Activity	*Functional Competency (i.e. FM, CON, SPRDE, LOGS, PM)	Core	Program Office (Subset of Core)	Related
1	4/2011–4/2012	PMA 317	IPT Lead	PM	12	12	Relateu
	4/2011-4/2012	1 WIA 317	II I Lead	1 1/1	12	12	
2							
3							
•							
•							
•							
Ed	lucation (12 month m	nax related exper	rience)				
Ex	Experience Sub-total						
No. 1				ACAT I/IA	48	24	48
	Minimum Requirement			ACAT II	36	24	36
Т	Total (Core Acquisition + Related)			96 months – AC			ıl

*Assignment: (Provide narrative for each assignment identified above to

1. IPT Lead, PMA 317: Demonstrated expertise in Program Management through... [Provide justification.]

2.

3.

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Experience Assessment Guidelines:

• Core Acquisition Experience

- Core acquisition positions are *acquisition designated positions* in the following commands: Program Offices, PEOs, SYSCOMs, Developmental Test Commands, Warfare Centers, Contracting Field Activities, or Supervisor of Ship Building.
 - A minimum of two years program office experience is required for ACAT I/IA
 Program Managers and Deputy Program Managers and ACAT II Program
 Managers. This time counts as core acquisition experience.

• Related Acquisition Experience

- Acquisition designated positions at commands not identified as "core" are considered related acquisition experience at 100% credit.
- Non-acquisition designated positions that provide acquisition related experience: OPTEVFOR, Operations Test Commands, Maintenance Commands, and HQ Marine Corps are considered related acquisition experience at 50% credit.
- Command tours at O-5 or above (Commanding Officer time only) are credited a maximum of 18 months of related acquisition experience.
- Acquisition education is credited a maximum of 12 months of related acquisition experience if one of the following applies:
 - A graduate level degree in a technical, hard science, business field (Engineering, MBA, etc.)
 - o Acquisition Related Education (e.g. ICAF [Acquisition Track], Nuclear Power training, Test Pilot School, Naval Post Graduate School)
 - o A PM/DPM applicant requires it to meet statutory experience requirement.

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Appendix H DON Civilian Acquisition Assignment Coding Sheet

Instructions:

- The completion of this Coding Sheet is required for all DON acquisition positions and assignments. Guidance
 for designating DON acquisition positions is in the DON DAWIA Operating Guide at
 https://acquisition.navy.mil/rda/home/acquisition workforce/strategy policy.
- Commands are required to complete and sign this Coding Sheet, attach it to the Position Description (PD) and associated Requests for Personnel Action, and provide to their human resources office for coding into the Defense Civilian Personnel Data System.
- Commands must update Coding Sheets and provide them to their human resources office when changes occur
 that affect the acquisition coding.
- When processing Requests for Personnel Action, data entry personnel should ensure that the acquisition information in DCPDS reflects the information on the Coding Sheet.

PD Number:	Organization:
Pay Plan-Grade-Series, Title:	
	es and Contracting Professional Development Program positions must be "C"; Management (All 1103 series positions must be "D") positions must be "E") ufacturing y Manager* ent
* Requires baccalaureate degree.	† Requires semester hours in specific disciplines.
SECTION 2. ACQUISITION POSITION POSITIONS (KLPs)	TYPE —CRITICAL ACQUISITION POSITIONS (CAPs)/KEY LEADERSHIP
1 – CAP—Not Division Head 2 – CAP—Division Head* 3 – Not CAP—Development** 4 – Not CAP or Developmental 6 – KLP	

^{*} A Division Head is the official with responsibility for managing an acquisition organization or major subordinate unit, the mission of which is to support or administer acquisition functions.

^{**} Positions in the DON Contracting Professional Development Program must = 3.

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DON CIVILIAN ACQUISITION ASSIGNMENT CODING SHEET (CONTINUED)

SECTION 3. ACQUISITION CERTIFICATION LEVEL REQUIRED FOR THE POSITION	ON
1 – Level I (Entry) 2 – Level II (Intermediate) 3 – Level III (Advanced)*	
*CAPs and KLPs (Section 2 = 1, 2, or 6) must be Level III	
SECTION 4. ACQUISITION JOB SPECIALTY 1—This Section applies only to post Check only if Section 1 = A, and the career path is in International Acquisition	
SECTION 5. ACQUISITION PROGRAM INDICATOR 1 - Major Defense Acquisition Program (ACAT I) 2 - Significant Non-Major Defense Acquisition Program (ACAT II) 3 - ACAT I/II (supports both ACAT I/II Programs) 4 - ACAT III or IV 9 - N/A (none of the above)	
SECTION 6. SPECIAL ASSIGNMENT —This Section applies only to KLPs. Check	k one of the following if
Section 2=6. Otherwise, leave blank. A – Program Executive Officer (PEO), Executive Dir PEO, Direct Reporting B B – Program Manager (PM), where the PM reports directly to a PEO C – Deputy PM, where the PM reports directly to a PEO D – Senior Contracting Official L – Deputy PEO, Deputy DRPM N – Program Lead Systems Engineer/Chief Engineer P – Program Lead Cost Estimator Q – Program Lead Business Financial Manager S – Other T – Program Lead Contracting Officer U – Program Lead Logistician (Product Support Manager)	Program Manager (DRPM)
 V − Program Lead Test and Evaluation W − Program Lead Production, Quality, & Manufacturing X − Program Lead Information Technology 	
SECTION 7. This Section applies only to CAPs and KLPs. Fill in only if Section	2=1, 2, or 6.
a. Date Tenure Agreement Ends (mm/dd/yyyy):*	
*Employees in CAPs and KLPs must sign a 3-year tenure agreement with the f Program Managers (DRPMs), Deputy DRPMs, and ACAT I Program Managers (commitment aligned with the major milestone closest to 4 years or as tailored	PMs) and Deputy PMs have a
b. Date Assignment Review (mm/dd/yyyy):*	
$\mbox{\ensuremath{^{\ast}}}$ This reflects the date the employee is due to be reviewed for possible rotations.	on which is 5 years from the date
APPROVING OFFICIAL SIGNATURE:	DATE:
POINT OF CONTACT (NAME, EMAIL, PHONE):	

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Appendix I Critical Acquisition Position Service Agreement Key Leadership Position (KLP) DD2889

CRITICAL ACQUISITION POSITION SERVICE AGREEMENT KEY LEADERSHIP POSITION (KLP) Required under the Defense Acquisition Workforce Improvement Act (DAWIA), Section 1201 of the National Defense Authorization Act for Fiscal Year 1991 dated November 5, 1990, Title 10 U.S.C. Chapter 87, as amended PRIVACY ACT STATEMENT AUTHORITY: P.L. 101-510, Title XII, "Defense Acquisition Workforce Improvement Act"; Title 10, Sections 1701-1764; and Executive Order 9397, as amended. PRINCIPAL PURPOSE(S): Completion of a service agreement is required for individuals assigned to or selected to fill a Critical Acquisition Position (CAP) on and after October 1, 1993. ROUTINE USE(S): None. DISCLOSURE: Voluntary; however, a selectee will not be eligible for appointment to, and an incumbent will not be eligible to retain employment in, a position designated as a Critical Acquisition Position if the requested information is not provided. 1. SELECTEE NAME (Last, First, Middle Initial) 2. AT&L CAREER FIELD 3. SERIES/DESIGNATOR 4. GRADE/RANK 5. WORKFORCE SERVICE AGREEMENT POSITION TITLE: ACQUISITION PROGRAM OR ACQUISITION EFFORT: AT&L POSITION CATEGORY: POSITION NUMBER: COMPONENT: ORGANIZATION: UNIT IDENTIFICATION CODE: POSITION START DATE: In accepting this Critical Acquisition Position that is designated as a Key Leadership Position (KLP), I agree to a tenure period of *, in accordance with the Defense Acquisition Workforce Improvement Act and implementing regulations. I further understand that I may not be assigned to a KLP unless I execute a written service agreement to remain in Federal service in that position for the period designated above. The obligation incurred by this agreement will remain in effect unless and until waived. *To be defined by CAE for this specific KLP, based on significant milestones, events, or efforts. 6. I understand and accept the terms of this agreement. a. SELECTEE'S SIGNATURE b. DATE c. APPROVING OFFICIAL SIGNATURE d. TITLE e. DATE

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Appendix J Critical Acquisition Position Service Agreement (CAP) DD2888

CRITICAL ACQUISITION POSITION SERVICE AGREEMENT Required under the Defense Acquisition Workforce Improvement Act (DAWIA), Section 1201 of the National Defense Authorization Act for Fiscal Year 1991 dated November 5, 1990, Title 10 U.S.C. Chapter 87, as amended. PRIVACY ACT STATEMENT AUTHORITY: P.L. 101-510, Title XII, "Defense Acquisition Workforce Improvement Act"; Title 10, Sections 1701-1764; and Executive Order 9397, as amended. PRINCIPAL PURPOSE(S): Completion of a service agreement is required for individuals assigned to or selected to fill a Critical Acquisition Position (CAP) on and after October 1, 1993. ROUTINE USE(S): None. DISCLOSURE: Voluntary; however, a selectee will not be eligible for appointment to, and an incumbent will not be eligible to retain employment in, a position designated as a Critical Acquisition Position if the requested information is not provided. 1. SELECTEE NAME (Last, First, Middle Initial) 3. SERIES/DESIGNATOR 4. GRADE/RANK 5. WORKFORCE SERVICE AGREEMENT POSITION TITLE: AT&L POSITION CATEGORY: POSITION NUMBER: COMPONENT: ORGANIZATION: UNIT IDENTIFICATION CODE: POSITION START DATE: DATE TENURE PERIOD ENDS: In accepting this Critical Acquisition Position (CAP) that is not designated as a Key Leadership Position, I agree to the three year tenure period in accordance with the Defense Acquisition Workforce Improvement Act. I further understand that I may not be assigned to a CAP unless a written service agreement is executed to remain in Federal service in that position for a tenure period of at least three (3) years. The obligation incurred by this agreement will remain in effect unless and until The following actions are acceptable deviations from this CAP tenure agreement and do not require a waiver: Promotion; reassignment to a command/command-equivalent position; separation; retirement; removal for cause; reduction-in-force; mobilization; assignment to military theater/zone of operation; elimination of position; or reassignment due to program cancellation, merger, or organizational realignment. 6. I understand and accept the terms of this agreement. a. SELECTEE'S SIGNATURE b. DATE d. TITLE c. APPROVING OFFICIAL SIGNATURE e. DATE

DD FORM 2888, JUL 2005

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Appendix K Acquisition, Technology, and Logistics (AT&L) Workforce Position Requirements or Tenure Waiver DD2905

(Pa	ACQUISITION, TECHN POSITION I	REQUIREMENT	IS OR TENUI	RE WAIV	ER			
		RT I - ROUTING	/COORDINATI	ON				
1.a. FROM (Component/Or and Address)	ganization/Office Symbol b. COC Telep	RDINATION/VIA hone)	(Name, Title, Orga	nization, C.	COORDINAT Telephone)	ION/VIA <i>(Name, Title,</i>	Organization	
2. TO (Waiver Approval A	uthority) (Organization/Office SymL	nol and Address)						
		PART II - POS	ITION DATA					
3. POSITION NUMBER	4. POSITION TITLE	TART II - TOS	THOR DATA		1	5. REQUIRED GRA	DE/RANK	
6. UIC	7. OCC SERIES/SPECIALTY	8. AT&L POSIT	ION CATEGORY	′			RED FICATION	
10. POSITION TYPE	SITION TYPE 11. SPECIAL ACQUISITION ASSIGNMENT					12. PROGRAM TYPE		
13.a. LAST NAME		DENTIFICATION FIRST NAME	N AND PERSO	NAL DAT		GRADE 15. SSN		
13.d. LAST NAIVIE	b.	FIRST NAME		C. IVII	14. KANK	3. 33N		
+	PART IV - WAIVER TYPE		Control of the Contro	0.00.00.00.00.00.00.00.00.00.00.00.00.0		The second secon		
	JIREMENTS WAIVER (Enter "X ENT(S) TO BE WAIVED (Select			4		in Item 18.)		
(1)	(2)	a unrerent requirem	ent in each block a	(3				
 b. Due to absence of (1) Education 	F REQUIRED: (Select all applicable	- profitments	n 18.) RAINING					
(1) EDOCATION		(2)	MAINING					
(3) EXPERIENCE	W 765	\$100 500 - 60						
c. WAIVER DURATION:	DURATION OF ASSIGN		TARGET DATE REQUIREMENT(
	R (Enter "X", when applicable	-	ent and request EQUESTED REL		-			
(DD-MMM-YYYY):	TON (Explain the exceptional c		DD-MMM-YYYY	2:		574.554	77 -7	
individual's ability to	perform in the position while	working to achiev	e the standards	.) (Continu	e on back if i	necessary.)		
19. REQUESTING MANA	GEMENT OFFICIAL E.TITLE, ORGANIZATION, AND	TELEBUONE NIL	IMPED IN DE	OHESTING	MANACEMI	ENT OFFICIAL SIGNA	TUDE	
a. NAME, KANK/GRAD	E,TITLE, ORGANIZATION, ANI	J TELEPHONE NO	INIBER D. RE	QUESTING	MANAGEMI	ENT OFFICIAL SIGNA	TURE	
c. DATE (DD-MMM-YYYY)								
20. REQUESTING OFFIC	IAL WAIVER POINT OF CONTA	ACT (Name, title,	organization, an	nd telephor	e number)			
		PART V - DI	SPOSITION					
21. APPROVING OFFICIA	AL	TAKE V - DI	OI COILION					
a. NAME, RANK/GRAD	e, title, organization, an	D TELEPHONE N	UMBER					
b. APPROVED? c. APPROVING OFFICIAL SIGNATURE d. DATE (DD-MMM)				VIM-YYYY				
22 APPROVING OFFICIA	AL COMMENTS (If required) (C	ontinue on back	if necessary)			1		
22. AFFROVING OFFICIA	ac obvinición o (il requirea) (c	onanue on back	necessary).					
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Appendix L Fulfillment of DoD Mandatory Training Requirement DD2518

FUL	FILLMENT OF DOD MA	NDA	TORY TRAINING R	EQUIREMENT		
	Priva	су Ас	t Statement_			
AUTHORITY:	EO 9397, November 1943	3 (SSI	N).			
PRINCIPAL PURPOSE(S)	: To evaluate and determine of soliciting the Social Sec	To evaluate and determine the status of mandatory acquisition training. The purpose of soliciting the Social Security Number is for positive identification.				
ROUTINE USE(S):	The information provided is used for verification by the individual's supervisors and the individual's personnel office to ensure that mandatory acquisition training requirements have been fulfilled.					
DISCLOSURE: Voluntary; however, failure to provide requested information may preclude an effective evaluation to determine an individual's status of mandatory acquisition training. Failure to provide the Social Security Number will not nullify the purpose or use of the requested information.						
	SECTION 1 - INDIVIDU	JAL RI	EQUEST (Type or print in	ink)		
				2. COURSE NUMB	2. COURSE NUMBER	
3. COURSE TITLE				COURSE LEVEL (Entry, Intermediate, Senior, etc.)		
experience, education,	s and knowledge provided by the equivalency test, or alternate to f the mandatory training require	raining	. Based on the attached			
6. SIGNATURE 7. DATE SIGNED (YYMMDD) 8. SOCIAL SECURITY NUMBER					ITY NUMBER	
9. TITLE		-		10. SERIES	11. GRADE/RANK	
12. OFFICE SYMBOL 13. L	CATION 14. CURRENT LEVEL (Entry, Intermediate, Senior, etc.)		15. DATE ENTERED CURRENT LEVEL (YYMMDD)			
•	SECTION II - SUPE	RVISC	R'S RECOMMENDATION	ı.		
16. CONCURRENCE/NONCONCUR	RENCE (X one)					
a CONCUE INDIVIDUAL HAS CAINED DECURRITE SKILLS AND			b. DO NOT CONCUR (Return)	request to individual)		
17. SUPERVISOR SIGNATURE			18. DATE SIGNED (YYMMDD)			
19. DUTY TITLE			DFFICE SYMBOL	21. LOCATION		
	SECTIO	ON III -	DISPOSITION			
22. APPROVAL/DISAPPROVAL (X	one)	7.370.08.70	2004/00/2004/00/00/00/00/00/00/00/00/00/00/00/00/			
a. APPROVED b. DISAPPROVED			b. DISAPPROVED			
23. SIGNATURE OF APPROVING OFFICIAL				24. DATE SIGNED	(YYMMDD)	
25. DUTY TITLE		26. OFFICE SYMBOL		27. LOCATION		
DD FORM 2518, SEP 88	PREVIOUS	S EDITIO	ONS ARE OBSOLETE.	Reset	Adobe Professional 8.0	

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Appendix M AT&L Workforce Position Category Descriptions

Once a Department of Defense position (civilian or military) has been evaluated and it has been determined to be an acquisition position it must be assigned to one of the current acquisition career fields/paths. This is done by associating those acquisition related duties/functions to the Position Category Description (PCD) that best describes the preponderance of those acquisition related duties/functions.

Below are the currently approved PCDs used within the Department of the Navy, with a copy of the actual PCDs provided on the follow on pages within this appendix.

Short Title	Career Category Code	Job Specialty 1	Career Field/Path
BUS-CE	P		Business - Cost Estimating
BUS-FM	K		Business - Financial Management
CON	C		Contracting
FE	F		Facilities Engineering
IND	D		Industrial and/or Contract Property Management
IT	R		Information Technology
LCL	L		Life Cycle Logistics
PM	A		Program Management
PM-IA	A	I	Program Management – International Acquisition
PQM	Н		Production, Quality & Manufacturing
PUR	E		Purchasing
SPRDE- PSE	W		Systems Planning, Research, Development & Engineering – Program Systems Engineer
SPRDE- S&TM	I		Systems Planning, Research, Development & Engineering – Science & Technology Manager
SPRDE-SE	S		Systems Planning, Research, Development & Engineering – Systems Engineering
T&E	T		Test & Evaluation

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Business

Cost Estimating

BUS-CE

 Category Code:
 P
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 1 April 2009 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 25 May 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Position (CAPs) are a subset of acquisition positions and Key Leadership Position (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility and authority that are critical to the success of a program or effort. These positions require management attention with respect to Acquisition Corps membership, tenure and other specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

Technical Process

Obtain system description (e.g. Cost Analysis Requirements Description (CARD)

Define scope of the estimate

Prepare life cycle cost estimate that include all major components

Develop Cost Estimating/Analysis Techniques

Conduct Cost Research

Develop CES or WBS

Collect and analyze programmatic data in support of CERs and factors

Normalize collected data and be able to perform regression analysis

Analyze schedules and use time-phasing techniques

Apply basic knowledge of statistics, engineering, finance, learning curve and rate theory, software cost estimating

Develop, populate, and analyze cost models

Apply risk analysis and sensitivity analysis techniques

Establish procedures and techniques for analyzing and evaluating performance against standards and developing validating data sources

Effectively communicate, persuade, negotiate with program managers, contractors, and subject matter experts

Perform "what-if" analysis on established cost models, and databases

Utilize statistical techniques

Document cost estimate including the assumptions, data, techniques, and models

Defend cost estimate to various levels of leadership

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Provide technical assistance on cost related issues

Technical Management Process

Perform Proposal Evaluation/Source Selection Perform Force Structure Programming Analysis Formulate Cost Analysis Studies Conduct Analysis of Alternatives

Measure and Evaluate Contractor Performance

Apply analytical evaluative techniques and Earned Value Management (EVM) policies and methodologies of performance measurement to acquisition programs

Communicate, persuades, negotiates with program managers, contractors, and subject matter experts Plans and conducts research projects leading to improved analytical concepts, methods, and techniques Determine acceptability of contractor's management control systems, analyzes cost and schedule performance data, and ensure maintenance of accepted systems

Plan, organize, and supervise cost activities and programs

- Conduct Economic Analyses
- Review and certify adequacy of cost, economics, and business case analyses
- Develop standards and techniques for evaluating adequacy of cost activities and capabilities
- Serve on technical and financial boards and committees

Typical Line and Staff Position Titles: Economist, Cost Estimator, Cost Analyst, Senior Cost Analyst, Lead Cost Analyst, Operations Research, Mathematics, and Statistics,

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions.

Typical Career Codes:

Civilian Personnel Uniformed Personnel

occ :	Series		Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0343	0855	1530	Not Identified	AKx	65FX	8006 8844
0800	0896	1515			65WX	8057 8850
0801	1101	1520				8058 8852
0803	1501	1599				8059
0830						

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Business

Financial Management

BUS - FM

 Category Code:
 K
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

 Date Approved:
 1 April 2009
 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 25 May 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.

- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Position (CAPs) are a subset of acquisition positions and Key Leadership
 Position (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility
 and authority that are critical to the success of a program or effort. These positions require
 management attention with respect to Acquisition Corps membership, tenure and other specific
 statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Manage financial aspects of a defense acquisition program throughout the total acquisition lifecycle
- Interpret and apply Fiscal/Appropriation laws, policies and directives
- Advise acquisition decision makers on business and financial management of programs
- Evaluate affordability of program by analyzing EVM performance indicators, budget controls, and cost estimations data to facilitate tradeoff decisions by program managers
- Perform a full complement or aspects of budgetary functions and duties associated with the budget process and phases
- Analyze and evaluate (on a quantities and qualitative basis) the effectiveness of line program
 operations in meeting established goals and objectives
- Prepare and review all program documentation to ensure that cost, schedule, and financial information correlates with program objectives
- Anticipate and/or identify financial issues and their program implication and recommend adjustment and/or corrective actions based upon each particular acquisition phase and learned best practices
- Translate program requirements into properly priced budget submissions to support POM/PR decisions
- Respond to inquiries regarding program and financial issues, and provide reclamas to proposed budget adjustments
- Develop spend plan by consulting with program manager to support program schedule and established targets

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- Review contractor financial status/performance reports to perform trend analysis
- Advise on the potential benefit/use of automation, distribution of work, methods, and procedures to improve efficiency of acquisition programs
- Analyze new or proposed legislation or regulations to determine impact on acquisition programs

Typical Line and Staff Position Titles: Business Manager, Financial Management, Budget Analysis, Management and Program Analysis, Financial Analysis, Operations Research Analysis, Mathematics, General Business and Industry

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions.

Typical Career Codes:

Civilian Personnel Uniformed Personnel

OCC Series		Series	Army AOC	Navy AQD	Air Force AFSC	Marine Co	rps MOS
	0343	0510 1515	Not Identified	AKx	65FX	8006	8844
	0501	0560			65WX	8057	8850
	0505	1101				8058	8852
		1501				8059	

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Contracting (Including Construction)

Not Uniquely Specified

CON

 Category Code:
 C
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 9 Jun 2008 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 4 Jun 2010 (c) DoD Desk Guide for AT&L Workforce

Career Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. Civilian Series 1102 and their military counterparts as noted under Career Codes are always designated as acquisition and in the Contracting Career Field IAW reference (c)
- All positions, regardless of location, function or series, requiring a warranted contracting officer above the (Simplified Acquisition Threshold) must be designated as an AT&L position in the contracting career field per 10 USC Chapter 87 sec 1724.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership
 Positions (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with
 responsibility and authority that are critical to the success of a program or effort. These positions
 require management attention with respect to Acquisition Corps membership, tenure and other
 specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Develop alternatives to produce best value supplies and services, as well as manage all aspects
 of the life cycle of a contract or other vehicle (e.g., orders, basic ordering agreements etc.)
- Apply statutory and policy procurement-related requirements; support attainment of government socio-economic objectives; conduct market research; acquisition planning; cost and price analysis; solicitation and selection of sources; preparation, negotiation, and award of contracts through various methods to include negotiation; and perform all phases of contract administration, and terminate or close out of contracts.

Typical Line and Staff Position Titles: Administrative Contracting Officer, Contract Price and/or Cost Analyst, Contracting Officer, Contract Negotiator, Contract Specialist, Contract Manager, Contract Administrator, Contract Termination Specialist, Small Business Specialist, Procurement Analyst, Procuring Contracting Officer, and Termination Contracting Officer.

Typical Position Locations: Any DoD activity/organization performing contracting functions regardless of location.

Typical Career Codes:

Civilian Personnel Uniformed Personnel

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OCC Series	Army AOC	Navy AQD	Air F	orce AFSC	Marine Corp	os MOS
1102	FA 51C	ACx	64PX	6C0X1	3006 8057	8060
	MOS 51C				3044 8058	8856

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Facilities Engineering

Not Uniquely Specified

FΕ

 Category Code:
 F
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 26 Mar 2009 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 26 Mar 2009 (c) DoD Desk Guide for AT&L Workforce

Career Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership
 Position (KLPs) are a subset of CAPs. Both CAPs and KLPs represent positions with
 responsibility and authority that are critical to the success of a program or effort. These positions
 require management attention with respect to Acquisition Corps membership, tenure and other
 specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

 All aspects of acquiring life cycle management (from concept development through ultimate disposal) of DoD installations, facilities, civil works projects, airfields, roadways, and ocean facilities that support military missions. Includes design, construction, environmental management, base operations and support, housing, real estate, and real property management.

Typical Line and Staff Position Titles: Various engineering, architect, planning, real estate, and environmental job titles. Also includes facility manager, maintenance, inspection, and public works job titles.

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs, PEOs, as well as organizations/installations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions.

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Typical Career Codes:

Civilian Personnel

OCC Series			Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0018	04xx	1170	NONE	NONE	NONE	NONE
0000	0000	4474		110112		110112

Uniformed Personnel

0020 0690 1171 0028 08xx 1640 0193 1008 13xx

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Industrial and/or Contract Property Management

Not Uniquely Specified

IND

 Category Code:
 D
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

 Date Approved:
 13 May 2009
 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 4 Jun 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. Civilian Series 1103 and their military counterparts noted under Career Codes are always designated as acquisition and in the Industrial and/or Contract Property Management Career Field IAW reference (c).

- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership Positions (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility and authority that are critical to the success of a program or effort. These positions require management attention with respect to Acquisition Corps membership, tenure and other specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Develop policies and procedures for Government property management.
- Oversee and manage life cycle processes for Government-owned property being utilized by contractors (i.e., Government property in the possession of contractors and, in some instances, Government-owned contractor-operated plants.)
- Provide advice and assistance on property-related matters during acquisition planning, contract formation, and contract management.
- Review contractor's purchasing system as it pertains to property management.
- Perform investigations of instances of lost, theft, damage or destroyed government property and grant relief or recommend liability.
- Audit the Contractor's Property Management System
- Coordinate and Process Contract Property Disposal Actions

Typical Line and Staff Position Titles: Industrial Property Management Specialist, Property Administrator, Industrial Plant Clearance Specialist, Plant Clearance Officer, Contract and Industrial Specialist (if assigned contract property management responsibilities).

Typical Position Locations: DCMA and other acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations).

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Career Codes:

Civilian Personnel Uniformed Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
1103	FA 51C	ADx	NONE	NONE
	MOS 51C			

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Information Technology

Not Uniquely Specified

ΙT

 Category Code:
 R
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

 Date Approved:
 12 Mar 2007
 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 19 May 2008 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Position (CAPs) are a subset of acquisition positions and Key Leadership Position (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility and authority that are critical to the success of a program or effort. These positions require management attention with respect to Acquisition Corps membership, tenure and other specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Provide direct support for acquisitions that use Information Technology (IT), including National Security Systems.
- Apply IT-related laws, policies, directives, and provide IT-related guidance throughout the total acquisition life-cycle.
- Support Global Information Grid compliance activities, Information Assurance certification efforts, Information Support Plan preparation in accordance with DoD 5000 and 8000 series, Chapter 7 of the Defense Acquisition Guidebook and service-unique information management policies.

Typical Line and Staff Position Titles: Information Technology Specialist or Officer, Software or Automation Specialist or Officer, Information Systems Staff Officer, Computer Scientist and Systems Engineer, Software Engineer, Information Assurance Specialist, Electronic Engineer, Architect (enterprise, systems, software, data), Computer Engineer, Computer Systems Analyst, Materiel Acquisition Office, Computer Communication Specialist or Officers.

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions, such as: DCMA; DISA; Product Centers; Software Development and Support Centers; CIO offices.

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Typical Career Codes:

Civilian Personnel	Uniformed Personnel
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OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0301 0801 1550	51A	ARx	33Sx	0602 8060
0343 0855 2210	51R		3COxx	8057 8846
0391 1101	53B			8058 8848
0392 1515				8059

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short

Life Cycle Logistics

(a) DoDD 5000.52 dtd 12 Jan 2005

IXCI.

(b) DoDI 5000.66 dtd 21 Dec 2005

Category Code:

Not Uniquely Specified

Date Approved: LCL

Last Reviewed:

19 Oct 2010 19 Oct 2010 (c) DoD Desk Guide for AT&L Workforce Career Management dtd 10 Jan 2006

Notes:

Title:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Position (CAPs) are a subset of acquisition positions and Key Leadership Position (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility and authority that are critical to the success of a program or effort. These positions require management attention with respect to Acquisition Corps membership, tenure and other specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Understand and successfully demonstrate the applicable competencies and proficiencies underlying
 the seven Life Cycle Logistics competency areas identified in the 2008 DoD Logistics Human Capital
 Strategy (Design Influence, Integrated Logistics Support Planning, Product Support & Sustainment,
 Supportability Analysis, Reliability and Maintainability Analysis, Configuration Management, and
 Technical/Product Data Management).
- Plan, develop, implement and manage effective and affordable product support strategies throughout the life cycle for weapons, materiel, or information systems, as addressed in the DoD 5000 series.
- Perform a principal joint and/or Component logistics supportability role during the acquisition and sustainment phases of the system life cycle.
- Develop and implement outcome-based product support strategies that optimize readiness & life cycle cost affordability.
- Deliver logistics products and services to sustain system operational readiness.
- Develop and document the product support strategy in a Life Cycle Sustainment Plan which describes
 efforts to ensure the system's design, as well as the development of the product support package, are
 integrated and contribute to achieving life cycle sustainment outcome metrics.

Product Support Manager (PSM) Positions. The PSM for Major Defense Acquisition Programs (ACAT I) and post-IOC MDAP programs will be acquisition designated in the LCL career field as a KLP. Additionally, the PSM for non-Major Defense Acquisition Programs (ACAT II) and post-IOC ACAT II programs will be acquisition designated in the LCL career field as a CAP. (KLPs are defined as a subset of CAPs and all CAPs require Level III in the designated acquisition career field.)

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Typical Line and Staff Position Titles: Product Support Manager (PSM), Program Lead Logistician, ILS Manager, Logistics Element Manager, Logistics Management Specialist, Logistics Engineer, Deputy or Assistant Program Manager for Logistics, Director of Logistics, System Support Manager (SSM), Weapon Systems Manager, Supply Specialist, Supply Chain Manager, Equipment Specialist, Maintenance Manager, Transportation Management Specialist.

Typical Position Locations: Acquisition organizations within the DoD Components (i.e., Systems, Logistics and/or Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions, such as: logistics centers, life cycle management centers, inventory control points, logistics readiness centers, warfare centers, etc. as appropriate given the general and specific duties identified above as well as the information provided in Note 1 above.

Typical Career Codes:

Civilian Personnel Uniformed Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0301 1101 2	010 88A	ALx	20Cx	6002 8060
0340 1515 2	032 88B		21xx	6602 8862
0343 1670 2	101 90A			8057
0346 2001 2	130 91B			8058
0801 2003	92A			8059

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Program Management

Not Uniquely Specified

PM

 Category Code:
 A
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 12 Mar 2007 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 18 Jun 2009 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership
 Positions (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with
 responsibility and authority that are critical to the success of a program or effort. These positions
 require management attention with respect to Acquisition Corps membership, tenure and other
 specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Manage a defense acquisition program. Responsibilities may be broad (e.g., PM, DPM, or PEO) or focused (e.g., Assistant PM for a particular function), and may be line or staff in nature.
- Execute duties guided by DoDD 5000.01, DoDI 5000.02, DoD Issuances governing acquisition programs in the DoD Components, and other program management policies addressed in DoD 5000 and 8000 series. Not covered in this category are basic research programs.

Typical Line and Staff Position Titles: CAE, PEO/Deputy, Program Director/Deputy, Program Manager/Deputy, Acquisition Manager, Project Officer, Materiel Wing/Group/Squadron Commander, Systems Sustainment Manager and Project Manager, Program Analyst, Program Integrator/Representative, IPT Lead.

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions, such as: DCMA: DLA.

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Typical Career Codes:

Civilian Personnel	Uniformed Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0340 08xx 1515	51A	AAx	60CX	8057 8060
0343 1101	67		63AX	8058
06xx 13xx				8059

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AT&L Workforce Position Category Description (PCD) Career Field:

Program Management

Career Path: International Acquisition

Short Title: PM-IA REF:

Category Code: 1 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 13 Jul 2009 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 13 Jul 2009 (c) DoD Desk Guide for AT&L Workforce

Career Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership
 Positions (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with
 responsibility and authority that are critical to the success of a program or effort. These positions
 require management attention with respect to Acquisition Corps membership, tenure and other
 specific statutory requirements. See reference (c).

General Acquisition-Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

In addition to duties described in the Program Management Position Category Description, when the program management specific duties outlined below, substantially (50% or more) involve implementing or providing support to international cooperative research, development, test and evaluation, acquisition and support programs/projects, the position should be assigned as Program Management – International Acquisition.

- Manage an international defense acquisition program/project. Responsibilities may be broad (e.g., PM, DPM, or PEO) or focused (e.g., Assistant PM for a particular function), and may be line or staff in nature.
- Execute duties guided by DoDD 5000.01, DoDI 5000.02, DoD Issuances governing acquisition programs in the DoD Components, and other program management policies addressed in DoD 2000, 5000 and 8000 series. Not covered in this category are basic research programs.

International programs or projects are characterized by one or more of the following criteria.

- Designated an international program/project or high-potential future foreign sales program (Foreign Military Sales (FMS) or Direct Commercial Sales) by the USD(AT&L) or Component Acquisition Executive, or as further delegated.
- Associated with a Technology Development Strategy or Acquisition Strategy with an international system or cooperative opportunity identified.

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- Associated with an existing AT&L cooperative international agreement as defined in DoD Directive 5530.3, "International Agreements", or upon submission or approval of a Summary Statement of Intent using the USD(AT&L)-issued streamlined procedures in the Defense Acquisition Guidebook as authorized by DoD Instruction 5000.02, Enclosure E10.5.b, for a potential AT&L international agreement.
- Associated with an approved FMS Letter of Offer and Acceptance as defined in the DoD 5105.38-M, "Security Assistance Management Manual" for purposes of International sale, lease, or logistics support of U.S. major defense equipment.

Typical Line and Staff Position Titles: CAE, PEO/Deputy, Program Director/Deputy, Program Manager/Deputy, Acquisition Manager, Project Officer, Materiel Wing/Group/Squadron Commander, Systems Sustainment Manager and Project Manager, Program Analyst, Program Integrator/Representative, IPT Lead.

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs, PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions, such as: DCMA; DLA.

Typical Career Codes:

Uniformed Personnel

Civilian Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0340 08xx 1515	51A	AAx	60CX	8057 8060
0343 1101 130	67		63AX	8058
06xx 13xx 131				8059

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Production, Quality & Manufacturing

Not Specified

PQM

 Category Code:
 H
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

 Date Approved:
 20 Jul 2009
 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 12 Apr 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.

- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership
 Positions (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with
 responsibility and authority that are critical to the success of a program or effort. These positions
 require management attention with respect to Acquisition Corps membership, tenure and other
 specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties: The specific duties fall into one or both of the following categories within this career field:

Production & Manufacturing:

- Monitors and manages the manufacturing and production efforts at industry or Government facilities throughout the system acquisition process.
- Assesses and reports on the availability of resources for production and realistic industry approaches to manufacturing and supply chain management.
- Conducts feasibility assessments of risk during transition period and throughout the acquisition process.
- Provides advice, assistance and recommendations to support "make" or "buy" decisions and alternative production processes.

Quality Assurance:

- Manages Quality Assurance (QA) processes to establish essential quality standards and controls.
- Develops, executes and evaluates policies, procedures, plans and test provisions for QA requirements throughout the various phrases of the systems acquisition cycle.
- Ensures QA plans are integrated into the systems engineering process.
- Performs process and product-oriented reviews and audits to ensure compliance with QA requirements
- Provides expert support and guidance on QA-related matters to other program office and in-plant

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functional acquisition personnel.

Accepts and fields Materiel for U.S. and foreign customers.

Typical Line and Staff Position Titles:

Production & Manufacturing: Supervisory, Production, Manufacturing, Industrial Engineer; Production Management, Industrial Specialist; Production Officer; General, Aerospace, Mechanical Engineer.

Quality Assurance: QA Supervisor, Representative, or Staff Specialist; Mathematical Statistician, QA Engineer, Supervisory and/or Quality Engineer, Supervisory and/or General Engineer, Pharmacist, Physical Scientist, Chemist, Electronic Technician, Product Line Specialist (PLS), QA Director/ Chief (Division, Branch or Section); QA Surveillance Representative/Specialist, Engineer Technician, Entomologist, Computer Specialist, Product Auditor; Aircraft, Aerospace, Ammunition, Automotive, Chemicals, Clothing, Electronics, Materiel, Mechanical, Medical, Nuclear, Processes, Shipbuilding, Computer Software, or Subsistence.

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions such as DCMA, DLA and plant offices and component program offices.

Typical Career Codes:

Civilian Personnel Uniformed Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0018 0660	13xx 51A	AHx	62	6002 8058 8869
0028 08xx	15xx 51C	AGx	63	75xx 8059
0301 1150	1910			8057 8060
0414 1152				

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Purchasing

Not Uniquely Specified

PUR

 Category Code:
 E
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

 Date Approved:
 12 Mar 2007
 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 4 Jun 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. Civilian Series 1105 and their military counterparts noted under Career Codes are always designated as acquisition and in the Purchasing Career Field IAW reference (c).
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership Positions (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility and authority that are critical to the success of a program or effort. These positions require management attention with respect to Acquisition Corps membership, tenure and other specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

Purchases, rents, or leases supplies, services, and equipment through either simplified acquisition procedures or placement of orders against pre-established contractual instruments to support operational requirements.

Typical Line and Staff Position Titles: Purchasing Agent and Supervisory Purchasing Agent

Typical Position Locations: Any DoD activity/organization performing purchasing functions regardless of location.

Career Codes:

Civilian Personnel Uniformed Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
1105	FA 51C	AEx	NONE	NONE
	MOS 51C			

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Systems Planning, Research, Development &

Engineering

Program Systems Engineer

SPRDE - PSE

Category Code: W Ref: (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 28 Sep 2010 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 28 Sep 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

- This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.
- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Position (CAPs) are a subset of acquisition positions and Key Leadership
 Position (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility
 and authority that are critical to the success of a program or effort. These positions require
 management attention with respect to Acquisition Corps membership, tenure and other specific
 statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties: Plan, manage, or perform analysis, research, design, development, fabrication, installation, modification, or sustainment of systems or systems components across the entire life cycle;

Apply most or all of the DoD Systems Engineering Technical Processes or Technical Management Processes (See Defense Acquisition Guide, Chapter 4, Section. 4.2) integrating multiple domains (analytic or engineering specialties) at a system or systems-of-systems level.

Technical Processes:

Telechiciacal/Maargaguenen/RFPcossssss:

Typical Line and Staff Position Titles: Systems Engineer, Lead/Chief Systems Engineering, System Engineer IPT Lead, Technical Director, Asst PEO/PM for SE.

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Material Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related

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functions, such as: DCMA; research, development, and engineering centers and laboratories; and manufacturing and maintenance centers and facilities.

Typical Career Codes:

Civilian Personnel Uniformed Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0180 08xx 15xx	51S	AWx	61SX	72xx 8059 8832
04xx 13xx			62EX	75xx 8820 8836
				8057 8824
				8058 8826

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Systems Planning, Research, Development &

Engineering

Science & Technology Manager

SPRDE - S&TM

 Category Code:
 I
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 12 Mar 2007 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 7 May 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

This PCD is intended to assist in determining which AT&L career field/path to assign to an AT&L position per Title 10 sec. 1721. If 50% or more of the duties and responsibilities of the position match the "General Acquisition-Related Duties" described below AND the preponderance of those duties match the "AT&L Career Field/Path Specific Duties" described below, assign the position to this position category.

- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Position (CAPs) are a subset of acquisition positions and Key Leadership Position (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility and authority that are critical to the success of a program or effort. These positions require management attention with respect to Acquisition Corps membership, tenure and other specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Develop overall program goals using S&T funds.
- Acquire the services of scientists, engineers and technical support personnel, experts in their fields, to perform science and technology research for DoD.
- Provide funds and oversee S&T performers including universities, industry, and Federal Government organizations.
- Interface with the technology customer(s) to expedite the transition of technology to the user.

Typical Line and Staff Position Titles: Technical Director, Scientist, Engineer, Project Engineer, Software Engineer

Typical Position Locations: Service component organizations such as: ARI, ARL, ARO for the Army; ONR, NRL for the Navy; AFOSPR, AFRL for the Air Force and other DoD Components such as: DTRA (DSWA), and Service Warfare Centers.

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Typical Career Codes:

Uniformed Personnel

Civilian Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
018008xx 15xx	51S	Alx	61SX	8006
04xx 13xx	51T		62EX	8058

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Systems Planning, Research, Development &

Engineering

Systems Engineering

SPRDE - SE

 Category Code:
 S
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 28 Sep 2010 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 28 Sep 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

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- Critical Acquisition Position (CAPs) are a subset of acquisition positions and Key Leadership Position (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with responsibility and authority that are critical to the success of a program or effort. These positions require management attention with respect to Acquisition Corps membership, tenure and other specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties: Plan, manage, or perform analysis, research, design, development, fabrication, installation, modification, or sustainment of systems or systems components across the entire life cycle:

Apply one or more of the DoD Systems Engineering Technical Processes or Technical Management Processes (See Defense Acquisition Guide, Chapter 4, Section. 4.2) for a specific domain (analytic or engineering specialty) at a subsystem or component level

Technical Processes:

Technical Management Processes:

- stakeholders requirements definition
- requirements analysis
- architectural design
- implementation
- integration
- verification
- validation
- transition

- decision analysis
- technical planning
- technical assessment
- requirements management
- risk management
- configuration management
- technical data management
- interface management.

Typical Line and Staff Position Titles: Project officer, project engineer, scientist, supervising project engineer, computer engineer/scientist, operations research analyst, software engineer, naval architect, specialty engineers

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such as materials or structures engineer, reliability engineer, designing engineer, cost engineer.

Typical Position Locations: Acquisition organizations within the service components (i.e., Systems Commands, Materiel Commands, DRPMs. PEOs, as well as organizations/field activities directly supporting such organizations). Other DoD Components, Agencies and OSD/Service/HQ staff elements performing/supporting acquisition related functions such as: DCMA; research, development, and engineering centers and laboratories; manufacturing and maintenance centers and facilities.

Typical Career Codes:

Civilian Personnel Uniformed Personnel

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
0180 15xx	51S	ASx	61SX	72xx 8059 8832
04xx			62EX	75xx 8820 8836
08xx				8057 8824
13xx				8058 8826

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AT&L Workforce Position Category Description (PCD)

Career Field: Career Path: Short Title Test and Evaluation

Not Uniquely Specified

T&E

 Category Code:
 T
 Ref:
 (a) DoDD 5000.52 dtd 12 Jan 2005

Date Approved: 1 Jun 2010 (b) DoDI 5000.66 dtd 21 Dec 2005

Last Reviewed: 1 Jun 2010 (c) DoD Desk Guide for AT&L Workforce Career

Management dtd 10 Jan 2006

Notes:

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- All acquisition positions require management attention with respect to certification requirements and individual development. See reference (c).
- Critical Acquisition Positions (CAPs) are a subset of acquisition positions and Key Leadership
 Positions (KLPs), are a subset of CAPs. Both CAPs and KLPs represent positions with
 responsibility and authority that are critical to the success of a program or effort. These positions
 require management attention with respect to Acquisition Corps membership, tenure and other
 specific statutory requirements. See reference (c).

General Acquisition Related Duties: The conceptualization, initiation, design, development, test, contracting, production, deployment, logistical support, modification, and disposal of weapons and other systems, supplies, or services (including construction) to satisfy DoD needs, intended for use in, or in support of, military missions.

AT&L Career Field/Path Specific Duties:

- Serves as the Chair, T&E Working-Level Integrated Product Team (T&E WIPT), or member representing the materiel developer, tester, and / or system evaluator.
- Analyzes requirements/capabilities documents to determine testability and measurability.
- Plan, organize, manage, or conduct test and/or evaluation associated with concepts, emerging technologies, and experiments as well as prototypes, new, fielded, or modified C4ISR systems (including IT systems participating in system of systems (SoS), family of systems (FoS), and netcentric services), weapon or automated information systems, equipment or material throughout all acquisition phases to include developmental tests, and support to in-service tests and operational tests.
- Determine scope, infrastructure, resources, and data sample size to ensure system requirements
 are adequately demonstrated; analyze, assess, and evaluate test data/results; prepare reports of
 system performance and T&E findings.
- Develop T&E processes, modify, adapt, tailor, or extend standard T&E guides, precedents, criteria, methods, and techniques, to include Design of Experiments, M&S and Information Assurance T&E and certification.
- Design and use existing or new test equipment, procedures, and approaches.
- Write, edit, and staff a T&E Strategy (TES) or T&E Master Plan (TEMP), as well as system-level and / or individual element test plans.
- Conduct development T&E, and support operational tests, and evaluate and / or analyze test results and /or test data; and prepare and present evaluation/assessment results.

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• Categorize test data, equipment, materiel, or system deficiencies and certify readiness for OT&E.

Typical Line and Staff Position Titles: Assistant PEO for T&E; Assistant PM for T&E; Lead Test Engineer; Lead Experimentation Engineer; Chief Test Engineer; Chief Test Pilot; Test Director/Manager, Test Engineer; Acquisition T&E Department Head; Director, Flight Test Engineering; Test and Experimentation Design Branch Head; and PM T&E Department Head, and Capability Test Team Chair; Portfolio Manager, Chief Test Officer; T&E Analyst; Lead Simulator Engineer.

Typical Position Locations: Service and Defense Agency test centers, major range and test facility base (MRTFB) test facilities, warfare centers, laboratories as well as OSD/Service/HQ staff elements, field activities, and acquisition organizations within the Service components (i.e., Systems Commands, Materiel Commands, DRPMs, and PEOs).

Typical Career Codes:

Civilian Personnel OCC Series Army AOC Navy AQD Air Force AFSC Ma

OCC Series	Army AOC	Navy AQD	Air Force AFSC	Marine Corps MOS
08xx	51A	ATx	11EX 63AX	1302 8057 8824
13xx	51T		12E	1802 8058 8826
15xx			61SX	72xx 8059 8832
2210			62EX	75xx 8820 8836

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