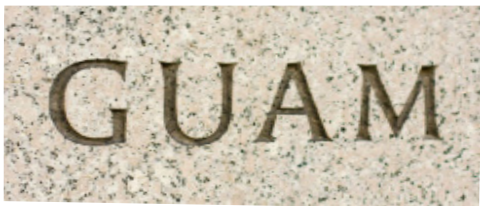




INTERAGENCY COORDINATION GROUP OF INSPECTORS GENERAL FOR GUAM REALIGNMENT ANNUAL REPORT



SECTION 2835 OF THE NATIONAL DEFENSE
AUTHORIZATION ACT FOR FISCAL YEAR 2010

[JANUARY 31, 2014]
(PUBLIC LAW 111-84)

On October 28, 2009, President Obama signed Public Law 111-84, “The National Defense Authorization Act for FY 2010.” Section 2835 of the Act established the Interagency Coordination Group of Inspectors General for the Guam Realignment with the following duties.

PUBLIC LAW 111-84

It shall be the duty of the Interagency Coordination Group to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated or otherwise made available for military construction on Guam and of the programs, operations, and contracts carried out utilizing such funds, including:

- (A) the oversight and accounting of the obligation and expenditure of such funds;*
- (B) the monitoring and review of construction activities funded by such funds;*
- (C) the monitoring and review of contracts funded by such funds;*
- (D) the monitoring and review of the transfer of such funds and associated information between and among departments, agencies, and entities of the U.S. and private and nongovernmental entities;*
- (E) the maintenance of records on the use of such funds to facilitate future audits and investigations of the of such funds; and*
- (F) the monitoring and review of the implementation of the Defense Posture Review Initiative relating to the realignment of military installations and the relocation of military personnel on Guam.*

Not later than February 1 of each year, the chairperson of the Interagency Coordination Group shall submit to the congressional defense committees, the Secretary of Defense, and the Secretary of the Interior a report summarizing, for the preceding calendar year,¹ the activities of the Interagency Coordination Group during such year and the activities under programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Each report shall include, for the year covered by the report, a detailed statement of all obligations, expenditures, and revenues associated with such construction.

¹ Public Law 113-66, “The National Defense Authorization Act for Fiscal Year 2014,” December 26, 2013, changed the period covered by the annual report from calendar year to fiscal year.

Message from the Chairperson, Interagency Coordination Group

I am pleased to provide the fifth annual report of the Interagency Coordination Group of Inspectors General for Guam Realignment. This annual report, as required by Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” October 28, 2009, covers the reporting period from January 1, 2013, through September 30, 2013.

The Interagency Coordination Group focuses on challenge areas for the realignment, including operational readiness, quality of life, contract management, contractor oversight, asset accountability, and financial management. The group considers risks associated with these challenges when developing oversight initiatives.

Two planning groups support the Interagency Coordination Group: the Interagency Planning Group, consisting of representatives of our interagency partners, and the Joint Planning Group, consisting of representatives of the Department of Defense oversight partners. Both groups are led by Department of Defense Inspector General staff, which provide briefings, track trends, coordinate audit de-confliction, and present issues to the Interagency Coordination Group for consideration.

I want to thank those participating as part of the Interagency Coordination Group and those Federal and Defense agencies supporting this effort. As the group goes forward, Congress, the Department, and senior leadership throughout the U.S. Government will use their oversight efforts to minimize the impact on the citizens of Guam, improve the economy and efficiency of vital programs and operations, and sustain the readiness of U.S. forces.



Jon T. Rymer
Inspector General

EXECUTIVE SUMMARY

Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” (NDAA 2010), October 28, 2009, section 2835, designates the Inspector General of the Department of Defense as the chairperson of the Interagency Coordination Group of Inspectors General for Guam Realignment. The chairperson is required to provide an annual report to the congressional defense committees, the Secretary of Defense, and the Secretary of the Interior on the activities of the Interagency Coordination Group and the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. This report contains data collected from multiple organizations. The Department of Defense Office of Inspector General did not independently verify, analyze, or validate the data provided.

The Defense Posture Review Initiative serves as the framework for the future of U.S. force structure in Japan and the U.S. Marine Corps (Marine Corps) realignment to Guam. The purpose of the Defense Posture Review Initiative is to develop a common security view; to review roles, missions, and capabilities; and to review force posture changes. Included in these initiatives are the relocation of Marine Corps personnel to Guam, the return of selected bases and facilities to the government of Japan (GOJ), and the consolidation of some of the remaining U.S. bases and facilities in Japan. Discussions held October 3, 2013, between the U.S. and the GOJ, through the Security Consultative Committee, resulted in significant changes to the realignment plan as well as funding. The Joint Guam Program Office (JGPO) continues to facilitate, manage, and execute requirements associated with the Guam realignment.

This report identifies the programs and operations funded with appropriated amounts or funds otherwise made available for military construction on Guam from January 1, 2013, through September 30, 2013.

- DoD obligated approximately \$44.5 million and expended approximately \$137.9 million. Other Federal agencies obligated approximately \$9.9 million and expended approximately \$17.7 million. (Section 1)
- DoD identified 180 projects and programs, totaling approximately \$133.9 million, with estimated completion costs of approximately \$283.1 million. Other Federal agencies identified 28 projects and programs, totaling approximately \$17.7 million, with estimated completion costs of approximately \$19.2 million. (Section 2)
- GOJ provided revenues of \$114.3 million and earned approximately \$1.4 million in interest associated with revenues contributed to date. (Section 3)
- DoD identified operating expenses of approximately \$19.5 million. Other Federal agencies identified operating expenses of approximately \$404,600. (Section 4)
- DoD identified a total of 48 contracts, grants, agreements, or other funding mechanisms valued at approximately \$31.4 million. Other Federal agencies identified a total of 15 contracts, grants, agreements, or other funding mechanisms valued at approximately \$10.2 million. (Section 5)

Table of Contents

Guam Realignment Posture Changes	1
Efforts of the Interagency Coordination Group (Section 2835(e)(1))	5
Section 1. U.S. Government Obligations and Expenditures Data (Section 2835(e)(1)(A))	9
Section 2. Project and Program Costs (Section 2835(e)(1)(B))	13
Section 3. Government of Japan Revenue (Section 2835(e)(1)(C))	29
Section 4. Operating Expenses (Section 2835(e)(1)(D))	33
Section 5. Contracts, Grants, Agreements, or Other Funding Mechanisms Data (Section 2835(e)(1)(E))	37
Appendix. Acronyms	52



U.S. Navy aircraft carrier navigates to the Port of Guam.
Source: Department of Defense

Guam Realignment Posture Changes

CONGRESSIONAL ACTIONS

On December 26, 2013, the President signed Public Law 113-66, “The National Defense Authorization Act for Fiscal Year 2014,” (NDAA 2014). Section 2821 of the NDAA 2014 changes the reporting period from calendar year to fiscal year for the Interagency Coordination Group of Inspector General for Guam Realignment (ICG) annual report. To reflect this change, 1st quarter FY 2013 data (October 2012 through December 2012) will be omitted to prevent double reporting from the previous report. This report includes fiscal year data for a 9-month partial year (January 2013 through September 2013). Successive reports will address the full fiscal year, October 1 through September 30.

Section 2822 of the NDAA 2014 prohibits obligations or expenditures for the Guam realignment, including funds provided by the GOJ until the Secretary of Defense submits to the congressional defense committees the following:

- the report required by section 1068(c) of the National Defense Authorization Act for Fiscal Year 2013;
- master plans for the construction of facilities and infrastructure to execute the Marine Corps distributed lay-down on Guam, including a detailed description of costs and the schedule for the construction; and
- a plan coordinated by all pertinent Federal agencies detailing descriptions of work, costs, and a schedule for completion of construction, improvements, and repairs to the nonmilitary utilities, facilities, and infrastructure on Guam affected by the realignment of forces.

Four exceptions allow the Secretary of Defense to expend funds to:

- complete additional analysis or studies required under the National Environmental Policy Act of 1969 for proposed actions on Guam;
- initiate planning and design of construction projects on Guam;
- carry out any military construction project for which an authorization of appropriations is provided in section 2204; and
- carry out the construction of a utility and site improvement project to support the north ramp expansion at Andersen Air Force Base.

Section 2822 also provides restrictions on the development of public infrastructure. Specifically, if the Secretary of Defense determines that any grant, corporative agreement, transfer of funds to another Federal agency, or supplemental funds available in fiscal year 2014 under Federal programs administered by agencies other than DoD, will result in the development of public infrastructure on Guam, the Secretary may not carry out such unless specifically authorized by law.

Section 2822 further requires that the Secretary of Defense:

- assemble an Economic Adjustment Committee to consider assistance, including assistance to support public infrastructure requirements, necessary to support the preferred alternative for the relocation of Marine Corps forces to Guam;
- submit a report to congressional defense committees describing the results of the Economic Adjustment Committee deliberations and containing an implementation plan to support the

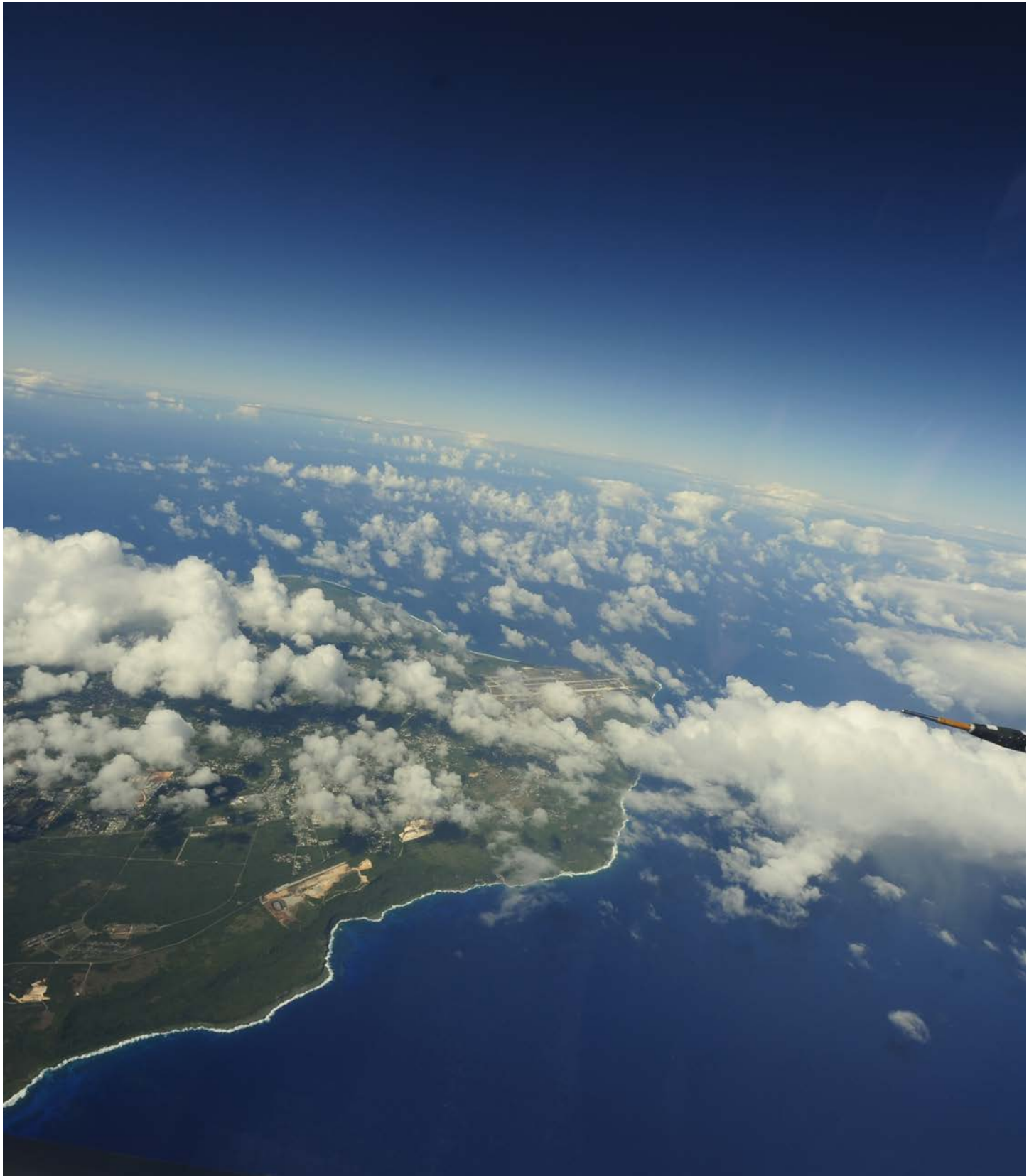
- preferred alternative for the relocation of Marine Corps forces to Guam; and
- provide the report to the congressional defense committees no later than the date of the Record of Decision for the relocation of Marine Corps forces to Guam associated with the “Guam and Commonwealth of Northern Mariana Islands Military Relocation Supplemental Environmental Impact Statement.”

SECURITY CONSULTATIVE COMMITTEE

In October 2013, the U.S. and GOJ held a round of Security Consultative Committee talks. Secretary of State, John F. Kerry; Secretary of Defense, Charles “Chuck” Hagel; Minister for Foreign Affairs, Fumio Kishida; and Minister of Defense, Itsunori Onodera attended the meetings. The Security Consultative Committee reaffirmed the indispensable role of the U.S. and Japan in the maintenance of international peace and security and reconfirmed the alliance’s commitment to the security of Japan through the full range of U.S. military capabilities. In a joint statement, the U.S. Secretaries of State and Defense and Japan’s Ministers for Foreign Affairs and Defense stated that they had set forth a strategic vision that reflects shared values of democracy, the rule of law, free and open markets, and respect for human rights; and will effectively promote peace, security, stability, and economic prosperity in the Asia-Pacific region.

The Ministers confirmed that the relocation of Marine Corps personnel from Okinawa to locations outside of Japan, including Guam, contributes to sustaining the forward presence of U.S. forces and facilitates the development of Guam as a strategic hub while also mitigating the impact on Okinawa. Additionally, the Ministers:

- announced the signing of a protocol to amend the 2009 Guam International Agreement, which forms the basis for the bilateral cooperation necessary to achieve the goals of the relocation.
- noted the significance of Japanese cash contributions to the development of training areas in Guam and the Commonwealth of Northern Mariana Islands and directed that consultations on the terms and conditions related to the use of these training areas be initiated within this year.
- completed work reflecting the breakdown of costs associated with developing facilities, including training areas, and infrastructure in Guam and the Commonwealth of Northern Mariana Islands supporting the relocation of U.S. Marines.
- reconfirmed the relocation plan described in the 2012 Security Consultative Committee Joint Statement. Under the plan, Marine Corps units are required to begin relocation from Okinawa to Guam in the first half of the 2020s. The Ministers confirmed that this plan’s progress depends on various factors, such as measures taken by the two governments to secure appropriate funding. This plan also facilitates progress in implementing the April 2013 Consolidation Plan for Facilities and Areas in Okinawa.



Andersen Air Force Base, Guam, is photographed from an F-16D *Fighting Falcon* during Exercise Cope North.
Source: Department of Defense

EFFORTS OF THE INTERAGENCY COORDINATION GROUP

SECTION 2835(e)(1)

Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” (NDAA 2010), October 28, 2009, section 2835, designates the Inspector General of the DoD as the chairperson of the ICG. Additionally, the Inspector General of the Department of the Interior (DOI) is a required member.

The ICG is responsible for conducting, supervising, and coordinating oversight activities to monitor the use of funding for the Guam realignment. To assist with developing a strategy for these oversight activities, the ICG chairperson established the Guam Interagency Planning Group.

ICG OVERSIGHT DUTIES

Section 2835 of the NDAA 2010 requires the ICG to provide objective supervision of audits and investigations relating to the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Specifically, the ICG oversight duties are to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated for military construction on Guam.

AUDIT REPORTS COMPLETED JANUARY 1 THROUGH SEPTEMBER 30, 2013

From January 1, 2013, through September 30, 2013, members of the ICG completed one audit related to the realignment of forces to Guam. That audit was completed by the Department of Transportation (DOT) Office of Inspector General (OIG) and focused on port infrastructure development efforts.

The DOT OIG issued Report No. CR-2013-117, “MARAD Has Taken Steps To Develop a Port Infrastructure Development Program but Is Challenged in Managing Its Current Port Projects,” on August 2, 2013. The audit objective was to evaluate the Agency’s execution of its port infrastructure development responsibilities. Specifically, DOT OIG evaluated the Maritime Administration’s oversight and risk management of port infrastructure development projects and oversight of port infrastructure projects’ contract planning and administration. The DOT OIG found that the Maritime Administration did not establish effective oversight mechanisms when it initiated its port infrastructure development responsibilities. In the absence of clear statutory guidance, the Maritime Administration did not:

- adequately define its port project oversight responsibilities or provide guidance to contractors for developing program management plans;
- establish a sound risk management process consistent with industry best practices; or
- have a process to systematically store, maintain, and track project progress and funds.

DOT OIG provided nine recommendations to the Maritime Administrator. The recommendations included development of guidance for port management plans, definition and documentation of Maritime Administrator responsibilities, and development of risk management policies and procedures.

ON GOING OR PLANNED AUDITS

The DOI OIG is conducting two evaluations in the following areas: (1) the Guam Memorial Hospital and (2) the Guam Department of Revenue and Taxation/Gross Receipts Tax. The objectives for the Guam Memorial Hospital evaluation are to assess the hospital’s ability to meet the medical care needs of the citizens of Guam; prepare for the increase in population from the military relocation; and

identify whether opportunities exist to enhance medical care services. The objective for the Guam Department of Revenue and Taxation evaluation is to determine if the Department of Revenue and Taxation has a process in place to identify and collect Contractors' Gross Receipts Tax and Business Income Tax.

INVESTIGATIONS

From January 1, 2013, through September 30, 2013, the Defense Criminal Investigative Service and the Naval Criminal Investigative Service engaged in investigations related to programs and operations funded with amounts appropriated or otherwise made available for military realignment construction on Guam, as shown in Table 1.

Table 1. Guam Military Realignment Investigative Working Group Investigations

Investigative Agency	Type of Investigation	Status of Investigation
Defense Criminal Investigative Service	False Statement/Claims	Open
Naval Criminal Investigative Service	Product Substitution	Open

Source: Defense Criminal Investigative Service's response to DoD OIG data call, 1/21/2014.



Marine Corps CH-53 helicopters prepare for flight.
Source: Department of Defense

1

U.S. GOVERNMENT OBLIGATIONS AND EXPENDITURES DATA

SECTION 2835(e)(1)(A)

Public Law 111-84 states that not later than February 1 of each year, the ICG chairperson must report on the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Each report shall include a detailed statement of all obligations and expenditures.

U.S. FUNDING FOR REALIGNMENT

Construction of new facilities, improvements to existing facilities, and improvements to infrastructure will result from the movement of the Marine Corps forces to Guam. As reported by the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer on January 16, 2014, funds were appropriated for a military construction project on March 26, 2013, for approximately \$24.5 million at Guam (north ramp parking).

DoD OBLIGATIONS AND EXPENDITURES

The DoD OIG requested the Under Secretary of Defense (Comptroller/Chief Financial Officer); Assistant Secretary of the Army (Financial Management and Comptroller); Assistant Secretary of the Navy (Financial Management and Comptroller); Assistant Secretary of the Air Force (Financial Management and Comptroller); Director, Defense Finance and Accounting Service; and the Program Resource Director/Chief Financial Officer, Joint Guam Program Office to provide obligation and expenditure data supporting the Marine Corps realignment to Guam. From January 1, 2013, through September 30, 2013, DoD obligated approximately \$44.5 million and expended approximately \$137.9 million. Table 2 identifies the amounts obligated and expended for each appropriation from January 1, 2013, through September 30, 2013.

Table 2. DoD Obligations and Expenditures Related to the Guam Realignment

Appropriation	Obligations	Expenditures
Military Construction, Navy and Marine Corps	\$25,788,022	\$79,683,069
GOJ	6,788,965	40,239,444
Operation and Maintenance, Marine Corps	11,971,618	17,999,013
Total	\$44,548,605	\$137,921,526

Source: Naval Facilities Engineering Command's response to DoD OIG data call, 12/02/2013 and Headquarters Marine Corps' response to DoD OIG data call, 11/07/2013.

OTHER GOVERNMENT AGENCIES

From January 1, 2013, through September 30, 2013, DOT reported obligations of approximately \$9.9 million and expended approximately \$17.7 million related to programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Table 3 shows other government agency obligations and expenditures.

Table 3. Other Government Agency Obligations and Expenditures Related to the Guam Realignment

Agency	Obligations	Expenditures
DOT	\$9,895,942	\$17,696,921
Total	\$9,895,942	\$17,696,921

Source: DOT's response to DoD OIG data call, 11/15/2013.



U.S. Navy attack submarine in the Port of Guam.
Source: Department of Defense

2

PROJECT AND PROGRAM COSTS

SECTION 2835(e)(1)(B)

Section 2. Project and Program Costs (Section 2835(e)(1)(B))

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the costs incurred to date and costs estimated to complete each DoD or DOI military construction project or program. The report must identify all projects and programs associated with the realignment of military installations and the relocation of military personnel to Guam.

DoD AND GOJ PROJECT AND PROGRAM LIST

From January 1, 2013, through September 30, 2013, DoD identified 180 projects and programs, totaling approximately \$133.9 million, with estimated completion costs of \$283.1 million. Specifically, Naval Facilities Engineering Command, Pacific reported 48 projects and Headquarters Marine Corps reported 132 projects that were directly associated with the realignment of military installations and military personnel to Guam. The Naval Facilities Engineering Command, Pacific projects incurred costs of approximately \$119.9 million with estimated completion costs of approximately \$240.8 million. The Headquarters Marine Corps projects incurred costs of approximately \$13.9 million with estimated completion costs of approximately \$42.2 million. Table 4 identifies the DoD and GOJ projects and programs, and their associated costs.²

Table 4. Costs for DoD and GOJ Projects and Programs

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
J001, GOJ Construction; Utilities & Site Improv, PH 1 (Apra Harbor)	UTIL & SITE IMPRV, PH 1 (APRA HARBOR)	8/11/2011	\$169,000,000.00	\$63,895,074.72	\$33,364,490.01	\$105,104,925.28
J001A, GoJ Construction; Utilities & Site Improv, PH 1 (AAFB)	UTIL & SITE IMPRV, PH 1 (AAFB)	8/11/2011	27,000,000.00	14,831,988.65	6,815,965.81	12,168,011.35
J006, GOJ Design, Apra Medical Clinic	APRA MEDICAL CLINIC (APR HARBOR)	2/18/2010	2,977,922.68	2,794,573.77	-	183,348.91
J007, GOJ Design, Waterfront Headquarters Bldg	WATERFRONT HEADQUARTERS BUILDING	1/11/2010	2,047,463.24	1,972,746.26	-	74,716.98
J008, GOJ Design, Fire Station	FIRE STATION (FINEGAYAN)	1/11/2010	1,808,419.73	985,817.34	59,041.45	822,602.39
J010, GOJ Design, BEQ Complex	BEQ COMPLEX	2/27/2010	3,423,815.21	3,025,071.85	(53.07)	398,743.36
100; Construction; North Ramp Utilities	DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	4/6/2011	21,000,000.00	14,974,608.23	8,838,609.96	6,025,391.77
1003; Construction; Military Working Dog	MILITARY WORKING DOG RELOC, APRA HRBR	9/29/2010	13,000,000.00	6,600,674.88	3,071,687.51	6,399,325.12

² The table includes negative numbers listed in parenthesis, which represent de-obligations.

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
1006; Construction: Defense Access Road Improvements (Agana)	DAR/DPRI-DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	7/13/2011	7,825,000.00	438,866.62	170,420.09	7,386,133.38
101; Construction; North Ramp Parking	DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	4/6/2011	88,797,000.00	71,249,735.90	31,557,240.72	17,547,264.10
101A; Construction; North Ramp Parking Inc 2	DPRI-NORTH RAMP PARKING, INC 2 (AAFG)	8/7/2013	24,458,000.00	-	-	24,458,000.00
204; Construction, Apra Harbor Wharf Improvement	APRA HARBOR WHARF IMPRV, INC 1 OF 2	9/30/2010	125,000,000.00	98,967,197.77	30,109,935.23	26,032,802.23
204A; DPRI/APRA Harbor Wharf Improvement 2	DPRI/APRA HARBOR WHARF IMPROVEMENT 2	11/27/2012	39,104,634.00	5,239,649.74	5,239,649.74	33,864,984.26
010; Design, PDM IV MARINE CORPS MILCON	PDM IV MARINE CORPS MILCON	4/15/2008	2,236,411.78	2,236,411.78	-	-
100; Design, DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	12/3/2009	1,221,699.65	1,221,699.65	-	-
1003; Design, DPRI-MILITARY WORKING DOG RELOC, APRA HRBR	DPRI-MILITARY WORKING DOG RELOC, APRA HRBR	10/25/2008	1,539,323.09	1,539,323.09	-	-
100A; Design, North Ramp Utilities	DPRI-NORTH RAMP UTILITIES INC 2 OF 2	1/15/2011	743,826.97	743,826.97	7.00	-
101; Design, DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	12/3/2009	2,189,290.22	2,189,290.22	(78.45)	-
101A; Design, DPRI-North Ramp Parking Inc 202	DPRI-NORTH RAMP PARKING, INC 2 OF 2		598,397.64	598,397.64	126,795.69	-
109; Design, DPRI-AIRCRAFT MAINTENANCE HANGAR (NORTH RAMP)	DPRI-AIRCRAFT MAINTENANCE HANGAR (NORTH RAMP)	3/14/2009	1,168,800.63	808,888.78	568,801.15	359,911.85
110; Design, DPRI-SITE PREP AND UTILITIES, PHASE 1 (FINEGAYAN)	DPRI-SITE PREP AND UTILITIES, PHASE 1 (FINEGAYAN)	9/30/2009	6,266,949.08	6,266,949.08	-	-
111; Design, DPRI-APRA HARBOR VICTOR WHARF IMPR	DPRI-APRA HARBOR VICTOR WHARF IMPR	11/25/2009	1,251,285.75	1,251,285.75	-	-
113; Design, DPRI-FINEGAYAN SITE PREP AND UTILITIES - PHASE 2	DPRI-FINEGAYAN SITE PREP AND UTILITIES - PHASE 2	2/13/2010	491,128.40	491,128.40	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
2006; Design, DPRI- Apra Harbor Embark Facilities (Agana)	DPRI-APRA HARBOR EMBARK FACILITIES (AGANA)	1/30/2010	509,002.54	509,002.54	-	-
2014; Base Communications Center	DPRI-BASE COMMUNICATIONS CENTER	11/9/2010	288,633.45	288,633.45	-	-
204; Design, DPRI-APRA HARBOR WHARF IMPRV, INC 1 OF 2	DPRI-APRA HARBOR WHARF IMPRV, INC 1 OF 2	2/14/2009	6,395,660.96	6,395,660.96	-	-
2048; Design, DPRI-Finegayan Water Utilities	DPRI-FINEGAYAN WATER UTILITIES	1/28/2011	677,391.86	677,391.86	-	-
205; Design, DPRI-Defense Access Road Improvements (Agana)	DPRI-DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	6/27/2011	10,272.11	10,272.11	-	-
598; Design, DPRI-Training Land Acquisition (Rt 15 Andy South)	DPRI-TRAINING LAND ACQUISITION (RT 15) (ANDY SOUTH)	3/28/2011	79,697.92	79,697.92	-	-
599; Design, DPRI-COMBAT LOGISTIC COMPANY FACILITIES (FINEGAYAN)	DPRI-COMBAT LOGISTIC COMPANY FACILITIES (FINEGAYAN)	12/19/2009	408,851.66	408,851.66	-	-
600; Design, DPRI DAR Road Realignment Rt 15	DPRI-DAR ROAD REALIGNMENT, ROUTE 15	6/27/2011	6,486.91	6,486.91	-	-
601; Design, DPRI-AVIATION FACILITIES AT NORTH RAMP	DPRI-AVIATION FACILITIES AT NORTH RAMP	12/19/2009	272,296.30	272,296.30	-	-
602; Design, DPRI-III MARINE EXPEDITIONARY FORCE HQ (FINEGAYAN)	DPRI-III MARINE EXPEDITIONARY FORCE HQ (FINEGAYAN)	2/13/2010	466,909.48	466,909.48	-	-
603; Design, DPRI-FINEGAYAN SITE PREP AND UTILITIES - PH 3	DPRI-FINEGAYAN SITE PREP AND UTILITIES - PH 3	1/30/2010	104,839.78	104,839.78	-	-
604; Design, DPRI-OPERATIONS AND ARMORY FACILITIES (FINEGAYAN)	DPRI-OPERATIONS AND ARMORY FACILITIES (FINEGAYAN)	2/13/2010	552,138.33	552,138.33	-	-
606; Design, DPRI-CORROSION CONTROL FACILITY (FINEGAYAN)	DPRI-CORROSION CONTROL FACILITY (FINEGAYAN)	12/19/2009	405,172.41	405,172.41	-	-
700; Design, DPRI-INDOOR MULTIPURPOSE RANGE	DPRI-INDOOR MULTIPURPOSE RANGE	10/27/2010	79,598.62	79,598.62	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
705;Design, DPRI-GAS CHAMBER	DPRI-GAS CHAMBER	10/27/2010	34,287.07	34,287.07	-	-
710;Design, DPRI-ENGINEERING PIT	DPRI-ENGINEERING PIT	10/27/2010	55,917.95	55,917.95	-	-
J001X; Design, UTILITIES AND SITE IMPROVEMENTS - PH 1	UTILITIES AND SITE IMPROVEMENTS - PH 1	2/14/2009	8,543,939.61	8,543,939.61	-	-
J006X Design, Apra Medical Clinic	APRA MEDICAL CLINIC (APR HARBOR)	4/11/2009	940,472.72	940,472.72	-	-
J007X Design, Waterfront Headquarters Bldg	WATERFRONT HEADQUARTERS BUILDING	4/11/2009	899,842.04	899,842.04	-	-
J008X Design, Fire Station	FIRE STATION (FINEGAYAN)	4/25/2009	865,657.55	865,657.55	-	-
J011; Design, BASE ADMINISTRATIVE BUILDING (FINEGAYAN)	BASE ADMINISTRATIVE BUILDING (FINEGAYAN)	4/10/2010	903,875.58	903,875.58	-	-
J012; Design, MARINE LOGISTICS GROUP (MLG) HQ BUILDING	MARINE LOGISTICS GROUP (MLG) HQ BUILDING	4/10/2010	918,439.22	918,439.22	-	-
J015; Design, ENLISTED DINING FACILITY	ENLISTED DINING FACILITY	4/10/2010	863,297.34	863,297.34	-	-
J016; Design, BACHELORS ENLISTED QUARTERS COMPLEX	BACHELORS ENLISTED QUARTERS COMPLEX	4/10/2010	748,297.93	748,297.93	-	-
J017; Design, UTILITIES AND SITE IMPROVEMENTS - PH 2	UTILITIES AND SITE IMPROVEMENTS - PH 2	7/4/2009	6,416,763.06	6,416,763.06	-	-
Subtotal			\$574,597,110.47	\$333,770,949.49	\$119,922,512.84	\$240,826,160.98
Headquarters Marine Corps						
M200213WR00013	FY13 NAVFAC In-House Labor - Biosecurity Program Management	10/1/2012	38,060.00	38,060.00	23,310.00	-
M200213WR00014	FY13 NAVFAC In-House Labor - Fruit Bat and Crow Recovery Action on Rota	10/1/2012	5,000.00	5,000.00	2,500.00	-
M200213WR00015	FY13 NAVFAC In-House Labor - Guam Historical Preservation Plan	10/1/2012	8,300.00	6,900.00	4,150.00	1,400.00

SECTION 2835(e)(1)(B)

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
M2002113WR00017	FY13 NAVFAC MAR Coordination & Support	10/1/2012	1,217,305.00	1,187,305.00	772,878.00	30,000.00
M2002113WR00019	FY13 NAVFAC In-House Labor - Guam SHPO Reporting	10/1/2012	5,100.00	5,100.00	5,100.00	-
M2002113WR00020	FY13 NAVFAC In-House Labor - National Register Nominations	10/1/2012	21,360.00	21,360.00	15,010.00	-
M2002113WR00021	FY13 NAVFAC In-House Labor - Orote Ecological Reserve Area	10/1/2012	7,200.00	7,200.00	2,200.00	-
M2002113WR00029	FY13 NAVFAC In-House Labor - MCBH Optimization Plan	5/21/2013	52,270.00	52,270.00	52,270.00	-
M2002113WR00030	FY13 NAVFAC In-House Labor - Oahu Land Use Study	5/21/2013	60,800.00	60,800.00	60,800.00	-
M2002113RC00029	FF&E for Military Working Dog Facility	9/17/2013	143,239.48	143,239.48	143,239.48	-
M2002113PO00009	SPAWAR - MILCON RAD	9/6/2013	140,900.00	140,900.00	140,900.00	-
M2002113MP00044	POL Synchronization Study	11/13/2013	201,094.00	201,094.00	201,094.00	-
D-0013	Update to FHWA Guam Build-up Traffic Study	6/18/2010	56,586.58	56,586.58	-	-
D-0014	Bldg 207/228/155 Renovation Project	9/16/2010	4,377,718.40	4,371,669.61	162,673.25	6,048.79
D-0016	Comprehensive Base Dev Study, Andersen AFB	6/18/2010	1,173,557.70	1,158,280.21	-	15,277.49
E-0004	Draft EIS	6/12/2009	3,501,435.40	3,501,061.71	-	373.69
E-0005	Final EIS Support	3/17/2010	1,932,139.18	1,932,138.00	-	1.18
E-0008	On-Base Traffic Analysis	8/20/2009	275,262.00	275,262.00	-	-
E-0009	Water Study	5/28/2009	13,080.95	13,080.95	-	-
E-0011	Electrical Study	7/23/2009	40,713.00	40,713.00	-	-
E-0012	Solid Waste Management Plan (IHS)	3/17/2010	20,000.00	20,000.00	-	-
E-0016	Natural Resource Study	7/23/2009	367,066.00	367,066.00	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
E-0017	Cultural/ Historical Resource Study	6/11/2009	292,711.43	292,711.43	-	-
E-0025	CNMI Natural Resource Survey	4/10/2009	7,011,986.00	5,252,445.26	956.17	1,759,540.74
E-0031	Administrative Record Review	8/6/2009	1,131,734.95	1,130,028.31	213.36	1,706.64
E-0033	Sierra Wharf Dredge Material Permitting	7/23/2009	-	-	-	-
E-0037	Cultural Resources Study	5/13/2010	4,959.00	4,959.00	-	-
E-0039	Cultural Landscape Report, Tinian N. Field	5/13/2010	19,965.00	19,965.00	-	-
E-0040	Socioeconomic Study Guam Buildup	5/6/2011	285,397.00	285,397.00	-	-
E-0041	Finegayan	5/13/2010	10,375.00	10,375.00	-	-
E-0044	Utility Study, Water (Lost River)	5/13/2010	13,288.01	13,288.01	-	-
E-0045	Biosecurity Plan	10/17/2011	2,496,044.56	2,492,356.05	123,388.16	3,688.51
E-0046	Utility Study New Wells	2/28/2009	1,918,150.74	1,912,180.74	-	5,970.00
E-0047	Air Impact Study	5/28/2009	175,706.00	175,706.00	-	-
E-0048	Apra Harbor Landfill Groundwater Study	2/28/2009	88,785.00	88,785.00	-	-
E-0049	Aquifer Sustainable Yield	2/28/2009	22,948.00	22,948.00	-	-
E-0050	Cycling Demolition (C&D) Recycling Study	12/29/2009	491,998.00	491,998.00	-	-
E-0051	Archaeological Curation	7/24/2009	315,466.03	308,180.90	-	7,285.13
E-0052	Haul Roads	2/28/2009	3,780,121.33	3,696,610.00	-	83,511.33
E-0053	Special Airspace Feasibility Study	7/24/2009	1,010,921.00	784,379.13	35,061.55	226,541.87
E-0054	Bats and Snails	7/23/2009	70,227.00	70,227.00	-	-
E-0056	Mod Alt 2	7/23/2009	219,970.00	219,970.00	-	-
E-0057	Adaptive Management	7/23/2009	223,338.00	223,338.00	-	-
E-0060	Tinian Training Ranges EIS	8/17/2009	100,237.00	100,237.00	-	-
E-0061	LEED Sustainability Study	8/17/2009	744,989.00	744,989.00	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
E-0063	Drainage Low Impact Development	8/20/2009	518,591.78	518,591.78	-	-
E-0064	Paper Wasp Attractant Study	9/1/2010	90,886.00	50,854.98	12,870.76	40,031.02
E-0066	On-Base Utility Study	9/24/2009	69,797.00	69,797.00	-	-
E-0067	Wetlands Delineation Study	12/29/2009	188,822.17	180,855.35	-	7,966.82
E-0069	Ground Water Study	12/22/2011	1,368,350.00	1,113,375.17	93,339.45	254,974.83
E-0070	Emissions Calculations MSAT	5/13/2010	25,745.00	25,745.00	-	-
E-0071	Northern District Waste Water Treatment Plant (NDWTP) Diffuser Study	5/13/2010	1,241.00	1,241.00	-	-
E-0077	Public Comment Assistance - Part I	2/18/2010	365,921.00	365,921.00	-	-
E-0079	Sewer Interceptor	4/10/2009	104,659.00	104,659.00	-	-
E-0080	DEIS Executive Summary Translation	12/16/2009	2,000.00	2,000.00	-	-
E-0083	EIS Tiger Team Additional Spt	2/19/2010	479,134.65	479,134.65	-	-
E-0087	Arch Mitigation	10/20/2011	888,139.48	119,409.90	-	768,729.58
E-0089	WWTP Upgrade	5/13/2010	2,441.00	2,441.00	-	-
E-0090	Barrigada Water Utility Study	3/31/2010	29,210.55	29,210.55	-	-
E-0091	Power Utility Study Update	5/21/2009	39,484.19	38,862.82	-	621.37
E-0092	Utility Tech Update Admin Record	6/17/2011	52,105.65	52,105.65	-	-
E-0093	Waste Water Utility Update	3/31/2010	45,796.07	45,796.07	-	-
E-0095	Integrated Solid Waste Management Plan (ISWMP)	2/4/2010	225,339.53	159,880.56	44,218.00	65,458.97
E-0096	Coastal Consistency Determination	7/15/2010	19,956.00	19,879.06	-	76.94
E-0098	Revised Hardcopies of FEIS	8/20/2010	22,188.00	22,188.00	-	-
E-0099	Guam Wellhead Protection Regulations	9/15/2010	37,591.00	37,591.00	-	-
E-0100	Finegayan Landfill No 2 Site Investigation	9/16/2010	471,871.04	470,606.02	734.35	1,265.02

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
E-0101	Follow-on NEPA Terrestrial Mitigation	12/23/2010	982,938.96	231,743.73	-	751,195.23
E-0102	Cultural Resources Consultation and Mitigation	10/28/2010	856,869.97	321,738.50	17,368.53	535,131.47
E-0103	ROD Litigation	10/17/2011	86,595.00	86,595.00	-	-
E-0104	Follow-on NEPA Terrestrial Mitigation	10/25/2010	313,025.18	124,802.63	-	188,222.55
E-0105	Pesticide Soil Impacted Management Plan	10/25/2010	62,222.18	62,222.18	-	-
E-0106	Support SIR Preparation	5/20/2011	71,471.88	71,471.88	-	-
E-0109LA	Naval Munitions Site Alts SEIS	12/23/2011	2,296,608.58	1,404,918.31	1,167,475.90	891,690.27
E-0109LB	NEPA Scoping SEIS	12/23/2011	635,172.00	624,898.00	-	10,274.00
E-0109LC	Watershed Recon Studies SEIS	12/23/2011	210,219.00	206,842.38	50,565.89	3,376.62
E-0109LD	LFTRC SEIS	12/23/2011	1,235,506.00	1,153,458.75	528,316.05	82,047.25
E-0109LQ	SEIS	12/23/2011	9,717.30	9,717.30	-	-
E-0109VE	Training Range Alts SEIS	12/23/2011	2,122,266.00	1,684,165.20	976,519.28	438,100.80
E-112LA	Candidate Species Survey	7/16/2012	364,450.43	102,041.19	102,041.19	262,409.24
E-112LB	Socioeconomic Study - SEIS	7/16/2012	801,406.00	424,668.17	373,391.17	376,737.83
E-112LC	NOI Scoping, Draft, & Final SEIS	7/13/2012	6,907,394.00	268,907.00	-	6,638,487.00
E-112LD	Additional Studies	8/23/2012	678,547.00	126,044.68	98,523.91	552,502.32
E-112LF	FHWA Traffic Study	5/16/2013	1,684,053.47	3,435.65	3,435.65	1,680,617.82
E-112VE	Phase II Planning	7/13/2012	4,113,715.00	3,165,549.19	3,080,732.19	948,165.81
H-0001	Guam Housing Special Purpose Entity (PPV)	8/20/2009	393,191.00	393,191.00	-	-
J-0023	BOQ 1391s	4/6/2010	295,613.62	295,613.62	-	-
J-0025	Finegayan Med/Dental Clinic 1391s	4/6/2010	259,538.84	259,538.84	-	-
J-002X	Admin Facilities 1391s	4/6/2010	683,407.57	680,910.85	-	2,496.72
N-0038	Acoustic Monitoring	10/25/2010	425.13	425.13	-	-
P-0001	Master Plan, Phase II (IHS)	3/17/2010	410,000.00	410,000.00	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
P-001B	Master Plan Phase III	8/5/2011	4,647,914.07	1,647,914.07	182,303.00	3,000,000.00
P-0004	Project Documentation	9/30/2009	4,000,000.00	36,324.24	-	3,963,675.76
P-0012	Develop 1391s and DPR1 Project Support	7/24/2009	932,997.54	570,628.52	63,434.35	362,369.02
P-0015	Master Plan Phase II	9/3/2009	776,117.00	776,089.83	-	27.17
P-0030	Tinian MP Phase II	7/23/2009	1,093,790.95	997,635.95	1,000.00	96,155.00
P-0044	SPE Consultant	2/6/2009	198,020.00	198,020.00	-	-
P-0046	SPE Construct	1/7/2009	5,591,773.70	5,591,619.95	-	153.75
P-0050	Historical Ordnance	7/1/2009	108,447.00	108,447.00	-	-
P-0054	Barrigada Study	5/4/2009	388,367.00	388,367.00	-	-
P-0055	Master Plan Alts 1,3,8	8/23/2011	99,817.00	99,817.00	-	-
P-0057	Traffic Study	7/24/2009	163,698.00	163,698.00	-	-
P-0059	Combustion Turbine Study	2/19/2010	421,643.00	292,254.70	-	129,388.30
P-0060	Explosive Safety	4/8/2011	846,183.21	306,351.45	-	539,831.76
P-0062	ATFP Blast Analysis	4/6/2010	106,937.33	106,937.33	-	-
P-0063	Tinian Pagan Topographic Contour Data	3/25/2010	288,549.37	288,549.37	-	-
P-0064	Guam Community Integrated Master Plan	8/20/2010	569,204.44	569,204.44	-	-
P-0065	Facility Management Sustainment (FMS) Work Plan	3/11/2011	83,771.28	83,771.28	-	-
P-0066	Utility Energy Management (UEM) Work Plan	3/11/2011	51,800.63	51,800.63	-	-
P-0075	Alternative Laydown Plans	8/5/2011	2,765,240.00	1,104,865.11	861,912.15	1,660,374.89
R-0001	Appraisal Guam (less Harmon)	9/3/2009	967,452.01	864,948.74	-	102,503.27
R-0003	Boundary Survey (FAA Parcel)	7/24/2009	210,199.00	204,360.38	-	5,838.62
R-0005	Title Evidence (HIS)	3/18/2010	14,606.88	14,300.00	-	306.88
R-0007	Environmental Baseline Study	5/7/2009	920,408.08	548,318.44	936.36	372,089.64
R-0008	Environmental Site Assessment - Route 15	10/25/2010	11,081.83	10,956.43	-	125.40
R-0009	Relocation Assistance Plan	11/13/2009	126,448.00	50,756.80	-	75,691.20
R-0011	Finding of Suitability, Guam	3/17/2010	533,141.36	8,141.36	-	525,000.00
R-0013	Environmental Baseline SPE	3/22/2010	461,756.23	460,758.14	-	998.09

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 30, 2013	Estimated Costs to Complete
S-0004	NAVFAC HQ Labor Support	3/24/2009	2,331,072.50	2,165,322.25	-	165,750.25
S-0005	NAVFAC PAC Yearly Labor Support	2/24/2009	17,539,928.06	14,905,033.07	1,567,241.84	2,634,894.99
S-0006	NAVFAC MAR Yearly Labor Support	1/26/2010	5,565,611.00	3,703,771.37	8.51	1,861,839.63
S-0010	Program Support Contract	9/10/2009	3,039,981.83	2,629,212.14	-	410,769.69
S-0012	PIB IPR Facilitation	2/26/2010	156,600.00	156,600.00	-	-
S-0014	GP MO NAVFAC PAC SPAWAR C4ISR/IT Liaison	3/7/2011	2,279,737.51	256,387.87	-	2,023,349.64
S-0015	Guam Program Support	9/29/2011	9,040,299.00	3,454,018.60	241,341.61	5,586,280.40
S-0016	NAVFAC PAC JV Management Support	9/28/2010	1,283,479.00	1,283,479.00	-	-
U-0001	Northern District Waste Water Treatment Plant (NDWWTP)	7/23/2009	296,426.16	296,426.16	-	-
U-0002	Increase Pump Rate, Marbo Wells 5-9	9/25/2010	1,228,387.00	1,214,181.48	51,169.27	14,205.52
U-0003	Tumon Maui Well Rehabilitation	9/16/2010	390,883.00	380,400.41	-	10,482.59
U-0004	Combustion Turbines (CTs) Permit Air Monitoring	3/11/2011	1,068,886.54	24,886.54	-	1,044,000.00
U-0005	Tumon Maui Well Rehab	9/26/2011	4,711,974.78	4,036,469.30	2,682,700.30	675,505.48
N/A	Guam Road Projects - Defense Access Roads (DAR) Program [FHWA]	8/17/2009	1,595,940.00	1,261,445.46	-	334,494.54
Subtotal			\$141,519,701.25	\$99,281,674.92	\$13,947,323.68	\$42,238,026.33
Total			\$716,116,811.72	\$433,052,624.41	\$133,869,836.52	\$283,064,187.31

Source: Naval Facilities Engineering Command's response to DoD OIG data call, 12/02/2013 and Headquarters Marine Corps' response to DoD OIG data call, 11/07/2013.

OTHER FEDERAL AGENCY PROJECT AND PROGRAM LIST

From January 1, 2013, through September 30, 2013, DOT identified funds directly associated with the realignment of military installations and military personnel to Guam. Specifically, the agency reported a total of 28 projects that were directly associated with the realignment. DOT projects incurred costs of approximately \$17.7 million with estimated completion costs of approximately \$19.2 million. Table 5 identifies the DOT projects and associated costs.

Table 5. Costs for Other Federal Agency Projects and Programs

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 31, 2013	Estimated Costs to Complete
DOT	DTMA1D10002	MA-PR510-20120007/MA-PR510-20130044 / Guam Project Management Office	4/23/2010	\$1,482,626.89	\$983,501.24	\$983,501.24	\$499,125.65
DOT	DTMA1D10002	MA-PR510-20120024 / Task Order 10/ Container Freight Station (CFS) Modification and Construction	1/23/2013	1,149,974.00	360,797.36	360,797.36	789,176.64
DOT	DTMA1D10002	MA-PR510-20120023A / Task Order 6/ Facility Expansion Design Package	1/10/2013	2,145,044.80	1,688,433.66	1,688,433.66	456,611.14
DOT	DTMA1D10002	MA-PR510-20130054/ Task Order 11/Selected Demolition and Break Bulk Yard Mod	8/5/2013	1,798,109.00	-	-	1,798,109.00
DOT	DTMA1D10002 (Mod 8)	Structural Review - Berths 4, 5 & 6 (August 1, 2011 through September 05, 2011)	8/1/2011	106,388.00	89,622.04	-	16,765.96
DOT	DTMA1D10002 (Mod 11)	Project Management (December '11, '11 - February 18, '12)	12/11/2011	93,351.55	93,351.55	-	-
DOT	DTMA1D10002 (Task Order 1, Including all Mods)	To develop a geotechnical & seismic evaluation of the wharf and finalize the Implementation Plan by March 2012.	2/29/2012	245,668.00	219,061.21	-	26,606.79
DOT	DTMA1D10002 (Task Order 2)	To develop lighting plan for the port to hire a container yard lighting contractor.	11/2/2011	160,370.00	160,370.00	-	-

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 31, 2013	Estimated Costs to Complete
DOT	DTMA1D10002 (Task Order 2, Mod 1)	To amend the lighting plan per additional lights based on available FEMA funds.	1/17/2012	25,874.00	25,874.00	16,650.03	-
DOT	DTMA1D10002 (Task Order 3)	Completion of the Environmental Assessment.	1/1/2012	170,811.00	170,811.00	11,184.38	-
DOT	DTMA1D10002 (Mod 13)	Project Management (February 19, '12 - March 31, '12)	2/19/2012	41,195.20	41,195.20	-	-
DOT	DTMA1D10002 (Mod 14)	Project Management (April 1-30, '12)	4/1/2012	48,655.27	48,655.27	-	-
DOT	DTMA1D10002 (Mod 15)	Project Management (May 1, '12 - July 31, '12)	5/1/2012	158,401.00	155,588.66	-	2,812.34
DOT	DTMA1D10002 (Task Order 4)	Designing three bays of the existing CFS building to include new office space components.	7/26/2012	168,092.00	109,109.92	12,851.93	58,982.08
DOT	DTMA1D10002 (Task Order 5)	Support overall GCPI program environmental service as well as TO 005-specific environmental testing and providing the following design services in the PAG Break Bulk (BB) Yard: demolition of selected structures, replacement of associated lost lighting and pavement repairs, and implementation of four oil water separators.	8/7/2012	473,904.00	349,727.42	148,707.11	124,176.58
DOT	DTMA1D10002 (Task Order 7)	Project Management (August 1, '12 - October 31, '12)	8/7/2012	272,919.55	245,212.41	-	27,707.14
DOT	DTMA1D10002 (Task Order 8)	Project Management (November 1, '12 - April 30, '13)	11/2/2012	534,091.00	534,091.00	534,091.00	-
DOT	1BPC11000004/11	Maritime Administration Engineering Support	1/1/2012	242,111.73	241,964.44	12,852.71	147.29

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 31, 2013	Estimated Costs to Complete
DOT	FHWA - Government of Guam Contract Island wide Program Management Services GU-NH-IPMS(002) DTFH6109X50001 (funds from USMC = \$3,696,610/Mod 1; BUMED = \$80,000/Mod 2; USMC = \$1,595,940/Mod 3)	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	10/1/2008	5,372,550.00	5,228,561.00	192,195.38	143,989.00
DOT	FHWA - Government of Guam Contract Island wide Program Management Services GU-NH-IPMS(002) 27XX66IPMS00273P0 = \$3,031,241 27XX66IPMS00273V0 = \$374,756	Prepare Design/Build request for Qualifications for Proposals for FY2010 DAR projects, Route 1 east of Route 4, Route 11/1, Route 11, Route 1/8, and Agana Bridge and Utility Coordinator	2/1/2010	3,405,996.95	2,625,731.69	319,655.96	780,265.26
DOT	FHWA - Government of Guam Contract Route 1 and 3 Intersection Improvements GU-NH-0001(125) 27XX66000112573P0	Consultant for Route 1/3 Intersection	6/8/2011	570,740.00	59,262.00	-	511,478.00
DOT	FHWA - Government of Guam Contract Route 3 from Route 28 to Chalan Kareta GU-NH-0003(105) 27XX66000310573V0	Consultant for Route 3 from Route 28 to Chalan Kareta	5/26/2011	\$2,182,765.00	\$125,664.63	-	2,057,100.37
DOT	FHWA - Government of Guam Contract Route 1 Agana Bridge and Route 1/8 Intersection GU-DAR-TI01(001) 27XX66TI0173V0	Construction of Route 1 Agana Bridge and Route 1/8 Intersection	9/28/2011	11,925,113.91	5,218,649.61	1,707,345.45	6,706,464.30

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - September 31, 2013	Estimated Costs to Complete
DOT	FHWA - Government of Guam Contract Route 11 (Route 1 to Route 11) and Route 1/11 Intersection GU-DAR-0011(008) 27XX66001100873V0	Construction of Route 11 and Route 1/11 Intersection	10/4/2011	14,016,298.99	13,198,558.45	10,994,523.71	817,740.54
DOT	FHWA - Government of Guam Contract Island wide Professional Construction Management Services GU-NH-PCMS(001) 27XX66PCMS00173V0	Construction Management for Route 1 Agana Bridge, Route 1/8, Route 11, Route 1/11	9/28/2011	2,517,164.58	448,101.33	448,101.33	2,069,063.25
DOT	FHWA - Government of Guam Contract Professional Services for Archaeological Support GU-NH-PSAS(001) 27XX66PSAS00173V0	Archaeological Support for Route 1 Agana Bridge, Route 1/8, and Route 1/11	3/22/2011	2,409,644.90	345,000.68	296,442.32	2,064,644.22
DOT	FHWA - Government of Guam Contract Technical Support Services Island wide Quality Assurance Testing GU-NH-TSIS(002) 27XX66TSIS00273V0	Quality Assurance Testing for Route 1 Agana Bridge, Route 1/8, Route 11, and Route 1/11	11/16/2011	299,485.00	11,492.82	4,945.71	287,992.18
DOT	FHWA - Government of Guam Contract Technical Support Services Island wide Geo Technical Engineering GU-NH-TSIS(001) 27XX66TSIS00173V0	Geotechnical Engineering for Route 1 Agana Bridge and Route 1/8	8/23/2010	33,120.00	33,120.00	-	-
Total				\$52,050,466.32	\$32,811,508.59	\$17,732,279.28	\$19,238,957.73

Source: DOT's response to DoD OIG data call, 11/15/2013.



U.S. Naval Hospital Ship *Mercy* at sea.
Source: Department of Defense

3

GOVERNMENT OF JAPAN REVENUE

SECTION 2835 (e)(1)(C)

Section 2350k, title 10 of the United States Code, grants the Secretary of Defense authority to accept cash contributions from any nation in support of a relocation of the armed forces. According to agreements between the U.S. and GOJ, GOJ is expected to provide up to \$6.09 billion (FY 2008 U.S. dollars) for the Marine Corps relocation from Okinawa to Guam. Public Law 111-84 requires the ICG annual report to contain a detailed statement on the revenues contributed by GOJ and any obligations or expenditures of these revenues.

GOJ FUNDING FOR REALIGNMENT

From January 1, 2013, through September 30, 2013, the Defense Finance and Accounting Service reported that GOJ provided revenues of approximately \$114.3 million, earned approximately \$1.4 million in interest associated with revenues contributed to date, obligated approximately \$7.4 million, and expended approximately \$40 million. Table 6 shows the revenues, interest, obligations, and expenditures for each project.

Table 6. GOJ Revenues/Interest/Obligations/Expenditures

Project	Revenue (Contribution)	Earnings on Investment	Obligations	Expenditures
Interest Earned Japanese FY 09 Funds	\$ -	\$368,680.15	\$ -	\$ -
Interest Earned Japanese FY 10 Funds	-	968,377.80	-	-
Interest Earned Japanese FY 11 Funds	114,300,000.00	16,498.80	-	-
Japanese FY 09 Design Fire Station	-	-	59,041.45	59,041.45
Japanese FY 09 Design Waterfront Headquarters	-	-	-	-
Japanese FY 09 Design Apra Medical	-	-	-	-
Japanese FY 09 Design Bachelor Enlisted Quarters Complex	-	-	-	-
Japanese FY 09 Construction Andersen Air Force Base	-	-	6,146,268.57	6,808,024.46
Japanese FY 09 Construction Apra	-	-	1,240,326.56	33,101,199.19
Total	\$ 114,300,000.00	\$1,353,556.75	\$7,445,636.58	\$39,968,265.10

Source: Defense Finance and Accounting Service's response to DoD OIG data call, 11/20/2013.



A U.S. Air Force F-16D *Fighting Falcon* aircraft departs the runway at Andersen AFB, Guam.
Source: Department of Defense

4

OPERATING EXPENSES

SECTION 2835(e)(1)(D)

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the operating expenses of agencies or entities receiving amounts appropriated or otherwise made available for military construction on Guam. The DoD Financial Management Regulation states that operating expenses include costs for personnel, travel, communications, contractual services, and other program expenses.

DEPARTMENT OF THE NAVY OPERATING EXPENSES

From January 1, 2013, through September 30, 2013, the Department of the Navy reported operating expenses of approximately \$19.5 million. Table 7 lists the operating expenses for Department of the Navy support organizations associated with the military realignment on Guam.

Table 7. Department of the Navy Operating Expenses Related to the Guam Realignment

Organization	Description of Expenses Incurred	Operating Expenses
JGPO	Salaries	\$1,060,308.00
JGPO	Travel	41,400.00
JGPO	Intergovernmental Purchases	367,400.00
JGPO	Supplies	11,118.00
USMC Pacific Division	Salaries	630,638.64
USMC Pacific Division	Travel	105,585.51
USMC Pacific Division	Contract	250,000.00
USMC Marine Corps Forces Pacific	Travel	126,668.81
USMC Marine Corps Forces Pacific	Communications	11,466.03
USMC Marine Corps Forces Pacific	Contracts & Other Services	1,821,651.05
USMC Marine Corps Forces Pacific	Supplies & Materials	4,461.67
USMC Installations and Logistics	Travel	45,580.10
USMC Installations and Logistics	Contracts & Other Services	444,795.28
USMC Installations and Logistics	Expensed via Naval Facilities Engineering Command	14,290,793.41
USMC Installations and Logistics	Expensed via Other Agencies	267,372.54
Total		\$19,479,239.04

Source: JGPO's response to DoD OIG data call, 12/02/2013 and Headquarters Marine Corps' response to DoD OIG data call, 11/07/2013.

OPERATING EXPENSES FOR OTHER FEDERAL AGENCIES

From January 1, 2013, through September 30, 2013, DOT and the Environmental Protection Agency (EPA) reported approximately \$404,600 in operating expenses associated with the realignment of military installations and military personnel to Guam. Specifically, DOT identified salary, travel, and administration costs. EPA identified salary costs. Table 8 lists operating expenses for DOT and the EPA associated with the military realignment on Guam.

Table 8. Other Federal Agency Operating Expenses Related to the Guam Realignment

Agency	Description of Expenses Incurred	Operating Expenses
DOT	Admin	\$110,307.19
DOT	Travel	19,702.54
DOT	Salaries	232,811.88
EPA	Salaries	41,771.00
Total		\$404,592.61

Source: DOT's and EPA's responses to DoD OIG data call, 11/15/2013 and 10/31/2013, respectively.



**U.S. Air Force personnel perform ongoing construction at Andersen AFB, Guam.
Source: Department of Defense**

5

**CONTRACTS, GRANTS, AGREEMENTS, OR OTHER
FUNDING MECHANISMS DATA**

SECTION 2835(e)(1)(E)

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the awarded contracts, grants, agreements, or other funding mechanisms that used amounts appropriated or otherwise made available for military construction on Guam.

DoD CONTRACTS/GRANTS/AGREEMENTS/OTHER FUNDING MECHANISMS

From January 1, 2013, through September 30, 2013, DoD reported a total of 48 contracts, grants, agreements, or other funding mechanisms totaling approximately \$31.4 million that were used to support military construction on Guam. Specifically, Headquarters Marine Corps reported two contracts, grants, agreements, or other funding mechanisms totaling approximately \$713,000 that were used to support military construction on Guam. Naval Facilities Engineering Command reported a total of 43 contracts, grants, agreements, or other funding mechanisms totaling approximately \$29.3 million that were used to support military construction on Guam. The Office of Economic Adjustment reported a total of three grants totaling approximately \$1.4 million that were used to support military construction on Guam. Table 9 identifies Headquarters Marine Corps, Naval Facilities Engineering Command, and Office of Economic Adjustment contracts, grants, agreements, or other funding mechanisms.³ A contract may be listed multiple times due to a task order, delivery order, or modification increasing the value of the contract.

Table 9. DoD Contracts, Grants, Agreements, or Other Funding Mechanisms Supporting the Guam Military Realignment

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
USMC	M2002113RC00029	\$143,239.48	\$143,239.48	FF&E for Military Working Dog Facility - NAVFAC/PAC to provide furnishings, fixtures, and equipment for the naval security force military working dogs facility on Guam	N/A	N/A	N/A
USMC	N00178-04-D-4024-MUT3	2,536,645.52	569,420.91	Engineering, Master Planning, and Environmental Support	SEAPORT e-portal; unrestricted (Full and Open); FFP Task Order	N/A	3
Subtotal Total		\$2,679,885.00	\$712,660.39				

³ The table contains negative numbers listed in parenthesis, which represent de-obligations.

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
Naval Facilities Engineering Command							
NAVFAC	N4019210C3000	N/A	(456.43)	BASE OPERATIONS SUPPORT (BOS) SERVICES CONTRACT, VARIOUS INSTALLATIONS, TERRITORY OF GUAM: MODIFICATION TO DEOBLIGATE FUNDING FOR NAVFAC PAC (NGBTS LANDFILL #2), FOR THE SECOND AWARD OPTION PERIOD.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	7
NAVFAC	N4019210D0007	50,000,000.00	(31,290.41)	MODIFICATION TO DEDUCT MUNITIONS AND EXPLOSIVES OF CONCERN (MEC) CONSTRUCTION SUPPORT ACTIVITIES FOR WORK ON THE NORTH RAMP PARKING PROJECT AT ANDERSEN AIR FORCE BASE GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
NAVFAC	N4019210D0007	50,000,000.00	(275,870.05)	MODIFICATION TO DEDUCT MUNITIONS AND EXPLOSIVES OF CONCERN SERVICES FOR THE NORTH RAMP PHASE 3 PROJECT, ANDERSEN AIR FORCE BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3
NAVFAC	N4019210D0007	50,000,000.00	90,519.33	TASK ORDER FOR MEC INTRUSIVE INVESTIGATION AND CLEARANCE FOR THE NORTH RAMP UTILITIES PHASE 2 (INCREMENT 1) PROJECT SITE AT ANDERSEN AIR FORCE BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3
NAVFAC	N4019210D2800	500,000,000.00	520,188.00	MODIFICATION FOR PROJECT P-100 NORTH RAMP UTILITIES, INCREMENT 1; TO INCORPORATE WATER AND SEWER REQUIREMENTS.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

SECTION 2835(e)(1)(E)

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N4019210D2800	500,000,000.00	56,754.00	MODIFICATION FOR PROJECT P-100 NORTH RAMP UTILITIES, INCREMENT 1; TO PROVIDE SEWER DISTRIBUTION.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
NAVFAC	N4019210D2800	500,000,000.00	61,474.00	MODIFICATION FOR PROJECT P-100 NORTH RAMP UTILITIES, INCREMENT 1; TO PROVIDE WATER AND SEWER DISTRIBUTION REROUTE DUE TO COORDINATION WITH NORTH RAMP PARKING PROJECT.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
NAVFAC	N4019210D2800	500,000,000.00	376,255.00	MODIFICATION FOR PROJECT P-100 NORTH RAMP UTILITIES, INCREMENT 1; TO PROVIDE 480V POWER SERVICE TO GENERATOR BUILDING AND LIFT STATION NO. 1.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
NAVFAC	N4019210D2810	500,000,000.00	143,239.48	FY10 MILCON P1003 MILITARY WORKING DOG RELOCATION: MODIFICATION TO INCORPORATE FF&E.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N4019211C8002	N/A	(385.30)	COOPERATIVE AGREEMENT BETWEEN THE DEPT OF NAVY AND THE CNMI MUSEUM OF HISTORY AND CULTURE FOR THE PREPARATION/ACCESSIONING OF ARCHAEOLOGICAL MATERIALS COLLECTED DURING CONSTRUCTION AND OPERATIONS ASSOCIATED WITH THE GUAM/CNMI MILITARY RELOCATION ON THE ISLAND OF TINIAN: MODIFICATION TO DEOBLIGATE UNUSED FUNDS BASED ON ACTUAL COSTS INCURRED.	RFP	1	1
NAVFAC	N4019213C3001	N/A	1,165.00	BASE OPERATIONS SUPPORT (BOS) SERVICES CONTRACT, VARIOUS INSTALLATIONS, TERRITORY OF GUAM: MODIFICATION TO PROVIDE A DIG PERMIT/SOILS BORIMGS LOCATED AT NBG, BLDG 3169, TANGO WHARF (P204E TANGO WHARF IMPROVEMENTS).	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4
NAVFAC	N4019213C3001	N/A	(2.40)	BASE OPERATIONS SUPPORT (BOS) SERVICES CONTRACT, VARIOUS INSTALLATIONS, TERRITORY OF GUAM: MODIFICATIONS TO DE-OBLIGATE UNEARNED AWARD FEE FOR THE FORTH TRIMESTER OF THE FORTH AWARD OPTION PERIOD, 01 JANUARY 2013 THROUGH 31 DECEMBER 2013.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4

SECTION 2835(e)(1)(E)

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N6274205C3501	N/A	(5,241.62)	CONTRACT N62742-05-C-3501 BASE OPERATIONS (BOS) SERVICES, VARIOUS INSTALLATIONS, TERRITORY OF GUAM. THE PURPOSE OF THIS MODIFICATION IS TO DECREASE THE AWARD FEE FOR THE FOURTH OPTION PERIOD.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	7
NAVFAC	N6274205C3501	N/A	(1,934.03)	CONTRACT N62742-05-C-3501 BASE OPERATIONS (BOS) SERVICES, VARIOUS INSTALLATIONS, TERRITORY OF GUAM. THE PURPOSE OF THIS MODIFICATION IS TO DEOBLIGATE AWARD FEE FUNDS FOR THE THIRD OPTION PERIOD.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	7
NAVFAC	N6274208D0009	100,000,000.00	110,765.00	TASK ORDER MODIFICATION FOR ADDITIONAL POST CONSTRUCTION AWARD SERVICES. TASK ORDER 0030 IS FOR JFY09 J-001 GUAM UTILITIES AND SITE IMPROVEMENTS PH 1, APRA.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4
NAVFAC	N6274208D0009	100,000,000.00	256,634.00	TASK ORDER FOR SURGE GIS SUPPORT SERVICES FOR THE PERIOD 9 SEP 2013 - 8 SEP 2014.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
NAVFAC	N6274209D0002	100,000,000.00	218,658.00	TASK ORDER TO PROVIDE POST CONSTRUCTION AWARD SERVICES (PCAS) FOR FY10 MCON P-204, WHARF IMPROVEMENTS (UNIFORM&TANGO) APRA PHASE 1 (SECONDARY PART E).	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N6274210D0003	50,000,000.00	(753,109.00)	MODIFICATION TO CANCEL THE WORK ASSOCIATED WITH THE PARTIAL 60% - 100% DESIGN AND RELATED ENGINEERING SERVICES. TASK ORDER 0002 PROVIDED FOR THE PREPARATION OF PLANS AND SPECIFICATIONS FOR GOVERNMENT OF JAPAN (GOJ) JFY10 FIRE STATION, FINEGAYAN, NAVAL BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3
NAVFAC	N6274210D0003	50,000,000.00	3,367,907.00	TASK ORDER TO PROVIDE DESIGN AND ENGINEERING SERVICES FOR THE PREPARATION OF A DBB CONSTRUCTION PACKAGE FOR JFY14 MCON PROJECT J001A NORTH RAMP UTILITIES AND SITE IMPROVEMENTS, NSA ANDERSEN AB GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
NAVFAC	N6274210D1309	4,000,000,000.00	20,249,617.00	TASK ORDER FOR CONSTRUCTION OF AN AIRCRAFT RINSE FACILITY WITH WATER RECYCLING SYSTEM, DIRECT FUELING STATIONS, AND TAXIWAYS TO CONNECT THE FACILITIES TO THE ADJACENT APRON AND TAXIWAY DELTA.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	4
NAVFAC	N6274210D1309	4,000,000,000.00	968,985.57	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO INCORPORATE 31 PNEUMATIC FENDERS INTO THE TASK ORDER.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N6274210D1309	4,000,000,000.00	14,412.79	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO INCORPORATE FUNDING FOR MOD 1T THAT WAS NOT INCLUDED DUE TO SYSTEM ERROR WHEN PROCESSING.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
NAVFAC	N6274210D1309	4,000,000,000.00	117,816.48	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO INCORPORATE THE CHANGES TO INCLUDE THE RE-DESIGN AND INSTALLATION OF UTILITIES TIED TO THE J001 PROJECT.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
NAVFAC	N6274210D1309	4,000,000,000.00	(31,531.13)	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO AWARD PC 00028 AND TO INCLUDE THE REA FOR TURTLE SIGHTINGS.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
NAVFAC	N6274210D1312	4,000,000,000.00	35,942.65	MODIFICATION FOR PROJECT P101, NORTH RAMP PARKING, FOR ADDITIONAL CUTOVER REQUIREMENTS.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
NAVFAC	N6274210D1312	4,000,000,000.00	(3,088.79)	MODIFICATION FOR PROJECT P101, NORTH RAMP PARKING, TO DELETE 4 FAILED FIBER OPTIC STRANDS OUT OF THE 144ST FO FROM MH-44 TO B-2616.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N6274211C0400	N/A	456,207.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO INCORPORATE ADDITIONAL WORK TO UPGRADE POWER MOUND S2.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	637,773.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO INCORPORATE CHANGES REQUIRED UNDER THE MARIANAS NAVY AND MARINE CORPS DESIGN AND CONSTRUCTION STANDARDS (MDACS).	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	(4,151.00)	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO INCORPORATE ADDITIONAL WORK TO CHANGE THE REQUIREMENT FROM A GROUND MOUNTED PHOTO VOLTAIC SYSTEM WITH CONCRETE BLOCK ENCLOSURE TO BUILDING INTEGRATED PHOTO VOLTAIC SYSTEM.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	466,591.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO PROVIDE 144 STRAND FROM B2616 TO VIP & CHANGE EXISTING 36 STRAND FIBER TO 72 FROM BIF TO B34.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N6274211C0400	N/A	1,548,989.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO PROVIDE COMM DUCT BANKS.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	106,853.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION FOR REQUEST FOR EQUITABLE ADJUSTMENT FOR MEC DELAYS.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	345,333.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO PROVIDE THE REMOVAL OF ASBESTOS PIPES LOCATED AT THE WHARVES.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	187,571.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO DEDUCT 1800LM SEWER LINE, CONSTRUCT SEWAGE DISPOSAL SYSTEM.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	272,887.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO REVISE ENTRY CONTROL FACILITY TO MEET MUTCD REQUIREMENTS.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N6274211C0400	N/A	(572,189.00)	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO INCORPORATE ELECTRICAL DUCT BANK CHANGES TO POWER MOUNDS V-5 TO V-7 ON VICTOR AND SIERRA WHARFS.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	13,707.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO INCORPORATE MEC CLEARING AND MAPPING.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211C0400	N/A	61,621.00	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO ACCOMMODATE UNFORESEEN CONDITIONS FROM MAY TO OCT 2012.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
NAVFAC	N6274211D1801	50,000,000.00	411,374.00	MODIFICATION TO CONDUCT IN FILL SURVEY AND TESTING OF PROPOSED LFTRC FOOTPRINTS, ACCESS ROUTES, MAIN CONTONMENT ALTERNATIVES AND TO PREPARE DRAFT, PRE-FINAL AND FINAL REPORTS. TASK ORDER 0007 IS FOR ARCHAEOLOGICAL SURVEYS AND CULTURAL RESOURCE STUDIES IN SUPPORT OF THE LIVE FIRE TRAINING RANGE COMPLEX (LFTRC) SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT (SEIS), NAVAL BASE, GUAM.	ARCHITECT- ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N627421D1801	50,000,000.00	19,526.00	MODIFICATION TO UPDATE THE LAND ACQUISITION PORTION OF THE SOCIOECONOMIC STUDY REPORT USING THE SAME LIVE-FIRE TRAINING RANGE COMPLEX ALTERNATIVES USED IN THE DRAFT SEIS. TASK ORDER 0011 IS FOR SOCIOECONOMIC STUDY FOR GUAM AND CNMI MILITARY RELOCATION SEIS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
NAVFAC	N627421D1801	50,000,000.00	(261,477.00)	MODIFICATION TO REMOVE WORK ASSOCIATED WITH CARRYING FORWARD APRA HARBOR MAIN CANTONMENT, NCTS FINEGAYAN LFTRC, AND ROUTE 15B LFTRC ALTERNATIVES. TASK ORDER 11 IS FOR GUAM AND CNMI MILITARY RELOCATION (2012 ROADMAP ADJUSTMENT) SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT - DRAFT, FINAL AND RECORD OF DECISION.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
NAVFAC	N627421D1801	50,000,000.00	(110,497.00)	MODIFICATION TO REMOVE ENCL (6) AND TO ADD A SUMMARY TABLE. TASK ORDER 11 IS FOR GUAM AND CNMI MILITARY RELOCATION (2012 ROADMAP ADJUSTMENT) SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT - DRAFT, FINAL AND RECORD OF DECISION.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5

Agency	Contract Number, Grant, Agreement, or Funding Mechanism Title	Ceiling Amount	Amount Obligated/ Awarded January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
NAVFAC	N6274212D0003	5,000,000.00	228,713.00	TASK ORDER TO PROVIDE GEOTECHNICAL ENGINEERING TO PREPARE A DB RFP CONSTRUCTION PACKAGE FOR PROJECT P-109 AIRCRAFT MAINT HANGAR, NORTH RAMP, AAFB GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
Subtotal		\$31,255,000,000.00	\$29,296,255.14	Office of Economic Adjustment			
OEA	GR0706-12-16-13-01	850,000.00	550,000.00	Key Objectives: Capacity building within the Office of the Governor (advisory services contract for Environmental Advisory Services; Financial-Fiscal/Advisory Services; Planning Advisory Services)	N/A	N/A	N/A
OEA	GR0706-13-17	319,894.00	319,894.00	Key Objectives: Organizational & Logistical Support to the Guam Governor's Office	N/A	N/A	N/A
OEA	GR0706-13-18	550,000.00	550,000.00	Key Objectives: Planning activities in response to the DoD Program Pivot; "Demarcation Study" for infrastructure initiatives SOW	N/A	N/A	N/A
Subtotal		\$1,719,894.00	\$1,419,894.00				
Total		\$31,256,719,894.00	\$31,428,809.53				

Source: Headquarters Marine Corps' response to DoD OIG data call, 11/07/2013; Naval Facilities Engineering Command's response to DoD OIG data call, 12/02/2013; and Office of Economic Adjustment's response to DoD OIG data call, 10/25/2013.

OTHER FEDERAL AGENCIES CONTRACTS/GRANTS/AGREEMENTS/OTHER FUNDING MECHANISMS

From January 1, 2013, through September 30, 2013, DOT reported a total of 15 contracts, grants, agreements, or other funding mechanisms totaling approximately \$10.2 million. Table 10 identifies DOT contracts, grants, agreements, or other funding mechanisms that used amounts appropriated or otherwise made available for military construction on Guam.

Table 10. Other Federal Agency Contracts, Grants, Agreements, or Other Funding Mechanisms Supporting the Guam Military Realignment

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DOT	MARAD - Contract DTMA1D10002	\$50,000,000.00	\$6,707,394.17	Program Management	Full and Open	Unlimited	5
DOT	DOC Economic Development Agency (MARAD)	2,000,000.00	0.00	Preliminary Design & Engineering Services for the Port of Guam			
DOT	FHWA - Grant to Government of Guam GU-NH-IPMS(002) Islandwide Program Management Services (funds from USMC) DTFH6109X50001 (Advice/Mod #1)	3,696,610.00	\$0.00	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	FHWA - Grant to Government of Guam GU-NH-IPMS(002) Island wide Program Management Services (funds from USMC) DTFH6109X50001 (Advice/Mod #3)	1,595,940.00	0.00	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	FHWA - Grant to Government of Guam GU-NH-IPMS(002) Island wide Program Management Services (funds from BUMED) DTFH6109X50001 (Advice/Mod #2)	80,000.00	0.00	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	FHWA - Grant to Government of Guam GU-NH-IPMS(002) Island wide Program Management Services 27XX66IPMS00273P0 (\$2,702,983.38) 27XX66IPMS00273V0 (\$327,448.87)	3,030,432.25	128,602.62	Prepare Design/Build request for Qualifications for Proposals for FY2010 DAR projects, Route 1 east of Route 4, Route 11/1, Route 11, Route 1/8, and Agana Bridge	ID/IQ RFP	Base Contract - Open Advertisement	5

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - September 30, 2013	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DOT	FHWA - Grant to Government of Guam GU-NH-0001(125) 27XX66000112573P0	570,740.00	0.00	Consultant for Route 1/3 Intersection	RFP	Open Advertisement	3
DOT	FHWA - Grant to Government of Guam GU-NH-0003(105) 27XX66000310573V0	2,182,765.00	0.00	Consultant for Route 3 from Route 28 to Chalan Kareta	RFP	Open Advertisement	3
DOT	FHWA - Grant to Government of Guam GU-DAR-TI01(001) 27XX66TI0173V0	11,925,113.91	435,300.00	Construction for Route 1 Agana Bridges and Route 1/8 Intersection	RFP	Open Advertisement	3
DOT	FHWA - Grant to Government of Guam GU-DAR-0011(008) 27XX66001100873V0	14,016,298.99	0.00	Construction for Route 11 and Route 1/11 Intersection	RFP	Open Advertisement	3
DOT	FHWA - Grant to Government of Guam GU-NH-PCMS(001) 27XX66PCMS00173V0	2,517,164.58	400,000.00	Construction Management for Route 1 Agana Bridges, Route 1/8 Intersection, Route 11 and Route 1/11 Intersection	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	FHWA - Grant to Government of Guam GU-NH-PSAS(001)	2,409,644.90	2,224,644.90	Professional Services for Archaeological Support	RFP	Base Contract - Open Advertisement	3
DOT	FHWA - Grant to Government of Guam GU-NH-TSIS(002)	299,485.00	299,485.00	Technical Support Services Island-wide Quality Assurance Testing	RFP	Base Contract - Open Advertisement	1
DOT	FHWA - Grant to Government of Guam GU-NH-TSIS(001)	33,120.00	0.00	Technical Support Services Island-wide Geotechnical Engineering	RFP	Base Contract - Open Advertisement	2
DOT	FHWA - Grant to Government of Guam GU-NH-IPMS(003) Island wide Program Management Services***	1,675,353.47	0.00	Prepare Traffic Analysis for SEIS	RFP	Base Contract - Open Advertisement	1
Total		\$96,032,668.10	\$10,195,426.69				

Sources: DOT's response to DoD OIG data call, 11/15/2013.

Acronyms

DOI	Department of the Interior
DOT	Department of Transportation
EPA	Environmental Protection Agency
GOJ	Government of Japan
ICG	Interagency Coordination Group of Inspectors General for Guam Realignment
JGPO	Joint Guam Program Office
NDAA	National Defense Authorization Act
OIG	Office of Inspector General

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U.S. DEPARTMENT OF DEFENSE

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