CNICINST 5200.3
N5
13 Sept 2013

CNIC INSTRUCTION 5200.3

From: Commander, Navy Installations Command

Subj: CNIC BASE OPERATIONS SUPPORT PERFORMANCE/PRICING
       ENTERPRISE MODEL POLICY

Ref: (a) DoD Directive 5000.59 of 8 Aug 2007
       (b) SECNAVINST 5200.38A
       (c) DoD Instruction 5000.61 of 9 Dec 2009
       (d) SECNAVINST 5200.40
       (e) OPNAVINST 5200.35

Encl: (1) List of Acronyms

1. <u>Purpose</u>. To issue guidance and assign roles and
responsibilities within Commander, Navy Installations
Command (CNIC) for the Base Operations Support (BOS)
Performance/Pricing Enterprise Model (PPEM).

2. <u>Background</u>

    a. References (a) through (c) establish the use of
accredited models to develop budget submissions and program
proposals for operations requirements with the goal of
linking performance to budget decisions.

    b. References (d) through (e) govern the Department of
Defense (DoD), Secretary of the Navy (SECNAV), and the
Office of the Chief of Naval Operations (OPNAV)
Verification, Validation and Accreditation processes for
all models.

    c. Reference (e) formally codifies policies and
procedures, and defines components as an integral part of
Performance/Pricing Models. In the CNIC BOS PPEM system,
components are referred to as Performance/Pricing Component
Models (PPCMs).

3. <u>Policy</u>

    a. CNIC N-Codes/Special Assistants (SAs), Headquarters Program Directors (HPDs), Region Commanders (REGCOMs) and Installation Commanding Officers (COs) shall comply with references (a) through (e) in all matters relating to the development, certification, management, review, reporting and use of the BOS PPEM, unless otherwise directed and/or updated by DoD, SECNAV or OPNAV.

    b. Appropriations toward CNIC programs will be supported by accredited performance-based pricing models to the maximum extent practicable. The CNIC BOS PPEM is the designated system to develop requirements for all Navy Shore Installation Management functions and programs. CNIC will model all current and future CNIC functions and programs, adding performance to resource planning and management activities. Per reference (e), programs with annual resources of at least 50 million dollars that are not supported by accredited models shall be identified as level-of-effort (LOE). Programs with annual resources less than 50 million dollars that have not completed accreditation are classified as Non-Modeled Programs.

4. <u>Responsibilities</u>

    a. CNIC Deputy Commander (DCOM) is responsible for oversight and approval of CNIC compliance with all DoD and Navy regulations regarding the development, certification, Verification, Validation and Accreditation (VV&A), and use of the CNIC BOS PPEM.

    b. CNIC Director Strategy and Future Shore Integrated Requirements (N5) is responsible for:

        (1) Serving as the CNIC Enterprise Model Manager and principle liaison to Office of the Secretary of Defense (OSD) and DON responsible organizations for all matters concerning the BOS PPEM and the supporting PPCMs.

        (2) Ensuring CNIC compliance with all DoD and Navy regulations, regarding the development, certification, VV&A and use of the BOS PPEM.

        (3) Managing CNIC development and use of the BOS PPEM, to include: directing overarching development of

models, conducting an annual review of the status of all non-modeled programs (monitor their modeling and/or exemption progress) and ensuring the use of models during CNIC PPBE activities as required by reference (e).

(4) Managing all CNIC BOS PPEM VV&A activities, to include: directing and overseeing the verification for newly developed models, reviewing validation documentation for all accredited models annually, planning and preparing periodic accreditation submission required by reference (e), and preparing the required responses to senior level reviewer findings.

c. CNIC HQ N-Codes/SAs and HPDs are responsible for:

(1) Serving as CNIC Component Model Managers for each designated program area and executing all OSD, Navy, and CNIC guidance relative to BOS PPCMs to include:

(a) Developing and maintaining relevant BOS PPCMs, to include: managing all models within each area of operation; and communicating information that pertains to new models and/or changes to existing models to CNIC N5, REGCOMs and Installation COs.

(b) Ensuring the proper use of relevant BOS PPCMs in support of CNIC PPBE activities.

(c) Conducting appropriate BOS PPCM verification and validation activities, to include the preparation and maintenance of appropriate documentation.

(d) Supporting CNIC N5 in the preparation of BOS PPEM accreditation documentation.

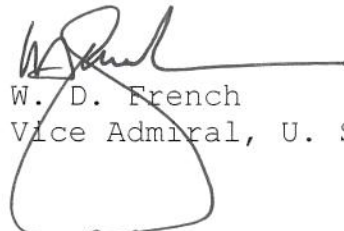d. CNIC REGCOMs are responsible for:

(1) Supporting CNIC HQ N-Codes/SAs and HPDs BOS PPCM development and maintenance responsibilities by creating, compiling and entering data/information into the models, and provide user feedback for continuous model improvement.

(2) Supporting BOS PPCM related documentation.

5. <u>Action</u>

All CNIC N-Codes/SAs, HPDs, REGCOMS and Installation COs shall implement the policies and execute the responsibilities described in this instruction.

6. <u>Records Management</u>. Records created as a result of this instruction, regardless of media and format, shall be managed per SECNAV Manual 5210.1 of January 2012.

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ACRONYMS

Acronym	Definition
BOS	Base Operations Support
BSO	Budget Submitting Office
CO	Commanding Officer
CNIC	Commander, Navy Installations Command
DCOM	Deputy Commander
DoD	Department of Defense
DON	Department of the Navy
HPD	Headquarters Program Directors
LOE	Level-of-Effort
M&S	Modeling and Simulation
OPNAV	Office of the Chief of Naval Operations
POM	Program Objectives Memorandum
PPBE	Planning, Programming, Budgeting and Execution
PPCM	Performance/Pricing Component Model
PPEM	Performance/Pricing Enterprise Model
PPM	Performance/Pricing Model
REGCOM	Regional Commander
SA	Special Assistant
SECNAV	Secretary of the Navy
V&V	Verification and Validation
VV&A	Verification, Validation and Accreditation