

II. Program-Specific Recovery Plan for Recovery.gov (Q 2.8 of Guidance)	
DOL Agency MAX ID and name: Employment and Training Administration (ETA)	
Recovery Program: Dislocated Worker National Emergency Grants	
Does this program align with an existing CFDA program?	17.260
1. Objectives:	
Program Purpose	National Emergency Grants (NEGs) temporarily expand the service capacity of Workforce Investment Act training and employment programs at the state and local levels by providing funding assistance in response to large, unexpected economic events which cause significant job losses. Economic shocks include business closures, mass layoffs, realignment and closure of military installations, and certain natural disasters declared eligible for public assistance by the Federal Emergency Management Agency.
Public Benefits	NEGs provide resources to states and other eligible applicants to quickly reemploy laid-off workers and to enhance their employability and earnings by offering training to increase occupational skills.
2. Projects and Activities:	
Kinds and scope of projects and activities to be performed	The Recovery Act provided the NEG Program with an additional \$200,000,000 for services to dislocated workers, such as job search assistance, assessment of skill levels, career counseling, prevocational services, and training (including on-the-job training).
	The funding provided in the Recovery Act provides additional capacity to meet the needs of American workers that have been laid-off. The funding is provided to states and other eligible applicants. In accordance with Sec. 801 of the Recovery Act, up to one percent of the program funds provided (\$2 million) may be used for management and oversight of the program. These funds are expended in accordance with the operating plan submitted to the Office of Management and Budget (OMB) and Congress.
Activity/Project	Funding is provided as discrete grants to states and other eligible applicants. NEG applications are submitted on an as-needed basis, once regular Workforce Investment Act funding for services to dislocated workers reaches insufficient levels in the state. Grant applications are reviewed by the Assistant Secretary for Employment and Training and approved by the Secretary of Labor. On April 12, 2010, ETA announced the one-time availability of up to \$90 million in funding for On-the-Job Training (OJT) National Emergency Grants funded through the Recovery Act. ETA encourages states and federally recognized tribes to begin planning a strategic approach and the development of a NEG application to expand OJT activities for hard-to-serve populations with the greatest barriers to reemployment.
3. Characteristics:	
Types of Financial Awards to be used	B Project Grants

Type of Recipient	State, Local, Federally Recognized Indian Tribal Government, U.S. Territories and Possessions, Interstate
Type of Beneficiary	Unemployed
4. Major Planned Program Milestones:	
Issue Guidance	Issue a Training and Employment Guidance Letter describing policies and guidelines for Recovery Act-funded NEGs.
	Completed: April 30, 2009
Update Systems	Make necessary modifications to the NEG electronic application system to accommodate new types of grants available under the Recovery Act. User Guides are now available for applicants to assist them with navigating the electronic application system for new types of NEGs made available under the Recovery Act.
	Expected Completion Date: Ongoing through June 30, 2010
Technical Assistance	Conduct outreach to states and other eligible applicants to communicate policies and guidelines. <ul style="list-style-type: none"> • ETA provided workshops at Regional Reemployment Conferences in April, May and June of 2009. • ETA conducted two webinars for eligible applicants, April and August of 2009, • ETA has continued outreach efforts by presenting at conferences, including a National Farmworker Jobs program grantee meeting and the 2010 Heartland conference, both held in April 2010, as well as electronic application training sessions at several regional conferences. • ETA conducted an on-the-job (OJT) NEG webinar presentation in April 2010.
	Expected Completion Date: Ongoing through June 30, 2010
Review Applications	<ul style="list-style-type: none"> • Accept grant applications and review according to established procedures • Make grant awards As of March 31, 2010, there have been 55 NEGs awarded using Recovery Act funds.
	Expected Completion Date: Ongoing February 1, 2009 through June 30, 2010.
Monitor Progress and Outcomes	<ul style="list-style-type: none"> • Review grant activities and outcomes through quarterly reports • Publish information about Recovery Act-funded grants on www.Recovery.gov and www.dol.gov/recovery
	Expected Completion Date: Ongoing through June 30, 2012
5. Monitoring and Evaluation:	
<p>The Department has established reporting systems and Office of Management and Budget-approved forms that grantees use to track, document and report expenditures and performance on a regularly scheduled basis that provides updated information to ETA at a minimum of once a quarter. Grantees are required to certify the accuracy of these reports prior to submitting them to ETA through the established electronic reporting systems. ETA also monitors and reviews quarterly Recovery Act recipient reports required under Section 1512, available on Federalreporting.gov.</p> <p>ETA uses its Grants Electronic Management System (GEMS) and other related electronic data collection systems to conduct and document quarterly desk reviews of financial obligations, expenditures and program performance. Grantees identified as “high risk grantees” through these reviews are given priority attention for on-site monitoring. ETA’s quarterly desk reviews along with on-site reviews are used to identify</p>	

potential risks and effect program improvements through technical assistance and training.

To further ensure that funds are spent on the intended purpose and accurately reported, the Department requires all grantees to submit single audits annually. ETA works with grantees to resolve any audit findings through additional reviews and the development and implementation of corrective action plans.

ETA conducts a final review of each grantee's expenditures and performance during the grant close-out process.

The Department is conducting a review of state workforce development and Unemployment Insurance policy responses to the current recession and the Recovery Act.

6. Measures:

Measure Text	Entered Employment Rate
Measure Type	Outcome
Measure Frequency	Annual
Direction of Measure	Increasing
Unit of Measure	Percentage
Explanation of Measure	<p>The entered employment rate represents the percentage of participants employed in the first quarter after exit from the NEG program. This is a federal job training program common performance measure, which enables the NEG program to describe in a similar manner the core purposes and results of the program compared to other education, employment, and job training programs. For example, while the target population for the NEG program is workers directly impacted by specific economic events, the ultimate outcomes for this program are the same as for all other employment and training programs. Common performance measures remove a barrier to service integration among programs by ensuring that programs no longer have different definitions and methodologies for measuring performance. This performance indicator tracks the success of the NEG program's purpose: to provide assistance to help workers re-enter employment. It measures how many participants got a job according to the following formula: of those who are not employed at the date of participation – the number of NEG participants who are employed in the first quarter after the exit from the program quarter divided by the number of NEG participants who exited during the quarter. The target was set using a regression model based on past program results and the unemployment rate. Because of the high unemployment rate and a contracted economy, the Department does not expect that Recovery Act funding will allow the program to improve its entered employment rate in the short-term. However, the funds will allow more individuals to receive services. This output measure is shown below.</p> <p>The actual reported below is from the Program Year (PY) 2009 Q2 report ending December 31, 2009.</p>
Year	2009
Original Program Target	65.7%
Revised Full Program Target	67.1%

Targeted ARRA Increment	N/A
Actual	65.3%
Goal Lead	Erica Cantor, Administrator, Office of National Response
Measure Text	Employment Retention Rate
Measure Type	Outcome
Measure Frequency	Annual
Direction of Measure	Increasing
Unit of Measure	Percentage
Explanation of Measure	<p>The employment retention rate represents the percentage of participants employed in the first quarter after program exit still employed in the second and third quarters after exit from the NEG program. This is a federal job training program common performance measure, which enables the NEG program to describe in a similar manner the core purposes and results of the program compared to other education, employment, and job training programs. For example, while the target population for the NEG program is workers directly impacted by specific economic events, the ultimate outcomes for this program are the same as for all other employment and training programs. Common performance measures remove a barrier to service integration among programs by ensuring that programs no longer have different definitions and methodologies for measuring performance. This performance indicator tracks the success of the NEG program in assisting individuals in finding re-employment opportunities that are suitable for their needs, so they don't find themselves in a position of needing to avail themselves of re-employment services again in the near-term. This performance indicator measures how many participants retained their employment once placed in a job, according to the following formula: of those who are employed in the first quarter after the exit quarter – the number of NEG participants who are employed in both the second and third quarters after the exit quarter divided by the number of NEG participants who exited during the quarter. By defining a positive outcome as employment in the first, second, and third quarters after the quarter of exit, the measure approximates retention for at least six months following participation in the program. The target was set using a regression model based on past program results and the unemployment rate. Because of the high unemployment rate and a contracted economy, DOL does not expect that Recovery Act funding will allow the program to improve its employment retention rate in the short-term. However, the funds will allow more individuals to receive services. This output measure is shown below.</p> <p>The actual reported below is from the PY 2009 Q2 report ending December 31, 2009.</p>
Year	2009
Original Program Target	79.9%
Revised Full Program Target	81.9%
Targeted ARRA Increment	N/A
Actual	81.9%
Goal Lead	Erica Cantor, Administrator, Office of National Response

Measure Text	Average Earnings
Measure Type	Outcome
Measure Frequency	Annual
Direction of Measure	Increasing
Unit of Measure	Dollars
Explanation of Measure	<p>This measure represents the average six-month earnings for program completers. This is a federal job training program common performance measure, which enables the NEG program to describe in a similar manner the core purposes and results of the program compared to other education, employment, and job training programs. For example, while the target population for the NEG program is workers directly impacted by specific economic events, the ultimate outcomes for this program are the same as for all other employment and training programs. Common performance measures remove a barrier to service integration among programs by ensuring that programs no longer have different definitions and methodologies for measuring performance. In this case, the performance indicator measures participants' average six-month earnings once placed in a job, according to the following formula: of those NEG program participants who are employed in the first, second, and third quarters after the exit quarter – total earnings in the second quarter plus total earnings in the third quarter after the exit quarter divided by the number of NEG program participants who exited during the quarter. The target was set using a regression model based on past program results and the unemployment rate. Because of the high unemployment rate and a contracted economy, DOL does not expect that Recovery Act funding will allow the program to improve its average earnings in the short-term. However, the funds will allow more individuals to receive services. This output measure is shown below.</p> <p>The actual reported below is from the PY 2009 Q2 report ending December 31, 2009.</p>
Year	2009
Original Program Target	\$11,486
Revised Full Program Target	\$12,488
Targeted ARRA Increment	N/A
Actual	\$15,196
Goal Lead	Erica Cantor, Administrator, Office of National Response
Measure Text	<p>Monthly Performance Report Indicators:</p> <ul style="list-style-type: none"> • Number of participants served <ul style="list-style-type: none"> ○ Number of UI claimants ○ Number of Veterans ○ Number of individuals with disabilities • Number of individual training accounts • Number of participants in training <ul style="list-style-type: none"> ○ Number in on-the-job training ○ Number in skill upgrading and retraining

	<ul style="list-style-type: none"> ○ Number in customized training ● Number receiving supportive services (except needs-related payments) ● Number receiving needs-related payments
Measure Type	Output
Measure Frequency	Monthly
Direction of Measure	Increasing
Unit of Measure	Aggregate Participant Counts
Explanation of Measure	<p>Participant levels, by select target characteristics and service categories. This information will enable the Department to report on short-term indicators which are aligned with the intended use of Recovery Act expenditures. For example, it is the intent of the Recovery Act to increase services to UI claimants as well as veterans and persons with disabilities. Implementation guidance also stresses the need to provide training and those supportive services which aid participant training. These set indicators will help describe the Department's progress in these areas on a consistent basis. The Department is working to set targets for the output measures.</p> <p><u>Program to Date Actuals for the monthly indicators:</u> 10,022 - UI claimants 1,023 - Veterans 265 - individuals with disabilities 5,712 - individual training accounts 8,370 - participants in training 79 - in on-the-job training 5,049 - in skill upgrading and retraining 27 - in customized training 4,822 - receiving supportive services (except needs-related payments) 12 - receiving needs-related payments</p> <p>These are the Program to Date actuals from the monthly report ending March 31, 2010.</p>
Year	2009
Original Program Target	N/A
Revised Full Program Target	Baseline
Targeted ARRA Increment	N/A
Actual	13,542
Goal Lead	Erica Cantor, Administrator, Office of National Response
Measure Text	Fund Obligation Timing
Measure Type	Output
Measure Frequency	Once
Direction of Measure	Increasing

Unit of Measure	Percentage
Explanation of Measure	The balance of unspent Recovery Act NEG funds measured to see if less than 50 percent of the available funds have been obligated six months into Program Year 2009 (January 2010).
Year	2009
Original Program Target	N/A
Revised Full Program Target	Baseline
Targeted ARRA Increment	N/A
Actual	TBD – As of December 31, 2009, less than 50 percent of the Recovery Act funds for NEGs were obligated. At that time, the Department explored options for expediting the award of Recovery Act-funded NEGs. On April 12, 2010, the Department announced the availability of up to \$90 million for new Recovery Act-funded On-the-Job Training NEGs. It is expected that all Recovery Act funds for NEGs will be obligated by June 30, 2010.
Goal Lead	Erica Cantor, Administrator, Office of National Response
Measure Text	Monitoring Regional Economic Impact (REI) NEG Participation
Measure Type	Output
Measure Frequency	Once
Direction of Measure	Increasing
Unit of Measure	Percentage
Explanation of Measure	The Department will review the number of actual participants, at the third quarter mark for each REI NEG's period of performance, to ensure that they have enrolled at least 50 percent of the number of estimated participants. June 30, 2010, is the third quarter mark for the first REI NEGs.
Year	2009
Original Program Target	N/A
Revised Full Program Target	Baseline
Targeted ARRA Increment	N/A
Actual	TBD
Goal Lead	Erica Cantor, Administrator, Office of National Response
Measure Text	Average Application Processing Time
Measure Type	Output
Measure Frequency	Quarterly
Direction of Measure	Decreasing
Unit of Measure	Number of Days
Explanation of Measure	ETA will monitor on a quarterly basis, the average number of days required to process NEG applications. If the Department averages 60 days or more to process a NEG application, it signals that the Department

	<p>does not have the capacity to process applications in a timely manner which would trigger the mitigation strategy associated with this risk measure.</p> <p>This program is currently at 48 days average time from application submission to the Secretary of Labor approval.</p>
Year	2009
Original Program Target	N/A
Revised Full Program Target	60
Targeted ARRA Increment	N/A
Actual	48
Goal Lead	Erica Cantor, Administrator, Office of National Response
7. Transparency and Accountability:	
<p>Consistent with the transparency and accountability objectives of the Recovery Act, states submit supplemental monthly reports in addition to continuing to submit current quarterly and annual reports. The supplemental monthly reports provide more detailed information on training and other services delivered than is available in current quarterly reports. The supplemental report does not require a new data collection but contains data that is collected, but not currently reported, except annually. The supplemental monthly data enable the identification of customers for whom receipt of employment and training services is emphasized under the Recovery Act. The customers include low-income and public assistance recipients, unemployment insurance claimants, veterans, youth, and customers requiring supportive services (such as transportation and child and dependent care) in order to participate in training and related services.</p> <p>Additionally, beginning in May 2010 grantees submitted participant records quarterly. These data will support rigorous analyses of services provided represented by the demographic characteristics of the recipients of service and geographically.</p> <p>Grantees submit quarterly recipient reports per Section 1512 of the Recovery Act on FederalReporting.gov, which are made public on Recovery.gov. ETA posts the summary of program data on the ETA website and the DOL Recovery webpage.</p>	
8. Federal Infrastructure Investments:	
N/A	
9. Barriers to Effective Implementation:	
N/A	
10. Environmental Review Compliance:	
N/A	