



CHAIRMAN OF THE JOINT CHIEFS OF STAFF INSTRUCTION

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CJCSI 3520.02A
1 March 2007

PROLIFERATION SECURITY INITIATIVE (PSI) ACTIVITY PROGRAM

References: See Enclosure C.

1. Purpose. This instruction sets forth policy and provides procedures for the planning and execution of US military support to the PSI activity program. Authorized military support includes combating weapons of mass destruction (WMD) interdiction mission-area related operations, PSI exercises, related training, meetings, and conferences. This instruction implements references a through g.
2. Cancellation. CJCSI 3520.02, "Proliferation Security Initiative (PSI) Activity Program" 17 October 2005, is canceled.
3. Applicability. This instruction applies to the Joint Staff, Services, combatant commands, combat service agencies and Defense agencies who report to, or through, the Chairman of the Joint Chiefs of Staff (CJCS). Copies are provided to the Secretary of Defense for information and use as appropriate.
4. Policy
 - a. Combating WMD became a top national security priority and called for enhanced interdiction capabilities with the publication of the National Security Presidential Directive (NSPD) 17, "National Strategy to Combat WMD," dated December 2002, reference a. NSPD 20, "Counterproliferation Interdiction", reference b, dated November 2002, established agencies' responsibilities for the development of an effective WMD interdiction effort. In May 2003, the President announced the PSI. In September 2003, the United States and 10 nations published the PSI Statement of Interdiction Principles. The PSI Statement of Interdiction Principles (reference f reflects the commitment to take proactive national actions and cooperates with other PSI participants as

appropriate to interdict WMD, its delivery systems, and related materials moving to and from states and nonstate actors of proliferation concern, consistent with national legal authorities, relevant international law, and multilateral nonproliferation cooperative frameworks. Because PSI is not an international or national organization, it has no headquarters, no chain of command, and no assigned forces. Instead, the PSI Operational Experts Group (OEG) meets periodically to consider ways to enhance WMD interdiction capabilities of all PSI participants and to build support for the PSI. General coordination responsibilities for US military support to PSI activities are outlined in Enclosure A. Specific tasks and responsibilities for PSI interdiction operations, exercises, and related training are detailed in Enclosure B.

b. The PSI exercise program is designed to demonstrate a collective commitment to act against proliferation-related shipments and enhance interdiction capabilities of PSI participants. PSI exercises involve a broad range of US government organizations (e.g., military, law enforcement, intelligence, diplomatic) participating with the counterpart organizations of PSI partners countries. Combatant commands will support PSI exercises per Secretary of Defense direction, consistent with reference e.

c. PSI interdiction operations deny or stop the movement of WMD, WMD delivery systems, related materials, technologies, and expertise between states and between states and nonstate actors of proliferation concern and include the disposition of interdicted material. To date, guidance regarding the execution of PSI interdiction operations has been provided via Secretary of Defense-approved execute order (EXORD). As appropriate, all PSI interdiction operations are coordinated through the interagency process established by reference b.

d. All PSI exercises and operations are conducted consistent with national legal authorities and relevant international law and frameworks.

5. Responsibilities. See Enclosure B.

6. Summary of Changes. The revised CJCSI updates the prior version throughout and clarifies the types of exercises and other activities conducted within the PSI. It also better defines the coordination of activities between the Joint Staff and other US Military entities and directs USSTRATCOM to develop a "PSI support cell" that will support the geographic combatant commanders' PSI exercise development and execution.

7. Releasability. This instruction is approved for public release; distribution is unlimited. DOD components (to include the combatant commands), other federal departments and agencies, combat service agencies, Defense agencies, and the public may obtain copies of this instruction through the Internet from the CJCS Directives Home Page -- http://www.dtic.mil/cjcs_directives.

8. Effective Date. This instruction is effective upon receipt.

For the Chairman of the Joint Chiefs of Staff:



WALTER L. SHARP
Lieutenant General, USA
Director, Joint Staff

Enclosures:

- A -- US Military Support to the PSI Activity Program
- B -- Tasks, Responsibilities, and Levels of Participation for US Military Support to the PSI Activity Program
- C -- References

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ENCLOSURE A

US MILITARY SUPPORT TO THE PSI ACTIVITY PROGRAM

1. Responsibilities. Specific responsibilities are outlined in Enclosure B.
2. General. This chapter assigns responsibilities and provides guidance for US military support to the PSI activity program. The PSI activity program is the principal framework for accomplishing WMD-related interdiction exercises and operations at the joint, interagency, and multinational levels. For the US Armed Forces, these exercises provide an opportunity to assess overall combatant command readiness and supportability across the full range of military operations, including the core capabilities relevant to combating WMD. In regard to operations, PSI provides a multinational framework for coordinating efforts to prevent the movement of WMD and its delivery systems, related materials, technologies, and expertise between states and nonstate actors of proliferation concern. Nations participating in PSI are attempting to improve national operational capabilities to interdict and developing solutions to national and international policy and legal barriers to successful WMD interdiction.
3. PSI Exercises. There are three major types of PSI exercises: multinational interdiction exercises managed by the PSI OEG (and hosted by an OEG participating nation), regional interdiction exercises conducted and led by a PSI partner, and national interdiction exercises conducted by a PSI-endorsing nation. Mission permitting, combatant commanders should be prepared to support each type of exercise in accordance with reference e.
 - a. PSI OEG-Managed Exercises. The OEG has agreed upon an exercise framework that envisions approximately three PSI OEG-managed, nationally hosted multinational live exercises each calendar year. These exercises may include maritime, air, and ground scenarios, or a combination thereof. It may also involve tabletop or command post exercise elements. They will normally occur in regions of proliferation concern. The lead nation responsible for organizing each exercise will be determined within the OEG process. Full participation in these exercises is limited to those PSI countries who have formally endorsed the PSI Statement of Interdiction Principles, reference f. Other countries may be invited to observe on a case-by-case basis. These exercises are based on real-world proliferation trends and utilize scenarios developed in the OEG process that are designed to strengthen legal authorities, test information sharing arrangements and improve participants' operational capabilities.

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b. PSI Regional Exercises. These WMD interdiction exercises are sponsored and conducted by a PSI country in a specific geographic area. The host nation may invite other PSI countries to participate and/or observe and non-PSI countries to observe the exercise. The main goals of these exercises are to improve regional interdiction capabilities and support PSI outreach efforts by increasing key non-PSI countries' awareness of, and participation in, PSI activities. Close coordination among OSD, Joint Staff, DOS, geographic combatant commands (GCCs), USSTRATCOM, Department of Justice (FBI), Department of Homeland Security (DHS) and American embassies in host-nations is required for successful execution.

c. PSI National Exercises. These exercises are conducted by one country to improve national capabilities and internal coordination. The state conducting the exercise may request support from the United States or may invite the United States to participate.

d. Other PSI-related training events

(1) Geographic Combatant Command (GCC) or CJCS-Sponsored Exercises. These are WMD interdiction exercises specifically planned and executed by GCCs or the Joint Staff. GCCs should make every effort to include WMD interdiction exercise events in their exercise plans and/or to sponsor interdiction exercises and training events. These events are coordinated through the Joint Staff and OSD in the interagency process established by reference b. US military support to a PSI exercise may also be incorporated into a CJCS-sponsored exercise, with the applicable CJCS exercise instructions applying. GCCs are encouraged to incorporate WMD interdiction components into existing bilateral and regional military exercises with countries who have endorsed the PSI Statement of Interdiction Principles, reference f. Priority countries for such exercises are provided in reference g. Efforts should be made to include law enforcement agencies in exercise planning.

(2) PSI Games, Tabletops, Command Post Exercises (CPXs), and Simulations. These activities are conducted periodically to train decision-makers, their staffs, and operators on key PSI-related procedures. These training events allow players to analyze, discuss, and resolve issues that normally would not have time to be addressed through live exercises. These issues include the disposition of seized materials.

(3) PSI Key Industry Workshops. OEG nations will periodically host workshops with key elements of the shipping industry. These workshops are designed to facilitate cooperation among elements of the shipping industry and PSI participants to minimize the impact of WMD interdictions on legitimate trade.

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4. PSI Interdiction Operations. These are operations to deny or stop the movement of WMD, their delivery systems, related materials, technologies, and expertise between actors (state and nonstate) of proliferation concern. Specific guidance regarding the execution of PSI interdiction operations is provided via Secretary of Defense-approved CJCS EXORD.

5. Coordination

a. The Joint Staff, OSD, GCCs, and USSTRATCOM will coordinate, as appropriate, the participation of US military forces in PSI combatant command or CJCS-sponsored interdiction exercises and PSI interdiction operations. GCCs are encouraged to incorporate PSI exercises and WMD interdiction components into their existing exercise program (including bilateral and multilateral exercises). USSTRATCOM will serve as the supporting combatant command for integration, synchronization, and execution of DOD combating WMD efforts and will support GCC PSI exercise planning efforts.

b. The PSI OEG process reviews proliferation activity on a periodic basis and formulates training strategies to meet current and emerging threats. The PSI OEG establishes PSI exercise objectives and drafts initial exercise scenarios. Combatant commands should be represented at the OEG meetings as required or as requested by OSD and the Joint Staff to ensure they remain involved in the exercise planning process.

c. The Joint Staff and OSD will, and USSTRATCOM and other interested agencies may, participate in planning for PSI operations, exercises, and related training. All requests for non-DOD interagency play will be coordinated through the Joint Staff.

d. Because scheduling procedures for US military exercises differ from those involving interagency and multinational coordinated exercises, the Joint Staff, with the assistance of USSTRATCOM, will coordinate with relevant commands, Services, and agencies on the PSI exercise schedule to provide the required scheduling information in a timely manner to facilitate maximum participation. PSI exercise schedules are normally promulgated through the OEG process. Linkage of GCC exercises and/or level of support to these exercises will normally be updated at the CJCS-hosted Worldwide Joint Training and Scheduling Conference (WWJTSC). These exercises will be listed in the combatant command's joint training plan and entered into the Joint Training Information Management System (JTIMS). However, since interagency and/or international exercises do not follow the DOD scheduling process, the Joint Staff, with the assistance of USSTRATCOM, will coordinate any additional requirements that may be added outside of the WWJTSC process.

e. OSD and the Joint Staff will ensure appropriate coordination of US military support to the PSI activity program with USSTRATCOM in accordance

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with USSTRATCOM's responsibility as the lead combatant commander for integrating and synchronizing DOD combating WMD efforts.

6. Reports. To the extent possible, existing systems and processes will be used to document WMD interdiction lessons learned during CJCS-sponsored events. For all other activities, an after-action report, to include lessons learned, will be submitted to the Joint Staff (J-3, J-5, J-7(JTIMS)), with copies to the USSTRATCOM Center for Combating WMD (SCC-WMD) and USJFCOM. The supported combatant commander will submit this report not later than 90 working days after the end of the exercise. Commanders are encouraged to solicit comments from non-DOD agencies for inclusion in after-action reports.

7. Funding

a. Operations will be supported through operations and maintenance (O&M) funding. Programming of exercise funds will be based on estimates and assumptions for a typical exercise. Annual estimates for programming will be based on one live field exercise each for USCENTCOM, USEUCOM, and USPACOM, and nominally one regional exercise for USNORTHCOM and USSOUTHCOM. These exercises should be scheduled as delineated in subparagraph 5.d. These combatant commanders will also plan for and participate in other short-notice regional or functional PSI exercises occurring periodically throughout the year within their AOR, to the maximum extent possible. These combatant commanders should also budget to send representatives to PSI OEG meetings or other events each calendar year as requested by the Joint Staff. The number of personnel deployed for each event will vary depending on the nature of the event and level of US involvement.

b. CJCS Joint Exercise Program (JEP) funds will be made available to support strategic lift requirements for PSI exercises conducted by combatant commanders as part of the JEP.

c. The O&M costs associated with PSI will be executed within the existing budgets of the combatant commander's executive agent and/or Service component, the existing budget of USSOCOM for special operations forces, or the agency conducting the exercise. Participating agencies and departments will bear their respective costs.

d. Services will fund the command, control, communications, and computer and weapon systems deployment, employment, and redeployment costs for those systems that are self-deploying.

8. Exercise Name. The nation hosting a PSI exercise will be responsible for naming the exercise. The lead combatant command/US government department or agency will name PSI exercises hosted by the United States.

9. Planning and Execution Sequence. The timetable for planning and execution of PSI exercises will vary according to the level and complexity of exercise play and the desires of the host nation. Every exercise will be different and may have a varied planning and execution sequence. USSTRATCOM, through the SCC-WMD, will support GCCs in exercise planning and execution. The Joint Staff will coordinate planning among appropriate combatant commands and other US government departments and agencies. The timetable for planning and execution of PSI interdiction operations will vary according to the nature and complexity of the operation.

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ENCLOSURE B

TASKS, RESPONSIBILITIES, AND LEVELS OF PARTICIPATION
FOR US MILITARY SUPPORT TO THE PSI ACTIVITY PROGRAM1. Joint Staffa. Directorate for Intelligence, Joint Staff (J-2)

(1) Provide operational and planning support as required. This will normally include providing regional or topical experts from the national intelligence agencies to coordinate on scenario development.

(2) For CJCS exercises, support exercise planning and execution.

(3) Participate as players during the operation/exercise, as required.

b. Directorate for Operations, Joint Staff (J-3)

(1) Serve as Joint Staff office of collateral responsibility (OCR) for interagency and international coordination of PSI activities.

(2) Provide operational guidance and support to the combatant commands for PSI activities when appropriate.

(3) Participate as an exercise player, observer, and controller as required.

(4) Provide military representation to international PSI meetings and activities as required.

(5) Coordinate with operational elements of other US government agencies, as required.

c. Directorate for Strategic Plans and Policy, Joint Staff (J-5)

(1) Serve as the Joint Staff office of primary responsibility for interagency and international coordination of PSI activities.

(2) Provide policy guidance to the combatant commands for PSI activities, including guidance on applicable international agreements related to WMD.

(3) Provide military representation to international PSI meetings and activities.

(4) Provide information on the PSI exercise schedule for presentation at the WWJTSC.

(5) Participate as an exercise player, observer, or controller as required.

(6) Coordinate with OSD, its components, and other US departments and agencies as required.

d. Directorate for Operational Plans and Joint Force Development, Joint Staff (J-7)

(1) Synchronize PSI exercises with other joint and combined exercise programs.

(2) Incorporate PSI exercises into the JEP where appropriate.

(3) Coordinate PSI exercises with the combatant commands, in support of Joint Staff/J-5.

(4) Coordinate PSI capabilities development as appropriate with USSTRATCOM, in furtherance of the USSTRATCOM responsibility to integrate and synchronize applicable DOD-wide efforts across the doctrine, organization, training, material, leadership, personnel, and facilities spectrum.

2. Services

a. Provide planning support, as required.

b. Coordinate subordinate organizations' participation in accordance with plans and procedures.

c. Provide support to Service operational elements and the Joint Staff during exercise execution, as required.

d. Provide necessary operations, communications, and logistics support in accordance with established procedures.

e. Participate as observers or controllers during exercises, as required.

3. USSTRATCOM

a. In collaboration with the combatant commands, identify and assess required US military WMD interdiction capabilities to support PSI interdiction operations and PSI exercises and related training.

b. In coordination with Joint Staff/J-8, advocate, support, and monitor research and development associated with US military WMD interdiction capabilities to support PSI interdiction operations and PSI exercises and related training.

c. In coordination with Joint Staff/J-2, coordinate military intelligence, surveillance, and reconnaissance support consistent with USSTRATCOM Unified Command Plan responsibilities.

d. Designate a PSI support cell who supports US PSI exercise requirements, as coordinated in accordance with subparagraph 5.d of Enclosure A. This exercise support cell will:

(1) Serve as the primary conduit to ensure exercise objectives developed in the OEG process are incorporated into US-hosted PSI exercises.

(2) Support GCC staffs in the development, planning, and execution of US-hosted OEG-managed exercises.

(3) Advocate for and support GCC staffs in developing, planning, and executing regional PSI exercises.

(4) When requested, support GCC staffs and other US agencies in coordinating participation in foreign-hosted PSI exercises.

(5) Advocate for the inclusion of WMD interdiction elements that support the PSI into existing GCC exercises.

(6) Support Joint Staff/OSD in the planning and execution of international PSI exercises/games involving other US departments and agencies, as required.

(7) Support US exercise control teams as required during all PSI exercises.

e. In conjunction with USJFCOM, advocate WMD interdiction “best practices” to combatant command staffs.

f. Provide subject matter experts and/or support to international PSI meetings and activities as required and arranged by the Joint Staff and OSD.

g. Act as supporting command to GCCs for planning and conducting PSI operations.

4. Geographic Combatant Commands (GCCs). GCCs will be prepared to conduct PSI interdiction operations as required and directed. Combatant commands will participate in PSI exercises in accordance with reference e. It is recommended that GCCs incorporate PSI scenarios into existing exercises when possible for ease of scheduling and budget considerations. When participating in PSI exercises, GCCs will include the following in planning efforts:

a. Serve as the lead for US-hosted PSI exercises within their area of responsibility (AOR), unless otherwise directed, with policy guidance provided by OSD and/or the Joint Staff. GCCs will coordinate with subordinate commands and other participating organizations within the AOR on the field training exercise portion of exercises.

b. Identify, support, and execute PSI exercise objectives.

c. In conjunction with OSD/Joint Staff, and with USSTRATCOM support, develop exercise scenarios to accomplish PSI objectives in a plausible manner consistent with AOR-specific situations.

d. Attend all applicable exercise planning conferences and meetings.

e. Participate in partner-led exercises at the appropriate level.

f. Provide subject matter experts and/or support to international PSI meetings, as required.

g. Provide feedback and lessons learned to USJFCOM, Joint Staff, and USSTRATCOM on exercise issues.

h. Track and maintain a record of expenditures and personnel and asset participation (e.g., flight time, deployment days, etc.) in support of PSI activities and exercises.

5. USJFCOM

a. As the joint force provider, coordinate with OSD, the Joint Staff, USSTRATCOM, and the affected combatant commands, as appropriate, to provide required capabilities for PSI activities.

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b. In coordination with OSD, the Joint Staff, and USSTRATCOM, develop US military exercise objectives to enhance WMD interdiction capabilities in support of PSI activities.

c. In coordination with USSTRATCOM, collect and analyze lessons learned from PSI exercises and, when the situation permits, PSI WMD interdiction operations. Develop the capability to analyze PSI lessons learned.

d. Make recommendations to the Joint Staff and USSTRATCOM on how lessons learned can be incorporated into future exercises and operations.

e. Provide subject matter experts and/or support to international PSI meetings as required.

6. USTRANSCOM

a. Provide assistance to the Joint Staff, USSTRATCOM, and participating commands and agencies on all matters involving the utilization of common-user transportation assets during planning and execution of PSI interdiction operations, PSI exercises, and related training.

b. Monitor and coordinate movements/deployments in support of PSI WMD interdiction operations and PSI exercises and related training in accordance with established procedures.

c. Provide subject matter experts and/or support to international PSI meetings as required.

7. Other Departments and Agencies. DOD exercise planners will seek, in coordination with OSD, the Joint Staff, GCCs and USSTRATCOM, to incorporate major non-DOD departments and agencies into PSI exercises and related training, as appropriate, and will encourage participating federal departments and agencies to participate in exercise planning and execution.

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ENCLOSURE C

REFERENCES

- a. NSPD 17, "National Strategy to Combat Weapons of Mass Destruction," December 2002
- b. NSPD 20, "Counterproliferation Interdiction," November 2002
- c. DOD Directive 5111.1, "Under Secretary of Defense for Policy (USD(P)), " 8 December 1999
- d. CJCSI 5715.01 Series, "Joint Staff Participation in Interagency Affairs," 31 July 2006
- e. Secretary of Defense memorandum on US Military Support to the Multinational Proliferation Security Initiative (PSI) Exercise Program and Related Training, 8 August 2005
- f. PSI Statement of Interdiction Principles, September 2003
- g. SecDef Security Cooperation Guidance, (last revised July 2006)

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