



2006 SURVEY OF INDUSTRIAL RESEARCH AND DEVELOPMENT

Mail your completed form to:
U.S. CENSUS BUREAU
1201 East 10th Street
Jeffersonville, IN 47132-0001

Please **read** the accompanying instructions before answering the questions.

Need help or have questions about filling out this form?

Visit our Web site at www.census.gov/econhelp/rd

To **speak** with an analyst, call 1-800-851-2014, option "0" between 8:00 a.m. and 5:00 p.m., Eastern time, Monday through Friday.

- OR -

Write to the address above, include your 11-digit Identification Number (ID) printed in the mailing address.

Option to file electronically is located at www.census.gov/econhelp/rd

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(Please correct any errors in this mailing address.)

YOUR RESPONSE IS REQUIRED BY LAW. Title 13, United States Code, requires businesses and other organizations that receive this questionnaire to answer the questions and return the report to the U.S. Census Bureau. By the same law, **YOUR CENSUS REPORT IS CONFIDENTIAL.** It may be seen only by persons sworn to uphold the confidentiality of Census Bureau information and may be used only for statistical purposes. Further, copies retained in respondents' files are immune from legal process. You will satisfy the mandatory requirements for this survey if you answer **2**, **3**, and **5**, line D, columns 1 and 3; and **7**. Except as noted in specific questions, this report should cover your entire consolidated domestic enterprise, including **all subsidiaries** in the 50 United States and D.C. Reasonable estimates are acceptable.

This survey is conducted jointly with the National Science Foundation.

1 Was this company owned or controlled by another company on December 31, 2006?

001 Yes - See instructions to determine if you should complete this questionnaire.

No - Go to **2**.

Dollar figures should be rounded to thousands of dollars.

If a figure is **\$1,025,628.79: Report** →

2006		
\$ Billions	Millions	Thousands
	1	026

2 What was the amount of your company's **sales, shipments, operating receipts, or revenues**, net of returns and allowances attributable to domestic operations in the 50 United States or D.C. during 2006? (EXCLUDE domestic intracompany transfers and sales by foreign subsidiaries. INCLUDE receipts for sales of products and services provided to other companies, individuals, U.S. Government agencies, and foreign countries.) Mark "X" if None 0130

Amount reported for 2005

2006		
\$ Billions	Millions	Thousands
102		

3 How many **employees** worked in the 50 United States or D.C. for your company on March 12, 2006? (INCLUDE number of full- and part-time employees whose payroll was reported on Internal Revenue Service Form 941, Employer's Quarterly Federal Tax Return.) Mark "X" if None 0131

Amount reported for 2005

Number
112



11000015

4 What was the number of full-time equivalent (FTE) **scientists and engineers** employed by your company as of January 1, 2007 who worked on the following types of R&D in the 50 United States and D.C. during 2006?

(See Instructions for the definition of FTE scientists and engineers.)

January 1, 2007	
Number of FTEs	
204	
205	
206	

A. Federally funded R&D Mark "X" if None 0132

Number of FTEs reported as of January 1, 2006

B. Company and other nonfederally funded R&D. Mark "X" if None 0133

Number of FTEs reported as of January 1, 2006

C. TOTAL (Add lines A and B.) Mark "X" if None 0134

Number of FTEs reported as of January 1, 2006

5 What was the cost of R&D performed within your company **in the 50 United States and D.C.** from each of the sources of funding below during 2006?

A. Basic research (Activity toward the advancement of scientific knowledge without specific immediate commercial objectives.)

Mark "X" if no basic research 0135

Amount reported for 2005

2006								
(1) Federal funds			(2) Company and other nonfederal funds			(3) Total funds Columns 1 + 2		
\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
304			305			306		

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B. Applied research (Activity directed primarily towards a specific commercial or practical objective.)

Mark "X" if no applied research. . . . 0136

Amount reported for 2005

(1) Federal funds			(2) Company and other nonfederal funds			(3) Total funds Columns 1 + 2		
\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
314			315			316		

C. Development (Activity translating research into new or improved products, services, or processes.)

Mark "X" if no development 0137

Amount reported for 2005

(1) Federal funds			(2) Company and other nonfederal funds			(3) Total funds Columns 1 + 2		
\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
324			325			326		

D. TOTAL (Add lines A through C.)

Mark "X" if no R&D 0138

Amount reported for 2005

(1) Federal funds			(2) Company and other nonfederal funds			(3) Total funds Columns 1 + 2		
\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
344			345			346		

11000023

If not shown, please enter your 11-digit Identification Number (ID) from the mailing address.

6 If your company plans to perform R&D during 2007, what is the estimated projected cost?

(Comparable to the 2006 figure reported in 5, line D.)

Mark "X" if no R&D is planned for next year . . . 0139

2007								
(1) Federal funds			(2) Company and other nonfederal funds			(3) Total funds Columns 1 + 2		
\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
403			402			401		

7 If others outside your company performed R&D funded by your company, what was the cost of the R&D performed in the 50 United States and D.C. during 2006?

(Include payments made via contracts, grants, or other agreements under which your company pays for but **does not perform** any of the R&D.)

Mark "X" if no R&D was performed by others 0140

2006								
(1) Federal funds			(2) Company and other nonfederal funds			(3) Total funds Columns 1 + 2		
\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
354			353			355		

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8 What was the cost of the R&D reported in 7, column 2, performed by each of the following **types of organizations?**

- A. For-profit companiesMark "X" if None 0141
Amount reported for 2005
- B. Federal agencies or laboratoriesMark "X" if None 0142
Amount reported for 2005
- C. State government agencies or laboratoriesMark "X" if None 0143
Amount reported for 2005
- D. Universities or collegesMark "X" if None 0144
Amount reported for 2005
- E. Other nonprofit organizationsMark "X" if None 0145
Amount reported for 2005
- F. **TOTAL** (Add lines A through E. The sum should equal the total reported in 7, column 2.)Mark "X" if None 0146
Amount reported for 2005

2006		
Company and other nonfederal funds		
\$ Billions	Millions	Thousands
811		
812		
813		
821		
831		
841		

9 What were your company's costs for R&D that your company performed within a joint venture, alliance, partnership, or other collaborative arrangement in the 50 United States and D.C. during 2006? Please report costs separately for each type of partner listed below. (INCLUDE R&D your company performed in the 50 United States and D.C. regardless of the location of the other parties.)

(These expenditures should also be included as part of the information reported in **5**, line D, column 2. Do not include costs reported in **3**.)

A. For-profit companies Mark "X" if None 0186

Amount reported for 2005

B. Federal agencies or laboratories Mark "X" if None 0187

Amount reported for 2005

C. State government agencies or laboratories Mark "X" if None 0190

Amount reported for 2005

D. Universities or colleges. Mark "X" if None 0188

Amount reported for 2005

E. Other nonprofit organizations Mark "X" if None 0189

Amount reported for 2005

F. TOTAL (Add lines A through E.) Mark "X" if None 0191

Amount reported for 2005

2006			
Company and other nonfederal funds			
\$ Billions	Millions	Thousands	
1101			
1102			
1105			
1103			
1104			
1110			

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11000049

If not shown, please enter your 11-digit Identification Number (ID) from the mailing address.

10 If your company funded R&D performed **outside the 50 United States and D.C.** during 2006, what was the cost? (Please report costs of R&D performed by subsidiaries, affiliates, or others based on your company's percentage of ownership, if any, of the entity that conducted the R&D. Ownership can be based on voting stock or equivalent interest.)

Mark "X" if None 0147

A. More than 50% ownership (This category includes wholly owned subsidiaries and locations.)

Amount reported for 2005

B. 10% to 50% ownership

Amount reported for 2005

C. More than 0% but less than 10% ownership

Amount reported for 2005

D. 0% - No company ownership

Amount reported for 2005

E. TOTAL (Add lines A through D.)

Amount reported for 2005

2006		
Company and other nonfederal funds		
\$ Billions	Millions	Thousands
366		
365		
364		
363		
369		

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11 What was the cost of the R&D reported in **10**, line A, in Puerto Rico and the following countries? (The total for this item should equal the amount reported in **10**, line A.)

A. Puerto Rico Mark "X" if None 0148

Amount reported for 2005

B. Canada Mark "X" if None 0149

Amount reported for 2005

C. China. Mark "X" if None 0150

Amount reported for 2005

D. France Mark "X" if None 0151

Amount reported for 2005

E. Germany Mark "X" if None 0152

Amount reported for 2005

2006		
Company and other nonfederal funds		
\$ Billions	Millions	Thousands
1206		
1201		
1213		
1203		
1202		

11000056

11 Continued

2006

Company and other
nonfederal funds

\$ Billions	Millions	Thousands
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1214			
------	--	--	--

F. India *Mark "X" if None* 0153
Amount reported for 2005

1215			
------	--	--	--

G. Ireland *Mark "X" if None* 0154
Amount reported for 2005

1216			
------	--	--	--

H. Israel *Mark "X" if None* 0155
Amount reported for 2005

1217			
------	--	--	--

I. Italy *Mark "X" if None* 0156
Amount reported for 2005

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1218			
------	--	--	--

J. Japan *Mark "X" if None* 0157
Amount reported for 2005

1219			
------	--	--	--

K. Singapore *Mark "X" if None* 0158
Amount reported for 2005

1220			
------	--	--	--

L. Sweden *Mark "X" if None* 0159
Amount reported for 2005

1205			
------	--	--	--

M. United Kingdom *Mark "X" if None* 0160
Amount reported for 2005

1207			
------	--	--	--

N. Other - Specify ↴

1209			
------	--	--	--

1220			
------	--	--	--

1221			
------	--	--	--

O. TOTAL (Add lines A through N. The sum should equal the amount reported in **10**, line A.) *Mark "X" if None* 0192
Amount reported for 2005

1210			
------	--	--	--



11000064

If not shown, please enter your 11-digit Identification Number (ID) from the mailing address.

12 If you reported Federally funded R&D in **5**, line D, column 1, what was the cost funded by each of the following Federal agencies?

A. Department of Defense (DoD) *Mark "X" if None* 0161

Amount reported for 2005

B. National Aeronautics and Space Administration (NASA) *Mark "X" if None* 0162

Amount reported for 2005

C. Department of Energy (DOE) *Mark "X" if None* 0163

Amount reported for 2005

D. Other Federal agencies . . . *Mark "X" if None* 0164

Amount reported for 2005

E. TOTAL (*Add lines A through D. The sum should equal the total reported in **5**, line D, column 1.*) *Mark "X" if None* 0165

Amount reported for 2005

2006		
Federal funds		
\$ Billions	Millions	Thousands
511		
521		
531		
541		
551		

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11000072

13 For the total R&D you reported in 9, line D, column 3, what was the cost for each of the following types of expenses?

2006		
Total funds		
\$ Billions	Millions	Thousands
611		
621		
631		
641		
651		
661		

A. Wages and salaries of R&D personnel

(INCLUDE scientists and engineers, technicians, secretaries, and other personnel.)

Mark "X" if None 0166

Amount reported for 2005

B. Fringe benefits of R&D personnel

(INCLUDE taxable and nontaxable benefits, 401K plans, employers' contribution to health plans.) Mark "X" if None 0167

Amount reported for 2005

C. Materials and supplies consumed

(INCLUDE the cost of all purchased materials consumed.)

Mark "X" if None 0168

Amount reported for 2005

D. Depreciation on R&D property and equipment

(INCLUDE depreciation and amortization costs for property and equipment used for R&D during the year.)

Mark "X" if None 0169

Amount reported for 2005

E. All other R&D expenses

(INCLUDE R&Ds share of company overhead and other expenses such as utilities, books and periodicals, and property and other taxes.)

Mark "X" if None 0170

Amount reported for 2005

F. TOTAL (Add lines A through E. The sum should equal the total reported in 9, line D, column 3.)

Mark "X" if None 0171

Amount reported for 2005

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11000080

If not shown, please enter your 11-digit Identification Number (ID) from the mailing address.

14 For the total R&D you reported in ⑤, line D, column 3, what was the cost for each of the following areas?

2006		
Total funds		
\$ Billions	Millions	Thousands
7111		
Amount reported for 2005		
7211		
Amount reported for 2005		
7311		
Amount reported for 2005		
7411		
Amount reported for 2005		
7511		
Amount reported for 2005		

A. Biotechnology (The use of scientific and engineering data and techniques for the study and solution of problems concerning living organisms.) *Mark "X" if None* 0172

Amount reported for 2005

B. Software development (The formulation of programs, applications, routines, etc., for computers, excluding those used exclusively for internal company operations.) *Mark "X" if None* 0173

Amount reported for 2005

C. Materials synthesis and processing (The use of scientific and engineering data and techniques for the formulation and manipulation of new materials.) *Mark "X" if None* 0174

Amount reported for 2005

D. All other areas *Mark "X" if None* 0175

Amount reported for 2005

E. TOTAL (Add lines A through D. The sum should equal the total reported in ⑤, line D, column 3.) *Mark "X" if None* 0176

Amount reported for 2005

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11000098

15 Did your company perform any R&D using **nanotechnology** during 2006? (Nanotechnology is the creation and utilization of materials, devices, and systems sized at the level of atoms and molecules. This includes R&D in the range of 1 to 100 nanometers.)

7001 Yes - Go to **16**.

7002 No - Go to **17**.

16 For the R&D costs reported in **14**, lines A through D, what percentage involved the use of **nanotechnology** for each of the following areas?

A. Biotechnology Mark "X" if None 0177

Amount reported for 2005

B. Software development Mark "X" if None 0178

Amount reported for 2005

C. Materials synthesis and processing Mark "X" if None 0179

Amount reported for 2005

D. All other areas Mark "X" if None 0180

Amount reported for 2005

2006	
Whole percents	
7112	
	%
	%
7212	
	%
	%
7312	
	%
	%
7412	
	%
	%

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11000106

If not shown, please enter your 11-digit Identification Number (ID) from the mailing address.

17 For the Federal and total R&D you reported in **6**, line D, columns 1 and 3, what was the cost of the R&D performed in **each of the 50 United States and D.C.?** (The totals for this item should equal the totals reported in **6**, line D, columns 1 and 3.)

		2006						
		(1) Federal funds			(2) Total funds			
		\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	
Alabama	9011				9012			
Amount reported for 2005								
Alaska	9021				9022			
Amount reported for 2005								
Arizona	9031				9032			
Amount reported for 2005								
Arkansas	9041				9042			
Amount reported for 2005								
California	9051				9052			
Amount reported for 2005								
Colorado	9061				9062			
Amount reported for 2005								
Connecticut	9071				9072			
Amount reported for 2005								
Delaware	9081				9082			
Amount reported for 2005								
District of Columbia	9091				9092			
Amount reported for 2005								
Florida	9101				9102			
Amount reported for 2005								

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11000114

17 Continued

		2006						
		(1) Federal funds			(2) Total funds			
		\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	
Georgia	9111				9112			
Amount reported for 2005								
Hawaii	9121				9122			
Amount reported for 2005								
Idaho	9131				9132			
Amount reported for 2005								
Illinois	9141				9142			
Amount reported for 2005								
Indiana	9151				9152			
Amount reported for 2005								
Iowa	9161				9162			
Amount reported for 2005								
Kansas	9171				9172			
Amount reported for 2005								
Kentucky	9181				9182			
Amount reported for 2005								
Louisiana	9191				9192			
Amount reported for 2005								
Maine	9201				9202			
Amount reported for 2005								
Maryland	9211				9212			
Amount reported for 2005								

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11000122

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17 Continued

		2006						
		(1) Federal funds			(2) Total funds			
		\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	
	9221				9222			
Massachusetts								
	Amount reported for 2005							
	9231				9232			
Michigan								
	Amount reported for 2005							
	9241				9242			
Minnesota								
	Amount reported for 2005							
	9251				9252			
Mississippi								
	Amount reported for 2005							
	9261				9262			
Missouri								
	Amount reported for 2005							
	9271				9272			
Montana								
	Amount reported for 2005							
	9281				9282			
Nebraska								
	Amount reported for 2005							
	9291				9292			
Nevada								
	Amount reported for 2005							
	9301				9302			
New Hampshire								
	Amount reported for 2005							
	9311				9312			
New Jersey								
	Amount reported for 2005							
	9321				9322			
New Mexico								
	Amount reported for 2005							

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11000130

17 Continued

		2006						
		(1) Federal funds			(2) Total funds			
		\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands	
	9331				9332			
New York								
Amount reported for 2005								
	9341				9342			
North Carolina								
Amount reported for 2005								
	9351				9352			
North Dakota								
Amount reported for 2005								
	9361				9362			
Ohio								
Amount reported for 2005								
	9371				9372			
Oklahoma								
Amount reported for 2005								
	9381				9382			
Oregon								
Amount reported for 2005								
	9391				9392			
Pennsylvania								
Amount reported for 2005								
	9401				9402			
Rhode Island								
Amount reported for 2005								
	9411				9412			
South Carolina								
Amount reported for 2005								
	9421				9422			
South Dakota								
Amount reported for 2005								
	9431				9432			
Tennessee								
Amount reported for 2005								

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17 Continued

		2006					
		(1) Federal funds			(2) Total funds		
		\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
		9441			9442		
Texas							
	Amount reported for 2005						
		9451			9452		
Utah							
	Amount reported for 2005						
		9461			9462		
Vermont							
	Amount reported for 2005						
		9471			9472		
Virginia							
	Amount reported for 2005						
		9481			9482		
Washington							
	Amount reported for 2005						
		9491			9492		
West Virginia							
	Amount reported for 2005						
		9501			9502		
Wisconsin							
	Amount reported for 2005						
		9511			9512		
Wyoming							
	Amount reported for 2005						
		9541			9542		
TOTAL (The sums should equal the amounts reported in 5, line D, columns 1 and 3.)							
	Amount reported for 2005						

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18 If your company performed **energy-related R&D** during 2006, what was the cost of the R&D performed in the 50 United States and D.C. for each of the following sources of energy?

(INCLUDE the portion of project cost incurred for the purpose of increasing energy capabilities for each source of funding. These expenditures should also be included as part of the information reported in 5, line D, columns 1 and 3.)

2006					
(1) Federal funds			(2) Total funds		
\$ Billions	Millions	Thousands	\$ Billions	Millions	Thousands
10211			10212		
Amount reported for 2005					
10311			10312		
Amount reported for 2005					
10111			10112		
Amount reported for 2005					
10411			10412		
Amount reported for 2005					
10511			10512		
Amount reported for 2005					

A. Fossil fuels Mark "X" if None 0181
Amount reported for 2005

B. Geothermal and solar . . . Mark "X" if None 0182
Amount reported for 2005

C. Nuclear Mark "X" if None 0183
Amount reported for 2005

D. All other energy sources . . Mark "X" if None 0184
Amount reported for 2005

E. TOTAL (Add lines A through D). Mark "X" if None 0185
Amount reported for 2005

19 A. Does this report cover your entire consolidated domestic enterprise, including all U.S. subsidiaries? (Mark "X" only ONE box.)

1301 Yes

1330 No - Please explain in 21.

B. Was your company publicly or privately owned? (Mark "X" only ONE box.)

1302 Publicly owned

1334 Privately owned

1319 Other - Please describe ↴

1320

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If not shown, please enter your 11-digit Identification Number (ID) from the mailing address.

19 C. Other than the parent company, how many subsidiaries, affiliates, or branches located in the 50 United States and D.C. owned or controlled by your company (by means of voting stock or other equivalent interest) are included in this report? (Mark "X" only ONE box.)

1303 None

1304 1

1305 2-5

1306 More than 5

1321 Other - Please describe ↴

1322

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D. Other than the parent company, how many subsidiaries, affiliates, or branches located outside the 50 United States and D.C. owned or controlled by your company (by means of voting stock or other equivalent interest) are included in this report? (Mark "X" only ONE box.)

1307 None

1308 1

1309 2-5

1310 More than 5

1323 Other - Please describe ↴

1324

E. What percent of your company was owned or controlled (by means of voting stock or other equivalent interest) by one or more companies located in the 50 United States and D.C.? (Mark "X" only ONE box.)

1311 0% - No ownership

1312 More than 0% but less than 10% ownership

1313 10%-50% ownership

1314 More than 50% ownership

1325 Other - Please describe ↴

1327

F. What percent of your company was owned or controlled (by means of voting stock or other equivalent interest) by one or more companies located outside the 50 United States and D.C.? (Mark "X" only ONE box.)

1315 0% - No ownership

1316 More than 0% but less than 10% ownership

1317 10%-50% ownership

1318 More than 50% ownership

1326 Other - Please describe ↴

1328

11000171

20 Reporting period, location of records, and contact information

A. Is the time period covered by this report a calendar year?

0078 Yes

0079 No - Enter time period covered → FROM

Month	Year
0070	

TO

Month	Year
0071	

B. Are all of your company's R&D records and data in a central location?

0080 Yes

0081 No - How many locations? →

0086

--

0085 Other - Please describe →

0087

--

C. How many people were involved in the preparation of this report (INCLUDE those you consulted who provided, collected, or reviewed information, even if you are the only one who filled out the form.)?

0084

--

0072 Name of person to contact regarding this report					0073 Title						
Telephone 0074		Area code	Number		Extension	Fax 0075		Area code	Number		
			-						-		
0076 Internet e-mail address							Date completed 0069		Month	Day	Year

21 REMARKS (Please use this space for any explanations that may help us in understanding your reported data.)

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Thank you for completing your 2006 SURVEY OF INDUSTRIAL RESEARCH AND DEVELOPMENT form.
PLEASE PHOTOCOPY THIS FORM FOR YOUR RECORDS AND RETURN THE ORIGINAL.



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