

ABSTRACTS OF CURRENTLY UTILIZED  
SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS

National Institute of Corrections Academy  
Longmont, Colorado

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## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** Adolescent Self-Assessment Profile (ASAP)  
**Year Developed:** 1990 **Cost:** \$27, Includes Manual, Test Booklet & 50 Answer Sheets & Profiles  
**Developed by:** Kenneth W. Wanberg  
**Source:** Center for Addictions Research and Evaluation/Care  
5460 Ward Rd., Suite 140  
Arvada, CO 80002  
303-421-1261  
**Contact Person:** Kenneth W. Wanberg or John R. Befus

### **PURPOSE**

Generates a profile that leads to a clinical assessment for a treatment plan and prevention recommendations.

### **TARGET POPULATION**

Juveniles ages 12-14 years of age (if administered face to face) or juveniles 15 and up or with an 8th grade reading level (if self-administered).

### **VALIDITY AND RELIABILITY DATA**

Validity testing is underway for the ASAP. To date the ASAP scales have a high rate of validity and reliability.

### **CORRECTIONS POPULATION NORMED**

No (Adolescents on an Outpatient Referral)

### **ADMINISTRATION/SCORING**

The ASAP can be completed and scored (face to face or self-administered) in 60-90 minutes. A computer program is being developed that generates a profile.

### **TRAINING REQUIREMENTS**

The ASAP requires 1/2 to full day training which includes the theoretical framework for assessing juvenile behavior patterns, instructions for administration and scoring, and computerizing the ASAP instrument.

### **READING LEVEL/LANGUAGE VERSIONS**

Not Applicable/English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** DOPERS (Drug Offender Profile Evaluation/Referral Strategies)

**Year Developed:** 1988 **Cost:**

**Developed by:** Nancy Powell Bartlett and Robert T. Lynch  
**Source:** Department of Criminal Justice  
Community Justice Assistance Division  
8100 Cameron Road, Bldg,. B Suite 600  
Austin, TX 78753  
512-834-8188

**Contact Person:** Nancy Powell Bartlett

### **PURPOSE**

Determines specific probation supervision and treatment recommendations

### **TARGET POPULATION**

Suspected drug-involved male and female probationers.

### **VALIDITY AND RELIABILITY DATA**

Currently undergoing validity assessment at Sam Houston University. Field testing completed with interrater reliability.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION/SCORING**

Face to face individual interview with offender--approximately 25 minutes to administer. A simplified scoring system is anticipated.

### **TRAINING REQUIREMENTS**

Requires 2 1/2 day training through TX Department of Criminal Justice. Training focuses on instrument using videos, interview techniques, and four drug offender profiles which determine strategies/treatment.

### **READING LEVEL/LANGUAGE VERSIONS**

Not Applicable/English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** Offender Profile Index (OPI)  
**Year Developed:** 1989 **Cost:**  
**Developed by:** Dr. James Inciardi and Dr. Duane McBride  
**Source:** Division of Criminal Justice  
University of Delaware  
Delaware, Newark, DE 19716  
302-451-1236  
**Contact Person:** James Inciardi or Duane McBride

### **PURPOSE**

Determines specific drug intervention disposition for the criminal justice decision maker.

### **TARGET POPULATION**

Suspected drug-involved defendants/offenders, primarily pre- and post-sentenced.

### **VALIDITY AND RELIABILITY DATA**

Limited application but has face validity and is based directly on an existing body of research. The OPI has been accepted as practically useful by judges, probation officers, treatment personnel and TASC programs in demonstration settings. Process and impact evaluations are underway that may result in minor modifications.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION / SCORING**

Face to face individual interview that may be completed in about 30 minutes.

### **TRAINING REQUIREMENTS**

Can be administered by any trained professional with basic interviewing skills. Staff should review OPI Manual for scoring and probing techniques. Mock interviews and supervised interviews are recommended. The assessment is essentially self-scoring, a numerical score corresponds with recommendations.

### **READING LEVEL / LANGUAGE VERSIONS**

Not Applicable/English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

Name: TASC, Inc.  
Year Developed: Cost:  
Developed by: Melody Heaps, Executive Director  
Source: TASC Inc.  
1500 N. Halstead  
Chicago, IL 60622  
312-787-0208  
Contact Person: Melody Heaps

### **PURPOSE**

Assesses need, motivation and level of treatment.

### **TARGET POPULATION**

Drug-involved defender/offender populations through post disposition.

### **VALIDITY AND RELIABILITY DATA**

This assessment instrument has been used for over a decade on male and female adult offenders throughout the state of Illinois. The information and recommendations are relied upon by judges, probation, and treatment professionals.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION / SCORING**

Face to face individual interviews with offender takes **90420** minutes for completion. Verification of information includes: review of rap sheet; previous TX records; a collateral contact and supervisory review of assessment and other records.

### **TRAINING REQUIREMENTS**

Best when performed by a trained clinician for outcome recommendation. Training involves familiarity with form, mock interviews, observation of interview process, and supervised interview. Requires familiarity with services and resources available in jurisdiction.

### **READING LANGUAGE VERSIONS**

Not Applicable/English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** Problem Oriented Screening Instrument for Teenagers (POSIT)

**Year Developed:** 1989 **Cost:**

**Source:** The National Clearinghouse for Alcohol and Drug Information  
P.O. Box 2345  
Rockville, MD 20852  
301-468-2600/800-729-6686

### **PURPOSE**

Identifies problem areas that require more intensive diagnostic information.

### **TARGET POPULATION**

Juveniles ages 10-19 years of age at any point in the criminal justice system, from arrest through parole.

### **VALIDITY AND RELIABILITY DATA**

The POSIT has an established face validity and is currently undergoing a NIDA-funded field study to establish its concurrent and predictive validities, its reliability, and its utility as a "problem" screen as part of an overall Adolescent Assessment/Referral System.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION / SCORING**

Self-administered instrument that can be completed by youth in 20 minutes. Scoring is easily completed with use of the Adolescent Assessment Referral System (AARS) Manual by untrained staff. Manual and easy-to-use scoring templates are self-explanatory.

### **TRAINING REQUIREMENTS**

The POSIT can be administered, scored, and interpreted by untrained staff. Manual and easy to use scoring templates are self-explanatory.

### **READING LEVEL/LANGUAGE VERSIONS**

Not Applicable/English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** Alcohol Dependence Scale  
**Year Developed:** 1984 **Cost:**  
**Source:** Addiction Research Foundation  
33 Russell St.  
Toronto, Canada  
416-595-6000  
**Contact Person:** H. A. Skinner & J. L. Horn

### **PURPOSE**

Assesses severity of the alcohol dependence syndrome.

### **TARGET POPULATION**

Alcohol-involved adult population.

### **VALIDITY AND RELIABILITY DATA**

Studies have demonstrated high reliability and validity.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION/SCORING**

25-item self-report instrument. Assessment applied in an individual face to face interview with client/offender. Takes 60 - 90 minutes.

### **TRAINING REQUIREMENTS**

Administered as part of the Uniform Substance Abuse Screening Battery with the Wisconsin Department of Corrections. Requires five day training session through Wisconsin Department of Corrections. Manual and template are provided in session. Scoring results in categorical segments with specific goals, services, treatment and worker/offender relationships included. Training Manual available through NIC Information Center

### **READING LEVEL/LANGUAGE VERSIONS**

English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

Name : ASI (Addiction Severity Index)  
Year Developed: 1980 Cost:  
Developed by: Dr. A. Thomas McLellan, L. Luborsky,  
C. P. O'Brien and G. E. Woody  
Source: Veterans Administration Medical Center  
University and Woodland Avenue  
Philadelphia, PA 19104  
215-823-5809  
Contact Person: Dr. A. Thomas McLellan

### **PURPOSE**

Assesses drug problem and level of treatment needed

### **TARGET POPULATION**

Adult, opiate dependent males and drug involved female populations. Not recommended for juveniles.

### **VALIDITY AND RELIABILITY DATA**

Studies have demonstrated high reliability and validity for correctional populations.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION/SCORING**

Face-to-face individually administered interview. Takes 60-90 minutes to complete. Calculation of composite scores via computer. Interpretation of scores by a trained clinician takes up to 15 minutes.

### **TRAINING REQUIREMENTS**

ASI literature suggests administration of assessment be completed by a trained technician at an MSW level or equivalent. Training Manual and video are available--followed by mock interviews under supervision.

### **READING LEVEL/LANGUAGE VERSIONS**

Not Applicable/English only



## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** Client Management Classification (CMC)

**Year Developed:** 1984 **cost:**

**Developed by:** Gary Baling  
**Source:** Box 7925  
Madison, WI 53707  
608-266-0542

**Contact Person:** Gary Arling

### **PURPOSE**

Determines appropriate supervision strategies and human service needs. An Offender classification system.

### **TARGET POPULATION**

Adult probation, parole, and institution population.

### **VALIDITY AND RELIABILITY DATA**

CMC has been evaluated with an overall reliability of 90 percent. The evaluative results of the CMC by users is quite positive.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION / SCORING**

Face to face individual interview with offender, takes about 45 minutes.

### **TRAINING REQUIREMENTS**

Requires five day training session through Wisconsin Department of Corrections. Manual and template are provided in session. Scoring results in categorical segments with specific goals, services, treatment and worker/offender relationships included. Training Manual available through NIC Information Center

### **READING LEVEL / LANGUAGE VERSIONS**

Not Applicable/English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** Prototype Screening/Triage Form for Use in Detention.

**Year Developed:** 1990 **cost:**  
**Developed by:** Dr. Richard Dembo

**Source:** Criminology Department  
University of Florida  
4202 E. Fowler Avenue  
Tampa, FL 33620  
813-974-2815

**Contact Person:** Richard Dembo

### **PURPOSE**

Assesses juvenile risk and needs within detention setting.

### **TARGET POPULATION**

Juvenile detainees.

### **VALIDITY AND RELIABILITY DATA**

This instrument has yet to be fully validated. Many items and sections are taken from instruments applied to the same populations since 1985 which have proven validity. Five years of research and experience support the instrument.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION / SCORING**

A 45-minute face to face interview with the juvenile is required. Scoring can take up to 20 minutes depending upon problem areas.

### **TRAINING REQUIREMENTS**

Skilled interviewers whose training includes role playing, mock interviews, and report building techniques.

### **READING LEVEL / LANGUAGE VERSIONS**

Not Applicable/English only

## **SUBSTANCE ABUSE ASSESSMENT INSTRUMENTS**

**Name:** DC Pretrial Risk Assessment

**Year Developed:** cost:

**Developed by:** Jay Carver

**Source:** DC Pretrial Services  
400 F Street NW Assistance Division  
Washington, DC 20001  
202-727-2911

**Contact Person:** Jay Carver

### **PURPOSE**

Assesses risk of flight, substance abuse, and community safety.

### **TARGET POPULATION**

Pretrial arrestees.

### **VALIDITY AND RELIABILITY DATA**

Based on a significant body of pretrial experience and research with over a years research in putting the final instrument together. This instrument is accepted by judges and has been embraced by other pretrial agencies.

### **CORRECTIONS POPULATION NORMED**

No information available

### **ADMINISTRATION / SCORE**

An extensive amount of time is taken in gathering data and verifying information received from the client on an individual basis. Once the information is collected the instrument takes minutes to employ to determine the arrestees risk.

### **TRAINING REQUIREMENTS**

DC Pretrial Services has a 6 week training program for its officers. Staff employing the Risk Assessment are bachelor's level, with some criminal justice experience or education.

### **READING LEVEL / LANGUAGE VERSIONS**

Not Applicable/English only