Worksheet for Determining Whether Field Trials are Categorically Excluded from NEPA

Permit #	04-114-01r
Company	Chlorogen Inc.
Organism	tobacco
Category	pharmaceutical intent
Transgene	Human serum albumin
1. Confinement	
Confinement and mitigation conditions have been reviewed and determined to be adequate	X
2. Threatened or Endangered Species or its habitat	
resident or migratory in counties and harm to threatened or endangered species or habitat is likely	
resident or migratory in counties and harm to threatened or endangered species is unlikely	X
none observed in area (no harm to threatened and endangered species)	
New or Novel	
3. New or Novel Crop	
Never used in a field trial	
Not new but no prior EA	
Not new and prior EA	X
4. New or Novel Trait (gene product)	
Never used in a field trial	
Not new but no prior EA	
Not new and prior EA	X
Raises new issues	
5. Cumulative Effects	
Cumulative Effects likely	
Cumulative effects possible	
Cumulative effects unlikely	X
6. Plant Pollination	
Primarily Bee or insect pollinated crop	
Primarily Wind pollinated food or feed crop	
Primarily Self fertilized food or feed crop	
Non-food or feed crop	X
7. Effects on Food/Feed Supply	
Known allergen, antinutrative, oral toxicant	
food Safety not established	X
GRAS status or approved food additive for native protein	
GRAS status or approved food additive for plant produced protein	
8. Isolation Distance	
AOSCA standard for crop	1/4 mile
Proposed isolation distance	1/4 mile
9. Scale	
>100 acres/trait/crop/company/year	
50-99 acres/trait/crop/company/year	
10-49 acres/trait/crop/company/year	
<10 acres/trait/crop/company/year	X
10. Effects (positive or negative) on other species	
Significant effects expected/observed	
Minimal, non-cumulative effects expected/observed	
No effects expected/observed	X
11. Sexually Compatible Relatives	
relatives within dispersal distance	
relatives not within dispersal distance	X
12. Seed Dormancy	
>3 years	
3 years	
2 years	
<2 years	X
13. Persistence in environment	
Crop can naturalize	
Crop can persist 3-5 years without human intervention	
Crop does not persist without intervention	X
14. Comments	
[8, 11, 12] Method of transformation minimizes transgene flow through poller Plants in the field will have flowers removed.	

Additional supporting documentation is found in the summary risk assessment completed on 5.06.04

Worksheet for Determining Whether Field Trials are Categorically Excluded from NEPA

Permit #	04-114-01r
Company	Chlorogen Inc.
Organism	tobacco
Category	pharmaceutical intent
Transgene	insulin-like growth factor
1. Confinement	
Confinement and mitigation conditions have been reviewed and determined to be adequate	X
2. Threatened or Endangered Species or its habitat	
Resident or migratory in counties and harm to threatened or endangered species or habitat is likely	
Resident or migratory in counties and harm to threatened or endangered species is unlikely	X
None observed in area (no harm to threatened and endangered species)	
New or Novel	
3. New or Novel Crop	
Never used in a field trial	
Not new but no prior EA	
Not new and prior EA	X
4. New or Novel Trait (gene product)	
Never used in a field trial	
Not new but no prior EA	
Not new and prior EA	X
Raises new issues	
5. Cumulative Effects	
Cumulative effects likely	
Cumulative effects possible	
Cumulative effects unlikely	X
6. Plant Pollination	
Primarily bee or insect pollinated crop	
Primarily wind pollinated food or feed crop	
Primarily self fertilized food or feed crop	
Non-food or feed crop	X
7. Effects on Food/Feed Supply	
Known allergen, antinutritive, oral toxicant	
Food safety not established	X
GRAS status or approved food additive for native protein	
GRAS status or approved food additive for plant produced protein	
8. Isolation Distance	
AOSCA standard for crop	1/4 mile
Proposed isolation distance	1/4 mile
9. Scale	
>100 acres/trait/crop/company/year	
50-99 acres/trait/crop/company/year	
10-49 acres/trait/crop/company/year	
<10 acres/trait/crop/company/year	X
10. Effects (positive or negative) on other species	
Significant effects expected/observed	
Minimal, non-cumulative effects expected/observed	
No effects expected/observed	X
11. Sexually Compatible Relatives	
Relatives within dispersal distance	
Relatives not within dispersal distance	X
12. Seed Dormancy	
>3 years	
3 years	
2 years	
<2 years	X
13. Persistence in environment	
Crop can naturalize	
Crop can persist 3-5 years without human intervention	
Crop does not persist without intervention	X
14. Comments	
[8, 11, 12] Method of transformation minimizes transgene flow through pollen Plants in the field will have flowers removed.	

Additional supporting documentation is found in the summary risk assessment completed on 5.06.04

Worksheet for Determining Whether Field Trials are Categorically Excluded from NEPA

Permit #	04-114-01r
Company	Chlorogen Inc.
Organism	tobacco
Category	pharmaceutical intent
Transgene	interferon
1. Confinement	
Confinement and mitigation conditions have been reviewed and determined to be adequate	X
2. Threatened or Endangered Species or its habitat	
Resident or migratory in counties and harm to threatened or endangered species or habitat is likely	
Resident or migratory in counties and harm to threatened or endangered species is unlikely	X
None observed in area (no harm to threatened and endangered species)	
New or Novel	
3. New or Novel Crop	
Never used in a field trial	
Not new but no prior EA	
Not new and prior EA	X
4. New or Novel Trait (gene product)	
Never used in a field trial	
Not new but no prior EA	X
Not new and prior EA	
Raises new issues	
5. Cumulative Effects	
Cumulative effects likely	
Cumulative effects possible	
Cumulative effects unlikely	X
6. Plant Pollination	
Primarily bee or insect pollinated crop	
Primarily wind pollinated food or feed crop	
Primarily self fertilized food or feed crop	
Non-food or feed crop	X
7. Effects on Food/Feed Supply	
Known allergen, antinutritive, oral toxicant	
Food safety not established	X
GRAS status or approved food additive for native protein	
GRAS status or approved food additive for plant produced protein	
8. Isolation Distance	
AOSCA standard for crop	1/4 mile
Proposed isolation distance	1/4 mile
9. Scale	
>100 acres/trait/crop/company/year	
50-99 acres/trait/crop/company/year	
10-49 acres/trait/crop/company/year	
<10 acres/trait/crop/company/year	X
10. Effects (positive or negative) on other species	
Significant effects expected/observed	
Minimal, non-cumulative effects expected/observed	
No effects expected/observed	X
11. Sexually Compatible Relatives	
Relatives within dispersal distance	
Relatives not within dispersal distance	X
12. Seed Dormancy	
>3 years	
3 years	
2 years	
<2 years	X
13. Persistence in environment	
Crop can naturalize	
Crop can persist 3-5 years without human intervention	
Crop does not persist without intervention	X
14. Comments	
[8, 11, 12] Method of transformation minimizes transgene flow through pollen Plants in the field will have flowers removed.	

Additional supporting documentation is found in the summary risk assessment completed on 5.06.04

Worksheet for Determining Whether Field Trials are Categorically Excluded from NEPA

Permit #	04-114-01r
Company	Chlorogen Inc.
Organism	tobacco
Category	pharmaceutical intent
Transgene	#4
1. Confinement	
Confinement and mitigation conditions have been reviewed and determined to be adequate	X
2. Threatened or Endangered Species or its habitat	
Resident or migratory in counties and harm to threatened or endangered species or habitat is likely	
Resident or migratory in counties and harm to threatened or endangered species is unlikely	X
None observed in area (no harm to threatened and endangered species)	
New or Novel	
3. New or Novel Crop	
Never used in a field trial	
Not new but no prior EA	
Not new and prior EA	X
4. New or Novel Trait (gene product)	
Never used in a field trial	
Not new but no prior EA	X
Not new and prior EA	
Raises new issues	
5. Cumulative Effects	
Cumulative effects likely	
Cumulative effects possible	
Cumulative effects unlikely	X
6. Plant Pollination	
Primarily bee or insect pollinated crop	
Primarily wind pollinated food or feed crop	
Primarily self fertilized food or feed crop	
Non-food or feed crop	X
7. Effects on Food/Feed Supply	
Known allergen, antinutritive, oral toxicant	
Food safety not established	X
GRAS status or approved food additive for native protein	
GRAS status or approved food additive for plant produced protein	
8. Isolation Distance	
AOSCA standard for crop	1/4 mile
Proposed isolation distance	1/4 mile
9. Scale	
>100 acres/trait/crop/company/year	
50-99 acres/trait/crop/company/year	
10-49 acres/trait/crop/company/year	
<10 acres/trait/crop/company/year	X
10. Effects (positive or negative) on other species	
Significant effects expected/observed	
Minimal, non-cumulative effects expected/observed	
No effects expected/observed	X
11. Sexually Compatible Relatives	
Relatives within dispersal distance	
Relatives not within dispersal distance	X
12. Seed Dormancy	
>3 years	
3 years	
2 years	
<2 years	X
13. Persistence in environment	
Crop can naturalize	
Crop can persist 3-5 years without human intervention	
Crop does not persist without intervention	X
14. Comments	
[8, 11, 12] Method of transformation minimizes transgene flow through pollen Plants in the field will have flowers removed.	

Additional supporting documentation is found in the summary risk assessment completed on 5.06.04

NEPA Decision Summary

Based on a review of Permit 04-114-01r, the following determinations were made:

- The threatened or endangered species known to be present in the counties where these field trials will occur are not known to inhabit or forage in tobacco fields. Additionally, the gene products at issue in the proposed field trials have no known toxic effects on wildlife. Therefore these field trials will not harm or have adverse or other significant effects on threatened or endangered species.
- Numerous field trials have been performed with transgenic tobacco plants under APHIS authority and APHIS is familiar with tobacco biology and methods to manage confined field trials.
- The technology of the transformation used on these plants prevents dissemination of the gene by pollen movement. Additionally, the plants will be manually topped throughout the trial period to remove flower buds prior to any pollen release. AOSCA standards for seed production of tobacco of ¼ mile will be maintained, nonetheless.
- Any plant material left after harvest, containing only insignificant amounts of the proteins, will be plowed under the soil surface. This method of disposal should have no negative impacts on the environment.
- The proteins being expressed in these field trials do not have characteristics of known toxins or allergens. Given that tobacco is not used for food or feed and that beneficial species are unlikely to be feeding on these plants, issues with toxic and allergenic effects are unlikely.
- The proposed field trials are all less than 10 acres. Trials of such small size are and have been easily monitored and confined to permitted areas, under environmental mitigation measures similar to those specified in the permit application and in the standard and supplemental permit conditions.
- Tobacco is not observed to be capable of establishment in wild environments. It is reliant on continuous human intervention for its survival. In previous field tests and applications, seed dormancy has not been observed. There are no sexually-compatible relatives known to exist in the area where the trials will be performed.

For the above reasons, APHIS has determined that (1) pursuant to 7 C.F.R. §372, the field trials proposed under permit #04-114-01r will not significantly affect the physical environment and (2) there are no applicable, extraordinary, or other reasonably foreseeable circumstances under which significant environmental effects could occur given the protective and ameliorative measures specified above. Therefore, this field test is deemed confined within the meaning of 7 C.F.R. §372.5.

Signed: _____
Neil E. Hoffman
Director of Regulatory Programs

Date: ____7.19.04_____