

## MEMORANDUM OF MEETING

From: Michael H. Krimminger  
Acting General Counsel

Subject: Meeting with The Clearing House, the Depository Trust &  
Clearing Corporation, and Capital Alpha Partners

Date: February 14, 2011

On February 7, 2011, FDIC staff met with representatives of The Clearing House Association, L.L.C., the Depository Trust & Clearing Corporation (DTCC), and Capital Alpha Partners to discuss issues relating to the FDIC's rulemaking authority and other implementation of its orderly liquidation authority under Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act ("Dodd-Frank") and the FDIC's *Notice of Proposed Rulemaking Implementing Certain Orderly Liquidation Provisions of the Dodd-Frank Wall Street Reform and Consumer Protection Act*.

A list of attendees is attached. The primary focus of the meeting was the treatment of securities clearing corporations in their large, open positions with broker dealers.

February 7, 2011 Meeting  
FDIC Staff and The Clearing House, DTCC, and Capital Alpha Partners representatives

<b><u>Name</u></b>	<b><u>Representing</u></b>
Michael H. Krimminger	FDIC
David Wall	FDIC
R. Penfield Starke	FDIC
Lauren A. Anderson	FDIC
Thomas Granelli	DTCC
Nikki Poulos	DTCC
Gary Chan	DTCC
Lois Radisch	DTCC
Lawrence Thompson	DTCC
Joseph Englehard	Capital Alpha Partners
Laura Forman	Cleary Gottlieb, representing The Clearing House
Seth Grosshandler	Cleary Gottlieb, representing The Clearing House