Rental Housing Assistance

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	9/10/2007
Agency	Department of Housing and Urban Development
Bureau	Working Capital Fund
Name of this Capital Asset	RHA - 411990 - Rental Housing Assistance
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:	
The mission of Rental Housing Assistance (RHA) business area is to ensure safe, decent, and affordable housing for qualified low-income families; create opportunities for residents' self-sufficiency and economic independence; and assure fiscal integrity by all program participants. This consolidation aligns RHA with the HUD's Business and IT Modernization Roadmap under HUD's Enterprise Architecture. Within RHA there are 4 primary functions: Inventory Management (IM), Resource "Subsidy" Allocation (RA), Oversight & Monitoring (O&M) and Enterprise Income Verification (EIV). FY09 funding will allow: -IM to complete the transition to Asset Based Management, which is a Congressionally Mandated initiative that has major organizational consequences for both Public Housing Agencies (PHA) and HUD. The system will accommodate drastic changes in order to conduct business with newly defined properties for Asset Based portfolio. -RA to automate the formula funding for Capital Fund, Operating Fund, Office of Native American Fund programs, implement housing choice voucher management and support other discretionary funding programs. RA will utilize electronic submission of HUD grant application through the Grants.gov portal assigned to HUD. -O&M to implement a new on-site monitoring component for the compliance assessment of PHA management and operational activities. -EIV is an income matching program that matches HUD rental assistance tenant data against both the SSA Social Security/Supplemental Income data bases and HHS National Directory of New Hires. EIV plans to integrate PIH and MF Housing tenant data with other income sources, extend EIV to support HUD Office of Community Planning and Development (CPD) to implement the Uniform Relocation Act. -Complete additional milestones as detailed in section II.C These actions will help RHA to support the PMA initiatives; Improve HUD's Management and Performance and Eliminate Improper Payments, by reducing tenant data systems, reduce costs associated with quality assurance activities, and establish much needed standardization. It will improve the accuracy of payments to PHAs thereby reducing overpayments. RHA currently supports enterprise capabilities for physical inspections, financial assessments, audit quality management and Income verification for MF properties. It also centralizes security management for all MF and PIH systems. It is also support HUD's eGrants LOB by providing front end for all Grants Systems.	
Did the Agency's Executive/Investment Committee approve this request?	Yes

If "yes," what was the date of this approval?	7/13/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	The RHA initiative directly supports the PMA through the automation of manual processes involved in managing the Rental Housing Assistance (RHA) program. Automation and IT modernization makes the application process easier and quicker for citizens seeking rental assistance and HUD employees processing these applications. It helps to reduce improper payments by improving the data quality of the various systems, allowing for better accuracy of subsidy calculation and determination.
Is this investment for information technology?	Yes
If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.	
What is the level of the IT Project? (per CIO Council PM Guidance)	Level 2
What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	No
SENSITIVE DATA: Some of the questions/responses from this section were omitted.	

Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT			
* Costs in thousands			
	PY 2007	**CY 2008**	**BY 2009**
TOTAL BUDGETARY RESOURCES	0	0	23283.067

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Section C: Acquisition/Contract Strategy (All Capital Assets)

SENSITIVE DATA: The information in this section was omitted completely.

Section D: Performance Information (All Capital Assets)

SENSITIVE DATA: The information in this section was omitted completely.

Section E: Security and Privacy (IT Capital Assets Only)

SENSITIVE DATA: The information in this section was omitted completely.

Section F: Enterprise Architecture (EA) (IT Capital Assets Only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy?	Yes
Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?	Yes

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Part II: Planning, Acquisition And Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Did you conduct an alternatives analysis for this project?   Yes

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?   Yes

What is the date of the risk management plan?   8/17/2007

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Section C: Cost and Schedule Performance (All Capital Assets)

SENSITIVE DATA: The information in this section was omitted completely.