Origin of the Nuclear EOS in Hadronic Physics and QCD



Anthony W. Thomas

XXX Symposium on Nuclear Physics - Cocoyoc: Jan 5th 2007



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Where to find more information

• Two major, recent papers:

- I. Guichon, Matevosyan, Sandulescu, Thomas, Nucl. Phys. A772 (2006) 1.
- II. Guichon and Thomas, Phys. Rev. Lett. 93 (2004) 132502

• Built on earlier work on QMC: e.g.

- III. Guichon, Phys. Lett. B200 (1988) 235
- IV. Guichon, Saito, Rodionov, Thomas, Nucl. Phys. A601 (1996) 349

• Major review of applications of QMC to many nuclear systems:

- V. Saito, Tsushima, Thomas,
 - Prog. Part. Nucl. Phys. 58 (2007) 1 (hep-ph/0506314)



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Model Independent Features of NN Force

- Intermediate Range attraction is Lorentz scalar-isoscalar (since 70's, dispersion relations, Paris potential...)
- Lorentz scalar force is strong!
- Short distance repulsion is Lorentz vector (not so model independent BUT lots of support)
- At high density MFA gets to be accurate
- Classical implementation is Walecka model $\implies m_{N}^{*}$ / $m_{N} \sim 0.5$ at ρ_{0}



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Relativity Matters in Dense Matter

0.8

0.6

0.4

0.2

0

0.2

0.4

0.6

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 $\rho(fm^{\text{-3}})$

0.8

• Non-relativistic expansion in powers of k_F unlikely to be successful.....

- BUT what is missing in Walecka model (QHD)?
 - π : but easily added and irrelevant in MFA
 - Effect of $m_N^* = m_N / 2$ on internal structure of nucleon; this is a huge external field!

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What happens if we put an atom in a strong electric field?

Jackson \Rightarrow

i.e. atom has a polarizability: its internal structure is rearranged in response to applied field



///'Iy in applied magnetic field (indeed, in super strong field -e.g. n-star surface atoms & molecules essentially linear!)



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Electric & Magnetic Polarizabilities of Nucleon are Measured



So what?

Atoms respond to external E and B fields

- Nucleons respond to external E and B fields
- It is clear that nucleons must respond to large scalar fields known to exist in-medium



• This leads to a mass shift that is non-linear in mean scalar field \Rightarrow <u>scalar polarizability</u>



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Fundamental Question: "What is the Scalar Polarizability of the Nucleon?"

Nucleon response to a chiral invariant scalar field is then a nucleon property of great interest...

$$M^*(\vec{R}) = M - g_\sigma \sigma(\vec{R}) + \frac{d}{2} \left(g_\sigma \sigma(\vec{R})\right)^2$$

Non-linear dependence \equiv scalar polarizability d \approx 0.22 R in original QMC (MIT bag)

Indeed, in nuclear matter at mean-field level (e.g. QMC), this is the ONLY place the response of the internal structure of the nucleon enters.



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ORIGIN in QMC Model

$$[i\gamma^{\mu}\partial_{\mu} - (m_q - g_{\sigma}{}^q\bar{\sigma}) - \gamma^0 g_{\omega}{}^q\bar{\omega}]\psi = 0$$

 $\int_{Baq} dec{r} \overline{\psi}(ec{r}) \psi(ec{r})$ changes: **SELF-CONSISTE**

and hence mean scalar field changes

and hence quark wave function changes....

THIS PROVIDES A NATURAL SATURATION MECHANISM (VERY EFFICIENT BECAUSE QUARKS ARE ALMOST MASSLESS)

source is suppressed as mean scalar field increases (i.e. as density increases)





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Source of σ

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Summary : Scalar Polarizability

 Can always rewrite non-linear coupling as linear coupling plus non-linear scalar self-coupling – likely physical origin of <u>non-linear versions of QHD</u>

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- In nuclear matter this is the only place the internal structure of the nucleon enters in MFA
- Consequence of polarizability in atomic physics is many-body forces:



$$\mathbf{V} = \mathbf{V}_{12} + \mathbf{V}_{23} + \mathbf{V}_{13} + \mathbf{V}_{123}$$



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Linking QMC to Familiar Nuclear Theory

Since early 70's tremendous amount of work in nuclear theory is based upon effective forces

- Used for everything from nuclear astrophysics to collective excitations of nuclei
- Skyrme Force: Vautherin and Brink

In Paper I: Guichon and Thomas, Phys. Rev. Lett. 93, 132502 (2004)

we explicitly obtained effective force, 2- plus 3- body, of Skyrme type

- equivalent to QMC model (required expansion around σ = 0)

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Comparison Between Skyrme III and QMC

	QMC	QMC	Skili	QMC(N=3)
$m_{\sigma}(MeV)$	500	600		600
$t_0 (MeV fm^3)$	-1071	-1082	-1129	-1047
X ₀	0.89	0.59	0.45	0.61
$t_3(MeV fm^6)$	16620	14926	14000	12996
$M_{e\!f\!f}/M$.915	.814	.763	.821
$5t_2 - 9t_1 (MeV fm^5)$	-7622	-4330	-4030	-4036
$W_0(MeV fm^5)$	118	97	120	91

Three-body force, arising from scalar polarizability, agrees naturally with force (t₃) found phenomenologically - origin is same as that in atomic and molecular physics!



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Great Start: What's Next

Remove small σ field approximation

- Derive density-dependent forms
- Add the pion
- Derive $\Lambda N, \Sigma N, \Lambda \Lambda$... effective forces in-medium with no additional free parameters

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• Hence attack dense hadronic matter, n-stars, transition from NM to QM or SQM with more confidence



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Physical Origin of <u>Density Dependent Force</u> of the Skyrme Type within the Quark Meson Coupling Model

P.A.M. Guichon¹, H.H. Matevosyan^{2,3}, N. Sandulescu^{1,4,5} and A.W. Thomas²

Paper II: N P A772 (2006) 1 (nucl-th/0603044)

No longer need to expand around $< \sigma > = 0$

$m_{\sigma}(\text{MeV})$	$t_0(\mathrm{fm}^2)$	$t_1(\mathrm{fm}^4)$	$t_2(\mathrm{fm}^4)$	$t_3({\rm fm}^{5/2})$	x_0	$W_0(\mathrm{fm}^4)$	Deviation
600	-12.72	2.64	-1.12	74.25	0.17	0.6	33%
650	-12.48	2.21	-0.77	71.73	0.13	0.56	18%
700	-12.31	1.88	-0.49	69.8	0.1	0.53	18%
750	-12.18	1.62	-0.28	68.28	0.08	0.51	38%
$\rm SkM^*$	-13.4	2.08	-0.68	79	0.09	0.66	0%

Table 2: Comparison of the SkM^{*} parameters with the QMC predictions for several values of m_{σ}

BUT density functional not exactly the same – QMC yields <u>rational forms</u>



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Check directly vs data

• That is, apply new effective force directly to calculate nuclear properties using Hartree-Fock (as for usual well known force)

	E_B (MeV, exp)	E_B (MeV, QMC)	r_c (fm, exp)	r_c (fm, QMC)
^{16}O	7.976	7.618	2.73	2.702
^{40}Ca	8.551	8.213	3.485	3.415
^{48}Ca	8.666	8.343	3.484	3.468
^{208}Pb	7.867	7.515	5.5	5.42

• Where analytic form of (e.g. $H_0 + H_3$) piece of energy functional derived from QMC is:

$$\mathcal{H}_{0} + \mathcal{H}_{3} = \rho^{2} \left[\frac{-3 \, G_{\rho}}{32} + \frac{G_{\sigma}}{8 \, (1 + d \, \rho \, G_{\sigma})^{3}} - \frac{G_{\sigma}}{2 \, (1 + d \, \rho \, G_{\sigma})} + \frac{3 \, G_{\omega}}{8} \right] + (\rho_{n} - \rho_{p})^{2} \left[\frac{5 \, G_{\rho}}{32} + \frac{G_{\sigma}}{8 \, (1 + d \, \rho \, G_{\sigma})^{3}} - \frac{G_{\omega}}{8} \right],$$

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highlights $(\rho_{n} - \rho_{p})^{2} \left[\frac{5 G_{\rho}}{32} + \frac{G_{\sigma}}{8 (1 + Q_{\rho} G_{\sigma})^{3}} - \frac{G_{\omega}}{8} \right],$
Scalar polarizability Thomas Jefferson National Accelerator Facility (1 - 2) (1 -

Check directly vs data

• That is, apply new effective force directly to calculate nuclear properties using Hartree-Fock (as for usual well known force) – for example:

	E_B (MeV, exp)	E_B (MeV, QMC)	r_c (fm, exp)	r_c (fm, QMC)
^{16}O	7.976	7.618	2.73	2.702
^{40}Ca	8.551	8.213	3.485	3.415
^{48}Ca	8.666	8.343	3.484	3.468
^{208}Pb	7.867	7.515	5.5	5.42

• In comparison with the SkM force:

$$\mathcal{H}_0 + \mathcal{H}_3 = \frac{\rho^{\frac{1}{6}} t_3 \left(2 \rho^2 - \rho_n^2 - \rho_p^2\right)}{24} + \frac{t_0 \left(\rho^2 \left(2 + x_0\right) - \left(1 + 2 x_0\right) \left(\rho_n^2 + \rho_p^2\right)\right)}{4}$$

and full energy functional in both cases is:

$$< H(\vec{r}) >= \rho M + \frac{\tau}{2M} + \mathcal{H}_0 + \mathcal{H}_3 + \mathcal{H}_{eff} + \mathcal{H}_{fin} + \mathcal{H}_{so}$$

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Excellent Agreement with Sly4 for Charge Distributions



Neutron Densities vs Sly4 – also excellent



Spin-Orbit Splitting

	Neutrons	Neutrons	Protons	Protons
	(Expt)	(QMC)	(Expt)	(QMC)
¹⁶ O	6.10	6.01	6.3	5.9
1p _{1/2} -1p _{3/2}				
⁴⁰ Ca	6.15	6.41	6.0	6.2
1d _{3/2} -1d _{5/2}				
⁴⁸ Ca	6.05	5.64	6.06	5.59
1d _{3/2} -1d _{5/2}	(Sly4)		(Sly4)	
²⁰⁸ Pb	2.15	2.04	1.87	1.74
2d _{3/2} -2d _{5/2}	(Sly4)		(Sly4)	

Agreement generally very satisfactory – NO parameter adjusted to fit



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Finally: Apply to Shell Structure as N – Z ↓

- Use Hartree Fock Bogoliubov calculation
- Calculated variation of two-neutron removal energy at N = 28 as Z varies from Z = 32 (proton drip-line region) to Z = 18 (neutron drip-line region)
- S_{2n} changes by 8 MeV at Z=32 S_{2n} changes by 2–3 MeV at Z = 18
- This strong shell quenching is very similar to Skyrme – HFB calculations of Chabanat et al., Nucl. Phys. A635 (1998) 231
- 2n drip lines appear at about N = 60 for Ni and N = 82 for Zr
 (/// to predictions for Sly4 c.f. Chabanat et al.)
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Neutron Star Structure is a Fascinating Puzzle





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- Hyperons enter at just 2-3 ρ₀
- Hence need effective Σ -N and Λ -N forces in this density region!
- •Hypernuclear data is important input (J-PARC, FAIR, JLab)

ρ_i/ρ_B



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Latest QMC: Includes Medium Modification of Hyperfine Interaction

N - Δ and Σ - Λ splitting arise from one-gluon-exchange in MIT Bag Model : as $\sigma \uparrow$ so does this splitting...



Difference of Sigma and Lambda effective mass

Consequence: Σ hypernuclei unbound/weakly bound

Guichon, Stone, Thomas, Tsushima: to appear

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Consequences for Neutron Star

New QMC model, fully relativistic, Hartree-Fock treatment



Stone, Guichon, Matevosyan, Thomas, nucl-th/0611030



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Consequences for Neutron Star

New QMC model, fully relativistic, Hartree-Fock treatment



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Recently Developed Covariant Model Built on the Same Physical Ideas

- Use NJL model (χ'al symmetry)
- Ensure confinement through proper time regularization (following the Tübingen group)
- Self-consistently solve Faddeev Eqn. in mean scalar field
- This solves chiral collapse problem common for NJL (because of scalar polarizability again)
- Can test against experiment
 - e.g. spin-dependent EMC effect
- Also apply same model to NM, NQM and SQM hence n-star



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Covariant Quark Model for Nuclear Structure

- Basic Model:
- •Bentz & Thomas, Nucl. Phys. A696 (2001) 138
- Bentz, Horikawa, Ishii, Thomas, Nucl. Phys. A720 (2003) 95
- Applications to DIS:
- Cloet, Bentz, Thomas, Phys. Rev. Lett. 95 (2005) 052302
- Applications to neutron stars including SQM:
- Lawley, Bentz, Thomas, Phys. Lett. B632 (2006) 495
- Lawley, Bentz, Thomas, J. Phys. G32 (2006) 667





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The EMC Effect: Nuclear PDFs

- Observation stunned and electrified the HEP and Nuclear communities 20 years ago
- Nearly 1,000 papers have been generated.....
- What is it that alters the quark momentum in the nucleus?



$g_1(A)$ – "Polarized EMC Effect"

- Calculations described here \Rightarrow larger effect for polarized structure than unpolarized: mean scalar field modifies lower components of the confined quark's Dirac wave function
- Spin-dependent parton distribution functions for nuclei <u>unmeasured</u>



Recent Calculations for Finite Nuclei

Spin dependent EMC effect TWICE as large as unpolarized



FIG. 7: The EMC and polarized EMC effect in ¹¹B. The empirical data is from Ref. [31].



Cloet, Bentz, Thomas, Phys. Lett. B642 (2006) 210 (nucl-th/0605061)





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EOS of Dense Matter – n Star Properties



Naturally leads to low mass, hybrid n stars with masses \sim independent of the central density



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EOS of Dense Matter – n Star Properties



N.B. Hyperons in NM phase would tend to raise transition density a little - still need to include these....





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Summary-1

- For dense matter relativity matters
- Intermediate attraction in NN force is STRONG scalar
- This modifies the intrinsic structure of the bound nucleon ⇒ profound change in shell model what occupies shell model states are NOT free nucleons
- Change of intrinsic structure \equiv "scalar polarizability"
- This is a natural source of three-body force clear physical interpretation

• Scalar polarizability also lowers mean scalar field strength - $M_N^{\,*} \sim$ 0.8 M_N rather than 0.5 M_N in QHD

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Summary -2

• Derived, density-dependent effective force gives results remarkably close to SkM and Sly4 for finite nuclei – with MANY less parameters

- Encourage community to use it...
- Same model also yields effective, density dependent Λ N, Σ N, Ξ N forces (not yet published)
- Availability of realistic, density dependent Hyperon-N forces is essential for ρ > 2-3 ρ_0
- Covariant version can be tested experimentally Jlab

• Already interesting results for NM, NQM, SQM in n stars

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Special Mentions.....

















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Phases of Dense Matter : NM (\rightarrow NQM) \rightarrow SQM





Finite Hypernuclei with New QMC

	$^{16}_{\Lambda}$ O (Expt.)	$^{17}_{\Lambda}{ m O}$	$^{17}_{\Sigma^{-}}\mathrm{O}$	$^{17}_{\Sigma^0}\mathrm{O}$	$^{17}_{\Sigma^+}\mathrm{O}$	$^{17}_{\Xi^-}\mathrm{O}$	$^{17}_{\Xi^0}\mathrm{O}$
$1s_{1/2}$	-12.5	-16.4	-6.3	-1.4		-11.2	-5.2
$1p_{3/2}$		-6.4				-3.7	
$1p_{1/2}$	-2.5(1p)	-6.2				-3.9	
	$^{40}_{\Lambda}$ Ca (Expt.)	$^{41}_{\Lambda}\mathrm{Ca}$	$^{41}_{\Sigma^{-}}$ Ca	$^{41}_{\Sigma^0}\mathrm{Ca}$	$^{41}_{\Sigma^+}$ Ca	${}^{41}_{\Xi^-}$ Ca	${}^{41}_{\Xi^0}\mathrm{Ca}$
$1s_{1/2}$	-20.0	-21.1	-2.2	-2.3		17.0	0 7
		<u> </u>	-2.2	-2.0		-17.9	-8.7
$1p_{3/2}$		-13.8	-7.4	-2.5		-17.9 -12.0	-8.7 -3.7
$\frac{1p_{3/2}}{1p_{1/2}}$	-12.0 (1p)	-13.8 -13.7	-7.4 -6.6			-17.9 -12.0 -12.1	-8.7 -3.7 -3.9
$\begin{array}{c} 1p_{3/2} \\ 1p_{1/2} \\ 1d_{5/2} \end{array}$	$-12.0 \ (1p)$	-13.8 -13.7 -5.7	-7.4 -6.6 -1.1	-2.5 		-17.9 -12.0 -12.1 -5.8	-8.7 -3.7 -3.9
$\begin{array}{c} 1p_{3/2} \\ 1p_{1/2} \\ 1d_{5/2} \\ 2s_{1/2} \end{array}$	-12.0 (1p)	-13.8 -13.7 -5.7 -3.3	-7.4 -6.6 -1.1 -0.9	-2.5 		-17.9 -12.0 -12.1 -5.8 -5.3	-8.7 -3.7 -3.9

- Relativistic Hartree only: Λ still well described
- Σ unbound or barely bound this is a big improvement!

Guichon, Matevosyan, Thomas, Tsushima, to appear

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Quark Level Description of Finite Nuclei

• MAJOR CONCEPTUAL CHANGE:

What occupies shell model orbits are nucleon-like quasi-particles

- Have: <u>new mass</u>, M_N^{*}; <u>new form factors</u>, etc.
- EXPERIMENTAL EVIDENCE?
- First have to ask the question!
- Changes are subtle...





Lu et al., Phys. Lett. B417 (1998) 217



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Experimental Test of QMC at Mainz & JLab*

Capacity to measure polarization in coincidence:



 σ_{T} / $\sigma_{L} \sim G_{\text{E}}/G_{\text{M}}$: Compare ratio in ⁴He and in free space

S. Dieterich et al., Phys. Lett. B500 (2001) 47; and JLab report 2002

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Modification of the proton form factors in-medium



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Can we Measure Scalar Polarizability in Lattice QCD ?

- IF we can, then in a real sense we would be linking nuclear structure to QCD itself, because scalar polarizability is sufficient in simplest, relativistic mean field theory to produce saturation
- Initial ideas on this published recently: the trick is to apply a <u>chiral invariant</u> scalar field

18th Nishinomiya Symposium: nucl-th/0411014 Prog. Theor. Phys.



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Lattice data (from MILC Collaboration) : red triangles
Green boxes: fit evaluating σ's on same finite grid as lattice
Lines are exact, continuum results



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Variation of M_N under Chiral Invariant Scalar Field

i.e. Change m_q BUT <u>not</u> mass of pionic fluctuations

BUT study of chiral extrapolation of M_N and M_Δ (in QQCD and full QCD) can do this now !

$$\mathbf{M}_{N}^{*} = \mathbf{a}_{0} + \mathbf{a}_{2} \mathbf{m}_{\pi}^{2} + \mathbf{a}_{4} \mathbf{m}_{\pi}^{4} + \text{self-energy}(\mathbf{m}_{\pi}^{\text{phys}}, \Lambda)$$

 $\chi \, PT \Rightarrow m_{\pi}^{\ 2} \approx 4 \; m_q^{\ } + 20 \; m_q^{\ 2}\,, \quad \text{and in mean field } m_q^{\ } \rightarrow m_q^{\ } - g_\sigma^{\ q} \; \sigma$

HENCE: $M_N^* = M_N - (4 a_2 g_\sigma^q) \sigma + (20 a_2 + 16 a_4) g_\sigma^{q 2} \sigma^2$ $\approx M_N - g_\sigma (1 - g_\sigma \sigma) \sigma$

Coefficient ~ unity if units GeV \Rightarrow 10-20% \Downarrow at ρ_0 ... as in QMC!

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