



Longitudinal structure of hadrons

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Outline

■ Spin-averaged nucleon structure

- d/u ratio at large x , with *minimal* nuclear corrections
- new global QCD analysis (“CTEQ6X”) with large- x focus
 - importance of $1/Q^2$ corrections
- resonance region structure / quark-hadron duality
 - recent first confirmation for *neutron*

E12-06-104
E12-10-002
PR12-06-113
PR12-06-118

■ Spin-dependent nucleon structure

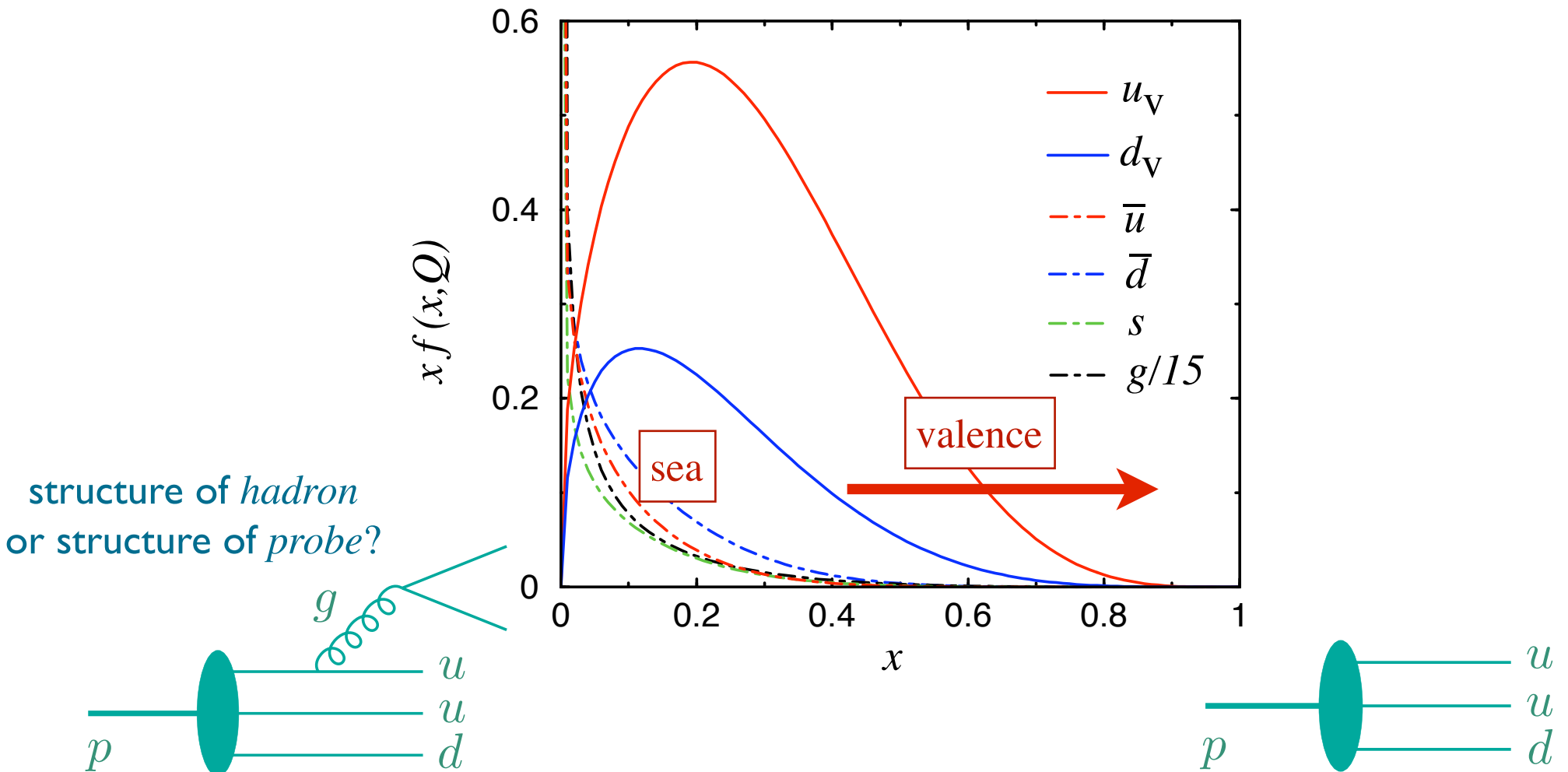
- A_1 (or $\Delta u/u$, $\Delta d/d$) at large x
- nuclear corrections for neutron extraction from ^3He or d
- finite Q^2 corrections / higher twist extraction

E12-06-109
E12-06-121
E12-06-122
PR12-06-110

Nucleon structure at large x :
spin-averaged

Why is nucleon structure at large x interesting?

- Most direct connection between quark distributions and nonperturbative structure of nucleon is via *valence* quarks
→ most cleanly revealed at $x > 0.4$



Why is nucleon structure at large x interesting?

- Most direct connection between quark distributions and nonperturbative structure of nucleon is via *valence* quarks
- Predictions for $x \rightarrow 1$ behavior of *e.g.* d/u ratio
 - scalar diquark dominance: $d/u = 0$ *Feynman (1972)*
 - hard gluon exchange: $d/u = 1/5$ *Farrar, Jackson (1975)*
 - SU(6) symmetry: $d/u = 1/2$ *1960s*
- Needed to understand backgrounds in searches for *new physics* beyond the Standard Model at LHC or in ν oscillation experiments
 - DGLAP evolution feeds low- x , high- Q^2 from high- x , low- Q^2

- At large x , valence u and d distributions determined from p and n structure functions, *e.g.* at LO

$$\frac{1}{x}F_2^p \approx \frac{4}{9}u_v + \frac{1}{9}d_v$$

$$\frac{1}{x}F_2^n \approx \frac{4}{9}d_v + \frac{1}{9}u_v$$

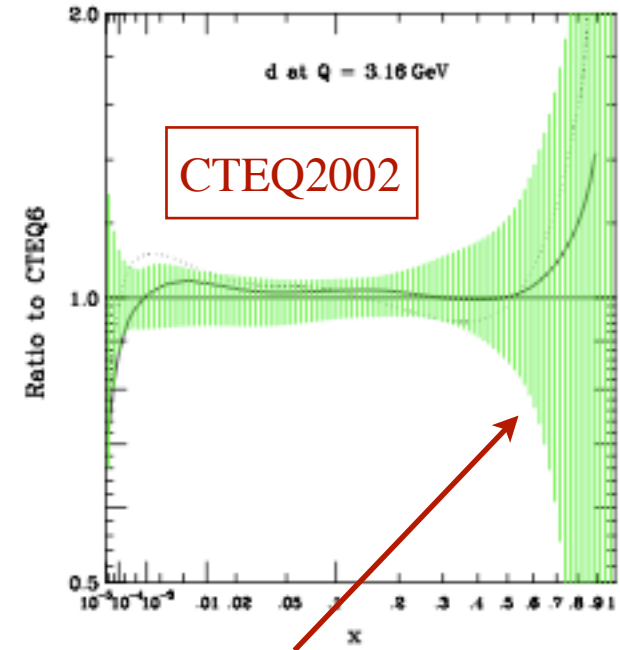
- u quark distribution well determined from *proton*
- d quark distribution requires *neutron* structure function

$$\rightarrow \frac{d}{u} \approx \frac{4 - F_2^n / F_2^p}{4F_2^n / F_2^p - 1}$$

■ No FREE neutron targets

(neutron half-life ~ 12 mins)

→ use deuteron as “effective” neutron target



large uncertainty beyond $x \sim 0.5$

■ BUT deuteron is a nucleus

→ $F_2^d \neq F_2^p + F_2^n$

→ nuclear effects (nuclear binding, Fermi motion, shadowing)
obscure neutron structure information

→ need to correct for “nuclear EMC effect”

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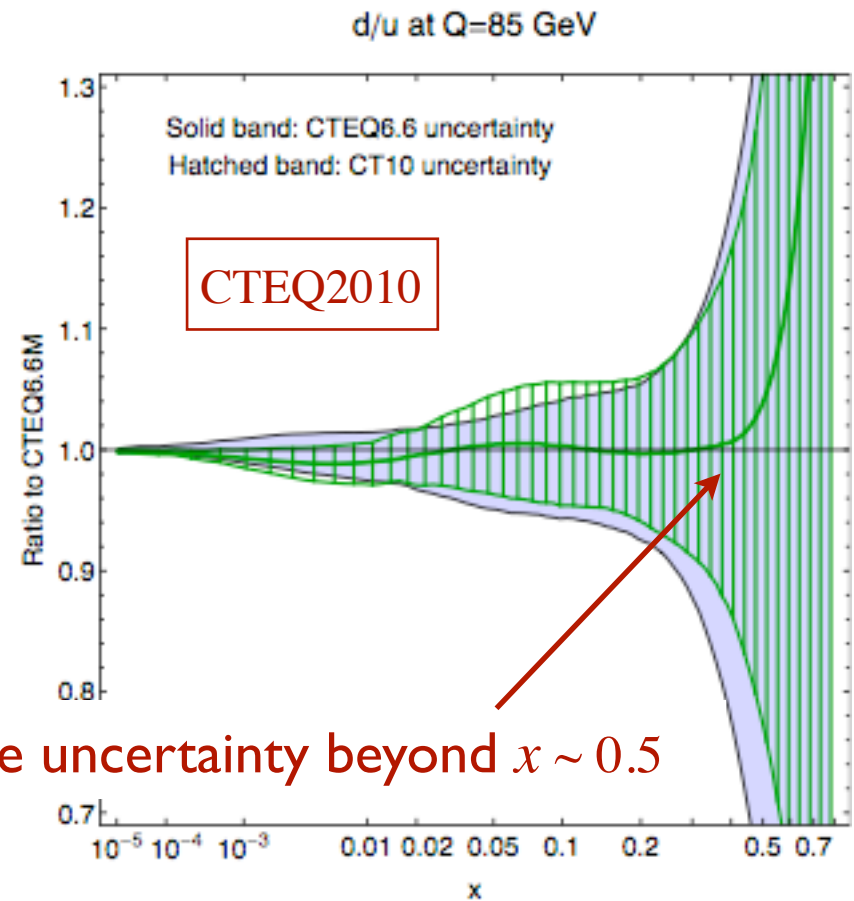
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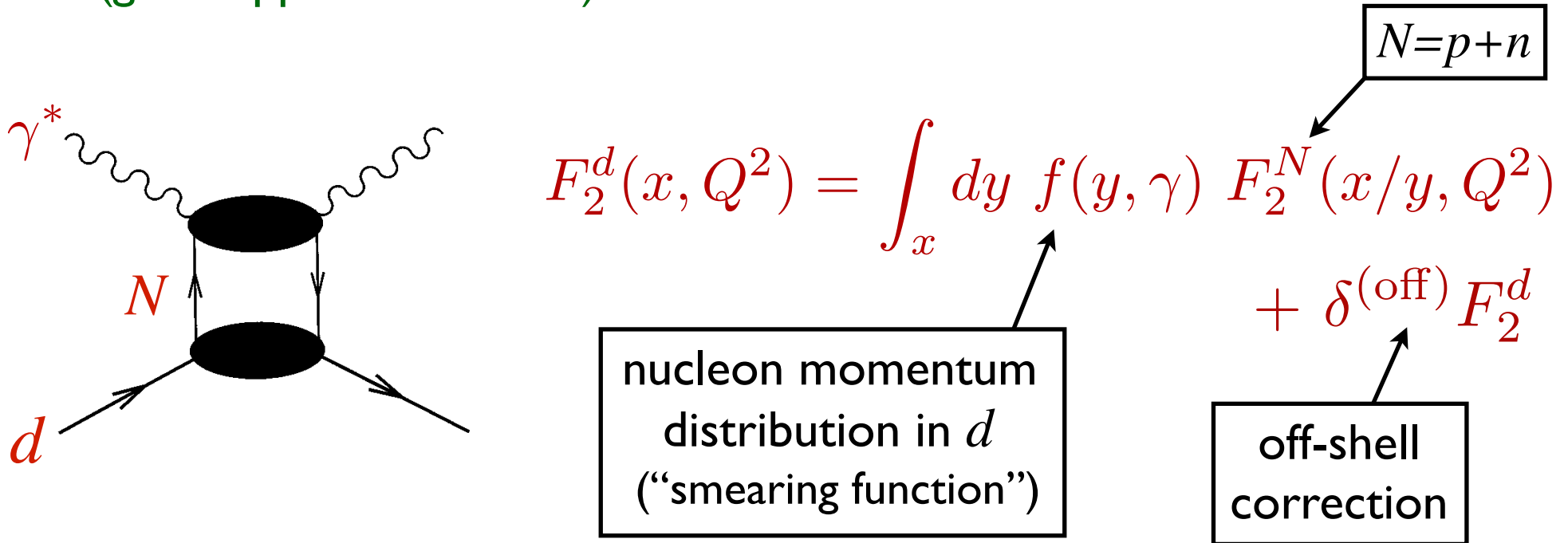
→ need to correct for “nuclear EMC effect”



EMC effect in deuteron

■ Incoherent scattering from individual nucleons in d

(good approx. at $x \gg 0$)



→ $y = p \cdot q / P \cdot q$ light-cone momentum fraction of d carried by N

→ at finite Q^2 , smearing function depends also on parameter

$$\gamma = |\mathbf{q}|/q_0 = \sqrt{1 + 4M^2 x^2 / Q^2}$$

EMC effect in deuteron

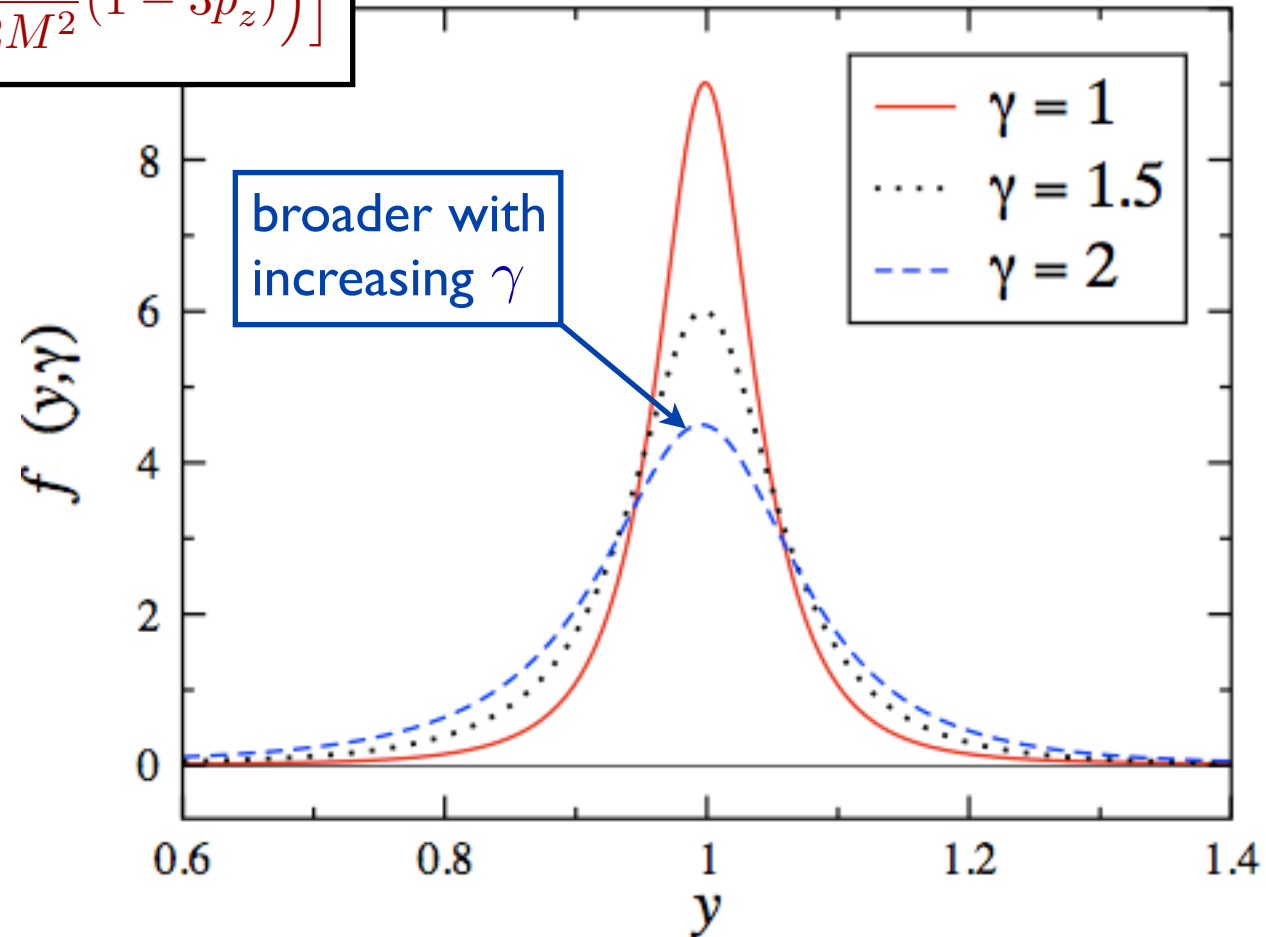
$$f(y, \gamma) = \int \frac{d^3p}{(2\pi)^3} |\psi_d(p)|^2 \delta\left(y - 1 - \frac{\varepsilon + \gamma p_z}{M}\right) \times \frac{1}{\gamma^2} \left[1 + \frac{\gamma^2 - 1}{y^2} \left(1 + \frac{2\varepsilon}{M} + \frac{\vec{p}^2}{2M^2} (1 - 3\hat{p}_z^2) \right) \right]$$

→ deuteron wave function $\psi_d(p)$

→ deuteron separation energy

$$\varepsilon = \varepsilon_d - \frac{\vec{p}^2}{2M}$$

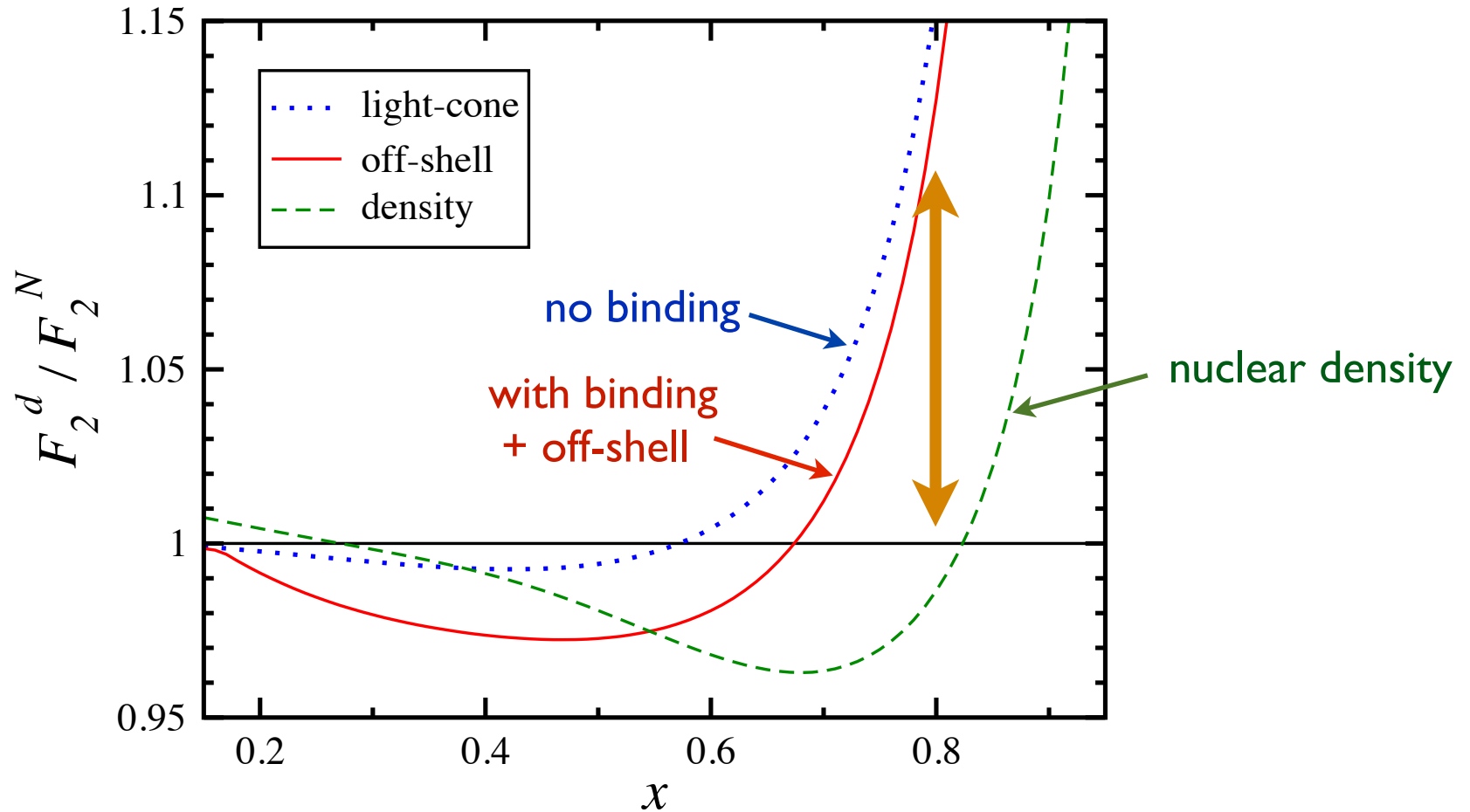
→ effectively more smearing for larger x or lower Q^2



Kulagin, Petti, NPA 765, 126 (2006)

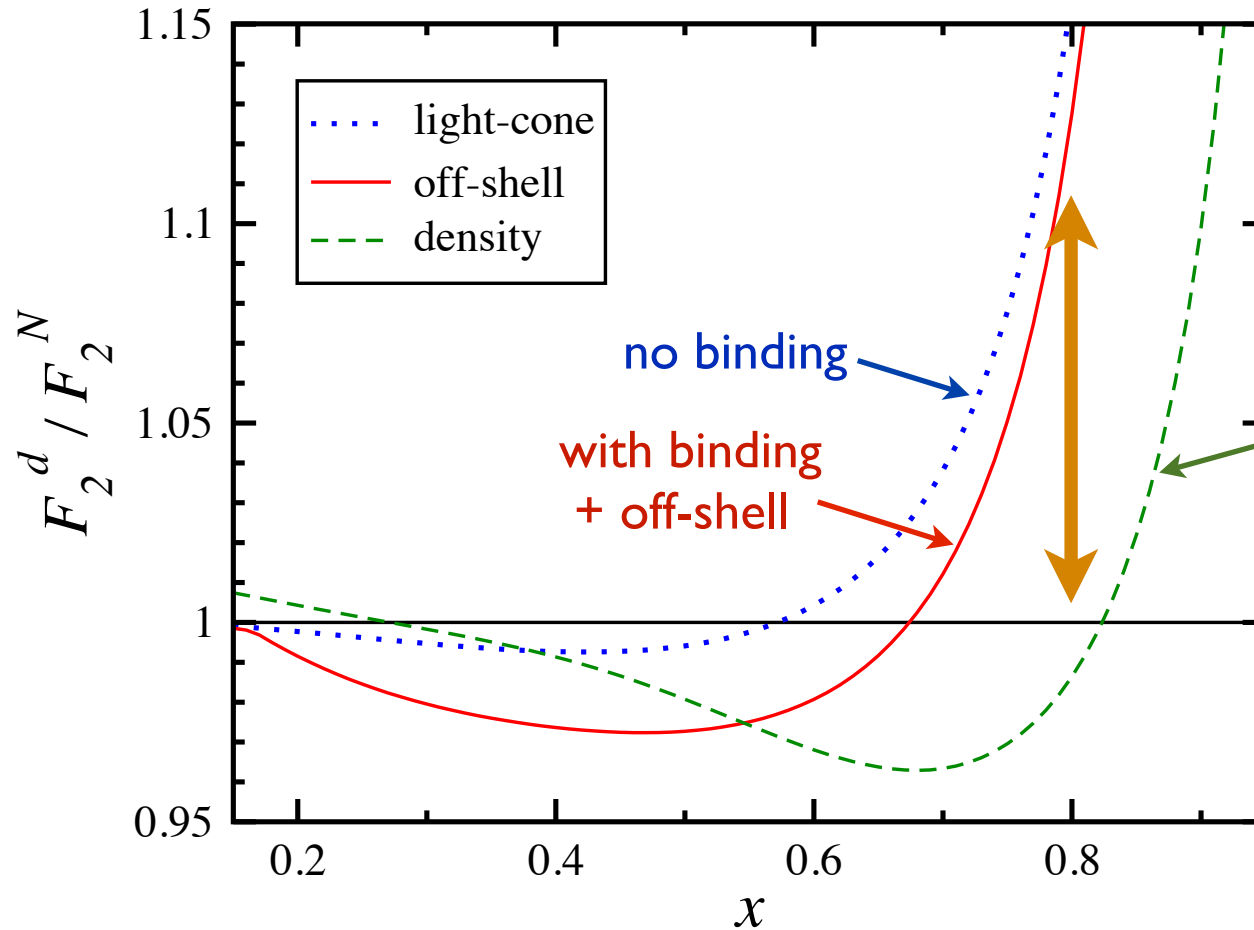
Kahn et al., PRC 79, 035205 (2009)

EMC effect in deuteron



- using off-shell model, will get *larger* neutron *cf. light-cone* model
- but will get *smaller* neutron *cf. no nuclear effects or density* model

EMC effect in deuteron



nuclear density

$$\frac{F_2^d}{F_2^N} - 1 \approx \frac{1}{4} \left(\frac{F_2^{\text{Fe}}}{F_2^d} - 1 \right)$$

assumes EMC effect scales with density; extrapolated from Fe \rightarrow deuterium

- \rightarrow $\sim 2\text{--}3\%$ reduction of F_2^d / F_2^N at $x \sim 0.5\text{--}0.6$ with steep rise for $x > 0.6\text{--}0.7$
- \rightarrow larger EMC effect at $x \sim 0.5\text{--}0.6$ with binding + off-shell corrections *cf.* light-cone

Finite- Q^2 corrections

- In OPE insertion of covariant derivatives in quark bilinears leads to terms $\sim Q^2/\nu^2 \sim M^2 x^2/Q^2$
 - kinematical *target mass corrections* (formally leading twist)
 - gives rise to new “*Nachtmann*” scaling variable

$$\xi = \frac{2x}{1 + \gamma}, \quad \gamma^2 = 1 + Q^2/\nu^2$$

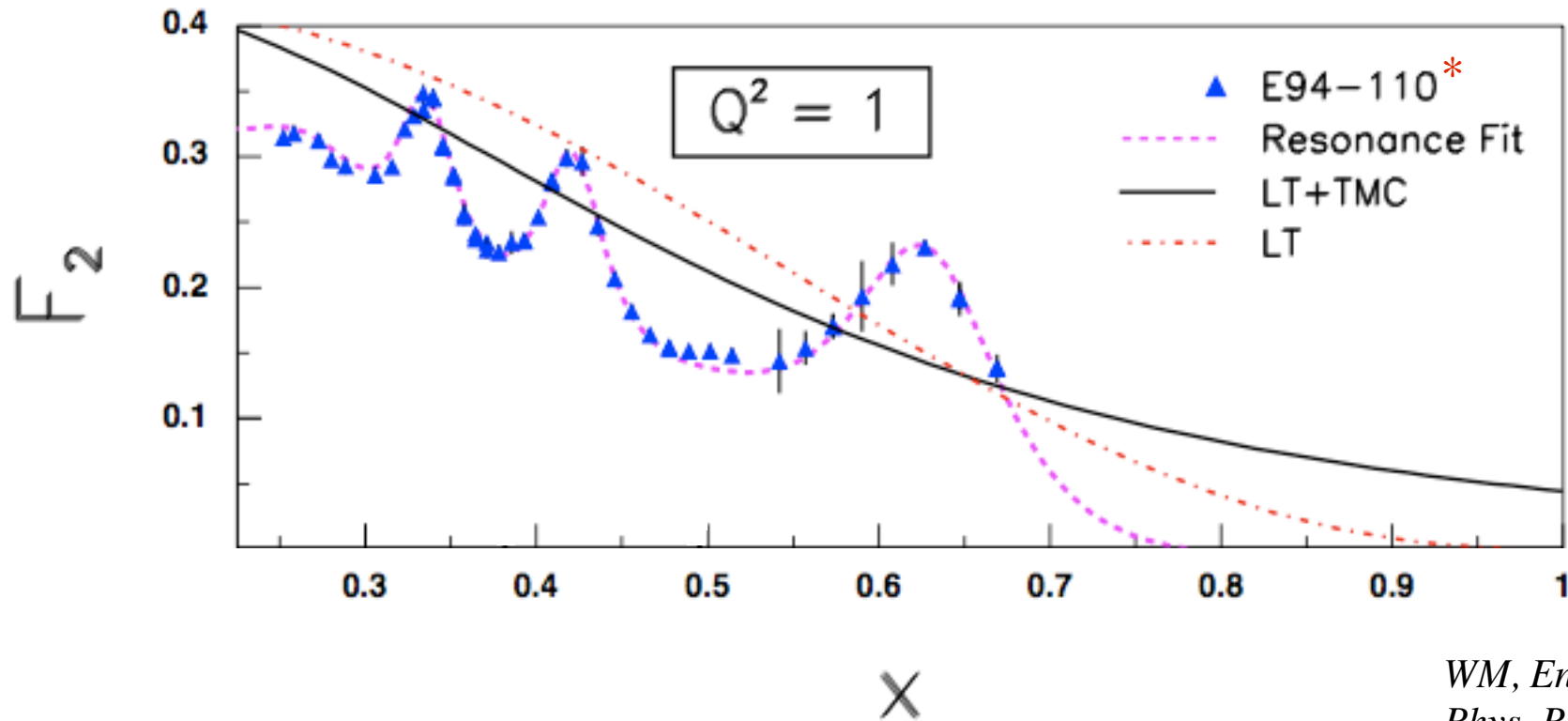
- Target mass corrected structure function (in OPE approach)

$$F_2(x, Q^2) = \frac{x^2}{\xi^2 \gamma^3} F_2^{(0)}(\xi, Q^2) + \frac{6M^2 x^3}{Q^2 \gamma^4} \int_{\xi}^1 du \frac{F_2^{(0)}(u, Q^2)}{u^2} + \frac{12M^4 x^4}{Q^4 \gamma^5} \int_{\xi}^1 dv (v - \xi) \frac{F_2^{(0)}(v, Q^2)}{v^2}$$

↑
massless limit
function

Georgi, Politzer (1976)

Finite- Q^2 corrections



*JLab Hall C

*WM, Ent, Keppel
Phys. Rept. (2005)*

➔ TMC important for verification of quark-hadron duality

Finite- Q^2 corrections

■ But TMCs not unique: *e.g.* in collinear factorization

→ work directly in *momentum* space at partonic level
(avoids Mellin transform; applicable also to non-DIS processes)

→ expand parton momentum k around its *on-shell* and
collinear component ($k_{\perp}^2 \rightarrow 0$)

Ellis, Furmanski, Petronzio (1983)

$$F_{T,L}(x, Q^2) = \sum_q \int_{\xi}^{\xi/x} \frac{dy}{y} C_{T,L}^q \left(\frac{\xi}{y}, Q^2 \right) q(y, Q^2)$$

Accardi, Qiu (2008)

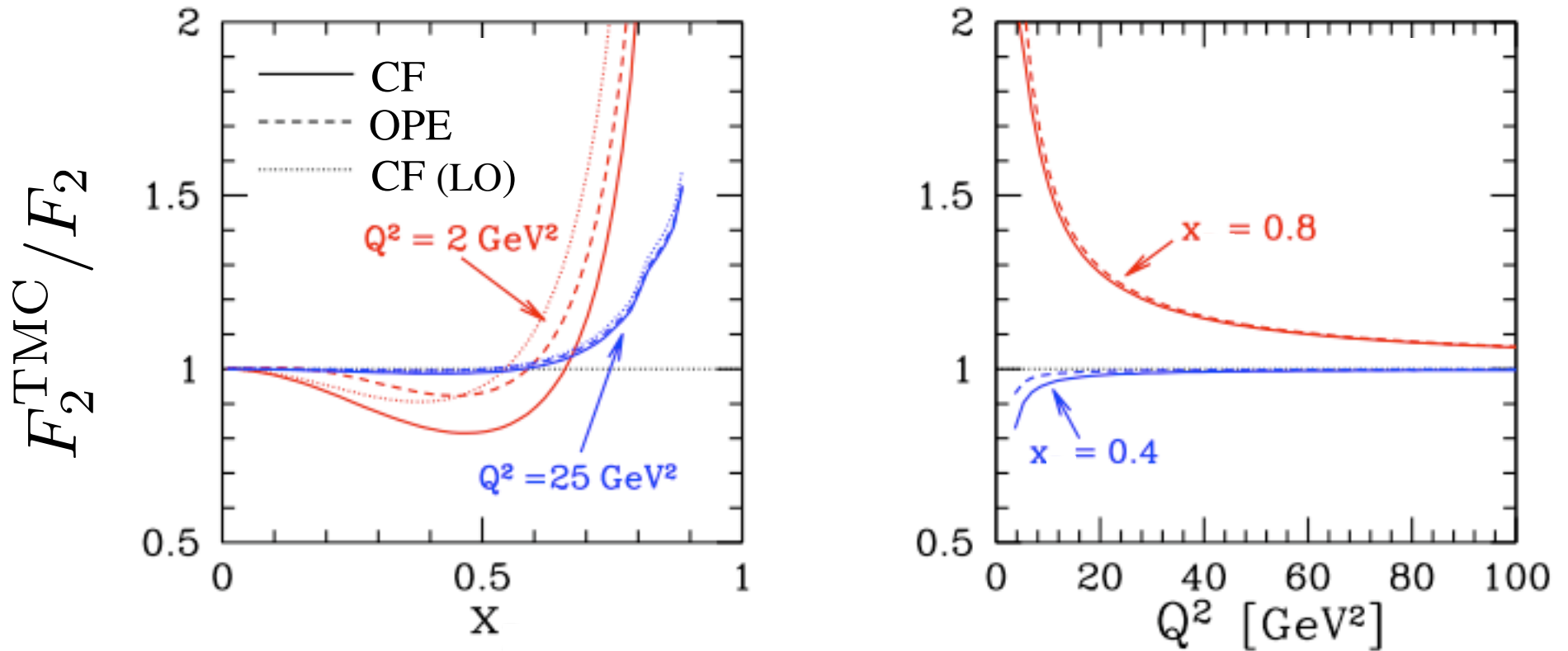
→ at leading order

$$\begin{aligned} F_2^{\text{CF}}(x, Q^2) &= \frac{x}{\xi \gamma^2} F_2^{(0)}(\xi, Q^2) \\ &\approx \frac{\xi \gamma}{x} F_2^{\text{OPE}}(x, Q^2) \end{aligned}$$

Kretzer, Reno (2004)

Finite- Q^2 corrections

- But TMCs not unique: *e.g.* in collinear factorization



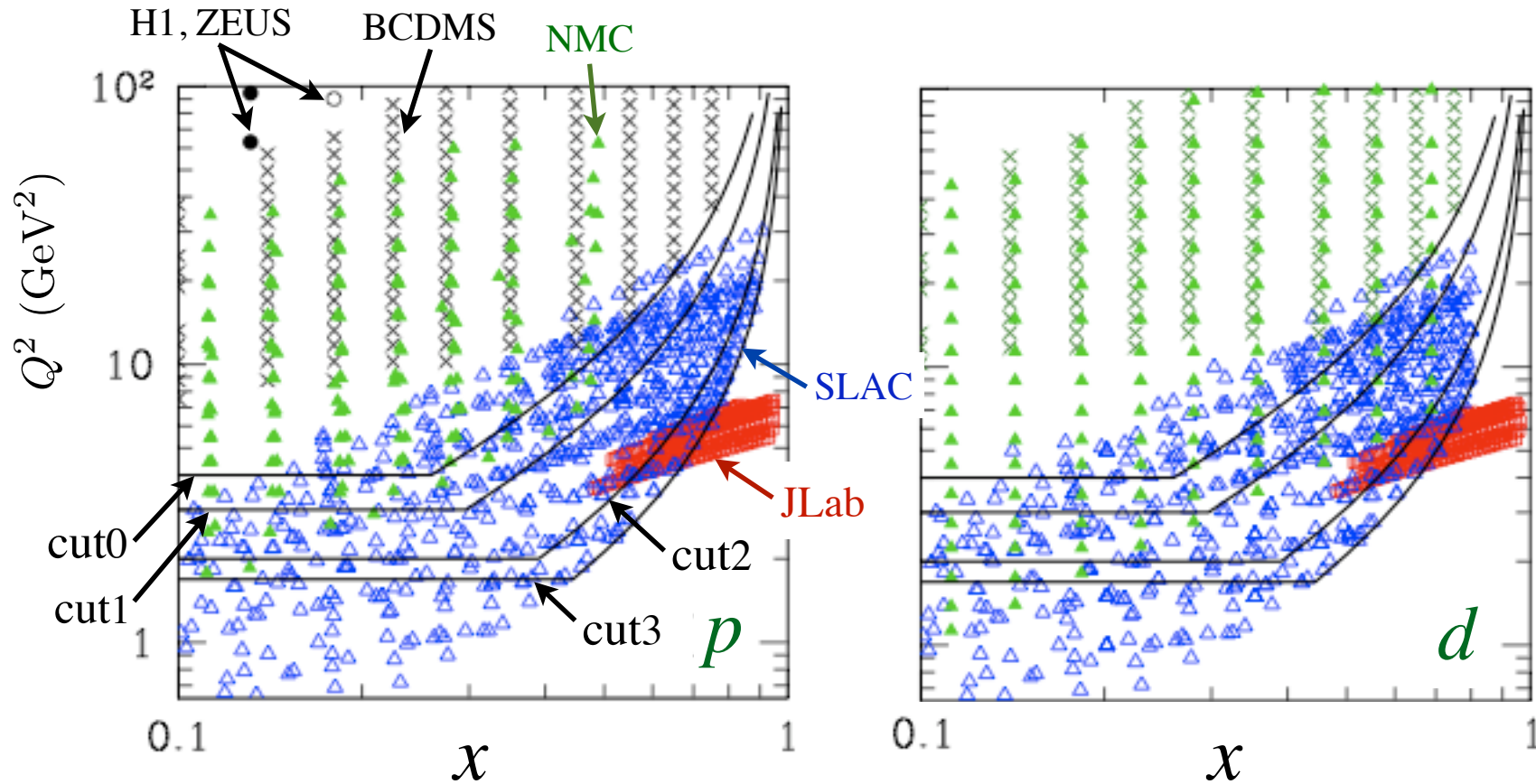
Accardi, Qiu (2008)

→ TMC important at large x even for large Q^2

CTEQ6X global PDF fit

- New global QCD (next-to-leading order) analysis of expanded set of p and d data, including large- x , low- Q^2 region
 - joint JLab-CTEQ theory/experiment collaboration (with Hampton, FSU, FNAL, Duke)
- Systematically study effects of Q^2 & W cuts
 - as low as $Q \sim m_c$ and $W \sim 1.7$ GeV
- Include large- x corrections
 - TMCs & higher twists $F_2(x, Q^2) = F_2^{\text{LT}}(x, Q^2)(1 + C(x)/Q^2)$
 - realistic nuclear effects in deuteron (binding + off-shell) (most analyses use either no correction, or density model)

CTEQ6X – kinematic cuts



cut0: $Q^2 > 4 \text{ GeV}^2$, $W^2 > 12.25 \text{ GeV}^2$

cut1: $Q^2 > 3 \text{ GeV}^2$, $W^2 > 8 \text{ GeV}^2$

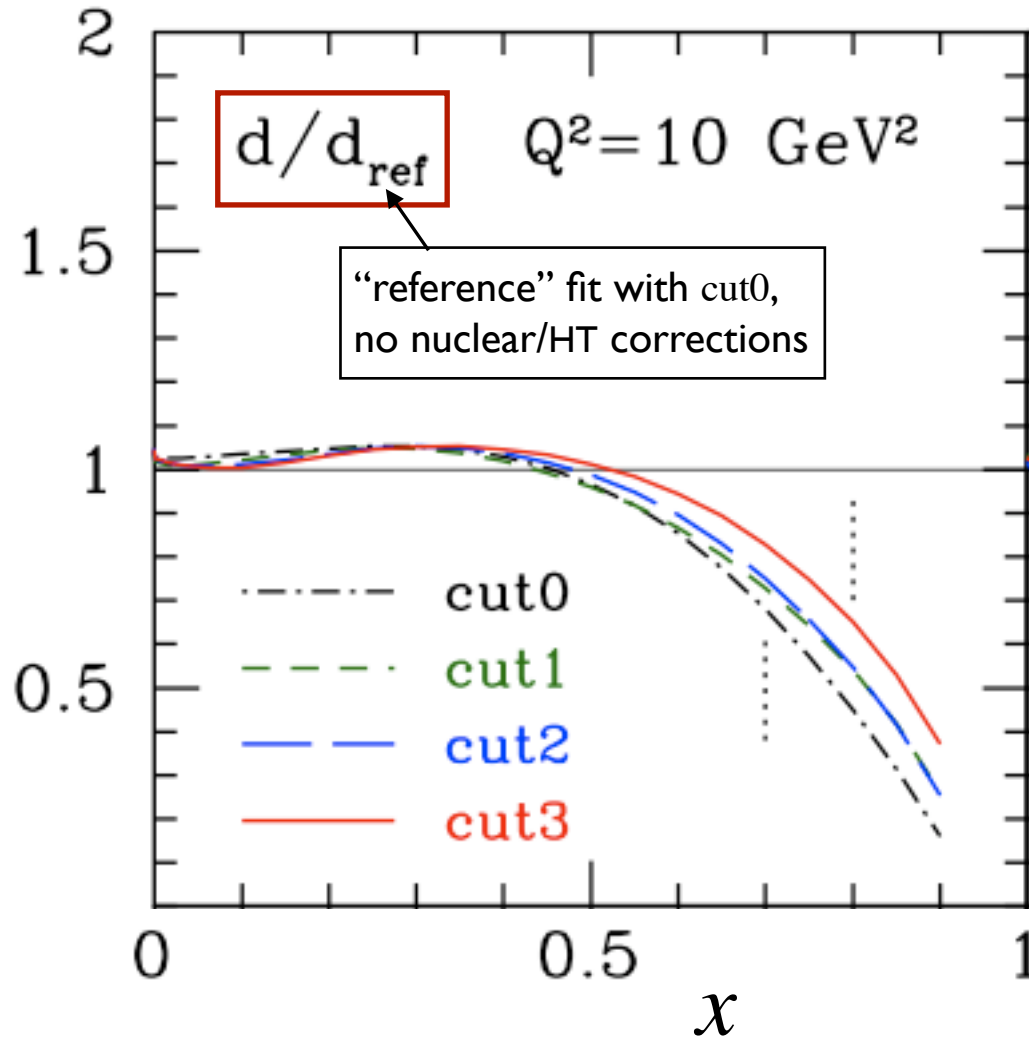
cut2: $Q^2 > 2 \text{ GeV}^2$, $W^2 > 4 \text{ GeV}^2$

cut3: $Q^2 > m_c^2$, $W^2 > 3 \text{ GeV}^2$

factor 2 increase
in DIS data from
cut0 \rightarrow cut3

CTEQ6X – kinematic cuts

- Systematically reduce Q^2 and W cuts, including TMC, HT & nuclear corrections

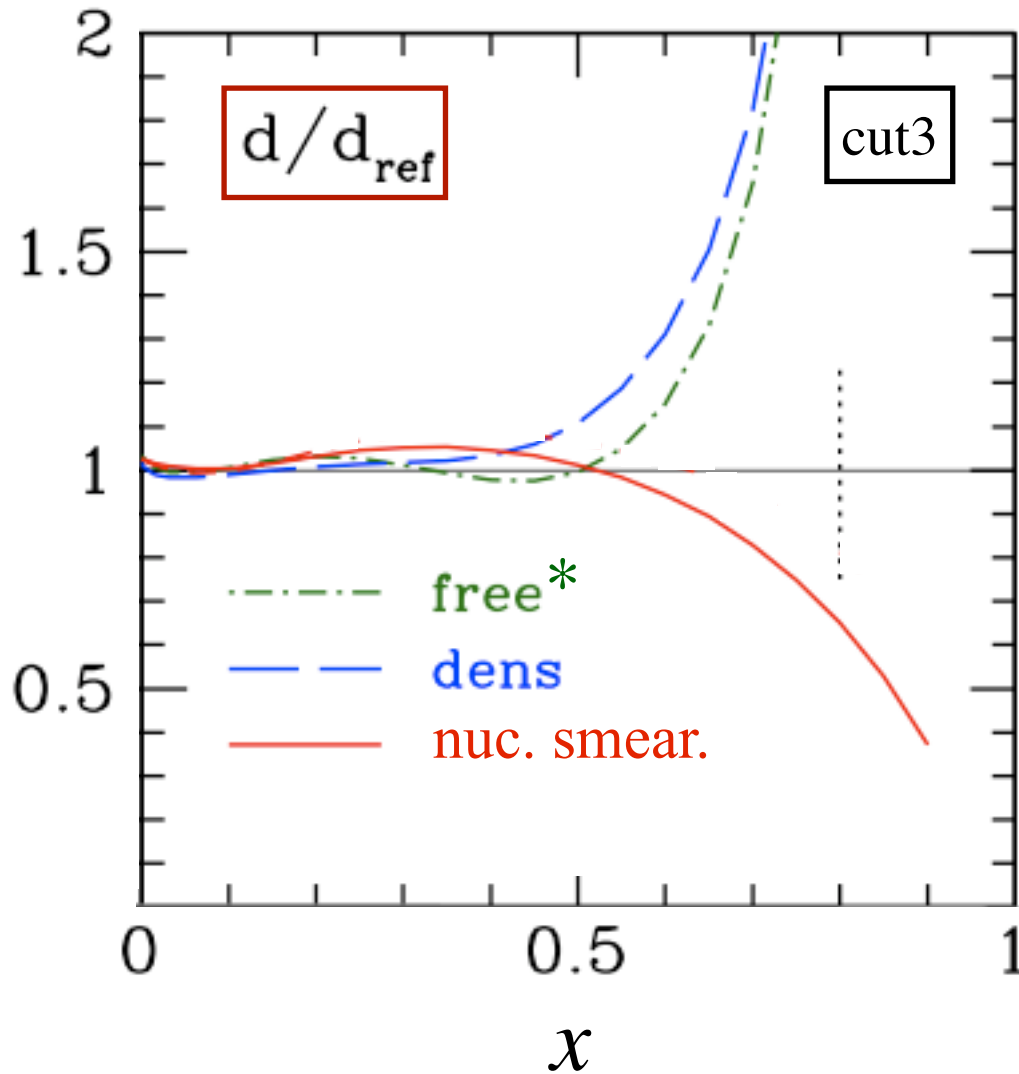


→ *stable* with respect to cut reduction

→ *d* quark suppressed by $\sim 50\%$ for $x > 0.5$ (driven by nuclear corrections)

Accardi et al., Phys. Rev. D **81**, 034016 (2010)

CTEQ6X – nuclear effects



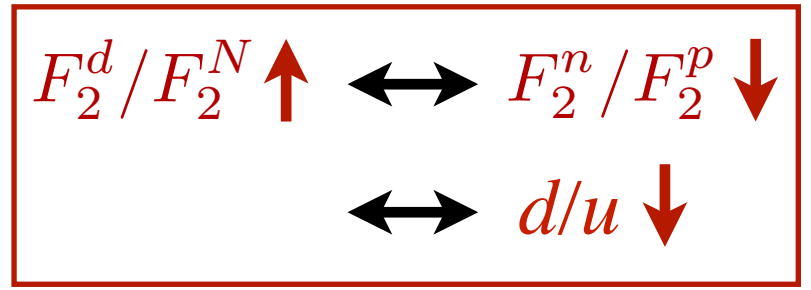
* assumes $F_2^d = F_2^p + F_2^n$ as in CTEQ6.1 and most other global fits

→ increased d quark for no nuclear effects
 (compensates for nuclear smearing in deuteron → increased F_2^d)

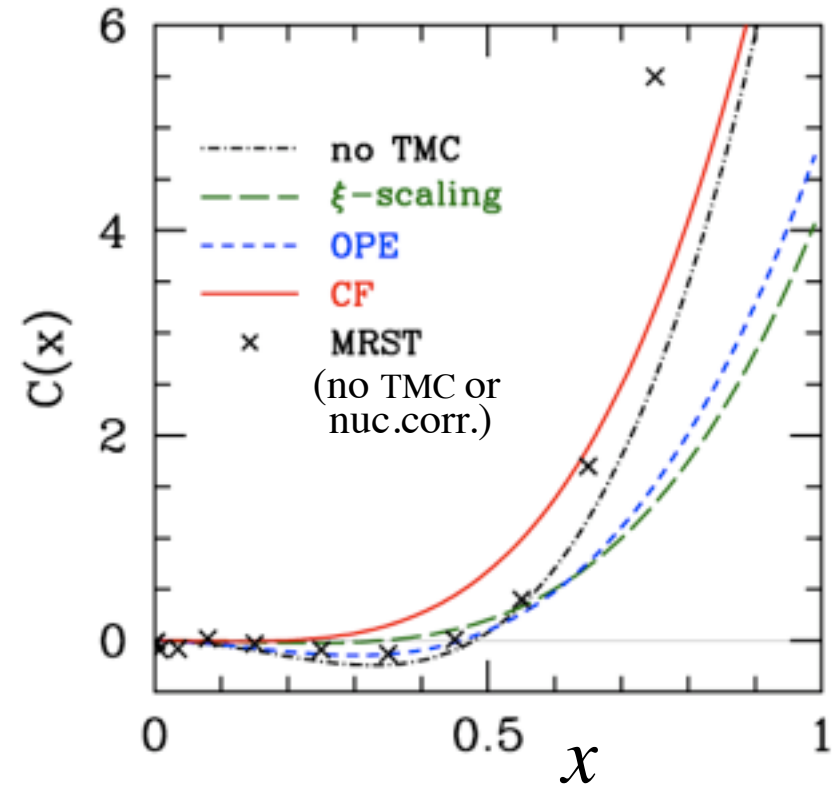
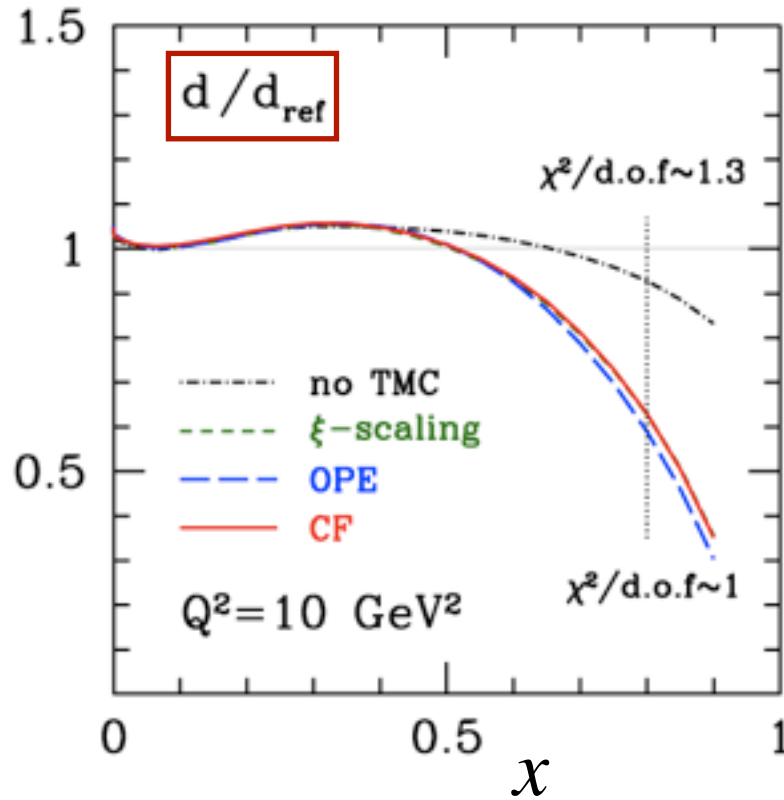
→ decreased d quark for nuclear smearing models



$F_2^d / F_2^N > 1$ for $x \sim 0.6-0.8$
 while $F_2^d / F_2^N < 1$ for “free” and “density” models

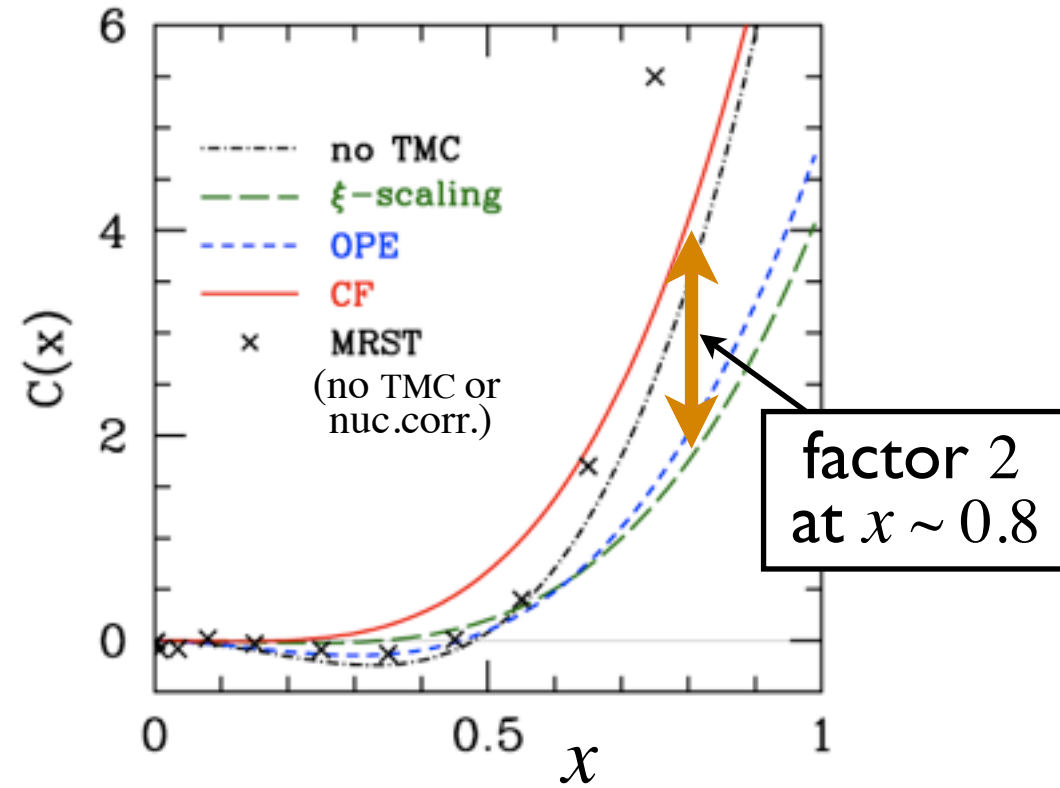
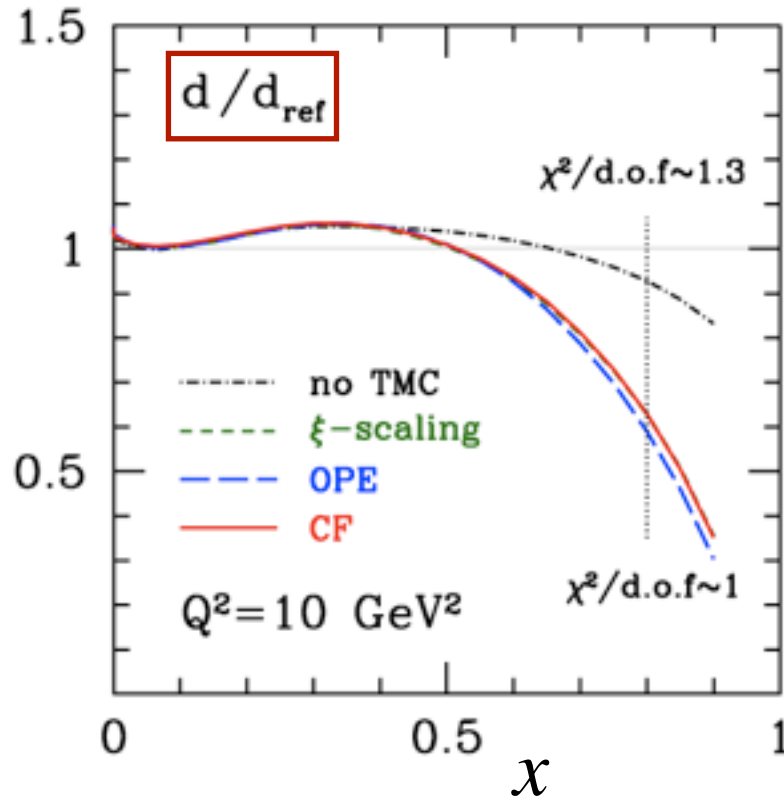


CTEQ6X - $1/Q^2$ corrections



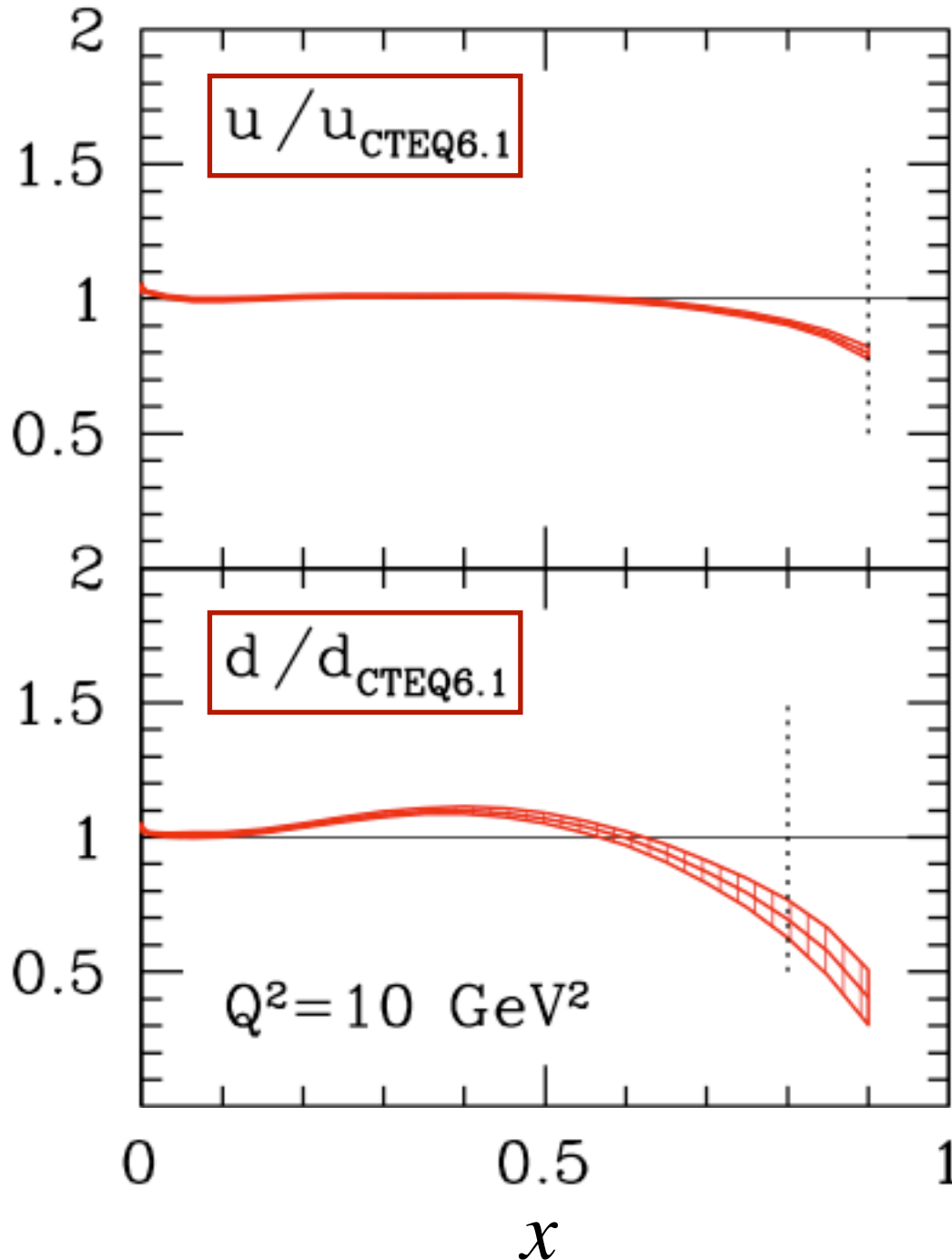
- important interplay between TMCs and higher twist: HT alone *cannot* accommodate full Q^2 dependence
- stable leading twist when both TMCs and HTs included

CTEQ6X - $1/Q^2$ corrections



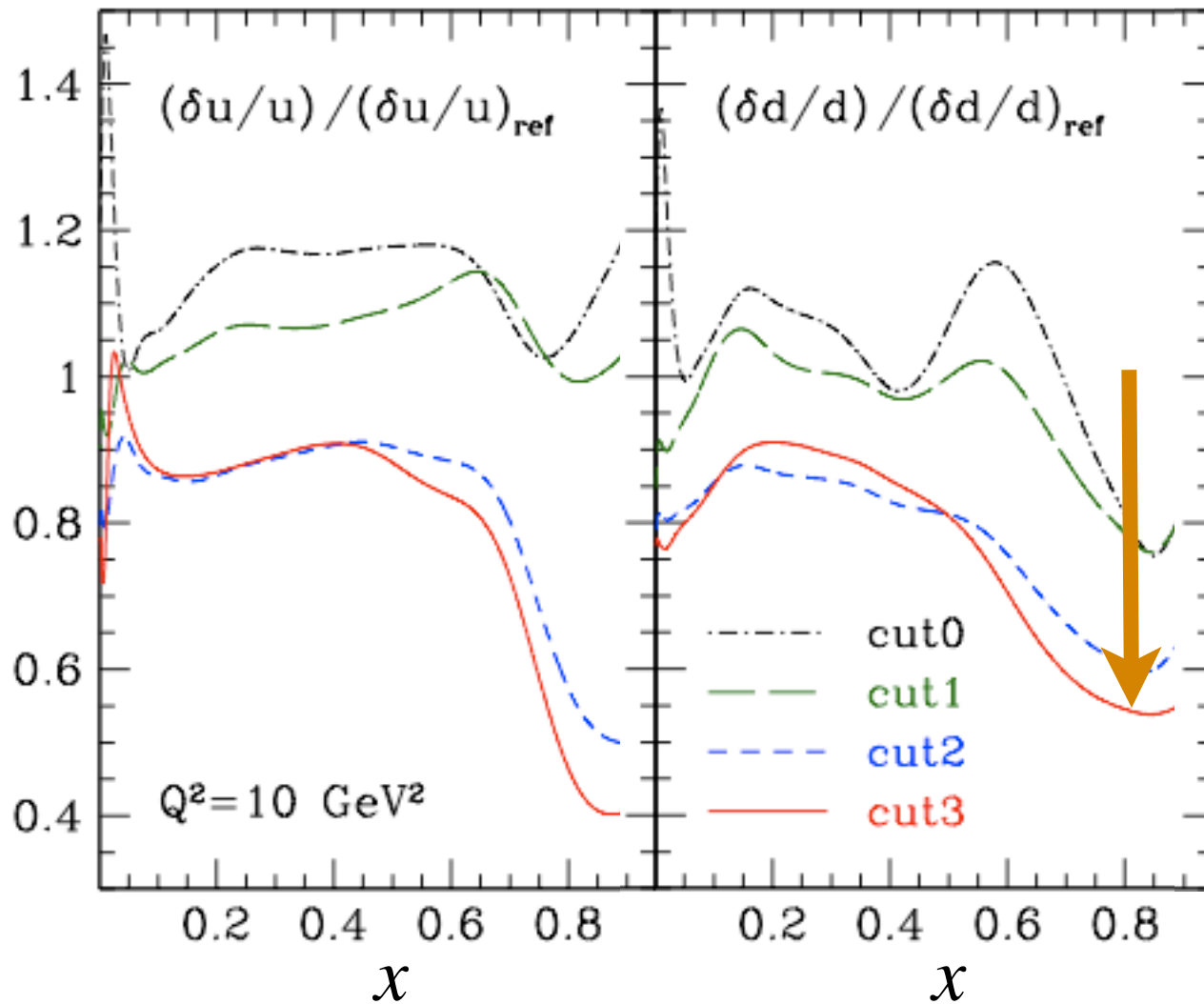
- important interplay between TMCs and higher twist: HT alone *cannot* accommodate full Q^2 dependence
- stable leading twist when both TMCs and HTs included
- prescription dependence of TMCs may limit extraction of higher twist contributions

CTEQ6X – final PDF results



→ full fits favors
smaller d/u ratio
(CTEQ6.1 had no nuclear
or TMC/HT corrections)

CTEQ6X – final PDF results



→ full fits favors
smaller d/u ratio
(CTEQ6.1 had no nuclear
or TMC/HT corrections)

→ up to 40-60%
reduced errors
with weaker cuts

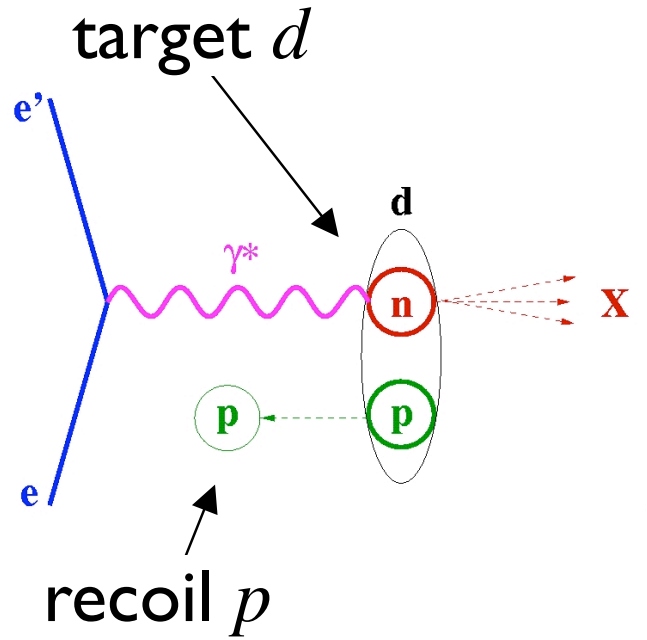
Accardi et al., Phys. Rev. D 81, 034016 (2010)

CTEQ6X – implications

- *Stable* leading twist PDFs for $W \gtrsim 1.7 \text{ GeV}$ & $Q^2 \gtrsim 1.5 \text{ GeV}^2$
 - provided nuclear and subleading $1/Q^2$ corrections included
 - advocates using (high statistics) low- W data to constrain large- x PDFs
- Prescription dependence of TMCs limits extraction of higher twist matrix elements
 - TMC / HT interplay needs to be better understood
- *Nuclear corrections* in deuteron significant at for $x \gtrsim 0.6$
 - completely obscure d quark extraction at large- x , require new methods free of nuclear uncertainties

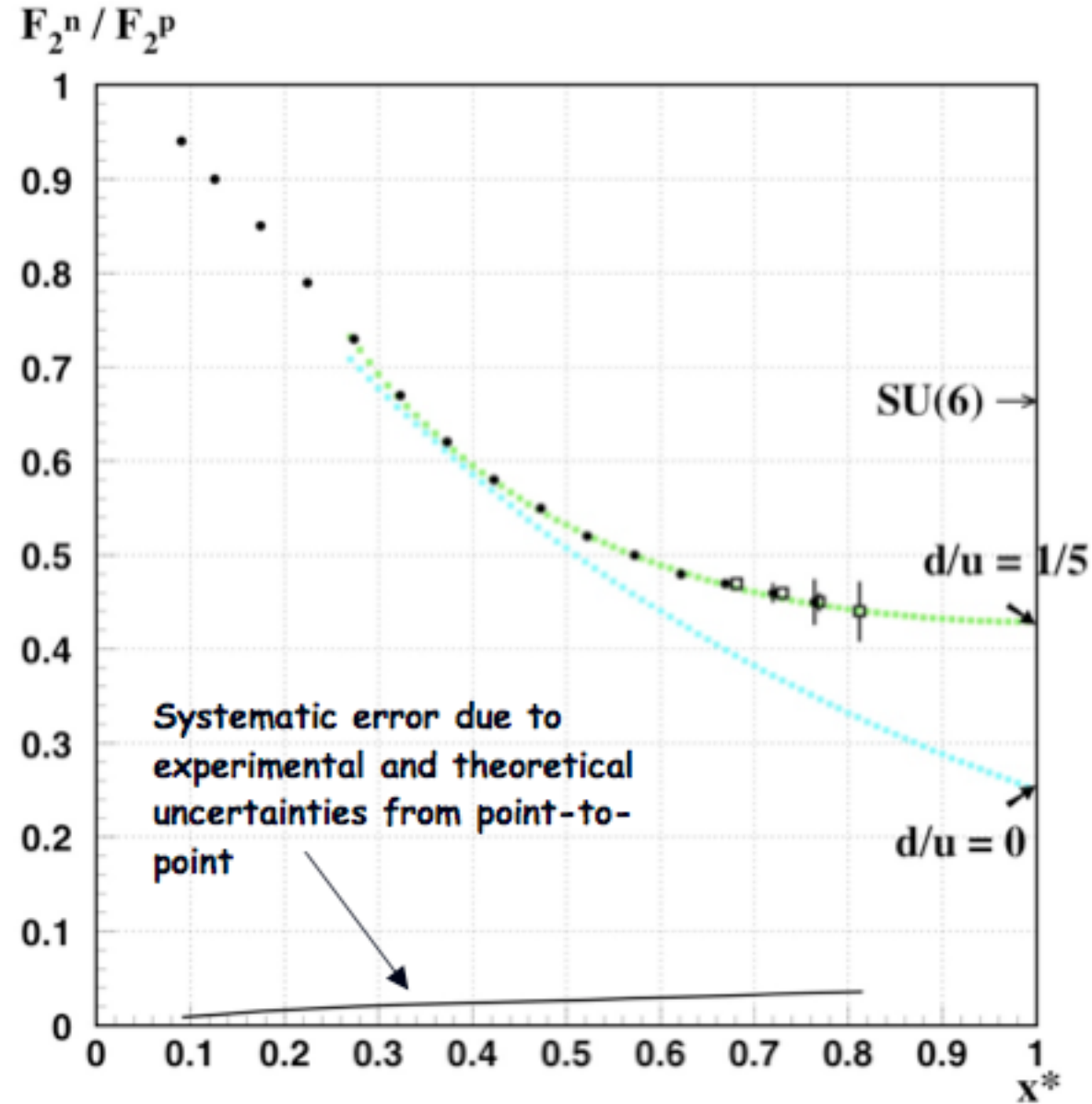
New methods – spectator tagging (“BONUS”)

■ $e d \rightarrow e p X$



slow backward p
 ($p < 100$ MeV)

- neutron nearly on-shell
- minimize rescattering

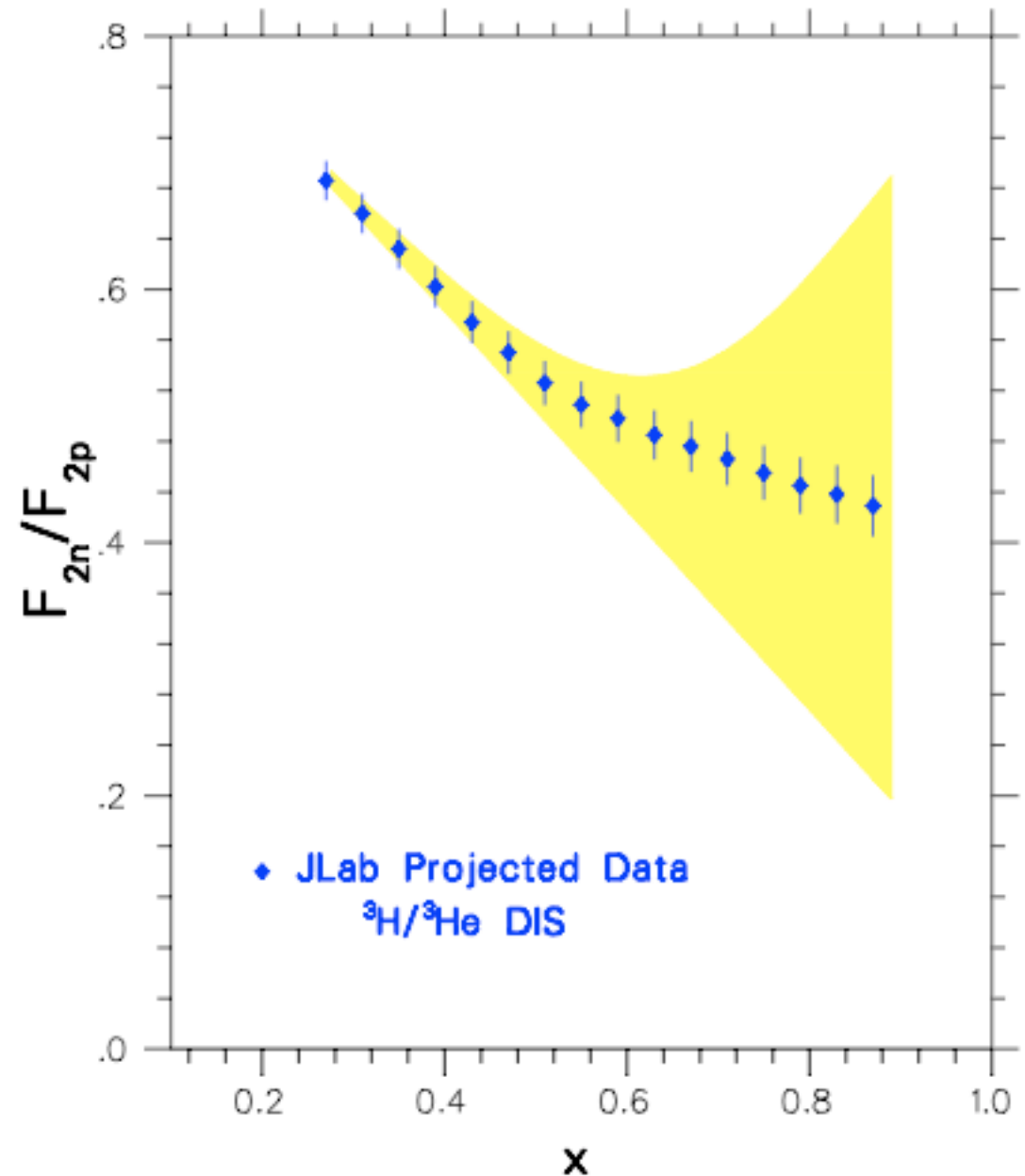


New methods – DIS from $A=3$ (“MARATHON”)

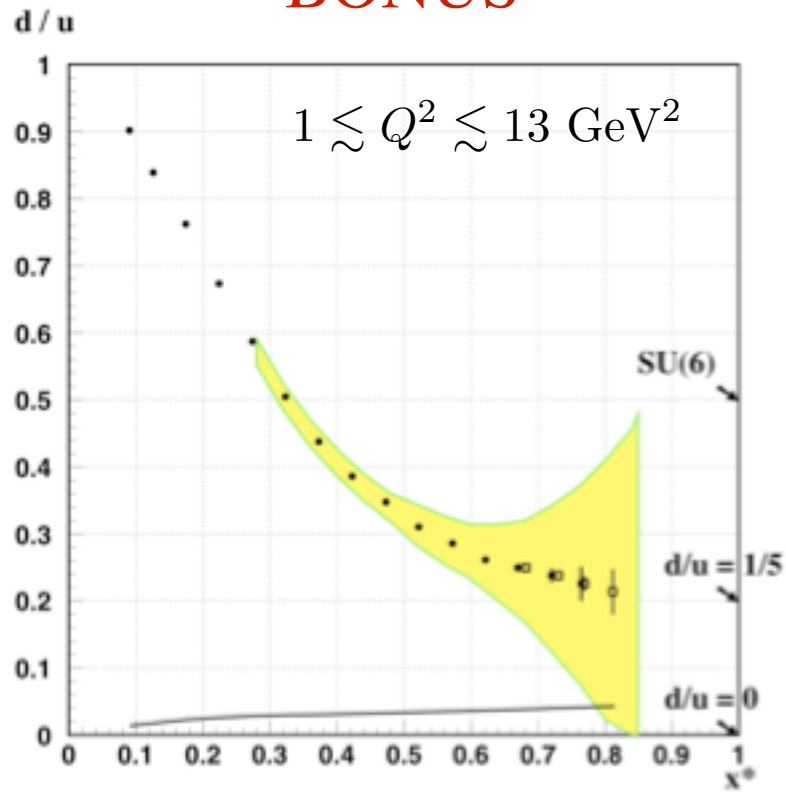
- extract n/p ratio from ratio of $A=3$ structure functions

$$\frac{F_2^n}{F_2^p} = \frac{2\mathcal{R} - F_2^{3\text{He}}/F_2^{3\text{H}}}{2F_2^{3\text{He}}/F_2^{3\text{H}} - \mathcal{R}}$$

→ ratio of ^3He to ^3H EMC ratios cancels to $\sim 1\%$ for $x < 0.85$



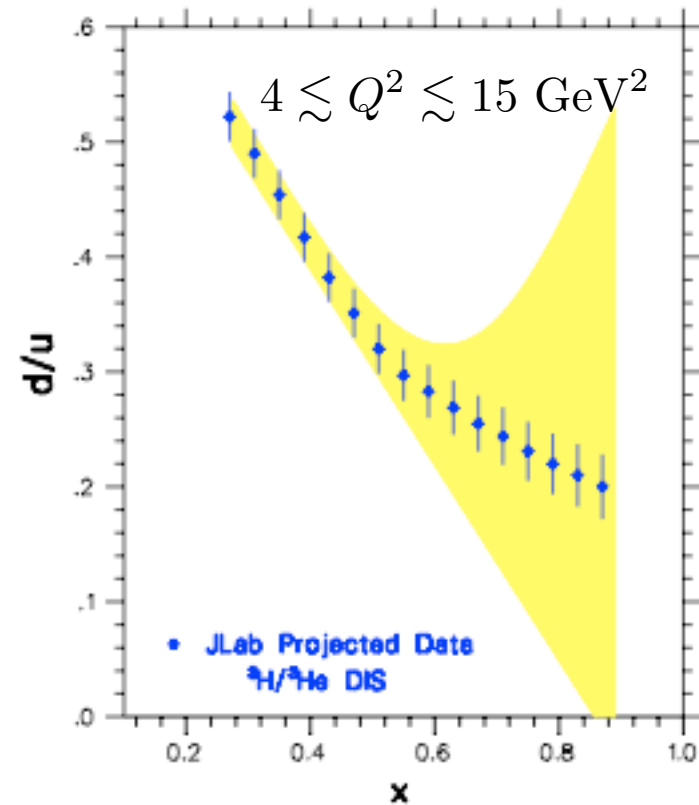
BONUS



$x \leq 0.77$ (0.83) [$W \geq 2$ (1.8) GeV]

- theoretical uncertainties similar to $x \sim 0.85$
- other: π structure function; ${}^3A(e, e'd)X$; (ideally) *neutron* tagging for cross-check!

MARATHON



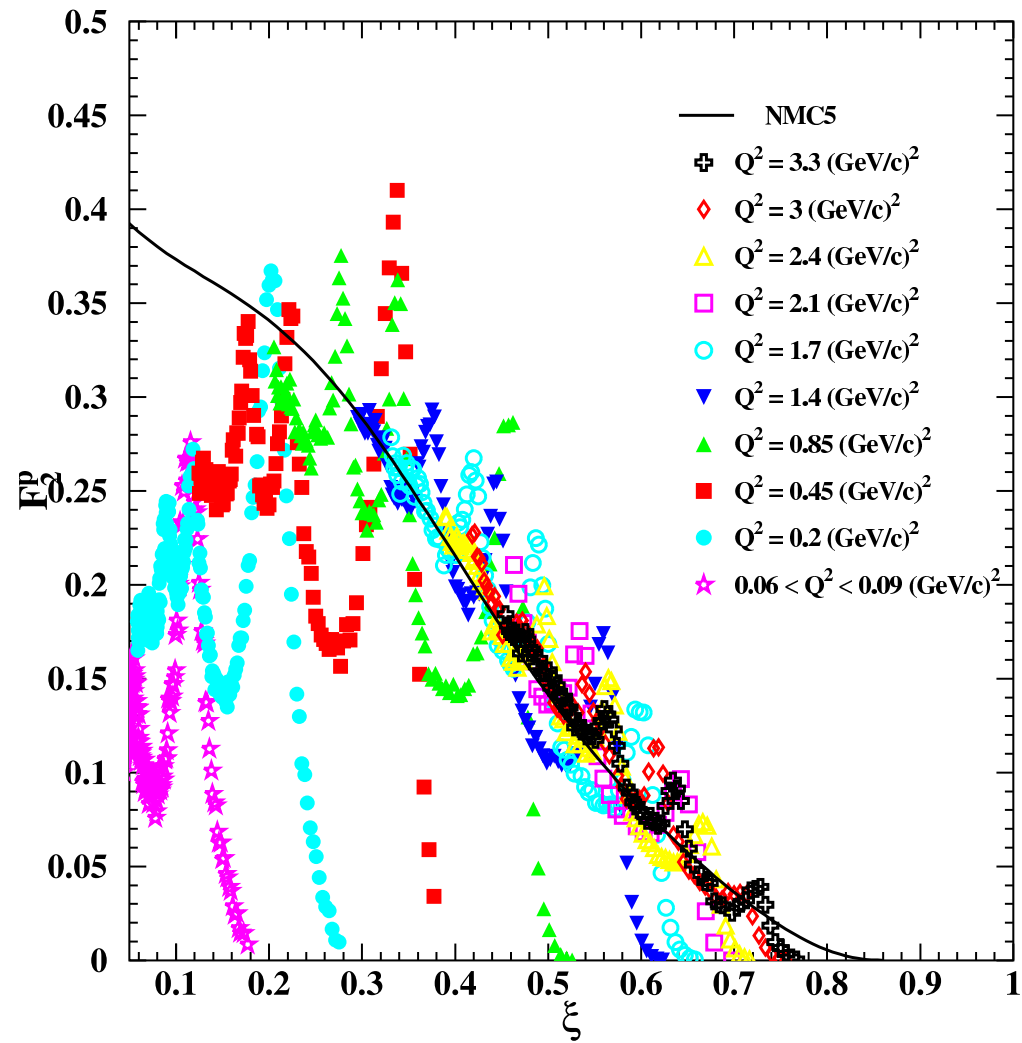
$x \leq 0.83$ (0.87) [$W \geq 2$ (1.73) GeV]

- other: EMC effect in $A=3$; isospin-dependence of nuclear corrections; SIDIS

Quark-hadron duality

Quark-hadron duality

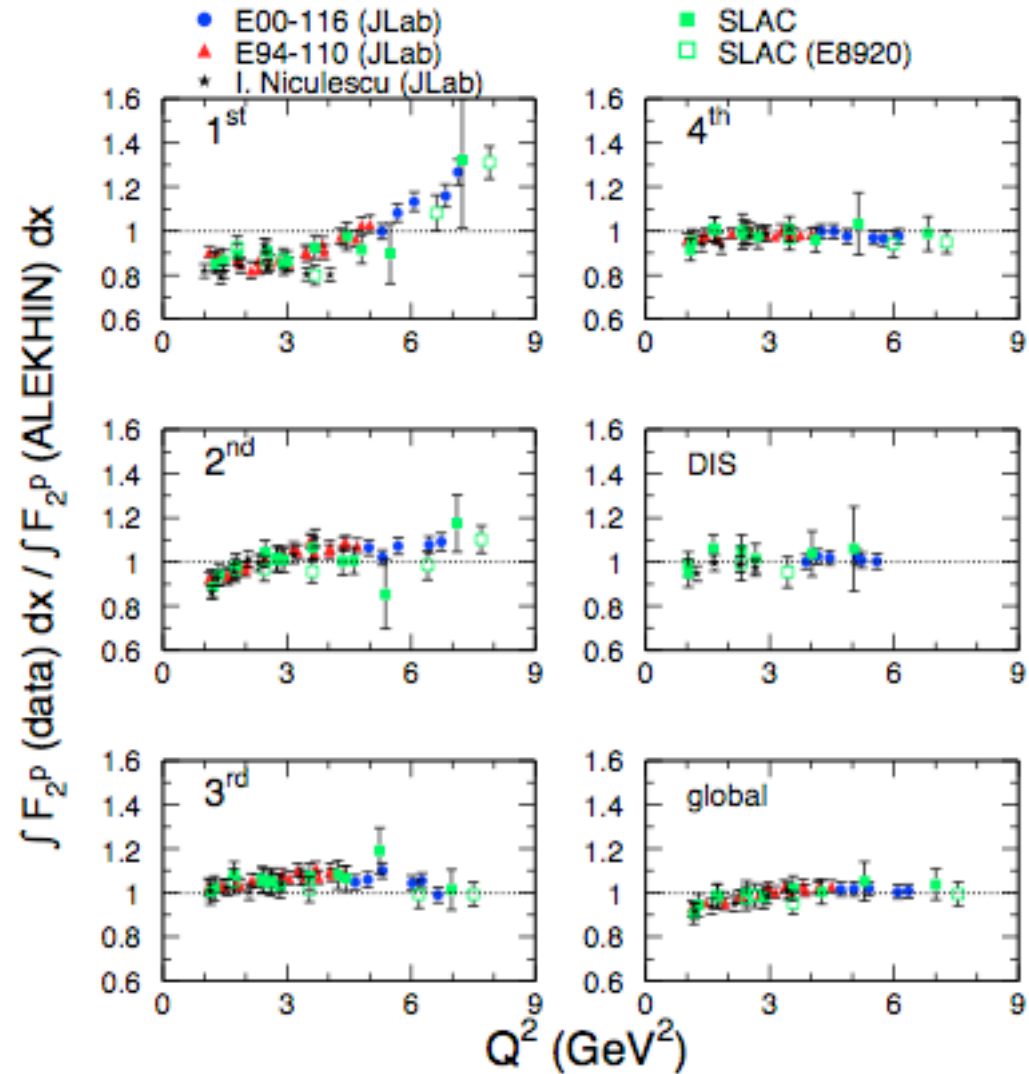
- average over resonances
(strongly Q^2 dependent)
 \approx leading twist str. fn.
($\sim Q^2$ independent)



Niculescu et al., PRL 85, 1182 (2000)

Quark-hadron duality

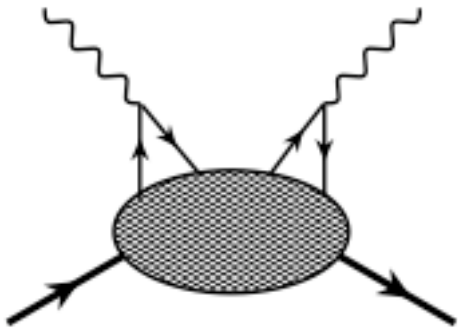
- average over resonances
 (strongly Q^2 dependent)
 \approx leading twist str. fn.
 ($\sim Q^2$ independent)
- duality violation for proton
 $\lesssim 10\%$, integrated over x



Malace et al., PRC 80, 035207 (2009)

■ Is duality in the proton a coincidence?

→ consider model with symmetric nucleon wave function



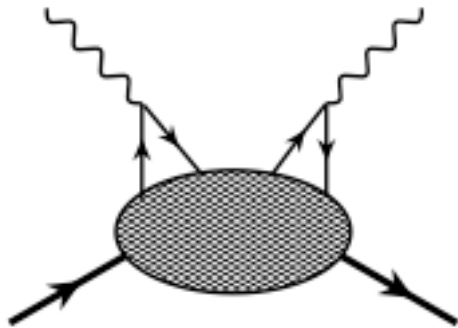
cat's ears diagram (4-fermion higher twist $\sim 1/Q^2$)

$$\propto \sum_{i \neq j} e_i e_j \sim \left(\sum_i e_i \right)^2 - \sum_i e_i^2$$

coherent incoherent

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$$\propto \sum_{i \neq j} e_i e_j \sim \left(\sum_i e_i \right)^2 - \sum_i e_i^2$$

↑ coherent ↑ incoherent

■ *proton* HT $\sim 1 - \left(2 \times \frac{4}{9} + \frac{1}{9} \right) = 0 !$

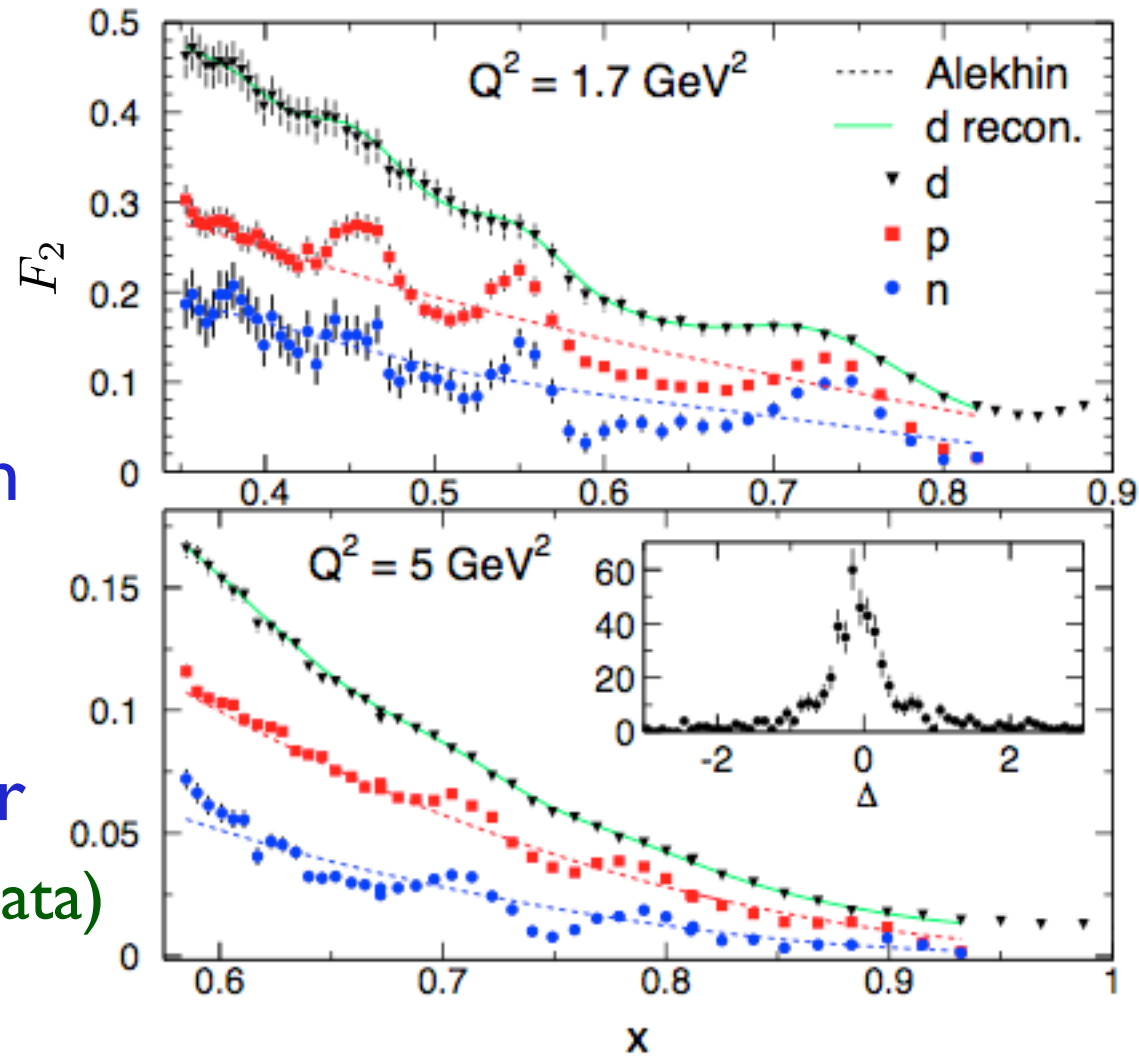
■ *neutron* HT $\sim 0 - \left(\frac{4}{9} + 2 \times \frac{1}{9} \right) \neq 0$

Brodsky, hep-ph/0006310

➔ need to test duality in the neutron!

Quark-hadron duality

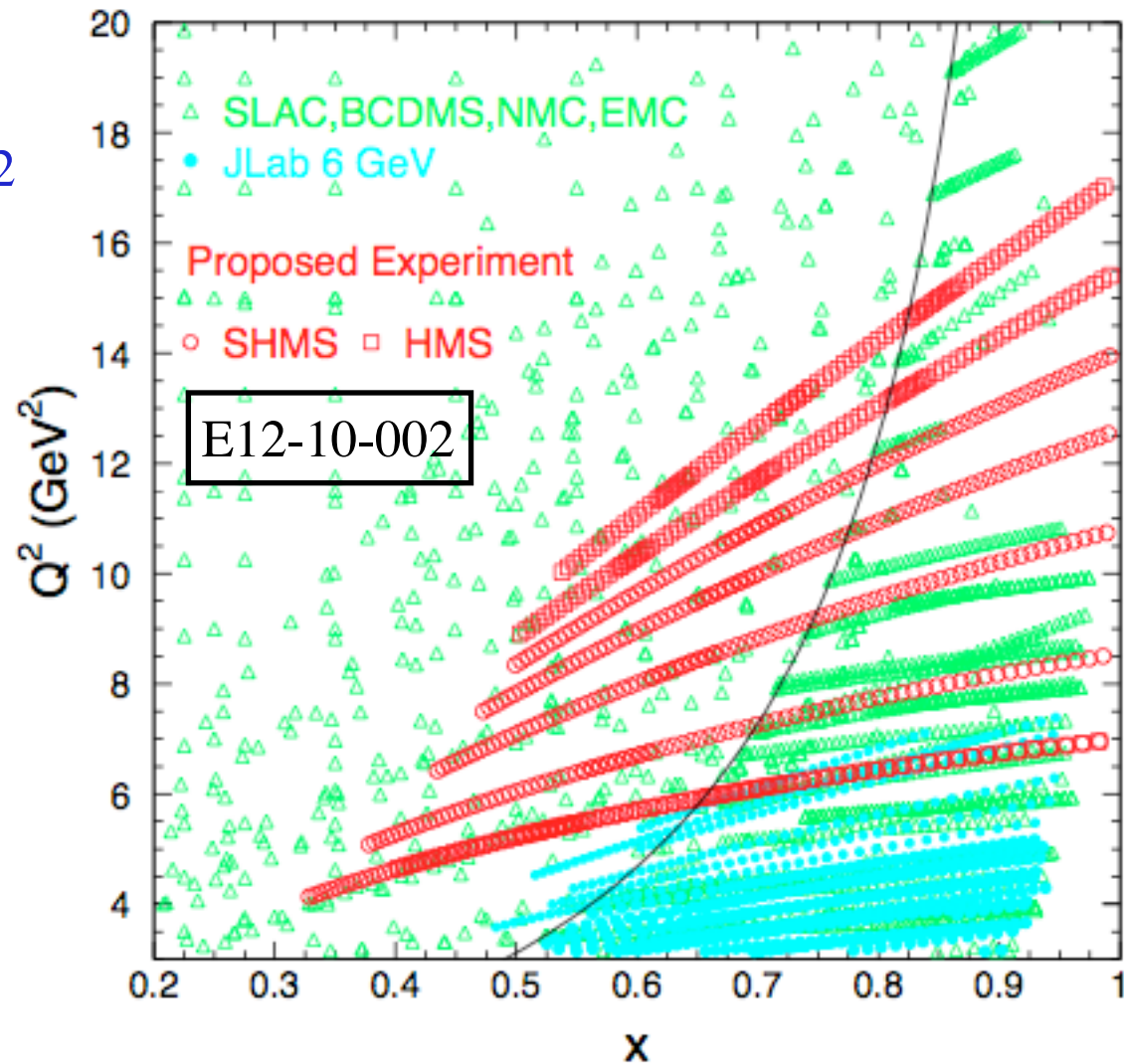
- average over resonances
(strongly Q^2 dependent)
 \approx leading twist str. fn.
($\sim Q^2$ independent)
- duality violation for proton
 $\lesssim 10\%$, integrated over x
- recently confirmed also for
neutron (from inclusive p, d data)
 \rightarrow duality *not* accidental!



Malace et al., PRL 104, 102001 (2010)

Quark-hadron duality

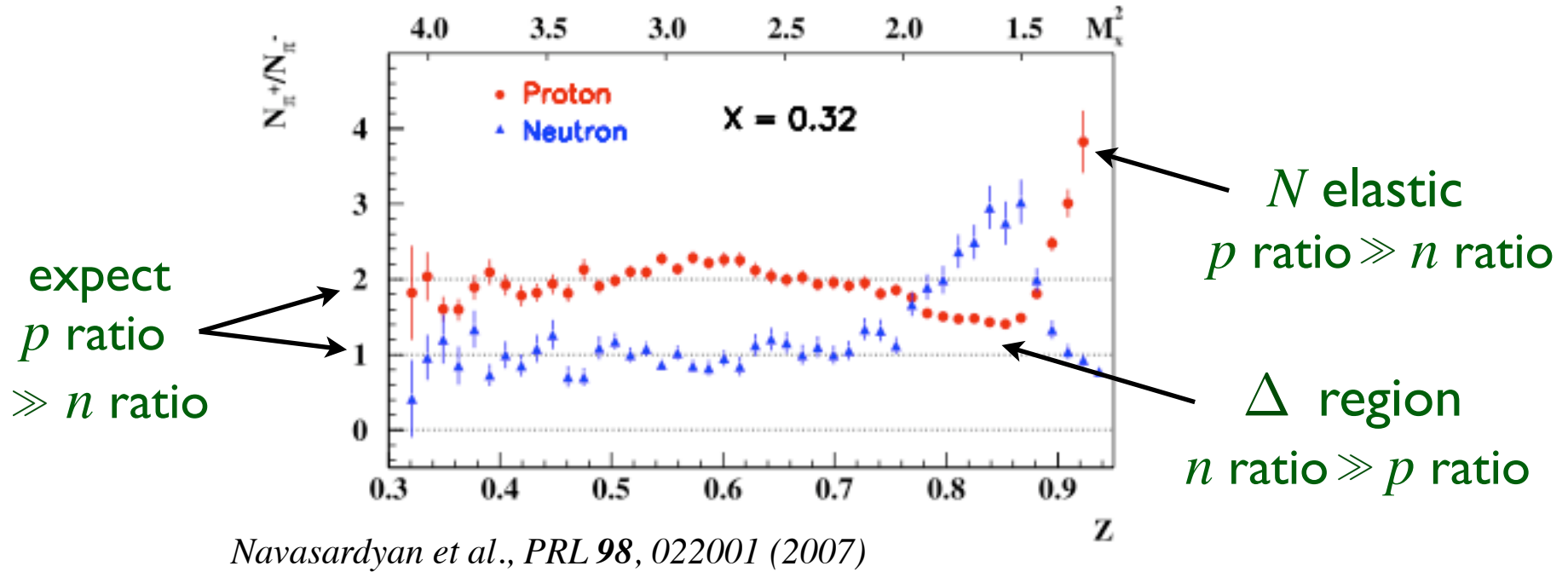
- currently duality studies limited to $Q^2 \lesssim 6 \text{ GeV}^2$, beyond which no resonance data exist
- with 12 GeV will map out resonances to $Q^2 \sim 17 \text{ GeV}^2$
- high-precision low- W data base will constrain PDFs at larger x values
 - input into CTEQ6X-like global QCD fits



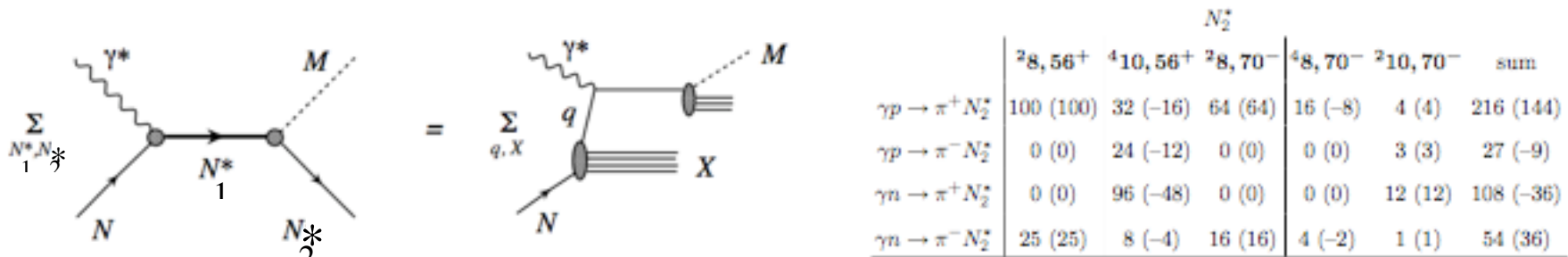
Semi-inclusive DIS

- Semi-inclusive DIS at 12 GeV offers tremendous opportunity for determining
 - flavor-spin decomposition of nucleon PDFs
(*e.g.* d/u , \bar{d}/\bar{u} , $\Delta\bar{d}-\Delta\bar{u}$)
 - new distributions, not accessible in inclusive DIS
(*e.g.* transversity, Sivers function, *etc*)
 - vital issue: does factorization of scattering & fragmentation processes (needed for pQCD treatment) hold at these energies?
 - must establish *empirically* before method can be reliably utilized

■ 6 GeV data hint at intriguing quark-hadron duality in SIDIS

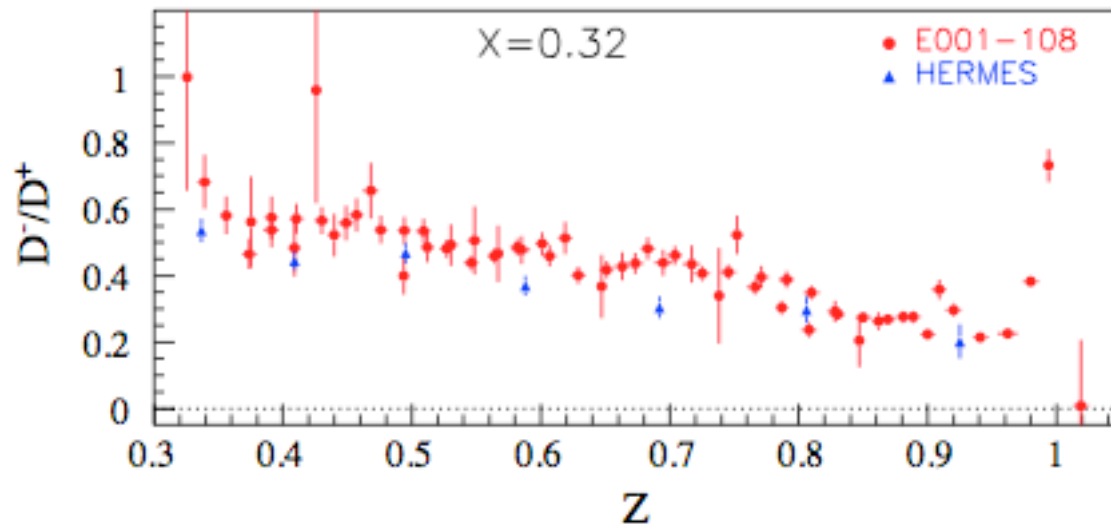


→ trends consistent with resonance model predictions



Close, WM, PRC 79, 055202 (2009)

■ 6 GeV data hint at intriguing quark-hadron duality in SIDIS

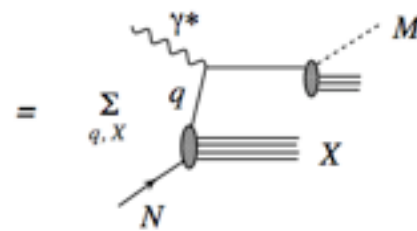
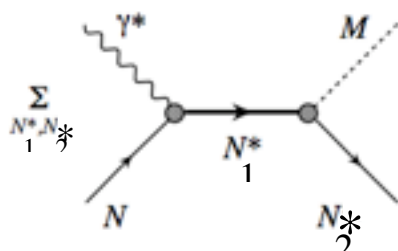


$$\frac{D^-}{D^+} = \frac{4 - N_\pi^+ / N_\pi^-}{4N_\pi^+ / N_\pi^- - 1}$$

resonance contributions to ratio cancel in quark model!

Navasardyan et al., PRL 98, 022001 (2007)

→ trends consistent with resonance model predictions



	N_2^*					
	$^28, 56^+$	$^410, 56^+$	$^28, 70^-$	$^48, 70^-$	$^210, 70^-$	sum
$\gamma p \rightarrow \pi^+ N_2^*$	100 (100)	32 (-16)	64 (64)	16 (-8)	4 (4)	216 (144)
$\gamma p \rightarrow \pi^- N_2^*$	0 (0)	24 (-12)	0 (0)	0 (0)	3 (3)	27 (-9)
$\gamma n \rightarrow \pi^+ N_2^*$	0 (0)	96 (-48)	0 (0)	0 (0)	12 (12)	108 (-36)
$\gamma n \rightarrow \pi^- N_2^*$	25 (25)	8 (-4)	16 (16)	4 (-2)	1 (1)	54 (36)

Close, WM, PRC 79, 055202 (2009)

- In parton model cross section has simple factorization:

$$\frac{d\sigma}{dx dQ^2 dz_h} \sim \sum_q e_q^2 q(x, Q^2) D_q^h(z_h, Q^2)$$

$z_h = \frac{p_h \cdot p}{q \cdot p} \rightarrow \frac{E_h}{\nu}$

- At finite Q^2 hadronic mass corrections (target & fragment):

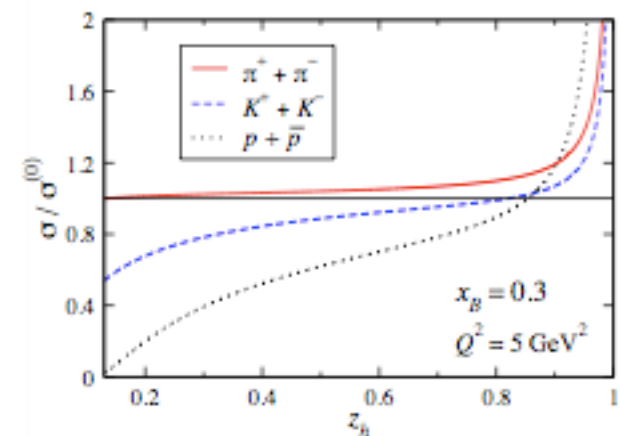
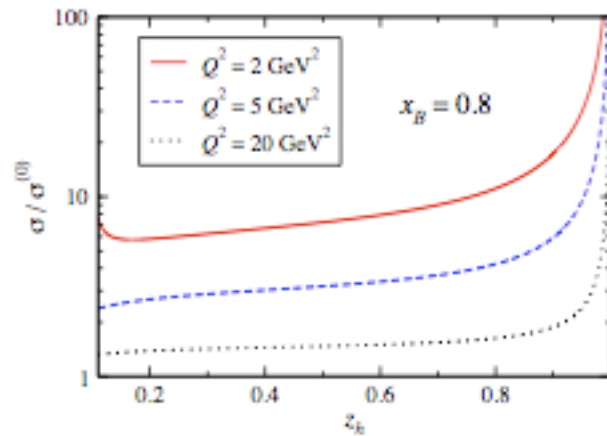
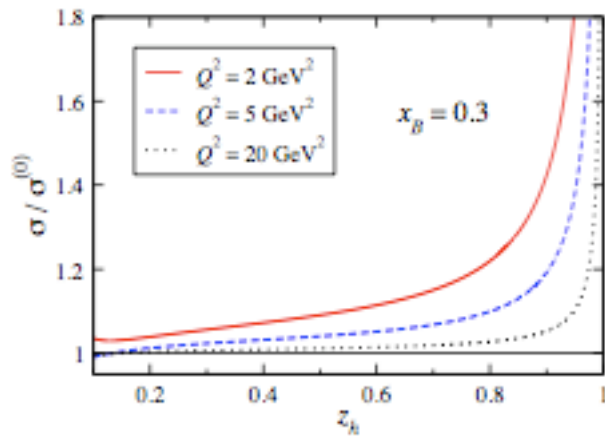
$$\frac{d\sigma}{dx dQ^2 dz_h} \sim \sum_q e_q^2 q(\xi_h, Q^2) D_q^h(\zeta_h, Q^2)$$

$\xi_h = \xi \left(1 + \frac{m_h^2}{\zeta_h Q^2} \right) \qquad \zeta_h = \frac{z_h \xi}{2x} \left(1 + \sqrt{1 - \frac{4x^2 M^2 m_h^2}{z_h^2 Q^4}} \right)$

Mulders (2001), Albino et al. (2007), Hobbs et al. (2009)

- *hadron* mass dependence in quark *distribution* function
- factorization breakdown (quantifiable!)

■ Ratio $\sigma/\sigma^{(0)}$ of corrected to uncorrected (massless limit)
 $\pi^+ + \pi^-$ cross sections



Hobbs, Accardi, WM, JHEP 11, 084 (2009)

- dramatic rise as $z_h \rightarrow 1$, more pronounced at low Q^2
- downward correction at small z_h for heavier hadrons driven by suppression of PDF from $(1 + m_h^2/\zeta_h Q^2)$ factor in $\xi_h (> \xi)$
- need to account for HMC at large x or small Q^2 even at 12 GeV

Nucleon structure at large x :
spin-dependent

Spin structure at large x

- Spin-dependent PDFs are even less well understood at large x than spin-averaged PDFs

- Predictions for $x \rightarrow 1$ behavior:

→ scalar diquark dominance

$$\frac{\Delta u}{u} \rightarrow 1, \quad \frac{\Delta d}{d} \rightarrow -\frac{1}{3} \quad A_1^{p,n} \rightarrow 1$$

→ hard gluon exchange

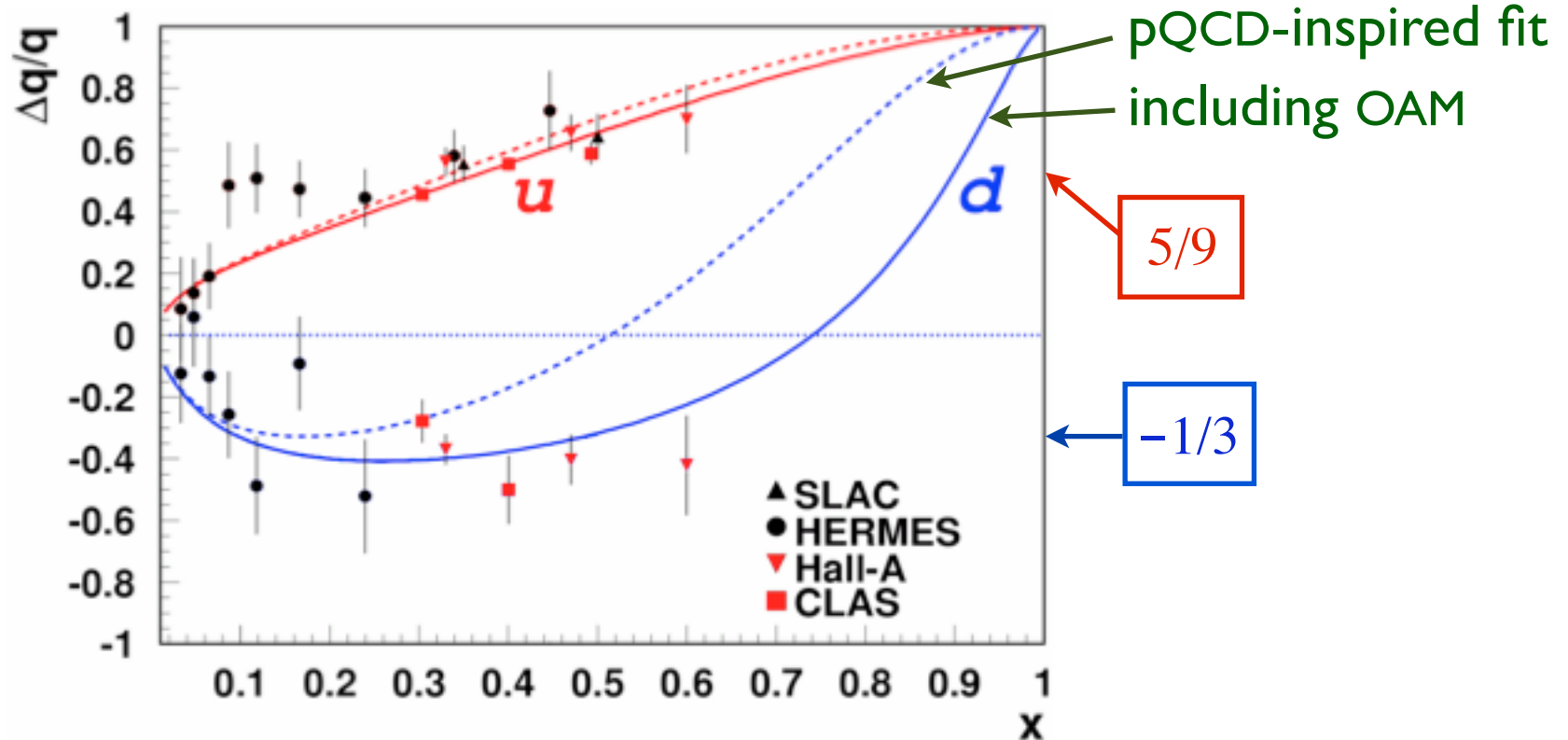
$$\frac{\Delta u}{u} \rightarrow 1, \quad \frac{\Delta d}{d} \rightarrow 1 \quad A_1^{p,n} \rightarrow 1$$

→ spin-flavor symmetry

$$\frac{\Delta u}{u} = \frac{2}{3}, \quad \frac{\Delta d}{d} = -\frac{1}{3} \quad A_1^p = \frac{5}{9}, \quad A_1^n = 0$$

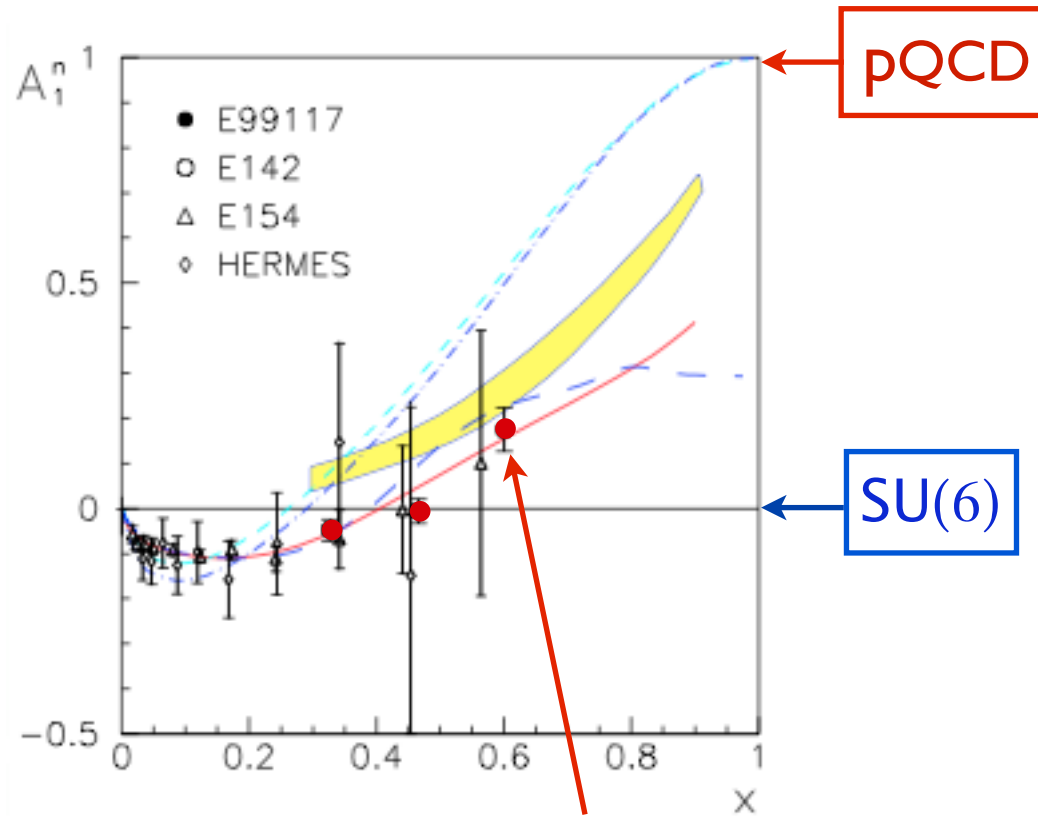
- Spin PDFs almost completely unconstrained for $x \gtrsim 0.6$

Spin structure at large x



- data consistent with SU(6) predictions (*cf.* unpolarized)
- dramatic behavior expected in $\Delta d/d$ for $x \gtrsim 0.6$
reflects upturn in neutron asymmetry A_1^n

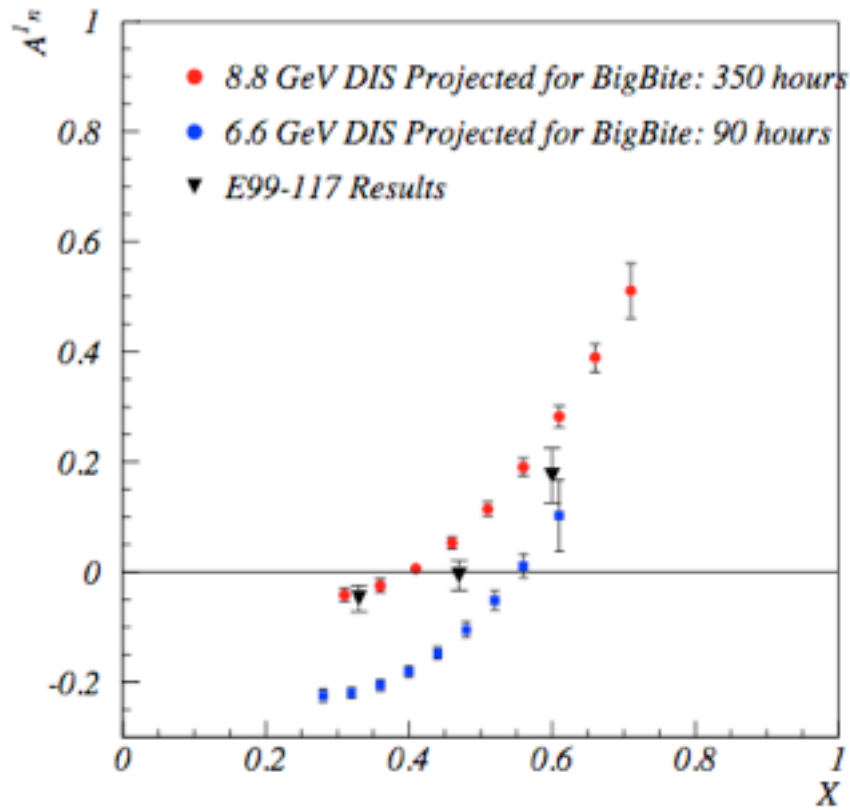
Spin structure at large x



Zheng et al.,
PRL **92**, 012004 (2004)

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E12-06-122 (Hall A)

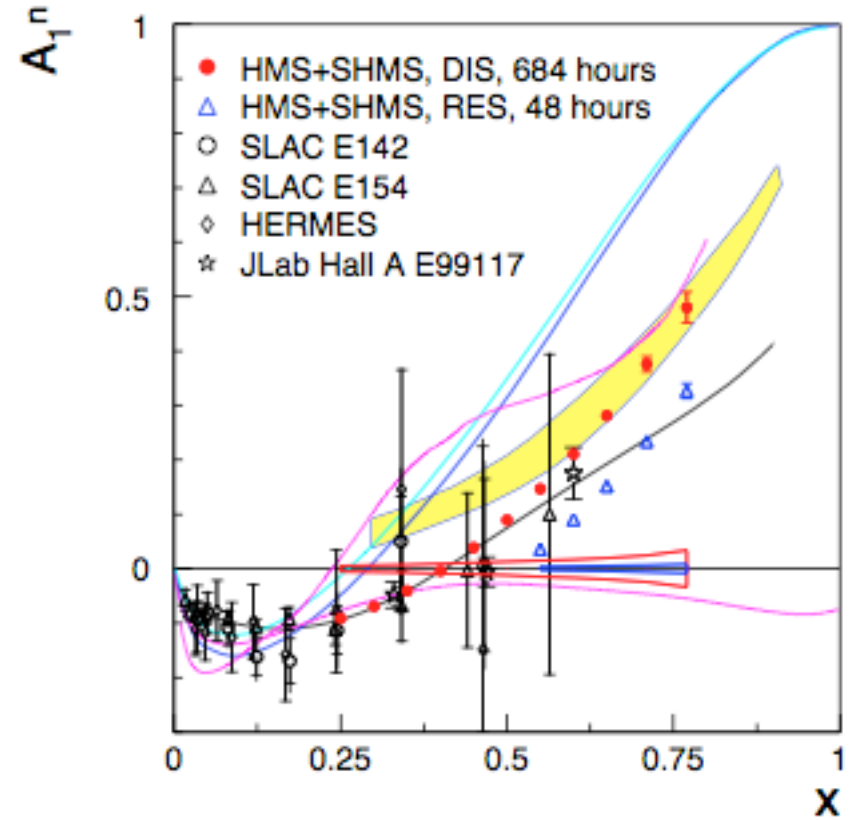


$$x \leq 0.71$$

DIS kinematics

$$3.0 \lesssim Q^2 \lesssim 7.8 \text{ GeV}^2$$

PR12-06-110 (Hall C)

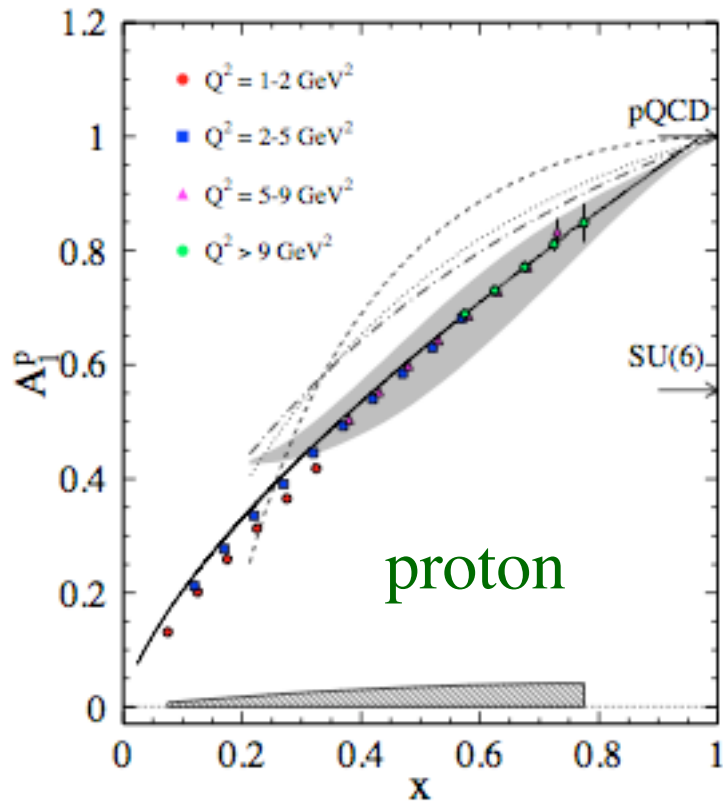


$$x \leq 0.77$$

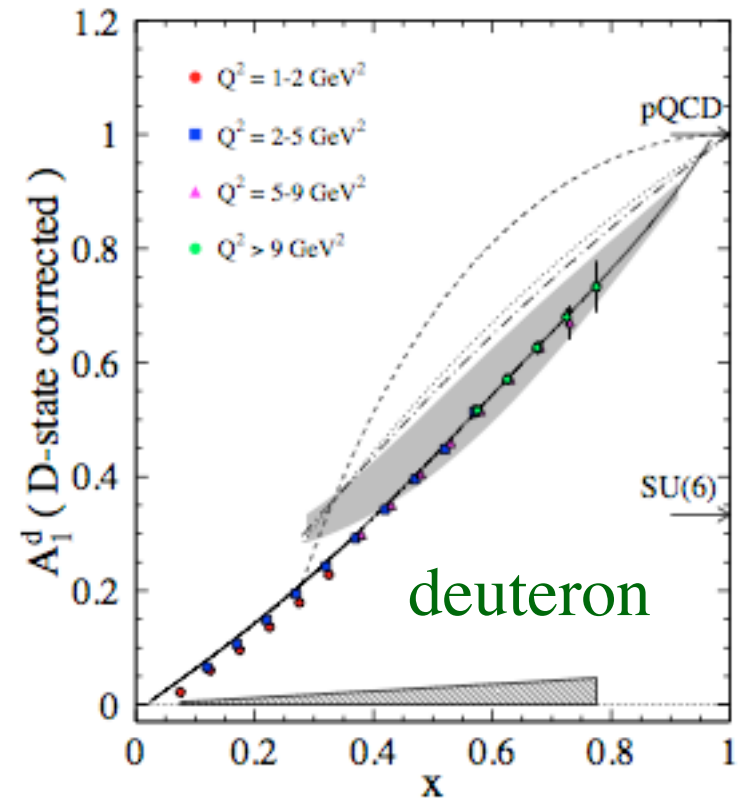
$$2.8 \lesssim Q^2 \lesssim 10.5 \text{ GeV}^2$$

Flagship 12 GeV measurement!

- Comprehensive program of *inclusive* and *semi-inclusive* measurements with CLAS12



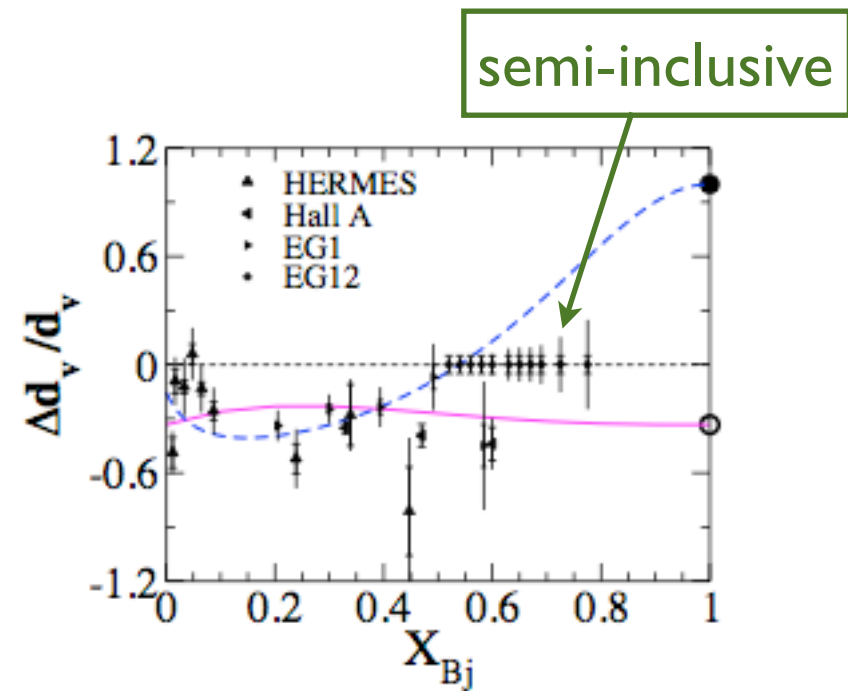
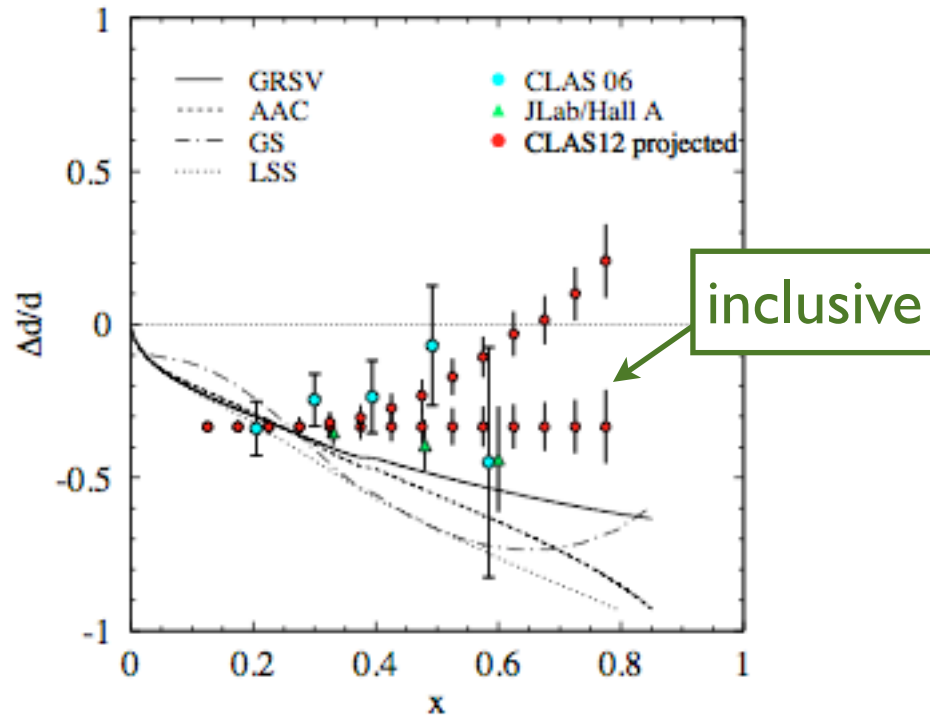
$x \leq 0.78$



- Reconstruct large- x $\Delta u/u$ & $\Delta d/d$ from any *two* of A_1^p , A_1^d , $A_1^{3\text{He}}$ (and d/u ratio!)

E12-06-109 (Hall B)

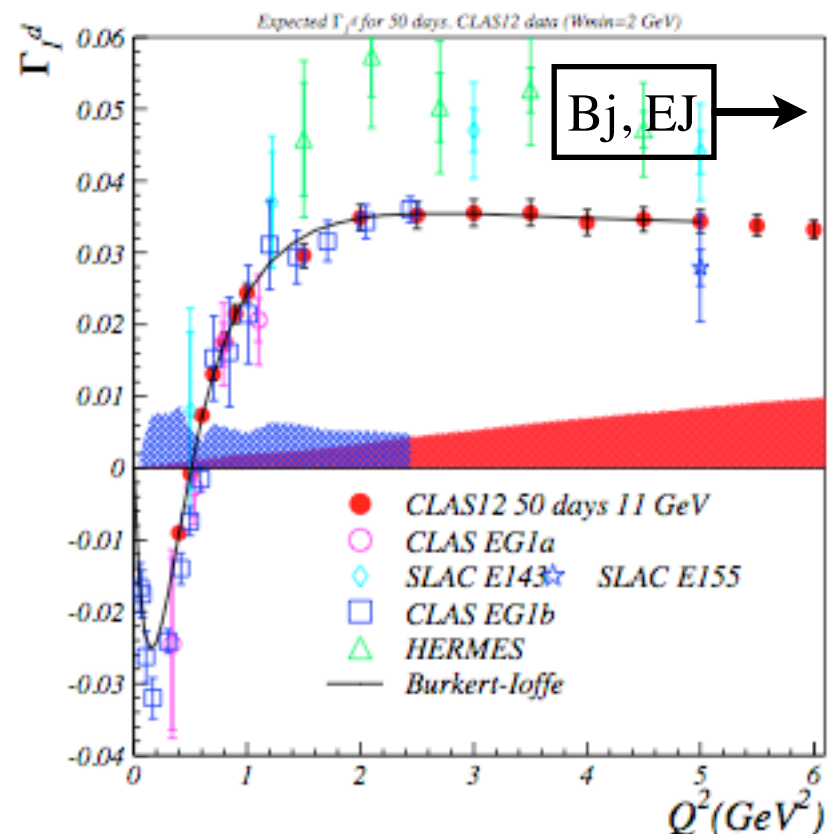
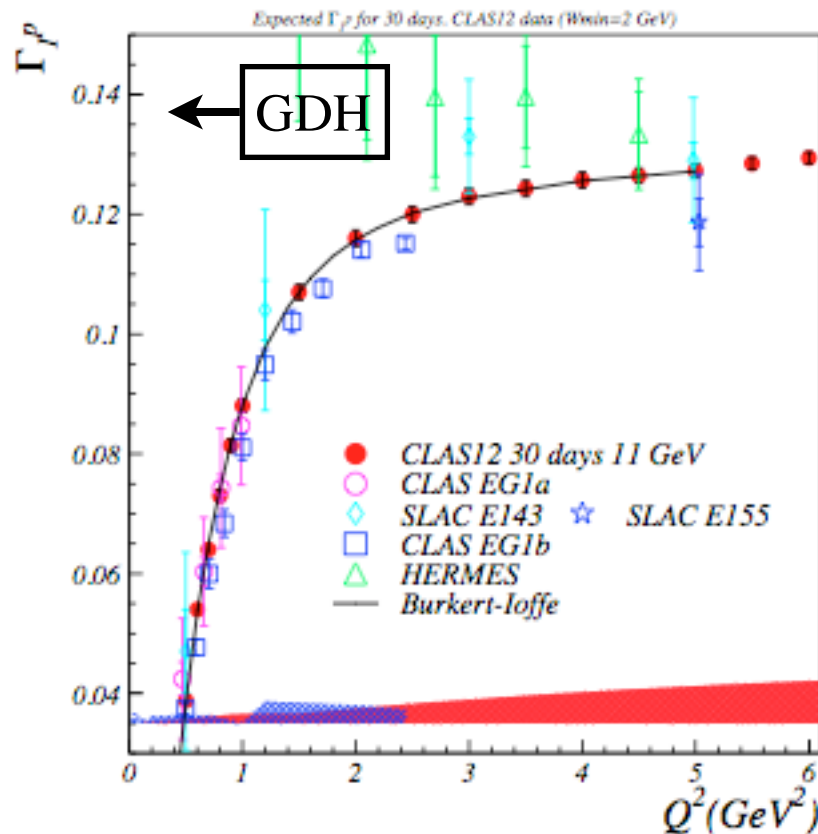
- Comprehensive program of *inclusive* and *semi-inclusive* measurements with CLAS12



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E12-06-109 (Hall B)

- Comprehensive program of *inclusive* and *semi-inclusive* measurements with CLAS12
 - integrals over x allow direct comparison with *lattice* QCD
 - Q^2 dependence allows extraction of (leading & higher twist) *matrix elements*



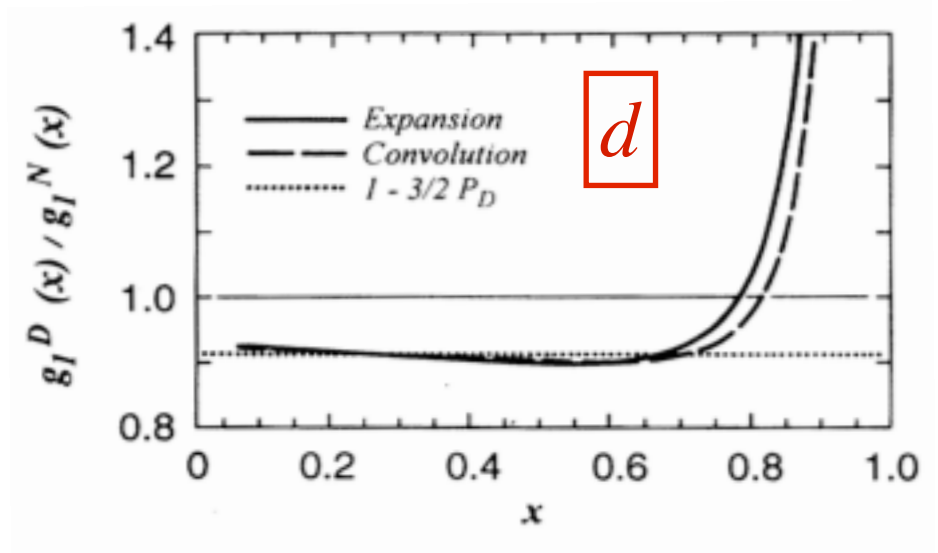
Spin structure at large x – nuclear effects

- Extracting neutron information from ^3He or d data requires subtraction of nuclear corrections
- Usual prescription accounts for *effective polarizations* of bound nucleons, assuming x & Q^2 independent effects

$$g_1^A = \langle \sigma_z \rangle^p g_1^p + \langle \sigma_z \rangle^n g_1^n$$

→ reasonable approximation for $x \lesssim 0.65$

→ breaks down for $x \gtrsim 0.7$



WM, Piller, Kulagin, Thomas, Weise (1995)

Spin structure at large x – nuclear effects

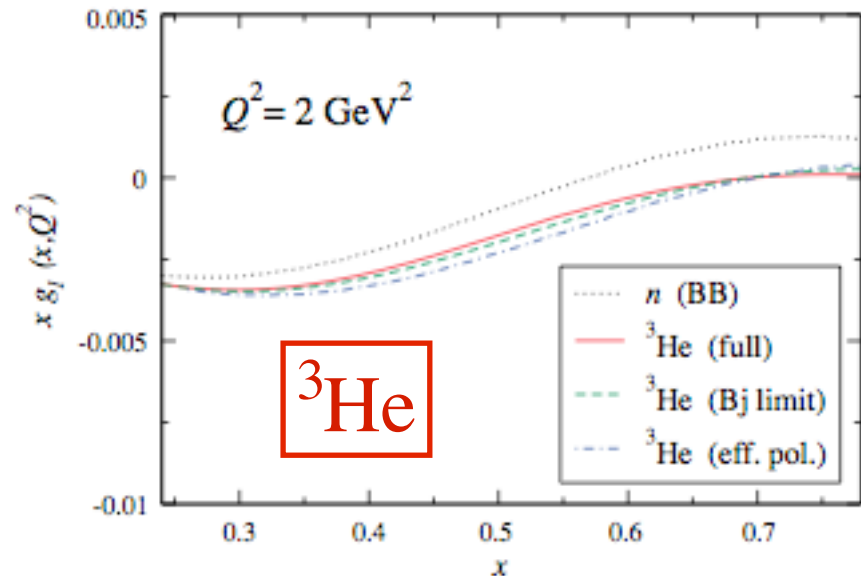
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Kulagin, WM, PRC 78, 065203 (2008)

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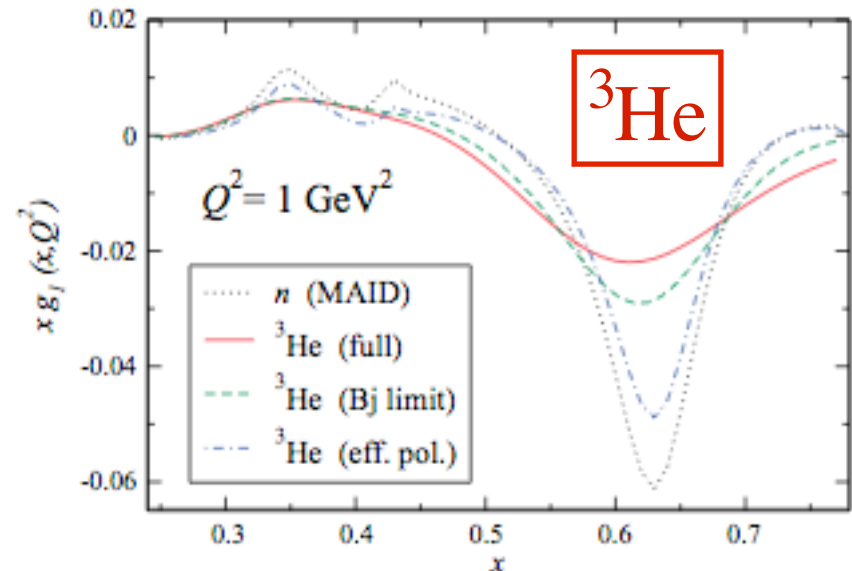
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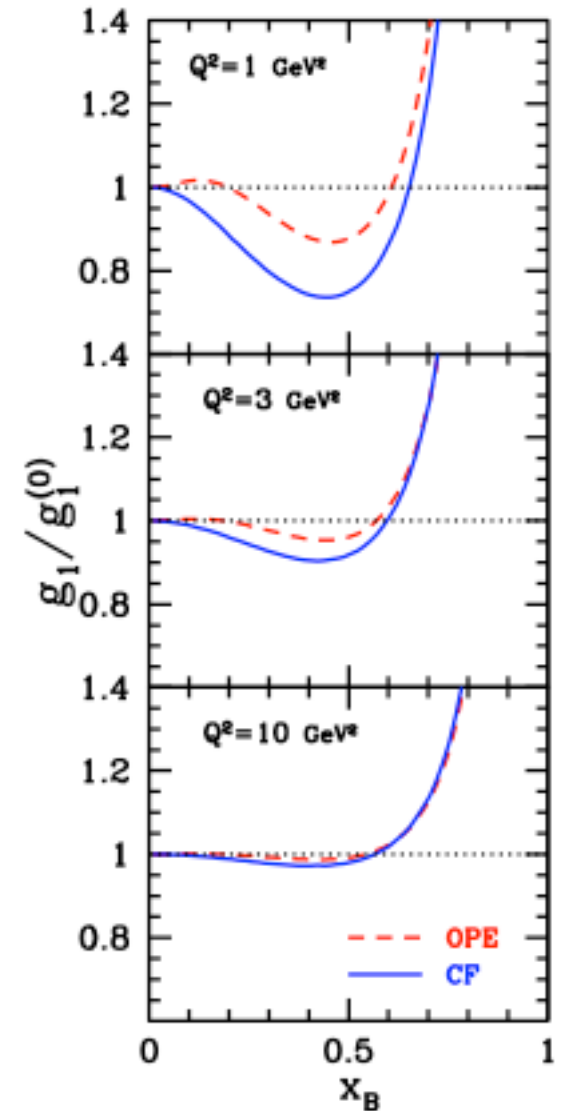
→ especially egregious in *resonance region*



Kulagin, WM, PRC 78, 065203 (2008)

Spin structure at large x – finite Q^2

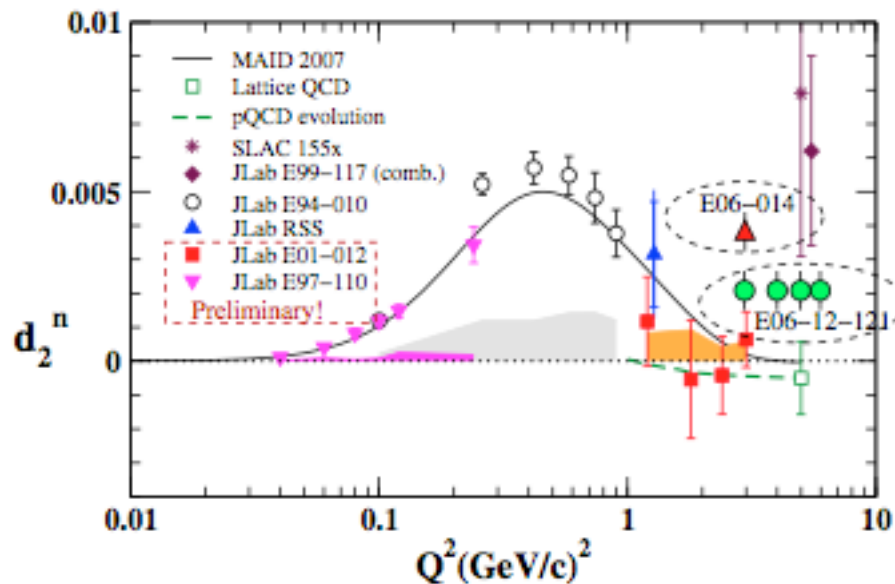
- Limited Q^2 requires careful treatment of $1/Q^2$ corrections
 - prescription dependence of TMCs
 - expect cancellation with dynamical HTs for stable LTs (*cf.* CTEQX)



Accardi, WM,
PLB **670**, 114 (2008)

Spin structure at large x – finite Q^2

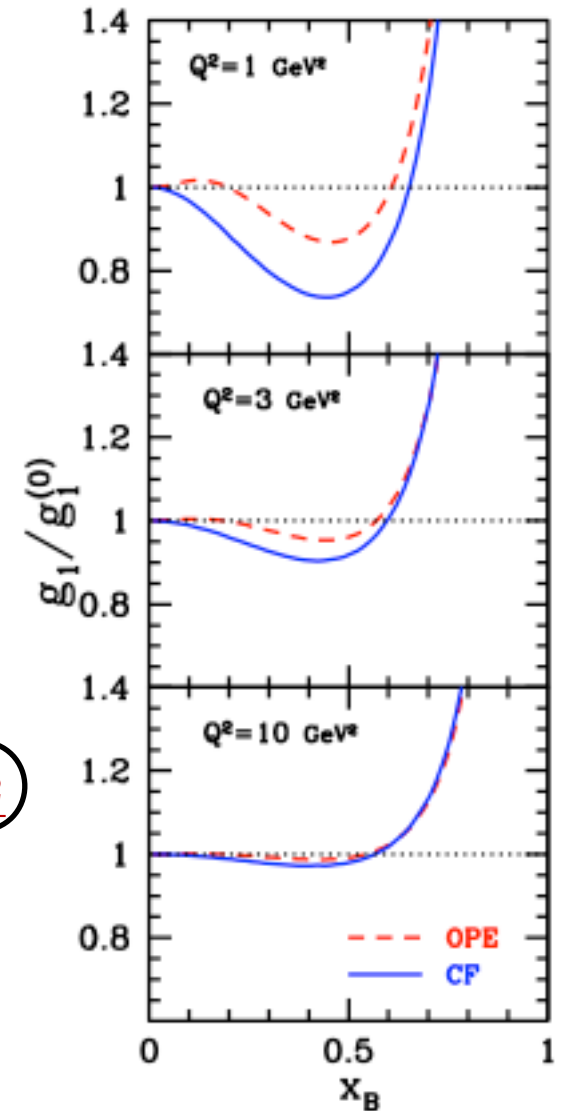
- Limited Q^2 requires careful treatment of $1/Q^2$ corrections
 - prescription dependence of TMCs
 - expect cancellation with dynamical HTs for stable LTs (*cf.* CTEQX)
- Impact on extraction of higher twist matrix elements (*e.g.* color polarizabilities, E12-06-121) needs to be assessed



$$\frac{\Gamma_1^{\text{HT}}}{Q^2} \propto \frac{a_2^{\text{TMC}} + d_2 + \underbrace{f_2}_{\text{twist-4}}}{Q^2}$$

$$\chi_E = \frac{2}{3}(2d_2 + f_2)$$

$$\chi_B = \frac{1}{3}(4d_2 - f_2)$$



Accardi, WM,
PLB 670, 114 (2008)

Summary

- Measurement of structure functions at large x at 12 GeV will resolve long-standing questions about $x \rightarrow 1$ behavior of PDFs
 - dramatic behavior for $x \gtrsim 0.6$ best revealed with *highest possible x*
- Need largest Q^2 range possible to constrain subleading $1/Q^2$ corrections
 - prescription dependence of TMCs needs to be better understood for unambiguous HT matrix element extraction
- Era of using effective polarization *ansatz* for nuclear corrections should end with end of 6 GeV program
 - both in the *resonance & DIS* regions

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- Era of using effective polarization *ansatz* for nuclear corrections should end with end of 6 GeV program
 - both in the *resonance & DIS* regions
- Ongoing interest in & support for high x physics



The End