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## Quark Structure of the Nucleon

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## Outline

#### Lecture 1

- QCD and the strong nuclear force
- Electron-nucleon scattering
- Quark distributions in the nucleon
  - $\rightarrow$  valence quarks at large x
  - $\rightarrow$  nuclear effects on quark structure

## Outline

#### Lecture 2

- Quark-hadron duality
- "Bloom-Gilman" duality in structure functions
- Duality in QCD
- Resonances & local quark-hadron duality
  - $\rightarrow$  "truncated" moments in QCD
- Duality in the neutron
  - $\rightarrow$  extraction of neutron resonance structure from nuclear data

## Outline

#### Lecture 3

- Elastic *ep* scattering
- Two-photon exchange
  - $\rightarrow$  Rosenbluth separation vs. polarization transfer
- Global analysis of form factors
- Parity-violating electron scattering
  - $\rightarrow$  strangeness in the proton
  - → constraints on "new" physics

# QCD and the strong nuclear force

#### **Building Blocks of the Universe**

#### **FERMIONS** matter constituents spin = 1/2, 3/2, 5/2, ...

Leptons spin = 1/2			Quarks spin = 1/2			
lavor	Mass GeV/c <sup>2</sup>	Electric charge	Flavor	Approx. Mass GeV/c <sup>2</sup>	Electric charge	
e electron neutrino	<1×10 <sup>-8</sup>	0	<b>U</b> up	0.003	2/3	
electron	0.000511	-1	d down	0.006	-1/3	
$\mu_{\rm neutrino}^{\rm muon}$	< 0.0002	0	<b>C</b> charm	1.3	2/3	
u muon	0.106	-1	S strange	0.1	-1/3	
, tau $ au$ neutrino	<0.02	0	t top	175	2/3	
T tau	1.7771	-1	<b>b</b> bottom	4.3	-1/3	

- Each quark comes in 3 "colours": red, green and blue.
- Leptons do not carry color charge.



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#### **Force Carriers of the Universe**

<b>BOSONS</b> force carriers spin = 0, 1, 2,						
<b>Unified Electroweak</b> spin = 1			Strong (color) spin = 1			
Name	Mass GeV/c <sup>2</sup>	Electric charge	Name	Mass GeV/c <sup>2</sup>	Electric charge	
γ photon	0	0	<b>g</b> gluon	0	0	
W-	80.4	-1				
W+	80.4	+1				
Z <sup>0</sup>	91.187	0				

- The massless photon mediates the long-range e.m. interactions.
- Gluons carry **color** and mediate the strong interaction.
- The very massive W<sup>-</sup>, W<sup>+</sup>, and Z<sup>0</sup> bosons mediate the weak interaction



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## Quantum Chromodynamics (QCD)

- Photons do not carry electric charge.
- Gluons do carry colour charge!
- Gluons can directly interact with other gluons!
- This is new!

A red quark emitting a red anti-blue gluon to leave a blue quark.









 $\rightarrow$  calculate observables using perturbation theory as power series in small expansion parameter  $\alpha_s$ 



BUT - only part of the story... at low energy  $\longrightarrow \underline{confinement}$  !



BUT - only part of the story... at low energy  $\rightarrow confinement$  !

 $\implies \alpha_s \sim 1$  so cannot use perturbative expansion



BUT - only part of the story... at low energy  $\longrightarrow confinement$  !

→  $\alpha_s \sim 1$  so cannot use perturbative expansion → here QCD said to be "<u>nonperturbative</u>"

#### **QCD: Unsolved in Nonperturbative Regime**

• 2004 Nobel Prize awarded for "asymptotic freedom"



- BUT in nonperturbative regime QCD is still unsolved
- One of the top 10 challenges for physics!
- Is it right/complete?
- Do glueballs, exotics and other apparent predictions

of QCD in this regime agree with experiment?

central to answering these questions is the need to understand <u>how quarks form hadrons</u> Looking for quarks in the nucleon is like looking for the Mafia in Sicily everybody *knows* they're there, but it's hard to find the evidence!











#### collide hadrons







#### collide hadrons



#### probe with leptons









#### collide hadrons



#### probe with leptons







### Electron scattering

#### **Electron Scattering Provides an Ideal Microscope for Nuclear Physics**



U.S. DEPARTMEN

- Electrons are point-like
- The interaction (QED) is well-known
- The interaction is weak

Electron scattering (at Jefferson Lab)



#### located in Newport News, Virginia



#### located in Newport News, Virginia



#### located in Newport News, Virginia

### Newport News, Virginia













#### Hall A



#### Hall B



Hall C



#### Hall D



#### Hall A



• high luminosity  $> 10^{38} \text{ cm}^{-2} \text{ s}^{-1}$ 

- very high precision measurements
- high  $Q^2$  form factors, parity-violating *e* scattering, precision structure functions





- large acceptance lower luminosity  $\sim 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$
- collect all data "at once"

Hall B



CLAS (<u>CEBAF Large Acceptance Spectrometer</u>)

 N\* spectroscopy (multi-hadron final states), deep exclusive reactions (generalized parton distributions)

new Hall to be constructed as part of 12 GeV Upgrade

•  $4\pi$  acceptance

- photon beam
- exotic meson spectroscopy  $(q\overline{q}g \text{ states})$





#### JLab Central to all of Nuclear Science




# Electron scattering (theory)

#### Electron scattering

Inclusive cross section for  $eN \to eX$ 



 $\begin{array}{c} {\rm most\ likely\ event}\\ {\rm at\ high\ energy} \end{array}$ 

#### one-photon exchange approximation

#### Electron scattering



$$Q^{2} = \vec{q}^{2} - \nu^{2} = 4EE' \sin^{2} \frac{\theta}{2} \quad \mathbf{f} \quad x = \frac{Q}{2M\nu} \quad \text{"Bjorken scaling variable"}$$

 $F_1$ ,  $F_2$  "structure functions"

- $\longrightarrow$  functions of  $x, Q^2$  in general



<mark>/(</mark>6) scatter from individual quarks ("partons") in hadron  $+ \cdot \cdot \frac{A_{n}^{(6)}}{F_2}(x, Q^2) = x \sum e_q^2 q(x, Q^2) \qquad (q = u, d, s...)$ cattering  $\mathcal{I}\mathcal{Q}$  $g \xrightarrow{f} q(x,Q^2) = \text{probability to find quark type "q" in nucleon, carrying (light-cone) momentum fraction x$  $\frac{\sqrt{2}}{\sqrt{2}} \frac{\sqrt{2}}{\sqrt{2}} \frac{\sqrt{2}}{\sqrt{2}}$ 



#### Structure function data



Lai et al., Eur. Phys. J. C12 (2000) 375

Nucleon polarized along *z*-axis

 $\rightarrow$  electron spin *parallel* or *anti-parallel* to nucleon spin

Usually measure polarization asymmetry 
$$A_1 = \frac{g_1}{F_1}$$

Parton model

$$g_1(x,Q^2) = \frac{1}{2} \sum_q e_q^2 \Delta q(x,Q^2)$$

$$\rightarrow \Delta q = q^{\uparrow\uparrow} - q^{\downarrow\uparrow}$$
probability to find quark "q" with spin
aligned vs. antialigned with nucleon spin

 $\rightarrow$  gives total spin of nucleon carried by quarks

$$\Delta \Sigma = \Delta u + \Delta d + \Delta s$$

**Spin sum rule** 

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_q + L_g$$

Spin sum rule



Spin sum rule



- $\rightarrow$  naive (nonrelativistic) expectation:  $\Delta\Sigma~\sim~1$
- $\longrightarrow$  early experiments:  $\Delta\Sigma~\sim~0$  "proton spin crisis"
- $\rightarrow$  latest data:  $\Delta\Sigma$   $\sim$  0.3 (RGI scheme)
- $\rightarrow$  where is the proton spin?



# STAR (RHIC) data on $\vec{p} \, \vec{p} \rightarrow \text{jets}$ $\Delta g \approx 0$

"statistically consistent with zero"



HERMES proton + JLab neutron data on deeply virtual Compton scattering

 $J_u \sim 0.4 \pm 0.2$  $J_d \sim 0.1 \pm 0.2$ 

"model-dependent extraction"

#### Parton distributions functions

- PDFs extracted in global analyses of structure function data from electron, muon & neutrino scattering (also from Drell-Yan & W-boson production in hadronic collisions)
  - $\rightarrow$  determined over large range of x and  $Q^2$
- provide basic information on structure of QCD bound states
- needed to understand backgrounds in searches for physics beyond the Standard Model in high-energy colliders
  - $\rightarrow$  e.g. neutrino oscillations

#### recent parameterization





p

Quark distributions valence quarks

- Most direct connection between quark distributions and models of the nucleon is through *valence* quarks
- Nucleon structure at intermediate & large x dominated by valence quarks





At large x, valence u and d distributions extracted from p and n structure functions

$$F_2^p \approx \frac{4}{9}u_v + \frac{1}{9}d_v$$
$$F_2^n \approx \frac{4}{9}d_v + \frac{1}{9}u_v$$

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$$F_2^n \approx \frac{4}{9}d_v + \frac{1}{9}u_v$$

- $\blacksquare$  *u* quark distribution well determined from *p*
- $\blacksquare$  d quark distribution requires *n* structure function

$$\qquad \qquad \ \bullet \qquad \ \frac{d}{u} \approx \frac{4 - F_2^n / F_2^p}{4F_2^n / F_2^p - 1}$$

v(0)  $\tau \equiv dRation$  of d to u quark distributions particularly dere wisensitive to quark dynamics in nucleon d <u>SU(6) spin-flavor symmetry</u> \_\_\_''twist'' proton wave function 6)  $p^{\uparrow} = -\frac{1}{3}d^{\uparrow}(uu)_1 - \frac{\sqrt{2}}{3}d^{\downarrow}(uu)_1$  $\stackrel{p}{\xrightarrow{4}} \stackrel{-}{\xrightarrow{4}} \frac{3}{4} \stackrel{-}{\xrightarrow{4}} \frac{3}{6} u^{\uparrow} (ud)_{1} - \frac{1}{3} u^{\downarrow} (ud)_{1} + \frac{1}{\sqrt{2}} u^{\uparrow} (ud)_{0}$ diquark spin interacting quark spectator diquark

- Ratio of d to u quark distributions particularly sensitive to quark dynamics in nucleon
- <u>SU(6) spin-flavor symmetry</u>

proton wave function

$$p^{\uparrow} = -\frac{1}{3}d^{\uparrow}(uu)_{1} - \frac{\sqrt{2}}{3}d^{\downarrow}(uu)_{1} + \frac{\sqrt{2}}{6}u^{\uparrow}(ud)_{1} - \frac{1}{3}u^{\downarrow}(ud)_{1} + \frac{1}{\sqrt{2}}u^{\uparrow}(ud)_{0}$$

X

$$\longrightarrow \ u(x) = 2 \ d(x) \text{ for all}$$

$$\longrightarrow \ \frac{F_2^n}{F_2^p} = \frac{2}{3}$$

#### <u>scalar diquark dominance</u>

 $M_{\Delta} > M_N \implies (qq)_1$  has larger energy than  $(qq)_0$ 

 $\implies$  scalar diquark dominant in  $x \rightarrow 1$  limit

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since only u quarks couple to scalar diquarks

$$\longrightarrow \quad \frac{d}{u} \rightarrow 0$$

$$\longrightarrow \quad \frac{F_2^n}{F_2^p} \rightarrow \frac{1}{4}$$

Feynman 1972, Close 1973, Close/Thomas 1988

hard gluon exchange

at large x, helicity of struck quark = helicity of hadron



#### hard gluon exchange

at large x, helicity of struck quark = helicity of hadron



 $\implies$  helicity-zero diquark dominant in  $x \rightarrow 1$  limit

$$\begin{array}{ccc} \longrightarrow & \frac{d}{u} \rightarrow & \frac{1}{5} \\ \longrightarrow & \frac{F_2^n}{F_2^p} \rightarrow & \frac{3}{7} \end{array} \end{array}$$

Farrar, Jackson 1975

### Polarized valence quarks

■ SU(6) symmetry

$$A_{1}^{p} = \frac{5}{9} , \quad A_{1}^{n} = 0$$
$$\frac{\Delta u}{u} = \frac{2}{3} , \quad \frac{\Delta d}{d} = -\frac{1}{3}$$

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hard gluonexchange

$$\begin{aligned} A_1^p &\to 1 \ , \quad A_1^n \to 1 \\ \frac{\Delta u}{u} &\to 1 \ , \quad \frac{\Delta d}{d} \to 1 \end{aligned}$$

No <u>FREE</u> neutron targets (neutron half-life ~ 12 mins)

→ use deuteron as "effective" neutron target

**<u>BUT</u>** deuteron is a nucleus, and  $F_2^d \neq F_2^p + F_2^n$ 

nuclear effects (nuclear binding, Fermi motion, shadowing)
<u>obscure neutron structure</u> information



Quark distributions nuclear effects

#### Nuclear "EMC effect"

 $F_2^A(x,Q^2) \neq AF_2^N(x,Q^2)$ 



#### Nuclear "EMC effect"



Nuclear "EMC effect"



#### EMC effect in deuteron



Nuclear "impulse approximation"

incoherent scattering from individual nucleons in deuteron

#### EMC effect in deuteron



Nuclear "impulse approximation"

incoherent scattering from individual nucleons in deuteron

$$F_2^d(x) = \int dy \ f_{N/d}(y) \ F_2^N(x/y) \ + \ \delta^{(\text{off})}F_2^d(x)$$

nucleon momentum distribution

off-shell correction
Nucleon momentum distribution in deuteron

→ relativistic *dNN* vertex function

$$f_{N/d}(y) = \frac{1}{4} M_d y \int_{-\infty}^{p_{\text{max}}^2} dp^2 \frac{E_p}{p_0} \left| \Psi_d(\vec{p}^2) \right|^2$$

Nucleon momentum distribution in deuteron

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momentum fraction of deuteron  
carried by nucleon

Nucleon momentum distribution in deuteron

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Wave function dependence only at large |y-1/2|

→ sensitive to large-*p* components of wave function

Nucleon momentum distribution in deuteron

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Nucleon off-shell correction

 $\delta^{(\text{off})}F_2^d$ 

Nucleon momentum distribution in deuteron

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#### Nucleon off-shell correction

$$\delta^{(\mathrm{off})}F_2^d \longrightarrow \delta^{(\Psi)}F_2^d$$

negative energy components of d wave function

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#### Nucleon off-shell correction

$$\delta^{(\text{off})} F_2^d \longrightarrow \delta^{(\Psi)} F_2^d \quad \begin{array}{c} \text{negative energy components} \\ \text{of } d \text{ wave function} \end{array}$$

$$\longrightarrow \delta^{(p^2)} F_2^d \quad \text{off-shell } N \text{ structure function}$$

### **Off-shell** correction



 $\rightarrow$   $\leq 1-2$  % effect

*WM*, *Schreiber*, *Thomas*, *Phys. Lett. B* 335 (1994) 11



Iarger EMC effect (smaller d/N ratio) with off-shell + binding corrections

#### Neutron to proton ratio



 $\rightarrow$   $F_2^n$  underestimated at large x !





large uncertainty from nuclear effects in deuteron (range of nuclear models) beyond  $x \sim 0.5$ 

# "Cleaner" methods of determining d/u

$$e^{\mp} p \to \nu(\bar{\nu}) X$$
  

$$\nu(\bar{\nu}) p \to l^{\mp} X$$
  

$$p p(\bar{p}) \to W^{\pm} X$$
  

$$\vec{e}_L(\vec{e}_R) p \to e X$$

weak current as flavor probe

→ difficult to get high rates/luminosities

$$e \ p \to e \ \pi^{\pm} \ X$$

 $e^{3}\mathrm{He}(^{3}\mathrm{H}) \rightarrow e^{X}$ 

 $e \ d \to e \ p_{\text{spec}} \ X$ 

need  $z \sim 1$ , factorization

<sup>3</sup>He-tritium mirror nuclei

semi-inclusive DIS from d $\rightarrow$  tag "spectator" protons

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semi-inclusive DIS from d $\rightarrow$  tag "spectator" protons <sup>3</sup>He-<sup>3</sup>H mirror

**EMC** ratios for A=3 mirror nuclei

$$R(^{3}\text{He}) = \frac{F_{2}^{^{3}\text{He}}}{2F_{2}^{p} + F_{2}^{n}}$$
$$R(^{3}\text{H}) = \frac{F_{2}^{^{3}\text{H}}}{F_{2}^{p} + 2F_{2}^{n}}$$

**Extract** n/p ratio from measured <sup>3</sup>He-<sup>3</sup>H ratio

$$\frac{F_2^n}{F_2^p} = \frac{2\mathcal{R} - F_2^{^3\mathrm{He}}/F_2^{^3\mathrm{H}}}{2F_2^{^3\mathrm{He}}/F_2^{^3\mathrm{H}} - \mathcal{R}} \qquad \qquad \mathcal{R} = \frac{R(^3\mathrm{He})}{R(^3\mathrm{H})}$$





 $\rightarrow$  nuclear effects cancel to < 1% level

# <sup>3</sup>He-<sup>3</sup>H mirror



Spectator proton tagging  $_{V-Q^2}$ 

Spectator proton tagging  $M_{\nu} - Q^2$ 



Spectator proton tagging  $M_{\nu} - Q^2$ 



Spectator proton tagging  $M_{\nu} - Q^2$ 















- Electron scattering
  - → clean probe of quark structure of nucleon
  - → new era of experiments with unprecedented precision
- Valence quarks at large x
  - $\rightarrow$  d quark properties unknown at large x
  - nuclear corrections in deuteron important (deuteron <u>is</u> a nucleus!)
  - → long-standing puzzles about  $x \to 1$  behavior of valence quarks will soon be solved!