

Mysteries of nucleon structure at large x

Wally Melnitchouk

 **Jefferson Lab**



Outline

- Open questions at large x
- Valence quarks in parity-violating DIS
 - finite- Q^2 corrections
 - Xiaochao Zheng's talk
- Target mass corrections
- Resonances & quark-hadron duality
 - truncated moments
- Extraction of neutron structure from nuclear data
 - new method for unpolarized & *polarized* SFs
- Summary

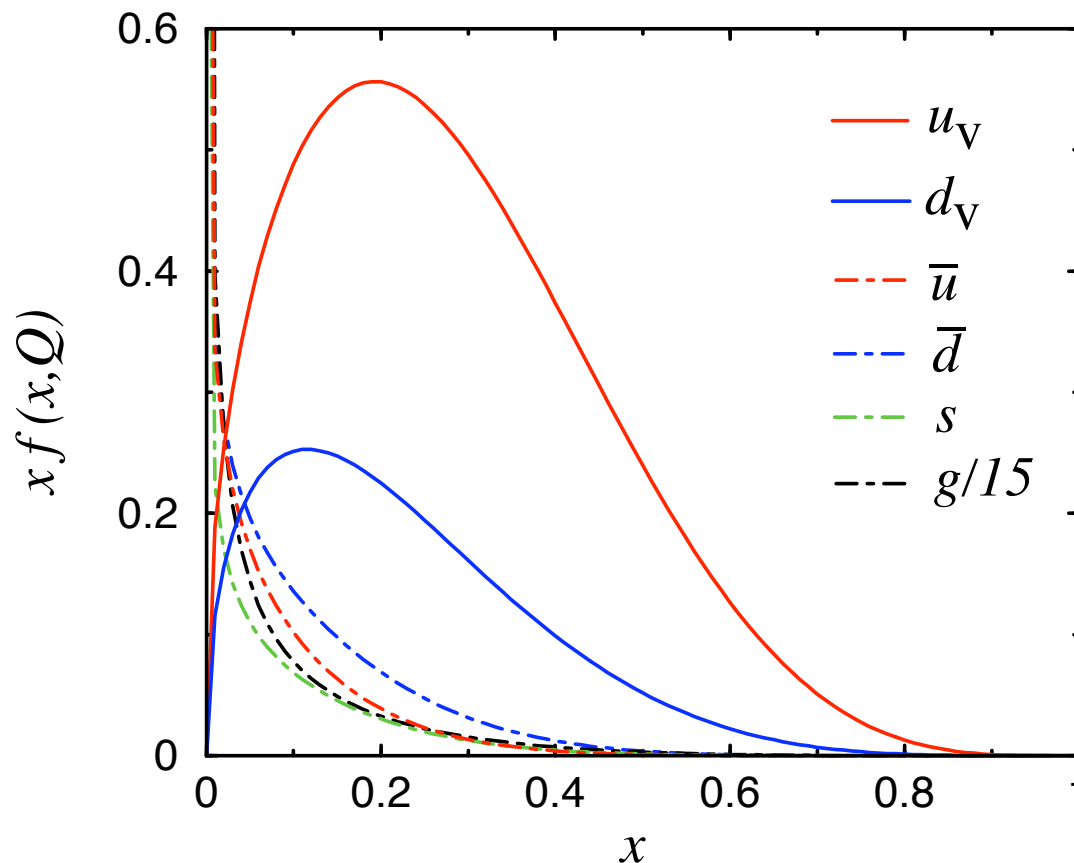
Open questions

- What is the structure of valence quarks at large x ?
 - how does d/u ratio behave as $x \rightarrow 1$
 - how is spin distributed amongst valence quarks
- To what extent are low Q^2 data dominated by leading twist?
 - can JLab data be used to constrain global PDFs
(joint analysis with CTEQ under way)
- How large are higher twists?
 - how to quantify duality violation
- Can we reliably extract neutron structure functions from nuclei?
 - can we recover neutron resonance structure?

Valence quarks

Valence quarks

- Most direct connection between quark distributions and models of the nucleon is through *valence* quarks
- Nucleon structure at intermediate & large x dominated by valence quarks

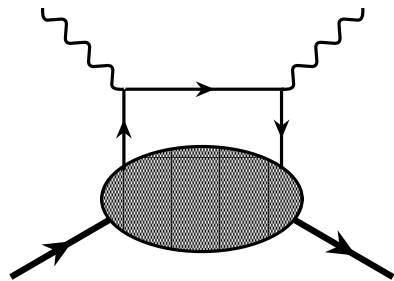


Valence quarks

- Ratio of d to u quark distributions particularly sensitive to quark dynamics in nucleon
- SU(6) spin-flavour symmetry

proton wave function

$$\begin{aligned}
 p^\uparrow = & -\frac{1}{3}d^\uparrow(uu)_1 - \frac{\sqrt{2}}{3}d^\downarrow(uu)_1 \\
 & + \frac{\sqrt{2}}{6}u^\uparrow(ud)_1 - \frac{1}{3}u^\downarrow(ud)_1 + \frac{1}{\sqrt{2}}u^\uparrow(ud)_0
 \end{aligned}$$



interacting
quark

spectator
diquark

diquark spin

Valence quarks

- Ratio of d to u quark distributions particularly sensitive to quark dynamics in nucleon
- SU(6) spin-flavour symmetry

proton wave function

$$p^\uparrow = -\frac{1}{3}d^\uparrow(uu)_1 - \frac{\sqrt{2}}{3}d^\downarrow(uu)_1 \\ + \frac{\sqrt{2}}{6}u^\uparrow(ud)_1 - \frac{1}{3}u^\downarrow(ud)_1 + \frac{1}{\sqrt{2}}u^\uparrow(ud)_0$$

$$\longrightarrow u(x) = 2 d(x) \text{ for all } x$$

$$\longrightarrow \frac{F_2^n}{F_2^p} = \frac{2}{3}$$

Valence quarks

■ scalar diquark dominance

$M_{\Delta} > M_N \implies (qq)_1$ has larger energy than $(qq)_0$

\implies scalar diquark dominant in $x \rightarrow 1$ limit

since only u quarks couple to scalar diquarks

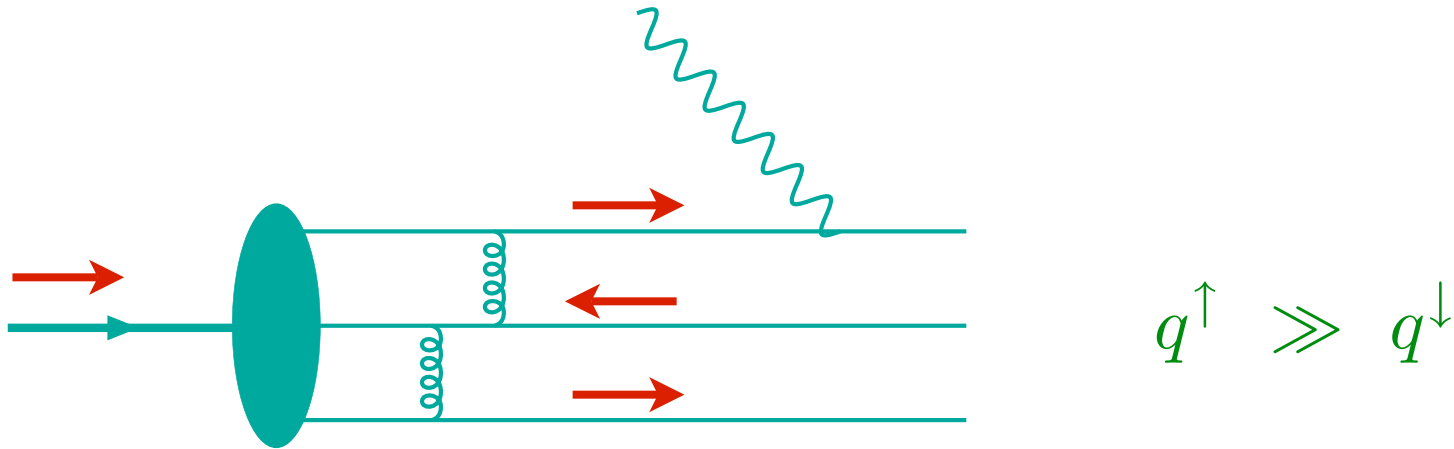
$$\longrightarrow \frac{d}{u} \rightarrow 0$$

$$\longrightarrow \frac{F_2^n}{F_2^p} \rightarrow \frac{1}{4}$$

Valence quarks

■ hard gluon exchange

at large x , helicity of struck quark = helicity of hadron



\implies helicity-zero diquark dominant in $x \rightarrow 1$ limit

$$\longrightarrow \frac{d}{u} \rightarrow \frac{1}{5}$$

$$\longrightarrow \frac{F_2^n}{F_2^p} \rightarrow \frac{3}{7}$$

Quark polarization at large x

SU(6) symmetry

$$A_1^p = \frac{5}{9}, \quad A_1^n = 0$$

$$\frac{\Delta u}{u} = \frac{2}{3}, \quad \frac{\Delta d}{d} = -\frac{1}{3}$$

scalar diquark
dominance

$$A_1^p \rightarrow 1, \quad A_1^n \rightarrow 1$$

$$\frac{\Delta u}{u} \rightarrow 1, \quad \frac{\Delta d}{d} \rightarrow -\frac{1}{3}$$

pQCD (helicity
conservation)

$$A_1^p \rightarrow 1, \quad A_1^n \rightarrow 1$$

$$\frac{\Delta u}{u} \rightarrow 1, \quad \frac{\Delta d}{d} \rightarrow 1$$

Valence quarks

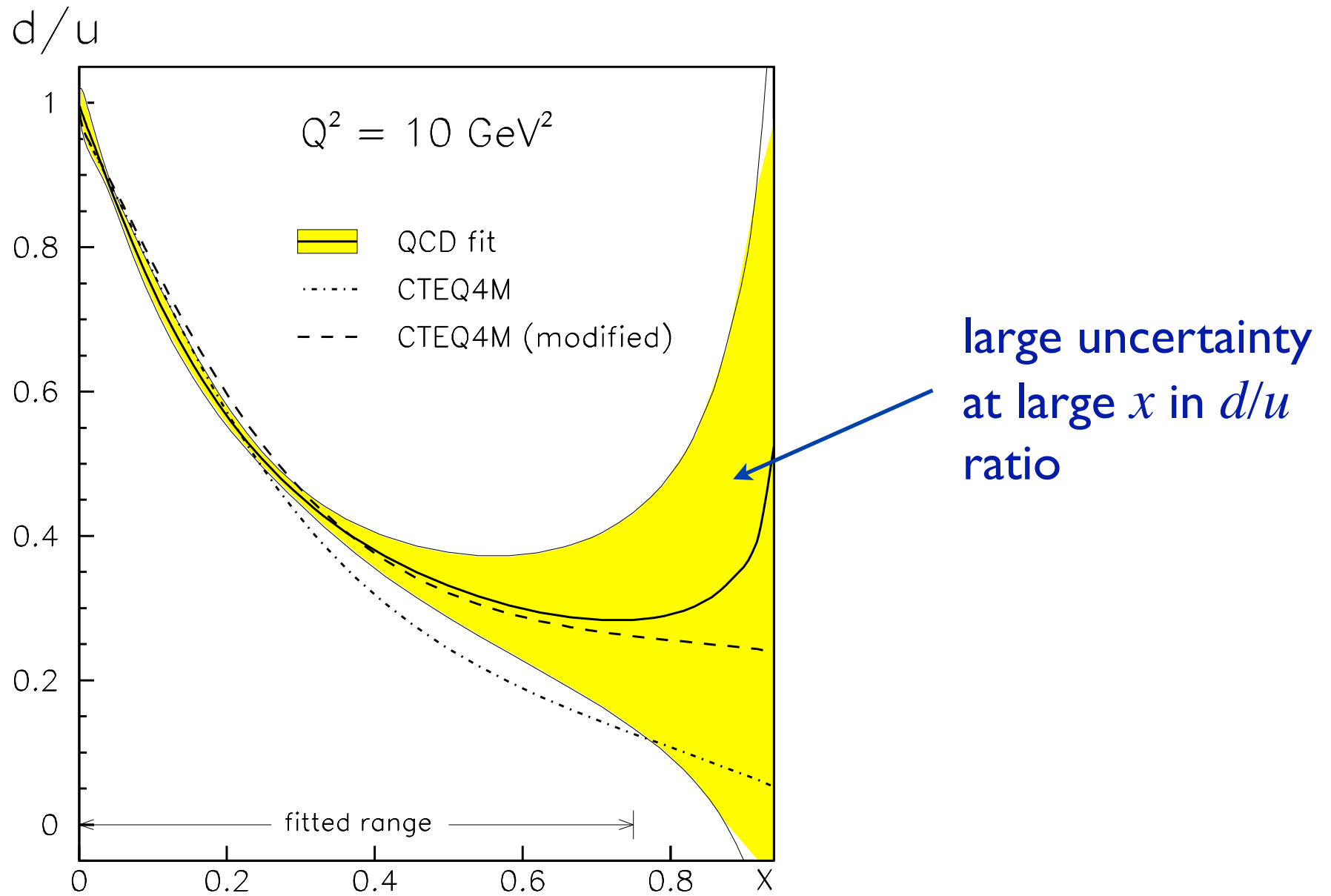
- At large x , valence u and d distributions extracted from p and n structure functions

$$F_2^p \approx \frac{4}{9}u_v + \frac{1}{9}d_v$$

$$F_2^n \approx \frac{4}{9}d_v + \frac{1}{9}u_v$$

- u quark distribution well determined from p
- d quark distribution requires n structure function

$$\rightarrow \frac{d}{u} \approx \frac{4 - F_2^n / F_2^p}{4F_2^n / F_2^p - 1}$$



Nuclear effects

- no free neutron targets

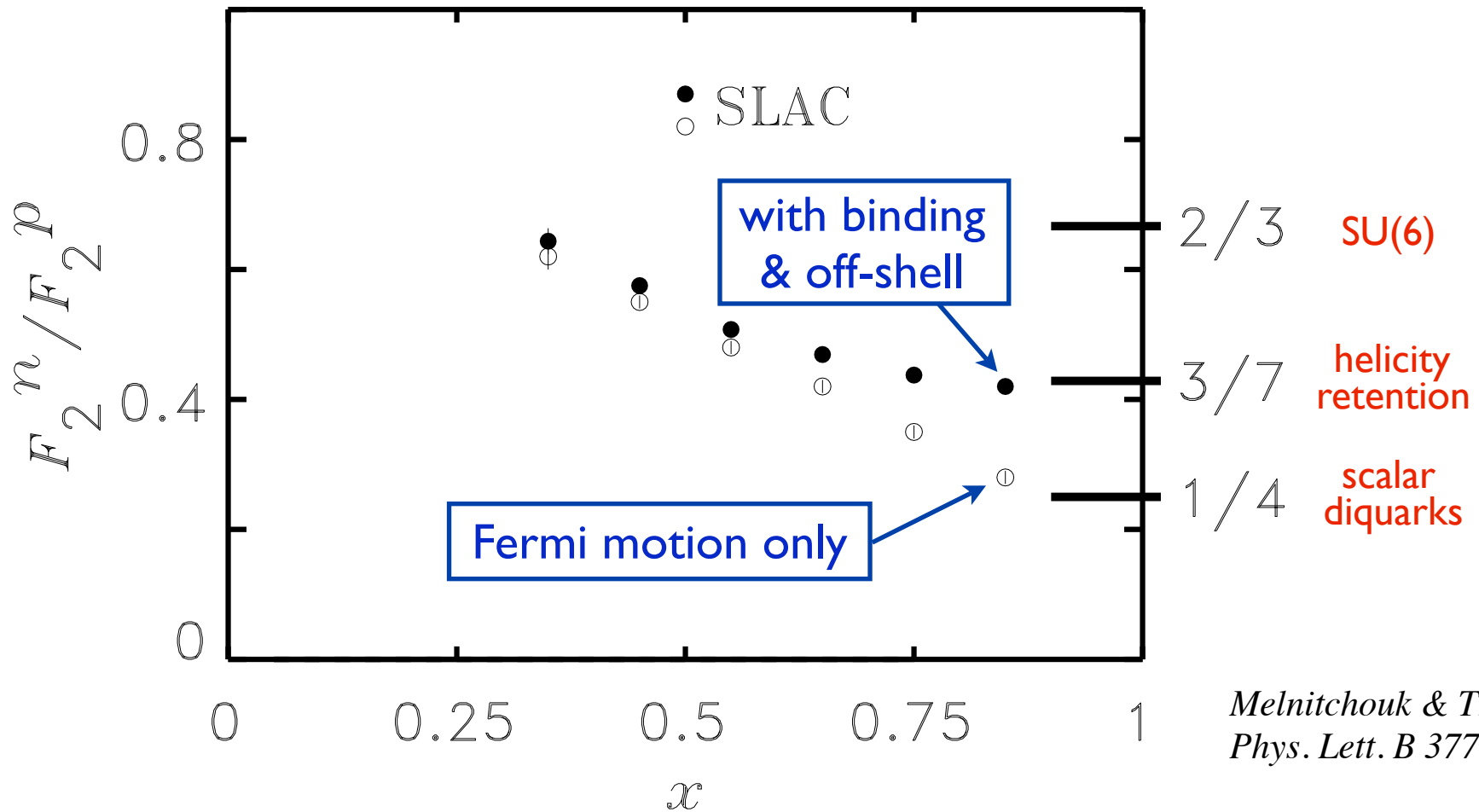
(neutron half-life ~ 12 mins)

→ use deuteron as “effective” neutron target

- **BUT** deuteron is a nucleus, and $F_2^d \neq F_2^p + F_2^n$

→ nuclear effects (nuclear binding, Fermi motion, shadowing)
obscure neutron structure information

→ “nuclear EMC effect”



→ without EMC effect in d
 F_2^n underestimated at large x !

“Cleaner” methods of determining d/u

- $e d \rightarrow e p_{\text{spec}} X$

“BONUS”

- $e {}^3\text{He}({}^3\text{H}) \rightarrow e X$

mirror-symmetric nuclei

- $e p \rightarrow e \pi^{\pm} X$

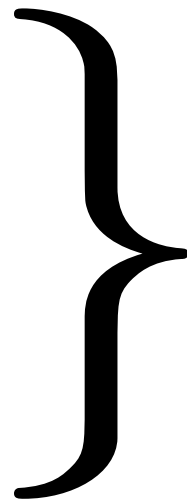
semi-inclusive DIS
as flavor tag

- $e^{\mp} p \rightarrow \nu(\bar{\nu}) X$

- $\nu(\bar{\nu}) p \rightarrow l^{\mp} X$

- $p p(\bar{p}) \rightarrow W^{\pm} X$

- $\vec{e}_L(\vec{e}_R) p \rightarrow e X$



weak current
as flavor probe

“Cleaner” methods of determining d/u

- $e d \rightarrow e p_{\text{spec}} X$

“BONUS”

- $e {}^3\text{He}({}^3\text{H}) \rightarrow e X$

mirror-symmetric nuclei

- $e p \rightarrow e \pi^{\pm} X$

semi-inclusive DIS
as flavor tag

- $e^{\mp} p \rightarrow \nu(\bar{\nu}) X$

- $\nu(\bar{\nu}) p \rightarrow l^{\mp} X$

- $p p(\bar{p}) \rightarrow W^{\pm} X$

- $\vec{e}_L(\vec{e}_R) p \rightarrow e X$

} weak current
as flavor probe

Parity-violating DIS

(with Tim Hobbs)

Parity-violating e scattering

- Left-right polarization asymmetry in $\vec{e} p \rightarrow e X$

$$A^{\text{PV}} = \frac{\sigma_R - \sigma_L}{\sigma_R + \sigma_L}$$

→ measure interference between e.m. and weak currents

- In terms of structure functions

$$A^{\text{PV}} = - \left(\frac{G_F Q^2}{4\sqrt{2}\pi\alpha} \right) \left(g_A^e Y_1 \frac{F_1^{\gamma Z}}{F_1^\gamma} + \frac{g_V^e}{2} Y_3 \frac{F_3^{\gamma Z}}{F_1^\gamma} \right)$$

$$Y_1 = \frac{1 + (1 - y)^2 - y^2(1 - r^2/(1 + R^{\gamma Z})) - 2xyM/E}{1 + (1 - y)^2 - y^2(1 - r^2/(1 + R^\gamma)) - 2xyM/E} \left(\frac{1 + R^{\gamma Z}}{1 + R^\gamma} \right)$$

$$Y_3 = \frac{1 - (1 - y)^2}{1 + (1 - y)^2 - y^2(1 - r^2/(1 + R^\gamma)) - 2xyM/E} \left(\frac{r^2}{1 + R^\gamma} \right)$$

where $y = \nu/E$ and $r^2 = 1 + 4M^2x^2/Q^2$

Parity-violating e scattering

- Left-right polarization asymmetry in $\vec{e} p \rightarrow e X$

$$A^{\text{PV}} = \frac{\sigma_R - \sigma_L}{\sigma_R + \sigma_L}$$

→ measure interference between e.m. and weak currents

- In terms of structure functions

$$A^{\text{PV}} = - \left(\frac{G_F Q^2}{4\sqrt{2}\pi\alpha} \right) \left(g_A^e Y_1 \frac{F_1^{\gamma Z}}{F_1^\gamma} + \frac{g_V^e}{2} Y_3 \frac{F_3^{\gamma Z}}{F_1^\gamma} \right)$$

$$Y_1 = \frac{1 + (1 - y)^2 - y^2(1 - r^2/(1 + R^{\gamma Z})) - 2xyM/E}{1 + (1 - y)^2 - y^2(1 - r^2/(1 + R^\gamma)) - 2xyM/E} \left(\frac{1 + R^{\gamma Z}}{1 + R^\gamma} \right)$$

$$Y_3 = \frac{1 - (1 - y)^2}{1 + (1 - y)^2 - y^2(1 - r^2/(1 + R^\gamma)) - 2xyM/E} \left(\frac{r^2}{1 + R^\gamma} \right)$$

where $y = \nu/E$ and $r^2 = 1 + 4M^2x^2/Q^2$

Parity-violating e scattering

- Longitudinal-transverse interference cross section ratio

$$R^{\gamma Z} = \frac{\sigma_L^{\gamma Z}}{\sigma_T^{\gamma Z}} \quad \longrightarrow \quad \text{unknown phenomenology}$$

- At large Q^2 : $Y_1 \rightarrow 1$, $Y_3 \rightarrow \frac{1 - (1 - y)^2}{1 + (1 - y)^2}$

$$\longrightarrow A^{\text{PV}} = - \left(\frac{G_F Q^2}{4\sqrt{2}\pi\alpha} \right) (Y_1 a_1 + Y_3 a_3)$$

where

$$a_1 = \frac{2 \sum_q C_{1q} (q + \bar{q})}{\sum_q e_q^2 (q + \bar{q})} \quad a_3 = \frac{2 \sum_q C_{2q} (q - \bar{q})}{\sum_q e_q^2 (q - \bar{q})}$$

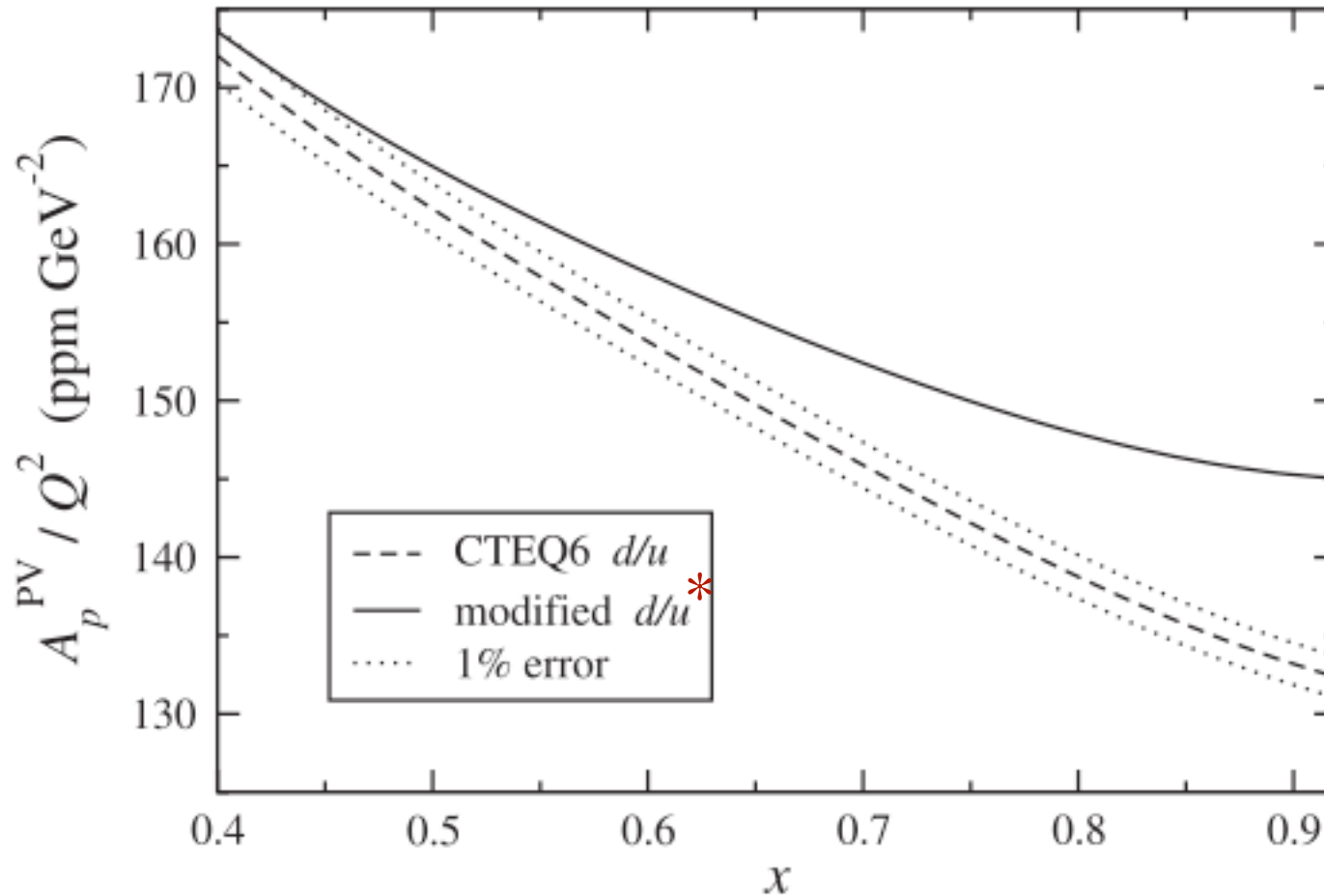
$$C_{1q} = g_A^e g_V^q$$

$$C_{2q} = g_V^e g_A^q$$

Parity-violating e scattering

- Proton asymmetry sensitive to d/u ratio

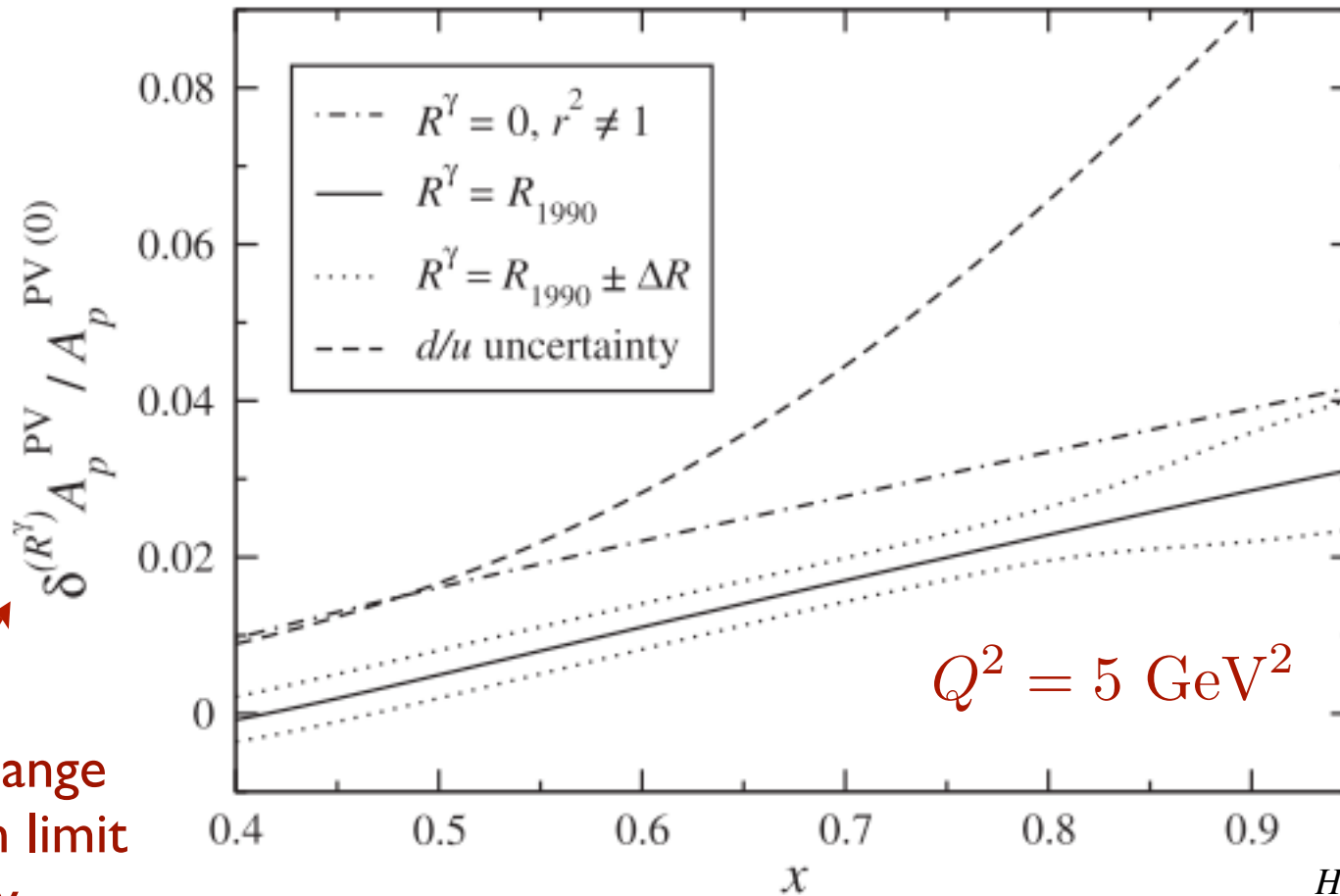
$$a_1^p = \frac{12C_{1u} - 6C_{1d} d/u}{4 + d/u}$$



* $d/u \rightarrow 0.2$
as $x \rightarrow 1$

Parity-violating e scattering

■ Sensitivity to R^γ

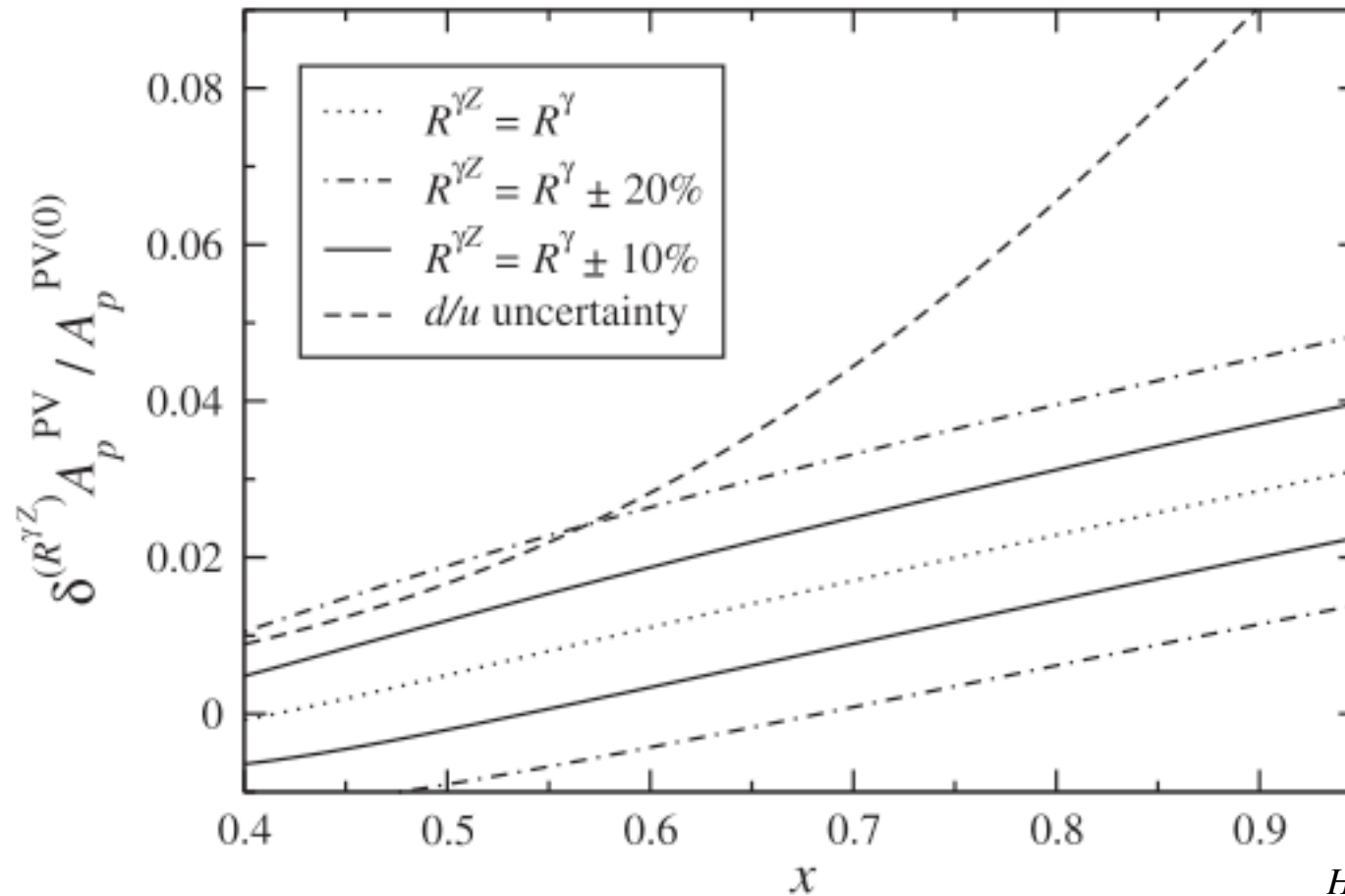


Hobbs & Melnitchouk
Phys. Rev. D 77, 114023 (2008)

→ uncertainty due to R^γ smaller than d/u differences at large x

Parity-violating e scattering

■ Sensitivity to $R^{\gamma Z}$



Hobbs & Melnitchouk
Phys. Rev. D 77, 114023 (2008)

→ correction from $R^{\gamma Z}$ needs further investigation

Target mass corrections

- Additional corrections from kinematical Q^2/ν^2 effects

→ “target mass corrections” (TMC)

- Important at large x and low Q^2

→ but *not unique* – depend on formalism
(e.g. OPE, collinear factorization)

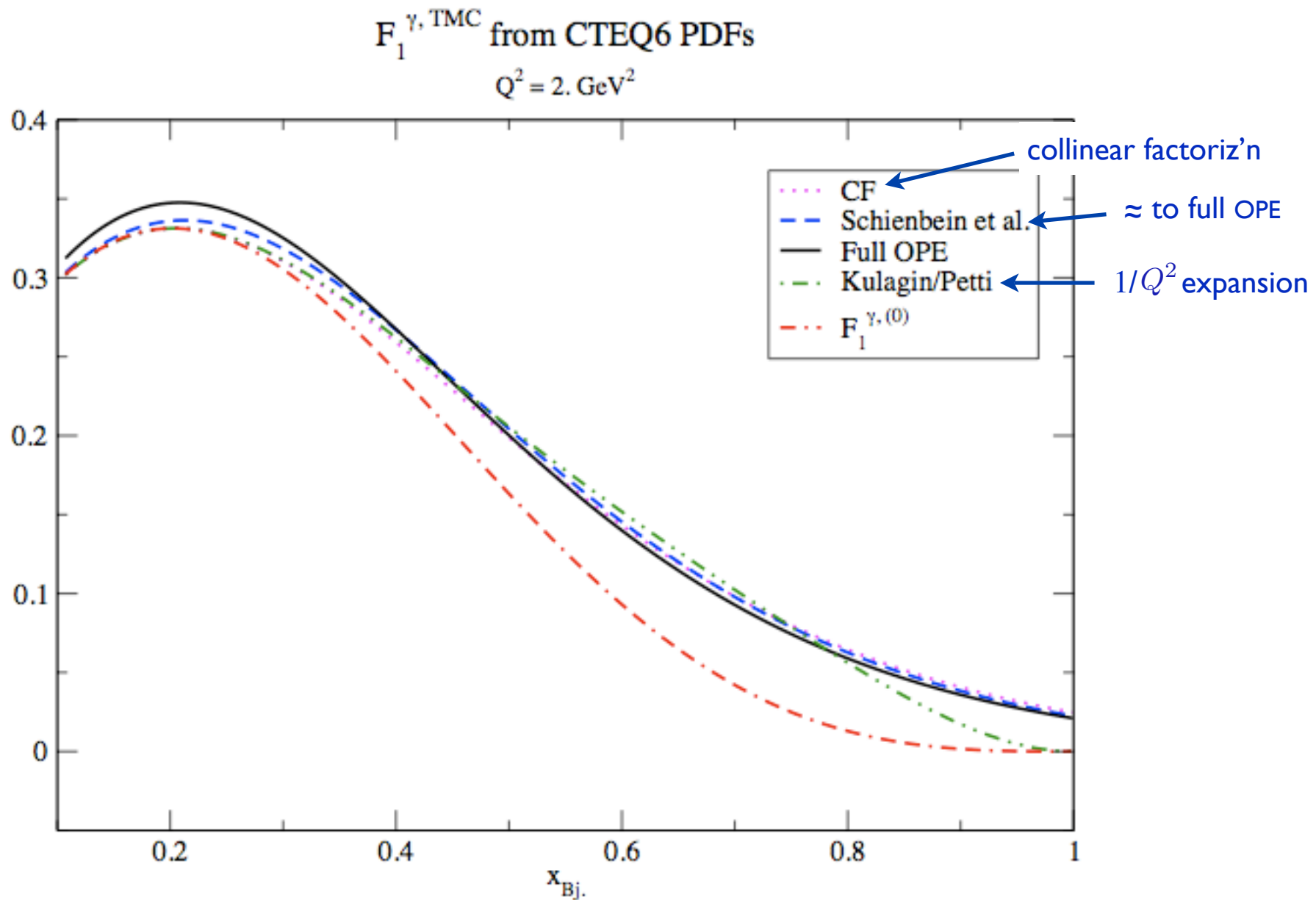
→ most implementations exhibit “threshold problem”

$$F(x = 1) \neq 0$$

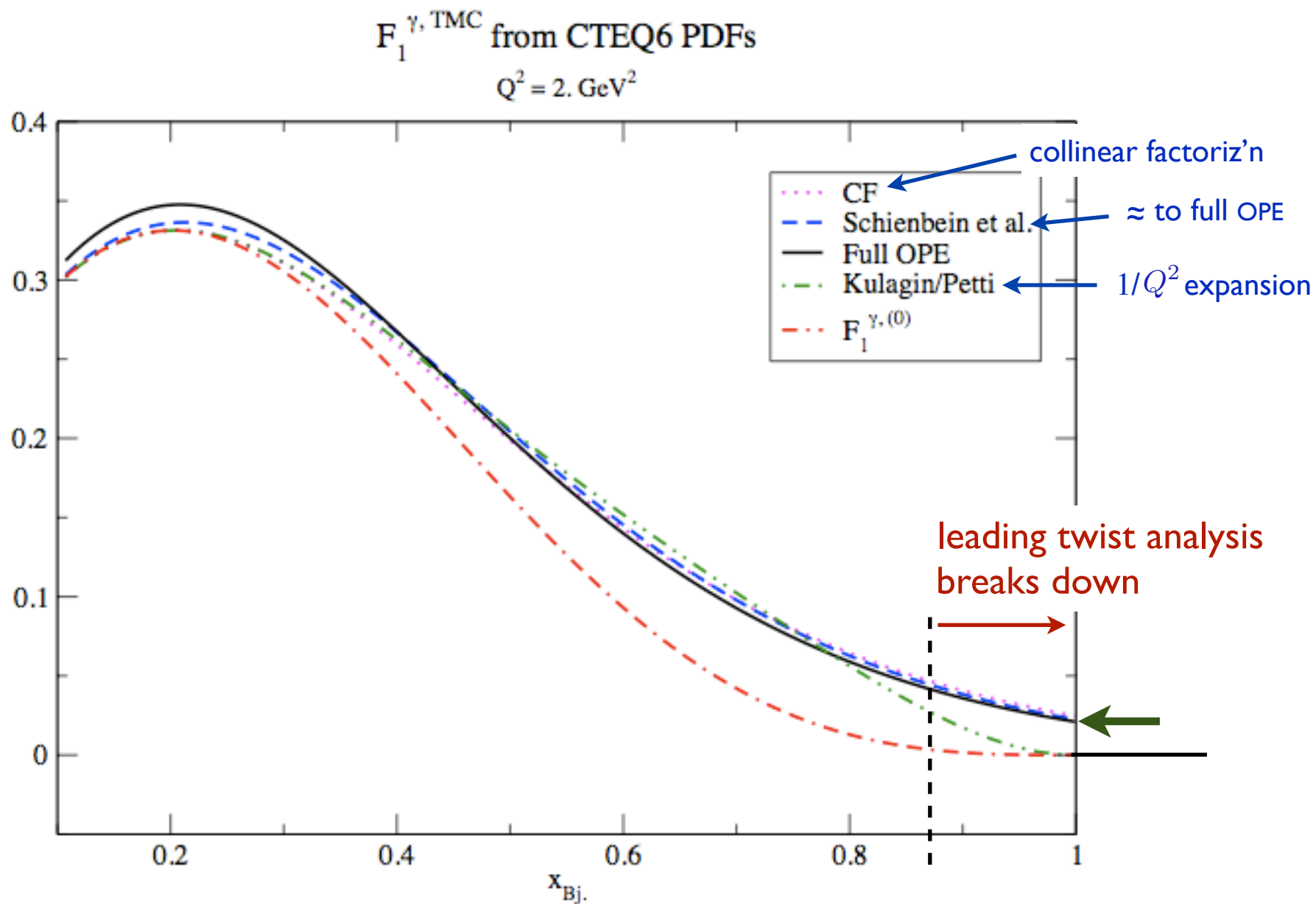
→ uncertainties not overwhelming, except at very large x

→ new (“Nachtmann”) scaling variable $\xi = \frac{2x}{1 + \sqrt{1 + 4M^2x^2/Q^2}}$

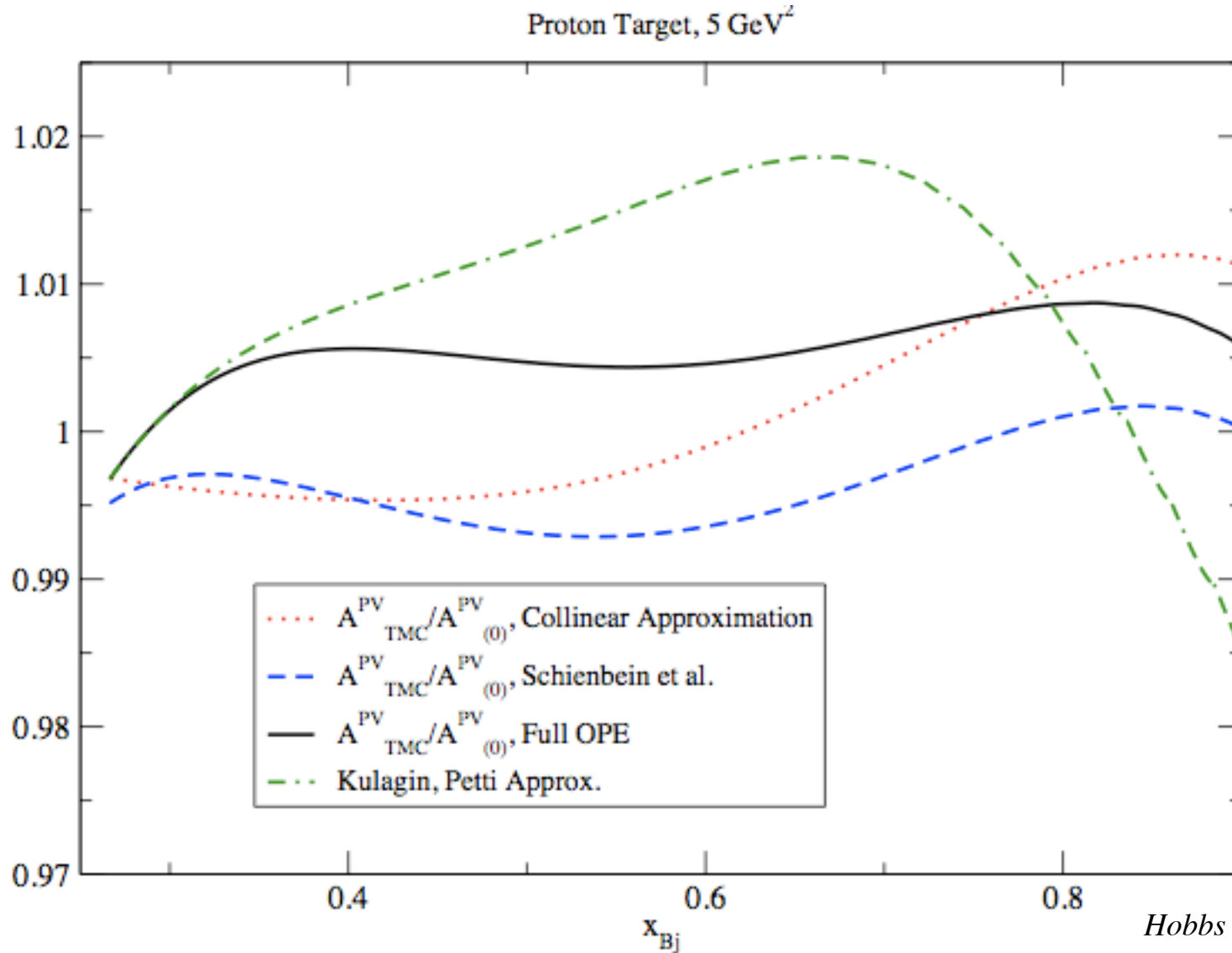
Target mass corrections



Target mass corrections



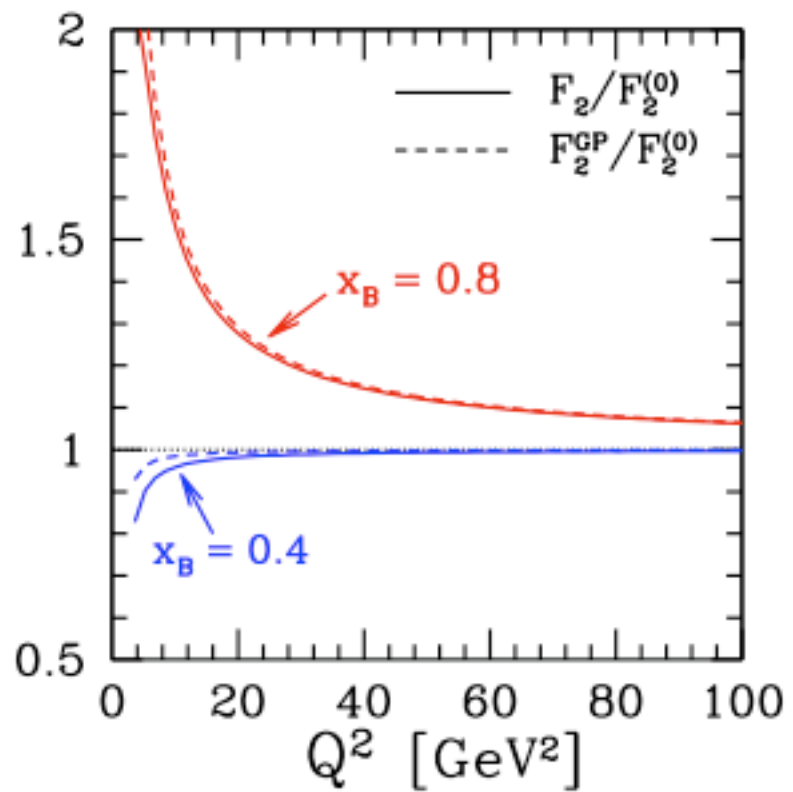
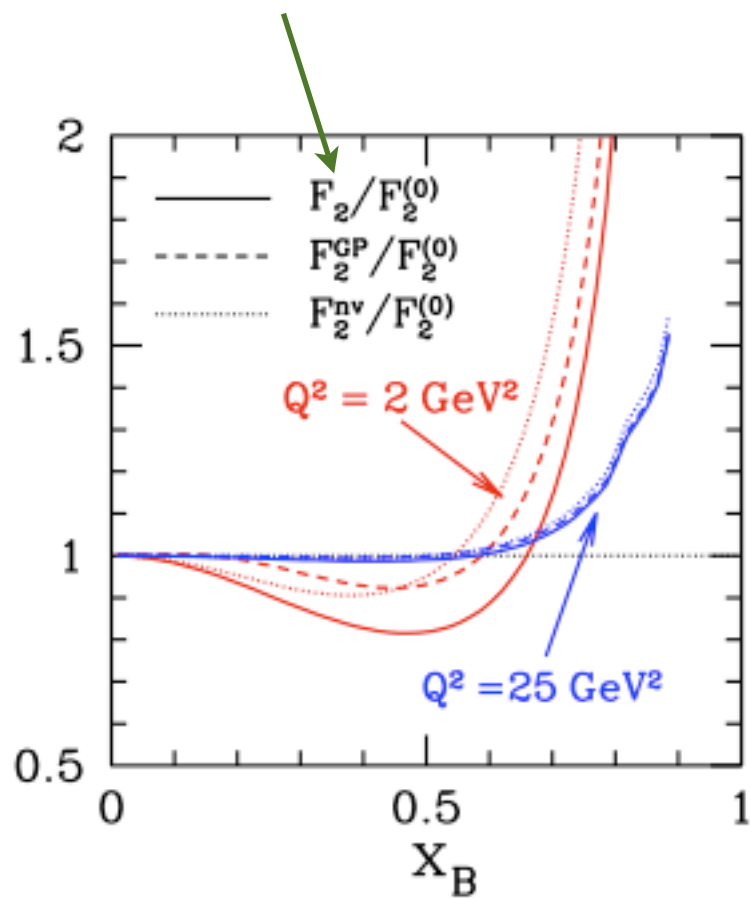
Target mass corrections



- TMC effects $\sim 1-2\%$ in PV asymmetry
- larger in absolute structure functions

Target mass corrections

collinear factorization



Accardi & Qiu,
JHEP **0807**, 090 (2008)

→ TMC important at large x even for large Q^2

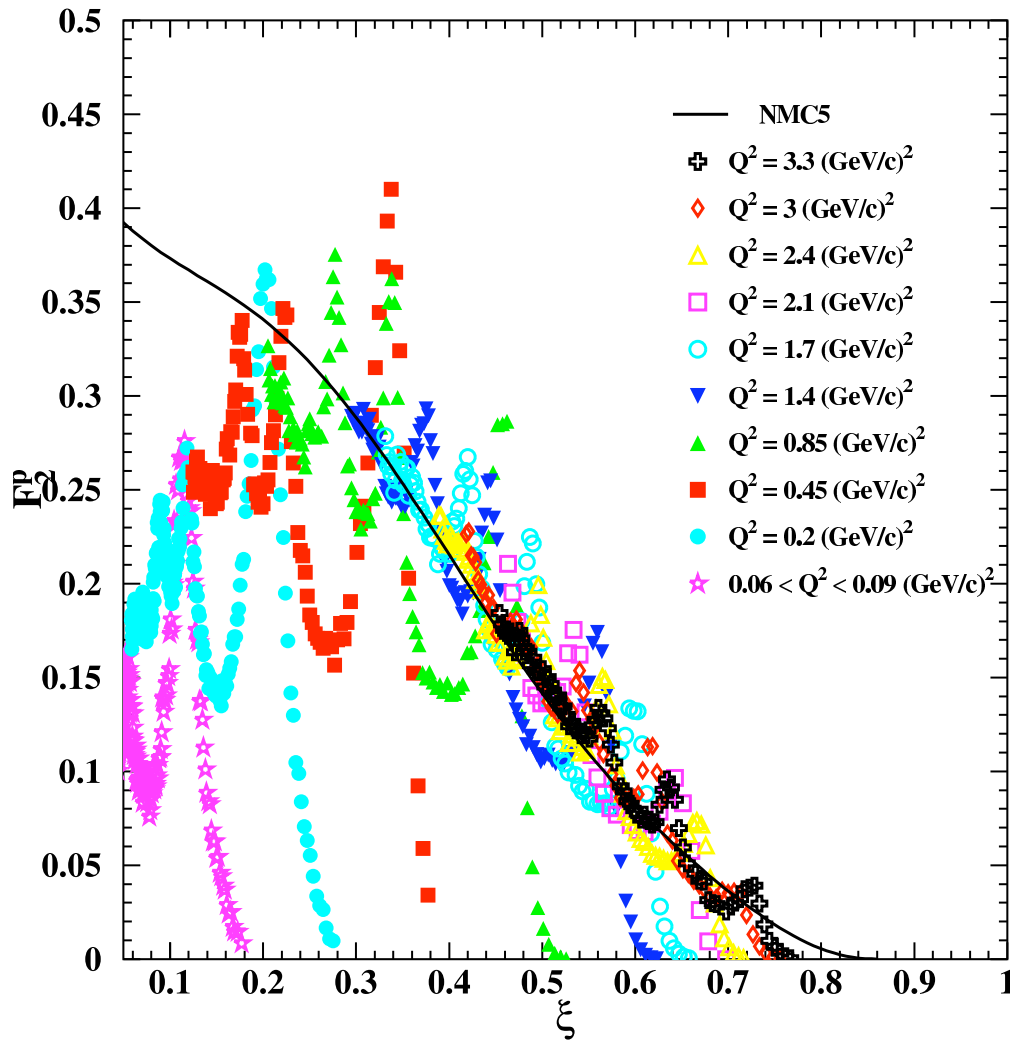
Target mass corrections

- Important to implement in pQCD data analyses, if large- x (low- W) & low- Q^2 data incorporated into global PDF fits
 - greatly expanded data set, especially with high-precision JLab data
- Currently working with CTEQ (J. Owens) to study effects of TMCs on W and Q^2 cuts on data (A. Accardi, E. Christy, C. Keppel, P. Monaghan)
 - crucial for neutrino scattering and oscillations
 - important for “new physics” searches at colliders

Duality & truncated moments

(with Ales Psaker et al.)

Bloom-Gilman duality



Average over
(strongly Q^2 dependent)
resonances
 \approx Q^2 independent
scaling function

Jefferson Lab (Hall C)

Niculescu et al., Phys. Rev. Lett. 85 (2000) 1182

Truncated moments

- complete moments can be studied in QCD via twist expansion
 - Bloom-Gilman duality has a precise meaning
(*i.e.*, duality violation = higher twists)
- for local duality, difficult to make rigorous connection with QCD
 - *e.g.* need prescription for how to average over resonances
- truncated moments allow study of restricted regions in x (or W) within QCD in well-defined, systematic way

$$\overline{M}_n(\Delta x, Q^2) = \int_{\Delta x} dx x^{n-2} F_2(x, Q^2)$$

Truncated moments

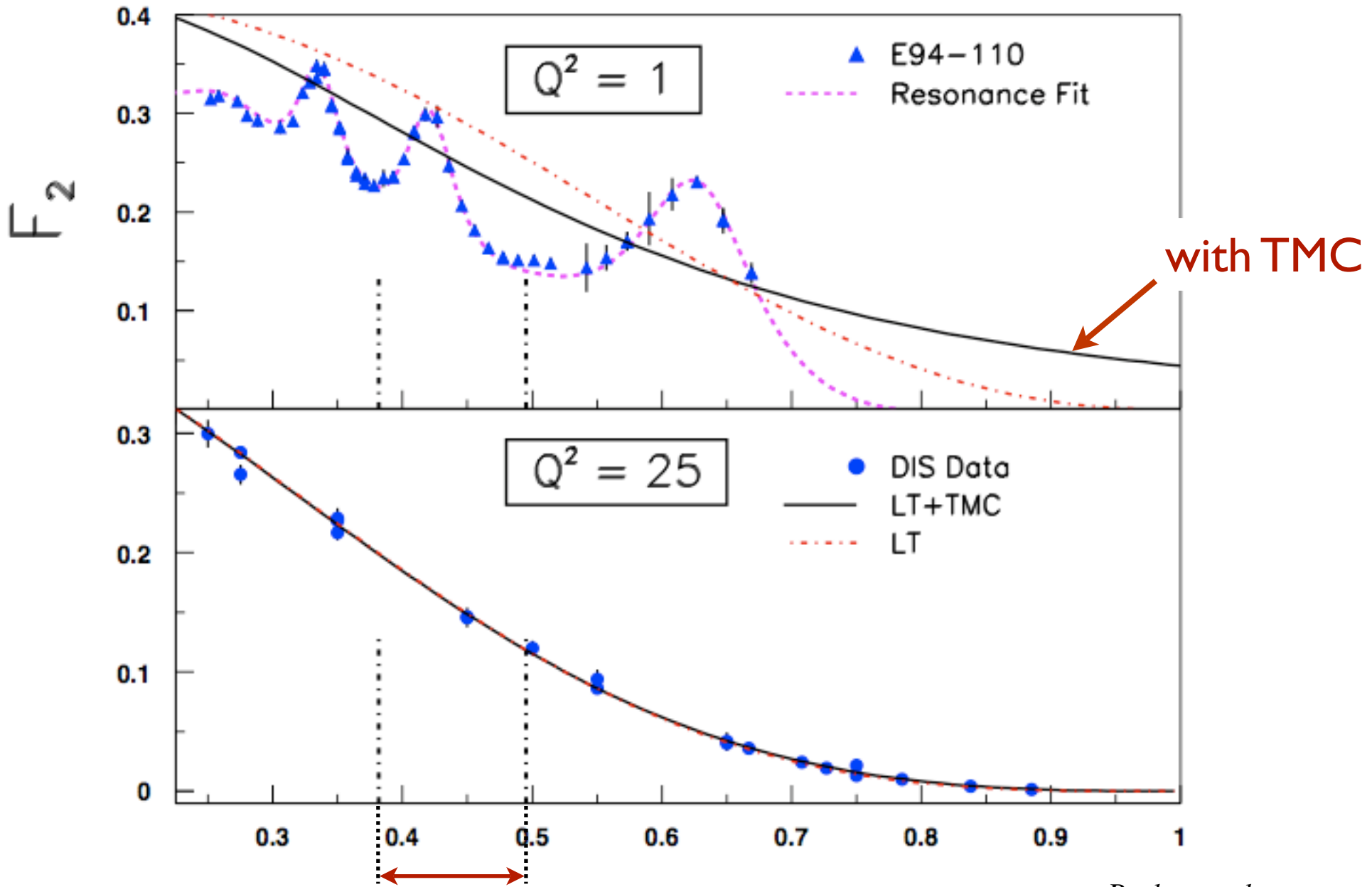
- truncated moments obey DGLAP-like evolution equations, similar to PDFs

$$\frac{d\overline{M}_n(\Delta x, Q^2)}{d \log Q^2} = \frac{\alpha_s}{2\pi} \left(P'_{(n)} \otimes \overline{M}_n \right) (\Delta x, Q^2)$$

where modified splitting function is

$$P'_{(n)}(z, \alpha_s) = z^n P_{NS,S}(z, \alpha_s)$$

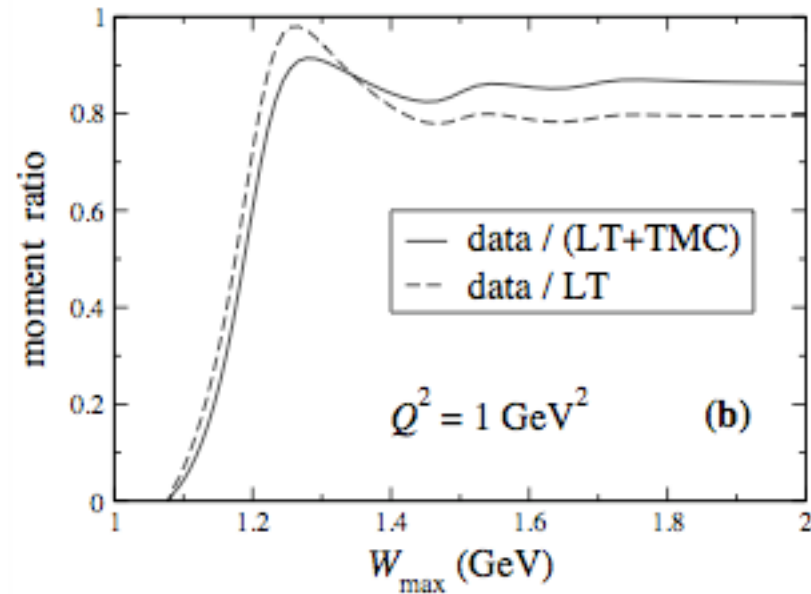
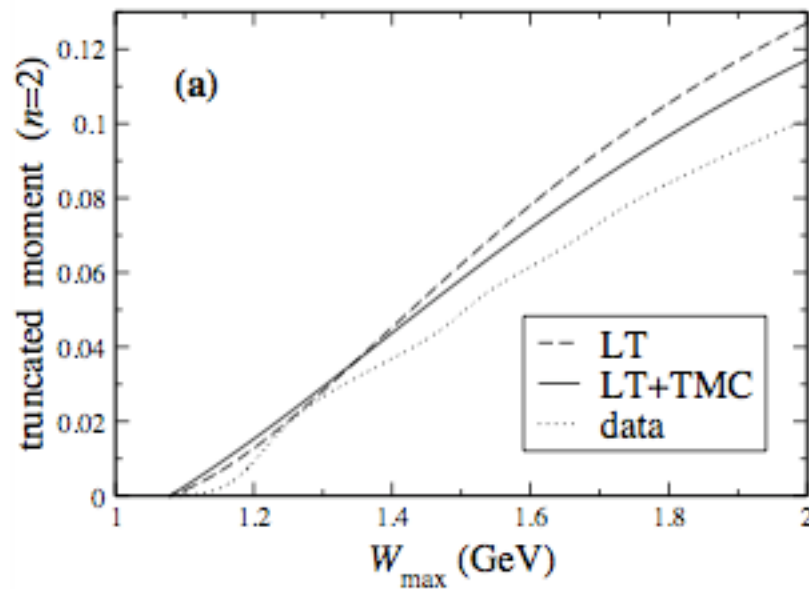
- can follow evolution of specific resonance (region) with Q^2 in pQCD framework!
- suitable when complete moments not available



*Psaker et al.,
arXiv:0803.2055,
Phys. Rev. C (2008)*

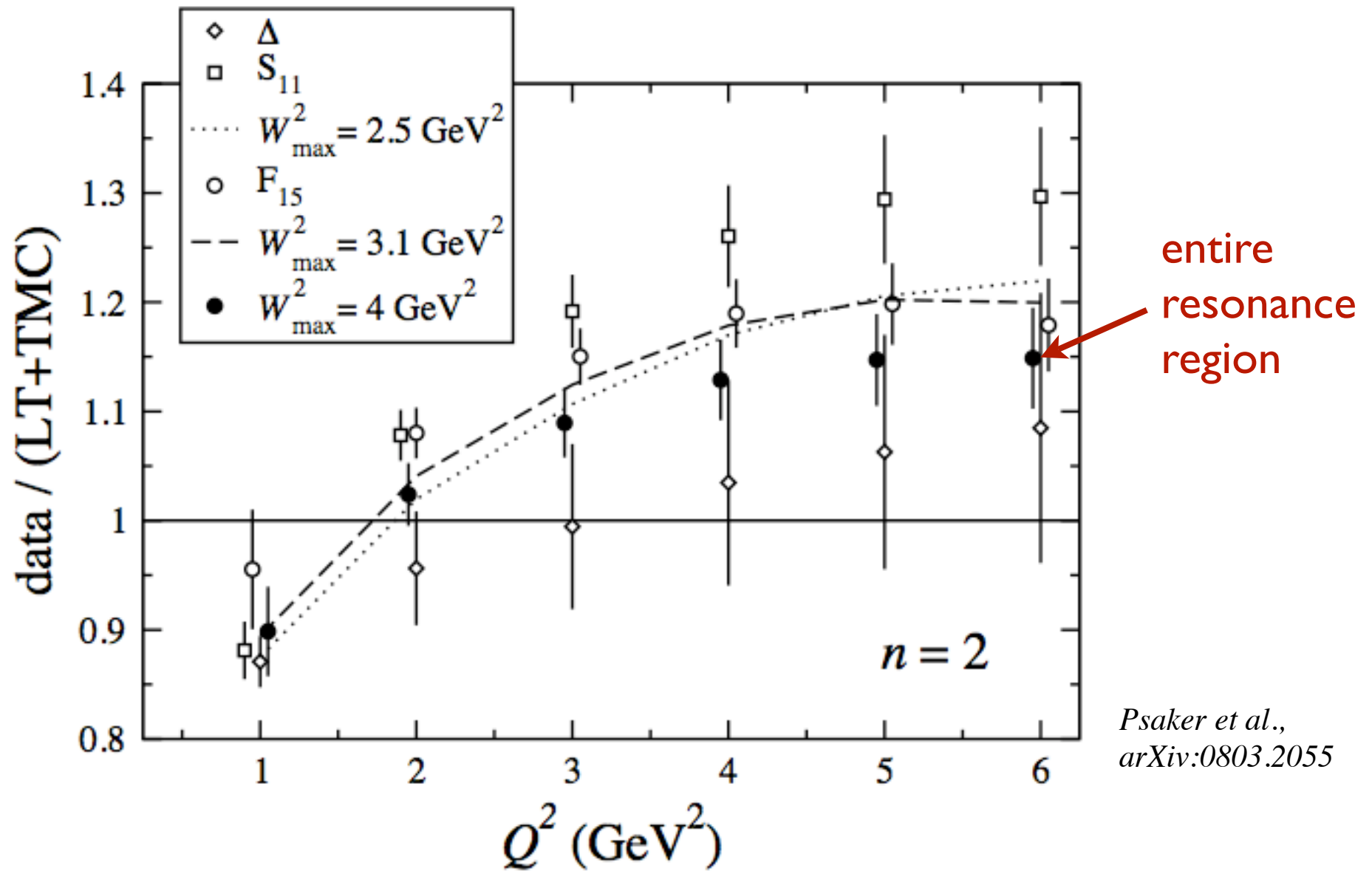
Data analysis

- assume data at large Q^2 is entirely leading twist
- evolve fit to data (as NS) at large Q^2 down to lower Q^2
 - apply TMC, and compare with data at lower Q^2



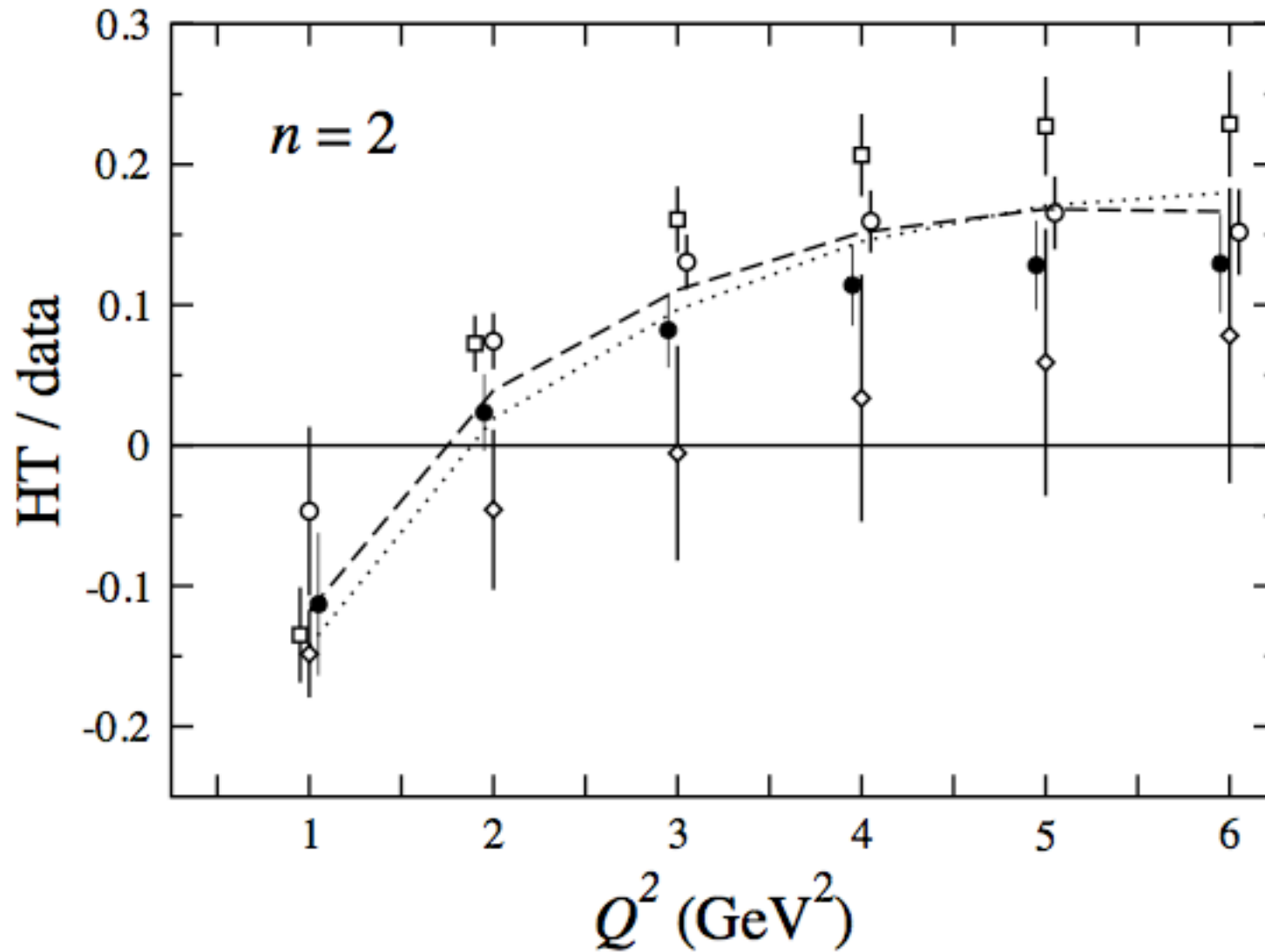
*Psaker et al.,
arXiv:0803.2055,
Phys. Rev. C (2008)*

Data analysis



*Psaker et al.,
arXiv:0803.2055*

Data analysis



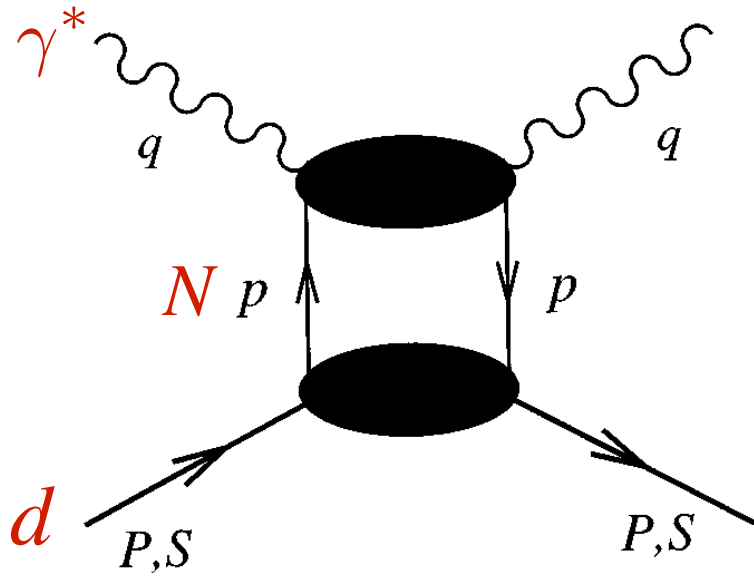
*Psaker et al.,
arXiv:0803.2055*

- higher twists less than 10–15% for $n=2$ moment
- also study higher twists in higher moments

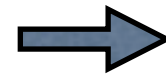
Extracting neutron SFs from nuclear data

(with Yoni Kahn)

EMC effect in deuteron



Nuclear “impulse approximation”



incoherent scattering
from individual nucleons
in deuteron

$$F_2^d(x) = \int dy f_{N/d}(y) F_2^N(x/y) + \delta^{(\text{off})} F_2^d(x)$$

nucleon momentum distribution
 (“smearing function”)

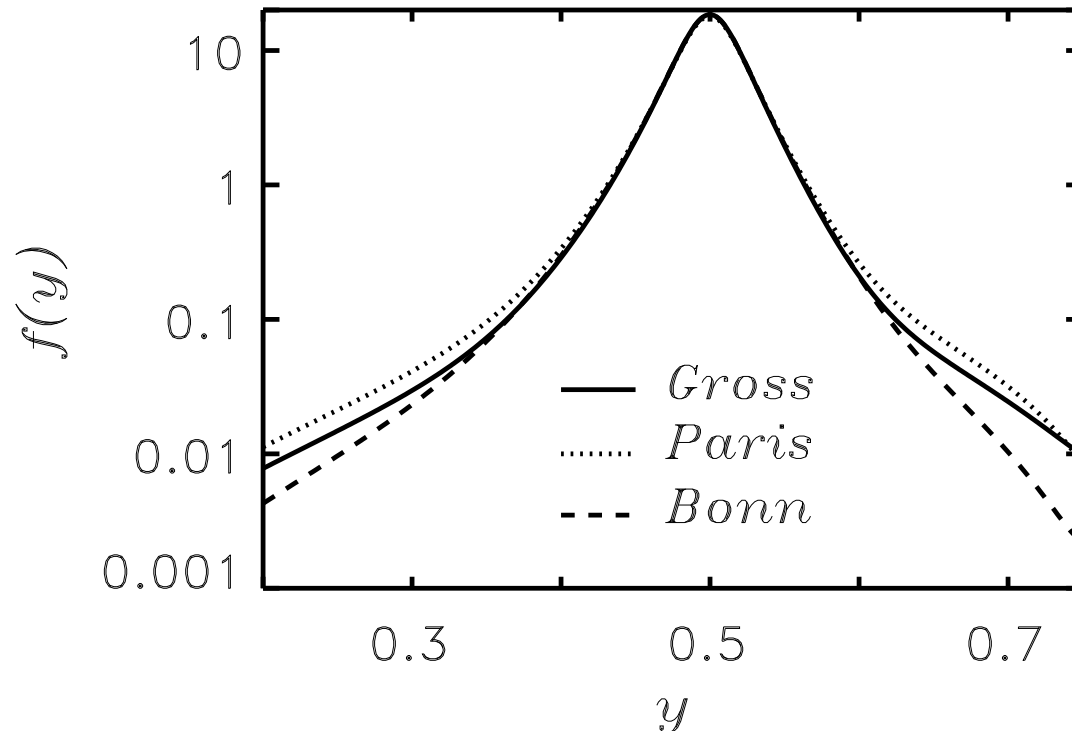
off-shell correction
 (very small in d)

EMC effect in deuteron

Nucleon momentum distribution in deuteron

→ computed from d wave function

$$f_{N/d}(y) = \frac{1}{4} M_d y \int_{-\infty}^{p_{\max}^2} dp^2 \frac{E_p}{p_0} |\Psi_d(\vec{p}^2)|^2$$



EMC effect in deuteron

- At finite Q^2 , smearing function depends also on parameter

$$\gamma = |\mathbf{q}|/q_0 = \sqrt{1 + 4M^2 x^2 / Q^2}$$

→ simple factorization of convolution formula breaks down

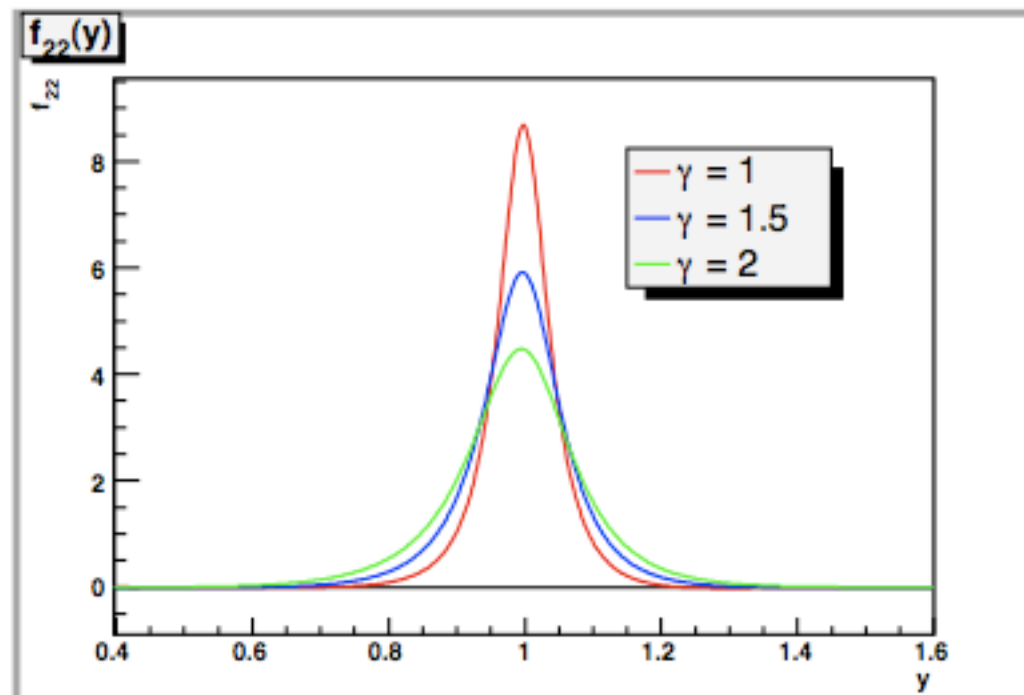
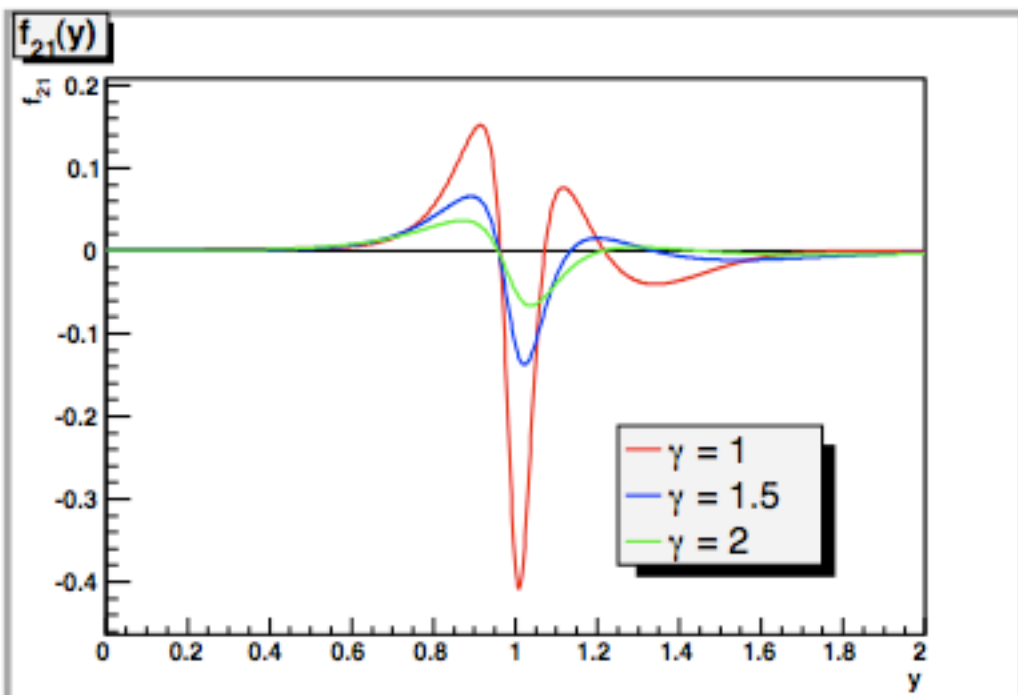
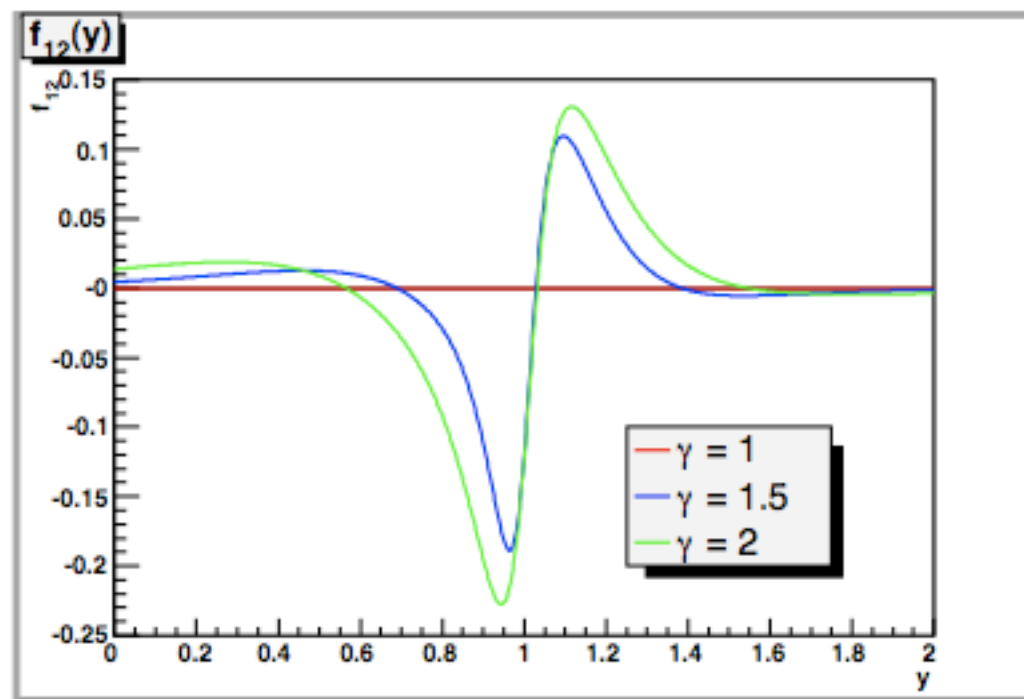
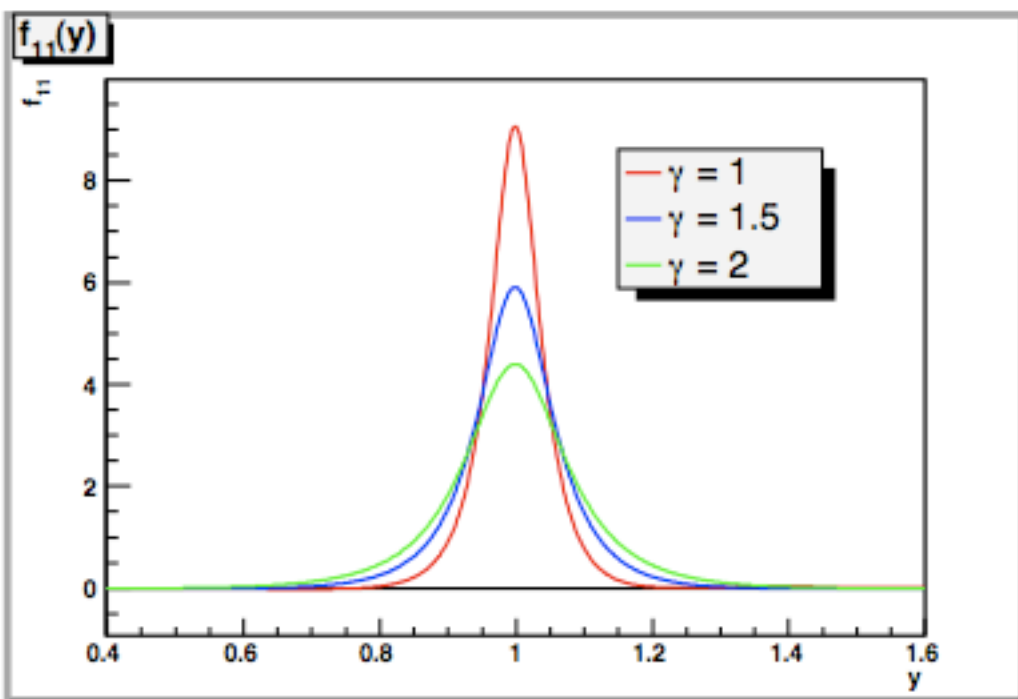
- For polarized SFs, have mixing between g_1 & g_2 at finite Q^2

$$g_i^d(x, Q^2) = \int \frac{dy}{y} f_{ij}(y, \gamma) g_j^N(x/y, Q^2), \quad i, j = 1, 2$$

→ for most kinematics $\gamma \lesssim 2$

→ off diagonal functions small $|f_{12}|, |f_{21}| \ll f_{11}, f_{22}$

N momentum distributions in d



Unsmearing – multiplicative method

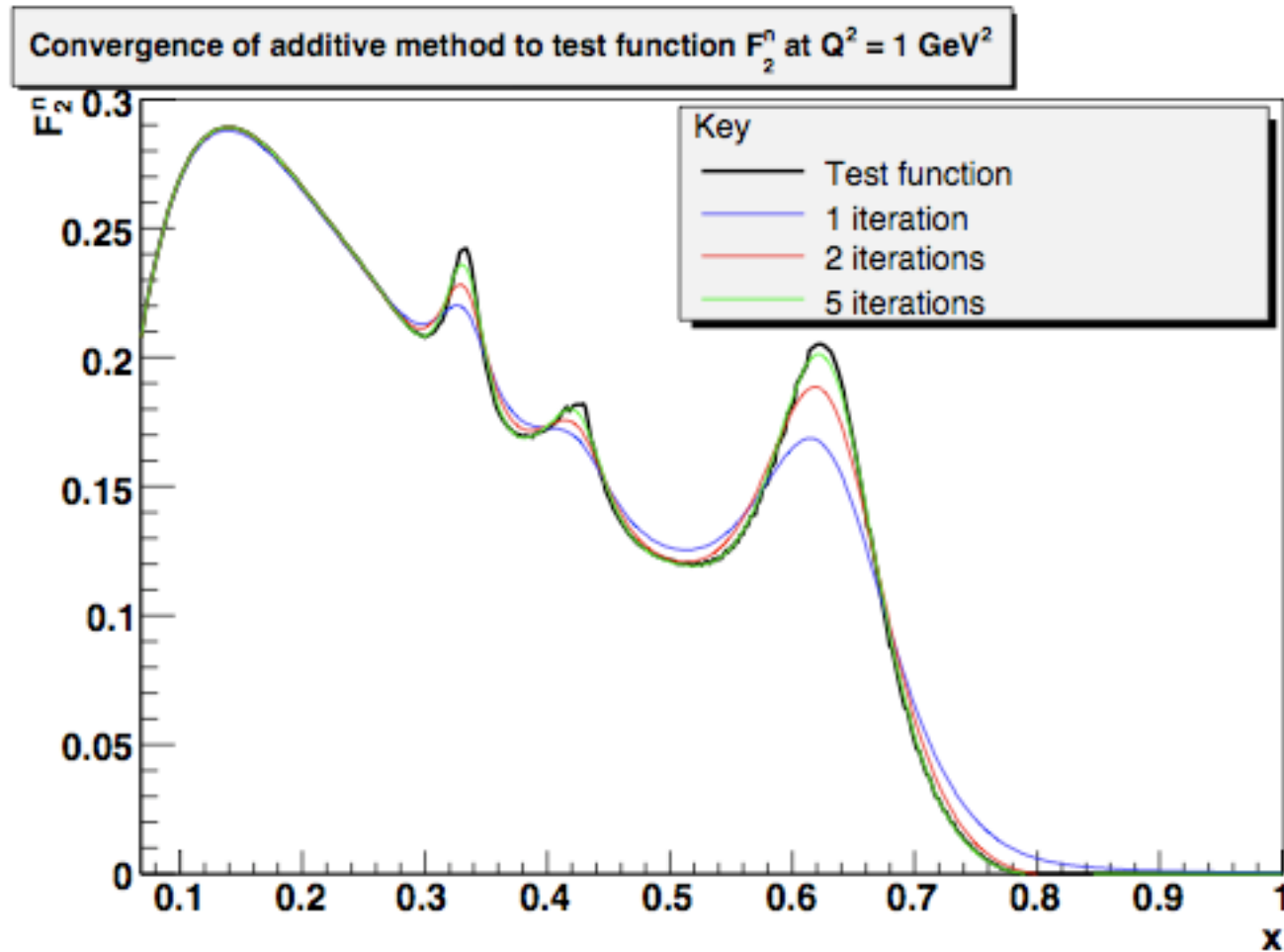
- calculated d/N ratio depends on input F_2^n
 - extracted n depends on input n ... cyclic argument

Solution: iteration procedure

0. subtract $\delta^{(\text{off})} F_2^d$ from d data: $F_2^d \rightarrow F_2^d - \delta^{(\text{off})} F_2^d$
1. smear F_2^p with $f_{N/d}$: $f_{N/d} \otimes F_2^p \equiv S_p^{-1} F_2^p$
2. extract neutron via $F_2^n = S_n (F_2^d - F_2^p / S_p)$
starting with *e.g.* $S_n = S_p$
3. smear F_2^n with $f_{N/d}$ to get new S_n
4. repeat 2-3 until convergence

Unsmearing – multiplicative method

- F_2^d constructed from F_2^p and F_2^n inputs
(using Bosted/Christy parameterizations)



Kahn, WM (2008)

Unsmearing – additive method

- since g_1 & g_2 are not positive-definite, expect multiplicative method to fail for spin-dependent SFs

Solution: additive iteration procedure (avoids zeros)

0. subtract $\delta^{(\text{off})} F_2^d$ from d data: $F_2^d \rightarrow F_2^d - \delta^{(\text{off})} F_2^d$

1. define difference between smeared and free SFs

$$\tilde{F}_2^n = f_{N/d} \otimes F_2^n = F_2^n + \delta$$

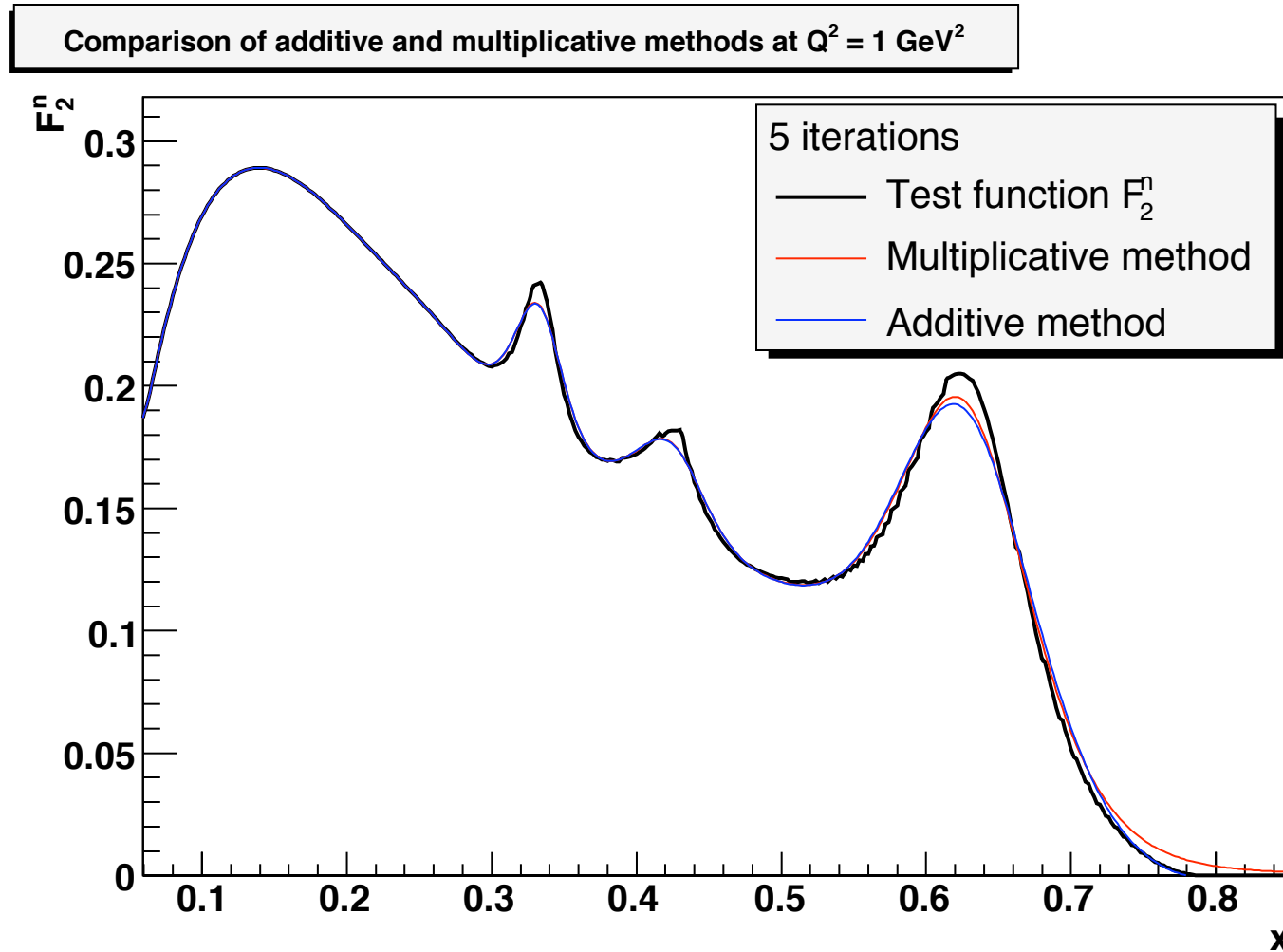
2. first guess for $F_2^{n(0)} \rightarrow \delta^{(0)} = \tilde{F}_2^{n(0)} - F_2^{n(0)}$

3. after one iteration, gives

$$F_2^{n(1)} = F_2^{n(0)} + (\tilde{F}_2^n - \tilde{F}_2^{n(0)})$$

4. repeat until convergence

Unsmearing – additive vs. multiplicative

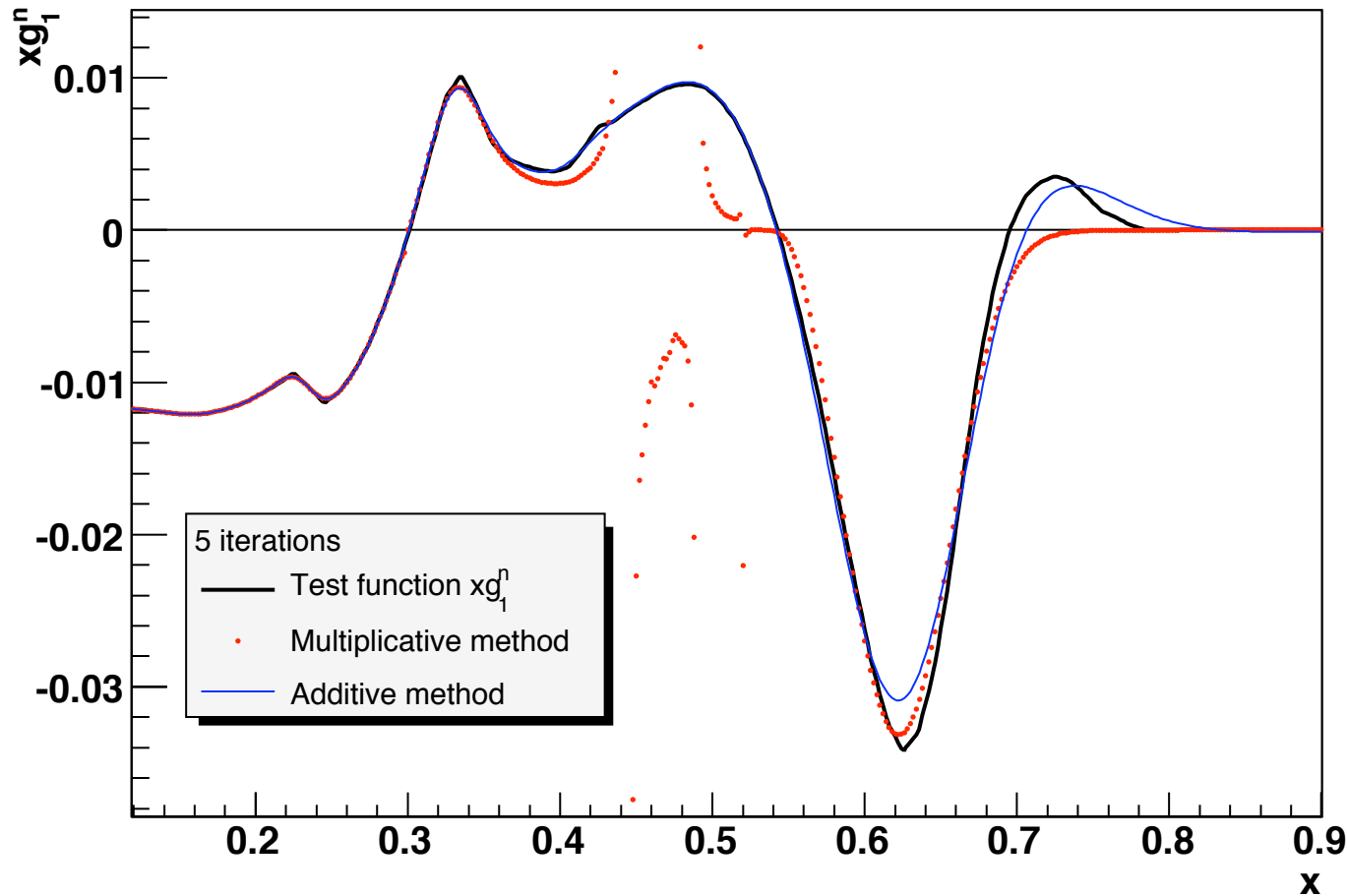


Kahn, WM (2008)

→ both methods work well for unpolarized SFs

Unsmearing – additive vs. multiplicative

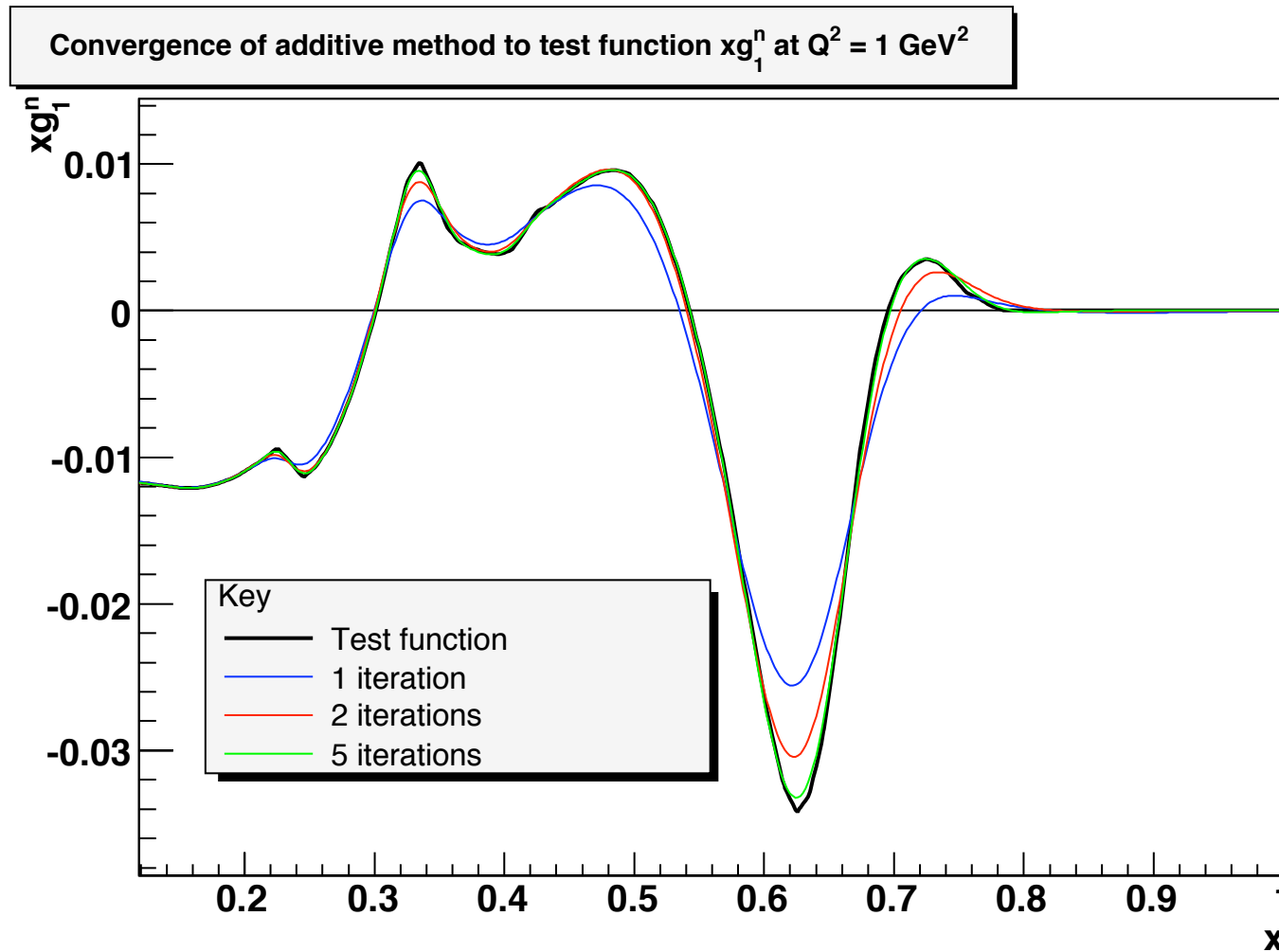
Comparison of additive and multiplicative methods at $Q^2 = 1 \text{ GeV}^2$



Kahn, WM (2008)

→ multiplicative method problematic for polarized SFs

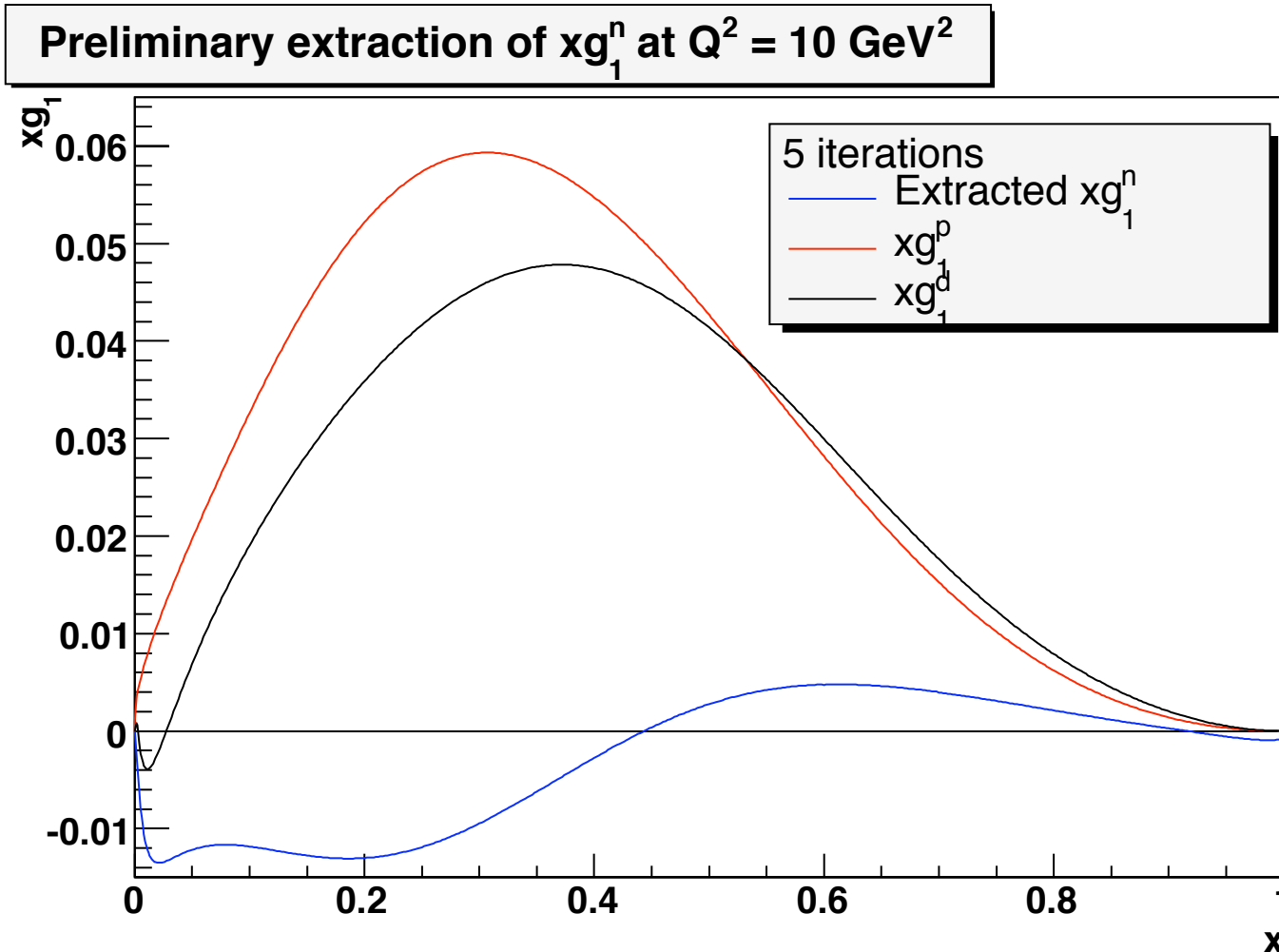
Unsmearing – additive method



Kahn, WM (2008)

→ additive method works well for polarized SFs

Unsmearing – additive method

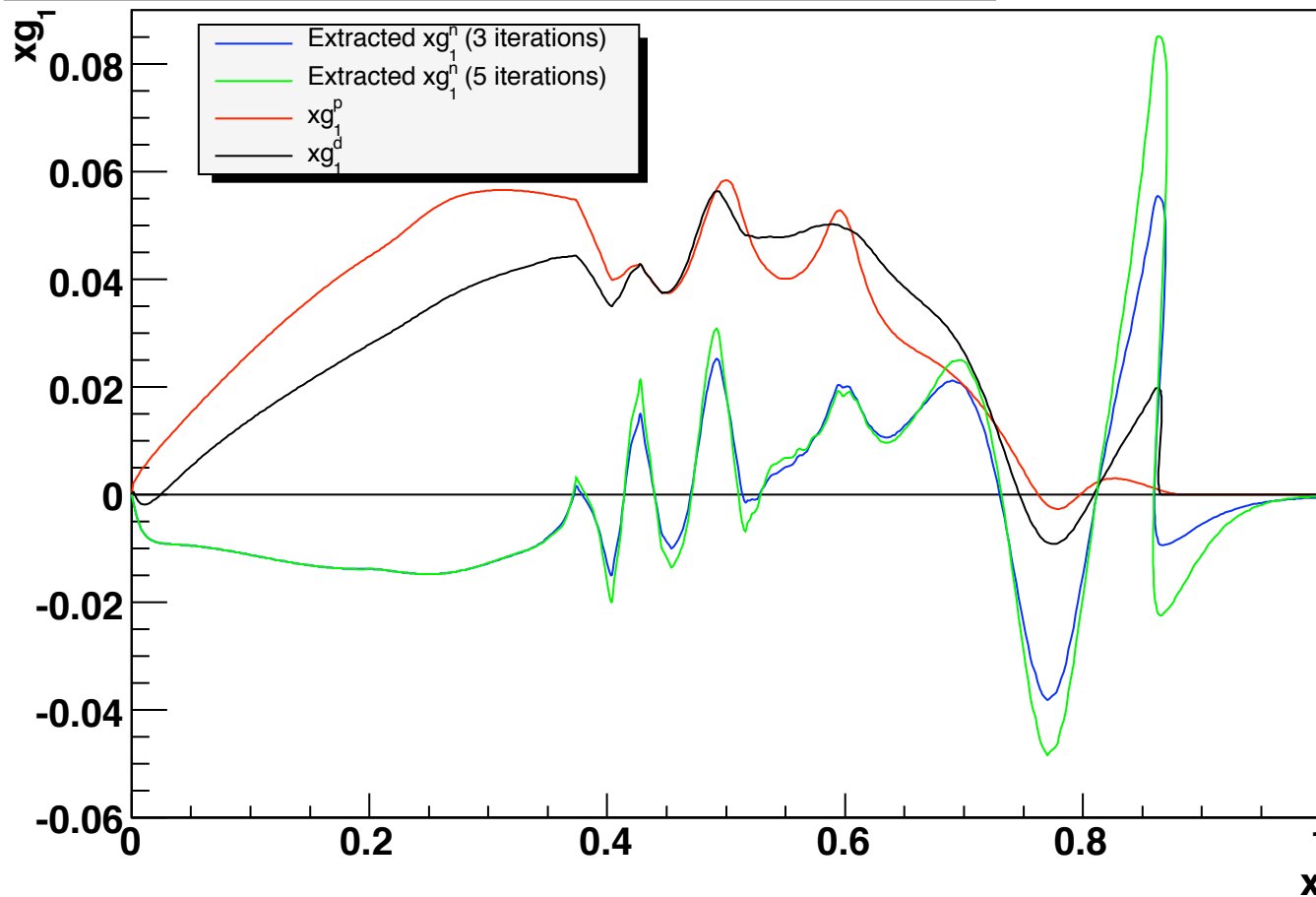


Kahn, WM (2008)

→ **additive method works well for polarized SF data at large Q^2**

Unsmearing – additive method

Preliminary extraction of xg_1^n at $Q^2 = 2 \text{ GeV}^2$



Kahn, WM (2008)

- extraction sensitive to discontinuities in d data
- *cf.* future RSS data

Summary

- Fundamental questions remain to be addressed at large x
- Need to account for finite- Q^2 effects in PVDIS
 - quantify effects of $R^{\gamma Z}$, as well as higher twists
- Target mass corrections
 - joint analysis with CTEQ of global data under way
 - TMCs in polarized structure functions
- Truncated moments
 - firm foundation for study of local duality in QCD
 - higher twists $< 10\%$ for $Q^2 > 1 \text{ GeV}^2$ in resonance region
- New method for extracting neutron SFs from nuclear data
 - await higher-precision nuclear data!

The End