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# $V_{us}$ Calculation from Lattice QCD

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# Outline

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- Motivation/Background
- Review of Lattice Calculations
- New Dynamical Result from Hyperons
- Summary/Outlook

# $|V_{us}|$ and the CKM Matrix

- Kobayashi and Maskawa (1973) propose extending Cabibbo's (1963) work with a mixing of three generations of quarks:

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

- Unitarity constraint:  $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1.$
- From the latest PDG (2006)
  - Well determined  $|V_{ud}| = 0.97377(27)$
  - Very small  $|V_{ub}| = 4.31(30) \times 10^{-3}$
  - Less known  $|V_{us}| = 0.2257(21)(2006)$   
 $|V_{us}| = 0.2196(23)(2003)$

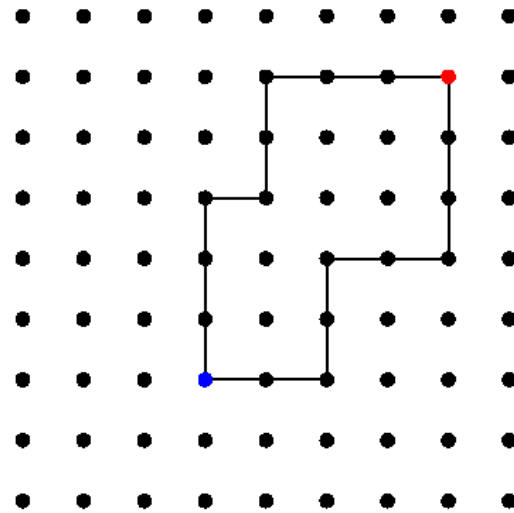
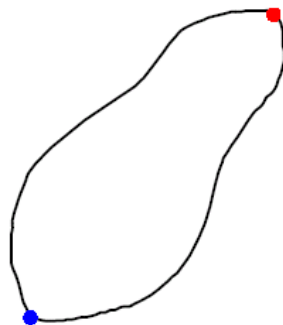
# Background: Lattice 101

# Lattice Gauge Theory

- Physical observables are calculated from the path integral

$$\langle \Omega | O | \Omega \rangle = \frac{1}{Z} \int [dU][d\bar{\psi}][d\psi] O(U, \bar{\psi}, \psi) e^{i \int d^4x [S_F(U, \bar{\psi}, \psi) + S_G(U)]}$$

- Lattice QCD is a discrete version of continuum QCD theory



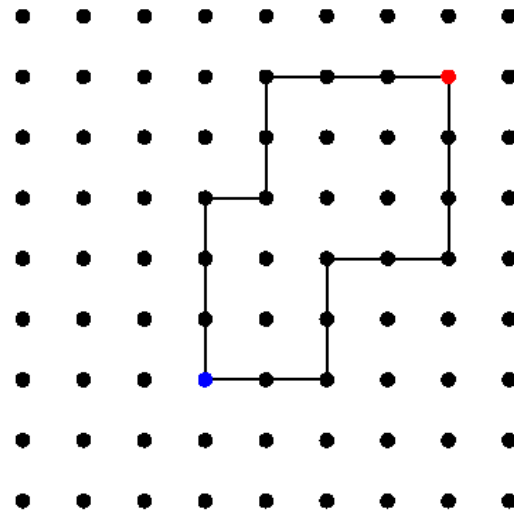
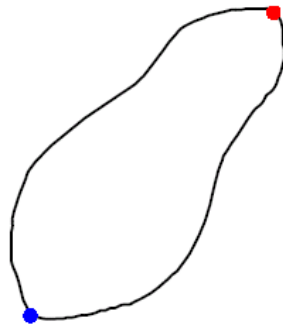
- Use Monte Carlo integration combined with the “importance sampling” technique to calculate the path integral.
- Take  $a \rightarrow 0$  and  $V \rightarrow \infty$  for the “continuum limit”

# Lattice Gauge Theory

- Physical observables are calculated from the path integral

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- Lattice QCD is a discrete version of continuum QCD theory



- Use Monte Carlo integration combined with the “importance sampling” technique to calculate the path integral.
- Chiral extrapolation  $m_\pi \rightarrow (m_\pi)_{phys}$

# Lattice Actions

## ➔ (Improved) Staggered fermions (asqtad):

- ➔ Relatively cheap for dynamical fermions (good)
- ➔ Mixing among parities and flavours or “tastes”
- ➔ Baryonic operators a nightmare — not suitable

## ➔ $O(a)$ -improved Wilson (Clover) fermions:

- ➔ Moderate in cost
- ➔ Chiral symmetry badly broken at non-zero lattice spacing
- ➔ Operator mixing issues

## ➔ Chiral fermions (e.g., Domain-Wall/Overlap):

- ➔ Automatically  $O(a)$  improved, suitable for spin physics and weak matrix elements
- ➔ Expensive

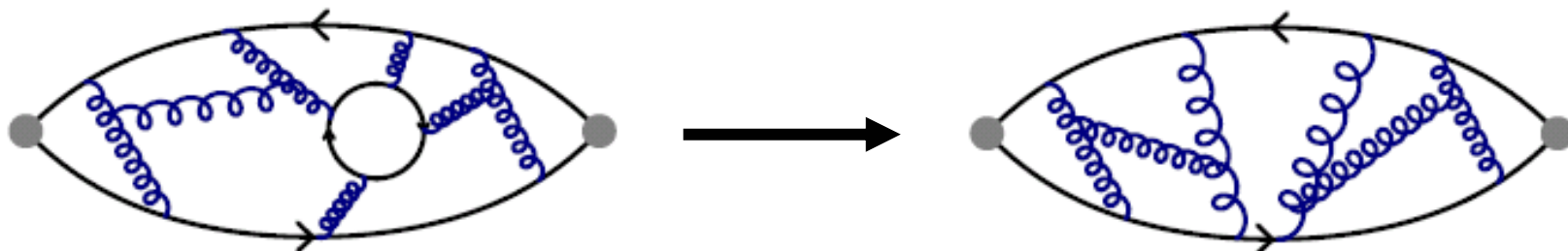
## ➔ Mixed actions:

- ➔ Staggered sea (cheap) with Domain-Wall valence (chiral)
- ➔ Match the sea Goldstone pion mass to the DWF pion

# Quenched Approximation

➤ Correct Math  $\langle O \rangle = \frac{1}{Z} \int [dU][d\psi][d\bar{\psi}] e^{-S_F(U, \psi, \bar{\psi}) - S_G(U)} O(U, \psi, \bar{\psi})$   
 $= \frac{1}{Z} \int [dU] \det M e^{-S_G(U)} O(U)$

➤ Instead, take  $\det M = \text{constant}$ .



➤ Historically due to the lack of computation power and improved algorithms

➤ Bad: Uncontrollable systematic error

➤ Good? Cheap exploratory studies to develop new methods



# Review of Lattice Calculations

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## What can Lattice QCD do for $V_{us}$ ?

- ◆ Leptonic decay ratios  $0.2234^{(+12)}_{(-31)}$
- ◆  $K_{l3}$  decay  $0.2257(21)(2006)$   
 $\bar{K} \rightarrow \pi l \nu$
- ◆ Hyperon decays  $0.2250(27)$   
 $\Lambda \rightarrow p e^{-} \bar{\nu}, \Sigma^{-} \rightarrow n e^{-} \bar{\nu},$   
 $\Xi^{-} \rightarrow \Lambda e^{-} \bar{\nu}, \Xi^{0} \rightarrow \Lambda^{+} e^{-} \bar{\nu}$

# Leptonic Decays

- ◆  $K_{\mu 2}$  and  $\pi_{\mu 2}$  decays

$$\left(\frac{|V_{us}|}{|V_{ud}|}\right)^2 = \left[ \left(\frac{f_K}{f_\pi}\right)^2 \frac{M_K (1 - m_\mu^2/M_K^2)^2}{M_\pi (1 - m_\mu^2/M_\pi^2)^2} \left(1 + \frac{\alpha}{\pi} (C_K - C_\pi)\right) \right]^{-1} \frac{\Gamma(K \rightarrow \mu \bar{\nu}_\mu)}{\Gamma(\pi \rightarrow \mu \bar{\nu}_\mu)}$$

- ◆ MILC collaboration (staggered, *C. Aubin et al., 2004*)

$$f_K/f_\pi = 1.210(4)(13)$$

- ◆ *W. Marciano, 2004*  $|V_{us}| = 0.2219(25)$

- ◆ Other full-QCD  $f_K/f_\pi$  available since 2004

$$\text{RBC+UKQCD DWF: } f_K/f_\pi = 1.24(2)$$

$$\text{MILC 2006: } f_K/f_\pi = 1.208(2)^{(+7/-14)}$$

# $K_{\beta}$ Decay

➤ Calculate  $K \rightarrow \pi$  matrix element

➤ Lorentz invariance

$$\langle \pi(p') | V_{\mu} | K(p) \rangle = (p_{\mu} + p'_{\mu}) f_{+}(q^2) + (p_{\mu} - p'_{\mu}) f_{-}(q^2)$$

➤ Double ratio  $\frac{\langle \pi | \bar{s} \gamma_0 u | K \rangle \langle K | \bar{u} \gamma_0 s | \pi \rangle}{\langle K | \bar{s} \gamma_0 s | K \rangle \langle \pi | \bar{u} \gamma_0 u | \pi \rangle} = [f_0(q_{\max}^2)]^2 \frac{(M_K + M_{\pi})^2}{4M_K M_{\pi}}$

with

$$f_0(q^2) = f_{+}(q^2) + \frac{q^2}{m_K^2 - m_{\pi}^2} f_{-}(q^2)$$

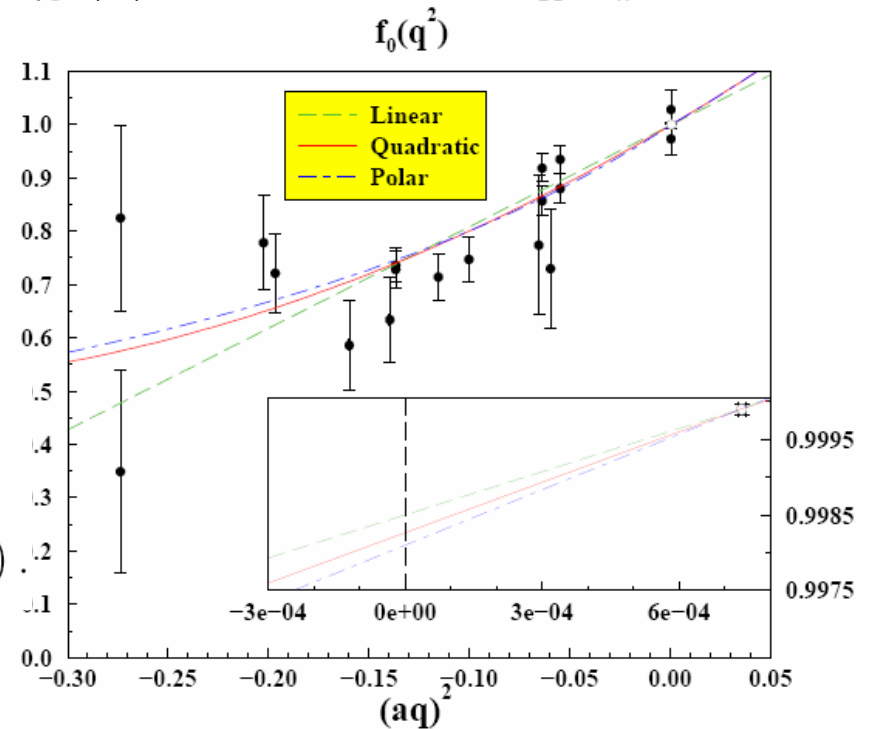
➤ Extrapolate to  $q^2 = 0$

$$f_0(q^2) = f_0^{(pol.)}(0) / (1 - \lambda_0^{(pol.)} q^2),$$

$$f_0(q^2) = f_0^{(lin.)}(0) \cdot (1 + \lambda_0^{(lin.)} q^2),$$

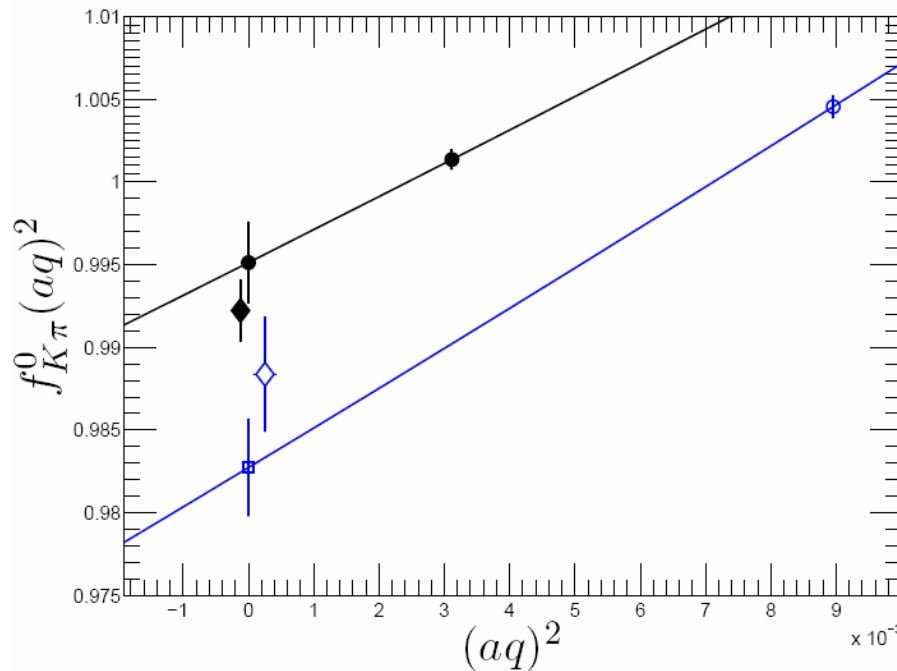
$$f_0(q^2) = f_0^{(quad.)}(0) \cdot (1 + \lambda_0^{(quad.)} q^2 + c_0 q^4).$$

*Guadagnoli et. al. (2004)*



# $K_\beta$ Decay

- ◆ Twisted-BC approach  $\tilde{\psi}(x + \hat{e}_j L) = e^{2\pi i \theta_j} \tilde{\psi}(x)$   
momentum changes by  $\tilde{p}_j = \theta_j \frac{2\pi}{L} + n_j \frac{2\pi}{L}$
- ◆ Tune  $\theta_j$  to cancel out the mass difference.
- ◆ No extrapolation in momentum is needed!



With 1.5 times the statistics, the results are compatible with the conventional ones

*J.M. Flenn et al. (2007)*

# $K_{\beta}$ Decay

## ➤ Chiral extrapolation: Ademollo-Gatto Theorem

- SU(3) symmetry-breaking Hamiltonian

$$H' = \frac{1}{\sqrt{3}} \left( m_s - \frac{m_d + m_u}{2} \right) \bar{q} \lambda^8 q$$

- There is no first-order correction  $O(H')$  to  $f_1(0)$ ; thus

$$f_1(0) = f_1^{SU(3)}(0) + O(H'^2)$$

- Common choice of observable for  $H'$ :  $M_K^2 - M_{\pi}^2$

- Step I:  $R(M_K, M_{\pi}) = \frac{1 - |f'(0)|}{a^4(M_K^2 - M_{\pi}^2)^2}$

- Step II:  $R(M_K, M_{\pi}) = b_0 + b_1 a^2 (M_K^2 + M_{\pi}^2)$

## ➤ Obtain $|V_{us}|$ from

$$\Gamma(K_{\ell 3}) = \frac{G_F^2 M_K^5}{128\pi^3} |V_{us}|^2 S_{\text{ew}} |f_+^{K^0\pi^-}(0)|^2 C_K^2 I_K^{\ell}(\lambda_i) [1 + \delta_{SU(2)}^K + \delta_{\text{em}}^{K\ell}]^2$$

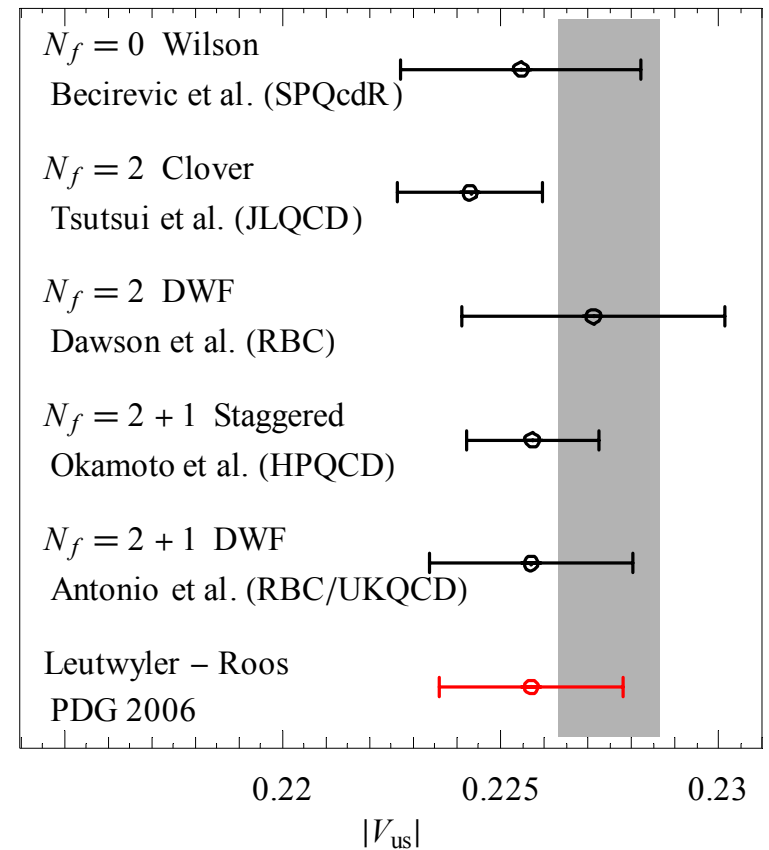
# $K_{\beta}$ Decay

## Summary of $K_{\beta}$ calculations

Use common

$$|f_+ V_{us}| = 0.2169(9) \text{ from PDG 2006}$$

Group	$N_f$	$S_f$	$M_{\pi}$ (GeV)
SPQcdR	0	Wilson	0.500–1.000
JLQCD	2	Clover	0.440–0.960*
RBC	2	DWF	0.475–0.700
HPQCD	2+1	Staggered	0.500–0.700
RBC/UKQCD	2+1	DWF	0.390–0.700



# Hyperon Decays

- Matrix element of the hyperon  $\beta$ -decay process  $B_1 \rightarrow B_2 e^- \bar{\nu}$

$$\mathcal{M} = \frac{G_s}{\sqrt{2}} \bar{u}_{B_2} (O_\alpha^V + O_\alpha^A) u_{B_1} \bar{u}_e \gamma^\alpha (1 + \gamma_5) v_\nu$$

with  $O_\alpha^V = f_1(q^2) \gamma^\alpha + \frac{f_2(q^2)}{M_{B_1}} \sigma_{\alpha\beta} q^\beta + \frac{f_3(q^2)}{M_{B_2}} q_\alpha$

$$O_\alpha^A = \left( g_1(q^2) \gamma^\alpha + \frac{g_2(q^2)}{M_{B_1}} \sigma_{\alpha\beta} q^\beta + \frac{g_3(q^2)}{M_{B_2}} q_\alpha \right) \gamma_5$$

- The vector form factor  $f_1(0)$  links to  $|V_{us}|$

$$\Gamma = G_F^2 |V_{us}|^2 \frac{\Delta m^5}{60\pi^3} (1 + \delta_{\text{rad}}) \times \left[ \left(1 - \frac{3}{2}\beta\right) (|f_1|^2 + |g_1|^2) + \frac{6}{7}\beta^2 \left( |f_1|^2 + 2|g_1|^2 + \text{Re}(f_1 f_2^*) + \frac{2}{3}|f_2|^2 \right) + \delta_{q^2} \right]$$

with  $g_1/f_1$  (exp) and  $f_2/f_1$  (SU(3) value)

# Hyperon Decay Experiments

- Experiments: CERN WA2, Fermilab E715, BNL AGS, Fermilab KTeV, CERN NA48
- Summary *N. Cabibbo et al. 2003*

with  $f_2/f_1$  and  $f_1$  at the SU(3) limit

Decay	Rate ( $\mu\text{s}^{-1}$ )	$g_1/f_1$	$V_{us}$
$\Lambda \rightarrow pe^{-\bar{\nu}}$	3.161(58)	0.718(15)	$0.2224 \pm 0.0034$
$\Sigma^- \rightarrow ne^{-\bar{\nu}}$	6.88(24)	-0.340(17)	$0.2282 \pm 0.0049$
$\Xi^- \rightarrow \Lambda e^{-\bar{\nu}}$	3.44(19)	0.25(5)	$0.2367 \pm 0.0099$
$\Xi^0 \rightarrow \Sigma^+ e^{-\bar{\nu}}$	0.876(71)	1.32(+.22/- .18)	$0.209 \pm 0.027$
Combined	—	—	$0.2250 \pm 0.0027$

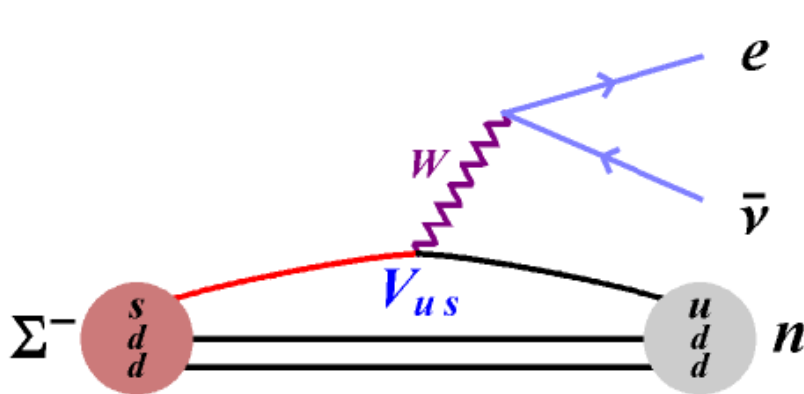
*PDG 2006* number

- Better  $g_1/f_1$  from lattice calculations?



# $|V_{us}|$ – Lattice Hyperons

- Two quenched calculations, different channels

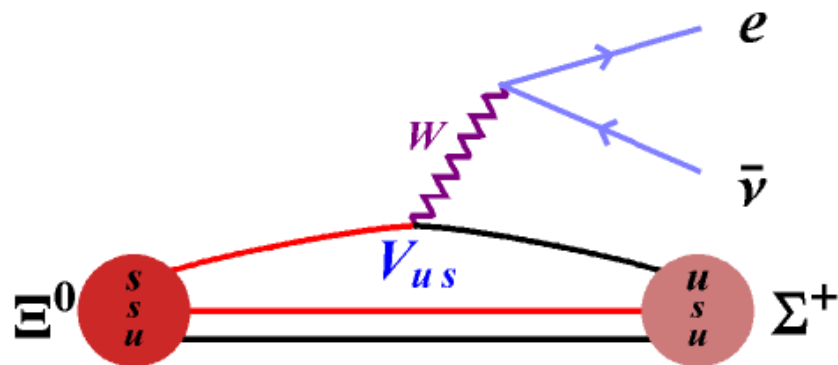


- Pion mass  $> 700$  MeV

- $f_1(0) = -0.988(29)_{\text{stat.}}$

- $|V_{us}| = 0.230(5)_{\text{exp}}(7)_{\text{lat}}$

*Guadagnoli et al.*



- Pion mass  $\approx 530$ – $650$  MeV

- $f_1(0) = 0.953(24)_{\text{stat}}$

- $|V_{us}| = 0.219(27)_{\text{exp}}(5)_{\text{lat}}$

*Sasaki et al.*

No systematic error estimate from quenching effects!

# Parameters

## ➔ This calculation:

- ➔ Mixed action (staggered sea with DWF valence)
- ➔ Pion mass range: 360–700 MeV
- ➔ Strange-strange Goldstone fixed at 763(2) MeV
- ➔ Volume fixed at 2.6 fm
- ➔  $a \approx 0.125$  fm,  $L_s = 16$ ,  $M_5 = 1.7$
- ➔ HYP-smear gauge, box size of  $20^3 \times 32$

Label	$m_\pi$ (MeV)	$m_K$ (MeV)	$\Sigma^- \rightarrow n$ conf.
m010	358(2)	605(2)	600
m020	503(2)	653(2)	420
m030	599(1)	688(2)	561
m040	689(2)	730(2)	306

# Construction

## Two-point function

$$\begin{aligned} \Gamma_{AB}^{NN}(t_i, t_f, \vec{p}; T) &\rightarrow \\ &a^6 Z_B^N(p) Z_A^N(p) \sum_s T_{\alpha\beta} \bar{u}_\alpha(\vec{p}, s) u_\beta(\vec{p}, s) \\ &\times \frac{m_N}{E_N(\vec{p})} e^{-(t_f - t_i) E_N(\vec{p})} \\ &= \left( \frac{E_N(\vec{p}) + m_N}{2E_N(\vec{p})} \right) e^{-(t_f - t_i) E_N(\vec{p})} \end{aligned}$$

## Three-point function

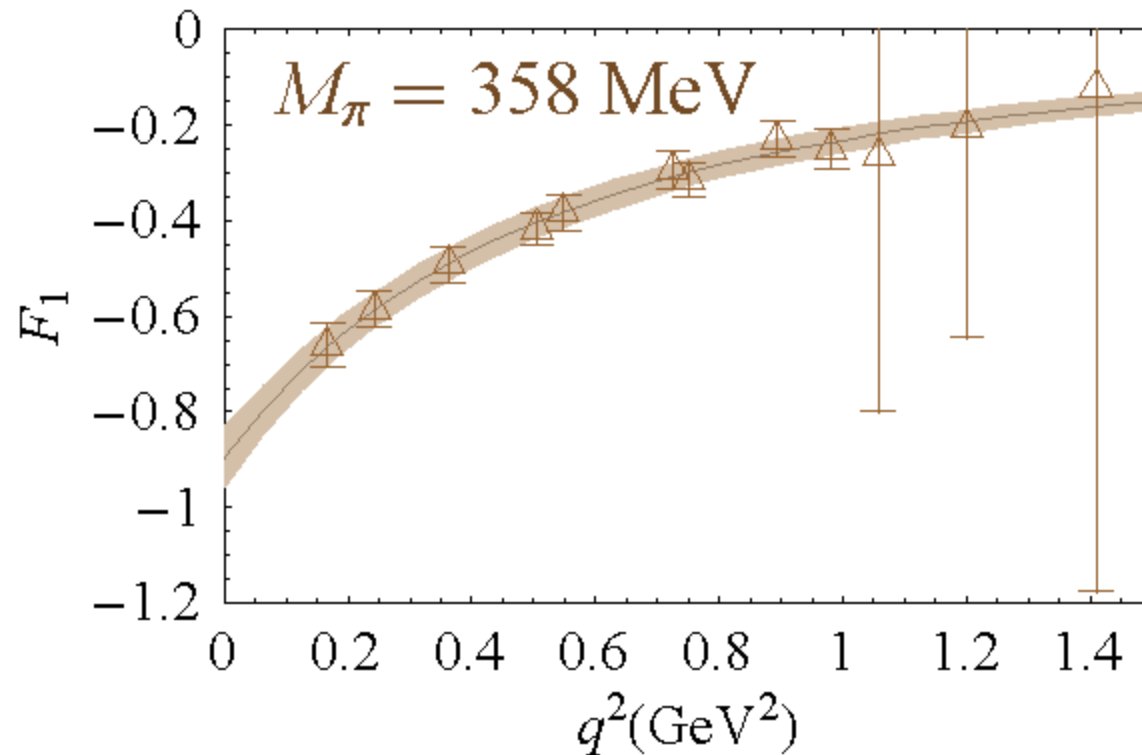
$$\begin{aligned} \Gamma_{\mu, AB}^{NN}(t_i, t, t_f, \vec{p}_i, \vec{p}_f; T) &\rightarrow \\ &= \frac{m_N^2}{E_N(\vec{p}_f) E_N(\vec{p}_i)} e^{-(t_f - t) E_N(\vec{p}_f)} e^{-(t - t_i) E_N(\vec{p}_i)} \\ &\sum_{s, s'} T_{\alpha\beta} Z_B(p_f) u_\beta(\vec{p}_f, s') \\ &\langle N(\vec{p}_f, s') | j_\mu(0) | N(\vec{p}_i, s) \rangle \bar{u}_\alpha(\vec{p}_i, s) Z_A(p_i) \end{aligned}$$

Ratio cancels out  $t$  and  $Z$  dependence

$$\begin{aligned} R_{j_\mu} &= \frac{Z_V \Gamma_{\mu, GG}^{\Sigma N}(t_i, t, t_f, \vec{p}_i, \vec{p}_f; T)}{\Gamma_{GG}^{NN}(t_i, t_f, \vec{p}_f; T)} \sqrt{\frac{\Gamma_{PG}^{\Sigma\Sigma}(t, t_f, \vec{p}_i; T)}{\Gamma_{PG}^{NN}(t, t_f, \vec{p}_f; T)}} \\ &\times \sqrt{\frac{\Gamma_{GG}^{NN}(t_i, t, \vec{p}_f; T)}{\Gamma_{GG}^{\Sigma\Sigma}(t_i, t, \vec{p}_i; T)}} \sqrt{\frac{\Gamma_{PG}^{NN}(t_i, t_f, \vec{p}_f; T)}{\Gamma_{PG}^{\Sigma\Sigma}(t_i, t_f, \vec{p}_i; T)}}, \end{aligned}$$

# Momentum Extrapolation

- Fit to the dipole form



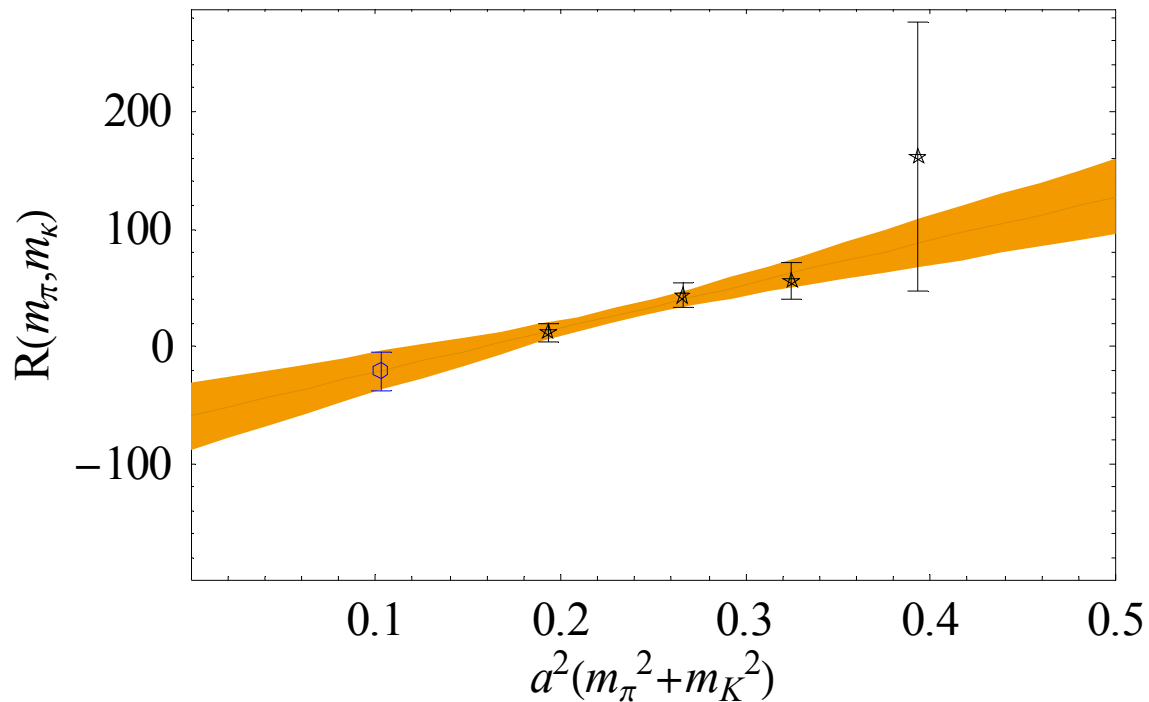
# Mass Dependence – I

- Construct an Ademollo-Gatto ratio

$$R(M_K, M_\pi) = \frac{1 - f_1(0)}{a^4(M_K^2 - M_\pi^2)^2}$$

and extrapolate mass dependence as

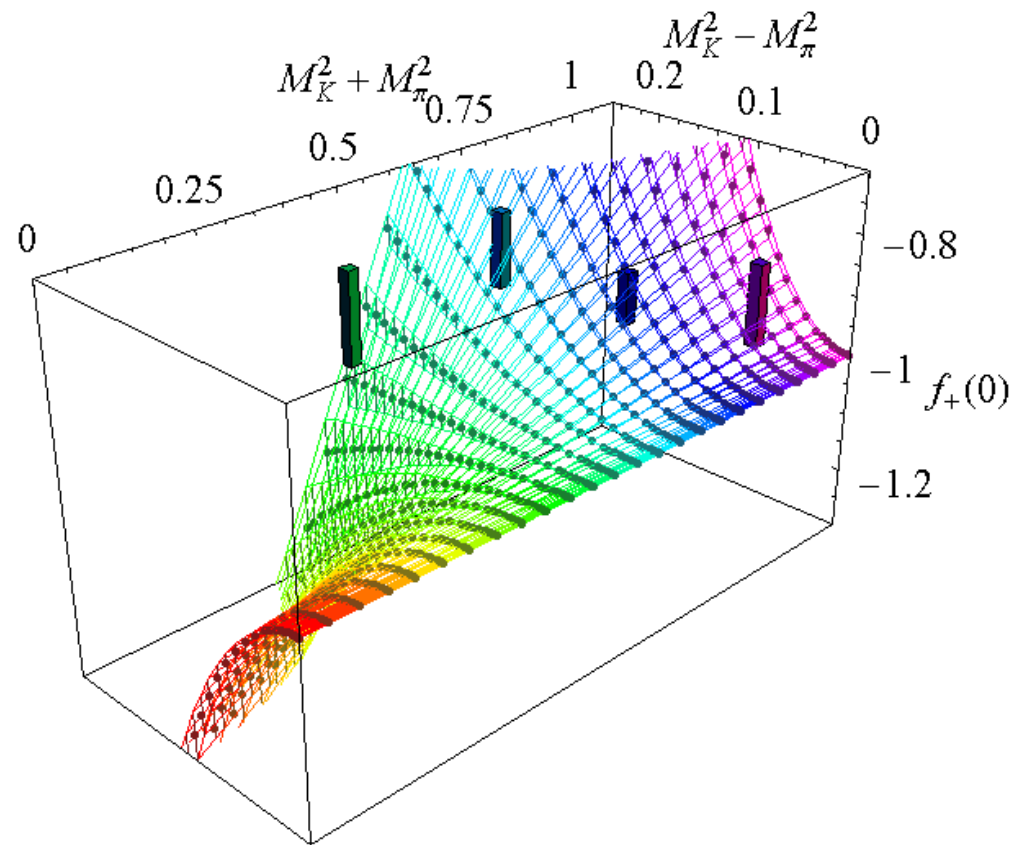
$$R(M_K, M_\pi) = b + ca^2(M_K^2 + M_\pi^2)$$



# Mass Dependence – II

➡ Do a mass extrapolation as

$$f_1(0) = -1 + (b_0 + b_1 a^2 (M_K^2 + M_\pi^2)) \times a^4 (M_K^2 - M_\pi^2)^2$$



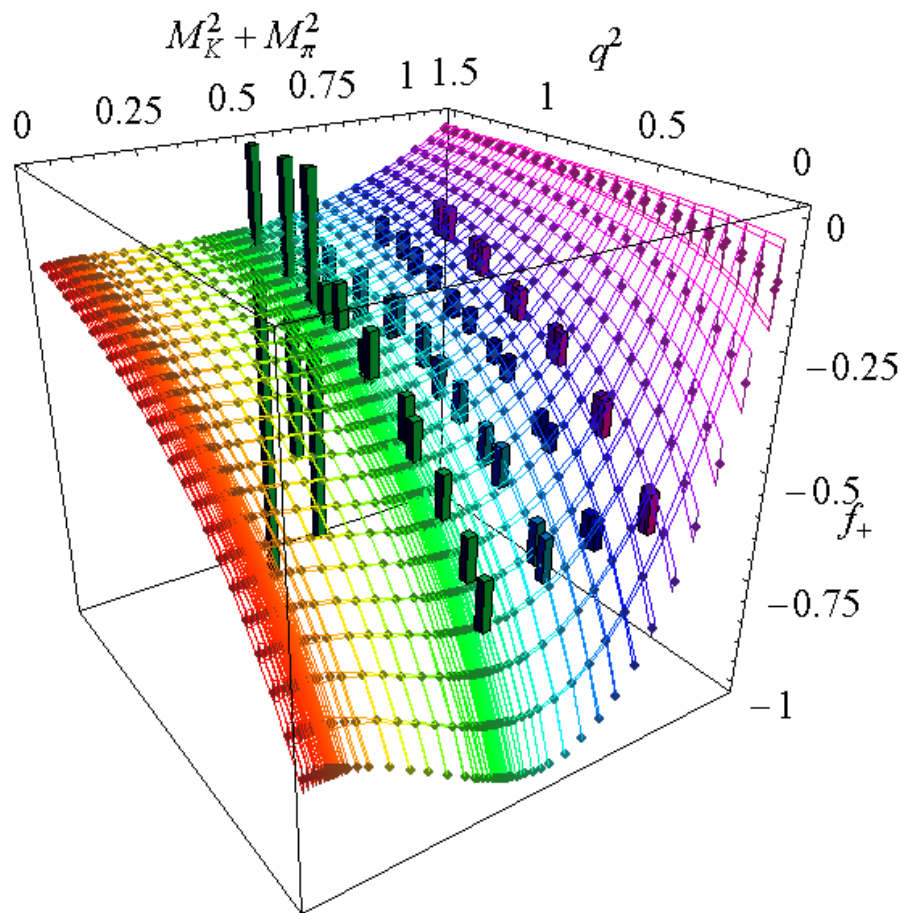
# Simultaneous Fit

- Combine the momentum and mass extrapolation into one fitting form

$$f_+(q^2) = \frac{1 + (M_K^2 - M_\pi^2)^2 (A_1 + A_2 (M_K^2 + M_\pi^2))}{\left(1 - \frac{q^2}{M_0 + M_1 (M_K^2 + M_\pi^2)}\right)^2}$$

# Simultaneous Fit

- Combined momentum and mass dependence



$$f_1(0) = -0.88(15) \text{ (Preliminary)}$$



# A Remark

- ◆ Current  $|V_{us}|$  number from hyperons *N. Cabibbo et al. 2003*  
used  $f_2/f_1$  at the SU(3) limit and  $g_2$  taken to be zero

- ◆  $f_2/f_1$

*Guadagnoli et al.*  $-0.85(45)$ ,

*This Work*  $-0.94(4)$ , consistent w/ Exp.:  $-0.96(7)$

*SU(3) limit*  $-1.297$

- ◆  $g_2 = 0?$  affects the actual  $g_1$  values

Experiment good at  $|g_1/f_1 - 0.237g_2/f_1|$

*Guadagnoli et al*  $g_2/f_1 = 0.37(14)$

*This could increase the  $|f_1 V_{us}|$*

- ◆ Will the revised calculation in  $|V_{us}|$  be consistent with the result from  $K_{l3}$ ?

# Summary/Outlook

- Taking  $f_K/f_\pi$  (MILC 2006) from lattice,

$$|V_{us}| = 0.2226(^{+26}/_{-15})$$

- $f_+$  from best lattice calculation (RBC+UKQCD 2+1f) with proper systematic error estimation

$$|V_{us}| = 0.2257(23)$$

- More work needs to be done in hyperon channels

- **Preliminary** result from Lin-Organos is consistent with the previous calculation
- We need much higher statistics for a lighter-pion mass calculation (compared with the quenched one)
- Change the calculation to Clover action to nail down this problem
- Higher precision  $g_1/f_1$ : Will make the  $|V_{us}|$  equivalently or better than the one from  $K_{l3}$  channel