

RESCINDED



Comptroller of the Currency
Administrator of National Banks
US Department of the Treasury

OCC 2002-38 has been replaced by OCC 2011-37

OCC 2002-38

Subject: Bank Supervision Operations
Date: August 19, 2002

**To: Chief Executive Officers of All National Banks,
Federal Branches and Agencies, Department and
Division Heads, and All Examining Personnel**

Description: Enforcement Action Policy

This bulletin makes public [Policies & Procedures Manual \(PPM\) 5310-3 \(REV\)](#), dated July 30, 2001, which describes the OCC's policy for taking appropriate enforcement action in response to violations of law, rules, regulations, final agency orders and/or unsafe and unsound practices or conditions (Enforcement Action Policy). The revision was sent to OCC employees at the time of issue. This PPM is applicable to all types of national banks, as well as federal branches and agencies of foreign banks. It is also applicable to enforcement actions that the OCC may take against bank service companies under 12 USC 1861. These policies and procedures provide only internal OCC guidance. They are not intended to and do not create rights, substantive or procedural, enforceable at law or in any administrative proceeding.

Various links to the revised policy are:

- [Bank Supervision Operations Policies and Procedures Manual PPM 5310-3](#)
- [Enforcement Actions Types](#)

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