



BANKING ISSUANCE

Comptroller of the Currency
Administrator of National Banks

Type: Banking Circular

Subject: Civil Money Penalties

To: The Chief Executive Officers of All National Banks, Deputy Comptrollers, Department and Division Heads, and All Examining Personnel

This issuance transmits PPM 5000-7 (revised) dated June 16, 1993, "Civil Money Penalties."

PPM 5000-7 (revised) sets forth the OCC's policy governing assessment of civil money penalties (CMPs) against national banks and individuals. The PPM explains the guidelines and procedures to be followed in determining whether to assess a CMP and an appropriate assessment amount. The purpose of the revised PPM is to further refine the OCC's policies and procedures regarding the assessment of CMPs.

Questions regarding this PPM should be directed to Special Supervision at (202) 874-4450 or the Enforcement & Compliance Division at (202) 874-4800.

Stephen R. Steinbrink
Senior Deputy Comptroller for
Bank Supervision Operations

Related Link

- [PPM-5000-7 \(Rev\)](#)