



BANKING ISSUANCE

Comptroller of the Currency
Administrator of National Banks

Type: Banking Circular

Subject: Civil Money Penalty Assessment for
Delinquent or Inaccurate Call Reports

To: The Chief Executive Officers of All National Banks, Deputy Comptrollers, Department and Division Heads, and All Examining Personnel

This issuance transmits PPM 5000-27 (revised) dated May 21, 1993, "Civil Money Penalty Assessments for Delinquent or Inaccurate Call Reports."

PPM 5000-27 (revised) sets forth the OCC's policy governing assessment of civil money penalties (CMP) against national banks for delinquent or inaccurate filing of commercial (Reports of Condition and Income) and fiduciary call reports (Annual Report of Trust Assets, Special Report, and Annual Report of International Fiduciary Activities). The PPM explains the guidelines and procedures to be followed in determining whether to assess a CMP and defines the circumstances of a delinquent or inaccurate call report filing.

The purpose of the revised PPM is to change the office responsible for notifying and assessing CMPs for delinquent call reports. The Chief National Bank Examiner has assumed responsibility for such CMPs from the Deputy Comptroller for Compliance Management. The issuance also clarifies policy definitions on assessing CMPs for delinquent and inaccurate call reports.

Questions regarding this PPM should be directed to Supervisory Information, Office of the Chief National Bank Examiner at (202) 874-5190.

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Related Link

- [PPM-5000-27](#)