Resources and Further Reading

PART I: THE RESPONSIBLE BUSINESS ENTERPRISE

Aaronson, Susan A., and James T. Reeves. *Corporate Responsibility in the Global Village: The Role of Public Policy*. Washington, D.C.: National Policy Association, 2002.

AccountAbility Web site. Available at http://www.AccountAbility.org.uk. (Accessed August 22, 2003.)

American Society of International Law. "ASIL Guide to Electronic Resources for International Law." Available at http://www.asil.org/resource/humrts1.htm. (Accessed May 27, 2003.)

BISNIS. "US-Russia Code of Conduct Basic Guidelines." Available at http://www.bisnis.doc.gov/bisnis/country/codebusen.htm. (Accessed August 22, 2003.)

Business for Social Responsibility. Available at <http://www.bsr.org>. (Accessed August 22, 2003.)

Cattaui, Maria Livanos. "Responsible Business Conduct in a Global Economy: Companies Are Now Subject to Intense Public Scrutiny. How Should They Respond?" Available at http://www.oecdobserver.org. (Accessed August 22, 2003.)

Caux Round Table. "Principles for Business." Available at http://www.cauxroundtable.org. (Accessed August 22, 2003.)

Coalition for Environmentally Responsible Economies. Available at http://www.ceres.org. (Accessed August 22, 2003.)

Codes of Conduct. "Interest Groups—Nongovernmental Organizations." Available at <http://www.codesofconduct.org/interest.htm>. (Accessed May 27, 2003.)

Collins, James C., and Jerry I. Porras. *Built to Last: Successful Habits of Visionary Companies*. New York: HarperBusiness, 2002.

Ellsworth, Richard R. Leading with Purpose: The New Corporate Realities. Stanford, Calif.: Stanford University Press, 2002.

Global Reporting Initiative. "Sustainability Reporting Guidelines." Available at http://www.globalreporting.org. (Accessed August 22, 2003.)

Global Sullivan Principles Web site. Available at <http://www.globalsullivanprinciples.org>. (Accessed August 22, 2003.) Gordon, Kathryn. "The OECD Guidelines and Other Corporate Responsibility Instruments: A Comparison." Available at http://www.oecd.org. (Accessed August 22, 2003.)

Grayson, David, and Adrian Hodges. *Everybody's Business: Management Risks and Opportunities in Today's Global Society*. London and New York: DK Publishing, 2002.

Institute of Directors in South Africa Web site. Available at <http://www.iodsa.co.za>. (Accessed August 22, 2003.)

Interfaith Center on Corporate Responsibility, Taskforce on Churches and Corporate Responsibility. "Principles for Global Corporate Responsibility: Benchmarks for Measuring Business Performance." Available at <http://www.web.net/~tccr/benchmarks/index.html>. (Accessed June 7, 2003.)

International Chamber of Commerce Web site. Available at http://www.iccwbo.org. (Accessed August 22, 2003.)

------. "The Business Charter for Sustainable Development—16 Principles." Available at http://www.iccwbo.org/home/environment_and_energy/sdcharter/charter/about_charter.asp. (Accessed August 22, 2003).

International Corporate Governance Network Web site. Available at http://www.icgn.org. (Accessed August 22, 2003.)

International Electrical and Electronic Engineers. "Code of Ethics." Available at http://www.ieee.org/portal/index.jsp?pageID=corp_level1&path=about/whatis &file=code.xml&xsl=generic.xsl>. (Accessed August 22, 2003.)

——. "Software Engineering Code of Ethics and Professional Practice." Available at http://computer.org/certification/ethics.htm. (Accessed August 22, 2003.)

International Labor Organization Web site. Available at http://www.ilo.org. (Accessed August 22, 2003.)

Joseph, Joshua. *National Business Ethics Survey 2000*. Washington, D.C.: Ethics Resource Center, 2000.

——. *National Business Ethics Survey 2003*. Washington, D.C.: Ethics Resource Center, 2003.

Micklethwait, John, and Adrian Wooldridge. *The Company: A Short History of a Revolutionary Idea*. New York: Modern Library, 2003.

Monks, Robert A. G., and Nell Minow. *Corporate Governance*. 2nd ed. Oxford, U.K.: Blackwell, 2001.

Organization for Economic Cooperation and Development. "Home: Corporate Social Responsibility." Available at http://www.oecd.org/oecd/pages/home/

displaygeneral/0,3380,EN-home-126-3-no-no-no,00.html>. (Accessed June 6, 2003.)

———. "Home: Guidelines for Multinational Enterprises." Available at http://www.oecd.org/EN/home/0,,EN-home-93-3-no-no-no,00.html. (Accessed June 6, 2003.)

Paine, Lynn Sharp. Value Shift: Why Companies Must Merge Social and Financial Imperatives to Achieve Superior Performance. New York: McGraw-Hill, 2003.

Roddick, Anita. "A Third Way for Business, Too." *New Statesman*, April 3, 1998. Available at http://www.questia.com>. (Accessed June 8, 2003.)

Social Accountability International Web site. Available at http://www.cepaa. org>. (Accessed August 22, 2003.)

Stiglitz, Joseph. Globalization and Its Discontents. New York: W. W. Norton, 2002.

SustainAbility. "Developing Value." Available at <http://www.sustainability. com/developing-value/contents.asp>. (Accessed June 4, 2003.)

Treviño, Linda K., and others. "Managing Ethics and Legal Compliance: What Works and What Hurts." *California Management Review* 41 (Winter 1999): 131–51.

United Nations Global Compact Network. "The Global Compact." Available at http://www.unglobalcompact.org/Portal. (Accessed August 22, 2003.)

United Nations Office of the High Commissioner on Human Rights. "The International Bill of Human Rights." Available at http://www.unhchr.ch/html/menu6/2/fs2.htm. (Accessed May 27, 2003.)

Waddock, Sandra. *Leading Corporate Citizens: Vision, Values, Value Added*. Boston: McGraw-Hill, 2002.

Zadek, Simon. *The Civil Corporation: The New Economy of Corporate Citizenship*. London and Sterling, Va.: Earthscan, 2001.

PART II: THE BUSINESS ETHICS PROGRAM

Carroll, Archie B., and Ann K. Buchholtz. *Business and Society: Ethics and Stakeholder Management*. 4th ed. Cincinnati, Ohio: South-Western, 2000.

Carver, John, and Caroline Oliver. *Corporate Boards That Create Value: Governing Company Performance from the Boardroom.* San Francisco: Jossey-Bass, 2002.

Collins, James C. Good to Great: Why Some Companies Make the Leap ... and Others Don't. New York: HarperBusiness, 2001.

Freeman, R. Edward. *Strategic Management: A Stakeholder Approach*. New York: Basic Books, 1984.

Grundy, Tony. Implementing Strategic Change. London: KoganPage, 1993.

Hesselbein, Frances. "When the Roll Is Called in 2010." In *On Creativity*, *Innovation, and Renewal*, edited by Frances Hesselbein and Rob Johnson. San Francisco: Jossey-Bass, 2002.

Hofstede, Geert. Cultures and Organizations: Intercultural Cooperation and Its Importance for Survival. New York: McGraw-Hill, 1997.

Hofstede, Geert, and others. "What Goals Do Business Leaders Pursue? A Study in Fifteen Countries." *Journal of International Business Studies* 33, no. 4 (2002). Available at http://www.questia.com>. (Accessed June 7, 2003.)

Jacobs, Robert W. Real Time Strategic Change: How to Involve an Entire Organization in Fast and Far-Reaching Change. San Francisco: Berrett-Koehler, 1994.

Johnson, Kenneth W. "The Role of Culture in Achieving Organizational Integrity, and Managing Conflicts between Cultures." Washington, D.C.: Ethics and Policy Integration Centre. Available at http://www.Ethics-Policy.net/quest_5.html. (Accessed May 27, 2003.)

Kotter, John P., and James L. Heskett. *Corporate Culture and Performance*. New York: Free Press, 1992.

Nadler, David A. Champions of Change: How CEOs and Their Companies Are Mastering the Skills of Radical Change. San Francisco: Jossey-Bass, 1998.

Robertson, Christopher J., and James J. Hoffman. "How Different Are We? An Investigation of Confucian Values in the United States." *Journal of Managerial Issues* 12, no. 1 (2000): 34. Available at http://www.questia.com>. (Accessed June 7, 2003.)

University of Missouri Extension and Outreach. "Program Planning and Development—Program Logic Model." Available at ">http://outreach.missouri.edu/staff/programdev/plm>. (Accessed August 22, 2003.)

U.S. Department of Health and Human Services. "Corporate Responsibility and Corporate Compliance: A Resource for Health Care Boards of Directors." Available at http://oig.hhs.gov/fraud/docs/complianceguidance/040203Corp RespRsceGuide.pdf>. (Accessed June 7, 2003.)

PART III: STRUCTURING THE BUSINESS ETHICS PROGRAM

Boeing. "Boeing Ethics Challenge." Available at http://www.boeing.com/com-panyoffices/aboutus/ethics. (Accessed August 22, 2003.)

Chiquita Brands International. "Corporate Responsibility: Living Our Core Values." Available at http://www.Chiquita.com. (Accessed August 22, 2003.)

Driscoll, Dawn-Marie, and W. Michael Hoffman. *Ethics Matter: How to Implement Values-Driven Management*. Waltham, Mass.: Center for Business Ethics, 2000.

EthicsWeb.ca. "Applied Ethics Resources on the Web." Available at http://www.ethicsweb.ca/ resources>. (Accessed June 7, 2003.) [An excellent source for codes of ethics and a collection of ethical decision-making models.]

HCA Inc. "Introduction to Ethics, Compliance and Corporate Responsibility." Available at http://ec.hcahealthcare.com. (Accessed August 22, 2003.)

Hoffman, W. Michael. "Writing a Company's Code of Ethics." Available at http://www.iit.edu/departments/csep/perspective/persp_v19_fall99_5.html. (Accessed August 22, 2003.)

Howmet Castings. "Code of Ethics and Standards of Business Behavior." Available at http://www.howmet.com/home.nsf/files/booklet/\$file/booklet.pdf. (Accessed August 22, 2003.)

International Standards Organization. "The Magical Demystifying Tour for ISO 9000 and ISO 14000." Available at http://www.iso.ch/iso/en/iso9000-14000/tour/magical.html. (Accessed June 6, 2003.)

Joseph, Joshua. "Integrating Business Ethics Programs: A Study of Ethics Officers in Leading Organizations," *Business and Society Review* (Fall 2002): 309–47.

Josephson Institute of Ethics. "The Seven Step Path to Ethical Decisions." Available at http://www.josephsoninstitute.org/MED/MED-4sevensteppath. htm>. (Accessed August 22, 2003.)

Kaplan, Jeffrey M., Joseph E. Murphy, and Winthrop M. Swenson. *Compliance Programs and the Corporate Sentencing Guidelines: Preventing Criminal and Civil Liability*. Eagan, Minn.: West Group, 2002.

Kusserow, Richard P., and Andrew H. Joseph. Corporate Compliance Policies and Procedures: A Guide to Assessment and Development. Marblehead, Mass.: Opus Communications, 2000.

LeClair, Debbie Thorne, O. C. Ferrell, and John P. Fraedrich. *Integrity Management: A Guide to Managing Legal and Ethical Issues in the Workplace*. Tampa, Fla.: University of Tampa Press, 1998.

Machan, Tibor R., and James E. Chester. *A Primer on Business Ethics*. Lanham, Md.: Rowman & Littlefield, 2002.

Martens, Lori Tansey, and Amber Crowell. "Whistleblowing: A Global Perspective" (Part I). *Ethikos* (May/June 2002): 6–8.

-------. "Whistleblowing: A Global Perspective" (Part II). *Ethikos* (July/August 2002), 9–12.

Murphy, Diana E. "The Federal Sentencing Guidelines for Organizations: A Decade of Promoting Compliance and Ethics." 87 *Iowa L. Rev.* 697, 2002. Available at http://www.ussc.gov/corp/Murphy1.pdf>. (Accessed August 22, 2003.)

NTT Group. "NTT Group Ethical Code on Environmental Issues." Available at http://www.ntt.co.jp/kankyo/e/2002report/qa/chapter1/q04_2.html. (Accessed August 22, 2003.)

Ombudsman Association Web site. Available at http://www.ombuds-toa.org/all_about_toa.htm>. (Accessed May 27, 2003.)

Page, Stephen B. *Establishing a System of Policies and Procedures*. Mansfield, Ohio: Bookmasters, 1998.

Rainforest Alliance. "Profiles in Sustainable Development Partnerships: Chiquita Reaps Better Bananas." Available at http://www.rainforest-alliance.org/programs/profiles/ag-chiquita-profile-11-14-02horizon.pdf>. (Accessed August 22, 2003.)

Robertson, Chris, and Paul A. Fadil. "Developing Corporate Codes of Ethics in Multinational Firms: Bhopal Revisited." *Journal of Managerial Issues* 10, no. 4 (1998): 454. Available at http://www.questia.com. (Accessed June 7, 2003.)

Royal Dutch/Shell. "Statement of General Business Principles." Available at http://www.shell.ca/code/values/commitments/principles.html. (Accessed August 22, 2003.)

Solomon, Robert C. *A Better Way to Think about Business: How Personal Integrity Leads to Corporate Success*. New York and Oxford, U.K.: Oxford University Press, 1999.

Treviño, Linda K., and Katherine A. Nelson. *Managing Business Ethics: Straight Talk about How to Do It.* 2nd ed. New York: John Wiley & Sons, 1999.

U.S. Department of Justice. "Principles of Federal Prosecution of Business Organizations." Available at http://www.usdoj.gov/dag/cftf/business_organizations.pdf>. (Accessed May 23, 2003.)

PART IV: PUTTING BUSINESS ETHICS INTO PRACTICE

Agreement on Government Procurement. Available at <http://www.wto.org/ english/docs_e/legal_e/gpr-94.pdf>. (Accessed June 6, 2003.)

Badaracco, Joseph L. Jr. *Leading Quietly: An Unorthodox Guide to Doing the Right Thing*. Boston: Harvard Business School Press, 2002.

Bennett, Juliette. "Multinational Corporations, Social Responsibility, and Conflict." *Journal of International Affairs* 55, no. 2 (2002). Available at http://www.questia.com>. (Accessed June 2003.)

Bossidy, Larry, and Ram Charan. *Execution: The Discipline of Getting Things Done*. New York: Crown Business, 2002.

Financial Action Task Force on Money Laundering. "Basic Facts about Money Laundering." Available at http://www1.oecd.org/fatf/MLaundering_en.htm

#How%20does%20money %20laundering%20affect%20business?>. (Accessed June 6, 2003.)

Naim, Moises. "The Five Wars of Globalization." *Foreign Policy* (January/February 2003). Available at http://www.questia.com. (Accessed June 7, 2003.)

Paine, Lynn Sharp. "Managing for Organizational Integrity." *Harvard Business Review* (March-April 1994): 106–17.

Transparency International. "Bribe Payers Index." Available at http://www.transparency.org. (Accessed May 27, 2003.)

Wiehen, Michael H. "Citizens against Corruption: Calling Government to Account." In *No Longer Business as Usual: Fighting Bribery and Corruption*, 199–213. Paris: OECD, 2000.

PART V: ACHIEVING RESPONSIBLE BUSINESS CONDUCT

AccountAbility. "AA1000S Framework." Available at http://www.accountability.org.uk. (Accessed August 22, 2003.)

Bolan, Marc, Kimberly Francis, and Jane Reisman. *How to Manage and Analyze Data for Outcome-Based Evaluation*. Seattle, Wash.: Organizational Research Services, 2000.

Kline, Peter, and Bernard Saunders. *Ten Steps to a Learning Organization*. 2nd ed. Arlington, Va.: Great Ocean, 1998.

Lusthaus, Charles, and others. *Organizational Assessment: A Framework for Improving Performance*. Washington, D.C.: Inter-American Development Bank, 2002.

Marquardt, Michael J. Action Learning in Action: Transforming Problems and People for World-Class Organizational Learning. Palo Alto, Calif.: Davies-Black, 1999.

Nielsen, Richard P. The Politics of Ethics: Methods for Acting, Learning, and Sometimes Fighting with Others in Addressing Ethics Problems in Organizational Life. New York: Oxford University Press, 1996.

Reisman, Jane, and Judith Clegg. *Outcomes for Success*. Seattle, Wash.: Organizational Research Services and Clegg & Associates, 1999.

Reisman, Jane, and Richard Mockler. *A Field Guide to Outcome-Based Program Evaluation*. Seattle, Wash.: Evaluation Forum, 1994.

Senge, Peter M. The Fifth Discipline: The Art and Practice of the Learning Organization. New York: Doubleday/Currency, 1990.

Tansey, Lori A., Gary Edwards, and Rachel E. Schwartz. "Compliance Program Modification and Refinement." Chapter 5 in *Compliance Programs and the Corporate Sentencing Guidelines: Preventing Criminal and Civil Liability*, edited by Jeffrey M. Kaplan, Joseph E. Murphy, and Winthrop M. Swenson. Eagan, Minn.: West Group, 2002.