

## **NOTIFICATION OF POST-EMPLOYMENT NEGOTIATION OR AGREEMENT AND RECUSAL STATEMENT**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires certain employees to file a statement notifying their agency ethics official of any negotiation for or agreement of future employment or compensation with a non-federal entity within three business days after commencement of the negotiation or agreement. Employees who file this notification statement also must file with their agency ethics official a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity. In any such case, the employee must recuse, unless the employee has first obtained a written waiver or authorization as discussed in 5 C.F.R. § 2635.605, or qualifies for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

### **NOTIFICATION OF POST-EMPLOYMENT NEGOTIATION OR AGREEMENT**

<b>Name of Employee</b>	
<b>Agency/Office</b>	
<b>Date Negotiation or Agreement Commenced</b>	
<b>Name(s) of Non-Federal Entity or Entities</b>  Disclose each non-federal entity with which you are negotiating for or have an agreement of future employment or compensation.	

### **RECUSAL STATEMENT**

For as long as I am negotiating for or have an agreement of employment or compensation with any entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of that entity, unless I first obtain a written waiver or authorization consistent with 5 C.F.R. § 2635.605, or qualify for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

<b>Employee Signature</b>	<b>Date Submitted</b>
<b>Agency Ethics Official Signature</b>	<b>Date Received</b>

Notices with an original signature must be sent to the Ethics Law and Programs Division at the U.S. Department of Commerce, 1401 Constitution Ave, NW, Room 5898C, Washington D.C. 20230.