UNITED STATES OF AMERICA

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DEPARTMENT OF COMMERCE

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NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

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MARINE FISHERIES ADVISORY COMMITTEE

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Wednesday, May 13, 2009

The Marine Fisheries Advisory Committee met in the Colton Rooms I and II at the Monterey Conference Center, One Portola Plaza, Monterey, California 93940, at 8:29 a.m., James W. Balsiger, Vice Chair, presiding.

MEMBERS PRESENT:

JAMES W. BALSIGER, Vice Chair
MARK HOLLIDAY, Executive Director
TOM J. BILLY, Committee Liaison
RANDY CATES
BILL DEWEY
ANTHONY D. DILERNIA
PATRICIA DOERR

EDWIN A. EBISUI, JR.

ERIKA A. FELLER

MARTIN FISHER

ROBERT FLETCHER

CATHERINE L. FOY

STEVE JONER

DOROTHY M. LOWMAN

HEATHER D. McCARTY

TOM RAFTICAN

ERIC C. SCHWAAB

DAVID H. WALLACE

CONSULTANTS TO MAFAC PRESENT:

RANDY FISHER
JOHN V. O'SHEA
LARRY SIMPSON

9

ALSO PRESENT:

1
2 MATT ATEMSEY
3 TOM BIGFORD
4 STAN DEVERUX
5 WALT DICKHOFF
6 PAUL DOREMUS
7 JESSICA M. DUTTON
8 MIKE ENG
9 CHURCHILL GRIMES
0 TIM HANSEN
1 HEIDI LOVETT
2 SAM RAUCH
3 ALAN RISENHOOVER

JOHN STEIN CHARLIE WAHLE

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| 7 | a separate volume) | |

P-R-O-C-E-E-D-I-N-G-S

(8:29 a.m.)

MR. BILLY: We'll get started now.

First, on behalf of the Committee, I'd like to thank the organizers of the wonderful reception last night. So, Tom, you and your colleagues that put all that together, we very much appreciate it. It was a wonderful venue, great food, and a nice opportunity in a casual setting to talk to other people about matters of the Committee and other matters of interest. So, thank you very

(Applause.)

MR. RAFTICAN: You're very welcome. I was just trying to keep up with Larry. I had been shown up here on New Orleans.

MR. SIMPSON: I think that the aquarium was a good idea.

MR. RAFTICAN: Yeah, the aquarium was a great idea.

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much.

MR. BILLY: Okay. Alright. We have a series of briefings this morning with a common theme. And to set a broad framework for these briefings and our discussions, I'd like to call on Mark Holliday.

problem DR. HOLLIDAY: Thanks, Tom. So yesterday we spent our time looking at seafood safety, health, and quality. And today's theme we're looking at multiple governance, multi-sector use of the ocean, and regional ocean governance to set the stage for some policy discussions by MAFAC about future directions. And so I'll just run down the list of speakers so you'll have this common thread about how we are going to approach the future stewardship of living marine resources, their habitats in the world that we talked about in Vision 2020, the future scenarios that we will be looking at.

And so this morning will start with John Stein, who is the Deputy Director of the Northwest Fisheries Science Center,

who will talk to us about one of the big drivers that's on the table today for governance of the science side of ocean acidification.

That will be followed by a presentation by Tom Bigford from the NOAA Habitat Conservation Office in Silver Spring. He'll be talking about energy briefing, you know, the more traditional uses of oil and gas and alternative energies, where NOAA is right now and our positioning our role in the future as we look towards greater energy security as a national policy.

We'll take a morning break as usual and we'll come back and have a discussion about to governance types of presentations, one on the actual structure and approach to regional ocean governance with collaboration with other entities that have a stake in a long-term policy for governing the oceans. I'll be giving a presentation as part of the work that we've

been doing in the Office of Policy.

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And then Charlie Wahle is here from the National Ocean Service, and he's going to give us our primer on marine spatial planning, where NOAA would like to go using this particular tool of analysis approach to governance to set the stage for, again, where do we go as a -- you know, policy advice on where we go in the future and how do we approach some of the challenges for resolving the conflicts and integrating the uses and non-uses of the oceans in a governance framework.

And that all sort of tees up and segues to the final presentation for the morning, which is Paul Doremus, Deputy of the NOAA Program Planning and Integration Office in Silver Spring. He'll talk to us about what NOAA is doing for the next generation strategic plan, some of the ideas and concerns and the framework of how they are structuring, producing that strategic plan.

And many of the issues that we are raising this morning are either drivers or elements, you know, for consideration in putting together the future direction for NOAA.

So altogether we'll have an ample time for discussion after each subject, and we'll be able to talk about some of the questions in more detail this afternoon in these subcommittee meetings where we can get into more depth about some of the detailed questions. So it's sort of queued up for the morning talking about sort of the big picture of drivers and influences on policy and what NOAA should be doing or is already planning to do. And we'll get your feedback as a group over the course of today and tomorrow.

MR. BILLY: I have just a couple of questions to help me frame this discussion. Are we talking about the entire Earth in terms of the oceans, or are we focused on the EEZ and the areas where the U.S. has jurisdiction? How broad is this

| 1 | drop, what is |
|---|--|
| 2 | DR. HOLLIDAY: I think it |
| 3 | coincides with what NOAA's role is, and |
| 4 | NOAA's role |
| 5 | MR. BILLY: That's the framework? |
| 6 | DR. HOLLIDAY: goes from the |
| 7 | watersheds and our interactions with states |
| 8 | inland to the territorial sea where we're in |
| 9 | collaborations with coastal states to the |
| 0 | EEZ, to our international collaborations or |
| 1 | bilaterals, and our role in international |
| 2 | organizations for science and stewardship. |
| 3 | So it runs parallel to what NOAA's mission |
| 4 | is. |
| 5 | MR. BILLY: Okay, good. |
| 6 | VICE CHAIR BALSIGER: Google |
| 7 | Earth. |
| 8 | DR. HOLLIDAY: The Earth is our |
| 9 | system, right. |
| 0 | MR. BILLY: Yeah. Okay. |
| 1 | DR. HOLLIDAY: I'm sure Dr. |
| 2 | Lubchenco, you know, would say it more |

eloquently, but it's the big enchilada.

MR. BILLY: Um-hum. Okay. All

right, thanks.

3

DR. HOLLIDAY: Thank you.

MR. BILLY: All right. John.

DR. STEIN: Okay, thank you.

MR. BILLY: You have the floor.

You're welcome.

DR. STEIN: Yes. I'm from the Northwest Fisheries Science Center, but today I'm really wearing the hat of being a member of a steering committee of an ad hoc working group within NOAA, all of NOAA, working on ocean acidification.

And so what I want to do today is talk to you some about the science behind ocean acidification, then some of the recent NOAA activities, status of legislation related to ocean acidification, and then touch on some adaptation/mitigation questions. And, to follow-up on Tom's comment, this clearly is a driver that is

global in scale. It's not unique to the U.S., but certain areas may be more sensitive than others.

So also I want acknowledge in part of that I was speaking for the group, in essence, and I'm also speaking from a talk prepared a lot by Dr. Dick Feely from Pacific Marine Environmental Lab, part of the Oceanic and Atmospheric Research Office within NOAA.

He's been a real leader in the area of the chemistry of carbon in the ocean. So, in a sense, that's what I'm talking about.

So ocean acidification has been classified as global warning's evil twin.

It's the other consequence. The ocean is important. It does take in CO2, and that's a good thing. And if it didn't, we would be in a very different state than we are now. But there is a consequence of taking up that CO2. Let me get the laser pointer.

So this is the Keeling curve, it's called. It's the measurement of CO2 in the

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atmosphere and certainly illustrates, again, the great importance of long-time theories in understanding what's going on both within the U.S. and globally.

This is the level of CO2 in seawater. The main point is that it's parallel, the slopes are pretty parallel, meaning that the level of CO2 has increased relative to increasing CO2 in the atmosphere, which is -- the IPCC has come out and basically said it's due to anthropogenic sources.

The bottom curve is the change in pH measured in the ocean. There is now the Earth System Research Lab, as part of NOAA, which has 66 stations around the globe monitoring these kinds of measurements. And that's the change or the decrease in pH. So, like I said, while it does absorb CO2, and that's a good thing, there is a decrease in pH, which I will talk about how that has negative consequences.

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| L | so the average ph of the world's |
|---|---|
| 2 | ocean is about 8.2, just some basic |
| 3 | chemistry. It's moderately alkaline, and |
| 4 | it's buffered by calcium carbonate, another |
| 5 | important factor. If we didn't have a lot of |
| 6 | calcium carbonate, the ocean would be a lot |
| 7 | more acidic. And, as I mentioned, it's |
| 8 | correlated with the increase in CO2. There's |
| 9 | been a change at about 0.1 pH unit. We've |
| 0 | got to remember pH is on a log scale. That's |
| 1 | a significant amount, and it's predicted, if |
| 2 | everything goes as it has been, but there are |
| 3 | white air bombs, that it could decrease by up |
| 4 | to a half a unit in pH, which would be |
| 5 | dramatic. |

So this is just to say that we have had an increase in CO2. It is projected by different -- whoops, wrong button -- okay.

It is projected to increase further. And, depending on the scenario, it could increase greatly. So we're not out of the woods.

So what is ocean acidification?

1 CO2 has to go somewhere. Okay. This is just
2 basic chemistry. It's called LeChatalier's
3 principle. You put something in equilibrium,
4 you've got two things in equilibrium, you put
5 pressure on one side, it's got to react and
6 go the other way. So if you add CO2 to the
7 atmosphere, it's got to go somewhere.

So it goes into the water and forms carbonic acid, weak acid. That dissociates into hydrogen ion, which changes the pH, and bicarbonate. What -- how that dissociates again into -- or reacts with -- the hydrogen ion reacts with the carbonates in the system and forms bicarbonate. So the net result is that the level of carbonate decreases. Okay. And I'll get to that point.

So this is showing and the figure is showing what has happened to date in that pH has decreased by a unit; carbonate has decreased; and the partial pressure of CO2 has increased. And these are the projections

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that could occur into the future unless something changes.

And, like I said, the pH change would be much more dramatic if we didn't have carbonate. But it's the carbonate ion that controls the saturation state in the ocean which affects the ability of critters with calcium shells, calcium-dependent shells, to form.

So this is just to show that we would increase CO2 -- if we stopped and started to have a decrease, we would still see lag in the changes in CO2 in the atmosphere. Okay. And that what this is trying to show is that with depth and with time you could see changes in the ocean. And what this shows is that the ocean is not homogeneous, that you're going to see changes in pH at different levels within the ocean, because it takes a while for that CO2 to equilibrate and work its way down into the ocean.

So we could see a change in the level of carbonate in the system, and unless things change, about a 30- percent to 50-percent decrease in the carbonate, which would be not good.

increase in acidity, which would correspond to a decrease of carbonate ion of about 16 percent. And these could have serious impacts. What this is trying to show here is that the types of critters and organisms that can be affected are commercially of some significant value to this country and globally, as well. And so that is the concern, is one of the concerns.

So I talked about saturation

depths. And aragonite and calcite are just

two different forms of calcium carbonate.

Calcium carbonate forms different structures.

And shell-forming animals and other things

are dependent on either aragonite or calcite.

And what this shows is depth, this

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| 1 | is depth, and it shows the aragonite |
|---|--|
| 2 | saturations on this, where there is a high |
| 3 | level of carbonate in the water. And the |
| 4 | blue shows that it doesn't go very deep. The |
| 5 | red shows that it's fairly cheap, in other |
| 6 | words, a lot of the water column within the |
| 7 | ocean is saturated with calcium carbonate. |
| 8 | This is a good thing; this is an important |
| 9 | thing for forming shells. In certain places |
| 0 | it's not so deep. And in the southern ocean |
| 1 | it's not so deep. |
| 2 | So these, particularly in the |
| 3 | North Pacific, southern ocean, Indian Ocean, |
| 4 | they are particularly susceptible to ocean |
| 5 | acidification, but no place is immune. |
| 6 | So, anyway, yeah, ask questions |
| 7 | as I go along, I think is the best way to do |
| 8 | it, if there are any. |
| 9 | MR. SIMPSON: Do you have no data, |
| 0 | John, back in the Gulf of Mexico, |
| 1 | DR. STEIN: No data? |
| | MR SIMPSON: white what is |

white? DR. STEIN: Oh, white is -- oh, that's a really good point. Yeah, there is 3 some missing -- there are some missing areas where this figure shows --MR. SIMPSON: Okay. DR. STEIN: I don't think it's that we have no data missing for this type of model. MR. SIMPSON: Right. MR. RANDY FISHER: That's because it's already a mess. DR. STEIN: Yeah, it's already done in. So we can calculate the pH at which calcium carbonate, you know, precipitates or dissolves, and that's called the saturation state. I'll get to that point and we're closer. And that's generally closer to dissolution with increasing depth. In other words, the calcium carbonate starts to dissolve as you go deeper.

And, as I just mentioned, the saturation depth is much shallower in the North Pacific versus Atlantic. And because the ocean mixes slowly, half of the anthropogenic carbon dioxide is stored in the upper ten percent of the ocean.

In other words, we're not at equilibrium. And if you remember the slide previously, if we even stop CO2 now there will be a lag in response because the ocean is not equilibrium. It's still in the process, still in the process of getting warmer. It's still in the process of dealing with this increased CO2 that's in the atmosphere.

So back to this equation. It's always good for a chemist to be able to just show how chemistry can actually have a big effect. CO2, we're increasing that. So we're pushing it this way. So we're increasing the amount of carbon -- bicarbonate and decreasing the amount of

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carbonate. Okay.

And over in the sense of

geological scales, the amount of calcium in

the ocean is pretty much constant. So the

level of carbonate drives this equation which

drives whether it's saturated, it's at

equilibrium, or it starts to dissolve. Okay.

So, in other words, you decrease

carbonate, you go from a state of

precipitation where calcium carbonate can

form to a point where it's in equilibrium to

a point where it's basically, if you're a

critter with a calcium carbonate shell, you

start to dissolve.

So this is just some data to show that there is data out there, and we have some data. So this is the increase in bicarbonate. Bicarbonate increases in this direction, but we're going to look this way. Here is the increase in the pressure of CO2 in the ocean, but not of CO2 that's dissolved into seawater.

As you can see, you see a linear decrease in calcification rate. In other words, how fast or at what rate calcium carbonate is laid down as to form shelves.

And this is for coral, in particular. And you can see that at some point you do, you actually go to zero, and you have no calcification, no ability to calcify.

Therefore, the corals would be bare. They might still be alive, but then

They have no shells, so that's what, in a sense, this shows.

Natural processes do affect ocean acidification. And here on the West Coast,

they're probably good food for somebody else.

as many of the West Coast people are aware, we're very much -- the California current is a system driven by upwelling.

Key upwelling is critical for productivity of the system, critical for a number of species, but it also brings up -- remember, the saturation depth changes. In

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other words, deeper water is not as
saturated. So upwelling brings up water that
can be corrosive. In other words, it can
bring up water that has lower pH and can
dissolve shells or lead to calcium carbonate
dissolving. Okay.

Also, as you remember I said that we're not at equilibrium, so this upwelled water is relatively old water. So right now the water that came ashore in the last couple of years is 50 years old. That means -- and since CO2 is still decreasing into the future, then we would have more corrosive water that could reach the surface. That's what this is to show.

So the models -- so one of the reasons why ocean acidification has not -- has only more recently gained further attention -- it's not that it hasn't been out there for a while, but the models predicted that these corrosive waters, and I mean corrosive because in a sense they're like --

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I shouldn't say it this way -- but I mean it's the battery-acid effect. I mean they're a lower pH. They dissolve things.

You know the trick when you were a kid, putting vinegar in with sodium bicarbonate, whoosh. That's too dramatic for what this is, but I mean that's the same principle. That's the same reaction.

The models predicted that ocean acidification would not be an issue for about 50 more years. So the focus had been, well, if it's not an issue for 50 years, then we should focus on global warming or the temperature change more than ocean acidification. But -- and this is, you know, somewhat tongue-in-cheek, but somewhat true, too. I mean all models are wrong; some are useful.

And so that's why you don't defend on all the models. You go out and verify.

You go out and do field studies. You conduct lab studies, to both test your model and then

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| 1 | improve it. |
|---|---|
| 2 | So what Dick Feely and colleagues |
| 3 | did from Canada and Mexico was conduct a |
| 4 | cruise up and down, from Canada all the way |
| 5 | to Mexico, and run transects, you know, along |
| 6 | this way. And basically what this says is |
| 7 | this is aragonite saturation arising in |
| 8 | other words, where does it go to one, and |
| 9 | then lower. In other words, where does it |
| 0 | become corrosive. So what |
| 1 | MS. FOY: So it's later. |
| 2 | DR. STEIN: Yeah, I know. |
| 3 | MS. FOY: John, I lost just a |
| 4 | second there. |
| 5 | DR. STEIN: I know. That's okay. |
| 6 | Good. Thank you for stopping |
| 7 | MS. FOY: That one in there where |
| 8 | you have |
| 9 | DR. STEIN: It's a zone. |
| 0 | MS. FOY: Zone or either |
| 1 | okay. Where you're getting shell |
| 2 | DR. STEIN: Right, right. It's a |

```
-- and it's not so much a line as it is a
zone.
           MS. FOY: Right, right. So you've
got a --
           DR. STEIN: Right.
           MS. FOY: Yeah.
           DR. STEIN: But, right.
                                    That --
that --
           MS. FOY: But whatever it is falls
through that zone, it has to --
           DR. STEIN: Yeah, we'll get --
we'll get to that, right.
           MS. FOY: Gotcha.
           DR. STEIN: So as the CO2 mixes
and it gets into that zone -- and then what
I'll talk about in a moment is that as -- so
you have a balloon in the upper water column.
 That starts to decay, --
           MS. FOY: Right.
           DR. STEIN: -- drops into the
lower water column out of the floating zone
and starts to decay. So it's called re-
```

mineralization. So the CO2 that was fixed and turned into -- inside the phytoplankton become and get returned back into CO2. So CO2 then gets into this zone, you know, and further deeper. Some of it stays fixed, goes to the deep ocean, and gets sequestered. So that's one of the positive aspects of the ocean relative to -- but it's one of the concerns that there is one -- there are proposals out there to do something called ocean fertilization, which has its own issues to be looked at and dealt with.

So the point is, is that with upwelling there's shoaling, or this stuff is bringing -- this stuff is being brought closer to the surface. And with strong upwelling these waters come onto the shelf and can even come right onto the surface and right close to shore, highly corrosive waters, affecting benthic organisms, juveniles, larvae, et cetera.

So this was what was supposed to

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| L | happen 50 years from now. In two |
|---|---|
| 2 | observations we've shown that it happens now. |
| 3 | So corrosive waters are reaching the coast. |
| 1 | And, remember, these are older waters so |
| 5 | these corrosive waters will get more acidic |
| 5 | as the ocean tends to try to get to |
| 7 | equilibrium. |
| 3 | MR. CATES: I have a question. |
| Э | DR. STEIN: Yes, sir. |
|) | MR. CATES: How do we know this |
| L | hasn't been occurring for a long, long time? |
| 2 | DR. STEIN: In what sense do you |
| 3 | ask that question? |
| 1 | MR. CATES: Well, we hear |
| 5 | fishermen, you know, back in the '20s and |
| 5 | '30s, all of a sudden they had a fishery and |
| 7 | then in a couple years it just wasn't there. |
| 3 | There's no real |
| Э | DR. STEIN: Right. |
|) | MR. CATES: explanation. It |
| L | happened, I guess, to the sardine fishery. |
| 2 | DR. STEIN: Right. Oh, yeah. No, |

| 1 | go ahead. |
|---|--|
| 2 | MR. CATES: Could this be what was |
| 3 | occurring, this case particularly? |
| 4 | DR. STEIN: Well, yeah. The ocean |
| 5 | is dynamic. Clearly in upwelling areas it's |
| 6 | highly dynamic. As the PDO, the Pacific |
| 7 | Decadal Oscillation shifts the strength and |
| 8 | amount of upwelling can change dramatically. |
| 9 | So at certain so at one level, you're |
| 0 | right. I mean these corrosive waters, you |
| 1 | know, in a poor upwelling year will not come |
| 2 | ashore. So they will not have as big an |
| 3 | impact certain years than other years. But - |
| 4 | _ |
| 5 | MR. CATES: Is there any way |
| 6 | DR. STEIN: Yeah, go ahead. |
| 7 | MR. CATES: to look back in |
| 8 | time to find out |
| 9 | DR. STEIN: Yes. |
| 0 | MR. CATES: whether this has |
| 1 | been occurring? |
| 2 | DR. STEIN: Yes. There have been |

| L | and I don't understand this as well. I |
|---|---|
| 2 | haven't had a chance to really delve into it. |
| 3 | But there are there have been about six |
| 1 | episodes on geologic time scales of |
| 5 | significant ocean acidification events that |
| 5 | have been linked to some of the major |
| 7 | extinctions on Earth and we see dramatic |
| 3 | declines. I mean major, major changes. I |
| 9 | mean it's highly correlated. |
|) | And at the same time, then, you |
| L | know, the system pops back. You open up a |
| 2 | lot of space and you get a lot of high |
| 3 | diversity afterwards, but you've got to live |
| 1 | through the downswing, but so there is |
| 5 | correlation between this type of an event and |
| 5 | things in the past. |
| 7 | MR. SIMPSON: John, what kind of |
| 3 | cycle? I mean this has happened five, six, |
| Э | seven times |
|) | DR. STEIN: Um-hum. |
| L | MR. SIMPSON: in the dataset |
|) | that you're looking at obviously you're |

| 1 | talking decadal at least, right? |
|---|--|
| 2 | MS. FOY: I think he's talking |
| 3 | DR. STEIN: There are I want to |
| 4 | address yeah, there are these long we |
| 5 | got to think about these long-time factors |
| 6 | that happened on earth. It's not a static |
| 7 | system. A lot of things have changed. |
| 8 | MS. FOY: Right. |
| 9 | DR. STEIN: What is happening now |
| 0 | so there are those types of events and |
| 1 | then what's happening now is the rate at |
| 2 | which it's happening. We're having these |
| 3 | changes, current rates that we've never seen |
| 4 | not even close. So these rates of change are |
| 5 | much, much, much faster. |
| 6 | MR. SIMPSON: Are we measuring |
| 7 | better or are we really |
| 8 | DR. STEIN: No. No, it's clear, |
| 9 | because we have changed the atmosphere |
| 0 | significantly, dramatically, and rapidly by |
| 1 | the increase. I mean, I go back to I'll |
| 2 | go back to the first slide. I mean it's |

irrefutable that the level of CO2 has increased and increased dramatically. And the chemistry is -- it's not a theory. It's got to go somewhere.

Bob.

MR. FLETCHER: Have you done
measurements coast-wide and offshore to see
the distribution of these corrosive deep
waters?

DR. STEIN: Right. Bob, that's what all this is right here. And we'll go to the next slide.

MR. FLETCHER: Okay.

DR. STEIN: And that shows you the actual transects of this study that was published in *Science*. And we have -- and there are buoys, one right off here, and at different sites, to take measurements continuously. But you need to then go out and do these surveys. I mean that was sort of my point, is that the model predicted one thing, these surveys have actually shown

```
something else.
              MR. FLETCHER: They're also
   measuring.
3
              DR. STEIN: Yes. Oh, yes,
   definitely. It's a depth, it's a total CTD
   cast with -- and significant high-quality
   measurements of then alkalinity, CO2, and
   then calculation of pH. So any further
   questions about this one?
              MR. JONER:
                          John?
              DR. STEIN: Steve.
              MR. JONER: These buoy readings,
   is that averaged over a period of five years,
   five months, --
              DR. STEIN: No, some of them --
   no, this -- some of these buoys --
              MR. JONER: -- or are they just a
   snapshot?
              DR. STEIN: Well, no. The buoys
   have not been in for a long time yet. Okay.
    So I mean that's part of what -- it doesn't
   matter which one I look at either -- that's
```

| 1 | part of what is needed, is systematic |
|---|---|
| 2 | sampling and then a systematic array of buoys |
| 3 | kept for a while in there so we can really |
| 4 | have high-quality data over time. |
| 5 | MR. JONER: And how does the |
| 6 | amount of upwelling affect this over a period |
| 7 | of, you know, a decade so if we had well, |
| 8 | for example, we had that event in 2005 |
| 9 | DR. STEIN: Right. It wouldn't |
| 0 | have been the |
| 1 | MR. JONER: where there was no |
| 2 | upwelling in |
| 3 | DR. STEIN: Right. The corrosive |
| 4 | waters would not have come ashore at that |
| 5 | time. You're right. No, absolutely. But |
| 6 | the other factor is that we had deepwater |
| 7 | corals, right? |
| 8 | MR. JONER: Um-hum. |
| 9 | DR. STEIN: We have shallow and |
| 0 | so this horizon is shoaling that's coming up. |
| 1 | So are deepwater corals are going to be |
| 2 | impacted by corrosive waters, which is going |

| 1 | to be more independent of the PDO. But |
|---|---|
| 2 | certainly the upwelling and what effect on |
| 3 | the coastal and shallow waters is driven by |
| 4 | levels of upwelling. There's no doubt about |
| 5 | that. |
| 6 | MS. FOY: John, |
| 7 | DR. STEIN: But as this keeps |
| 8 | shoaling, it keeps rising, then it's going to |
| 9 | happen more often. |
| 0 | MR. JONER: Right. |
| 1 | DR. STEIN: Okay. |
| 2 | MS. FOY: John, are we expecting |
| 3 | there to be any that will occur with it? |
| 4 | DR. STEIN: Okay. So that's I |
| 5 | was going to there is an interaction |
| 6 | there, |
| 7 | MS. FOY: Yeah. |
| 8 | DR. STEIN: like I talked to |
| 9 | you before. So you have an anoxic event. |
| 0 | Okay. So you have a bloom, |
| 1 | MS. FOY: Um-hum. |
| 2 | DR. STEIN: stuff drops to the |

bottom and starts to decompose, --MS. FOY: Right. DR. STEIN: -- produces a hypoxia by that decomposition because it's using up oxygen and reforming CO2. MS. FOY: Right. DR. STEIN: So it's actually an interaction. MS. FOY: Now --DR. STEIN: So actually in Hood Canal we think that that's in part what's going on. We've observed some of the lowest pH levels measured on Earth in Hood Canal, Washington, because of interaction probably between hypoxia and then these kinds of waters being brought in deep and dropped into the Canal. MS. FOY: Now it's been a long time since I've had organic chemistry, but if I'm correct, you have to have anoxic conditions to form methane, right? DR. STEIN: Yeah.

| 1 | MS. FOY: So now we're talking |
|----|---|
| 2 | about methylating mercury? So |
| 3 | DR. STEIN: Yes. Anoxia |
| 4 | hypoxia is really changes the |
| 5 | biogeochemistry of mercury directly. |
| 6 | MS. FOY: So we are talking about |
| 7 | across the food chain? |
| 8 | DR. STEIN: These are some of the |
| 9 | things that we don't know, is these |
| 0 | interactions on these. So how does |
| 1 | temperature and pH change, interact. We |
| 2 | think there is an interactive effect that |
| 3 | actually enhances the effect of increased pH, |
| 4 | another good-news story. |
| 5 | So what are some of the concerns? |
| 6 | Clearly, I hope I made the case about these |
| 7 | kinds of critters, reduced calcification. In |
| 8 | other words, shells do not form as well. |
| 9 | Okay. |
| 0 | MS. FOY: And this is way up at |
| 1 | the top of the food chain? |
| 2. | DR. STEIN: Yes. |

MS. FOY: Feeder, that --DR. STEIN: Right. Unless you -right. 3 MS. FOY: -- or that's the forage. DR. STEIN: Right, right. I don't have a picture of a -- well, there is kind of a copepod up there. Yeah, if you have -- for example, on the West Coast if there was a significant effect that led to a dramatic effect on copepods, we'd be in serious trouble for a lot of things. Reduced calcification rates have clearly been shown and a concern. pH changes -- as Cathy mentioned -- a number of things, but it really affects speciation of trace elements, which are also important, well, from a methylmercury perspective as well as 18 from --MS. FOY: Selenium? DR. STEIN: -- key physiological -These are the needs of certain - yeah. critters -- could have shifts in nutrient

composition, too.

You're going to have winners and losers. Phytoplankton diversity could change. What that actually means we don't have strong evidence for it.

Juveniles as in -- I mean you could think of this as a toxicology question as well. So similar to certain other chemicals that are toxic to critters, many juveniles and larvae are often more sensitive. And some of the initial studies are showing that juveniles and larvae are also more sensitive to decreases in -- or ocean acidification. Okay.

Reduced tolerance. In other words, here is another stress on top of other stress. Changes in fitness and survival, that's the 'winners and losers' type of idea. There will be differences. And I have some data to show you about that.

Changes in species biogeography.

In other words, where will species be able to

adapt and live? Changes to biogeochemical cycles that Cathy just alluded to, changes in food webs. That's the ultimate -- that's one of the ultimate consequences that is of most concern, and what might they be. We don't know that yet. Changes to the ecosystem and the services provided, but the uncertainties are great and there's a lot more work that needs to be done. But the phenomenon is real. a little bit added on to that slide. Animals with carbonate shells that form from calcite, aragonite, those that live in shallow water -MR. FLETCHER: John? DR. STEIN: Yes. Certainly, Bob. MR. FLETCHER: Will this lead to changes in distribution because the animals are realizing what they're --DR. STEIN: Yeah. MR. FLETCHER: -- and move to get away from that?

| 1 | DR. STEIN: Well, they're either - |
|---|---|
| 2 | - either they're moving or in a certain area, |
| 3 | you know, they get extirpated in one area, |
| 4 | and then they're surviving somewhere else. |
| 5 | MR. FLETCHER: The reason I ask |
| 6 | that is that we've had this really strange |
| 7 | movement of Humboldt squid |
| 8 | DR. STEIN: Um-hum. |
| 9 | MR. FLETCHER: in areas that |
| 0 | they hadn't been seen for 50 or more years. |
| 1 | I guess a lot of people have raised questions |
| 2 | about why that's happened, but nobody has a |
| 3 | great answer. I'm wondering whether this |
| 4 | could |
| 5 | DR. STEIN: Well, I yeah, I |
| 6 | doubt if ocean acidification would be the |
| 7 | cause of this. But pH does affect, for |
| 8 | example, the ability of certain the homing |
| 9 | sense, homing abilities of certain animals. |
| 0 | In other words, changes in pH can affect |
| 1 | their ability to navigate, probably. |
| | |

I'll allude to pteropods --

they're cute little things; they swim like
this -- they're important food items, but
they have aragonite shells and they're pretty
sensitive to pH changes, carbonate changes,
and they're important food items. Clearly
crustaceans, crabs, lobster, shrimp, oysters
-- oysters are not crustaceans, sorry -could be affected. We don't know to what
extent.

Corals clearly have been, as I showed earlier. And because the saturation horizon is not very deep, deepwater corals in the North Pacific are at risk. And then the whole interactions within the food web are causing fin fishes to be affected because their food is affected. And I've got a little bit to show you.

So just -- then cut a little bit
-- okay. We are serious about this. People
are trying to develop systems to do the
really more elaborate experiments to
understand really environmentally-relevant

changes in CO2, what's going on. So we're trying to look from phytoplankton up in the National Marine Fisheries Service.

And there are studies that show that from a phytoplankton perspective there's actually an increase, because it's like what you've heard about terrestrial systems. Ιf you add CO2 to the atmosphere will that increase plant growth. Well, phytoplankton are plants of the sea, so to speak. phytoplankton does respond to increased CO2. But do some respond more than others? Changes in species dominance, those changes -- that could have cascading effects on the food web, that's why we're trying to build ecosystem models to understand, well, if you affect the species, how might it translate? Then you need to go back to the field and make the measurements to see if that's actually occurring.

And then there's going to be an interaction between temperature and CO2. And

what does that mean? So, for example, here - which we haven't done this experiment yet,
but we have looked at data and harmful algal
blooms, as temperature increases their window
of opportunity, if you will, increases. In
other words, you have a greater opportunity
to have a harmful algal bloom at higher
temperatures because more of the season -there is a larger season for those blooms to
occur.

If you then are decreasing the pH, which increases phytoplankton growth, the interaction between temperature and increased — or decreased pH could even further magnify. So you get what is called a synergistic effect. We don't know. And these are the type of experiments that we need to do and do well.

So that's actually a set of work that's being done at the Northeast Fisheries Science Center. So we're working in a collaborative way among Science Centers

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within the Fisheries Service, so we're
working on in a collaborative way among
Science Centers within the Fisheries Service
to look at this issue. We think that's the
best way to approach it.

work we're doing also in the National Marine
Fisheries Service. And it highlights what I
just talked about, that you need field
studies. So what does the ocean look like?
What is pH? And this is not -- some of you
who have never taken laboratory chemistry
courses -- this is not about taking a pH
meter and sticking it in seawater. That is
not accurate enough.

You have to do much different

types of measurements to calculate these

kinds of pH changes, because it's critical

that you have highly-accurate information

from the context of knowing what is changing

over time or not and how is it changing, you

know, across the region. So you need

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information about that. We need to standardize and build exposure systems that allow us to compare information across different laboratories so that we can feed models with better data.

We need to know basic things about what the crustaceans and bivalves in a region, what they're made of, what their shells look like, to know sensitivity. And then we need to develop these food-web models, because it's not obvious. I don't think it's obvious about how the effects could cascade through the system.

So then you build your model, test it. It says something. Come back. Do your lab, your field experiments, redo those, have that also influence your laboratory experiments because you're going to find certain things that are most sensitive in these models. You need to verify and improve that information. So that kind of cycle is really important.

So the idea that -- how does it affect food webs? So this is the idea, that coccolithophores are the food for copepods; copepods are food for -- this example is salmon. There's other examples. Pteropods -- these little things, they swim -- are also major food sources for juvenile salmon and particularly pink salmon.

So some modeling efforts were done. This just shows where different species are found and dependent on the North Pacific Ocean. This shows you the food web - or the diet -- sorry -- not the food web, the diet of pink salmon. And what this box shows you is that ocean acidification is a minor or potentially a more major impact in certain ways than temperature.

So a lot has been focused on temperature. An increase in temperature might lead to a three-percent drop in mature salmon bodyweight, a physiological effect, for example. Okay. This is from the

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bioenergetic model.

The change in diet or prey from
the effects of ocean acidification on
pteropods would lead to a ten-percent
decrease in pteropod production, would lead
to a 20-percent drop in mature salmon body
weight. In other words, ocean acidification
is at least equal to or potentially more of
an issue with respect to certain species -than the pink salmon in this regard than is
increased temperature, because you are what
you eat. It's that simple.

So here is an example I think someone brought up. So here is some more recent research, that ecosystem change -- well, I mean -- I think, Bob, you're bringing it up and others, what happens if this water comes to shore -- and Steve brought it up as well -- this is awfully close to your place, Steve.

MR. JONER: Yes. I don't eat mussels. I swore off acid.

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| 1 | (Laughter.) |
|----------------------------|--|
| 2 | DR. STEIN: Yeah, these are out |
| 3 | there. |
| 4 | MS. LOWMAN: Yeah. |
| 5 | DR. STEIN: So what this shows is |
| 6 | that there has been a change. So the |
| 7 | increase in CO2 into the ocean is changing |
| 8 | the chemistry of the ocean. The PDO and |
| 9 | other factors that change how those waters |
| 0 | within the ocean reach the shore and can |
| 1 | affect the shoreline. |
| | |
| 2 | And what this shows is that there |
| 2 | And what this shows is that there has been a change, winners and losers. At |
| | |
| 3 | has been a change, winners and losers. At |
| 3 | has been a change, winners and losers. At higher pH you have shelled, a lot of shelled |
| 3 4 5 | has been a change, winners and losers. At higher pH you have shelled, a lot of shelled species. I mean this is not the model. These are measurements at a site off Tatoosh |
| 3 4 5 | has been a change, winners and losers. At higher pH you have shelled, a lot of shelled species. I mean this is not the model. These are measurements at a site off Tatoosh |
| 3 4 5 6 | has been a change, winners and losers. At higher pH you have shelled, a lot of shelled species. I mean this is not the model. These are measurements at a site off Tatoosh Island off the Washington coast. |
| 3 4 5 7 8 | has been a change, winners and losers. At higher pH you have shelled, a lot of shelled species. I mean this is not the model. These are measurements at a site off Tatoosh Island off the Washington coast. You get a change to fleshy algae |
| 3 4 5 6 7 8 | has been a change, winners and losers. At higher pH you have shelled, a lot of shelled species. I mean this is not the model. These are measurements at a site off Tatoosh Island off the Washington coast. You get a change to fleshy algae and barnacles. In other words, other species |

And I think I talked about this. I won't spend a lot of time on it. 3 basically this is just showing what Cathy brought up, is that you have CO2, you get blooms. It's a normal cycle. It drops. Some of it gets re-mineralized. In other words, the organic molecules, you know, react and get oxidized, get turned into CO2 again. So that's the interaction between hypoxia. So you get some release then of CO2 at depth. I can speak to some of this. certainly Bill has been very engaged in this issue. It's that there has been failure of larval oyster recruitments in recent years in the Pacific Northwest, both in the field and at hatcheries that supply a vast majority of the industry with juveniles for their sustenance, if you will. 18 19 One thought was that vibrio tubiashii, a pathogen, was a factor, but there were certain things that weren't aligning with that question, so people

started to think about other things and started looking at data that perhaps low pH waters were a factor as well.

And in the last couple of years, not this year, the impact on them has been near complete failure in ability to raise juvenile oysters, and it appeared to be related to water quality. So are larval oysters, in a sense, a canary in a coal mine for near-shore ocean acidification, as well as having the point that ocean acidification could be having a significant impact already on commercial industries.

So, again, a point that it was both in the wild as well as at the hatcheries. They're taking actions now to try to figure this out. We're trying to help, others are trying to help to figure out why it is going on, what is going on, and what should be done.

And this is just to show, from one of Bill's sites, measurements of pH at that

these kinds of data, these kinds of
monitoring data, are important to have. And
you can see these dramatic excursions, if you
will, to low pH. And so the question is -well, I mean nobody's asked this yet, but I
suppose it's out there. So, hold it. If you
see this kind of data, this hasn't just
started in the last five years. Okay.

You get excursions of pH in the coastal areas for a variety of reasons, and so why should we be concerned? I mean, haven't species adapted to this? The point is, is that, yeah, they have adapted to some pH changes. They've had to. But the length of the excursion, the depth of the excursion, and how long it lasts is lasting longer. Have they adapted? Are they able to adapt to that increased stress? That we don't know. And certainly in other situations we would say that they likely aren't able to, have not adapted. So that's another kind of

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| 1 | experiment that needs to be done that hasn't |
|---|--|
| 2 | been done is, in a sense, doing exposures |
| 3 | with this kind of regime. Sudden swings, |
| 4 | change of how long they're at different pHs. |
| 5 | And that could have a dramatic effect. |
| 6 | Steve. |
| 7 | MR. JONER: Just before the slide |
| 8 | I was going to ask: What is the effect of |
| 9 | runoff? To me this is the |
| 0 | DR. STEIN: Sure. Yeah. No, |
| 1 | there is there is |
| 2 | MR. JONER: this is Washington |
| 3 | weather here. |
| 4 | DR. STEIN: You're right. Winters |
| 5 | are bad. And discharge from freshwater |
| 6 | systems also affects the growth. So, again, |
| 7 | you've got another interaction between that. |
| 8 | So |
| 9 | MR. DEWEY: This is relative to |
| 0 | that. |
| 1 | DR. STEIN: Yeah, go ahead, Bill. |
| 2 | MR. DEWEY: Steve there's |

actually a published study out on the East Coast of the United States where you have more acidic runoff from the river water. Feely's work is more closely to ocean acidification. DR. STEIN: Right. This project on the MR. DEWEY: East Coast actually looked at the estuarine acidification and saw some very dramatic effects associated with the acid rain and runoff in the estuaries. I might, maybe while I have the floor just elaborate --DR. STEIN: Sure, absolutely. MR. DEWEY: -- a little bit. mean this is obviously a huge issue for our industry. As you saw in the earlier slides, the Northwest Pacific Ocean is one of the areas being most dramatically affected. And it has had a dire consequence on our industry. And whether it is ocean acidification or this vibrio tubiashii, we're

still trying to sort that out.

3

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But we've essentially had seed failures in the industry for four years running now. And there's a pretty good segment of our industry that relies -- in Wilipah Bay, one of our major producing areas, the Pacific oyster is naturalized out there. And so a lot of the growers depend on catching seed from the wild as opposed to hatchery-produced seed.

And those natural sets have totally failed for the last four years. So those growers are just about out of oysters and out of business. And then one of the main hatcheries in Netarts Bay, Oregon produces about 80 percent of the larvae for the West Coast. Their production was off 80 percent last year and that's been comparable the years prior. Last year was probably the worst.

This year they're having some success on at Netarts, but it's been

intermittent. Our hatchery -- this picture is -- you know, we've been plagued, as well.

Our oyster production has been off 50 to 60 percent. This year we're having a little better luck. Something's changed in the ocean conditions this year. We're having some better luck.

But I know the Whiskey Creek folks this year, you know, they're tracking upwelling and pH a lot more closely than they have in the past. And as soon as they get an upwelling event, they're seeing the pH drop to as low as 7.5 and all the oyster larvae in the hatchery crashes.

And they've been able to adjust the pH. I mean logically in a closed system in a hatchery you'd think you could play around and change the pH, which they can.

And that seems to be effective for producing mussel and clams seed. But for oyster seed there is something still different in the ocean chemistry, and the oyster seed still

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| 1 | fails. And that's, you know, that's a big |
|---|---|
| 2 | segment of our industry. Those other species |
| 3 | are smaller segments of our industries. So |
| 4 | we appreciate NOAA's help. |
| 5 | We've got we met with Steve |
| 6 | Murawski and obviously John and the folks at |
| 7 | the Mountlake Lab. And they're trying to |
| 8 | help as they can. And we've got a number of |
| 9 | other scientists on the West Coast engaged on |
| 0 | the problem, but Dick Feely was the |
| 1 | keynote speaker at our Shellfish Growers |
| 2 | Conference last fall. I missed his talk. I |
| 3 | walked in just after it, and it was like |
| 4 | someone had dropped a bomb. You know, I mean |
| 5 | there were some very sad, long faces in the |
| 6 | room. He was not painting a very good |
| 7 | picture for our industry at all. |
| 8 | MS. FOY: John, if you don't mind |
| 9 | |
| 0 | DR. STEIN: Yes. |
| 1 | MS. FOY: if I direct a |
| 2 | question to Bill? |

| 1 | Are you seeing any reduced fitness |
|---|---|
| 2 | indicators in the adults, is there reduced |
| 3 | MR. DEWEY: That's a good |
| 4 | question. |
| 5 | MS. FOY: reproductive |
| 6 | potential or |
| 7 | MR. DEWEY: So, as I think John |
| 8 | pointed out earlier, some of the larval |
| 9 | stages are probably more vulnerable. And the |
| 0 | reason for that is bivalve mollusks use two |
| 1 | different forms of calcium carbonate. As |
| 2 | juveniles they use aragonite and as adults |
| 3 | they use calcite. And the calcite is less |
| 4 | prone to erosion from the acid waters than |
| 5 | the aragonite. The aragonite is most |
| 6 | susceptible, so the larval stages are where |
| 7 | we're seeing the effects. |
| 8 | DR. STEIN: And so I think Bill |
| 9 | Jim. |
| 0 | VICE CHAIR BALSIGER: I'm sorry, I |
| 1 | missed a little bit of this. But early in |
| 2 | your presentation, one of the few places I've |

| 1 | seen where you have dollar values, and you |
|------------------|---|
| 2 | attributed this to the shellfish industry. |
| 3 | But, of course, as you pointed out, once all |
| 4 | of the shelly-type animals are gone, that's |
| 5 | just the start of it |
| 6 | DR. STEIN: Exactly. No, exactly. |
| 7 | VICE CHAIR BALSIGER: so that |
| 8 | that number that you have attached to this |
| 9 | industry, as devastating as it could be for |
| 0 | people like Bill, that's just the start of |
| 1 | it, so |
| 2 | DR. STEIN: It's the canary |
| 3 | MR. DEWEY: Very literally, you |
| | |
| 4 | know, the canary in the coal-mine scenario is |
| 4 5 | |
| | know, the canary in the coal-mine scenario is |
| 5 | know, the canary in the coal-mine scenario is true. I mean we're the first industry being |
| 5 | know, the canary in the coal-mine scenario is true. I mean we're the first industry being directly potentially directly affected by |
| 5 6 7 | know, the canary in the coal-mine scenario is true. I mean we're the first industry being directly potentially directly affected by it. But there's no question, when you take |
| 5 6 7 | know, the canary in the coal-mine scenario is true. I mean we're the first industry being directly potentially directly affected by it. But there's no question, when you take out the base of the food chain, it's going to |
| 5 6 7 8 | know, the canary in the coal-mine scenario is true. I mean we're the first industry being directly potentially directly affected by it. But there's no question, when you take out the base of the food chain, it's going to change all the fisheries up and down the |

example. I mean, you know, just in the three species he talked about he's seen very different responses. And so the question is why. And we've done experiments really that are just to look at what Bill alluded to, well, does aragonite dissolve, does calcite dissolve.

But calcium transport and calcium is highly important, very important in homeostasis in cells. And if you affect some of those critical pathways by changes in pH then, for example, there's people starting to think about, well, what about calcium as important for completing the reproductive cycle of very, very small copepod larvae.

So if you altered that, as Jim said, and stopped copepod production, that would not have a good effect. So, actually, is this the tip of the iceberg. This -- I'm not saying that this decline is due to ocean acidification. What I'm trying to say here is that it's now -- we have to consider, I

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that we must consider ocean acidification as
a potential contributing factor, at a
minimum, in some of these declines that we've
contributed to other things.

And I said, as Bill mentioned, I
mean some of the lowest levels that Dick
Feely has ever measured on pH have been found
in Hood Canal. And the author of this,
Randy's from the Point No Point Treaty
Council that, you know, degraded
environmental conditions. Well, we don't
know exactly what that means, but the point

think, -- the weight of evidence suggests

So, to wrap up some of this uplifting science, is that there is -- much of our present knowledge stems from what we call abrupt perturbation experiments. You know, I mean it's -- it reminds me of

toxicology 25 years ago, aquatic toxicology

is, I think, ocean acidification changes pH.

at as a potential causative factor.

It has to start to be factored in and looked

| 25 years ago. We were kind of ham-handed |
|--|
| using big hammers. We're not too fine yet. |
| We need to do some more sophisticated |
| experiments. |

We've done single species and strains. We've done it under short terms and with often grade stream pH changes. That gives you range-finding information, but it doesn't give you good information to build good models, for example.

We need to know more about responses of genetically-diverse populations, both different species as well as different strains of species. That could give us information about who could be the winners, who could survive better.

Synergistic effects and effects with other stress factors, the idea of interaction between temperature and changes in pH with, for example, toxic phytoplankton.

Adaptation, physiological and micro-evolutionary. I mean we can't -- what

rate could certain species adapt to this, or not.

The issues on species replacement and then community ecosystem responses. And then are there any feedbacks that could exacerbate climate change.

So to wrap this up, our imprint on the ocean I think is clear. I mean the IPCC report is about as definitive statements that you can get that it has happened. We're warmer; we're more acidic. We're probably less diverse.

Since the beginning of the industrial age, just to summarize, the pH has declined one pH unit; carbonate ion about 16 percent; and the saturation of aragonite and calcite states about a 16-percent decline.

And that is very clearly, very likely due to uptake of anthropogenic CO2 by the ocean and that by the end of the century it could be as much as 4 pH unit.

So possible responses at the

ecosystem level are speculative and it could affect marine food webs, commercial shellfish, as we just talked about, but we 3 need more research on impact and vulnerabilities to really understand it. And I really can't stress more that we need an observational network to look at this. And it's under consideration. We're planning it, certainly for the West Coast, modeling studies that are expanded to the coastal regions, and then more sophisticated physiological research to understand mitigation and adaptation as well and that the estuaries need to be included as well. 16 So what are we trying to do? mean this is important. We at an ad-hoc

mean this is important. We at an ad-hoc level, not by, you know, Jim or others having to say: You guys need to get together.

Well, we've gotten together across NOAA and have started to work, to coordinate what we're doing, first, to learn who is doing

what. And then we're developing a national plan that will have regional chapters for how we will address ocean acidification. And the Gulf, even though it was wise on the other side, Larry, it's definitely included.

MR. SIMPSON: Good.

DR. STEIN: So we want to characterize the threats, develop a monitoring capacity, develop and improve forecasting capabilities, develop adaptive management tools to the extent that we can and with an ecosystem context. And this, just -- I won't go through them, but we're looking at a number of things to repeat this type of event so we will really know globally what was happening to carbon in the oceans, where it's going, technology to improve both measurements and the ability to do the research, remote-sensing applications to the extent we can. And then the modeling and environmental research.

So -- yeah, go ahead. Steve.

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MR. JONER: Oh, go ahead. DR. STEIN: Okay. Do you want me 3 to stay here, or just keep going? Well, I don't know MR. JONER: when to jump in here. Go ahead and finish. DR. STEIN: Okay. Finish -- then you're going to whack at -- okay. Okay. So the status of legislation --8 so, anyway -- so we're hoping to have a 10 workshop, another workshop -- we had a workshop a year, year and half ago we had a workshop in March of our group. People are **1**3 writing chapters now. We hope to have something done in July-ish, have another workshop and have that available. 16 One reason we're working hard is that the status of the legislation as part of a huge bill, the Omnibus Public Land 18 Management Act, and there is section -- part NOAA Underseas Research Program Act of 2009, subtitled D is the Federal Ocean Acidification Research and Monitoring Act,

which was passed and signed in March. So it's to establish an interagency committee to develop a research and monitoring plan. So we're trying to get a leg up on that part and to establish an ocean acidification program within NOAA.

So the purpose of that Act, the FOARAM Act, develop— -- as summarized here -- development and coordination of a comprehensive interagency plan -- the other name agency in the Act is the National Science Foundation -- to monitor and conduct research on the process and consequences of ocean acidification, establish an interagency program, establish an ocean acidification program in NOAA. I think that makes sense. NOAA is pushing to have a national climate service. As I said, ocean acidification, global warming -- and the warming are, you know, the twins in climate change.

And then assessment and consideration of region and national

ecosystem impacts are a part of this Act,
which certainly makes sense. And then
research adaptation strategies and techniques
to effectively conserve marine ecosystems as
we try to cope with this.

so in the Act is authorized at eight million in 2009 for NOAA up to 20 million in 2012. For NSF is authorized at six million in 2009, working its way to 15,000,000 x 2012. I think that there would be some money in 2010. I think Sam showed some of that. And we'll see.

So where do we go with the policy perspective on this? Adaptation, I think there certainly clearly two aspects that have always been talked about with climate change:

Adaptation and mitigation.

Adaptation, what I'm trying to say here is that you need the framework for someone, as we talked about yesterday, someone such as NOAA, to be the authoritative voice about what is actually happening or

| L | not. So you need the observation network. |
|---|---|
| 2 | You need state-of-the-art ecosystem models. |
| 3 | You can start to think about spatial hazard |
| 1 | assessment, the other side of marine spatial |
| 5 | planning. In other words, some places are |
| 5 | more sensitive than others. Where are they? |
| 7 | What do we know about them? How do we |
| 3 | characterize them? And then the |
| 9 | infrastructure to be that authoritative |
|) | decision support entity, and I think NOAA is |
| L | the place for that, but then I'm biased. |
| 2 | Mitigation, global phenomenon. |
| 3 | You know, you can jump to the bottom. We |
| 1 | have to probably change the way we do |
| 5 | business. But is it feasible, perhaps, if |
| 5 | there's a key site that is just critical to |
| 7 | certain species for, let's say, spawning, |
| 3 | could you actually buffer that site, in other |
| 9 | words, add limestone? |
|) | MR. DEWEY: Like we did in lakes. |
| L | DR. STEIN: Like we did in lakes, |
| 2 | yeah, acid rain. Like we did in lakes. |

```
Hatchery agriculture facilities,
informed siting. Are there better places to
site certain facilities that would reduce the
impact of ocean acidification, as in an
upwelling area where the corrosive waters are
going to vary.
           MR. DEWEY: Specific to that one,
John?
           DR. STEIN: Yes.
           MR. DEWEY: For the West Coast
there's only -- there's maybe three main
hatcheries that supply the whole West Coast
industry.
           DR. STEIN: Right.
           MR. DEWEY: These are multi-
million dollar facilities that are what they
are.
           DR. STEIN: I know.
           MR. DEWEY: And it's not practical
to consider --
           DR. STEIN: Moving?
           MR. DEWEY: -- relocation, you
```

Some of the options we're considering, obviously, are your semi-closed system. there are things you can do controlling your water chemistry --DR. STEIN: Right. MR. DEWEY: -- and actually trying to move us, from a technology standpoint one, of the things we're looking at doing is going to totally enclosed re-circulating hatcheries. DR. STEIN: Right. I mean they've done MR. DEWEY: that in other aquaculture. There's no reason we can't get there with shellfish. We just don't have the technology. 16 DR. STEIN: It's a good point. And that's a good --MR. DEWEY: We're optimistic, from a science standpoint, that we may be able to get there from a hatchery standpoint if we can get through that aragonite formation in a closed system and get them out.

DR. STEIN: Right. MR. DEWEY: You know, we'll have some time to still survive before it gets so bad that the adult shells are ruined as well, but for the industry that depends on natural sets in the wild, they're kind of dealing -and for them it's adapting them to use hatchery seed, which is also certainly an option. DR. STEIN: And that's a good point. I should have probably put that on The technology and technology development is a key to mitigation. Similar, I mean, you could buffer, you know, critical shellfish beds. I mean, it's -- Martin. MR. MARTIN FISHER: Is there anything that you can -- you said the addition of limestone to the water table creates the effect that you want? DR. STEIN: Well, it increases the

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buffering capacity.

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MR. MARTIN FISHER: So could you
not -- I mean I know this is really
simplistic and nonscientific, but couldn't
you take the idea of like smokestack
scrubbers --
           DR. STEIN: That's -- that's the
reduced --
           MR. MARTIN FISHER: -- and do that
in the ocean or in --
           DR. STEIN:
                       Oh.
           MR. MARTIN FISHER: -- or at least
in the estuaries? I mean couldn't you like
implant --
           DR. STEIN: I don't think so.
           MR. MARTIN FISHER: -- like --
           DR. STEIN: I can't see that one.
 That one, no.
           MR. MARTIN FISHER: No?
           DR. STEIN: No, you'd have to -- I
think it has -- that has to come to the -- I
think I just the reduced emissions. I think
that's reducing the level in the atmosphere.
```

| 1 | MR. MARTIN FISHER: So you |
|----|--|
| 2 | couldn't take limestone |
| 3 | DR. STEIN: No. The only way you |
| 4 | reduce it is by the limestone, by increasing |
| 5 | the buffering capacity. |
| 6 | MS. FOY: But my understanding, |
| 7 | John, is that the deepwater is the problem |
| 8 | and very old water, like you say, 50 years. |
| 9 | DR. STEIN: Well, we have a |
| 0 | MS. FOY: So we have a problem |
| 1 | that is going to crop up, |
| 2 | DR. STEIN: Yes. Yes, exactly. |
| 3 | MS. FOY: even if we reduce |
| 4 | emissions now, for the next 50 years |
| 5 | DR. STEIN: You're right. Even in |
| 6 | we start right now |
| 7 | MS. FOY: we've got problems? |
| 8 | DR. STEIN: if we stopped |
| 9 | everything right now, just there is this |
| 0 | lag; we are not at equilibrium. So it's |
| 1 | there's this you put this pressure, and |
| 2. | it's responding, and it's not like in a |

| 1 | beaker, it's not like this. It doesn't, |
|---|---|
| 2 | swish, mix quickly. |
| 3 | MR. MARTIN FISHER: So what if you |
| 4 | were to introduce the limestone, add strata, |
| 5 | couldn't you like in the container ships - |
| 6 | _ |
| 7 | DR. STEIN: These are the things - |
| 8 | - these are the things that need to be worked |
| 9 | on, Martin, and thought through and modeled |
| 0 | and evaluated as to whether or not they are |
| 1 | ecologically and economically feasible. |
| 2 | MR. SIMPSON: John, I'll tell you |
| 3 | one thing. We have put tons of limestone in |
| 4 | the Gulf |
| 5 | DR. STEIN: Yeah, I heard you. |
| 6 | MR. SIMPSON: for |
| 7 | rehabilitation. I think you ought to check |
| 8 | and see if there is any data you could learn |
| 9 | about that. |
| 0 | DR. STEIN: I know, I there's - |
| 1 | - right. |
| 2 | MR. SIMPSON: Crushed limestone |

has just going back in there by the barge.

MR. BILLY: John, I have a

question for you.

DR. STEIN: Do I have to have an

answer.

3

MR. BILLY: I want to pick three different situations. One is New England groundfish. And while I recognize there are many factors that contributed to the current status of the stocks, but let's just say New England groundfish, the Chesapeake Bay and the situation with regard to oysters, and the Gulf of Mexico and the problems with hypoxia at certain times of the year in the area, has there been or is there now research underway in NOAA or other government or state agencies of looking at the role of ocean acidification in those situations and trying to determine whether acidification played any significant role in what we currently have to deal with

DR. STEIN: I think in those three

| 1 | I would say no. I think in any real direct |
|---|---|
| 2 | - I think probably people are now, and in |
| 3 | certain places, starting to think about |
| 4 | just like I tried to indicate with the crab |
| 5 | slide is that now it's we really have to |
| 6 | put it on explicitly put it on the list |
| 7 | and think about it in certain situations. |
| 8 | In certain places it's not going |
| 9 | to be an issue. In the North Atlantic the |
| 0 | saturation depth is very, very deep. It may |
| 1 | not be as big a question. But, again, |
| 2 | MR. BILLY: Should there be that |
| 3 | kind of a retrospective examination using |
| 4 | DR. STEIN: Well, there |
| 5 | MR. BILLY: existing data to |
| 6 | better understand |
| 7 | DR. STEIN: For those kinds of |
| 8 | specific questions? Certainly retrospective |
| 9 | studies are always good, absolutely. They |
| 0 | can teach you a lot. |
| 1 | In those three examples you gave, |
| 2 | I'm not sure ocean acidification would rise |

to the top as a major factor, because there's others that are clearly driving. MR. BILLY: Fair, fair enough. But that would be good to know. DR. STEIN: Right. I mean the other side of it, I guess, is that if you look at something like ocean acidification, global warming, those two little level types of stress that are global, that we all can't control as individual countries, but there are other stresses to systems, to ecosystems that we have more control over, perhaps we need to redouble our efforts to reduce their impacts because we have these other kinds of stresses that are putting stress on our valued resources. That's how, I think, some of us are starting to look at it. MR. BILLY: Beyond the money that was talked about for 2010, is there -- is this what the planning effort is about, you -

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DR. STEIN: Well, that's -- I mean

COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 we certainly hope that that will help
influence that. I mean -- and we've got
indications, I think, right, that -- yes,
we're trying to indicate that and trying to
indicate what is a true -- I mean there have
been interagency groups and the Ocean Carbon
Board -- I think I did that right -- that
it's about a \$50-million program for the U.S.
to have a network of buoys monitoring and
research to understand this at a national
level. That's the estimate.

So part of the planning is to try to put real meat on that bone, have a global view of it, and then look at the regional aspects and what is needed in each region of the U.S. based on sort of a larger Marine ecosystem structure.

MR. BILLY: Heather.

MS. McCARTY: One of the things that we're looking at in Alaska is the effect of ocean acidification on crabs, particularly blue and red king crabs.

| 1 | DR. STEIN: Mike Zigler. Right. |
|---|--|
| 2 | MS. McCARTY: I have one of my |
| 3 | clients is a crab processor, and we're |
| 4 | involved in a relatively large study that is |
| 5 | testing the effects, the physiological |
| 6 | effects of I think that's a big piece of |
| 7 | the puzzle, not just the monitoring |
| 8 | DR. STEIN: No, exactly. No, no. |
| 9 | MS. McCARTY: to what's |
| 0 | happening in the water, but how are those |
| 1 | individual changes |
| 2 | DR. STEIN: Point right. |
| 3 | MS. McCARTY: affect individual |
| 4 | species and at what stage. |
| 5 | DR. STEIN: Right. |
| 6 | MS. McCARTY: So we're testing |
| 7 | crab larvae in the NMFS Lab |
| 8 | DR. STEIN: Right. |
| 9 | MS. McCARTY: in Kodiak. |
| 0 | DR. STEIN: Right. So that's |
| 1 | MS. McCARTY: It's quite a big |
| 2 | deal. |

DR. STEIN: Right. So we're

working -- that's why this group, like Mike

Zigler, ourselves, Beth -- I just forgot her

last name -- from Northeast. We're trying to

coordinate our studies, so that the results

we have on those kinds of experiments are

very comparable, very compatible. So it's

been a good group.

MR. BILLY: I guess we better move on.

DR. STEIN: Yes. Steve, do you want get this?

MR. JONER: Could we just wrap up with -- I'd like to see us sometime develop a statement, a real strong statement of what really needs to be done here. And, you know, I'm thinking of the sanctuaries, for example, and the fact that this article that was done on the mussels in Tatoosh Island, you know, it was right in the sanctuary. And this is really something the sanctuaries should focus on, really do some intensive research into a

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| 1 | lot of these, a lot of these current issues, |
|---|--|
| 2 | such as acidification. And instead so much |
| 3 | of the focus is on, oh, well, there's |
| 4 | problems in the ocean. It's got to be the |
| 5 | fault of the fisheries, so let's start |
| 6 | restricting fisheries. |
| 7 | And, you know, bless your heart, |
| 8 | Tom, last night for that dinner, but I read |
| 9 | some things there that I don't think we're |
| 0 | totally accurate. And blaming the fishery |
| 1 | for the collapse of the sardines in 1947 |
| 2 | and Jim's older than me, I was born right |
| 3 | after the collapse, so I think it's his |
| 4 | fault, but |
| 5 | (Laughter.) |
| 6 | MR. JONER: I was born in an |
| 7 | anchovy regime. You know, that wasn't the |
| 8 | fishery that did it. They disappeared coast- |
| 9 | wide. Areas |
| 0 | DR. STEIN: Oh, yeah, North |
| 1 | Pacific wide. |
| 2 | MR. JONER: Yeah. And then seeing |

the slide about the pink salmon, for years
I've been hearing the fishery is selecting
the larger pinks, and the pinks are getting
smaller because of the fisheries. I never
quite figured that one out, because the gill
nets use a specific size. And it wasn't
showing up in other species, so maybe there's
the reason why. We have 50-year-old water at
the bottom of the ocean. This has been going
on long enough to influence it.

We need to get the focus off the fishery and onto what's happening to the resource. And, you know, this is the place to really start the message, I think.

MR. BILLY: Steve, this afternoon at 3:45 the Ecosystem -- and perhaps adding the word "Climate" -- Subcommittee will have the opportunity to pick up on your idea and perhaps draft for the Committee, full Committee, some language along the lines you suggested, so you might want to be thinking about that and help in that regard.

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DR. STEIN: So just one, Steve. Members of the Sanctuary are involved in our Waistcoat closed writing team so that we get everybody involved. So this is really trying to make it a NOAA effort, not a... MR. BILLY: Okay. We're going to move on now. Our next speaker is Tom Bigford, who is going to focus on another component of this multi-sector ocean use of governance, and that is the role energy plays. Tom. Thank you, Tom. MR. BIGFORD: Hopefully this won't be nearly as depressing. (Laughter.) MR. BIGFORD: I don't know if it will be uplifting, but maybe some part of it will be encouraging. Certainly NOAA has got a good story to tell, because we've been so engaged in it. And there will be no organic chemistry in any of these slides. I assure you of that. I vowed that I would never touch it after I got out of college, so I'm

not going to expose you to it.

But a brief overview. My overall intent here is to familiarize you with some of the traditional energy issues that NOAA has been facing, that all of you have been facing in your personal lives, and then talk a lot more about the renewable energy issues, especially ocean renewables and connect all of that to NOAA's mandate.

Included in here are some things that aren't really energy but get lumped into it, like the transportation of liquefied natural gas in the ocean. It's not an energy issue at all, other than that an energy product is the cargo. So it gets thrown into this pile, and I'll talk about it a little bit.

But I won't explain NOAA's mandate, how NOAA gets involved through these various efforts. NOAA knows an awful lot about the Earth, and this is a big Earth-type of challenge, because a lot of these

materials come in. And systems are coming to the United States from other countries.

But NOAA's got products and services. We got many statutory authorities and mandates, most of which relate to our regulatory authority. But other agencies recognize that; the industry has recognized that. And one of the big, rewarding aspects of this and the only other area where I can say we've got a similar-type of relationship, but not quite as Bill does, the way we worked together on shellfish aquaculture a decade ago in developing a code of practice, a code of conduct for the shellfish growers.

In this arena the industry is also coming to us -- they have to come to us because they know so little. Most of the people who are -- thank you, John (microphone moved closer) -- most of the people who are behind ocean renewables, especially, but also some of the traditional energy users, they are engineers and they are designing systems,

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but they don't know anything at all about
what most of you practice in. They're not
familiar with the fishing industry; they're
not familiar with fish. They're not familiar
with the places that the fish live.

So they propose to put their gizmos in places like National Marine

Sanctuaries, and they don't have a clue.

They propose to put them at the mouths of major rivers where the Agency and society have invested billions in salmon recovery, for instance, and they don't even think of salmon. They are looking at water. Water is their commodity and sometimes wind, but that's about it.

So just to give you a little bit of background here, the National Marine Fisheries Service has been very engaged in this for about three years. We saw this on the horizon, redirected about 50 percent of the effort in the division that I'm in, which focuses on habitat protection. We had been

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working on other issues, like dredging in ports and harbors, ocean acoustics, wetland loss. And we shifted our focus quite a bit towards mostly ocean renewables, but also traditional energy, too.

The timing has worked out very
well because the new administrator,
Lubchenco, has shown an interest in this.
She's already been briefed. The NOAA Ocean
Council, which is upper-level NOAA folks from
across all the line offices, they're very
engaged. We've briefed them several times.
There is an NOAA energy team that the
National Marine Fisheries Service co-chairs,
and a National Marine Fisheries Service team
that we do chair, and a website that's pasted
on the bottom of every one of these slides
that includes a lot of information.

We're talking with people around the country, across NOAA, and across federal agencies because that's the only way to move forward on this. So this really does fit

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Mark's definition of subjects that are crosssectored and involve future ocean uses.

MAFAC can get involved for a lot of different reasons here. And some of them are listed on the slide. The key is the connection to fisheries. Clearly there are economic and ecological implications mostly associated with displacement as a new industry is basically moving into the ocean starting at the coast, but moving offshore.

There is an awful lot of talk of facilities -- and I say, "facility," in a different sense. It could be hundreds of individual piston-type systems or ocean-energy collection devices in a field that might take up tens or hundreds of square miles. It could be a grid; it could be an arc; it could be various shapes that have an effect on things like access to the area, navigation through, the ability to fish near. They all would be tethered to the bottom somehow.

Obviously the Department of

Defense will have a few things to say about
this, but they usually come in very late and
just say no, not there; move. But there is
an opportunity for a lot of you to share your
advice far sooner than we'll hear from the
submarine drivers. And I say, "we," in a
huge sense. NOAA is trying to provide
spatial advice on where to be and not to be,
mostly based on where the fish are and where
the habitat is, but there's a lot of other
activities, too.

Certainly there are habitat implications from both individual facilities and larger. There are cumulative impacts.

And in the protected species arena, some of these devices are probably the worst thing that you could possibly see if you happen to be something like a right whale where there are underwater propellers that capture energy from currents, for instance, off the coast of Florida and in other places, pinch points

where a lot of species migrate.

3

Now you've seen -- in your

materials there was a map, and I know you

can't see it from here, but look at the map

and see where these sites are and realize

that there are hundreds of sites, some of

which have already been granted issues or

permits -- licenses or permits; others are in

the works. But there's an awful lot of

activity in areas that are near where a lot

of you are interested.

NOAA's statutory responsibilities are huge. I'm not going to go through this.

But some of them require us to basically consult with other agencies. Sometimes it's a far stronger role than others, like the Endangered Species Act and the National Marine Sanctuaries Act. Sometimes we provide —— we have strict guidance on what can happen, and sometimes we're just providing advice, but the key is that this is taking up an awful lot of time. All of these mandates

are requiring that we work with the other agencies and the industries to try to get our hands around this early, rather than late.

I've been working in the Habitat

Program for 33 years, and this was -- I think

this was the first time we had a chance to

get involved with an industry beforehand.

Usually we're sanctuaries behind the curve,

like coastal development. That was dictated

by where people started to settle from

colonial times.

But here the industry was coming to us and the other agencies were coming to us asking where, and when, and how, and with really no plans at all in paper. So we had a chance to talk with them and move them away from places or towards places that may or may not be a little bit better, but at least we were around the table. It was very refreshing and very rare.

The only other arena where we might have a chance to do that is offshore

aquaculture, which is evolving at about the
same time. There are an awful lot of lessons
here, I think, that apply in offshore
aquaculture with the information. A lot of
the same information, the same as spatial
products will help.

Traditional energy, you can see them listed there. Oil and gas is moving into frontier areas. They're moving into deeper areas.

LNG, which really isn't energy, but it's being -- it's being floated in tankers to various places around the country where new offshore facilities and, in some cases, onshore facilities are being built.

Hydropower, getting up into rivers. This is not just in the ocean.

There is huge opportunity to influence habitat and fisheries sources through getting involved in hydropower where NOAA has a very strong mandate. If we make recommendations for fish passage at a hydropower facility,

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FERC has got to accept those recommendations
or the license isn't issued. It's as strong
as the Endangered Species Act. The Agency
doesn't use it as often as we should, because
we don't have the science to support the
passage recommendations. We've got bestavailable science, and sometimes that's not
enough to bolster our opportunities to use
it.

Nuclear power is starting to make a resurgence. I'm not sure what's going to happen there, but at least there's talk about new coastal nuclear power plants, which we haven't heard in decades.

Alternative uses -- this is where a lot of the new action is. Offshore wind, it's mostly near-shore right now. There's not the engineering to tether the systems or get the energy connected to the grid. So it's mostly near-shore, but they're thinking offshore.

Hydrokinetic, this is big, too.

It's ocean current, tidal wave. An in-stream is anywhere where the water is moving, which could be in the discharge pipe of a nuclear power plant, of any power plant; or a sewage treatment plant, or anyplace else that the pinch point and the water is moving fast.

MOAA has a mandate on OTEC. Not much has happened there for the last couple of decades, but in the island communities where there's really deep, cold water right near shore, there's the temperature differential of at least 40 degrees that makes that reasonable. So there is talk about OTEC in some places. And NOAA's got the mandate there. We actually have a mandate to regulate that.

Just to give you an idea of some of these -- the traditional sources, it's the same things that NOAA has been coping with since 1970, when we were created. Very strong role in the hydropower in the lower right. A very important role in LNG, because

each LNG facility can use tens of millions up
to several-billion gallons of water every day
as they cool -- as they warm, for an example
-- sorry -- but as they warm the liquefied
natural gas into a gas so that it can be put
into the pipelines to get around the country.
And power plants, offshore facilities, just
an awful lot of activity associated with
traditional.

With alternative, some of the impacts are a little bit different. really hinges on what's being proposed. will not see too many photos of these things, because not too many of them exist. There's an awful lot of artists' renderings, and some of them you have to get a real good idea on scale to understand what the impacts might Some of these blades are ten meters or so across. Sometimes you'll see wind-power blades being transported on the highways. I've seen them quite a few times. They've got to be 75 yards long, incredible.

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and that's one blade, which means it's about

-- it's not quite half. It's a little less

than half of the diameter of what the total

impact would be. There are -- I'll get to

something in a little while to put some of

that in perspective.

The spatial footprint is real important. It is not one buoy out there, like you saw in some of John's work where they're gathering information. These are a series of buoys that are in the same array, in the same place, and it could be hundreds of them. And they could be relatively nearby, so nearby that you probably can't transit between them.

A lot of uncertainties, we don't know very much about this. Very shallow capitalization. There's not much money behind this yet. The energy portfolio work that's being discussed by the administration and by the states has got the industry thinking a little bit more expansively than

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they were, but still without the infusion of capital the industries are moving -- are maturing rather slowly.

ahead and really promoting their industry, but there are a lot of people who are being rather cautious. And I'll mention this in a little while, too. It really needs to adaptive management being a requirement of everything we do. We don't know very much. And, again, when I say "We," we're all working together so much on this I slip into that. It's not "We NOAA"; it's, "We about a dozen agencies, most of the states, a whole bunch of industries a whole bunch of individual entrepreneurs" all working together.

Just one slide on each of these sectors just to give you an idea. You can't really get the perspective there, but each of those stanchions is at least 300 feet high.

It is at least 50 -- well, speaking in

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above the water line, probably 50 to 70
meters in diameter in arrays depending on the
wind. In Europe they tend to build them in
arcs, like the middle. Most of the proposals
that we've seen in the United States are
grids like on the right that would go on left
and right and going farther over the horizon.
There might be hundreds of them in one
place. And that one place would be one
license. It's not a license for each one.

So NOAA has been consulting with the agencies on this. It's with the Federal Energy Regulatory Commission if it's in state waters. It's with the Minerals Management Service if it's in federal waters, offshore, out in the EEZ.

Hydrokinetic, Pelamis, this

floating gizmo -- actually it's been tested

off the West Coast here. Pelamis is the

scientific name of the sea snake, and that's

exactly what it looks like. It floats at the

surface with pistons and as it articulates,
it generates energy from waves. The gizmos
on the far right generate energy from
currents. There are others here that
generate energy from tides that would be at
the mouths of rivers, but just lots of
different types of systems being tested and,
in a few places, being actually built and put
out in the ocean as pilots. None of these
are working at a commercial scale in the
United States yet.

OTEC is -- how do I explain something that needs a temperature differential? There are very few places near the United States mainland where this would even be considered, but in island archipelagoes is under serious consideration. And actually there's one of them built off Hawaii.

The Defense Department proposes
these things often so that they are not
dependent at the remote island facilities on

generate their own rather than be dependent on getting oil through -- well, they get their oil tankers hijacked. They'd rather use OTEC then have to worry about pirates. MR. DEWEY: So, Tom, that OTEC facility in Hawaii, you know, we're located -- we have an operation located in the effluent from that. My understanding is they've taken the power generation out of it. It wasn't particularly effective from that standpoint, but everybody that got located in the effluent has been affected. So they **1**3 maintain the pumping system for all of the tenants there, but I believe the power generation has been taken out. MR. BIGFORD: Yeah, I didn't know about that. It's not really a commercial venture. They're calling it a pilot so that

the local vagaries of energy. They'd rather

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they don't have to go through the regulatory

process of getting a license. So there are

several like that that are being tested

around the world. But I think that's the only one in U.S. waters.

MR. CATES: Tom?

MR. BIGFORD: Yes.

MR. CATES: I know something about that. OTEC, you're correct that the energy part has been pulled out for over 20 years now. And it was turned into an aquaculture facility basically. And now its claim to fame is reverse osmosis for bottled water for Japan.

But there is another facility
that's moving forward off of the coast of
Hawaii. General Dynamics and some other
companies, they're going through the
permitting process. But the big free driver
-- I mean that's off-the-shelf technology.
The big driver is the price of oil. When the
oil is high, OTEC makes sense. When it comes
back to where it is now, it goes away.

MR. BIGFORD: Right. Yeah, there was a lot of movement the last year or so to

get a tax credit and to get minimum
requirements in each state for renewable
portfolios so that the two of those would
help to compete and even mollify the
differences in oil prices. But it all gets
added together, and it all affects how
quickly these industries are going to evolve.

All that we know is that there are hundreds and hundreds of people proposing to do things that have never been done before in the ocean, and coastal, and riverine waters.

And they've never been built before; they've never been tested before. And what the effects might be, we don't know. But there is that uncertainty of the time for how quickly it's going to unfold. And we sense now that it's going to unfold faster than it was a couple of years ago, but still it might be years away before it happens.

A couple grabs here from our website just so you can look at that at your leisure. This is a website that's maintained

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by my division on behalf of all the National
Marine Fisheries Service interests. You can
see the other tabs that we have up there,
too, in case you're interested in
aquaculture, or corals, or essential fish
habitat.

But you'll see one on hydropower just to the left of renewables. That's because we've been doing that for 30 years already. So there's a lot on roles and responsibilities. You can get a lot of information on the kinds of comments that we have provided on different types of projects in response to formal requests for NOAA input. Also in response to opportunities to contribute to policy development. It's all posted on the website. Background on each of the technologies that we're talking about. Here's the map that I mentioned. You'll see that there is -- everything that's in yellow is an issued preliminary permit by FERC.

FERC is of the mindset that they

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want to get into a project and get out
quickly. So if someone gives them an
application, they will issue the permit
contingent on the applicant finishing their
ESA consultations, their essential fish
habitat consultations, safety laws, whatever
they might have to do. So FERC puts the
pressure on the agencies.

every other mandate has worked for the last decades, FERC reverses this. They get in and out quickly and we end up having to do consultations with private sectors, which is not the way our laws have been written. So it makes everything here backwards and very contentious, but it's what FERC likes to do, because then they can say they did it, they did their part very quickly, lightning speed. And they do.

There's a lot to be seen on here, but basically there are projects around the country offshore just about every state. And

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| 1 | this number increases regularly. An awful |
|---|---|
| 2 | lot of in-streams current-type work that you |
| 3 | see on the Mississippi, but also some of that |
| 4 | in Alaska and up in the Great Lakes, too. |
| 5 | MR. CATES: How old is that data? |
| 6 | MR. BIGFORD: How old? |
| 7 | MR. CATES: Yeah. |
| 8 | MR. BIGFORD: Oh, less than a |
| 9 | month. Information accurate as of May 4th, |
| 0 | less than |
| 1 | MR. CATES: I just noticed. |
| 2 | There's nothing in Hawaii that I'm very aware |
| 3 | of, unless I'm reading this wrong. We have |
| 4 | several wind farms; we have several ocean |
| 5 | projects. |
| 6 | MR. BIGFORD: Wind on the water? |
| 7 | MR. CATES: No. I |
| 8 | MR. BIGFORD: This is not wind on |
| 9 | land. |
| 0 | MR. CATES: Okay. |
| 1 | MR. BIGFORD: Wind on land would |
| 2 | be a lot more. |

MR. CATES: I got you. MR. BIGFORD: And NOAA is very interested in that, but I'm not talking about that now, sir. MR. CATES: It threw me off with all the yellow on there. MR. BIGFORD: That's in the river. Yeah. Sorry about that. 8 On the back of the map that's -maybe that's the second page. And the way it's posted on the website there are contacts in National Fisheries Service Headquarters and also in each region. So if you're looking for someone to talk to about whatever projects might be in your area -- and Randy has it if it's up-to-date -- there are contacts throughout the country for you to talk with. 19 One of the keys here is prompted by just the fact that we don't know very much about this, is that all of these sectors,

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especially hydrokinetics because that doesn't

really exist on land unlike wind, this is being pursued in a step-wise fashion.

After FERC issues its permit
often, because FERC issues a permit, there is
a pilot phase where the industry is putting
in a couple of whatever it is they're talking
about, whether it's tidal or wave. This is
an ocean OPT. I'm trying a blank now on what
that was. But that's off Oregon. And it's
generating from waves.

So instead of using that sea snake at the surface, which would be terrible if you were a whale, or a fishing vessel, or other types of things that are transiting, those sea snakes things are perpendicular to the coast. So if you were traveling coastwide that would be an absolutely terrible form of an engineered device for other compatible uses.

This is a little bit better because this is a point system that's tethered to the bottom rather than a long

| 1 | snake. And the snakes would be repeated, |
|---|---|
| 2 | too. |
| 3 | MR. CATES: How would you come to |
| 4 | that conclusion, that the snake thing would |
| 5 | be? |
| 6 | MR. BIGFORD: Because if you are |
| 7 | transiting you'd hit it. You would if you |
| 8 | were at the surface, you would hit. |
| 9 | MR. CATES: Well, for vessels |
| 0 | MR. BIGFORD: For vessels, for |
| 1 | whales |
| 2 | MR. CATES: A whale wouldn't hit |
| 3 | that thing. |
| 4 | DR. STEIN: Well, they hit lobster |
| 5 | lines. |
| 6 | MR. BIGFORD: Maybe I'm not |
| 7 | thinking like a whale, but |
| 8 | MR. CATES: But the picture you |
| 9 | had was pretty substantial size. |
| 0 | MR. BIGFORD: Yeah. |
| 1 | MR. CATES: And I'm just speaking |
| 2 | from personal experience, because we have big |

cages in the ocean all over the world --MR. BIGFORD: Right. MR. CATES: -- with never an issue ever with a whale hitting it. I mean they are smarter than some of us give credit to them. MR. BIGFORD: Well, they are smarter than I am then, because I just assumed that that would be one of the issues. Transit was the big concern that we would have. But, anyway, the industry is moving towards this. Maybe it's cost; maybe it's concerns for other impacts, but the industry, other than Pelamis, seems to be moving more towards point piston systems like this. But we don't know very much about

what the impacts would be, everything from ecological to aesthetics. So the object in pilot projects is to put several out, monitor a lot, try to redesign the prototypes to

minimize impacts, and try to do everything
you can to ensure safe passage of the fish in
the ocean, which is something we've been
working on in rivers and on land for decades,
which is why we've got sort of in quotes on
the last bullet there. "Fish passage" is
something we've been doing in the hydropower
arena for a long time. The Department of
Commerce has had a mandate since the early
1900s. But this moves it out into the ocean
where we don't know very much.

When we move to the commercial scale, it will be much larger and hopefully we'll be building off whatever we learned during the pilot phase so that when we get to multiple arrays, larger systems, and ecosystem-level impacts, perhaps with cumulative impacts with existing activities, we'll know a lot more and be able to adapt over time and manage it even better.

Another thing here which is coming out of the really open approach towards this,

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which is what dozens of agencies, most of the states, a lot of industry sectors, a lot of individual financiers is everything about this being pursued in an independent way so that I don't design research and someone else snipes at my design, or I don't gather information and someone else wonders whether I analyzed the data correctly. Everything is being pursued in sort of an independent interdisciplinary cooperative approach so that nobody can snipe at anything about any - no one can snipe at any one about anything.

And that's still evolving. But right now it seems to be going very well.

There are an awful lot of challenges, of course. The biggest things are just the fact that these things have not been built, or deployed, or operated yet.

Some of these systems: Wind power in Europe and a few of the hydrokinetic systems in Asia to exist, but not on the scale that's being proposed in the United States. So there's an

awful lot of opportunity to learn from elsewhere. But really we've got to work together to learn in the United States.

One thing that we could look
towards is developing a framework sector-by
sector. I'm holding up one that was done for
wind power, offshore wind. NOAA was very
involved in doing this about five years ago
with General Electric and the Department of
Energy and about 50 other people who spent
three or four days together three or four
times over the course of a year to identify
our concerns and work together.

other sectors, would be very good. It gets everything on the table and commits everyone to working together again so that no one can say they were left out. Fifty or so people were in the room; hundreds of people were invited. So there was ample opportunity for everyone to get engaged. And, as I said, that doesn't happen too often when it comes

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to anything related to ocean environments.

Lots of opportunities here. As I said, it's rare that we all work together, but in this case we are. These are new sectors. NOAA's got a lot of reasons to be around the table. We know a lot about the ocean. We can predict it. We've got products and services. We've got all these mandates. And we have some connections to industry, such as fishing and shipping, that some of the other agencies do not.

So it's a rare opportunity to try to minimize damage from the very beginning.

And that's always what we're trying to do.

Our goal is avoid anything that we can that's unavoidable; mitigate whatever is left; and then negotiate after that to try to get to a point where whatever the project is individually and cumulative with other activities has got an impact, a footprint that's acceptable. And it's -- you never get everything stopped. That's not our job, to

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stop sectors. Our job is to negotiate on behalf of the habitat of living marine resources.

And then here with these new sectors we spend an awful lot of time trying to convert all of that information into new regulatory processes. Further, the Federal Energy Regulatory Commission, and MMS just signed an MOU that they've been negotiating for nearly four years because they were looking to expand traditional mandates into the oceans, and their mandates overlapped. And that's the kind of thing that's happening.

and these empty sectors developed without us being prepared for them, they were so new.

It's like shellfish aquaculture -- or, excuse me -- aquaculture offshore. There's not a clear regime for it, so we need something.

And that's true with an awful lot of these energy sectors, too.

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Hence, there is a lot a work going on right now by each of the agencies and between the agencies, legislation being proposed, just an awful lot of work trying to resolve the uncertainty, the differences, adding consistency. There is a lot of talk, especially in the ocean, in the deep ocean, the EEZ, about compatible uses.

As a matter of fact, the law, the Energy Policy Act of 2005 talked about offshore energy and alternative uses. And one of the primary alternative uses for the aquaculture, which generated most of the comments that MMS got. I believe they are moving away from considering aquaculture in their rulemaking because it was complicating the rulemaking so much. So they simplified their job by focusing on energy, but it left, again, the opportunity to talk about other offshore uses of these same facilities or of other areas.

And there are a lot of

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opportunities for compatible uses of the facilities or the spaces in between, or maybe MMS would want to get into leasing areas offshore for something totally different.

And people are talking about the same range of things that they are with existing offshore platforms: Hospitals, penal colonies, waterfront condos. Whatever you want to think of, people have got various things in mind. But we've been mostly looking at those that would have an impact on the ocean.

Lots of things to consider here.

Whether you're with NOAA or MAFAC, clearly
the two-stage pilot process is a focus here
as you move from pilot to commercial scale.

Without information coming to us from a
robust science program, which doesn't exist
anywhere, the best way for us to get
information is through experimenting with
pilots. It's not the best thing to do
because the private sector's business plan

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includes a lot of unknowns, and it also could mean that we put something in a place that's not the best place to put it.

So we're trying to identify
sensitive areas and keep the pilots out of
there, but still go forward with pilot
projects with everyone realizing and very
strict language saying if something bad
happens, it needs to be pulled out
immediately. If nothing bad happens then it
can stay there and perhaps grow into a
commercial-scale operation. But we need very
clear bounds on thresholds' performance.

The access issue I think is very important to the fishing industry and, of course, defense, and the whole maritime transportation sector.

At times there have been moratoria on new licenses, mostly because everyone gets overloaded or there's a regulatory pinch on how licenses, or permits, or leases are being issued. Right now there's no slowdown on

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this, but at times in the past with LNG and with FERC's activities that the regulatory agencies decided to put a moratoria on new applications.

Trying to develop monitoring protocols is one example of what we are trying to do so that there is consistency across applicants. There's no reason for us to spend a lot of time developing a monitoring protocol for every project. We can work together and have the same monitoring protocol, which eases the cost and would standardize information so that it can contribute to our scientific knowledge. And because we know so little, adaptive management is always part of this.

MAFAC, there's a lot of opportunities here for MAFAC. Competing uses with fishing is one of them. Marine spatial planning comes up a lot. This sector, just like every other sector, probably would love to have its own place to be able to do things

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without worries about others. They would
like to have that predictability. They would
like to be able to focus on the dynamics
there so they could design their engineering
-- they could engineer their systems to me
whatever depth or distance is involved.

There are a lot of opportunities to get involved because a lot of these activities are regulatory or are the subject of regulatory reviews. So there are public hearings on individual projects. There are requests for briefings and comments on proposed regulations and policies. There's just an awful lot going on from a lot of agencies.

But if you're interested, visit

the website for -- or get in touch with me

someone from the National Marine Fisheries

Service Office of Habitat Conservation. And

we can connect you to whatever might be

happening in your area.

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| 1 | Fisheries Service Energy Working Group we |
|---|---|
| 2 | have and the materials that are on the |
| 3 | website, we have a real good idea what's |
| 4 | happening around the country at any point in |
| 5 | time. We might not be in the office that I |
| 6 | am in, in Silver Spring, might not be engaged |
| 7 | in that. But if you want to know what's |
| 8 | happening in your area with a project, or |
| 9 | something else, we can find out real easily. |
| 0 | And there's my information. |
| 1 | Not too depressing, unless |
| 2 | everything here goes wrong, and we're trying |
| 3 | to avoid that. |
| 4 | MR. BILLY: Thank you. A couple |
| 5 | people raised their hands. |
| 6 | Martin. |
| 7 | MR. MARTIN FISHER: Thanks, Tom. |
| 8 | After John's presentation I wanted to find |
| 9 | some Jonestown Kool-Aid, but I feel a lot |
| 0 | better now. Thank you. |
| 1 | My question relates to |
| 2 | electromagnetic footprints. And have there |

been any studies done to see what impact the introduction of what will be a new EM footprint that's going to be on the marine habitat?

MR. BIGFORD: Yeah, that's a good question. It's one of the areas that we've been asking a lot of questions about. When there are individual transmission lines crossing, like Long Island Sound, the Hudson River, going between islands, we often raised that question because EM can reduce migrations of benthic animals. For instance, lobsters may or may not migrate across something like that that's giving a pulse.

MR. MARTIN FISHER: Right.

MR. BIGFORD: So if you have a huge grid that's connected by wires, each one of them would be connected to the others in a grid that would then go ashore, there's a lot of concern about that. It's one of the many questions that we've been asking that we don't really have good answers on. And it

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would have to be tested -- it's a little
scary -- it would have to be tested in a
small pilot, which would not have the same
effect. If you connect two, is not the
effect in area or intensity of connecting
hundreds.

MR. MARTIN FISHER: Well, it's not just benthic animals that are going to be affected. It is whales, sharks, you know, predatory fish, everything feeds of the EM.

MR. BIGFORD: Yeah. And that gets into the issue that gets discussed more, which is acoustics. There are huge impacts with installing a lot of these systems. Most of these are pounded into the bottom. And the noise is enough -- I heard one talk by somebody who worked with the wind power industry saying that the stanchions that are hammered into the ground, the noise to piledrive one of those in was loud enough to kill a dolphin within ten kilometers. That's what the industry said.

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Now maybe they've -- they've got bubbled -- yeah, six miles, ten kilometers.

That was a guy from the UK. It couldn't be too different here. But if they have bubble curtains, and avoidance techniques, and overflights, and things like that that are used with right whales and turtles around platforms, maybe they can do better now.

That was about five years ago that I heard that comment. But there's a lot of concern about acoustics and EM.

MR. MARTIN FISHER: Well, have there been studies done on EM?

MR. BIGFORD: Not that I've seen associated with this. Most of it is associated with other types of pipelines and transmission, fiber-optic cables, things -- cables and pipelines crossing smaller areas, not as far offshore, so different species, different habitats, different sizes, one line as opposed to a big grid. So I haven't seen it.

MR. CATES: Hey, Tom, --MR. BIGFORD: Yes. MR. CATES: -- can I comment real quick? MR. BILLY: Okay. Next we have Larry. MR. SIMPSON: Tom, I would encourage you. Two slides back you were contemplating some difficulties associated with location and monitoring, and so forth. And I would just encourage you to look at that Gulf of Mexico aquaculture FMP. MR. BIGFORD: Right. MR. SIMPSON: We spent years and we talked about all of those things. And not necessarily would it be something you could cut and paste, but you can certainly look at some of the considerations that we had about limitations, and monitoring, et cetera, licensing, that might be useful as a template.

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Tony.

MR. BILLY:

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| 1 | MR. DiLERNIA: Thank you. |
|---|---|
| 2 | Tom, as you may or may not know, |
| 3 | I've been intimately involved with some of |
| 4 | these projects in the New York Harbor. I see |
| 5 | that you have a number of circles here in New |
| 6 | York Harbor. I know the Verdant Power |
| 7 | Project in the East River. |
| 8 | MR. BIGFORD: That was the green |
| 9 | one. They got their license. |
| 0 | MR. DiLERNIA: Yeah. They've got |
| 1 | a pilot operating right now in there. I |
| 2 | understand their intent is to expand the |
| 3 | pilot into a full-blown. Could you comment |
| 4 | on the status of that application and whether |
| 5 | it is right now? |
| 6 | MR. BIGFORD: I can't quite figure |
| 7 | it out. I think that they've stopped work. |
| 8 | MR. DiLERNIA: Yes, okay. |
| 9 | MR. BIGFORD: So I don't I |
| 0 | think they have their license, but I don't |
| 1 | think they're pursuing. They're selling |
| 2 | their nower to a supermarket on Roosevelt |

| 1 | Island |
|---|---|
| 2 | MR. DiLERNIA: Yeah, I guess the - |
| 3 | _ |
| 4 | MR. BIGFORD: and the United |
| 5 | Nations. That's their |
| 6 | MR. DiLERNIA: Well, yeah, the |
| 7 | UN site was my suggested ultimate site when I |
| 8 | early on in I've been involved with the |
| 9 | project probably for about five years. My |
| 0 | objection to the project was that your |
| 1 | location is within the east channel of the |
| 2 | East River probably about 250 yards wide, |
| 3 | max. And that's the primary route all |
| 4 | striped bass take coming out of post- |
| 5 | spawning striped bass coming out of the |
| 6 | Hudson River to go to Long Island Sound. So |
| 7 | I was very concerned with them creating these |
| 8 | "bass-o-matic" spinning blades that these |
| 9 | fish had to pass through to get to the Sound. |
| 0 | MR. BIGFORD: Yes. For those of |
| 1 | you who watch "Saturday Night Live" that has |
| 2 | been the comparison. We have a graphic in |

| 1 | our office of exactly what that would look |
|---|---|
| 2 | like. We have a very creative GIS person who |
| 3 | created a bass-o-matic of a striped bass |
| 4 | going through Verdant. It is a narrow area. |
| 5 | It's a pinch point of all the water that |
| 6 | comes out the western Long Island Sound, and |
| 7 | it's a current, which is how, yes, I would |
| 8 | describe it or tidal, but a lot of blades |
| 9 | wide open. |
| 0 | MR. DiLERNIA: Yeah. I have 40 |
| 1 | years' experience fishing in the area. And |
| 2 | the location of this particular project was a |
| 3 | direct hit on probably one of the most |
| 4 | productive striped bass spots, and I mean a |
| 5 | direct hit. I was happy if they would just |
| 6 | move it a hundred yards. And they wouldn't |
| 7 | even do that. |
| 8 | MR. BIGFORD: Yeah. Well, I'm |
| 9 | glad you're involved. |
| 0 | MR. DiLERNIA: But it seems to be |
| 1 | that the requirements for their energy seems |

to coincide with the requirements that

| 1 | striped bass and predators of that type need |
|---|---|
| 2 | to feed. In other words, it would be a pinch |
| 3 | point with the tide. The current |
| 4 | accelerates, many fish become disoriented. |
| 5 | And that pinch point also is where the |
| 6 | current accelerates. That ground is now |
| 7 | lost. It's been claimed. There are buoys |
| 8 | there, and there are the old propellers that |
| 9 | are 15, 20 feet wide spinning. And if |
| 0 | anybody tries to violate the buoy area, try |
| 1 | to drift there, you know, you're not going to |
| 2 | get a fishing line through there. So that's |
| 3 | lost to fishing completely. |
| 4 | Is there a policy I mean that's |
| 5 | it's they just basically FERC seemed |
| 6 | to move the things very quickly. And so I |
| 7 | guess my first question is: Is there a |
| 8 | policy regarding the loss of a traditional |

And, again, we recommended that they move back to the United Nations site

for alternative energy?

fishing ground to this -- in this application

because we knew there were no fish there and the current velocity was about the same, but they said, "No." We asked them to move it a hundred yards; they said, "No."

Is there a policy now regarding what happens with the loss of a traditional fishing ground versus this application for this permit?

MR. BIGFORD: Well, it's no different than any other proposed facility around the country. Each one generates public review, and comments are given to FERC. And they weigh them in making a decision. They do not accept everyone's They can't, because they're comments. weighing them. And very often they don't accept all of our comments, which usually reflect that, move, don't build it, you know, different types of comments, depending on whether it's installation or operation or removal. And in this case I do not think FERC felt that they had information about the

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presence of fish to -- yeah, believe it -
MR. DiLERNIA: Yeah, well, that
was after we provided them with ten years'
worth of log books and dated photographs.

MR. BIGFORD: They put systems

down there watching -- of course, the water's

-- you can't see three inches. But they

found nothing. They told us that they

operated -- they did their monitoring and saw

-- most of the reports said they saw no fish.

MR. DiLERNIA: Yeah. And the sonar that's used to detect that is also easily observed -- I mean the commercial fishermen here at this table could tell you that that acoustical signal is also very easily found on a fish finder. And if you go through that area with a traditional fish finder, you can see how the intensity of the signal may vary. Now perhaps it's a change in fluctuation and voltage by accidental, or whatever. But it's -- again, over ten years' worth of dated log books and photographs.

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MR. BIGFORD: We provided them
with information like that, reports of people
catching fish in that area. And they said,
"Times must have changed." We ought to talk
with them some more. The project has slowed
down, so we have an opportunity to influence
it again, I think.

MR. DiLERNIA: If you go to my website right now, Rocketcharters.com, and look at the pictures with the dates on them.

MR. BIGFORD: All right. We'll do that. But the questions that you're asking and the comments that you're sharing, the same thing is true for most of these projects around the country. Most of them are at --most of them are proposed to be at the first highway crossing of each river that drains an estuary or a watershed around the country.

So it's where all of the water gets to the narrowest place, which is where a bridge is built. And they want to put their in-stream contraption there, and the effects

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much about it, so we get into this trap of

FERC issuing a preliminary permit installing

a small-scale facility and then trying to

learn through that and then manage it

actively. It's not a good situation at all.

It would help if we knew more about each

area but, you know, Verdant is the first of

many that might be put out there on a pilot

mode.

MR. DiLERNIA: And isn't it true that this also involves state money, state research money?

MR. BIGFORD: In this case New
York was a huge supporter of it, and they
were -- they put a timeframe on it, which
forced Verdant to move more quickly because
the state wanted a financial return on their
investment within two years. And that's why
FERC gave their permit for them to generate
energy. They're not selling it now. They're
giving it to the supermarket and proposed to

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| 1 | the UN. But Verdant is well ahead of all of |
|---|---|
| 2 | the other ones around the country. |
| 3 | MR. DiLERNIA: But you don't see |
| 4 | them completing a project with a full field? |
| 5 | MR. BIGFORD: I've heard nothing |
| 6 | at all about that, except that people who |
| 7 | used to work for Verdant often apply for jobs |
| 8 | in our agency. That's the only that's a |
| 9 | huge indicator of what's going on there. |
| 0 | MR. DiLERNIA: One last question, |
| 1 | and I'll go. |
| 2 | What's the timeframe for them to |
| 3 | remove their footprint? If you're not going |
| 4 | to go forward, do you know how long they have |
| 5 | before they have to pull the buoys, pull the |
| 6 | generators, and restore the ground to what it |
| 7 | was like? |
| 8 | MR. BIGFORD: I don't know the |
| 9 | timeframe, but that is part of every one of |
| 0 | these projects. If the project ever goes |
| 1 | belly up, if it runs its course and the |

system wears out, everything has to be

removed. There'll be nothing left behind.

That's one example of something we've learned over the decades. So that's built in and accepted by everybody. But the timeframe for it, I'm not sure. It would have to be pretty soon after they stopped using it. So they'd have to like -- they would have to make some financial decision that they're stopping.

MR. DiLERNIA: They had to post a bond in order, if they go bankrupt, there's that bond.

MR. BIGFORD: Yes.

MR. DiLERNIA: Mr. Chair, one last comment. We in New York did not oppose the technology, and we thought that there was merit in the technology. We even suggested alternate sites. What we did oppose in New York was the location, the direct hit, the direct hit of a striped bass feeding area. And we were very disappointed that none of those comments were accepted that was placed there.

| 1 | I would just caution all the |
|---|---|
| 2 | members around the table that to be aware of |
| 3 | what may occur, because we did try to |
| 4 | cooperate and because there were state funds |
| 5 | involved, because of some of the folks that |
| 6 | were associated with the project were well |
| 7 | known within the state, the fishing community |
| 8 | was I'll say we were ignored. And |
| 9 | hopefully that this be future guidelines. |
| 0 | Thank you. |
| 1 | MR. BILLY: Okay. Thank you. |
| 2 | I've got five more members that |
| 3 | want to speak or ask questions. |
| 4 | MR. BIGFORD: I knew 30 minutes |
| 5 | was too short. Sorry about this. |
| 6 | MR. BILLY: All right. What I'd |
| 7 | like to propose is that we take a 15-minute |
| 8 | break. And when we come back we'll pick up |
| 9 | with Randy any others that indicated an |
| 0 | interest. |
| 1 | I've got Randy, Vince, Erika, |
| 2 | Larry. |

| 1 | MS. FELLER: I won't stay on the |
|----|--|
| 2 | list. |
| 3 | MR. O'SHEA: Only one last |
| 4 | question. |
| 5 | (Recess taken from 10:24 a.m. to |
| 6 | 10:43 a.m.) |
| 7 | MR. BILLY: All right. I think |
| 8 | we'll get started again. Thank you for |
| 9 | coming back on a timely basis. |
| .0 | Randy, you've got the floor. |
| 1 | MR. CATES: Thank you, Chair. |
| .2 | I have a couple comments, and then |
| .3 | a suggestion for the MAFAC. |
| .4 | First I want to tell Tom, thanks |
| .5 | for the presentation. I'm a big supporter of |
| .6 | alternative energy, and I'm involved in |
| .7 | aquaculture. |
| .8 | MR. O'SHEA: Can you speak up a |
| .9 | little bit, please? |
| 0 | MR. CATES: Sure. |
| 1 | The first thing I want to say, I |
| 2 | want to make sure I'm on record saying this. |

As a former dolphin trainer for the United

States Navy, there is no way a pile-driving

can kill a dolphin six miles away. Absolutely

no way. I have had animals in a basin and

had pile-driving 200 yards from us for six

months. I've had explosions go off,

thousand-pound bombs off the coast of Bahrain

and been well within six miles. So I think

whoever told you that was highly mistaken.

In Hawaii there are -- have been several attempts at energy projects. And I have seen a huge mistake very recently, within two months, where there was a proposal on the table, significant investment wanted to come in, in federal waters and put in wave energy and wind energy combination, which was quite interesting.

I found it really sad to see how things evolved. The first thing that happened was the whale sanctuary folks got real upset because it was within a whale sanctuary. And they aligned themselves with

| - | Native Hawaiian groups, commercial fishing |
|---|--|
| 2 | groups, whale-watching tourism, and really |
| 3 | railed and went publicly against such an |
| Ŀ | operation, even before it was just a |
| 5 | proposal. |
| | |

It's clear to me that our society, we have to find alternative energy. And this has become the new environmental movement to go after alternative energy projects. And it's real sad.

The other comment I would have is we should align aquaculture and other production facilities with energy projects.

And there has been a drive to separate them.

Recently the MMS came out and the environmental groups attacked aquaculture for trying to get permits through the agency and aligned themselves. But that makes total sense, in my opinion.

If you're going to take a piece of the ocean to produce energy, you could also use that same piece of ocean to produce food.

So I would suggest that this body make a policy statement or a suggestion to NOAA that we should look to ocean energy uses with open eyes and not just go after them with commercial fishing interests and say: No, not in our area. It's a real mistake. And I guarantee you that the energy producers will have a stronger lobby than commercial fishing. There is no doubt that they will, because all of our society understands they need cheap energy.

So I just find it really disheartening how the politics play out in some of these projects. And we've had about four potential projects in Hawaii that have just basically walked away. There's only a certain amount of investment money, and there are plenty of places that do some of the projects. They will find countries and they will find areas to do these projects. So is that I encourage this body to really think about it.

MR. BILLY: Vince.

MR. O'SHEA: Thanks, Mr. Chairman.

I thought I understood at the beginning of the presentation, Tom, that you said that NOAA really has potentially a lot of clout with FERC renewals but at times hasn't been willing or hasn't exercised the muscle to do that. And I don't know if this is a question maybe for Jim or even Sam.

But it would seem with the change in the administration, Dr. Lubchenco, and sort of the general direction that there might be a change in that. And I was just wondering if you guys have any -- have thought about that or any speculation on what might happen there.

MR. BIGFORD: Well, maybe I can explain just a little bit. It takes an awful lot of scientific knowledge to support a fish-wave description, which is what I was referring to FERC. It's Section 18 of the Federal Power Act. And you need to really

have your ducks in a row and take years

developing something that will establish -
that will survive scrutiny by the engineers,

the lawyers, the biologists, the economists,

everybody, because it has to be -- it has to

make sense in every way: Cost effective to

legally, and everything.

So in some cases the National

Marine Fisheries Service does not choose to
go that far, because we don't have enough
people to be able to do it. Alright, so that
means in some cases we negotiate short of a
fish-wave description and try to influence
FERC's decision in other ways, "other ways,"
being things that FERC does not have to
accept our comments, like a Section 18
prescription where they have to.

That's what I was referring to.

If we had more resources, we might revisit

some of those, but right now I don't think

it's a resource issue as much as it is just a

timing issue. It just takes a huge amount of

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time.

MR. O'SHEA: Okay. Thank you.

MR. RAUCH: So if I could

elaborate on what the new administration

might deal with?

Obama, in his presidential campaign, was a big supporter for alternative energy as a source of jobs creation. So in that manner it would be a push to even further clear through these processes, although we do need to control environmental considerations.

Dr. Lubchenco is better positioned than any new administrator has been with the administration staff. So to the extent that, all the legal issues aside, we might have concerns and want to engage the internal political discussion within the administration, Dr. Lubchenco would be well positioned to do that, if she so chooses, which we've never had that kind of clout with NOAA before. That's not really the legal

issues; that's more of the interagency political issues. MR. O'SHEA: Fine. MR. RAUCH: So I think we're well positioned to do that, balancing out the President's stated purpose of trying to encourage development of these kinds of systems. MR. O'SHEA: Thank you, Mr. Chairman. MR. BILLY: Thanks. Erika. MS. FELLER: Thank you. Tom, so the way I kind of heard the presentation, it sounds to me like, you know, at this time a lot of the siting decisions for these facilities are basically application driven, permit driven. Has there been any discussion in the Working Group, you know, among the groups that you're working

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with on how to maybe take more of a resource-

management approach to siting decisions and,

you know, rather than have an application drive you towards someplace that may alienate five or six other constituencies to sort of look more holistically?

I mean because a Multi-purpose

Marine Cadastre is starting to pull a lot of

that data together, and I know that there is

CSC-led efforts to try and see if you can use

that data in multi-objective plans and do all

that. Is that...?

MR. BIGFORD: Yeah, it comes up more and more as we see more speculation in really site grabbing. There are people who might not have any design at all to assist them, but they're grabbing a site. That's a big issue on the Mississippi and a big issue in Alaska.

So taking a step back from that, which is what we're trying to do, which is be more -- you know, we're trying to avoid the speculation, avoid making decisions without good information, avoid individuals making

decisions that might not be good for the

whole corporate process here that we're

trying to push. It does make sense. But

that's -- FERC is still making decisions on

individual projects, and it might not be the

best project in the best place. It might not

be the best applicant. But they're grabbing

a place, the mouth of a river, for instance,

or the main stem of a river.

I really do think that there is an opportunity here to take a step back and think more, get ahead of the curve, and getting more into what Charlie is going to talk about, try to look at things spatially and figure out the best place to do this, what's the best place for a different type of energy system, if any place is, in a certain area so that we get a little bit ahead of this.

This is the one area where I think we are -- we have a threat to sort of lose control of this, because we're trying to

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think this through, but there's speculation going on at the same time. MS. FELLER: So from the perspective of -- just thinking about energy siting, are there legal constraints that you see out there to implementing a more kind of holistic multi-objective planning approach? MR. BIGFORD: I don't think so. In the beginning or the last couple of years, one of the huge issues with this debate between FERC and MMS was mostly offshore, not onshore. Onshore it's clearly FERC. don't think that there is a legal impediment. I think this confusion with the Corps of Engineers and FERC who are trying to apply their existing regulations to new energy, to new sectors. But I don't think that there is a

But I don't think that there is a legal impediment to doing what you're talking about. I think it's a matter of trying to find the time and the energy, the time and the people to get ahead of the curve and

trying to get the industries to slow down.

That's the -- I had a slide up there about some moratoria.

I think getting the regulators to sort of call a timeout, so that we can get ahead of the curve, would be very good. They have done that individually for specific questions. The Coast Guard, who was very involved in LNG, did that on LNG about three years ago so they could answer questions about what type of design would be good, closed versus open.

And I think a pause like that might be good. And over the long term it wouldn't slow things down, because it would add to the information to make good, sound business decisions. I think it's a good idea.

I'm not a regulator, and I don't know if the regulatory agencies would take kindly to it, but I think the argument could be made.

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MR. BILLY: Larry.

MR. SIMPSON: Thank you, Mr.

Chairman. Erika's comments, and Tony's

comments, and Sam's comments, as well as

John's, tie directly into what my comment is.

And it goes back to a little bit about what

I suggested, that you look at the aquaculture

development in the Gulf of Mexico as a

9 possible use to do some things.

3

And I liken it unto treating a symptom versus treating the cure. And what I think is a wise thing to do at a policy level is to try to initiate exclusion mapping, exclusion criteria for siting these different energy-type structures. In the Gulf of Mexico we have thousands of offshore oil rigs. Early in my career and early -- in the late '70s and early '80s there was a big problem with the shrimp industry.

And I think that what some of the things we've done in this aquaculture, FMP, is try to develop the idea -- and even Bill

or Jim asked us -- Hogarth, Hogarth asked us
to work with and exclusion-mapping,
exclusion-siting criteria for offshore
aquaculture. And you consider things like
the standard; you know, currents; historical
participation in the area; zones, navigation
zones; you know, artificial reefs areas; high
concentrations of spawning.

You can just GIS layer this. And, if you wish, I have a guy that could give you a graphic presentation of this. And you start layering all these things on what you're left with is some areas then that could be utilized by alternative uses of the ocean, no shipping.

And we tried to look at that a little bit with regard to LNG. So my comment and suggestion is maybe we should be working toward an exclusion-siting plan rather than dealing with this after-the-fact and trying to treat the symptoms and maybe we ought to try to work on the cure. Thank you.

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| 1 | MR. BIGFORD: Yean. I think that |
|---|---|
| 2 | is one of the intents of the Multi-purpose |
| 3 | Marine Cadastre that Erika mentioned. That's |
| 4 | a huge coast-wide, ocean-wide mapping effort |
| 5 | required of MMS, already done, for oil and |
| 6 | gas, but a lot of information is classified - |
| 7 | - whatever the word is in the private sector |
| 8 | and already done by the Navy, and it is |
| 9 | classified. |
| 0 | So this would be a third national |
| 1 | mapping effort, but it would be available for |
| 2 | public use and it would help an awful lot. |
| 3 | MR. SIMPSON: They have explosion |
| 4 | missile ranges off Florida, buffer zones |
| 5 | around whatever. You can add all that in. |
| 6 | MR. BIGFORD: Right. |
| 7 | MR. BILLY: Okay. Steve. |
| 8 | MR. JONER: I want to go back to |
| 9 | Randy, what he said. Remember, you talked |
| 0 | about how alternative energy is something |
| 1 | that's got to happen and |
| 2 | MR. BILLY: We can't hear you. |

MR. JONER: I'm sorry. alternative energy is something that has to happen. And it has to happen in somebody's backyard. So the Makah Tribe got into a joint venture with developing a pilot project for these wave buoys, which are -- they're pretty clever. They use the wave energy to pump water through a piston. They have a very small footprint. And really the only problem we saw was it actually is a physical obstruction to the vessels passing through there, but we felt like, at least on a pilot scale, that, you know, we could -- one thing we don't have a problem in our area is catching our quotas.

So it's not like closing off a square-mile area is going to present the trollers from catching their salmon, or whatever the case is. So, unfortunately, we were having problems with the Coast National Marine Sanctuary.

And, you know, Sam, I'm not bitter

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about you guys putting a sanctuary there, but it's --MR. RAUCH: Sam didn't do it. (Laughter). MR. JONER: You guys, you guys, yeah. You guys in the big "You", NOAA. It's all sanctuary all the time for me, yes, because yesterday during the presentation by the Monterey Bay guys, I was supposed to be on a conference call with our Intergovernmental Policy Council on back home, but I had to choose which sanctuary I **1**3 wanted to deal with that day. But, you know, we have an Intergovernmental Policy Council that tried to -- really initiated it, the four coast tribes in the state are a party to it. We worked directly with the sanctuary. Yet they just went completely around the Makah Tribe and our group in their objections to getting this FERC permit. our message was if you're not going to try alternative energy to improve the ocean --

and I guess they needed to have John's presentation before; we should've started with that, but -- they're going to do it there, who do you expect to do that.

And so they went so far as to
exert 4(e) authority which, you know, I hate
to sound like a bureaucrat, but that's -those of you that were naive like me, that's
where a landowner can go and object. And
they were basically saying: We're the
landowner and we're objecting to this. And,
fortunately, FERC didn't buy it. And they
were going to appeal that. We put enough
pressure on that, for some reason, they
didn't go through -- the sanctuary be "they"
-- didn't go through with it.

Well, in the meantime, the company ran out of money, and I honestly don't know where it is now. It's kind of discouraging, because somewhere it has to start. And this -- and the tribe it was a very low impact, but with, you know, great additional benefits

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| 1 | to the tribe, because in the winter time, you |
|---|---|
| 2 | know, you lose the power repeatedly out |
| 3 | there, because the power comes from |
| 4 | Bonneville Dam, a long ways away, and there's |
| 5 | a lot of trees that fall down, lines would |
| 6 | have gone the power would have gone from |
| 7 | the pistons, up on the beach, through the |
| 8 | tribe's property, to the local county power |
| 9 | company, power supplier, and gone into the |
| 0 | grid. |

And when the lights were off
because the Bonneville power lines were down,
you would have had your own energy source.
So, you know, again, this is part of the
message that Randy was talking about. We
need to give a message that this has to start
somewhere. And we need to do something about
it, rather than having opposition to it. We
need to be encouraging and be supportive.

MR. CATES: Can I follow up with that real quick?

One thing to remember is some of

| 1 | these energy products or projects, NOAA |
|---|--|
| 2 | Fisheries benefit from them. Bill's farm is |
| 3 | benefiting from a failed energy project. |
| 4 | That's where his hatchery is in Hawaii. It |
| 5 | didn't work. It changed into something |
| 6 | that's now producing food. So fisheries kind |
| 7 | of go that, they say: No, no, no, no, no, |
| 8 | we're not going to do this. We've got to |
| 9 | remember there are benefits. We've got to |
| 0 | find a way to match up with energy projects |
| 1 | and get the benefit out of them. There are |
| 2 | examples there. We don't look at them. |
| 3 | MR. BILLY: Tony. |
| 4 | MR. DiLERNIA: I'm good. Thanks. |
| 5 | MR. BILLY: Eric, the last one. |
| 6 | MR. SCHWAAB: I just want to I |
| 7 | mean a lot of what you talk about is sort of |
| 8 | project specific with you. It's kind of |
| 9 | reactive in nature. And I mean, you know, if |
| 0 | we look at some of these broader planning |
| 1 | questions, it seems to me and you |

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mentioned it a few minutes ago -- that, you

know, MMS and Interior have a lot of responsibilities.

My question is really specifically what level of kind of comprehensive planning, sort of proactive engagement is happening across the federal agencies now and whether you envision sort of greater opportunities developing, given the new administration's attention to some of these issues?

MR. BIGFORD: Well, I'm glad you asked the question, if you think that we're just stuck in a reactive mode, because we're not. There are projects.

MR. SCHWAAB: Yeah.

MR. BIGFORD: So we do have to respond to requests for comments on a project, but we are, in order of magnitude, more engaged in proactive-type things. The head of the Minerals Management Service Program on Renewables Energy just had a detail to NOAA, working with NOAA leadership, working with Paul in Paul's office -- Paul's

| 1 | boss for a couple of months she had to go |
|---|---|
| 2 | back, but she's going to come back again. |
| 3 | So at the personal level and |
| 4 | between agencies were very, very engaged. |
| 5 | The Department of Energy has an interagency |
| 6 | group on renewables, ocean renewables, and we |
| 7 | work with them. So we're very involved |
| 8 | working on policies and regulations, |
| 9 | developing interagency research and |
| 0 | development programs, working on requests to |
| 1 | the Hill for funding this and would like |
| 2 | DOE asked for NOAA's ideas on their own |
| 3 | budget initiatives to support R&D there on |
| 4 | ocean renewables. |
| 5 | We are involved in an awful lot |
| 6 | that's very proactive, trying to address the |
| 7 | concerns that come up in individual reactive |

MR. SCHWAAB: So who's in the lead right now on some of these sort of

opportunities like commenting on the Verdant

Project that Tony mentioned. So it's a lot

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of both.

| - | comprehensive planning questions? Is it |
|---|--|
| 2 | Interior through Minerals Management? |
| } | MR. BIGFORD: It's MMS yes, |
| Ŀ | it's Interior, Minerals Management Service |
| 5 | for the EEZ, federal waters. |
| 5 | MR. SCHWAAB: Yeah. |
| 7 | MR. BIGFORD: It's FERC mostly for |
| } | inshore waters. It's the Coast Guard for |
|) | offshore LNG facilities. It's not NOAA |
|) | anywhere. NOAA is not the lead, but we are |
| = | very engaged and everyone knows to talk with |
| 2 | us, because between Sanctuaries, the Weather |
| } | Service information on winds, and currents, |
| Ŀ | and things, and National Marine Fisheries |
| 5 | Service on a lot, they know to come to us. |
| 5 | But we don't have the lead. |
| 7 | MR. SCHWAAB: So just the last |
| 3 | follow-up: Is there a formal interagency |
|) | organization for some of these comprehensive |
|) | planning efforts |
| = | MR. BIGFORD: Yes. |
| 2 | MR. SCHWAAB: that is led by |

| 1 | MMS? |
|---|---|
| 2 | MR. BIGFORD: It's mostly led |
| 3 | but, well, when it comes to MMS' regulatory |
| 4 | process, they lead. But the interagency |
| 5 | committee to talk about all these things is |
| 6 | led by DOE. |
| 7 | MR. SCHWAAB: Okay. |
| 8 | MR. SIMPSON: Isn't CEQ involved |
| 9 | in it? |
| 0 | MR. BIGFORD: Only if a project |
| 1 | gets really contentious, like Gulf Landing, |
| 2 | down your way. |
| 3 | MR. SCHWAAB: But and, again, |
| 4 | that's a project. |
| 5 | MR. BIGFORD: That's an individual |
| 6 | licensed project that goes to the White |
| 7 | House. |
| 8 | MR. SCHWAAB: Okay. |
| 9 | MR. BIGFORD: And that happens |
| 0 | once every couple of years, if that often. |
| 1 | MR. DiLERNIA: When does the Army |
| 2 | Corps come in on this? I thought they were |

the coordinator for the permits.

MR. BIGFORD: They give a permit.

When you finally -- when a license is issued and they're going to go out and drop -- you know, tether something to the bottom, the Corps of Engineers gets involved through Section 10 of the Rivers and Harbors Act, and Clean Water for discharge, so --

MR. DiLERNIA: Do they have to really get the Corps permit -- they have to jump through all your hoops, don't they?

MR. BIGFORD: Yes, but the Corps really is a minor player here. More of a player near-shore than offshore, but the Corps of Engineers, they're not leading any of this. Other agencies have got the lead, but the Corps of Engineers has to give permits, just like we have to consult on ESA and things like that, but now the Corps -- this is different than most other activities, where the Corps is the ultimate decision-maker. Here the lease and the license and

the permit from other agencies are much more important in the whole sweep of things than the Corps of Engineers, Section 10 or 4 for permits.

MR. BILLY: Tom, the last --

MR. BIGFORD: It looks like we

should talk more, Tony.

MR. RAFTICAN: And this is really to follow up on where Eric was going. We're looking at a number of different projects and we're deciding in the grand scale who has the lead on those projects. It's apparent to the people in this room that we each got an individual iron in the fire on different projects, so we basically bought into the concept that you've got this spatial planning going on.

How do you get -- how do you take an overall picture so that you can actually get all of these different lead agencies into one entity so that you're actually taking a comprehensive look at this? When I say -- I

| 1 | mean really basically how do you start |
|----|--|
| 2 | bringing about spatial planning ocean |
| 3 | zoning is the name of the game. Call it |
| 4 | whatever you want, but how do you start |
| 5 | who do you go to in order to bring a |
| 6 | comprehensive look to the entire project, to |
| 7 | the entire |
| 8 | MR. BIGFORD: I think you go to |
| 9 | Mark for the next topic on the agenda. |
| 0 | (Laughter.) |
| 1 | MR. BIGFORD: Is that what you |
| 2 | want me to say, Mark? |
| 3 | MR. BILLY: Tom, I thank you for |
| 4 | setting up the next two presentations. |
| 5 | MR. BIGFORD: And I'll follow up |
| 6 | with you, Tom. I didn't mean to be flip, but |
| 7 | we're working on that too. MMS has the lead |
| 8 | and they're on that group led by DOE. |
| 9 | MR. BILLY: I'd like to apologize |
| 0 | to both the Committee and the speakers that |
| 1 | we're running a little behind. But it's |
| 2. | always an interesting process or experience |

| L | to manage a meeting like this because this |
|---|---|
| 2 | interaction, the interest is the very thing |
| 3 | you're trying to achieve. And the interests |
| 1 | of the Committee and the likelihood then that |
| 5 | we're going to be instructive in terms of |
| 5 | helping NOAA in this area is very high. You |
| 7 | don't want to shut that off, but it comes at |
| 3 | some expense sometimes, so I apologize. |
| Э | I'd like to move on now to Mark |
|) | Holliday who's going to talk about regional |
| 1 | ocean governance. |

DR. HOLLIDAY: Thanks, Tom.

And I'd like to preface this.

I've got a short PowerPoint, about 17 slides, it's going to take about 15 to 20 minutes to get through, but I wanted to warn you we're going to ratchet up the discussion a little bit.

We've been looking at energy as one of the sectors in this controversy over competing uses, water-compatible uses, what are non-uses, and what's the process by which

we are able to prioritize, reconcile, and develop a governance model across these different levels. And the levels could be federal agency levels, state levels, interactions between the U.S. and international.

So I'm going to try to walk us through what some of the thinking that's going on now with respect to regional ocean governance in this administration and pose some questions for MAFAC to consider that we're looking for input on your perspective.

And I'll leave it at that time.

So I've got the clicker, I control the slide. I guess I'll move on.

So my purpose here is to identify and discuss some issues that are most important to NOAA in anticipation of a future based on regional ocean governance. And I'll define what we mean by regional and ocean governance in a moment, but we're trying to do some thinking and identification of the

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steps or actions needed to prepare for those outcomes. So with that I'll jump right in.

What are the some of the most recent background and context driving this, so that the Joint Ocean Commission Initiative Report, you recall there was a Pew Ocean Commission and a U.S. Commission on Ocean Policy. Lots of recommendations on the future of oceans, science, research, management, governance. They issued a report in April. There is a copy that was posted as a read-ahead for you on the MAFAC website.

There are about two dozen findings, recommendations. The bottom line:
There is still work left undone from those two Commission reports. And they made a series of very specific recommendations to the Obama Administration on where they felt there was emphasize needed on a way forward.

At the same time we have a new NOAA Administrator viewed from the CEQ and the White House as the leader for oceans, a

very assertive responsibility, to take charge and lead the country forward on ocean policy and governance. And she's indicated that it's part of her mission to fulfill these ocean policy and governance questions that are left undone or missing that are important to the Obama Administration.

So clearly, because Dr. Lubchenco is on the Pew Ocean Commission, she was one of the authors contributing to that, she had some very strong feelings and ideas about the future of ocean governance. So this is part of how I've set up the presentation.

Based on these recommendations

from this Joint Ocean Commission report,

these are the specifics that were still left

undone that needed to be focused on:

Strengthening ocean science, specific

management challenges about Coastal Zone

Management Act reauthorization, how are we

going to fund this, bolster international

leadership.

I'm going to focus on the improved governance things. So these are recommendations from JOCI, the acronym that we use inside the beltway. We can't live without them. To develop a policy for the U.S. ocean policy, providing a governance structure and a process. And so I'm going to -- this was all in the background material, but if I were to summarize what the five missing elements are that are important to NOAA that we're looking to try to help coordinate a federal overall perspective on, is that we're missing a statement of national ocean policy. And that ocean policy should be founded on the principle of ecosystem health. A resilient, healthy ocean ecosystem function is paramount.

That we need an interagency strategy to execute the policy, so we have, and if you remember the reports, there are dozens and dozens of federal entities with responsibilities for some aspect of the

ocean: Energy, transportation, oil and -specific oil and gas, fisheries. How do we
bring those people together and what's the
strategy to reconcile the sometimes competing
uses and strategies, and how do we blend them
together into a coherent policy.

What's missing. We don't currently have an independent ocean advisor to the president. Under the previous administration's Ocean Action Plan, there was a Committee on Ocean Policy that was formed, but it was still a part of -- not a direct report to the president. It was part of CEQ. So that was one of the missing elements that was needing attention from the JOCI report.

In both the Percipient witness and the U.S. Commission on Ocean Policy Reports, the emphasis on using the process and the tool of comprehensive marine spatial planning as a vehicle to help evaluate these different choices, these public policy choices of what to use, what to exclude, and how to exert

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some integration of these different ideas into a way forward, and who's going to be responsible and what's the process.

Well, what's missing is some federal leadership to implement regional ocean governance. So it would be done on a regional basis, but with some federal perspective on an overarching principle or overarching national policy, and taking place at the regional level for its execution.

So just as a sample of what a national ocean policy statement might be through some executive order, some legislation or otherwise, but it would be:
The policy of the United States at maintaining this healthy, resilient, and sustainable ocean ecosystem function is a guiding principle for all federal agencies' activities and actions affecting the ocean.

So if you were going to be the Minerals Management Service in charge of oil and gas leases, alternative energy, your

decisions would be guided by these ecosystem
principles of a national policy statement.

And these underlying characterizes of the
policy would include one or more of these
different principles, characteristics, or
attributes, that you'd have to make your
decisions, build in ecosystem resilience,
that you'd be mindful of protected
biodiversity. That in case of uncertainty,
scientific uncertainty you would adopt a
precautionary approach.

You'd want whatever decisionmaking is taking place to be able to balance
passive and consumptive uses. It's not all
extractive. There's value and there's
benefits for passive use as well as
consumptive use.

So you go down here. These are choices that need to be made and adopted that support an overall policy statement. Two of the most important ones, I think the last one: Ensuring transparency and stakeholder

engagement. And there's a variety of reasons
for that, but this administration has stated
from the outset that it hopes to be a much
more open, transparent, and participatory
form of policymaking than in prior
administrations. So that's a very important
attribute that underlies creation of an ocean
policy.

And, again, it's not rejecting all uses of the ocean, because, we heard from Tom's discussion, people are interested in having the lights go on when they flip the switch -- someone was telling me at the break. So ensuring national security interest. That's more than just national defense interest. Those are competing uses of the ocean environment. But food security, energy security, these are all ideals that are important to the public. They're all going to have some cost and they're all going to have some benefit with respect to the governing the ocean. So we have to be able

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to identify what those are and be able to

evaluate them, give them weights. We'll look

at the priority. And do that in a

transparent and open means of engagement. So

those would be the essential characteristics

of a policy statement.

So now we're moving onto -- okay,
we have a policy statement. That's one of
the missing elements. The policy itself -you know, the execution of the policy will
heavily rely on the collaboration of
partnerships with other people, particularly
the states, other levels of government, and
the stakeholders themselves.

And so Tom sent me up here, he says, "Well, how do we bring together in one place these multiple management authorities, the different sectors, the different constituencies?" Well, the answer is that's what regional ocean governance is really defined as. How do you do that? And we're looking to figure out the best way forward.

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Other countries have looked at this:

Australia, Canada, and others, in terms of developing a national perspective on ocean governance. And research has shown that there are different kinds of planning regimes in use worldwide. And they're sort of listed in a hierarchical order there of individual sectors, managed segments of the ocean use, but without any particular common ends in mind.

And so Tom's example this morning, when he talked about, well, there's a sector plan for wind energy development that was developed, brought together stakeholders within that sector for a way forward for wind energy, that to me, okay, that was an excellent example of an individual sector moving forward with planning, but not necessarily in the context of common ends for other sectors, right. And that's what the second one would be.

So if you had wind energy complemented with these other sectors that had agreed upon a national principle of an ecosystem approach that made sure that the function was maintained, you'd begin to more progressively get through this desired end point of having collaboration and integration of these competing ideals and principles.

So as you move down you get to something like number 3, a virtual organization of partnerships, committee structures, co-management. Some examples are on the next page of what those mean.

Increasingly as you go forward to greater degree of authority and control, an overall coordinating body, and the read-ahead materials, we had a side-by-side comparison that was put out there for you about the formation of regional ocean councils. I don't know if you had a chance to look at that. Pieces of legislation and the Commission reports have talked about creating

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new entities, all the way to on the final level, which is an overall management agency, sort of this top down, overall authority for the execution and management of a policy statement.

So we tend to talk about these recently in terms of soft governance, the collaboration, and the identification of common goals at the beginning, to hard governance, where it all comes together at a pinnacle. And there's a range of pros and cons that are associated with each one of these different approaches.

So what's the current U.S. situation with regard to regional ocean governance? These are some examples I've been looking at and we've been evaluating.

You have these state-organized regional collaboration alliances. That's a mouthful, but I call them the big eight. We have the West Coast Governors' Agreement, Great Lakes Commission, Gulf of Maine

Council. And these are, again, driven by state organizations with federal partners.

We'll talk a little bit more about what the pros and cons of some of these models are in a moment.

We have these federally-chartered, statutory-charted regionally fishery management councils that are very familiar to MAFAC and the roles and responsibilities that they have. But we have other regional federal entities in the Fish and Wildlife Service, EPA, Minerals Management Service, that have responsibilities for some of the sectorial management policy decisions that affect the ocean right now.

So we do have a variety of different levels of governance from those models from the previous page that are already out there. Some of these are collaborative, some of them are more cooperation based, and some of them don't have authority to actually implement rules or

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regulations.

And of course from the NOAA

perspective we have from Admiral

Lautenbacher's tenure we created these NOAA

regional teams to help promote NOAA's

participation in regional planning, regional

execution, and delivery of NOAA services to

various entities, whether they were these

collaborations of the big eight or just the

delivery of services to clients and customers

around the country.

Another example just as, again, of an existing structure we have National Marine Fisheries Services regional offices set up to do policy for, under the Magnuson Act, under the Endangered Species Act, under the Marine Mammal Protection Act already operating it in place with different partners and stakeholders around the country. So there are a variety of different models that are out there.

So what's the current capacity of

these groups to do regional governance? Okay, you have a number of different groups and entities that have been established. in the last couple of months our office has been looking at this question. interviewed the NOAA personnel because we wanted to try to keep this sort of an internal evaluation at this point. But the NOAA personnel that are serving on these major regional collaboration organizations, the Gulf of Mexico Alliance, et cetera, and the NOAA regional team members, to ask the question: How well are they positioned to help advance a regional ocean governance model.

In other words, looking at those different policy functions that we saw in the earlier slides, what are their interests, what are their priorities in there? Was there close alignment. Do they have capacity and interest to try to work on bringing together in one place these different

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organizations in a transparent, stakeholderdriven model? And so we have been trying to
do a little bit of reconnaissance on that.

So the good news is, well, most of them are
very much ecosystem centered, that they are
very much aligned with the idea of an
ecosystem approach to the management
decisions that they're facing.

The downside is the common end, the common-shared vision, many of them were established for different purposes, have different foci on what's important to them. There is some overlap with some of the ocean governance model interests of NOAA, but there's still a lot of work to do to try to align them in a common end point in the models that we were talking about earlier.

One of the other findings was in many cases these entities that are created are based on collaboration and working together, but they really don't have any governance authority. They don't have

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statutory authority to make rules, to enforce laws, to create regulations. And so there's a missing element there in terms of carrying forward to an end point, the ideas of how to move forward to: Well, we have the authority to actually control and make these decisions.

Many of them have different models of stakeholder and public participation. idea of bringing to a table these various institutions is sort of remarkable in and of itself. Federal, state, and local governments working around the table, but the ability to say that they have a transparent process, that they have different opportunities for public review and comments sort of equivalent to what the regional councils do and under the Administrative Procedures Act of proposed rulemaking, final rulemaking, judicial review of the results, that's quite varied and not found very frequently in these other institutions.

And of course the basis of all of

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COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 our policy should be based on high-quality science. And many of these venues have got the science but there really isn't any independent peer review standard or reference point for the policies that they're proposing or advancing. And so these are some of the things that we were finding about the current capacity to move forward.

Well, what's the future look like.

And so I mentioned earlier that in the peer report and the legislation that's been introduced for the NOAA Organic Act and other pieces of legislation on the Hill, to create new regional ocean councils. Okay. New regional, and in some cases they're called partnerships. So that's one model of the future: New entities that don't currently exist, to bring together under one umbrella or one tent these different stakeholders and these different priorities and perspectives to do governance, to do policymaking, decision-making, regulations.

There are also other models that people have proposed to modify the roles of existing things. So we have these big eight regional commissions. Do we reconstitute them and instead of creating competing regional ocean councils, adopt them, but change those missing or weak links in their structure and process to devolve governance responsibility to them.

Another model is: What about existing state programs themselves under the Coastal Zone Management Act. Do we use that as a vehicle to try to develop standards and practices that states would then take and implement them?

There are some models that have looked at Fishery Management Councils and expanding the roles and responsibilities of those venues to include more since they're doing ecosystem approaches to management, to bring under some bigger umbrella responsibilities for governance beyond the

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fisheries, marine mammal, and other interactions that fisheries have that we have authority for.

The Interstate Commission. So no

matter which way we go forward, and this
presentation doesn't have answers. What it's
designed to do is to provoke some questions
in your mind about, well, what do we think
might be the way forward. But any approach
for regional ocean governance, I think, ought
to address this question of how hard or how
soft that governance ought to be. You know,
is it one of collaboration or all the way to
the hard governance where there's one
management entity. What are some of the pros
and cons and why would we prefer or recommend
one over another?

It clearly has to be able to have the competency to resolve conflicting mandates, so to be able to capture information and understand what the different priorities are and what the benefits are of

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going forward with a use for a consumptive
use of a resource or leaving a resource
untouched or whether it's an MPA or a reserve
or a monument or consuming it for food,
consuming it for recreation, assumption it
for acoustic testing of military readiness.
There are all sorts of pros, cons, values,
and costs and benefits to these things.

Mow well do any of the proposed models that we talk about have that ability to put on the table and resolve those conflicting mandates? And does that entity then have the authority to implement, monitor, and enforce the policy. You know, is it more than just advisory? Is it a good idea, the consultative roles we talked about earlier where somebody's in charge of making the decisions, they consult with other agencies, and then they can accept that advice or they can blow it off or do something in between. So what's the authority to actually carry through to

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fruition the recommendations of that policy?

Very much important, again I'm tending to overemphasize it, but that transparency, that public participation is key to it, not just from an administration's perspective that it's important, but in terms of the buy-in and the ability to carry out and implement that policy. You've got to understand the role and the interests and the ability of stakeholders to follow through on the policies that are out there. And any system that we come up with has to have that design in at the outset.

And then what are the checks and balances. Cases that we had some questions about changing sanctuary designations once they have been made. Once a monument's made do we have any way to go back. What are the checks and balances? So is it all in the executive branch determination or is there a check and balance with judicial review. Do you avail people of redress if they feel

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they've been wronged in the process? What's your institutional design going to do about that.

Right now the situation on how we integrate different competing ideas and what do we do to resolve conflicts. We have these systems that were set up under the Commission on Ocean Policy, the Subcommittee on Integrated Management of Ocean Resources, Joint Subcommittee on Ocean Research and Technology. But they really have the limited effectiveness in resolving, competing, or conflicting uses -- a very difficult time in looking at consideration of these cumulative impacts across multiple decisions over time and space.

And clearly from another

perspective, you know just as a

consideration, NOAA Fisheries and the

Regional Fishery Management Councils under

MSA and ESA and the National Ocean Service

under Sanctuaries in the federal government

are really the two principal regional ocean
governance authorities outside of the
territorial sea. In other words, in the EEZ.
They have the broadest federal agency
mandate to conserve ecosystems. So it's more
of an observation or a consideration than
anything else.

Some options to consider about how one would move forward. SIMOR was one of the entities that was created under the Ocean Action Plan of the last administration.

What's the role of Congress? I want to try to get to sort of the last couple slides here on the trigger questions.

So it's important to this
administration, it's important to NOAA to
move forward on advancing regional ocean
governance. What changes might need to occur
in NOAA and in the Fishery Service and the
future that's based on that. How would our
responsibilities change, our research focus.

Do we need new authority, data requirements,

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resources, accountability. These are some of the requirements for regional ocean governance, just skip to these last two slides.

So these are possible discussion questions that the subcommittees could take up this afternoon and debate. You could brainstorm this, if any one of these questions kind of turn you on. I think feedback on them would be helpful. What role should NOAA and informs have and what responsibilities for regional ocean governance. If some of the legislation moves forward to create regional ocean councils, how do you see them interacting with the Regional Fishery Management Councils. some models they're a member of a Regional Ocean Council, but how do you divide up that turf, if you will, or that responsibility of one entity being a sub-element of another.

What are NOAA's strengths relative to the issue? I mean what services could we

provide to some of these different models of governance from soft to hard. Do we have something to offer that other agencies don't?

As I was mentioning before, we have different statutory authorities, different research competencies, what services could we provide.

With then the Fishery Service, we have a certain structure that's set up today.

Would it be affected? Our science centers, our headquarters, our regions be affected by some of these different models and how would that take place.

So the bottom line is: How would these responsibilities for regional ocean governance in the next administration impact our current activities and our future strategies. And how does that fit in with things that we've already said are important to NOAA, which are ecosystem based management, our science based on integrated conducting and creating integrated ecosystem

| L | assessments, and regional ocean governance. |
|---|---|
| 2 | How do these different principles fit in? |
| 3 | And one of the tools that we'll be |
| 4 | used to get there will be this idea of marine |
| 5 | spatial planning. And that's why I've asked |
| 6 | Charlie to come and give us a perspective on |
| 7 | how that tool, how that function could |
| 8 | complement this. But these were sort of the |
| 9 | discussion questions that I'd like MAFAC to |
| 0 | sort of mull over and consider and offer your |
| 1 | perspective on it back to NOAA and the |
| 2 | Secretary, because we're all about policy. |
| 3 | (Laughter at the slide.) |
| 4 | MS. McCARTY: Mr. Chairman, thank |
| 5 | you. |
| 6 | Mark, can you remind us how the |
| 7 | aquaculture role fits into this? I think |
| 8 | there is legislation, there was legislation |
| 9 | on the table. Can you remind us how that |
| 0 | fits into this regional piece? I just can't |
| 1 | remember how that imagines it will be run. I |

just can't remember what that piece of

| 1 | legislation does. |
|---|---|
| 2 | DR. HOLLIDAY: Well, it creates |
| 3 | authority for sort of coordinated permitting |
| 4 | and development of aquaculture activities in |
| 5 | the EEZ with NOAA. And so one of the |
| 6 | additional responsibilities and one of the |
| 7 | uses of ocean territory would be permitted |
| 8 | uses would be for aquaculture. So that adds |
| 9 | that to the list of pros and cons for this |
| 0 | particular area. What are the gains, what |
| 1 | are the losses, and the responsibility would |
| 2 | not be with Minerals Management Service, it |
| 3 | would be with NOAA under these legislative |
| 4 | proposals for a comprehensive plan. |
| 5 | MS. McCARTY: Right. Under the |
| 6 | Regional Fishery Management Councils, or not? |
| 7 | I can't remember that part. |
| 8 | DR. HOLLIDAY: Not under the |
| 9 | not under the |
| 0 | MS. McCARTY: So under another |
| 1 | system of councils or something? |
| 2 | DR. HOLLIDAY: Well, through the |

| 1 | federal bureaucracy as opposed to any |
|---|---|
| 2 | advisory council or aquaculture councils on a |
| 3 | regional base. |
| 4 | MR. RAUCH: If I could clarify |
| 5 | that? The bill the administration put |
| 6 | forward would have NOAA as the primary |
| 7 | permitting agency. They would consult with |
| 8 | the councils, but there wouldn't be any other |
| 9 | bureaucratic formation. A version in the |
| 0 | Senate did envision the creation of regional |
| 1 | aquaculture panels, one or more, and we |
| 2 | actually discussed whether those panels might |
| 3 | be a subset of MAFAC to provide advice on the |
| 4 | regional side of aquaculture facilities. |
| 5 | That issue is in a version of the bill in the |
| 6 | Senate. |
| 7 | MS. McCARTY: Okay. And, Mr. |
| 8 | Chairman, if I could where is that whole |
| 9 | process with those bills? Is it what's |
| 0 | your assessment of that? |
| 1 | MR. RAUCH: So the Senate |
| 2 | continues to work on it. The House is |

working on a bill which, as I said yesterday, is more concerned with the environmental aspects of aquaculture. They're fairly far apart, so I don't believe that we're likely to have legislation any time in the near future because they're working on very different texts.

MS. McCARTY: Okay. Thank you.

MR. BILLY: Vince.

MR. O'SHEA: Mark, this is a terrific presentation at another great strategic level, which I think is very helpful and good, so thank you for doing that.

One of the things I was pleased to see in there and that's sort of the sad reality of what our experience has been is I think no one of your third bullets back there was the sort of needed for an enforcement/enforcing mechanism. So if we're going to bring these groups together to solve very difficult problems, the reason they're

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difficult is the tradeoffs are very sharp and expensive and it creates vote split winners and losers. So my first -- and I've gone to these different workshops and things. And I think that's a key element that has to come through there.

The reality is you're going to need a way for whatever group that emerges to have of course a mechanism. You're only going to get so far with cooperation. The reality is you're going to get to some real tough decisions and the sad part is it's going to have to be a forcing mechanism. We certainly learned that at the Commission and I think that will be a theme through all this discussion. Thanks.

MR. BILLY: Okay. Randy.

MR. RANDY FISHER: I'm curious about one thing. Let's pretend like you actually got to a point where you zoned the ocean. Who would have the authority under a situation like that? Nothing exists now,

| 1 | right? |
|---|---|
| 2 | DR. HOLLIDAY: Well, that's what |
| 3 | we're trying to identify, I think, is the |
| 4 | tool and the mechanism of creating the |
| 5 | tool for evaluating those different uses. |
| 6 | But who is going to exercise the enforcement |
| 7 | and the execution of that. And that's where |
| 8 | Charlie's that's where we kind of segue |
| 9 | from one presentation to the other. But I |
| 0 | think that's the regional ocean governance |
| 1 | model if you choose. There's got to be one |
| 2 | entity where this all comes |
| 3 | MR. RANDY FISHER: Yeah. |
| 4 | Everybody works for somebody. |
| 5 | DR. HOLLIDAY: But you've got to |
| 6 | make sure that it's inclusive and that it's |
| 7 | transparent and it has a science basis. It |
| 8 | has all these attributes and we're asking for |
| 9 | your input on how that should be constructed. |
| 0 | MR. BILLY: Okay. Bill. |
| 1 | MR. DEWEY: So to Heather's |
| 2 | question, I'm just looking back at the ten- |

year plan for aquaculture and see if we called out comprehensive planning for aquaculture in the plan from a zoning standpoint, and we didn't really. I mean in the appendix there's reference to the legislative drivers. And CZMA requires NOAA to provide assistance to coastal states to support comprehensive planning, conservation, and management for living marine sources including planning for the siting of aquaculture facilities within the Coastal Zone.

This is an area of significant concern to our industry, particularly in Washington State. It's both affecting -- use conflicts are affecting our existing farms that have been in place for over 100 years, but it's also affecting our ability to grow and expand our industry as well. And we've been advocating to NOAA as they look to reauthorize CZMA, to try to include some sort of directive to the states to encourage

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comprehensive planning for aquaculture
development. And not just in federal waters
but in state waters, to help us deal with
these use conflicts. So this direction from
our standpoint is perfect. I mean we need
this.

MR. BILLY: Anyone else? Yeah, Eric.

MR. SCHWAAB: Tom, I'm not sure what to make of this but just the thought that occurred to me when you were going through your principles that I'll just toss out. That it seems like a lot of these issues whether it's offshore, renewable, or energy-development issues, that we get focused on sort of the cost-side of the equation. It sort of harkened me back to the comment yesterday on consumption advisors.

And we get all focused on sort of the cost-side of the equation and who's against this and who's against that and who's against the other. And I think it sort of

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| 1 | argues that we really need sort of this |
|---|---|
| 2 | benefit side of this conversation imported |
| 3 | into this ocean governance question and just |
| 4 | as a thought. |
| 5 | MR. BILLY: Good point, yeah. |
| 6 | Thanks. |
| 7 | Randy. |
| 8 | MR. CATES: Mark, on the one slide |
| 9 | you had on the missing element, I know you |
| 0 | mentioned food security under security. I |
| 1 | think it would be beneficial to actually get |
| 2 | back to labeling in writing "food production, |
| 3 | food security," because we see a whole list |
| 4 | of conservation issues or management and |
| 5 | we're not seeing much in writing about what I |
| 6 | think is a major important role of NOAA and |
| 7 | the National Marine Fisheries is to protect |
| 8 | our food production. |
| 9 | VICE CHAIR BALSIGER: You think |
| 0 | we're under the Department of Commerce, or |
| 1 | what? |

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(Laughter.)

| 1 | MR. CATES: Yeah. |
|---|---|
| 2 | MR. BILLY: Well, it is |
| 3 | interesting, I mean we talked about |
| 4 | acidification and energy, but a lot of what |
| 5 | NOAA Fisheries does has to do with food. |
| 6 | MR. CATES: And yet we don't see |
| 7 | it. We don't see it in writing that that's a |
| 8 | major responsibility. |
| 9 | MR. BILLY: Okay. Let's move on. |
| 0 | Charlie. |
| 1 | MR. WAHLE: Thank you. My name is |
| 2 | Charlie Wahle. I work for NOAA's National |
| 3 | Marine Protected Area Center and I'm the |
| 4 | Senior Scientist there. |
| 5 | MR. BILLY: A little louder, |
| 6 | please. |
| 7 | MR. WAHLE: Sorry. So I work for |
| 8 | NOAA in the Marine Protected Area Center. My |
| 9 | office is based here in Monterey, about a |
| 0 | quarter of a mile that way. |
| 1 | My day job has to do with a form |
| 2 | of comprehensive planning, thinking about how |

best to use MPAs as a management tool.

Increasingly my night job, which is starting

to spill over into the day more and more, is

to help a group of people within NOAA think

about marine spatial planning, what it means

both to NOAA, to the nation, how we might get

from here to there, and how we might

8 articulate some of these ideas.

about today, and I very much appreciate the opportunity to discuss this with you, is sort of some of the thoughts that have been coming up within NOAA. It's by no means an official policy statement. We're not even there yet, but there are a lot of very clever people thinking very hard about these issues around the clock for the past couple of months. And I'm going to try to distill some of those thoughts for you today.

I don't think would have been news to you anyway, but it certainly isn't by now in today's sequence, that the oceans are

getting to be really crowded places. Decades ago you could go out on the water and be by yourself and it's just not true anymore. And it's not just other people doing what you might be doing, but there are other people doing a lot of other things.

One of the principal sectors of ocean use of course is fishing of all kinds, commercial and recreational. But there are also an equal number or at least a large number and a growing number of non-consumptive uses, typically recreational activities, which are all over the place.

Many of you probably engage in one form or another of them, and are generally poorly understood largely because they're not regulated and, therefore, not well documented. But they're happening all over the place in the same places as some of the fishing activities.

And then, as you've seen in the themes today, there's a lot of growing

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related to industrial applications and to the military. And these are driving a lot of analysis and policy deliberations about how do we deal with this. Do we just put them wherever they want to go? If so, who's displaced, who wins, who loses, that kind of thing. Hence the thinking now about how we need a better way.

And then, finally, there are the funny uses that my friends from the National Ocean Service will probably recognize all occur in National Marine Sanctuaries that, you know, might want to just not even know about, but they're happening. These are all in Florida.

So where does this take us. We've got all this stuff going on in the ocean and we all want it to work and we want it to work well and smoothly and we want the oceans to continue to function and provide us with the services and benefits that are outline on the

right. So in order to achieve these societal outcomes of healthy ecosystems and social and cultural and economic benefits, reduced user conflicts, we've developed all these management schemes, many of which you all are directly involved in.

The challenge with this is that, as you've seen this more than, I think we already know the answer, is these all require information and insight and actual management action on something we don't know much about. And that's how and where and why and to what impact we use the ocean.

We're pretty good at the theoretical side and we spend a lot of money on the science and policy, the fussing side of figuring out what the outcome should be.

But we're lacking a little bit in the middle.

And that's, in fact, one of the main focal areas of marine spatial planning and of my work in NOAA, is to get some of the information into that circle about ocean uses

and what they mean.

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So what's really missing from this whole picture and what we need in order to get from where we are now to where we -- Mark articulated it, at that kind of policy level, is a clear and rigorous and supportable and transparent understanding of all those different uses, where they happened and what they mean to the ocean and to us. And we just don't have that.

As you've heard in all these examples, they basically involve two things:

One is we don't know what we're doing and we don't have the authority to do it anyway. So we have a problem that we need to fix.

And the first step in fixing that is to paint this picture of where are those uses and what do they mean.

So I want to take you -- this is not a shameless advertisement exactly, but it's a project that we're doing because of that problem, to fill that gap, the paint the

picture of human uses in the ocean, starting
in California. We call it the Ocean Uses

Atlas. It's grant-funded and it's an effort
to document using expert input 30 consumptive
and non-consumptive and industrial uses, from
zero to 200 miles out throughout California
waters. And we view that as a pilot for
doing the same thing nationwide. We're
almost done with the data gathering and we're
about halfway through the analysis.

So what I'd like to do is show you just what kinds of insight are coming out of it. The details aren't really that important. But, Tom, I recognize this as the results of our Southern California mapping project. And we show here the pattern of motorized boating in Southern California, the darker areas represent places where there was greatest agreement that the activity was occurring.

And you can see that there is a lot of boating going on everywhere, not

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surprisingly, but there are places where it tends to be more concentrated. You can also take that same data, and remember this is for 30 uses now, and roll it up into aggregations of uses that have some relationship to each other. Here we have it for commercial fishing and you see the same idea: Lots of activity and several hot spots, some of which are directly adjacent to the new no-take reserves.

Then when you roll them all together, the giant picture emerges, which is pretty scary. This is the use equivalent of the pH issue we had this morning, where this region is saturated with human use. Of one kind of another, somebody's doing something out there all the time. Not necessarily a bad thing, but if the job is to try to find ways to manage and allocate those uses, that's a real challenge. And this is the first time we've developed a kind of a comprehensive dataset to make it at least

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possible to have the conversation.

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Then finally you can begin to get to the real question which is what do these uses mean to each other. And here we've combined all the uses within the three sectors and then mapped the three sectors on top of each other. And the details aren't important, but you see there's a lot of overlap. All that busyness that you can't really interpret. What that means is those are places where many of these uses are happening in the same place at the same time, thus the potential for some sort of use conflict.

So that's given us data,
basically. It's a tool and it's intended as
a tool with a purpose. The purpose is to
make it possible to understand the uses but
also make it inescapable that there's a lot
going on out there and we need to have a
better way to manage it comprehensively,
because piecemealing it probably isn't going

to work.

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Now the three things that happen when you do this kind of stuff piecemeal, as you all know better than I, is: One, there's increasing pressure on ocean resources and ecosystems, so more use of more kinds, we begin to see sort of stresses in the system.

The second is there are increase in conflicts among users. You can see sort of examples of that, especially the guy on the board who's about to get chopped up by the blades.

And then, finally, and probably most significantly for the fishing sector, is that some of these uses are permanent allocations of space that exclude other uses, whether intentional or not. And so these result, or at least they have the potential to decrease access, decrease the pie that we're all trying to carve up. And so we really need to know this stuff.

So that brings us to how. I think

that we all understand probably equally well
that we need to do this, but now the question
is how do we do it and how quickly can we do
it. And that's where this concept of marine
spatial planning arose. It's not entirely
new, but it's been sort of rethought and
modernized and basically it's the way to
figure out how things are arrayed in space
and how we want them to be arrayed.

And we have within NOAA there's a group that's working on this and we've developed a very draft working definition, so think of this as just ideas at this point.

But it's important because it has some really key concepts. The one, probably the biggest is it's comprehensive, meaning all uses and it's ecosystem based. So it's intention is to look through the lens of sustaining a healthy ecosystem, how do we allocate uses.

It's a process through which compatible human uses are objectively and transparently allocated to appropriate ocean places. So

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they're not just willy nilly put somewhere.

They're put somewhere where it works for the use and the ecosystem and other comparable uses in order to sustain critical ecological, economic and cultural services, not just for us but for future generations.

It's a tall order but it's what we all know we need to do and, in fact, these concepts are embedded in virtually every statutory authority we all work under, it's just how to get them integrated is the challenge.

So this is sort of what we're thinking within NOAA in a very informal way now about how to execute this kind of process.

There are examples, just in case you're wondering if this is just some crazed idea that popped up out of nowhere. This has been going on for some time in other places, in Europe, in Australia at the Great Barrier Reef, in New England, in many of these

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coastal regional ocean government consortia, and even in our own work in developing a comprehensive national system of MPAs, the same basic process. Where are the important places, what's vulnerable, who's using them, how do you allocate that.

Now within this group within NOAA we've struggled with, well, how do you organize these concepts into something that you can actually work from. It's one thing to say we need an integrated, comprehensive approach to ocean management, and then go to lunch. It's another thing to really lay out a framework that then drives a workplan that results in that outcome. And this is -- the current thinking is that that framework has basically two big themes. One is information, spatial data, and planning tools. The other is the policy framework and the leadership action that makes that information turn into comprehensive management.

So within the data and planning tool it's not surprisingly it's spatially explicit information about the ecosystems, where are the important or the vulnerable places, where are the places we value for one reason or another.

The second is spatially explicit information about ocean use. It's not just where they occur but what do they mean to people, what are their benefits, what are the impacts.

And, finally, and this is key I think for the near-term in NOAA, a set of decision-support tools that make it possible for stakeholders and agencies and anyone interested in the ocean to explore the implications of these uses in a real place.

Now the second theme has to do
with: Then what, so you have all this
information and basically the two areas of
interest are interagency coordination and
regional planning, which is largely what Mark

was talking about. And the second is the underlying policy framework that makes that possible, also a large part of talk, which was essentially we need a mechanism to enable or even require this kind of activity to occur.

Now I'm not going to belabor this because it's strikingly similar to Mark's slide, which probably suggests I didn't do my homework, but basically to get from where we are now to a more comprehensive integrated approach to ocean management, there are some sort of evolutionary stages we can go through. The real issue is how quickly do we move through these stages. How fast can we go from sectoral management to collaborative, integrated planning but still using our own independent authorities to a more integrated comprehensive ocean management structure. have no answer to that. That's clearly sort of a policy level issue and there are a lot of things that have to happen no matter which

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| one of those options occurs. And that's |
|---|
| basically the meat of marine spatial |
| planning, is making it possible to have the |
| information to execute any one of these |
| strategies. So that's where we are. |
| |

There are really a couple of
things that have come out of our thinking and
were reinforced in the talk today. One is
that we clearly in the very near-term, like
today, need better insight into what's
happening in specific places in the ocean.
We need to understand what those places mean
ecologically and economically. And we need
to understand how the uses affect them.

We also need venues for this
larger conversation, and that could be a
formal thing. It could be an informal
gathering. But we need to begin playing with
that information in a way that has an
outcome, which is: Here are some possible
solutions.

And then I believe that we need to

act now. It will take a long time to develop
the authorities to do what we know we
ultimately must do. But I think most of
these things, these FERC permits for example,
are happening today. They're not five years
from now. And so we need to develop the
tools to at least allow us in an ad hoc way
or an interim way to deal with that stuff
right now.

So that's it. I appreciate the opportunity to talk to you all and be happy to answer questions.

MR. BILLY: Sam.

MR. RAUCH: Thank you. I really liked your definition of marine spatial planning and I want to talk about that definition and then the mapping issue for just a minute.

The way that I think of marine spatial planning is you could -- people think about it in three different ways. One is that it's a tool for mapping and to get all

the uses together and then coordinate.

Everybody should talk to each other. And I think we're fairly good at doing those kinds of things. We need to make more progress in actually doing the work, but we're structured well to actually carry out this kind of use

mapping and talking to other agencies and other government structures.

The second one is to have some sort of, as we said, arbiter of uses as they arise. Somebody to say do this and don't do that. I don't think we're well suited to that, but that's -- even that's a more simplistic way to go about it.

The third way, and this is the way your definition is set up, which I like that, is to allocate ahead of time what the sort of uses of the area will be so that you avoid those uses conflicts up front which requires an arbiter to come say at some point this is what this would be good for. And so we've been struggling with that definition, and I

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like the way you've set that up.

I don't think we're well set up to 3 do that one either, so anything that involves sort of the arbitrating uses, I don't think there's a very good structure because it's not just a federal issue. If it were a federal issue it would be somewhat easier, but it is a state and international issue as well. A lot of these issues happen on the states. And a lot of them that we're dealing with, we can't just -- one thing we tend to focus on is just the ocean uses itself, **1**3 looking at whether you want to put an aquaculture farm or a wind farm, or whatever. We've also got to look at onshore impacts into the ocean, because onshore development as it's releasing pollution and sediment and things into the ocean, that's an ocean use. 18 The drainage from the onshore uses is an ocean use and we need to figure out a way to incorporate that into the planning.

The other thing about mapping --

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COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 so the other part about mapping that I think
we're struggling with is the third dimension.

We're fairly good, where we can, at
overlapping existing uses looking at the top,
but that doesn't necessarily tell you what
all we need to know because we need to know
who is using the bottom, who is using the
column, particularly for fishing impacts, and
who's using the surface.

And there may be that those are overlapping uses but they're not conflicting.

Or it may be that they do conflict when you look at them in three dimensions. So we need to figure out some way to deal with that.

And my final statement on mapping is it seems to me that there is a lot of effort by a lot of different agencies and it is very disjointed. We heard about the Marine Cadastre, we heard about this effort. There's a lot of effort going on to map various uses. And so my sense of it is we're both overinvesting and underinvesting in that

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we've got a lot of inefficiencies and a lot
of different people doing different things.

And I'm concerned that they will at some
point talk to each other, and we're not
getting the comprehensive ocean-wide look at
it. We can get areas like off California,
but is anybody looking at it comprehensively
so that you can actually talk to each other.

And so those are some of my
concerns.

Thank you, Mr. Chairman.

MR. BILLY: Okay. Thanks.

Heather.

MS. McCARTY: Thank you. I'm also interested in the definition and it seems to me that something that Sam said is kind of what I was thinking, and that is that it's not just spatial planning obviously, it really is zoning because it's talking about the appropriate uses. And so it's more like marine use planning which has a spatial consideration.

| 1 | And it seems to me that there's |
|---|---|
| 2 | quite a leap between the mapping that you're |
| 3 | doing now which establishes the footprint of |
| 4 | the current uses and the mapping that you |
| 5 | might do if you had a wish list as to what |
| 6 | uses are appropriate. And that leap is the |
| 7 | arbitration or the decision-making process |
| 8 | that Sam is referring to, which would include |
| 9 | the authority to enforce all of that. And so |
| 0 | it's more than spatial clearly. |
| 1 | Are you actively seeking to avoid |
| 2 | the term "zoning"? |
| 3 | MR. [SPEAKER]: Yes. |
| 4 | MR. BILLY: It wasn't me. |
| 5 | MR. WAHLE: Yes. |
| 6 | MS. McCARTY: I'm just curious. |
| 7 | MR. WAHLE: I mean personally I |
| 8 | tend not to use it because it seems to have a |
| 9 | negative reaction often for all kinds of |
| 0 | reasons, some real, some probably not. |
| 1 | My sense is that we're in that |
| 2 | sort of funny terminology gray area where |

some time in the near future we'll come to our senses and come up with something that's a little more compelling sounding than this.

But the core idea is there and clearly the outcome is, in effect, zoning. It may not be exactly like zoning on land, but it's the allocation of use to particular places.

Your question about our mapping project and the scope of it, you're exactly right. And we do ask in the mapping workshops the experts to project out in the future about emerging uses with this very thing in mind. But we also recognize that this is really just the beginning of the conversation about how you would evaluate the suite of uses in an area, but it's surprising and it took us a long time to come to grips with this. That's where we are in terms of the information. There's very little, other than fishing and the few oil rigs, there's very little data on human use that you can use in a consistent, comprehensive way across

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regions.

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And so we made the strategic

decision to start what we thought was simple,

it turned out to not be simple, of just where

is it happening, and then build on that with

what does it mean and how it might change and

really get into the real meat of it.

MR. BILLY: Thank you.

We have one more presenter. My proposal is we'll go till 12:30, so I hope that will work.

Paul, the floor is yours.

Yeah, Bob. Oh, I'm sorry, Bob.

You're on the list, too.

MR. FLETCHER: You know when you were talking, Charlie, I immediately started harkening back to something that fishermen have been preaching for years and that that is nobody's ever taken a look at the cumulative impacts of things that happen in the ocean on fishermen. And this definition, maybe I'm missing something, but one of the

things that I don't see there is that social impact of cumulative burdens placed on fishermen, because they were really some of the first ones out there using the ocean.

And one layer after another of burden was placed on them.

And you've done a pretty good job of identifying a lot of the layers of burden that have affected fishermen. Recreational fishermen, it's not so much ecological or economic. Maybe it's cultural, maybe that's where we fall, but I didn't see in this process that you've developed to show the full array of cumulative impacts on fishermen that have happened. And every one have further restricted fishermen somehow, somewhere, either across the waters or restricting their ability to fish. cumulative thing has never been properly addressed in my mind and I'm thinking maybe what you've done here is the beginning of the way to address that problem.

For example, we have pollution impacts on the mainland in Southern

California, but we can't ever address that because that's always politically, oh, you don't go there. Fishermen are the ones that they get a hold of to further restrict, but their resource has been cut back because of those pollution elements. So I guess I'm kind of wandering, but I see this as a potential to get at some of the concern that fishermen had over a long period of time.

And like we were the first in and we're getting to the point where we may be the first out.

And so when you do this work, rather than just make us all various consumptive and non-consumptive, you've got to look at that big picture and make sure you don't lose sight of there's a break point at some point with all these other uses, there's going to come a time when that will break fishermen. And I hope you're sensitive to

| 1 | that as you develop this comprehensive look. |
|---|---|
| 2 | MR. WAHLE: It's a very good |
| 3 | point. And I think there is a lot of |
| 4 | awareness of that and part of it is there |
| 5 | will be several layers that deal with the |
| 6 | regulatory overlay in places. A lot of our |
| 7 | own work does that. But also there is the |
| 8 | more complicated thing of whether the impacts |
| 9 | on a particular usage, that would all fall |
| 0 | into that decision-support tool, but I think |
| 1 | maybe we need to make it more clear that it's |
| 2 | going into the soup so that it doesn't look |
| 3 | like it's being ignored. |
| 4 | MR. FLETCHER: I'm just glad I'm |
| 5 | old now and |
| 6 | (Laughter.) |
| 7 | MR. FLETCHER: I don't have to |
| 8 | face the future. |
| 9 | MR. BILLY: I think we're going to |
| 0 | shift to a plan B here. We're going to hold |
| 1 | Paul till after lunch and give him a little |
| 2 | bit more time and squeeze Alan a little bit, |

which he's agreed to. And we've got a couple more people that would the like the floor, so Tom.

MR. RAFTICAN: This has been a sobering morning. I was looking around the room before and it was dead quiet. We all have priorities and it was interesting because what we saw this morning were other people's priorities being placed alongside and sometimes over ours. And obviously we got to deal with it.

I think one thing about Charlie's definition, though, if you were looking at it, the thing that I would change on it is as you get down to the last line, to say:

Crucial ecological, economic cultural services for today and future generations.

I think the stuff that you're looking at right now, as you quite accurately put, these are things that are affecting us right now. And how we deal with them now, we deal with them now. And many of the

priorities are already set out there, it's just how do we start integrating them. think the deal is it is for today and for future generations. MR. BILLY: Patty. MS. DOERR: Your work especially with the ocean use as atlas and in general on this whole topic, are you looking at -- and by "you" I mean you MPA Center and NOAA -looking at the scientific data that kind of underlies all of this, the state of the fisheries, the state of the habitat, what's **1**3 there, what's not, what's the impact of the various uses on the fisheries and the habitat and stuff like that? Because all I saw there was just the uses, but then there's under- -the undercurrent of the data and its impact? MR. WAHLE: Yeah, that is in fact what we're doing. Our job is to think

But we very quickly realized that the one

Areas, taking into account all those things.

through a national system of Marine Protected

| 1 | thing that there just is virtually no |
|---|--|
| 2 | information on are these uses. So you can |
| 3 | think of it is as a separate layer in a cake |
| 4 | of information and the other layers include |
| 5 | the regulatory data, ecosystem information, |
| 6 | impacts, conflicts, all that stuff. So we'r |
| 7 | sort of working on them in parallel. |
| 8 | And the way I described it, it |
| 9 | wasn't put in the context, but it's for that |
| 0 | reason, yeah. |
| 1 | MS. DOERR: So there's somebody |
| 2 | within NOAA, within the Ocean Service or the |
| 3 | MPA Center working on data needs |
| 4 | MR. WAHLE: Yes. |
| 5 | MS. DOERR: and scientific |
| 6 | needs? |
| 7 | MR. WAHLE: Absolutely. |
| 8 | MS. DOERR: To input into |
| 9 | MR. WAHLE: Yeah. |
| 0 | MS. DOERR: the marine spatial |
| 1 | planning? |
| 2 | MR. BILLY: Bill. |

MR. DEWEY: One flaw that I have with the definition is it seems to suggest that all uses are somehow compatible. And that's part of -- in my mind that's part of what you need planning for, is to recognize that some uses aren't compatible but they have a higher priority from a national policy objective standpoint, whether it's food production or energy production, that you need to plan for and there needs to be an arbiter, a process to resolve that. So I'm challenged by the inclusion of compatible or **1**3 at least maybe needs to see compatible and non-compatible or something to make that assumption that everything's going to be compatible.

MR. WAHLE: Yeah. I think you're right. A lot of us weren't really comfortable with that, but what we were trying to signal was there may be uses that because they're compatible would be put in the same place.

| 1 | MR. DEWEY: I definitely |
|---|---|
| 2 | acknowledge that. |
| 3 | MR. WAHLE: Yeah. |
| 4 | MR. DEWEY: I mean there's lots of |
| 5 | things that are going to be compatible |
| 6 | MR. WAHLE: Yeah, you're right, |
| 7 | MR. DEWEY: It's inevitable there |
| 8 | are going to be things that aren't. |
| 9 | MR. WAHLE: it's confusing. |
| 0 | MR. BILLY: Okay. Ed. |
| 1 | MR. EBISUI: I have a concern that |
| 2 | was touched on by Bill and that is when I |
| 3 | read it I thought of some selective process |
| 4 | going on where only so-called compatible uses |
| 5 | are considered. I'm hoping that's not the |
| 6 | case, because not all the uses are |
| 7 | compatible. And at some point in time you're |
| 8 | going to have to prioritize them. |
| 9 | MR. BILLY: Jim. |
| 0 | VICE CHAIR BALSIGER: I was |
| 1 | thinking the same thought and then I thought |
| 2 | a better way to read it would be looking at |

| 1 | processes and uses that are compatible with |
|---|---|
| 2 | using the ocean for future generations. So |
| 3 | make the compatibility with fitting in the |
| 4 | ocean, if it's incompatible with having a |
| 5 | future in the ocean, don't let it go any |
| 6 | place. So if you can have a use that's |
| 7 | compatible with an ongoing useful ocean, then |
| 8 | those are the things that you have to |
| 9 | allocate. |
| 0 | MR. BILLY: All right. Food for |
| 1 | thought. We're going to break for lunch. |
| 2 | It's 12:15, so be back by 1:15 at the latest, |
| 3 | hopefully a little earlier than that if you |
| 4 | can. 1:15 at the latest. We will start at |
| 5 | 1:15. |
| 6 | (Luncheon recess taken from 12:15 |
| 7 | p.m. to 1:25 p.m.) |
| 8 | MR. BILLY: We've heard about some |
| 9 | of the planning efforts at the NOAA Fisheries |
| 0 | level as it relates to multi-sector ocean use |
| 1 | and governance. And now we're going to take |
| 2 | an even broader perspective and look at NOAA |

strategic planning and how it can be supportive of this kind of work, that kind of work as well as more broadly. So let me introduce Paul and turn the floor over to him to share with us his presentation.

MR. DOREMUS: Thank you. It's a great pleasure to be here. I really appreciate the opportunity to be able to talk to you about what's going on in NOAA as far as trying to put together what we are calling it the next generation strategic plan.

Clearly, with the leadership
transition that we are going through now,
it's an appropriate time for that reason,
among several others, that I'll allude to
here, to really step back and rethink what
kind of course NOAA is on. And I think input
from this group has already factored into our
thinking, and I will mention that along the
way, the Vision 2020 document in particular
has been very useful for getting us to the
stage that we have gotten to. And I'm really

here today to invite your participation in a number of different ways, which I will mention further as NOAA goes forward and really tries to cast what we are calling the next generation strategic plan.

as well to be able to attend your whole proceedings here and benefit from the variety of conversations that we've been having on major issues, and that all, I consider to be a direct source of input into our corporate thinking about major trends and issues that affect your set of interests and the communities that you represent.

So I'm here, really, to put forward four questions. And I'm going to step through these slides quite briskly because I know we are behind and I want to make sure I don't take up too much of Alan's time. And it you've got a full agenda for the afternoon. But we will be available throughout the day today to follow-up on

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this, and certainly, through any kind of channel after today as well.

I'm going to cover a segment on each of these topics starting off with just a thumbnail sketch of some major trends. is a presentation that I have been using for all of an NOAA's federal advisory committees as I have been able to move across them or cooperative institute directors and a variety of other internal and external communities. So I will be giving you a kind of cast, the same kind of casting as how we are thinking about and trying to approach, really, the challenge of framing and advising the new administration on a set of five-the year goals, four or five-year goals, in the context of NOAA's mission responsibilities. And that's sometimes a lift, but -particularly in Washington where we think budget year to budget year. And the idea of thinking even five years and out takes a bit of a stretch. But I imagine that is less of

a challenge here given your own thinking that is, I think, represented in the Vision 2020 document. Looking at the long-term at what you want to see in it major domains on how things should evolve over that time period.

We are taking a slightly different approach because of the high degree of major issues that are highly uncertain and have a big impact on both the demand for what NOAA does and how we will be able to meet those demands in the future. And I would just talk about the process in the second to questions:

How we are developing our short-term in the context, the long-term strategy and how you all can participate in addition to ways that you, in effect, already half.

A couple of quick trend slides, and I will go through these very briskly.

Climate, when you look out, particularly at the 2035, which is where we are kind of trying to start, cast out 25 years and ask how the world might be different. This is

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one that you could pick, you know, a million
different sources of data to try to drive
home the notion of major impacts from longterm climate trends. And this is one of
those visual things that always seems to
work, from my vantage point. It's the visual
look at the shrinking polar ice. If you look
at things like the Arctic Marine Navigation
Committee's 25-year scenarios in that region,
they don't ask, will the ice retreat, they
ask how much and how fast.

This is the kind of trend at baseline projection out over a number of decades, but you see a rather dramatic change their, with all kinds of impacts, not just in the Arctic region but in terms of global climate dynamics. There are already challenges that many people up in that region, close to that region, are familiar with in the fisheries domain among others in terms of changed migratory routes, changing impact on the fisheries, on the navigation,

on a variety of economic and societal ways of doing business in the region that are being impacted now. This isn't a theory or something that is going to happen in 10, 20, 40, 50 years. It is quite real now and with, I think, increasingly dramatic impacts over time.

Similarly, global precipitation patterns, areas warming, -- or areas drying, areas getting more wet. This is a look over -- back, we can project out over time at a global scale. We need that at a regional scale as well.

Slides that I often use along these lines to point out again, something that I think you are well familiar with, NOAA's major mission responsibilities don't move dramatically year-to-year. You are not going to see major perturbations in the things like global fish stocks. Our actions that we take near-term are actions that will play out over a long period of time. I think

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many of the discussions this morning really drove home that point. The ocean acidification discussion, the difficulty in the long-term nature of setting up governance regimes to deal with conflicting of multipleuse sorts of issues -- these are all part of the mix of issues that are going to take place in coming years that will have a big impact on how we are able to deal with things like sustainable -- creating and managing sustainable fisheries in all the ecosystems that they reside within.

We often use this slide, too, to drive home another point, is that it's not just about the environmental trends themselves, and again, all the issues that you're dealing with here are very much to this point. This is just a slide that we used to characterize the governance nature of the fisheries management challenge. It is a -- actually taken from a recent issue of Nature just a few months back. Compliance

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with FAU's voluntary code of conduct for responsible fishing. A couple of takeaways in addition to the fact that governance issues, policy issues play a big role in our thinking about long-term trends is the relatively large number -- the large number of countries involved, the large number that fall below what is considered to be a passfail sort of the standard of 40%, even with room for continued improvement for countries at this end of the spectrum here: Norway, US, Canada, Australia, Iceland; countries **1**3 that are performing high relative to these standards are still only at a level of act or slightly less than 60%.

Absolute issue isn't so much the accuracy of the measure but the general concept here that it's a global problem and that working on the common solutions is a long way off. Look at the energy domain.

What are we going to see? We heard a presentation this morning about trends in

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alternative energy. What are we going to see in terms of any kind of change in supply and demand along the energy front, not just composition but also level of use. And I will explain a couple of ways that that is played out in our thinking.

Economic factors as well. This is one of my favorite charts that sort of drives home the volatility of the economic world that we live in. I was in New York in 1987 during Black Monday, and we thought the financial world would never be the same at that point in time. It was incredibly disruptive, kind of level of decline in a short period of time that no one had seen before. But look at that compared to the tech bubble -- correction, housing bubble, and absolutely astounding downturn that many of us are dealing with very, very directly now.

You know, recent count we're at an unemployment level of 8.9%, heading towards

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10. We have shed 5.7 million jobs since the recession started. Something on the order of 10-plus trillion dollars of wealth on a global basis has been wiped out right here, okay.

That's a just and absolutely dramatic situation in terms of the economic context, near-term. It raises, in my mind, many others a lot of questions about what the growth path is going to be coming out of this, you know. What are we going to revert to? What is going to be the source of economic growth and what will that mean in terms of energy use and in terms of environmental factors.

The rate of decline in jobs here has been faster than any other postwar recession. And it hasn't been just a matter of cutting back production, industries have been -- firms and industries have been shutting entire lines of business, raising questions about what the growth path will be.

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Employment gains always lag other indicators on the, kind of, upside as you back out of a recession. And there's -- you know -- who knows what the growth that out will be either on a domestic or an international level. Again, a major source of uncertainty in our environment. An obvious direct impact for a public agency is on the fiscal posture of the federal government. We have taken on a -- you don't need me to tell you, just astounding levels of debt in recent years. Again, the growth path out of that, sort of -- this is a CBO content, this was in the press very heavily recently. You know, differences in projections between the administration's estimate here and CBO's estimate of what the long-term deficit is going to do. The basic issue is constrained resources on a federal The only way you are ever going to get out of the whole that we dug ourselves here is through a very strong growth pattern

that will pull us out on the receipt side of the picture. You are not going to cut your way out here.

Either way, the future is probably

one of very, very strong and protracted pressure on federal discretionary spending. We are already seeing that now. Another big impact of things like this is the relative presence, if you will, of environmental issues in the public mind. And this is something that we track. This, sort of, is a global indicator. And just as a thumbnail illustration, you always see environmental issues are never in the top tier, they are never the primary or secondary, they are usually a tertiary issue set. And in recent times, in the current economic context that were in, environment is -- environmental issues completely disappear from the list.

So we are here in a community, and talking, and working in industries and in sectors that are -- where we really

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understand the sort of deep interdependence
of economic viability and environmental
sustainability. That's not necessarily
broadly established in society. And we can
see very different types of relationships
over time between what society values and
what they will be, in effect, willing to pay
for and that relative of economic growth. So
that we can see very different scenarios
working out there. And I will talk through
that in a few minutes.

The point here is that look at any of these dimensions that you can see that incredibly broad spreads in terms of potential outcomes over the time period that were looking at. And that raises the question, you know, how do you know -- and here is NOAA sitting right now with this strategy, right? We have got our existing line offices fisheries here, executed mostly through a strategy in our ecosystem goal that covers fish, oceans and our research division

as well as some satellite input. And, obviously, from our aviation operation and lead operations.

But here is our strategy. And in the context of all of these forces the question is, is this a good strategy. Are we on a good path? How do you evaluate that?

Will our strategy really hold through the types of ranges of potential outcomes on all those factors. It's a big question.

So how do you handle those kinds of uncertainties when you look out into the future. And what we are using as a way to just get an orienting framework in mind is a tradition -- traditional planning tool that is used all over the private sector and governments alike. And I will refer to a couple in a minute, basically, scenarios. It is the only mechanism at least that I'm aware of that you can handle this type of insurgency and the types of dynamics between these forces could play out in a way that

helps you make informed decisions about
strategy without making the mistake of trying
to predict the future. Nobody can do that.
What you can do is try to understand what the
dynamics are, how they might evolve, and
think through what kind of impact that will
have on your organization, on the community
that you work with so that you can be prepositioned -- or, actually, try to influence
some of these long-term trends; which, in
many respects, I think NOAA has a capability
to do.

The issue is, again, that you looked at this morning are areas where that might be the case. Look at these long-term trends it really raises -- we were talking over lunch with Charlie and others about the urgency of getting some sort of interagency process together on what we are broadly calling here marine spatial planning. When you look out over the long-term those conflicts -- the potential issues loom much

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larger. If you look at the ocean
acidification issue stretched out over the
long-term it makes the -- now the presence of
-- the decisions that we need to make now,
much more present to people.

And that is just one of the ways
that I think is probably going to be, for
both us and a variety of other organizations,
federal and private and otherwise, turn to
techniques like this.

So I just wanted to set the context: This is, again, a way of thinking about the future. It's not predictions.

That's not what we want to have happen. It's plausible scenarios about how things could play out. This is actually a diagram I took from the World Bank who has used scenario planning very extensively to try to deal with long-term issues in terms of global patterns of economic activity and, fundamentally, of poverty.

So they have done a bunch of

scenarios based on geopolitical and a variety of other conditions. The key thing is it's a way to explore the range of the possible; not to predict or to try to define a probability space or anything like that. And the main thing, I think, to understand is that they are really, you know, about the range of the possible, not either theories or hypotheses of the world that we necessarily want to see.

This is a discipline that is well established in the private sector. Shell is particularly well-known. They were the only of the major oil-producing countries that actually thought through and I foresaw the possibility of an exogenous price shock in the 70s before the two big 73, 76 Arab oil embargoes which had not been -- never, kind of a price -- producer price control had never happened before. Most of the major oil companies didn't think it was even possible. Shell was the only company to come out actually profitable after all of that turmoil

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in the market during the 70s. And it really established scenario planning as a discipline in the private sector. It had already been well established in the public sector, primarily, in the defense arena. National Intelligence Council briefs the new administration every four years. They do a major scenario effort. 8 used this, we actually looked at it. Interestingly, if you go there you will find a lot more treatment of international environmental issues that you will of terrorism, which was a big surprise to me. It was just one little factoid that I thought was interesting. But they are trying to ask, you know, what kind of sustainable economic growth path might we see. And what would the new balance of power look like. And this is in forming the new administration's geopolitical strategy. What do they really want to try to effect to make things go in a direction that would be basically positive in

| 1 | terms of the nation's geopolitical interests. |
|---|---|
| 2 | MR. FLETCHER: Who is the National |
| 3 | Intelligence Council? |
| 4 | MR. DOREMUS: That's a council |
| 5 | that sits above all the intelligence agencies |
| 6 | in the federal government, the NIC. |
| 7 | MR. FLETCHER: Hmm. |
| 8 | MR. DOREMUS: Yeah. That report |
| 9 | is available it's on our website. It's a |
| 0 | very interesting piece of reading. To |
| 1 | understand the big world that we live in. |
| 2 | Hmm? |
| 3 | MR. CATES: They sit above who? |
| 4 | MR. DOREMUS: These |
| 5 | MR. CATES: The National |
| 6 | Intelligence Council sits above who? |
| 7 | MR. DOREMUS: Above it's a |
| 8 | council of all of the defense intelligence |
| 9 | agencies, the CIA. It covers all of the |
| 0 | major national intelligence agencies in the |
| 1 | federal government. It basically bridges the |
| 2 | CIA and the Defense intelligence |

establishment.

3

MR. CATES: The FBI? Why don't they connect the dots?

MR. DOREMUS: So they produced this every four years, and it is a very interesting piece of work.

We are similarly sitting here looking at long-term trends, what should our strategy be in the next five years. And a way to ask this is if you were sitting here and advising the new administrator on what course of action do you think NOAA should take given that these long-term trends and where we think we need to be focused for long-term success in the future. That is essentially the question we're putting out for the organization. That's the question we're being asked to answer. And we are hoping that you can help us answer it.

That's basically why I'm here today.

To get through this thing we sort of started off with this whole issue, how do

| future. And we got a group of people together inside the organization from acros all of our lives, and we looked at a series of, basically, workshops in the fall. We surfaced about, close to I think it was 290 major forces and factors over the long- term. We went through a vetting to identify the high impact, high uncertainty variables And we looked at how they clustered on thr different dimensions on an on the, basically, on an economic dimension, on a |
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| the high impact, high uncertainty variables And we looked at how they clustered on thr different dimensions on an on the, |
| O And we looked at how they clustered on thr 1 different dimensions on an on the, |
| 1 different dimensions on an on the, |
| |
| 2 basically, on an economic dimension, on a |
| |
| governance and policy dimension and on a |
| 4 society and the environment dimension. And |
| 5 we looked at that slide where I showed you |
| 6 all those major factors, the economic |
| |
| 7 factors, political ones, factors in the |
| factors, political ones, factors in the environment like climate, like fish stocks. |
| |
| 8 environment like climate, like fish stocks. |

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combinations of extremes. That's essentially

what are scenarios represent. And this is just one sentence on each of these things.

Again, I don't want to overweight the scenarios here, I just wanted to illustrate how it is that we're trying to grapple with long-term future and high degree of uncertainty.

These storylines kind of tell you how the world might evolve and make you think about -- it puts kind of a different context on our current strategy. And this is one of the things that the Vision 2020 document informed. And one of the big things I took away from that is in terms of the major fisheries -- the drivers of the future health of fisheries that you identified in that document included the -- basically the health of habitat, water quality, major climate issues as well as using governance things.

And in our scenarios, those things vary over time. So in the too little, too late scenario you see a movement early in the

first phase of the scenario towards very well
integrated fisheries management. But because
of the long-term nature and the kind of
inherent drivers behind some of the climate
change things, late in the scenario you start
seeing a much higher level of ocean
acidification, as per one example, and other
sort of disruptive environmental effects that
start having an adverse impact on fisheries.

That's one of the trend lines inside that.

Green chaos is an interesting scenario because you have -- the chaos part is on the governance side. It's basically at the international level as well as federal to state, just current circumstances of fragmentation, of difficulty of pulling together common policy solutions to major environmental challenges, including but not limited to resource management issues like fisheries.

Is -- remains, but you get much

more creative and positive interactions

between society and private sector in terms

of coming up with solutions. So in this

scenario there's -- in effect, a great deal

of weight is put on market-based solutions to

resource management problems like fisheries

rights allocations, essentially. And that's

one of the major drivers there.

And in the carbon junkies, as is probably not hard to imagine, the pathway out of our economic recession is really one that's essentially reindustrialization on a global scale, much higher valuation of economic growth over the environmental impacts. They're put off until later. You see a much more intensive use of carbon intensive forms of energy, and the environmental effects just start to accumulate really rapidly, and it becomes a race to figure out, late in the scenario, how can we mitigate this big mess. And it becomes very conflicting. It's another issue

-- or a kind of trend line that creates a lot of challenges in terms of thinking about domestic and international policies.

I've provided, in the back of the slide -- and I'm just trying to rush through this so we have time to talk about your contributions in a second. But I did put in the back of the -- in the back up slides, more complete -- one page descriptions of each of these scenarios and how they play out over time. And you'll see some of dynamics there with respect to natural resources.

And we have longer scenario documents that you can look at as well if you're interested in this stuff.

Our main thing is it's a way of challenging our thinking about whether we have the right strategy, whether we're focused on the right things.

And we're trying to answer these questions to inform the new administration, to work with our stakeholder communities so

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that we are collectively working on the same issues, the issues that are the greatest priority to you that are within our mission mandate to address. And consequently, be in a better position to maximize our contribution, if you will, over the long haul.

We also have a requirement to do this, but I think that's the least of the reasons to do it, in particular.

So these are the reasons for doing strategic planning. But I just want to point out here -- and one of the reasons we call this a next generation plan is because we are really trying to, self consciously and much more extensively than we have in the past, systematically obtain stakeholder input and not just get input, say, "Thank you very much," and compile it all into some kind of common document. It's not about -- as Jim was saying at the beginning of the day yesterday, the words on paper, per se, it is

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about really coming to a collective
understanding of what we need to focus on.

We're viewing this much more as a common
action agenda than putting together a nice
document that we can say we've got input form
a lot of people on.

So that's a key thing that I want to make sure that I drive home here. And we characterize it internally a lot this way, no, is this sort of a notional representation of NOAA's organizational evolution moving from a system of pieces to what we hope and characterize to be a strategically integrated organization where you have that alignment in this quadrant here with the demands of the community that ultimately relies on our work, and in a much more of a sense of deep interdependence than we have up to this period of time.

So that's a key element or our thinking about how we go about doing things, and we intend to use this plan for that

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purpose. Again, not to generate a document
that we can say has had everybody's input,
but to genuinely understand the composition
of needs, different communities, different
places in the country, and how that all kind
of drives towards the type of choices that we
need to make with the limited resources that
we're going to be faced with internally.

Just a notional look at what the plan would actually look like. And this is just to show that we're looking at a plan that's going to cover a five-year period, but our planning horizon is much longer.

We're doing a current document right now that's sort of a bridge document.

It's going to reflect the incoming priorities of the new leadership. But it'll be basically a bridge to the next generation strategic plan.

Mark mentioned in his -- the beginning of his conversation, the premium that our current leadership puts on external

consultation in virtually all aspects of our work. This not being excluded by any sense.

So I am meeting and continuing to meet, including tomorrow morning -- actually Friday morning, with Lubchenco and the staff that she does have in place right now about both how we want to develop this, but what this sort of bridge content is going to be all about. So you'll be hearing more from us there.

A very quick story in terms of how you can contribute. We have, as I've been talking about, a systematic process of trying to draw from broadly within the organization as well as our stakeholder and customer community. I've got a list of major folks here at the beginning, not just in response to a document that we generate internally, but at the beginning, so we understand the big trends, the challenges that you all face that you think NOAA should do something about, and what your broad sense is of what a

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response strategy might be. Then you also have the opportunity to contribute to the written document in the end.

So we're in this phase right here of getting input from within the organization, our advisory committees, cooperative institutes, all kinds of other external sources. From within our line offices, our councils, both teams. We have regional teams that Mark mentioned. And we're going to go through a synthesis process. And we expect at about very early in next year, in the January-February timeframe, to be putting a full plan out for formal public review. So there will be another review phase. And we'll be going back to all the people that gave us input and saying, here's how we put it together. Does it make sense? Is it what you expected? we have major gaps or are there deficiencies.

So there will be kind of two rounds at the front end and the back end to

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| 2 | representativeness that we hope it to have. |
|---|--|
| 3 | This is just a phase that you |
| 4 | know, the plan and the development of the |
| 5 | plan itself. The scenarios were just a way |
| 6 | to get us thinking about the long-term |
| 7 | future. We have those well documented. You |
| 8 | can look at them. We'll make them available |
| 9 | if you're so inclined. But the key thing is |
| 0 | to get to this business here of rethinking |
| 1 | our mission and vision and goals, long term, |
| 2 | and then the-five year objectives that we |
| 3 | will commit to, to try to push that thing |
| 4 | forward. |
| 5 | It is, in NOAA, not a paper |
| 6 | document. A strategic plan is what we use to |
| 7 | frame our investment choices every year. |

It's what we use to revisit our priorities

very year and look at our progress to plan.

So the organization has taken this quite

make sure it has that degree of

These are the three questions we

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seriously.

| 1 | put out. In ways you have already answered |
|---|---|
| 2 | the first one: What are the major long-term |
| 3 | trends? You've characterized that in your |
| 4 | Vision 2020. That can be viewed, in some |
| 5 | measure, as an input to that question. |
| 6 | But we're trying to systematically |
| 7 | ask everybody, you look out 25 years, what is |
| 8 | it that concerns you? What kind of |
| 9 | challenges and opportunities does that create |
| 0 | for you, your business, for our kind of |
| 1 | shared interests. And what do you think, in |
| 2 | particular, NOAA should strive to accomplish |
| 3 | in light of those challenges and |
| 4 | opportunities. |
| 5 | Those are the questions we're |
| 6 | nutting out And you have all kinds of |

Those are the questions we're putting out. And you have all kinds of different ways, either as a committee or as individuals, that you can consider providing input on those questions or any other aspect of this if you're so inclined.

One is through your functions as a committee. Another is through your

individual lines, either directly to us
through mechanisms that we've set up on the
web or just directly to me or to my staff as
well as through regional events that we're
trying to hold. I was talking with some
people earlier, we are making a very, very
strong effort to have regional stakeholder
events or to attach to major regional events
that are happening opportunities to talk with
a broad array of stakeholders and ask them
these same questions. And that would be a
way for many of you to tie in as well,
through those kinds of venues.

We do have the scenarios document that you can look at. But, I -- again, I think you all have done a considerable amount of thinking about long-term trends and drivers for what you could broadly cast as sustainable fisheries and ecosystems. So you're well down that path already.

I will leave it at that. And open it up for any kind of discussion you want to

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have about how we are doing things, what we intend to create here through a strategic plan process for this new leadership team and how you can best contribute. But I really do want to thank you for the efforts that you have done already to cast strategy in this area.

Of all the advisory committees that I've looked at, there isn't anything comparable to the Vision 2020 document. And I think that that's a wonderful place to work from. And I think it puts you well down the path in terms of your ability, collectively, as a committee to start conveying your answers to some of these questions in -- as a committee as opposed to as a set of individual respondents.

But, again, thank you for the opportunity to be here today and to meet many of you for the first time. It's been very helpful for me in terms of this whole process that I'm trying to lead to understand our

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different advisory committees and what their concerns are and what sort of issues that they're really trying to drive NOAA to pay attention to.

MR. BILLY: Okay. Thank you,

Paul.

It's not clear to me what is your

-- your timeframe is in terms of when you

will need our input.

MR. DOREMUS: Well, I apologize for that. On this slide there used to be a timeframe on the bottom, and my staff took it off, in part because this conveys sort of a hard break here. But there really isn't. We are trying to do most of our input through the end of the summer.

But what's happening is that a lot of these regional events -- they're trying to work with major stakeholder gatherings that already are taking place instead of convening independent events. And some of those are taking place in mid-late August or early in

the fall. So we're trying to kind of stretch our input gathering phase as far as we can. But we are trying to organize as much of the input as possible by the end of the summer so that we can -- in this phase start formulating goals -- long-term goals for the organization to consider in a first-phase look at our strategy and work out a whole plan out in this kind of phase here. So that the best -- from our vantage point, the best line of input would be by the end of August or thereabouts. 13 we are anticipating having a plan for review in January-February of 2010. MR. BILLY: Randy. MR. CATES: Thanks, Paul. A couple of comments. One is it seems like, in my last ten years within NOAA, we do a lot of planning. And then we kind of re-plan everything. Is there a way -- or maybe part of

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the process is to measure whether actually

implementing the plans? I mean aquaculture ten-year plan is a perfect example.

MR. DOREMUS: Yeah.

MR. CATES: A lot of work into creating the plan, but we're not implementing it. And I find that we're -- we kind of -- throughout the years we're asked to create another plan and then create another one and another one. But we've got to get to the point of actually implementing these things. That would be one problem.

The other is we're an advisory committee for the Secretary of Commerce. The best thing we can do for you folks is to actually advise the Secretary of Commerce.

There is a serious disconnect. I don't think we really do that.

It's a great -- this is a great organization. I like being on it because I'm getting advised. But our job is the other way around. And somehow we've got to back to that, where we're actually doing what we

signed up to do, which is advise the Secretary of Commerce.

And finally, in all this stuff -I mean you've heard it throughout the day, is
my opinion, we've got to get back and
consider NOAA as being food production with
conservation, and not just conservation
because our communities need it.

MR. DOREMUS: Well, that was actually one of the things I had in mind when I was telling you that it was very beneficial for me to hear the proceedings during the course of the day, because that message that you've been quite consistent on is something that has been standing out in my mind.

Coincidentally, we do have food security as one of the issues in our scenarios, to help us think about how the might play out. It's one of the ways that we can elevate the visibility of the issue. But I've certainly heard you on that point.

On your other two points,

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implementation, I couldn't agree more. One of the things that I've done, and others of us here from inside NOAA know how we've kind of grappled with these issues.

A lot of what the last leadership team brought in was ways to try to build effective decision-making for NOAA as a whole. And there's a big emphasis on the planning and shaping your programs and budgets out of the plan.

And when I started at NOAA -- I've been at NOAA sine 2005 -- NOAA was reviewing its strategic plan every year. And we were trying to come back to our stakeholders every year and saying, what do you think should be in our annual statement of priorities? And I think we had the very problem that you're talking about here.

So what I'm trying to do and committed to doing here is doing this once every four years. Phase next is going to be an alignment phase and an implementation

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phase and then an evaluation phase over this four-year period. And in part it's based on the evaluation of how well we implement this, we'll feed that information into the next cycle four years from now.

So we're looking at not just doing planning all the time, at the corporate level. There's going to be planning at different offices for aquaculture, for different kinds of things based on programmatic needs.

But I think plans make no sense if you don't execute them. They make no sense if you don't evaluate how well you did and why things turned out the way they did.

So that's part of our approach here too, to not be coming at you every year.

This is -- we're calling it next generation for a reason. We think we're really in an inflection point, not just new leadership, but the composition of issues, really big issues that we're trying to grapple with all

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at once.

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So we -- that's why we want to kind of cast this energy as a major document and then really try to drive it into the organization and make things happen.

Your second point on advising the Secretary, I'll leave that to the head of the table, in a sense. I think that's the greatest benefit of advisory committees, is that they can speak on behalf of the issues, and NOAA, in the context of those issues.

So I would view your advice to us here as being advice that you would give to the Secretary of Commerce. What you think the issues are and what we think -- you think NOAA should be focused on. You could direct it however you choose to direct it.

MR. BILLY: Randy, I have a little different perspective than what you just expressed. And I'll use the aquaculture ten-year plan as one example.

In fact, that wasn't asked for or

generated by NOAA fisheries. This committee
took the initiative and requested that NOAA
develop a ten-year plan because we were
troubled by the absence of any kind of
organized approach to aquaculture that would
reflect NOAA's in that arena. And,
fortunately, there was a positive response.
And now there sits on the table a ten-year
plan.

I won't comment on how well NOAA's following up on it. I'll leave that to the folks that are responsible. But I see a real difference there.

This, now, is entirely different, in my mind, where NOAA's coming to us asking for our input in a much broader strategic planning effort rather than our pushing the ball in several areas encouraging planning aquaculture, planning on seafood quality and safety, that kind of thing.

This is quite different to me, and it represents, it seems to me, a real

opportunity.

Other comments? Yeah.

MR. CATES: My first experience with planning of 1999, NOAA asked aquaculture to come to D.C., and we spent a couple of days and created a plan. And there were some very ambitious goals by the Commerce personnel trying to get us to get this thing going. And every couple of years we have basically been asked to come back and do similar things.

In the ten-year course, we've done a lot of planning, but there's no implementation of any of these plans. So I think the ten-year plan is great. I think it was a great piece of work. Now I just believe the job is, let's get the job rolling. And how are we going to implement it. And we need to measure it because, if you think about it, we have all these, the Pew Ocean Commission, all these commissions coming out and making advice and plans, but

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nothing is really happening, at least from my perspective.

MR. BILLY: Jim.

VICE CHAIR BALSIGER: You know, in particular on the aquaculture plan, it may have been a long time developing, but the Agency, clear through the Secretary of Commerce, pushed that very hard and tried to get that in place. So I guess I don't know where you lay the blame on not getting that action done. But it wasn't because the Secretary of Commerce or NOAA didn't wholeheartedly take that advice and try to get some program moving.

And your point on working for the Secretary of Commerce, that's what you do, of course, but Paul's working for the Secretary of Commerce too, and as he said, "We are going to pay attention to this plan. It does direct where money goes now."

When we try to -- from what discretion we have at NOAA's office, those

funds are aligned with what's going to be in our strategic plan. So I think it's just an opportunity, as Paul said, individually or as a group, to give advice to Paul, which is -- and there's certainly been nothing wrong with also getting that advice on a piece of paper and sending it to the Secretary. And he'd give it back to Paul.

But individually -- now as you can probably tell this under Paul, he's dedicated to this process. He didn't -- I thank him for not taking too much exception to my opening remarks saying it isn't the plan, it's the process developing it. So he was kind to me that way. But in this case it is the plan a little bit too, because that's what the money is going to follow.

MR. CATES: I think we're all trying to figure out in our industry and in commercial fishing, oh, okay, where are we at. How are we going to move forward?

I mean, clearly, the aquaculture

is a good example that it was a good, hard effort, but it just didn't get through. don't think we're kind of blaming anybody, 3 but we're trying to figure out as an industry how do we pick pieces up and get going again. And I think that's a fundamental question for -- we're all uncertain on how and if we're going to be able to do anything. MR. BILLY: Interested in other 10 comments by members of the committee. 11 MS. McCARTY: Mr. Chairman. Ι think the aquaculture issue is a good example 13 of what I'm thinking, and that is regardless of what this group plans for and says they want to see happen at NOAA, I think we have to consider that a lot of the planning is going to come from the top down with the new

We were just talking about it at lunch and -- no, I'm not entirely hopeful that this new administration is going to embrace the aquaculture initiative that we

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administration.

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have taken and that NOAA has taken in the last administration.

For example, in fact, I think they probably aren't going to. But I don't know that for a fact. And so my questions in my own mind as we start to help with this planning process is what big picture can you provide to us -- can NOAA provide to us or NMFS provide to us so that we don't go, you know, planning something that's entirely outside the realm of possibility for this administration.

I have a feeling that there's going to be some overlay of agendas that come from the administration and from the NOAA element of the administration. That we may or may not know -- we may have some inklings of -- and we're all sort of afraid of, to be perfectly honest, from the fishing perspective -- I know I am -- and from the aquaculture perspective.

I'm scared. I'm scared. The

people I represent are scared. MR. CATES: Absolutely. MS. McCARTY: And we don't know if it will happen. So I think the best I guess we can do now, since Dr. Lubchenco isn't here to tell us what she might want to accomplish, we can hope that we can get a reflection of it from these folks if they're willing to try to give that to us. But if now, we can only say what we want and what we think our industries need in our sectors, and then hope that somehow down the road there might be a confluence of those goals. I'm not particularly optimistic from the point of view of aquaculture. MR. CATES: Neither are we. So -- yeah. But I MS. McCARTY: think we have to say those things anyway. I know you're disappointed, but I think if we have the opportunity -- kind of going back to what Jim said -- just from NOAA watching over

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the last few years, I've seen this -- this

| 1 | new planning process what's it called |
|---|---|
| 2 | PPBBCC, whatever it is. |
| 3 | MR. RAUCH: Yes. |
| 4 | MS. McCARTY: That, yeah. |
| 5 | That's kind of new; is it not? |
| 6 | Relatively new? And so there's a whole |
| 7 | segment of NOAA that just does, sort of, |
| 8 | planning and it kinds of feeds into the |
| 9 | budget process in sort of a slightly |
| 0 | different way. And so I think there is more |
| 1 | hope that the actual planning process will |
| 2 | result in implementation. |
| 3 | I think it's a good thing that |
| 4 | that's in place. And I think that's |
| 5 | something that's different. That's just my |
| 6 | observation. But, again, I'm thinking that |
| 7 | there's an agenda that is over here and we |
| 8 | might be over here. No, that the agenda |
| 9 | might be here and we might be here. |
| 0 | MR. CATES: Mr. Chairman, I'd like |
| 1 | to follow up on that. |
| | |

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I agree with everything you said.

| L | A fundamental question that I have that I |
|---|---|
| 2 | think should be asked of the new NOAA |
| 3 | Administrator is we clearly know that we need |
| 1 | seafood production. Yesterday's talks gave a |
| 5 | good snapshot of that. If aquaculture is not |
| 5 | the answer, then my question is: Then how |
| 7 | are we going to increase production. |
| 3 | Point us in the right direction |
| 9 | that we could then assist the Secretary and |
|) | NOAA on how to make that plan work. But how |
| L | do we increase seafood production. If they |
| 2 | don't want aquaculture, what is it? Give us |
| 3 | the guidance. |
| 1 | MS. McCARTY: Yeah. |
| 5 | MR. BILLY: Okay. Other comments? |
| 5 | Yes. Randy. |
| 7 | MR. RANDY FISHER: I guess it's |
| 3 | kind of a process question that Heather |
| Э | brought up a little bit, because when the |
|) | Lautenbacher regime was there you kind have |
| L | had a certain process that was in place |
| | |

trying to eliminate the stovepipes, or

| 1 | whatever it was. |
|---|---|
| 2 | Do you know yet or do you have a |
| 3 | feeling whether that is still going to carry |
| 4 | through, or are we in kind of a new thing |
| 5 | now? Do we know? |
| 6 | VICE CHAIR BALSIGER: Actually, |
| 7 | Paul may be in the best position to answer |
| 8 | that. He used to run PPBES, and |
| 9 | MR. RANDY FISHER: Yeah. |
| 0 | VICE CHAIR BALSIGER: and his |
| 1 | office is slightly job is slightly |
| 2 | different than that, but he may we've got |
| 3 | some answer, he isn't sure yet. |
| 4 | MR. DOREMUS: In part that's it. |
| 5 | There was there's been a lot of internal |
| 6 | introspection on how that process has worked. |
| 7 | I do think the way that it was |
| 8 | laid in was a little more bureaucratic than |
| 9 | it needed to be. But, fundamentally, what it |
| 0 | is, it's a strategic decision-making process |
| 1 | for figuring out what you should do. |

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What you really can do with a

limited budget once you have a top, it's kind of a limited fiscal framework, and then in the budget what you're really going to commit to doing, and then executing on it and evaluating your performance. Those last two pieces of really understanding execution relative to finance performance are probably areas that weren't as well developed as they should be.

But we're really trying to approach this in a real sensible, businesslike, pragmatic way. You know, you've got to have a view of where you're going, as much as you all have charted out in your own domain and a method for evaluating how you're getting there.

One thing that I think I do want to pick up on, you mentioned the issue of evaluating performance. That's another aspect of this, why we're calling it next generation. We're really trying to cast -- and this speaks to the aquaculture issue too,

a little bit. We're really trying to cast this in long-term view to make it really clear how society would benefit from NOAA actually going down this path.

And I know there are a variety of ways to sort of cast issue. But your casting of food security, domestic production, capacity, some of the long-term trends that we heard about yesterday in terms of sources of protein and all they construed. Those are big picture, long-term issues. And this is just one avenue that you can use to cast them in that way, at a level that is quite policy-relevant.

I would always encourage advisory committees like this to articulate in -- directly to the Secretary of Commerce, directly to us, within the organization and to other communities that you can reach, what you really think the issues are.

If there's any aspect that I think this administration is really committed to

living up to, that is hearing everybody out.

I don't know where they're going to go on
aquaculture. I don't know they're going to

-- how they're going to handle or answer big
picture questions like food security.

But I think it's incumbent on us to really pose the questions and present the information that we have and why we think, collectively, that this is an issue that should be considered. So I would encourage you to keep going.

If there's one thing I've learned in my career in the federal service is persistence. That often pays and I'd never abandon a strategy that makes sense in the end and is well thought through and has got data behind it.

So that's my general recommendation there. I think the consultative process is likely to be much more robust and healthy than we've seen. And I hope you can take advantage of that on the

| 1 | issues that you feel weight out. |
|---|--|
| 2 | MR. BILLY: Jim. |
| 3 | VICE CHAIR BALSIGER: I think |
| 4 | there is going to be a slowdown in the |
| 5 | aquaculture thing. But I think Dr. Lubchence |
| 6 | has not closed her mind to it. I think |
| 7 | there's some questions that are raised that |
| 8 | probably are answerable. And so it may not |
| 9 | be immediate; it may not be this year. |
| 0 | But I think that making the |
| 1 | points, as Paul has suggested, about jobs, |
| 2 | about food, about national security, about |
| 3 | the response to the public. |
| 4 | Now we've seen Dr. Lubchenco |
| 5 | has a great reputation for conservation and |
| 6 | being precautionary. When the New England |
| 7 | fisheries had a problem, she let them |
| 8 | overfish for another year. So don't tell me |
| 9 | she can't be swayed. |
| 0 | (Laughter.) |
| 1 | VICE CHAIR BALSIGER: And so you |
| 2 | have to think of course, she had some |

| 1 | big-times pushers that got her going in that |
|---|--|
| 2 | direction. But this group can figure out |
| 3 | what those pushers are and get aquaculture |
| 4 | back on her plate. |
| 5 | I think the story behind |
| 6 | aquaculture is undeniable, as Randy has |
| 7 | started to put some of it out, though we've |
| 8 | talked about it a bunch of times. It can't |
| 9 | meet the demand for food. And that's got to |
| 0 | influence it. |
| 1 | So I don't think you have to |
| 2 | believe that it's dead, just because she's |
| 3 | I forget her phrase took it off the table |
| 4 | for a while, or for now, or whatever she |
| 5 | said. |
| 6 | MR. CATES: Jim, I have a |
| 7 | question: How best as a Committee then do we |
| 8 | get this to her or to the Secretary of |
| 9 | Commerce? |
| 0 | VICE CHAIR BALSIGER: Well, that's |
| 1 | a good question, I guess, and maybe the |
| 2 | political types can help on that. |

| 1 | MR. BILLY: Yeah. Bill? |
|---|---|
| 2 | MR. DEWEY: But I just am curious, |
| 3 | Paul, from your perspective. You mentioned - |
| 4 | - you obviously looked at our Vision 2020 |
| 5 | document and make that I mean, the |
| 6 | Committee collectively put a lot of energy |
| 7 | into that |
| 8 | MR. DOREMUS: It shows. |
| 9 | MR. DEWEY: and essentially |
| 0 | answered both questions in that. So I'm not |
| 1 | sure what we can provide as far as additional |
| 2 | input after that very thoughtful process. |
| 3 | Granted it's not the 25-year context at this |
| 4 | point, but it's, you know, it's some of our |
| 5 | best collective thinking in response to this. |
| 6 | So I mean is there additional |
| 7 | direction specifically beyond that Vision |
| 8 | 2020 document that you think would be helpful |
| 9 | for MAFAC? |
| 0 | MR. DOREMUS: Well, I think that's |
| 1 | something that the group as a whole can |
| 2 | discuss where the things have evolved in a |

way, or by taking of kind of a broader view of NOAA's entire mission interest. That might be one aspect.

But certainly, as I was indicating earlier, you've gone way further down the path of advising the organization on what you think the strategic issues are.

I do think one of the challenges with any organizational planning over that kind of timeframe is the dynamic nature of a lot of the major issues that we're talking about.

But one of the things that might be helpful is to think through the very issues that you identified as major contributors to our path towards sustainable fisheries: The habitat issues, the climate issues, the waterfall issues and think about what are things that shape those things, and whether that might make you think about what NOAA should be doing differently.

There's no reason why this Committee should be limited to purely

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| 1 | fisheries' issues, when you talk about the |
|---|---|
| 2 | long-term issues that shape the domain that |
| 3 | you're talking about. The way that NOAA |
| 4 | approaches climate services, for instance, |
| 5 | comes into play. The way that we handle in |
| 6 | other parts of organization aspects of water |
| 7 | quality and availability may come into play, |
| 8 | as well. |
| 9 | So a broader scope might be an |
| 0 | option. A longer timeframe might change your |
| 1 | view of issues, but that's something for you |
| 2 | to determine. That document itself could be |
| 3 | your input and it could serve very |
| 4 | effectively in that capacity. |
| 5 | MR. DEWEY: All of it comes in. |
| 6 | MR. DOREMUS: Yes. |
| 7 | MR. DEWEY: Thank you. |
| 8 | So at least my understanding of |
| 9 | MAFAC is that our role is to advise on all |
| 0 | things fish to the Commerce Secretary. And |
| 1 | so I'm not sure if it's appropriate for us to |

go too far beyond that realm of fisheries.

| 1 | I'd be interested in hearing otherwise. |
|----|---|
| 2 | And then also just I did a word |
| 3 | search in our 2020 document on ocean |
| 4 | acidification and realized it's not in there. |
| 5 | So a good example |
| 6 | MR. DOREMUS: Things change. |
| 7 | MR. DEWEY: of your new issues |
| 8 | that come up. |
| 9 | MR. DOREMUS: Things change. |
| .0 | MR. DEWEY: Good point. Thank |
| .1 | you. |
| .2 | DR. HOLLIDAY: So the current |
| .3 | charter reads: just to remind everybody |
| .4 | "The Committee will advise the Secretary of |
| .5 | Commerce on all living marine resource |
| .6 | matters that are the responsibility of the |
| .7 | Department of Commerce. |
| .8 | MR. SPEAKER: Does it say anything |
| .9 | about turtles? |
| 0 | DR. HOLLIDAY: Everything. Well, |
| 1 | yeah. Well, we have the Protected Species |
| 2 | Subcommittee. And it's been an issue for us |

| 1 | in the past. |
|----|---|
| 2 | MR. BILLY: Tony. |
| 3 | DR. HOLLIDAY: So it's not it's |
| 4 | not singularly fish-centric. |
| 5 | MR. O'SHEA: Yeah. To this point, |
| 6 | Mark answered half of my question as far as |
| 7 | what we should and should not be counting. |
| 8 | The question that Bill just asked, does I |
| 9 | mean, we NOAA has four separate agencies |
| .0 | or five separate agencies, the Weather |
| .1 | Service, MMS, National Fisheries Service. |
| .2 | So does each service, each |
| .3 | subdivision of NOAA have an advisory panel |
| .4 | also, or are we the |
| .5 | DR. HOLLIDAY: No. |
| .6 | MR. DiLERNIA: We are unique to |
| .7 | NOAA and to NMFS? NMFS has their own. |
| .8 | National Fisheries Service has their own |
| .9 | advisory panel, which is MAFAC. |
| 0 | MR. DOREMUS: Right. |
| 1 | MR. O'SHEA: Does MMS have an |
| 2 | advisory panel? |

MR. DOREMUS: No, they don't, not at the level of NOS. They have advisory panels underneath for different -- for instance, there is the Hydrographic Services Review Panel that focuses just on hydrographics --MR. O'SHEA: The Weather Service doesn't have any? MR. DOREMUS: No, they don't. They have -- not a formal one. There's been discussion of setting one up. Okay. Randy Fisher. MR. BILLY: MR. RANDY FISHER: Well, I don't how to say this, but I hope that everyone understands that we aren't becoming the aquaculture panel here, are we, because that seems to be what we talk about. And so I'm assuming that we're going to go beyond that, you know, any comments or recommendations we And we're not going to be just focused on this forever. Is that a fair assumption?

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It is to me.

MR. BILLY:

MR. RANDY FISHER: Because I can tell you that a lot of the people I deal with won't doo aquaculture because they're fishermen, and they're very concerned about it. And they're not on the other end of the table. So, you know, it's not just the fact that there was a failure on NOAA's part. It's a fact that half the people that went in there were bitching about the bill to start with. I'm done with my lecture for the day. MR. BILLY: Thank you. Well taken. Steve. MR. JONER: And I guess I just have a question to follow-up on that. We can have comments. But the perceived lack of support for aquaculture now -- not perceived, but the expected lack of support -- is that

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for offshore or just for aquaculture in

general?

MR. CATES: It's pretty clear the hot-button issues have always been offshore. 3 Offshore offers the highest possibility of production. And we have limited space onshore. That doesn't mean the onshore or near-shore aquaculture is not important. It's very important. When we're talking about reducing 8 the imports or increasing supply, it's clearly going to have to come from offshore. 10 I don't think there's very many people that will speak -- just because we have limited resources on land. Mr. --MR. JONER: But then we have the other question Randy just raised, a lot of opposition within the fishing industry. So, you know, I'm not suggesting we retreat from the goal of offshore aquaculture. I'm suggesting we attack in a different direction. And that is the kind of build -- I don't think it's really built a

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solid base of support for aquaculture within

the ocean industry or within the aquatic industry. And when you do that, then you can move forward, kind of get with the defense issue, you know. That's what I learned high school football. The coach said: This will last you the rest your life. So it must be true.

MR. BILLY: One of the things that

-- as I listened yesterday and then thought

about what we included in our 2020 document

in relation to the work that's been done by

FDA and then some of the data that Linda

Chaves shared.

About four years ago now a

National Advisory Committee to the

Departments of Agriculture and Health and

Human Services that's responsible for

nutrition policy in the United States

recommended to those departments that the

amount of seafood consumed by the U.S.

population be increased.

I don't remember exactly, but I

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COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 think it was to the 12-ounce numbers that we heard about. And we also heard yesterday that we're currently eating somewhat less than that, about three or four ounces, 3.5, whatever it was.

And the reason that nutritional panels say that is because they're experts, well aware of the health benefits that that kind of shift in the American diet would provide.

And so thinking about that, as well as one of the reference documents that we used in our 2020 analysis, from the Food and Agricultural organization where they indicated, that given population growth, they estimate that the world production of seafood will have to increase by 40 million metric tons by the year 2030.

So we've got on the global scale that kind of an increase, a net increase of 40 million metric tons. We saw data earlier about the fact that harvests from wild stock

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has pretty well leveled off. There's some growth in aquaculture, and it's continuing.

But it seems to me, as I think about the fundamental question and what this Committee, in particular, is about is if you look out to the year 2035, the possibility of satisfying that demand for fish, for fish and shellfish, internationally as well as domestically presents some very interesting questions about how that's going to occur.

If there's new aquaculture production, and it's not done properly, it can have severe impacts has on the environment. And then those environmental impacts could exacerbate other problems in the ocean.

So it would seem to me, as an example, that there's some real interesting thought that could be put into what role NOAA might want to play looking out to 2035 in terms of its responsibilities related to that kind of development growth in seafood

| 1 | production just to maintain the current level |
|---|---|
| 2 | of consumption, let alone to increase it two- |
| 3 | or threefold in the United States. |
| 4 | So that is just for something for |
| 5 | us to think about, but it's it's not |
| 6 | getting into the argument about whether |
| 7 | aquaculture in the U.S. or aquaculture in any |
| 8 | other country, the specifics per se is |
| 9 | looking at this broader picture and thinking |
| 0 | about how food security, how these potential |
| 1 | new organizations are going to make decisions |
| 2 | about the use of the oceans, how all that |
| 3 | fits into this picture to provide a kind of |
| 4 | development to occur in an environmentally |
| 5 | appropriate way. |
| 6 | MR. CATES: Tom. |
| 7 | MR. BILLY: Yeah. |
| 8 | MR. CATES: Okay. |
| 9 | MR. BILLY: Okay. Why don't we |
| 0 | start here? |
| 1 | MR. CATES: I think Cathy. |
| 2 | MR. BILLY: Oh, I'm sorry, Cathy. |
| | |

MS. FOY: Well, that's okay.

MR. BILLY: You're next on the

list.

3

MS. FOY: Thank you, Mr. Chairman.

Not to flog a dead horse any more, but I'm going to try and turn it around on

its nose and beat again in the other

8 direction.

We have some very under-utilized stocks in the North Pacific. Arrowtooth flounder was the first one that popped into my head. It is currently -- I just googled it on Fish Watch, 198 percent. The current quota is 198 percent over what it -- you know, maximum sustainable yield. There we go. And that's why it's 198 percent over what's currently being harvested at. There's a huge biomass. It's taking off.

And I can't imagine that there are not other stocks like that around. I expect, as our ocean environment fluctuates, that we will have other stocks that take off. I see

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| 1 | aquaculture as a supplement. It's not the |
|---|--|
| 2 | answer. The answer is to maximize our yield |
| 3 | across the board. |
| 4 | I'll get down off my soapbox now. |
| 5 | That was my catch. |
| 6 | MR. BILLY: Dave. |
| 7 | MR. WALLACE: How do you propose |
| 8 | to cook the arrowtooth, if that's the |
| 9 | forecast? |
| 0 | MS. FOY: If you microwave it, it |
| 1 | gets rid of the enzyme that breaks down the |
| 2 | flesh. They're working on it. |
| 3 | MR. WALLACE: It's a marketing |
| 4 | problem. |
| 5 | MS. FOY: It's not anywhere near |
| 6 | as good as halibut. |
| 7 | MR. WALLACE: Well, Tom, I was |
| 8 | going to say a little bit some of the things |
| 9 | you said, but I also have a suggestion. And |
| 0 | so I'll just go to the suggestion. And it's |
| 1 | in a way sort of too bad that Steve Murawski |
| 2 | or one of those folks isn't here. |

But what I would suggest is that at our next meeting we should ask some of the scientific people in NMFS to give us a rough estimate of the actual capacity of the ocean in the U.S. economic zone.

And, you know, all of you biologists know that that's easy to do, because all you have to do is look at the root supply within the food chain, and you can calculate what the maximum is.

We have overfished species that have rebuilt, will produce more. And we have under-utilized species that surely should be utilized if we can figure out -- I caught one of those arrowroot flounders. And it's a great big fish. And they said, "Throw it back overboard." Even after pulling it out of 700 feet, I didn't think it was a good idea.

But, anyhow, so then we can reduce

-- we can say the rest of it is a deficit.

And let's face it, we're never going to

produce enough food, seafood, to feed our population when we already import 84 percent, you know. And we just -- I doubt if we will ever be able to make that up.

And so then we have this deficit, and it can get made up with aquaculture. And it can be made up with imports.

And so I suggest that not now but sometime in the near future, oh, maybe a couple years from now we start a plan and jump, not to 2035, but like 2050 and have this really expansive thought on where consumption is going to be, then what we can actually expect to produce naturally, put that all together and then say: How are we going to make up the difference and think in a more global way.

And what I would suggest is we drop out any transboundary fish stocks, because we would not have complete control of those, but we may be able to consider some transboundary with Canada, because we may be

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able to have some reasonable expectation of having some control over exploitation of those fishes.

And what we can then do is then feed back into this global thing, so that we at least understand. We can quantify the problem in a very general way, looking out in the future, which I've always thought is what strategic planning is really all about.

MR. BILLY: Martin.

MR. MARTIN FISHER: Thank you, Mr. Chairman.

In regards to aquaculture, one of the stumbling blocks that it seemed the Gulf Council had when they were developing their latest FMP for aquaculture was that there were no national standards provided by NOAA again for guidelines that they could follow.

It certainly seems to me that it is a national issue, and there should be national standards, national guidelines for the implementation of aquaculture sites and

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certainly take into account the regional councils, because there's going to be interaction with local fishermen and local communities.

But it seems like the leadership should come from Congress or NOAA and come down to the council rather than it going to the council. And I don't know if that's an appropriate place for this Committee to make a recommendation, but it seems to me that it is. And we'd recommend that there be national standards created.

MR. BILLY: Our Strategic Planning Subcommittee is going to be meeting in a little while. So I think they have a lot to think about in terms of how we might, as a Committee, participate in this planning process.

And it would appear there are several options. But, you know, we could -- we need to get together in a timeframe that fits with the schedule that was talked about.

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| 1 | That ought to be considered. Alternatively, |
|---|---|
| 2 | a group could be formed, like we did with |
| 3 | 2020 and interested members of the Committee |
| 4 | could take a shot at getting something on a |
| 5 | piece of paper. And it could be then looked |
| 6 | at and then forwarded. |
| 7 | So there probably are a number of |
| 8 | other options, as well. So I think this has |
| 9 | been a good discussion. We need to move on |
| 0 | so we can complete our schedule and get to |
| 1 | that subcommittee work. So any other |
| 2 | thoughts before we move on? |
| 3 | MR. MARTIN FISHER: I have a |
| 4 | question. It seems like there's so much to |
| 5 | do, and it's so hard to complete in the two |
| 6 | annual meetings. Is there any possibility of |
| 7 | upping the frequency of MAFAC gatherings like |
| 8 | four a year, or three a year? |
| 9 | MR. BILLY: I'll look into it. |
| 0 | There's a possibility. |
| 1 | MR. MARTIN FISHER: I mean, it |
| 2 | seems like we'd be more effective if we had |

| 1 | more time. |
|---|---|
| 2 | MR. BILLY: They're going to have |
| 3 | to raise our pay. |
| 4 | DR. HOLLIDAY: Well, there's a |
| 5 | tradeoff. The largest cost is getting people |
| 6 | here and there, so you could make it a longer |
| 7 | meeting. Once people arrive they do more |
| 8 | work. It's the cost of |
| 9 | MR. CATES: It's either that or |
| 0 | DR. HOLLIDAY: getting people |
| 1 | there |
| 2 | MR. CATES: less issues. |
| 3 | (Laughter.) |
| 4 | MR. CATES: The important part is |
| 5 | the discussion. And if we're short on the |
| 6 | discussion, we're not really giving advice. |
| 7 | MR. BILLY: Alright. That will be |
| 8 | taken under advisement. And let's move on. |
| 9 | Let's see. Where are we on the |
| 0 | break? |
| 1 | VICE CHAIR BALSIGER: We're up to |
| 2 | Alan. |

| 1 | MR. BILLY: Okay. Alan. |
|---|--|
| 2 | VICE CHAIR BALSIGER: Well, wait, |
| 3 | you're right. We've got lunch coming up. |
| 4 | (Laughter.) |
| 5 | MR. BILLY: We need to get to day |
| 6 | two. |
| 7 | Okay. Alan, talk about the |
| 8 | communications and |
| 9 | MR. RISENHOOVER: Mr. Chairman, I |
| 0 | would like to switch the two. |
| 1 | MR. BILLY: Okay. |
| 2 | MR. RISENHOOVER: It kind of |
| 3 | follows some more performance with the |
| 4 | strategic plan here. |
| 5 | MR. BILLY: Have at it. |
| 6 | MR. RISENHOOVER: If that's okay? |
| 7 | Okay. So we will do performance |
| 8 | now. And a couple things, this kind of |
| 9 | flows, I think, fairly nice from Paul's |
| 0 | 30,000-foot strategic plan down to the three |
| 1 | foot: What do you do, how do you implement |
| 2 | i+ |

So, you know, while it's not really clear how everything in that strategic plan is implemented, there's usually an implementation plan or a follow-through on those. And so you have the higher-level strategic plan, which has goals, objectives.

Probably under those you have strategies.

Under that you may have a tactical plan. And under that you may even have some performance measures.

So what we're going to talk about here is -- I was asked to talk a little bit about what the counters are doing performance-wise, because they're working on that as we speak.

And I thought -- you know, Paul made a couple points I'd just like to get back to a little bit. You know, that is taking the high-level strategic down to what does it mean, and how do you evaluate it? So we'll talk a little bit about that.

But the idea of are we going to

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| scramble on what we do, or are we going to |
|--|
| create a blueprint? And I think that's |
| really applicable to what the councils are |
| looking at in their next grant cycle. |

You know, before it's been kind of every council doing what it needs to do as it thinks it needs to do it. It's been fairly ad hoc. What we're trying to do is pull them back into something that's more of -- make a blueprint for the next five years.

And, as we all know, once you combine planning with performance, it equals funding. And I think that's the key things for getting the govern- -- people for getting the government is you can just ask for the money. You've got to plan for the money. You've got to show that you're going to implement and perform with the money you get, and then you get additional funding.

So with that we'll get started.

We do have a number of performance measures.

I don't know if everybody has ever looked at

all those performance measures. The Agency has. There's a lot of them. And there's a lot of them at different levels.

My office, we track about 50, 60

performance measures or milestones at the

Agency level. And we've got about a hundred

under that. So we measure performance at

many different levels and we call it many

different things, performance measures,

milestones, what-have-you. But we all track

those and try to build them back up into the

strategic plans.

And so we'll focus a little bit on what the regional councils -- I guess at a previously meeting somebody raised their hand and said, "Well, hey, what about the council performance?" Well, here I am. So we're going to talk about that.

And, you know, hopefully the partnership will come through here well between the Agency and the councils. But it's just not the councils and it's just not

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the agency. It's both of us together with our constituencies.

So what I'm going to do is run through some of the performance measures that are out there, give you a brief overview of a number of them. And then I'm going to focus on four, spend a little more time, and get some feedback from you on.

So one of the main things that councils and the Agency are looking at, if you look at our performance measures and what the councils do, the match are the ones I'm going to run through.

So the Fish Stock Sustainability
Index, I'll talk more about this one in
detail. But this is one of our GPRA
measures. That's the Government Performance
And Results Act.

So everything we do has to have a performance measure. The budget came out on Tuesday. There's performance measures associated with every increase in that

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| 1 | budget. So that's the way those above us at |
|---|--|
| 2 | Paul's level and at OMB look at how we do. |
| 3 | We gave you the money. Did you meet your |
| 4 | performance goal? If you didn't, why? Did |
| 5 | we not give you enough money, the world |
| 6 | changed, or you're incompetent. |
| 7 | MR. RISENHOOVER: If you look at |
| 8 | the what is it, the part performance, |
| 9 | accountability, and assessment tool at OMB, |
| 0 | NMFS is rated as being moderately effective. |
| 1 | Now that should cause confetti to fall from |
| 2 | the ceilings, because that's the second- |
| 3 | highest rating you can get. Moderately |
| 4 | effective. It's the second-highest rating |
| 5 | you can get. So, again, confetti does not |
| 6 | fall, but it should on that. But I digress. |
| 7 | Okay. So |
| 8 | (Laughter.) |
| 9 | MR. RISENHOOVER: And the reason I |
| 0 | say that is because of this first one, the |
| 1 | FSSI, the Fish Stock Sustainability Index, |

we're doing a very good job under it. So

| 1 | we'll talk more about that. |
|---|---|
| 2 | We also have percentage of fish |
| 3 | stocks known to be subject to overfishing for |
| 4 | longer than one year. Remember, the Magnusor |
| 5 | Act says you should do something about |
| 6 | overfishing in one year. |
| 7 | We're looking at how the council |
| 8 | has addressed that. Where would like to get |
| 9 | to is that also relates to funding decisions |
| 0 | but our percentage |
| 1 | (Dr. Holliday dropped "confetti" |
| 2 | on Mr. Risenhoover.) |
| 3 | MR. RISENHOOVER: I feel better |
| 4 | already. |
| 5 | (Laughter and applause.) |
| 6 | MR. RISENHOOVER: So at least |
| 7 | you're a little more awake now, right? |
| 8 | Percentage of required ACL |
| 9 | amendments in place. That's something we're |
| 0 | looking at now. When the Act passed at the |
| 1 | end of 2006 we put this measure in, in 2007, |
| 2 | saving we know that we've got to get ACLs in |

place. We ought to be able to manage that and see how well we're doing.

management have been around a while. But how do you tell if the council is doing ecosystem approaches? Well, one way is to look at how they're updating their EFH guidelines and see if they're rounding that out with the ACL amendments and other things.

is still in development. We'll probably come back to it, you all, at some point and to talk more about that. But our past measures are kind of like light switches. They're either yes or no, on or off, either you did it or you didn't. It doesn't really give it that gray in between.

And they're also fairly biological-based. Is overfishing occurring?

Is the stock overfished? That's kind of the biology. Did you do an ACL amendment, didn't you? That's the on or off.

| 1 | This one we're trying to look at, |
|---|---|
| 2 | you know, what are some of the economics |
| 3 | associated with the fishery, as well? And |
| 4 | there are difficulties in measuring that. So |
| 5 | we've got a group looking at that. |
| 6 | Fisheries information: Do you |
| 7 | have permits? Do you have your adequate |
| 8 | data, on and on. |
| 9 | Catch Shares: We've been, as I've |
| 0 | mentioned before, looking at the number of |
| 1 | limited access privilege programs that are in |
| 2 | place. We want to double that number. We |
| 3 | need to now back up and decide how we're |
| 4 | going to characterize that and use Catch |
| 5 | Shares as kind of our measure. |
| 6 | Bycatch reduction: Where are we |
| 7 | reducing bycatch, where do we need to reduce |
| 8 | bycatch, and do we have a plan to do it? |
| 9 | International: You know, how many |
| 0 | partnerships do we have? |
| 1 | And then outreach and education. |
| 2 | I'm going to talk about a component of |

outreach and education, communicating with
the industry next. But this isn't just a
real good measure here of performance. How
many have you completed? That really doesn't
tell you what the outcome is. That's more of
an output. I did 16 of them, so what? So
that one I'm going to talk a little bit more
about later with the -- with the "so what."

So let's focus on those four that were in red there that are important: Number of LAP programs, FSSI, Catch Implementation, and then also the EFH one and see how we are doing with those, and also how the councils and the Agency might better incorporate those into what we do. And I'm going to have to move the confetti now to get to my notes.

Okay. Catch Shares: This is one that we're trying to characterize it more of a blending of not only the biological benefits of it but also the economic benefits of it, because it links the industry or the folks involved with the concern for the long-

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term health of that.

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So if I have a quota share of one percent, and there's a hundred fish, I have an incentive to see there be 200 fish next year, so I get to catch two. So there's some incentives there.

I know there's some problems with Catch Share Programs but, again, we need to look at those as we go through. So Catch Share Programs, we've developed kind of a working definition that they include limited access privilege programs, individual quotas, cooperatives, community development quotas, and on and on.

Whereas LAPs, our current measure, didn't include things, like the New England Sector Programs, aren't technically LAP programs. And that's caused us some problem I'll talk about, as well.

So we're continuing to work toward our goal of doubling the number from 8 to 16 by 2011. As I mentioned, I think we are on

performance measure is the number of those
programs. Again, either we are going to make
it, or we are not. And that's why we've been
looking at the economics associated with that
fishery -- of those fisheries to say: If we
get to those 16, about a quarter of the exvessel value of the fisheries in the nation
will be under LAPP -- or Catch Share Programs
-- well, let's say LAPP share -- LAP
Programs.

So if you look around the country, here's the current 12 programs. Some of the issues with this are things like the New England Sector Programs. Are we measuring things the same? Is one sector program equal to one LAPP, because they got about 17 more coming online. And I can get my performance measure pretty well. But is that meaningful? Should these programs be measured on a stock basis, all the cod programs? Should they be measured by gear types? Should they be

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measured by areas?

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And so you can see that we've got a mishmash of things in here right now. So the first thing we need to do is start cleaning that up.

The second thing we need to do is figure out where are we going in the future?

The planning horizon right now, that were working on, is 2011 through 14 -- 15. And we're starting on 12 through 16. So our horizon is now beyond our performance measure, so I've got to come up with a new one.

And so we're talking internally.

And if you folks have comments, let me know.

How do we measure success? Do they end

overfishing? Is it simply the number? Is it

the economic value of the fishery? Is it

some sort of improvement in the economics of

that fishery that we are looking for?

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performance measure for this, it's going to

So, again, while we've got a

be short-lived. And if I want my planning
plus my performance to equal a nice new big
budget, I've got to get in with Paul's folks
on that 2011-2012 process and start
justifying why I need money and somebody else
doesn't.

Index, I think we've briefed MAFAC a number of times on this. This is one of our key performance measures. I've mentioned it's our GPRA measure. We have 230 stocks in there. Those 230 stocks were chosen because they represent 95 percent of the landings around the country. They're the economically-important stocks. And they're just those important stocks you think about.

We went out, not scientifically, we went to our regions and said, "What are the important stocks?" These were the 230 we got. So we weren't shooting for 200 or 250.

We were shooting for the number that made sense to our regional people where they put

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their time and effort.

And if you think about where they

put the time and effort, it's the

economically-important stocks. Those are the

ones people call and write letters about.

It's also the ones that are landed. So those

230 we developed. And we're tracking that

subset over a five-year period.

We managed about 530 stocks around the country, which represent over 1,000 species. So this is a subset that we thought were important to track.

The measure -- and I'll show you the chart for it here in a second -- it's a combination of do we have information on the status of the stocks? Do we have a determination whether it's overfished or overfishing. You get one point for that. If overfishing isn't occurring, you get another point. If it's not overfished, you get another point. If the biomass is above 80 percent of its MSY target, you get another

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point. So a maximum of four points per stock.

So the 530, these are the 230 we tracked, because the other thing is stocks come and go. They'll put them in complexes. They'll take them out of complexes for different reasons. We've tried to freeze these to follow. So if you look this is a good chart. You know, when I go to Paul and say, "My program is performing," this is the chart I use to show, yes, we are performing; yes, there's problems; and we need more money. But a dollar put in the Fisheries Management Program yields results. Show me some other charts that have this kind of slope on them.

So the scoring is over here on the side. You can see that. We're at about 255 right now in 2009. You can see where we are. There's going to be a little plateau there, and then it's going to rise.

Well, if you think about it, if we

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end all overfishing in 2010 per the Magnuson

Act -- that's our measure, remember; that's

what our goal is -- you should be starting to

see a jump. Well, this is where I get to use

the laser.

You should be starting to see a jump right here, where we have a plateau.

Right? If I've got -- remember -- well, I'll show you. There's 41 stocks that are subject to overfishing.

According to my scale here I get a point for every one of those. This needs to go up by about 41 points. Well, this is another argument I can use in the budget, is I don't have the money to do a stock assessment on all 230 stocks in 2010 right after we implement those new measures. If we're able to get that money, we would.

But what it shows is the stock assessment comes later. By 2012 we'll have stock assessments on most of those, and you'll see that 41 point increase. So,

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again, in the budget scenario, you can say, yes, there's a plateau here, but the payoff comes down the line because you are given me enough money. So that's the FSSI.

Annual Catch Limits, again this is related. The Magnuson Act requires them to be implemented by 2010 for all stocks subject to overfishing. All stocks have to have them in place by 2011. So that's our measure. We should be able to count those.

The key one is to get these 41 stocks that are subject to overfishing, get ACLs in place. So I have a, when we started this, basically a two-year performance measure, that the councils and the Agency had a little over two years to get 41 amendments in place to put ACLs in. And those ACLs are overfishing. So there we are.

Now there's a couple things here to note. Our total goal may not be 41. The Magnuson Act had two exemptions. I've mentioned those before, stocks managed

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internationally and stocks are subject to -stocks with a year-long lifespan don't need
them. Pink shrimp, if it is subject to
overfishing, we'd have to have an ACL for it,
but I think that's going to come off the
list.

And then you have a number of stocks that are managed internationally around the country. So we're probably shooting more for the mid-30s on this one.

But, again, this is something we're trying to push into our planning to show how our performance is going to be over the next few years.

Then the final one I'll talk about before stop is the EFH one. Looking at the status of the -- where they are in updating their EFH information. The Act requires that we do that every so often. So we want to see that folks have a process, that they're not only following the law by updating it, but are they using new information and keeping up

with everything as we go along. So I think

EFH is another fairly good measure we can

track.

And then kind of the final thing
here, as I mentioned, the councils this week
are in D.C. I chose to be here with you.
But they're back in D.C. in a room hashing
out their five-year program plans with the
Grants Office and some of my staff right now.
Usually -- in the past, more than four years
ago, the councils got one-year grants. And
it got a little bit old, up the council grant
every year.

So we did a five-year grant in 2004. So this is the last year of their five-year grant. Next year, 2010, will be the start of the next five-year planning cycle. So we're looking at how do we better incorporate performance into those council grants?

Now obviously there's going to be two parts to that. One of it is just the

administrative. You've got to have your staff, have the meetings, pay for travel, pay the members.

But then the other half is: What are those councils going to work on over the five years? What needs to be in their grant proposal that we can track and show that they contribute better to our performance of the Agency as a whole.

Part of the problem has been the councils want more money. We've had trouble explaining to OMB and the Hill on why they should actually get that money. Hopefully, this will help with it.

So those are some ideas I had.

We're looking for some feedback from the

Committee on, you know, what are those key

performance metrics that the councils could

have in those grants that, one, are

achievable, you know, because there's a lot

of externalities out there that, you know,

another stock may come up as being subject to

| _ | Overtraining. We may get a new stock |
|---|---|
| 2 | assessment that gives you completely new |
| 3 | information, or you may have a lack of |
| 4 | information. That could hold up where |
| 5 | they're going. |
| 6 | So some other ideas would be, you |
| 7 | know, what's the future? In two or three |
| 8 | years we're supposed to have this overfishing |
| 9 | thing under control through ACLs. What's the |
| 0 | next big thing out there? Is it Catch |
| 1 | Shares? Should we involve the councils in |
| 2 | the Catch Share goal or policy we are trying |
| 3 | to develop? Should we have a more economic |
| 4 | basis that the councils should work to |
| 5 | improve the economic output and pardon to |
| 6 | the economists here the economics |
| 7 | associated with that fishery by a certain |
| 8 | percentage. How do we best characterize that |
| 9 | stuff? |
| 0 | With that, I'll stop, and take |
| 1 | questions. I'm looking for answers, |
| 2 | primarily. |

| 1 | VICE CHAIR BALSIGER: Thank you, |
|---|--|
| 2 | Alan. |
| 3 | Does anybody have a comment or |
| 4 | question? Ed first. |
| 5 | MR. EBISUI: Thank you. |
| 6 | Now would it be helpful to have |
| 7 | like a criteria for sustainability? |
| 8 | MR. RISENHOOVER: Yeah, and that's |
| 9 | come up a lot. And we've internally been |
| 0 | talking about what is sustainability? What |
| 1 | does it mean? If we go back to that FSSI |
| 2 | how do I do that? |
| 3 | If we go back to the idea that |
| 4 | FSSI, are those the four characteristics of |
| 5 | sustain or the five characteristics of |
| 6 | sustainability? You know, if a stock scores |
| 7 | four points, is it sustainable? Well, some |
| 8 | might argue yes. You've got the information. |
| 9 | It's not overfished. Overfishing isn't |
| 0 | occurring. That's kind of the legislative |
| 1 | definition we have. |
| 2 | We can also hold it up against the |

| 1 | national standards. Is the fishery being |
|---|---|
| 2 | prosecuted in a safe manner? Is the bycatch |
| 3 | low, and on and on. Is the best available |
| 4 | science being used, or are there things |
| 5 | beyond that? |
| 6 | And that's where you know, last |
| 7 | night at the Monterey Bay Aquarium, the |
| 8 | seafood cards, they're the beyond that. So |
| 9 | what are our standards, or what are our |
| 0 | criteria for sustainability? And we've been |
| 1 | talking about that internally. And I don't |
| 2 | think we have quite a definition of it yet. |
| 3 | They're close to it. Because sustainability, |
| 4 | it's kind of you know, if I went around |
| 5 | the room, I think each of us would have a |
| 6 | different answer. |
| 7 | MR. EBISUI: Well, the councils, |
| 8 | you know, they've got to live by the national |
| 9 | standards. So it would seem to me to be the |
| 0 | logical benchmark to use for sustainability. |
| 1 | MR. RISENHOOVER: And that's what |
| 2 | we've used so far. I've been doing a number |

| 1 | of talks with folks in the seafood community, |
|----|---|
| 2 | seafood producers. They want to be told, "I |
| 3 | want to buy sustainable fish products only. |
| 4 | What are they? List them." |
| 5 | Well, it's not that simple. And |
| 6 | so you talk about what are some of the |
| 7 | qualities of the sustainable stock. And |
| 8 | that's I just usually run them right down |
| 9 | the national standards. |
| 0 | But, you know, are we reducing |
| 1 | bycatch enough? You know, the Act says, "to |
| 2 | the extent practical." But are we reducing |
| 3 | it enough? In some people's minds, any |
| 4 | bycatch is too much. |
| 5 | MR. EBISUI: Two more points, |
| 6 | please. Your slide on overfishing, you know, |
| 7 | the national picture? |
| 8 | MR. RISENHOOVER: Um-hum. |
| 9 | MR. EBISUI: Bigeye tuna is |
| 0 | this is for the Pacific, Western Pacific. |
| 1 | The councils, I believe isn't bigeye is |
| 2. | managed by the WCPFC. |

| 1 | MR. RISENHOOVER: Right. There's |
|----|---|
| 2 | some little print down there, if you can |
| 3 | read, that says that. |
| 4 | MR. EBISUI: Their point is on |
| 5 | behalf of |
| 6 | MR. RISENHOOVER: Well, and on |
| 7 | that we just need to make a determination, |
| 8 | because the Acts says, "If it's under |
| 9 | international management, it's exempt." We |
| .0 | just need to make the determination whether |
| 1 | or not that's exempt. And that's why |
| .2 | that's when I said, you know, we are starting |
| .3 | at 41, but I think in actuality we're going |
| .4 | to be down |
| .5 | MR. EBISUI: The mid-30s. |
| .6 | MR. RISENHOOVER: in the low |
| .7 | 30s. |
| .8 | MR. EBISUI: Yeah. |
| .9 | MR. RISENHOOVER: That's one of |
| 0 | the ones that I'd subtract. |
| 1 | MR. EBISUI: And last point. On |
| 2 | behalf of the Western Pacific Council, could |

| 1 | we have some other color but red? |
|----|--|
| 2 | (Laughter.) |
| 3 | MR. SIMPSON: Just don't give it |
| 4 | to the Gulf. Anything that's red in the Gulf |
| 5 | is |
| 6 | MR. EBISUI: Yeah, red has a bad |
| 7 | connotation. |
| 8 | MR. RISENHOOVER: Other questions |
| 9 | while I decide which council gets to be red? |
| .0 | MR. BILLY: Bob. Bob. |
| .1 | MR. FLETCHER: Just, I don't know, |
| .2 | maybe a comment. |
| .3 | All the hydadine (phonetic) |
| .4 | species are subject to management other than |
| .5 | the council's. The councils have actually no |
| .6 | control really over how those stocks are |
| .7 | managed and how they're impacted. Why are |
| .8 | they on the U.S. overfishing list at all, |
| .9 | when the reality is the U.S. impact on is a |
| 0 | minor component of the overall impact? Is |
| 1 | that just because of the way they define |
| 2 | overfishing of any stock that may be caught |

| 1 | in any extent by U.S. interests in the |
|----|---|
| 2 | council's jurisdiction, or because it |
| 3 | leaves you with a misleading picture. |
| 4 | MR. RISENHOOVER: Right. You have |
| 5 | an FMP, that has yellow fin tuna in it? |
| 6 | That's why. We go through the FMPs. Those |
| 7 | stocks, what is their status? Now unless |
| 8 | it's subject to overfishing, but that's |
| 9 | probably one you could close the U.S. |
| .0 | Fishery and it wouldn't matter. |
| .1 | MR. FLETCHER: Exactly. |
| .2 | MR. RISENHOOVER: So, again, |
| .3 | that's one of those ones you need to look at |
| .4 | to see if it's got an exemption from ACLs and |
| .5 | overfishing. And hopefully in a year and a |
| .6 | half this chart is going blank, actually. |
| .7 | Blank is the goal. |
| .8 | Other thoughts? |
| .9 | MS. LOWMAN: Well, you know, it |
| 0 | seems obvious that you do want to engage the |
| 1 | councils in their Catch Shares standards. |
| 2 | And it seems like, just like you give them |

this, you could do some sort of points in the
elliptic -- did it help with overfishing,
conservation, did it help with matching

capacity to available resource, did it help,
you know, in approving -- were the net
economic returns of the fishery increased.

You know, that could be two or three things - or four things where you could get points
the same way. And that could also maybe help
decide, you know, not only for ones that can
get credit because you've done that, but ones
that you might be thinking of doing and
needing funding for, that could have a great
potential for.

MR. RISENHOOVER: Right. And I
think those are good ideas. And part of what
I'm thinking we'll do, instead of putting
kind of the performance measure in their
grants, is just say that they will contribute
to NMFS achieving the federal performance
measures and then have a Catch Share
performance measure that includes those

elements or characterizes or subsets. Okay. MR. BILLY: Okay, last word. MR. MARTIN FISHER: I have a short question, Alan. MR. RISENHOOVER: Yeah. MR. MARTIN FISHER: And I guess it's a statement, too. I'm a little bit uncomfortable 8 with the definition of ITQ, LAPP, IFQ now morphing into Catch Shares. I understand that Catch Share is a general term and encompasses all of them, but one of the things I've noticed is watching the chase for the red snapper I have to do in the Gulf and now grouper since about mid-'04. Is that as these definitions change they have an impact on how the programs are designed and implemented and constraints on fishermen and fishing communities and the effects after that. So can you speak to that? is there -- it seems like a trend and it

doesn't seem like a trend that's in the best interest of fishermen?

MR. RISENHOOVER: Well, I think
the impact is Catch Share is a general term,
a category, like "Fisheries Management," but
"Fisheries Management" involves many
different types. So a Catch Share would
involve many different types of programs,
with some common characteristics. And those
common characteristics would be things such
as -- and we've got a draft definition.

I probably should send that around at some point too, that we're thinking of internally on this. But Catch Shares are basically a program in which a certain amount of fish is given to a specific entity and then that specific entity is supposed to stop fishing when it reaches that level. And that distinguishes it from just splitting out recreational quotas and commercial quotas that then we monitor and close.

So it's a specific amount of fish

to a specific group that then has the
responsibility to stop fishing once that's
reached. And so we still need, as I said, to
hone that definition so as we're talking to
folks, and there's a lot of talk right now
about implementing Catch Shares, we really
need to come up with, how do we define that,
what does that mean, and watch programs are
currently Catch Shares. Some programs that
currently aren't captured in my LAPP goal may
be captured as a Catch Share.

And then the effect on the fishermen is I don't know that there will be a direct other than a move toward Catch Shares because the controlling part of it's the statute and there's legislative language on LAPPs and IFQs and Limited Access Programs. So that would be kind of the legally controlling, whereas "Catch Share" is more of a catch-all term for those programs in kind of this policy we're trying to develop on how should we move toward them and

| 1 | by what degree and measure how we got there. |
|---|---|
| 2 | MR. MARTIN FISHER: Can I ask a |
| 3 | follow-up, Mr. Chair? Thank you. |
| 4 | Midway through what you just said |
| 5 | I heard language that leads me to believe |
| 6 | there's a policy shift or a new way of |
| 7 | looking at it. When you said that Catch |
| 8 | Shares would be imparted to an entity and |
| 9 | then that entity would distribute the Catch |
| 0 | Shares? |
| 1 | MR. RISENHOOVER: No, that entity |
| 2 | would then be responsible for fishing to that |
| 3 | level of that share they received. |
| 4 | MR. MARTIN FISHER: Is that entity |
| 5 | a council, a regional council, or a fishing |
| 6 | interest, or a community, or whatever? |
| 7 | MR. RISENHOOVER: It would be the |
| 8 | fishing industry, a community, the whatever, |
| 9 | as determined by the councils. So |
| 0 | MR. MARTIN FISHER: To me that |
| 1 | sounds like a policy shift, because an IFQ or |
| 2 | a LAPP is more individually it's certainly |

| 1 | generally assigned to the fishing community, |
|----|---|
| 2 | but it's individually assigned to and it's |
| 3 | very clear who actually gets it. |
| 4 | MR. RISENHOOVER: I don't think |
| 5 | there's |
| 6 | MR. MARTIN FISHER: No? |
| 7 | MR. RISENHOOVER: I may not be |
| 8 | seeing exactly what you mean, but I don't |
| 9 | think there's a shift there. |
| .0 | MR. MARTIN FISHER: Okay. |
| .1 | MR. RISENHOOVER: It still needs |
| .2 | to go to a person, a vessel, a community. |
| .3 | We're not going to allocate these to the |
| .4 | councils. |
| .5 | And then the allocation would have |
| .6 | to be based on something, and so they would |
| .7 | have to justify to us why that something |
| .8 | deserves a share. Does that help? |
| .9 | MR. MARTIN FISHER: A little. So |
| 0 | along with this definition is there going to |
| 1 | possibly be a national standard or definition |
| 2 | of what this of how councils will relate |

to this, maybe, a new idea of Catch Share is? MR. RISENHOOVER: Well, again, Catch Shares are not something -- Catch Share 3 Programs aren't something new. MR. MARTIN FISHER: Um-hum. MR. RISENHOOVER: It's just a way of categorizing or grouping current programs. It's not a new -- there's -- you know, the 8 Magnuson Act did not include you will have -you could do Catch Share Programs and this is what they are. Catch Shares is just a grouping of current programs that have some similar qualities. MR. BILLY: Okay. Heather. MS. McCARTY: Maybe I can help a little bit. For example, I noted that when "Catch Shares" was put up on the screen, the category of Catch Shares included IFQs, which is an Individual Fishing Quota, but it also included CDQ, Community Development Quota, which is where an entity actually gets the

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It's a percentage of whatever

allocation.

| 1 | species is available in that region. And so |
|---|--|
| 2 | that's the difference that you're hearing, |
| 3 | because you're hearing Catch Share described |
| 4 | to encompass all those different kinds of |
| 5 | allocative situations, I think. So I don't |
| 6 | think you're seeing a change in that sense. |
| 7 | I think you're just seeing a change in how |
| 8 | it's grouped together. |
| 9 | MR. MARTIN FISHER: Thank you. I |
| 0 | recognized that when I started out. It's |
| 1 | just that I'm afraid that it's just a fear |
| 2 | because it encompasses all those other |
| 3 | things. Regionally in your part of the world |
| 4 | that makes sense. Regionally in our part of |
| 5 | the world it does not. And if there is a |
| 6 | policy shift that you have to include |
| 7 | community distribution of allocation, that's |
| 8 | my fear. |
| 9 | MR. RISENHOOVER: No, it doesn't |
| 0 | create any new regulations or legislation. |
| 1 | MS. McCARTY: Mr. Chairman, thank |
| 2 | you. I don't think there's anything that |

| 1 | indicates that you would have to include a |
|---|---|
| 2 | community allocation. I think, however, that |
| 3 | it does include the community concept, |
| 4 | because the community concept is included in |
| 5 | the new MSA. And so there is the opportunity |
| 6 | under the new MSA provisions to have |
| 7 | community holdings and so forth, several |
| 8 | different categories of community holdings, |
| 9 | for example. |
| 0 | MR. MARTIN FISHER: Thank you, |
| 1 | Heather. Makes it clear. |
| 2 | MR. BILLY: Hey, Alan, want to |
| 3 | move on and finish up? |
| 4 | MR. RISENHOOVER: Absolutely. |
| 5 | The next one, we collectively are |
| 6 | going to go where we boldly have not gone |
| 7 | before and that is to do a discussion without |
| 8 | PowerPoint, so if you have a reaction, I can |
| 9 | put a PowerPoint up, so we're going to try it |
| 0 | without here. |
| 1 | MR. BILLY: Oh, no. |
| | |

MS. McCARTY: No.

(Laughter.)

MR. RISENHOOVER: So the goal of this one is to have a discussion. And, Jim, I don't know if this is something you wanted to introduce with the New England experience of communicating with the industry or if you wanted to just launch. Remember, this is a discussion.

VICE CHAIR BALSIGER: What do you mean? I am the great communicator in New England. I got my own column in the paper now. But, no, go ahead, please.

MR. RISENHOOVER: Okay. And we'll come back to kind of the column-in-the-paper idea.

So the purpose of this, which we do have up here, it's not a PowerPoint but we do have a projector, maybe that's the root of the problem, is to discuss how we can increase kind of the two-way communication between the Agency, maybe the councils, and the affected industry. In many cases it

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seems like the industry does not understand
why the Agency is taking an action. Some
cases they may just not like it, but how do
we improve that communication to get at what
I think everybody's goal is in this, is that
sustainable fishery, however you define that,
at the end that's still profitable but also
not harming the resource ecologically.

So I'm going to skip the trigger questions here and we're going to do the background and we're going to come back to those trigger questions.

We have put together an outreach plan for the Agency that we've been trying to follow. So this has been posted to the MAFAC site, but it's our National Outreach Plan.

And under that there's six Strategic Goals.

And so in a month we'll be getting all the outreach people together. And what I want to talk to them about is: How do we implement this plan. First of all, we need to look at the plan and make sure it still

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works for the Agency, but then how do we implement it.

And one of the things we probably will want to talk about is how do we better communicate with the fishing industry. So in here, the six goals, in case for those of you haven't read it, the first one is: Maintain effective partnerships. So how do we maintain those partnerships we have. How do we make those partnerships better with folks in the communications, so the councils are obviously a partner in communicating. Sea Grant is a partner in communicating.

The second one is we want to inform the public on sustainable activities.

So how do we inform the public about sea turtles, circle hooks, sustainable fishing practices. What are those mechanisms we use.

Third is how do we project a positive Agency image. And some of the wording in here is a little hard for me, but it's like how do we make ourselves look good.

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But part of it is how we make the public understand why we do what we do and that we're not either evil regulators or slaves to the commercial fishing industry. Because depending on who you talk to, we are.

The fourth one is: How do we be proactive on emerging issues. Do we always wait and react to the issue and then try to explain what the problem is, or are we out in front pitching what we think needs to be done and then working toward it.

The fifth one is enhancing our infrastructure. Do we have enough people, do we have enough slide projectors, that sort of thing, to implement these.

And then the sixth one of course is how do we evaluate it. How do you know when you've communicated well. If people don't like your decision there's not a lot of patting you on the back at the end going, 'Well, I don't like you but you're a good communicator,' so how do we evaluate when we

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| 1 | were communicating well. And so some of the |
|---|---|
| 2 | trigger questions we've come up with, we'll |
| 3 | try and probe that a little bit in our |
| 4 | discussion here. |
| 5 | So we also have kind of an |
| 6 | inventory of tools we use here in doing |
| 7 | things. We have the NOAA Fisheries Business |
| 8 | Report that's up on our website. How many of |
| 9 | you have read that? |
| 0 | (Members raise their hands.) |
| 1 | MR. RISENHOOVER: Okay. Anybody |
| 2 | outside the Agency read that? |
| 3 | (No hands are raised. Laughter.) |
| 4 | MR. RISENHOOVER: Okay. That may |
| 5 | be a little bit of a problem. If the Agency |
| 6 | is a type of business and we put out a |
| 7 | business report and nobody reads it, does |
| 8 | that mean nobody cares what we do? Or is the |
| 9 | way we're putting out the business report or |
| 0 | the way it's structured or the information in |
| 1 | it not answering the questions people have |
| 2 | about the Agency. |

We have a FishNews email list that goes about every other week. So how many of you are on that FishNews?

(Members raise their hands.)

DR. HOLLIDAY: I think everybody's automatically subscribed to that.

MR. RISENHOOVER: Oh, so that seems to be something like people are getting whether they want it or not, but how do we evaluate whether it's actually working. Is it informing you of the things you want to know about, is it giving you a right amount of detail. Is it giving you access to the decision-making, the public hearings, whatnot, to be involved.

Jim mentioned -- I had picked out several at lunch to talk about, and so guest columns and publications. Jim's in several newspapers. There was a National Fisherman's column that's monthly. How many people are using that? Is it giving people the right amount of information. Are the topics

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current? And how do we know whether or not it's having an impact.

Fish Watch is one I picked out
here. Somebody today, I think it was
Catherine, said she used it. Well, good.
Are people using Fish Watch. Is it hitting
the topics we want to hit? Are people
getting the information they need? Is the
site usable?

We did a little study with some

NGOs where we gave them kind of a survey

after they used the Fish Watch site to get

some information back. I'm going to do that

with fishing industries, both recreational

and commercial, to get their opinions back,

and then some consumer groups to see what are

they looking for in the Fish Watch site, are

they getting it and how do we improve it.

And we've already got some ideas on that.

We go to a lot of meetings. We all go to a lot of meetings. We send people to meetings. So one of the things we do is

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we go to these large annual events, the
Boston Seafood, the Pacific Marine Expo, and
on. Is our work there, is it worth my time
to send three people to that meeting for five
days, have a multi-thousand dollar pop-up
display, hand out Fish Watch cards. Is that
reaching the constituencies we want. Is it
having the effect we want at the end. And
you can read these on and on.

We do a lot of outreach and communication in the Agency, but I don't think we've ever really decided which ones are working and why, and that's where we need to put more effort.

The commercial fishery statistics.

You know, each year we put out that

Fisheries of the U.S. Is that helpful to

folks. Do people use that. Do we need to

have that in a different format. I know it's

got some online aspects that you can search,

but how do we best do that.

So that's a little bit. We have

kind of our outreach and communications plan
that covers -- it's a little broad in my
opinion, so we may need to narrow it a little
bit. But I'm looking for feedback on how we
narrow it.

Just cursor back up here to the trigger questions. And this is the part where the discussion starts. So when we think of primarily the fishing industry, and in New England that was primarily the commercial industry, but perhaps we can broaden this to the fishing industry and then we can talk more about NGOs or environmental NGOs or the general public, but just starting with the industry, so what are the current barriers to effective communication. Let's try to frame the question a little bit. What are we trying to answer here before we get to some of the solutions. It seems like we always jump to the solutions, more reports, more emails, more meetings, but what are those barriers.

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| L | And what I'll do when we get |
|---|--|
| 2 | through with this is I'm going to take your |
| 3 | thoughts back to my communications folks, |
| 1 | talk to them. As I mentioned in June, we |
| 5 | have a meeting of all the communications |
| 5 | folks around the country, talk to them about |
| 7 | how do we not only have our plan, focus on |
| 3 | what needs to be done, but then what's our |
| 9 | implementation plan, our action plan, the |
|) | tactical plan on doing that, so that we are |
| L | concentrating on those things that are the |
| 2 | real problem. |
| 3 | So back to this again. What are |
| 1 | the barriers to effective communication? Why |
| 5 | is there a problem or what is that problem? |
| 5 | Would anybody okay. Let's go at it a |
| 7 | different way: Would anybody say there isn't |
| 3 | a communication problem? |
| 9 | VICE CHAIR BALSIGER: (Raises |
|) | hand.) |
| L | DR. HOLLIDAY: (Raises hand.) |
| 2 | MR. DiLERNIA: (Raises hand.) |

| 1 | MR. CATES: There's a |
|----|--|
| 2 | communication problem. |
| 3 | MS. DOERR: Well, I mean just to |
| 4 | back up before I answer that question, |
| 5 | there's nothing on this about the |
| 6 | recreational fishing sector. |
| 7 | DR. HOLLIDAY: Patty, we're having |
| 8 | trouble hearing you. |
| 9 | MS. DOERR: There's nothing on |
| .0 | there about the recreational fishing sector, |
| .1 | which in some fisheries is the primary |
| .2 | MR. RISENHOOVER: And I think |
| .3 | MS. DOERR: user of a fishery, |
| .4 | and so |
| .5 | MR. JONER: I think Mark answered |
| .6 | you. He was having trouble hearing you. |
| .7 | MR. RISENHOOVER: Okay, it is late |
| .8 | in the day. I did think MRIP and some of the |
| .9 | outreach things were in our list of things |
| 0 | we've been doing. But, again, when you're |
| 1 | and I did say the commercial or the |
| 2 | recreational industry. We do have a |

| 1 | recreational strategic plan. We've got a |
|---|--|
| 2 | recreational and executive order. We've got |
| 3 | recreational fisheries contacts around. So |
| 4 | the question holds too: What's the problem? |
| 5 | Is it a lack of people addressing it? |
| 6 | So from a recreational |
| 7 | perspective, what's |
| 8 | MS. DOERR: So this so what's |
| 9 | up there applies to the recreational fishing |
| 0 | sector as well? |
| 1 | MR. RISENHOOVER: But I think |
| 2 | MS. DOERR: The questions apply to |
| 3 | us as well |
| 4 | MR. WALLACE: Yeah, I think this |
| 5 | started as them or of a commercial industry, |
| 6 | but I think we need to broaden it to the |
| 7 | recreational industry, the NGOs, general |
| 8 | public. |
| 9 | MS. DOERR: That would be my |
| 0 | MR. RISENHOOVER: But if we could |
| 1 | |
| 2 | MS. DOERR: recommendation. |

MR. RISENHOOVER: -- solve one of those it would be happy hour time. MS. DOERR: And more confetti. MR. RISENHOOVER: I've got the confetti ready. MR. DiLERNIA: Alan, at one point there existed an Office of Constituent That no longer existence, correct? Services. MR. RISENHOOVER: Correct. MR. DiLERNIA: That's gone. MR. RISENHOOVER: However, Tony, that whole office was folded into my office as a division, so the majority of those people are still there. MR. DiLERNIA: Well, let's talk about that for a minute. Boots on the ground, so to speak. I mean that's what you really need in a sense. And there were representatives to commercial and to the recreational industry. Do those individuals still exist, do they cover all the regions? Are all the regions still covered?

| 1 | MR. RISENHOOVER: We've had at |
|---|---|
| 2 | most we've had three people in the field. We |
| 3 | now have two, one person just left. So |
| 4 | MR. DiLERNIA: Yeah. I mean |
| 5 | that's if you're asking how can you |
| 6 | improve, I mean lots of what you've done up |
| 7 | there, it's great, and some of that's come in |
| 8 | recommendations to MAFAC over the years and |
| 9 | all. But nothing beats face to face. No |
| 0 | objective beats having someone sit down with |
| 1 | a bunch of fishermen, be that at a |
| 2 | recreational fishing tournament or being at a |
| 3 | fisherman's bar or something and doing a |
| 4 | face-to-face and sitting down and interacting |
| 5 | with them. |
| 6 | You have to reestablish that |
| 7 | personal, interpersonal contact. Now you're |
| 8 | government. So right away no matter what you |
| 9 | do people are not going to like you because |
| 0 | you're government, and this we understand. |
| | |

MR. RISENHOOVER: Yeah, we're used

to that, too.

MR. DiLERNIA: But the problem is when you become too used to that and we have a tendency to write it off after a while and just dismiss ourselves by saying, well, we're government, nobody's going to like us. What are we going to do, we'll go off in a corner and do our work.

And I really think you need that interpersonal, you need that face to face.

You need that -- what the port and NMFS port agencies do that are not there anymore. You need that kind of face to face -- well, certain places.

MR. RISENHOOVER: Right. And part of this, Tony, and for me I've got to separate it from organizationally to what appears externally. So what I'm hearing you say is we need more people interacting with the industry.

MR. DiLERNIA: Yeah.

MR. RISENHOOVER: And then the subsequent decision for me is should those

people report to a headquarters office or should they report to a field office, the regions. And from your perspective it may not matter.

MR. DiLERNIA: But if that person interacts, it should not be -- the interactive person should not be the regional administer or the regulator. It shouldn't be the same person who's writing the rules. The interface between the fishing community, be it recreational or commercial, and the Agency should be someone separate from the rulemaker, so that they can say probably under there granted they're not the rule-maker, so to speak, and you have to establish some type of rapport, communication.

MR. RISENHOOVER: Okay.

MR. DiLERNIA: It can't be the same. You can't expect the RA to be the same person that's going to be sitting there with a bunch of fishermen and trying to make things happen. It's not going to work.

MR. BILLY: Okay, Randy.

MR. CATES: Alan, I'm reading this

and I'm assuming this is including the

councils, communication between councils and

5 industry?

3

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MR. RISENHOOVER: Yeah, I think we can extend it to that.

MR. CATES: One of the comments I would have, I think in Hawaii, I mean Ed can comment on this as well, we have fairly good participation at the fisheries meetings. The problem that I see is we have islands and people take their time and fly at their own expense to show up. At the last council meeting I was at, I was asked to be there at eleven o'clock to make a presentation. I didn't even get to talk until seven o'clock that night. From eleven o'clock to seven o'clock at night not one issue was being talked about that I could see was related to federal fish management. It had to do with everything else, so they're extending their

focus.

So in that I would say it's the

content is the key thing on whether the

people are going to show up. And if a

fisherman is going to come there and his

issue is bottom fish closure, he doesn't want

to sit around nine hours waiting for that

sisue to come up. And if from eight hours

it's about the Ahupua'a system or the Maui

inland waters things, it's not that that's

not important, but that guy took his time to

show up and he gets frustrated. So part of

that would be what the content is.

I don't know if that make sense, but, Ed, what do you think?

MR. EBISUI: I was going to mention that I think in Hawaii in the, is it Piro, Pacific Island, I think the Fishery Service has a good network and a good system going there. We have some unique problems. Like, for example, our long-line fishery, we have fishermen from many different ethnic

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backgrounds. And so the notices and things, special efforts are made to print the notices in the languages, the various five or six languages that are spoken.

I think the National Marine

Fisheries Services has also done a good job
in connecting with the right people, like

Brooks and the auction group, who also help
to spread the word to the fishermen. And on
the recreational side, you have Kurt Kalmodo
doing the barbarous hooks project and he's
going to every tournament, talking to all the
fishing clubs, so there's a real presence and
there's a rapport there.

I don't know if that's unique only to our region, but I think the system is working well. And the council also has, every meeting, after the formal meeting is done, they host a fishermen's forum. And they do have selected topics, but it's basically open mic, so fishermen actually do attend and they do get to talk and ask hard

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questions to council members or others. So I think the face to face rapport is there and I think it's constructive.

MR. BILLY: Thanks.

Martin.

this kind of information.

MR. MARTIN FISHER: Thank you, Mr.
Chairman. I'm not really sure about this.
It's kind of fish right out of the oil. It
hasn't even hit the napkin on the plate. But
I just spent a few minutes just browsing the
NOAA website and looking through the
different regional council homepages. And
it's hard to find some information,

especially if you're a layperson or you're

not really used to the system or looking for

There's doesn't seem to be a place where you can go and there's broad -- you're involved in fisheries, and there's broad tabs or windows that say quota development or Fishery Management Plans, or anything like that. You have to go through each individual

council website and ferret out each species
or each plan that you want out look at. And
then there are so many different layers
within each regional website that unless
you're adept at the system already, it's a
daunting task.

So if I could offer a suggestion it would be for somebody to take a look at how to facilitate information gathering for John Q. Public because it's not easy. It's there, you could find it, but it's a labyrinth.

MR. BILLY: Tom.

MR. RAFTICAN: Yeah. I think

Patty's questions were good about you kind of focus on commercial here. You do have one recreational representative on the ground in California. Marty does a good job, but California, I think, last count had 1.4 million anglers and probably a million of those fish salt water. And when you start to do the math, face to face gets really pretty

tough.

You can reach out and work through 3 partnerships, and I think you're trying to do that, but the thing is you kind of lose something each step down the line. And I think what role can MAFAC play in improving the relationship between National Marine Fisheries Service and the industry, if you put in recreational there, you got 21 folks in this room, and our job is to bring information and bring recommendations to the Secretary, but we spent two days here listening to the best and the brightest on what's going on as far as the industry -- as far as the government goes, let's try and see if we can bring some of that information back on a firsthand basis to our centers and flu back along the line. And I think that would probably be pretty helpful. And I try to do that somewhat, but if we could almost make a little formalized -- you hate to talk -- you can't do, 'This is what we are doing.'

know there's also to the degree that you can't speak on behalf of MAFAC, but you can say that, 'Hey, this is what the outcome of our conference was.' MR. BILLY: Larry. MR. SIMPSON: Thank you, Mr. Chairman. I think this is a wonderful 8 initiative. I mentioned it earlier, the I&E groups and the councils doing this. And I think the key here, Alan, is to coordinate among the council groups so that they things similarly. All of us have gone through the process at the state level or federal level with trying to get the word out to the public through public hearings. And we go and spend our time and a few people show up. How can we do that better? The new initiative that Ed talked about, the fishermen's forum afterwards.

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I've heard great response. We do the same

thing. And, let's face it, we don't live in the same world as I lived back in '78. just passed an action at the gov. council where we're going to stream our meetings online. So if you've got a computer, you can sit there in your home in your bathrobe and watch and hear what's going on. So I think that's important. MR. DiLERNIA: The council in the bathrobe? MR. SIMPSON: No, no. The person. (Laughter.) MR. DiLERNIA: Oh, okay. MR. SIMPSON: So I think that's a wonderful thing. And you don't want to stifle enthusiasm. I find it difficult myself, you know, beating my head against the

stupid the council is had to do with 'Why do

they go out and look for red snapper in

same grove in the wall trying to explain

things to different people who just don't get

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I mean the last rash of emails about how

| 1 | places that they're not there. I could show |
|---|--|
| 2 | them right where the big ones are.' Well, |
| 3 | they don't understand random sampling, you |
| 4 | know. |
| 5 | And then the other one's, 'Why in |
| 6 | the world is there differential management. |
| 7 | The commercial guys have got one side of the |
| 8 | limit, and why is that. That's terrible. |
| 9 | That's just ridiculous. How stupid can you |
| 0 | be.' The only thing is about five years the |
| 1 | recreational people asked for it so they |
| 2 | could get more days. They seem to forget all |
| 3 | that. |
| 4 | So it's a cost of doing business. |
| 5 | I think it's a worthy effort. I think we |
| 6 | ought to put time and attention into it and |
| 7 | try to make the best of it. |
| 8 | MR. BILLY: Cathy. |
| 9 | MS. FOY: I'm going to pass, Tom. |
| 0 | It's been addressed. I don't need it |
| 1 | anymore. |
| l | |

MR. BILLY: Bob.

MR. FLETCHER: The line up there
by Cooperative Research, I can only speak for
the West Coast, but Randy in the Pacific
States was able to get some Cooperative
Research money a few years ago and some of us
got together on a special committee and
helped allocate those dollars out. And in
Southern California they did something to
develop a new stock assessment tool that did
more to bring the industry and NMFS together
than anything I've seen done.

The skippers were on the boat.

Biologists and other experts were on the boat. They learned from each other. And the result was a phenomenal new tool that had been -- that was developed with the total participation of the industry.

So in terms of Cooperative

Research, to me that was probably one of the classic examples of learning from each other.

And my industry in Southern California now feel so much better about what is being

talked about at the Pacific Council because they participated in developing the information.

So any time you can do that, and I applaud Randy and Pacific States for being so willing to be the conduit to create these partnerships. That was a phenomenal thing and it's kind of gone away now and it's really too bad.

MR. BILLY: Okay. Bill.

MR. DEWEY: Yeah, a couple observations. One, picking up on something Ed said, and from the list you had up here, I don't have the perception there's lots of opportunity -- you're doing a good job speaking out and conveying from NOAA what's being done. But opportunities for input back seem maybe they might be more lacking there. And the forum he was referencing seemed popular because of the open mic time. You

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know, today with email and more and more

people using email, if there's some sort of

an open forum where people can ask questions and get answers, or some improved way of allowing people to have input, was one observation.

Another is, and I see it's part of the strategic plan here, but in the Northwest Sea Grant is particularly effective from our industry standpoint. They're kind of seen as a neutral broker of information. And so with our use-conflict issues they have been particularly valuable at having science forums around the Puget Sound and presenting information and not having a side. Where NOAA, as being an advocate of aquaculture or perceived by some as being an advocate of aquaculture might not be that neutral broker. Just a couple of thoughts there.

MR. BILLY: Patty.

MS. DOERR: To kind of build off a little bit of what Bill just said, I think in general from the recreational perspective and that sector, I think the rec. sector's going

to care -- really they're going to only care
about what's happening locally to them. And
so I think the opportunity to speak out at a
local level and have the outreach come from
some local office or regional office is going
to be more effective than having it come from
the national office.

And, for what it's worth, the sportfishing industry communicates with Forbes Darby the most in Silver Spring, and he's phenomenal. Very open communication with him and he's always very helpful and very responsive. And him and I have had discussions kind of offline as ways to better the outreach to individual anglers, but I still think it's needs to come from a regional office of some kind, a more local organization than from Silver Spring. My two cents.

MR. CATES: Tom.

MR. RAFTICAN: Yeah, just a couple things that I didn't mention before. You do

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| L | some things pretty well. Used to have a |
|---|---|
| 2 | fisherman's forum. And I know Jim has come |
| 3 | out and sat down in front of 150 people and |
| 1 | taken questions. |
| 5 | And the other thing is, Jim, you |
| 5 | do a weekly update when it comes to us. |
| 7 | VICE CHAIR BALSIGER: Don't ask |
| 3 | how many people read it, because |
| 9 | MR. RAFTICAN: Hey, well, I do |
|) | every week. And it's incredible to do that |
| L | week after week. A lot of people |
| 2 | start them, but you've followed through on |
| 3 | that and that's really very, very good |
| 1 | communication. It gives a lot of us the |
| 5 | background so that we know what's going on |
| 5 | week to week. Thank you. |
| 7 | VICE CHAIR BALSIGER: Thank you. |
| 3 | MR. FLETCHER: Hear, hear. |
| Э | MR. DEWEY: Hear, hear. |
|) | MR. BILLY: Randy. |
| L | MR. CATES: I was going to say |
| 2 | exactly the same. I've suggested to several |

| 1 | folks in this room it would be a good thing |
|---|---|
| 2 | to have Mike Rubino do it as well. I have |
| 3 | asked Mike personally to identify who the |
| 4 | stakeholders are at in aquaculture and just |
| 5 | give us updates on what he's doing, who he's |
| 6 | meeting with. Because many times I'll get |
| 7 | called out of the blue and a lot of questions |
| 8 | and there was some apparent meeting and there |
| 9 | was some negotiation, and I had no idea. And |
| 0 | I find myself very uncomfortable, so if we |
| 1 | knew what environmental groups are meeting |
| 2 | with, for example, what negotiations are, |
| 3 | folks in D.C., they do call us and ask us |
| 4 | questions. It's very helpful to see; your |
| 5 | weekly meetings are a good example of that. |
| 6 | I can see who you're meeting with and that |
| 7 | gives us a good, clear picture. |
| 8 | MR. BILLY: Martin. |
| 9 | MR. MARTIN FISHER: Thank you, Mr. |
| 0 | Chairman. |
| 1 | Alan, one of the things I've |
| 1 | |

noticed in the council process in the

Southeast Region is that during all the public hearings there's these sitting ducks on the table and there are 300 angry fishermen around. And nine times out of ten the sitting duck, the council member, or a staff person is petrified. And their job is to listen and it's our business to answer questions.

Nine out of the ten people that come to the podium to give public testimony have as many questions as they do statements. It would be really nice if there was some way or a vehicle to perhaps at every -- because it wouldn't be fair to put it on the council members themselves, but if there was a representative of the regional office attending the public hearings and some amount of time, I don't know how you would allocate it or how the meeting would be formatted, but it would be really good if during the course of the person's public testimony, as a question arose, that the NMFS representative

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could actually take a minute or some amount of time to answer those questions. Because the people that are in the audience, they don't want to just tell the council how they feel. There are questions that they can't ask because the council process really doesn't allow those questions to be answered directly. So that's something that I think would really facilitate the dissemination of information and a better public relations image of NMFS and the council and the whole process.

MR. BILLY: Steve.

MR. JONER: I wonder if you know by, say, the industry what percent of the people out there are really connected? At the council meeting. You know, I see the same faces all the time. In fact, a stranger walks in the room, we all look around: Who's that. And even with something like the salmon regulations, you see the same people year after year after year.

you look at the commercial fisheries, the people who are fishing for a living, probably a larger percentage, maybe ten percent of those are connected. And then you move down a level to the recreational, those people that own boats and fish regularly, a smaller percentage of those, and then the occasional person that catches a fish or is somehow involved. This has always been the age-old question I think, you have somebody there representing an industry and it's hard even for that person to get the message down.

Once you have everybody's attention is to close a fishery, and then you have the situation that Martin described.

You know, I think Tony started out the conversation with boots on the ground. And I just think it's an effort to go around and find those people. Those that really want to be connected, you know where to find them.

But a lot of people just don't pursue that.

| 1 | And once you get them, you got to |
|---|---|
| 2 | you have to kind of recruit them and get |
| 3 | some interest and then once they're to a |
| 4 | certain point they'll take over from there. |
| 5 | But other than that you need to really be |
| 6 | out, be in the cop on the beat. Not you. |
| 7 | MR. CATES: I have a quick |
| 8 | question for you. I mean in the other areas |
| 9 | how much participation is there in your area, |
| 0 | for example, at the fisheries meetings? My |
| 1 | experience is only Hawaii. |
| 2 | MR. JONER: You mean like the |
| 3 | council meeting? |
| 4 | MR. CATES: (Nods.) |
| 5 | MR. JONER: Probably with the |
| 6 | trolling industry about half a dozen out of |
| 7 | |
| 8 | MS. LOWMAN: It's out of the 100 |
| 9 | vessels are active, there's probably about 20 |
| 0 | people who come, most of which are probably |
| 1 | not on those vessels anyhow. |
| 2 | MR. JONER: Yeah, and then long |

| _ | Time, it is a smaller number. And samon |
|---|---|
| 2 | trollers |
| 3 | MS. LOWMAN: I mean it is a |
| 4 | problem. I mean getting out and to really |
| 5 | the people you know, there's an amazing |
| 6 | amount of mis most people get their |
| 7 | information from dock talk and it often |
| 8 | doesn't have much relationship to what's |
| 9 | really real. It's that classic game of |
| 0 | gossip, it sounds really different at one end |
| 1 | of the dock and the other end of the dock, |
| 2 | even. And I do think there's something to he |
| 3 | said I mean it sort of sounds silly, but |
| 4 | you could do once or twice, if you advertise |
| 5 | it in the papers that you were coming, I |
| 6 | think you would get people who would come to |
| 7 | just sit down and talk a story and have a cup |
| 8 | of |
| 9 | MR. CATES: Well, just to follow |
| 0 | up, I mean in Hawaii we have newspaper ads go |
| 1 | out, |

MR. EBISUI: Radio.

COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 MR. CATES: -- radio spots,

television. It's a pretty big participation

that I see. And the Longline Association has

their own association that they're there.

But the general public, they come. It's a

crowded room.

MR. JONER: I think I'd just like to answer that. One thing, you're living on an island and it's kind of limited geographic range. But on the West Coast, there's a meeting in Seattle, you have people fishing all the way from San Diego up to northern Washington and it's a big deal to get that far.

MS. LOWMAN: I think this isn't

NMFS but councils, I think, have gotten worse

over time, maybe it's because of budgets, but

years ago when I was on council staff we'd

try to have the meetings near the area where

the people who were affected by those actions

lived. Now we're having the last final

meeting on an IFQ program for this coast in

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Spokane -- you know? And I think there's bound to be a little bit more of a disconnect to where we even meet and where the issues are.

MR. BILLY: Tony.

MR. DiLERNIA: Thank you. One quick war story and I'll be done. When I was INE chairman for the Mid Atlantic Council we were meeting on Long Island. And the council itself was invited to go out on a fishing trip on one of the fishing boats Fort Capturey (phonetic). Fort Capturey probably has about 20, 25 head boats. And we were scheduled to go out that day fishing.

And it probably blew about 40 knots that day and the rain was coming down sideways, so we canceled the trip. And everybody was tied to the dock. And at the time I think Dick Shaffer had recreational fishery or something like that. And so Shaffer said, "Well, we aren't going fishing, but let's go down to the dock anyway."

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| _ | And we went down to the dock and |
|---|---|
| 2 | 25 head boats captains piled into the cabin |
| 3 | of one boat. And if you know anything about |
| 4 | Capturey, the wheatfish is probably one of |
| 5 | their or was one of their biggest |
| 6 | moneymakers. And by the end of about seven |
| 7 | cups of coffee and before noontime, Shaffer |
| 8 | had them agreeing to, hey, listen, if you |
| 9 | have to completely shut down the fishery for |
| 0 | a couple of years, do it so that if you have |
| 1 | to if that's the only way we're going to |
| 2 | rebuild it, we'll take it. |
| 3 | That was one guy taking on 25, but |
| 4 | it was one on one on a guy's boat and face to |
| 5 | face. That's the kind of stuff you can do. |
| 6 | MR. BILLY: Thanks. |
| 7 | Tony or Dave. |
| 8 | MR. WALLACE: Well, the first |
| 9 | thing I'm going to say, Alan, is that I think |

that there is all the information that anyone

could ever want and I personally am just

overwhelmed with information. I cover two

| 1 | councils and a number of different species. |
|---|---|
| 2 | My biggest criticism is on your website |
| 3 | trying to find some information, and I'm |
| 4 | going to echo Martin's. I use it all the |
| 5 | time and sometimes I get very frustrated not |
| 6 | being able to find the answer to the question |
| 7 | that I want. And most of the time I'm doing |
| 8 | it in the middle of the night or when the |
| 9 | council or the regional office is not open. |
| 0 | So since the computer systems run all night, |
| 1 | then I could be able to find that |
| 2 | information. |
| 3 | The dissemination of information |
| 4 | needs to really come from either your office |
| 5 | or the councils. The councils are the main |
| 6 | line. They're the people who are actually |
| 7 | writing the regulations and then you're |
| 8 | approving them or disapproving them |
| 9 | DR. HOLLIDAY: Not everywhere. |
| 0 | MS. McCARTY: Not everywhere. |
| 1 | VICE CHAIR BALSIGER: Different |
| 2 | models. |

MR. MARTIN FISHER: No, no. no. MR. EBISUI: The committee regulations, recommend regulations. MR. WALLACE: They write the regulations -- well, that's quibbling, we're not going to -- the issue is, is the communications effective? The people who complain about the communications mostly are 10 the people who jump into it at the eleventh hour and, as you said, just don't like the regulations. And we see that a lot. We see 13 that both in the commercial and recreational. And if you want -- and Tony knows it as well as I in the Mid-Atlantic, all you have to do is have a little discussion on flounder and you can fill out this -- you know, a huge auditorium with a whole bunch of recreational fishermen who think that the regulations are

And so I guess the take-home

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awful because they can catch a lot of small

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fish.

| message is I think that there is more than |
|---|
| adequate information. Anyone who really |
| looks at it can find notices, the person in |
| the council who handles a specific species. |
| In the macro way, there's plenty of |
| information. And in a micro way, all you |
| have to do is call the council office or NMFS |
| and talk to someone in the region or in the |
| council who actually is in charge of that |
| species and then they can answer very |
| specific questions if you can't find it |
| online. |
| |

All the fisheries' management plans are online and so -- but sometimes it's really hard to answer the question that you have, so all I would say is have your IT people see if they can improve the -- make it more intuitive to find the information that we're looking for.

MR. BILLY: Ed.

MR. EBISUI: Thank you. I just wanted to say that in the overall scheme of

things the councils really are the front
lines. And in our council no chairman was
ever shy about responding to a question,
because I think the comment was made that
many times people come with as many questions
as they have things to say. So our -- the
principle we operated on was the chair was
free to address any questions. You keep it
short. You don't want to have the
discussions hijacked. But the chair and
staff were always there to respond to
questions, regardless of the setting, be it a
formal general session of the council meeting
or a forum.

The other thing I wanted to say is that I think there are times when it behooves the council members, especially in controversial issues, to articulate what they're basing their vote on. Why are they voting this way? I think it goes a long way in promoting, at least understanding, from the constituents, from the public. At least

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| 1 | they have an understanding of why you're |
|---|--|
| 2 | doing what you're doing. And it shows I |
| 3 | think it's not a star chamber, a |
| 4 | predetermined decision that the council was |
| 5 | going to do this at any given time. |
| 6 | So I think, yeah, we've had a lot |
| 7 | of really contentious, almost physically |
| 8 | dangerous situations in the past, but I |
| 9 | think, by and large, people walked away with |
| 0 | an understanding of why the council did what |
| 1 | it did, although they may not agree with |
| 2 | them. |
| 3 | MR. BILLY: Vince. |
| 4 | MR. O'SHEA: Thank you, Mr. |
| 5 | Chairman. |
| 6 | There have been a lot of comments, |
| 7 | Alan, about you know, your question was |
| 8 | the National Marine Fisheries Service |
| 9 | outreach. And the councils have been |
| 0 | included in many of the comments here. And I |
| 1 | think the reality is that councils and the |
| 2 | councils' outreach problem is a little or |

| 1 | challenge is a little bit different than the |
|----|---|
| 2 | Agency's because from time to time the Agency |
| 3 | is not going to do necessarily what the |
| 4 | council's doing. |
| 5 | So the council provides a good |
| 6 | forum, I think, for things like the regional |
| 7 | administrator to hold listening sessions and |
| 8 | such. But where you guys are, for example, |
| 9 | in New England is not exactly on the same |
| .0 | page as the council and that's by some pretty |
| .1 | fundamental things that aren't going to |
| .2 | change in the law. So just a limit you |
| .3 | know, I think you got to recognize there's |
| .4 | two parallel paths here and you can't put all |
| .5 | your eggs in the council basket. Thanks. |
| .6 | MR. BILLY: Thank you. |
| .7 | Martin. |
| .8 | MR. MARTIN FISHER: Thank you, Mr. |
| .9 | Chairman. |
| 0 | To further that note, I was going |
| 1 | to say that Dr. Crabtree in the Southeast |
| 2 | Region has done an excellent job of bringing |

| 1 | himself and staff, his staff to he's |
|---|---|
| 2 | created Q&As at the end of the council day. |
| 3 | I don't know how many days out of Larry's |
| 4 | gone |
| 5 | MR. SIMPSON: I'm here. I'm just |
| 6 | standing up in the back here. |
| 7 | MR. MARTIN FISHER: Oh. Has he |
| 8 | been every day at the council meeting? |
| 9 | MR. SIMPSON: Generally once. |
| 0 | MR. MARTIN FISHER: At least once. |
| 1 | MR. SIMPSON: Once during the |
| 2 | during it. |
| 3 | MR. MARTIN FISHER: Yeah, but at |
| 4 | least once. He's done an excellent job of |
| 5 | after council, at six o'clock, sitting in the |
| 6 | room, everybody's in a chair, there are no |
| 7 | tables involved, and it's a real town hall |
| 8 | meeting atmosphere. And that's a really good |
| 9 | opportunity for constituents to ask about |
| 0 | or stakeholders to ask about the particular |
| 1 | questions, and he does a good job of that. I |
| 2 | just wanted to make sure that was brought to |

| 1 | light because it's a good model to follow. |
|---|--|
| 2 | MR. BILLY: Okay. I think I'll |
| 3 | have the last word and then we're going to |
| 4 | stop. |
| 5 | VICE CHAIR BALSIGER: I wanted the |
| 6 | last word. |
| 7 | MR. BILLY: Jim can have the last |
| 8 | word after I have something to say. |
| 9 | (Laughter.) |
| 0 | MR. BILLY: I'd encourage you to |
| 1 | look in other parts of the government. And |
| 2 | one of the best examples I can think of, |
| 3 | Alan, would be the Agriculture Department. |
| 4 | They have extensive communication systems to |
| 5 | reach a million cattle ranchers, two million |
| 6 | farmers, the consumer community. They |
| 7 | regulate, so the regulatory process. There's |
| 8 | lots of models. |
| 9 | They have a meat and poultry |
| 0 | hotline. And I think they just passed |
| 1 | something like their five-millionth call in |
| 2 | ten years, or something like that. There's |

| 1 | lots of different systems that are proven to |
|---|---|
| 2 | work over time and might be examples that you |
| 3 | could extrapolate from for fisheries. So you |
| 4 | might want to look around to see what works |
| 5 | in other parts of the government. |
| 6 | VICE CHAIR BALSIGER: Thank you. |
| 7 | I appreciate all those answers. This has |
| 8 | been close to me for a while now and I have |
| 9 | some stories I could tell and I won't, but I |
| 0 | just wanted the last word so I could say that |
| 1 | no one suggested that I start Twittering, |
| 2 | because I wasn't going to do that. |
| 3 | (Laughter.) |
| 4 | MR. BILLY: All right. Okay. |
| 5 | We're now going to break into the work of the |
| 6 | Strategic Planning Subcommittee and the |
| 7 | Ecosystem Subcommittee. And I'm going to |
| 8 | turn it over to Mark to sort of set the |
| 9 | stage. |
| 0 | DR. HOLLIDAY: Thanks, Tom. |
| 1 | Well, we're going to take a break. |
| 2 | That's going to allow everybody up to get a |

going to again use the two different rooms.

And we have, after the people have expressed interest in serving as subcommittee chairs for that, these two committees -- well, for all the committees as well as looking at people's terms and when they expire, we've come to ask Heather to take on the Strategic Planning Budget Program Management Subcommittee and she'll lead the discussion for that group. And the Ecosystem and Climate Subcommittee, Tom Raftican could take on that charge for us.

And we'll split up into the two rooms that we -- the same sort of drill we did yesterday. So after you get a five-, ten-minute break to get your cookies and refreshments, if the Strategic Planning, Budget, Program Management Subcommittee could meet next door in the Colton III Room and the Ecosystem and Climate Subcommittee could stay and meet in this room.

And if you're not sure which room you belong in or should be in, you can come ask me and I'll tell you. If you have to ask, I'll tell you.

MR. BILLY: Okay. Thanks,

everyone.

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MS. McCARTY: Could we get Mark to tell a little bit about what each one of the groups is going to talk about so that people know which one they might want to join?

DR. HOLLIDAY: Right. Well, on the agenda we had put out, prior to the discussion, though we had anticipated that, we designed this agenda so that we'd have these breakout groups and the subcommittees meet in the afternoon. So for the Strategic Planning, the topics that we covered yesterday and today that seemed highly relevant were the transition plans, what's happening in NOAA, the budget reporting out, the performance metrics that Alan spoke of earlier this afternoon, the discussion we

just concluded on communications, and clearly
Paul's presentation on NOAA's strategic
planning, right up that alley.

On the Ecosystem Climate Subcommittee, the specific topics of ocean acidification, Bigford's presentation on energy -- again, the committees can choose to do all these issues, none of these issues, or some entirely different issue. But that was how it was designed, was that to get into some more detailed discussion on a smaller group that you could come look at findings or was there movement towards a particular action that you'd like to see taken, were there questions that didn't get resolved. Do you want to make some findings and recommendations? Because tomorrow's agenda is the report out from the subcommittees.

So we've gone from the big group to the subcommittees to come up with findings, recommendations, actions. Bring them back to vet them in front of the big

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| 1 | group, reach a consensus, take the vote, have |
|---|---|
| 2 | those motions recorded and documented, and |
| 3 | then move onto going onto our next meeting. |
| 4 | Does that make enough sense to get |
| 5 | some direction? |
| 6 | Now we have the rooms beyond we |
| 7 | can go past five o'clock if you're earnestly |
| 8 | engaged in discussions and you want to work a |
| 9 | little longer. But that's entirely up to the |
| 0 | participants in the rooms. |
| 1 | MR. BILLY: We would expect no |
| 2 | less. |
| 3 | DR. HOLLIDAY: Tanks. |
| 4 | (The MAFAC meeting recessed for |
| 5 | the day at 4:01 p.m. to resume May 14, 2009 |
| 6 | at 8:30 a.m. The meeting of the Ecosystem |
| 7 | and Climate Subcommittee was recorded and |
| 8 | transcribed, and is contained below.) |
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ECOSYSTEM & CLIMATE SUBCOMMITTEE

Wednesday, May 13, 2009

8

The Ecosystem & Climate Subcommittee of the Marine Fisheries Advisory Committee met in the Colton Rooms I and II at the Monterey Conference Center, One Portola Plaza, Monterey, California 93940, at 4:18 a.m., Tom Raftican, Chair, presiding.

#

MEMBERS PRESENT:

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RANDY CATES

BILL DEWEY

PATTY DOERR

ERIKA FELLER

CATHERINE L. FOY

STEVE JONER

TOM RAFTICAN, CHAIR

VINCE O'SHEA

4 ERIC C. SCHWAAB

DAVID H. WALLACE

2

2|/ 2|8

2|8

ALSO PRESENT:

JAMES W. BALSIGER

TOM BIGFORD

JESSICA M. DUTTON

MARK HOLLIDAY

SAM RAUCH

ALAN RISENHOOVER

JOHN STEIN

CHARLIE WAHLE

P-R-O-C-E-E-D-I-N-G-S

(4:13 p.m.)

CHAIRMAN RAFTICAN: Welcome to the Ecosystem and Climate Subcommittee Meeting, Special Planning. This meeting's going to be a little more informal than the normal MAFAC meeting, so I suspect that beyond having refreshments across the way we'll have a little bit more open communication, so reasonable cross talk is not only encouraged.

How many people were felt that this morning seemed to be a very sobering morning? And I mean I looked around the room a couple of times and it was like, wow.

There were just absolute looks like absolutely full hands there. And I think that was the overwhelming thing that I took away from the meeting.

We're asking to bring forward recommendations to the Secretary. And when you start looking in terms of the things we've got in front of us, it's a pretty

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daunting task right now.

Before actually getting into this
I think probably a good idea is to go around
the room and we've got some -- I think we've
got all members here, or... Charlie.

I'm looking, Larry had some comments, Steve Joner had some comments.

Eric had some comments this morning that I thought might get carried over to the meeting. Maybe open this up with -- you talked a little bit about coming forward with positive recommendations as opposed to -- you know, and we see a lot of don't do this and don't do that. A good place to start?

MR. SCHWAAB: Well, I don't know.

To think about this in a useful context, it just seems to me, I mean there are a couple of issues that might be relevant here. One is this -- and partly for effect I think accused Tom of being a bit reactive as opposed to proactive or a big project-specific as opposed to systematic in

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addressing some of the alternative energy or energy-related issues out there. So that's a piece of it that I think is this kind of systems broader sort of strategic systems-based approach that needs to take place there.

But I think what you're asking me for is, you know, this concept that whenever you try to do that what happens is you get all of the interests, specific interest areas coming out saying, well, this thing is a bad idea for all of these reasons and that thing is a bad idea for all of these reasons, and instead of coming out with things that offer comprehensive solutions you come out with -- essentially just -- you've created focal points for opposition. Or it's probably not helping at all.

CHAIRMAN RAFTICAN: Well, we've got an ecosystem based -- you know, how do we take an ecosystem-based approach to management? And it's kind of easy to point

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| 1 | out ways that systems don't work, but I like |
|----|--|
| 2 | the idea that you came forward with let's |
| 3 | look at proactive ways of going forward with |
| 4 | something like that. I mean this isn't |
| 5 | we're at an interesting time here. I mean |
| 6 | this is not you got a change in |
| 7 | administrations, is one thing. But on top of |
| 8 | that really trying to implement it and |
| 9 | honestly having an opportunity to implement |
| 10 | an ecosystem-based management, I'm not sure |
| 11 | anybody's actually defined it, let alone try |
| 12 | to implement something like that. |
| 13 | So I was trying to pull things out |
| 14 | this morning. What are some of the small |
| 15 | steps you take along the way on how do you |
| 16 | start how do you start making positive |
| 17 | recommendations on things to do to move |
| 18 | forward on something like this? |
| 19 | MR. CATES: I see several |
| 20 | different subjects. Which one are you |
| 21 | specifically referring to? |

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CHAIRMAN RAFTICAN: Well, I think

| 1 | starting off the things we were looking at |
|----|--|
| 2 | this morning: Ocean acidification, energy |
| 3 | governance, spatial planning, governance |
| 4 | and spatial planning I think are probably |
| 5 | closer to it. I think the sobering thing was |
| 6 | the ocean acidification, which puts this all |
| 7 | in the background. So if you want to take |
| 8 | these one at a time and issues, which one |
| 9 | would you like to address? |
| 10 | MR. CATES: Well, if ocean |
| 11 | acidification is a reality, then it seems to |
| 12 | me we better go out there and catch |
| 13 | everything we can now, put all the energy |
| 14 | projects out there, and look at land-based |
| 15 | stuff. |
| 16 | MS. FOY: Okay, Tom, I got speak |
| 17 | to that one. |
| 18 | MR. CATES: If it's not a reality, |
| 19 | |
| 20 | CHAIRMAN RAFTICAN: Okay. |
| 21 | (Laughter.) |
| 22 | MR. CATES: If it's not a reality, |

then -- I mean they're different issues, so that's why I asked. I mean ocean acidification is one issue that if we're going to talk about, we should say what are the recommendations we could make. Energy is a completely separate issue to me, and the issues relating to fisheries and recommendations. So they're all individual, in my opinion.

individual, and the other thing is we've got to look at this in terms of living systems that our recommendations come in on. And ocean acidification, I guess, addresses them across the board. And I'm not sure that -- like I said, sobering was -- this is something that -- you know, talking to Bill, I mean this is something that really is taking effect right now.

MR. CATES: Let me follow up that real quick.

CHAIRMAN RAFTICAN: Okay.

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MR. CATES: One of the things I wanted to say this morning and I didn't get across is when we're talking about energy, spatial planning, and governance, we've got to get away from aquaculture. We've got to get more into seafood production. And I don't -- it bothers me that we're narrowing it down and it's an either-or issue right now. To be honest, we're losing the battle on aquaculture. It's very clear. But we've got to get back to how NOAA and Commerce, how

Now in my opinion once we commit to getting back to production on seafood, that's our goal and commitment with NOAA and Commerce, aquaculture will be part of that. But also how can we enhance our existing fisheries? Can we improve not just by conversation, but are there things that we can do to improve our fisheries, whether it be habitat creation, I mean artificial reefs. There's a whole host of things.

we produce more seafood.

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And over the last couple years
we've gotten focused into not really looking
at how we're going to produce more seafood.

If we're going to conserve the ocean
resources and we're not going to have
aquaculture and we're going to import
everything. We got to get away from that
somehow.

MS. FOY: Tom, if I may?

CHAIRMAN RAFTICAN: Yes, please.

MS. FOY: I think my comments kind of follow up on Randy's. As an ecologist, I am concerned when someone talks about conservation because that to me indicates that they view the system as static. I would like NOAA to take the lead in encouraging our coastal communities to become flexibly sustainable.

The fishery stocks, we don't know what they're going to do, but they're going to change, and we need fishery management and a fisheries industry that rolls with the

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punches and maintains the food supply to the nation.

So I don't know if that disaster relief is kind of linked. I would expect that we are going to have a series of ocean acidification disasters. I don't know if we need to provide a way for our infrastructure and our commercial fisherman to move in between stocks without -- part of the problem with the queues as you have a huge outlay of money for a permit for a single species. As species fluctuate, we need to get our fleet and our workforce shifted between the available product. I don't know how we're going to do that. I'm not a sociologist or an economist. I leave that to Dorothy.

CHAIRMAN RAFTICAN: Bill, Erika, any comments on that?

MS. FELLER: I guess I have one comment on that and then another thing that just sort of occurred to me as I was listening to the presentation this morning --

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1 I guess maybe -- I guess I'll comment on that 2 one first. The thing --MR. WALLACE: Could everyone speak 3 There's a fan running here and it's hard up? 4 to hear. 5 MS. FELLER: Oh, sure. I can talk 6 real loud, but I won't. 7 I guess the thing that strikes me 8 about what Cathy just said is if you take 9 10 like an ecosystem-based or some type of marine spatial-planning approach, one 11 observation I've seen, especially from 12 13 terrestrial conservation, is once you have a broader kind of regional framework, it opens 14 up a lot of opportunities in terms of scale. 15 I think that in terms of 16 management of fisheries, in particular, just 17 using fisheries as an example, is a lot of 18 19 those decisions are made at a really high scale, in a way that they can't consider 20 individuals of different social or economic 21

circumstances that consider that stocks may

| 1 | be variable, different fisheries may vary |
|----|---|
| 2 | between different places. And I think if you |
| 3 | have some kind of framework, you end up being |
| 4 | able to maybe open up the possibility for |
| 5 | more fine-scale management that could do |
| 6 | things, like maybe allow the workforce to |
| 7 | shift around within a particular place. If |
| 8 | you need a regional fishery management |
| 9 | council to meet and make a decision every |
| 10 | time that happens, it's going to take a year |
| 11 | every time it happens, when it's really a |
| 12 | decision that probably ought to be able to be |
| 13 | made on a month-by-month basis. And right |
| 14 | now our system doesn't do that, and so |
| 15 | there's part of me that thinks that that's |
| 16 | kind of part of the management system that |
| 17 | needs to be built in. I know I'm not |
| 18 | explaining this very well. |
| 19 | But the second thing if I could |
| 20 | just offer that struck |
| 21 | CHAIRMAN RAFTICAN: Sure. |

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MS. FELLER: -- me about what I

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| 1 | heard this morning is maybe I'm just |
|----|---|
| 2 | following the trail of bread crumbs that Mark |
| 3 | very cleverly laid out in front of me, but on |
| 4 | one hand I saw a presentation on ocean |
| 5 | acidification. The message I took away from |
| 6 | that is if we don't find alternative ways to |
| 7 | produce energy for the energy we're going to |
| 8 | have a problem with our oceans. We may |
| 9 | already have a problem with the oceans that |
| 10 | we're seeing that's already manifesting the |
| 11 | shellfish industry, which is just going to |
| 12 | get worse |
| 13 | MS. FOY: Let me let me address |
| 14 | that one when you're done. |
| 15 | MS. FELLER: as this stuff |
| 16 | cycles but on the but related to that |
| 17 | we also have this issue of siting of |
| 18 | renewable energy facilities. Where do you |
| 19 | decide to put those things? |
| 20 | So, on one hand, you're kind of |
| 21 | you're either going to lose your fish because |

they're all going to dissolve in our acidic

oceans or you're going to lose all your fish because you can't go fishing in that place because there's a tidal energy facility plopped right in the middle of it. And so -- and then sort of take one more step which is that Americans eat more than 16 pounds of seafood -- you know, what is it, per year --

DR. BALSIGER: That's it.

MS. FELLER: -- I can't remember exactly what the number is. But Americans are eating seafood. We're importing a heckuva a lot of it from outside the U.S. I sort of like the idea of it coming from the U.S. because we tend to manage it a lot better than a lot of other countries do.

You suddenly find yourself with a lot of different pieces that you need to move around the board in balancing and competing -- balancing these different competing priorities for uses in the ocean, to me all points to the fact that you got to have a plan.

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1 CHAIRMAN RAFTICAN: And --MS. FOY: Okay. Let me address 2 that, Erika. My point is that in a 3 biological system nature doesn't like a 4 vacuum. It's not going to be that the fish 5 go away. It's going to go that there is an 6 7 open niche and some species is poised to exploit it. And we're going to have a 8 fluctuation of the composition of this fish 9 10 species, but there will still be fish --MS. FELLER: But is it going to 11 fin fish or is it going to be jellyfish? 12 13 MS. FOY: -- or there will still be some kind of protein -- huh? Is it going 14 15 to be fin fish that's palatable? I don't 16 know. CHAIRMAN RAFTICAN: We might have 17 to learn to eat jellyfish. 18 19 MS. FOY: I don't know, but that's what I intended with the flexible 20 sustainability. We don't really know where 21

the opportunities are going to pop. It may

not be on a community-to-community basis. We may have entire sections of our coastline that don't produce on a commercial level anymore. But somewhere we're going to have something. We just need to be poised to take advantage of it.

CHAIRMAN RAFTICAN: Bill.

MR. DEWEY: Some broad thinking on our task here this afternoon. You know as I look at each of these four things that are on our agenda, none of them are covered in Vision 2020. Not directly, anyhow. So it almost seems like it definitely begs for advice from this body, you know, whether it's an addendum to Vision 2020 or whether it's specific recommendations around these. But I also see it as a pretty big charge this afternoon to try to come up the recommendations in all four of these areas.

Then again we've got the resources here. These experts in these areas have been brought and presented to us. So it may be

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possible to go through each of these four areas and, with their help, come up with some broad general recommendations. I think it's important that we be prompt because, as we learned, the energy projects are in the queue. I mean things are going on in all of these arenas right now that NOAA would benefit from advice on, more urgently than not. So just some general observations.

CHAIRMAN RAFTICAN: Let's go one more time around.

MR. SCHWAAB: Well, it just seems to me, I mean you look at some of these issues and I take like some of the climate issues and the ocean acidification, and they're really -- they're global issues. And while they might have sort of localized management implications or localized system implications, dealing with them is not a -- dealing with the problem is not a regional challenge. You know, you're sort of a fork in the road.

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| 1 | There's these sort of big global |
|----|---|
| 2 | challenges and then there are these numbers |
| 3 | of issues that call out for some kind of a |
| 4 | regional, a more comprehensive regional |
| 5 | planning approach that goes beyond sort of |
| 6 | the classic silos of fisheries management or |
| 7 | placement of offshore energy facility or |
| 8 | location of aquaculture facilities, or |
| 9 | whatever. So it sort of begs this question |
| 10 | if you is there merit in sort of |
| 11 | advocating, as you see in like the Joint |
| 12 | Ocean Commission recommendations, is there |
| 13 | merit in going down this road of some kind of |
| 14 | more comprehensive regional governance |
| 15 | structures for coastal and ocean areas on a |
| 16 | landscape scale, for lack of an aquatic |
| 17 | CHAIRMAN RAFTICAN: Spatial. |
| 18 | MR. SCHWAAB: Right. Which is not |

MR. SCHWAAB: Right. Which is not something that you're going -- and it's not a road you're going to go down to deal with ocean acidification or climate, because those are global challenges. They might be in fact

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19

20

21

| 1 | more important in the big scheme of things. |
|----|---|
| 2 | But it's kind of an important fork in the |
| 3 | road, I think. And for the purposes of this |
| 4 | group, making some headway on some things, we |
| 5 | have to choose at least additionally which |
| 6 | fork we're going to take. |
| 7 | CHAIRMAN RAFTICAN: Cathy. |
| 8 | MS. FOY: I guess what I'm trying |
| 9 | to say, Eric, is that flexibility needs to be |
| 10 | built into the system at this point, before |
| 11 | we deal with the into the management |
| 12 | system. |
| 13 | MR. SCHWAAB: The fisheries |
| 14 | management system? |
| 15 | MS. FOY: The fisheries management |
| 16 | system needs to be able to flex as we |
| 17 | encounter changes in our stocks |
| 18 | CHAIRMAN RAFTICAN: I think we get |
| 19 | into an area and this is |
| 20 | ecosystem-based management, again, is a |
| 21 | massive shift in the way we approach things. |
| 22 | And thank you, Eric, you caught us a little |

| 1 | bit closer on this. When you talk |
|----|--|
| 2 | ecosystem-based management we're talking |
| 3 | essentially types of regional planning, |
| 4 | spatial, defined in terms of aerial |
| 5 | definitions as opposed to species |
| 6 | definitions. And I think this touches on |
| 7 | some of the stuff that Cathy was getting at. |
| 8 | I would ocean acidification |
| 9 | jumps all these boundaries. Does anybody |
| 10 | have a problem setting that off to the side |
| 11 | today? We're not going to solve all of these |
| 12 | issues this afternoon or this month or this |
| 13 | year, so |
| 14 | (Laughter.) |
| 15 | CHAIRMAN RAFTICAN: can we take |
| 16 | the worst one and kind of set it off the |
| 17 | table right now? |
| 18 | MR. CATES: I agree. |
| 19 | MS. FOY: Just let it be the 800- |
| 20 | pound gorilla |
| 21 | MR. WALLACE: My suggestion is |
| 22 | that we agree that it's a problem and then |

| 1 | dispense with it. We have no idea how to |
|----|--|
| 2 | solve that problem. |
| 3 | CHAIRMAN RAFTICAN: Okay. |
| 4 | Alright. We agree, all right. That's good, |
| 5 | we start off on agreement here. |
| 6 | MS. FELLER: But before we do that |
| 7 | there are probably things that this body |
| 8 | could recommend that the Secretary do on |
| 9 | ocean acidification. I mean I heard some |
| LO | things in John's presentation that were |
| 11 | definitely actionable. There's a lot about |
| L2 | this problem that we don't understand. |
| L3 | MS. FOY: There is not, but a lot |
| L4 | of the problem, Erika, is going to come from |
| 15 | funding. |
| L6 | MS. FELLER: Right. |
| L7 | MS. FOY: And right now in this |
| 18 | administration funding is not certain, and |
| L9 | I'm preaching to the choir because you're |
| 20 | sitting next to Jim. So we need money to do |
| 21 | it. And we need to start |
| 1 | |

MS. FELLER: But if it's a

| 1 | question of priorities then I mean it seems |
|----|---|
| 2 | like |
| 3 | MS. FOY: It is, but I really |
| 4 | think that the voice for that needs to come |
| 5 | from our constituents and not directed to |
| 6 | NOAA again. We need to start being very |
| 7 | vocal about this issue, so that our senators |
| 8 | know what's going on. |
| 9 | CHAIRMAN RAFTICAN: Bill and then |
| 10 | John. |
| 11 | DR. STEIN: I think Cathy's hit a |
| 12 | good point. Third party validation, this is |
| 13 | important, is good. Perhaps, though, I mean |
| 14 | the FOARAM Act was just passed, I mean just |
| 15 | an acknowledgement by MAFAC that they |
| 16 | recognized that. They would like to see |
| 17 | action because we think this is an issue that |
| 18 | is important to you and MAFAC and should be |
| 19 | addressed. You know, sort of getting in |
| 20 | these and then Cathy's right, the other part |
| 21 | of building the support then for that. |

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Right.

CHAIRMAN RAFTICAN:

22

Any

| 1 | comments on that |
|----|---|
| 2 | DR. STEIN: Jim can say if I spoke |
| 3 | out of turn. |
| 4 | CHAIRMAN RAFTICAN: And, Bill, we |
| 5 | know this is a big problem. I think that |
| 6 | there probably are other committees that can |
| 7 | address this more specifically than an |
| 8 | ecosystem-based approach. I mean this is |
| 9 | something that is going to be very particular |
| 10 | to the shellfish industry right from the get- |
| 11 | go. Let's highlight it and try and focus on |
| 12 | one of the other things you're talking about: |
| 13 | How do we sit down, how do you we've got |
| 14 | eight councils trained on basically single- |
| 15 | species management and trying to stretch to |
| 16 | ecosystem-based management. |
| 17 | What about suggestions on what are |
| 18 | the first steps towards moving in that |
| 19 | direction? |
| 20 | Patty. |
| 21 | MS. DOERR: Science. |
| 22 | MS. FOY: The same word I was |

| 1 | going to say: Science, science, science. |
|----|--|
| 2 | MS. DOERR: I mean there is a huge |
| 3 | lack of science when it comes to |
| 4 | ecosystem-based management. It's probably |
| 5 | more than I can even articulate, but I think |
| 6 | you need to have the basic information of: |
| 7 | Where is the habitat, what kind is it, what |
| 8 | kind of condition is it in, the fisheries |
| 9 | that depend on it, what condition are they |
| 10 | in, what's the uses. I mean it goes it's |
| 11 | kind of the whole bottom up from literally |
| 12 | the sea floor, what's there and what kind of |
| 13 | condition is it in, and what's the impact |
| 14 | from above by the users. Anything |
| 15 | CHAIRMAN RAFTICAN: So I hear you |
| 16 | talking about an inventory. |
| 17 | MS. DOERR: Yeah. |
| 18 | CHAIRMAN RAFTICAN: And we start |
| 19 | looking at each one of these the council |
| 20 | basis are a good are those the regions |
| 21 | that we start with? |

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MS. FOY: No, Tom, to tell you the

| 1 | truth |
|----|---|
| 2 | CHAIRMAN RAFTICAN: If you make a |
| 3 | |
| 4 | MS. FOY: that is not my area. |
| 5 | I am not a savvy management person, but it's |
| 6 | a start there. |
| 7 | CHAIRMAN RAFTICAN: Okay. |
| 8 | MS. DOERR: I think they play a |
| 9 | role in terms of the fisheries aspect of it, |
| LO | but I think it's greater than just the |
| 11 | regional councils, the regional fishery |
| 12 | management councils. I think it's |
| L3 | CHAIRMAN RAFTICAN: No, I think |
| L4 | you make a recommendation. But I'm saying |
| L5 | are those the regions that, you know, you do |
| L6 | an inventory each |
| L7 | MS. DOERR: Oh, do all of the |
| 18 | CHAIRMAN RAFTICAN: each |
| L9 | council as an individual region? Does is |
| 20 | a good where is the first step here? I |
| 21 | mean we're asking for an inventory, you can't |

simply say, well, let's inventory everything

| 1 | across the EEZ. |
|----|--|
| 2 | MS. DOERR: So do you mean |
| 3 | CHAIRMAN RAFTICAN: We've got to |
| 4 | describe smaller bites. |
| 5 | MS. DOERR: So is the question, |
| 6 | how do you break it up into an ecosystem and |
| 7 | would each the jurisdiction of each of the |
| 8 | councils count as an ecosystem? Is that |
| 9 | CHAIRMAN RAFTICAN: I'm asking |
| 10 | that. I think that would follow |
| 11 | MS. DOERR: Yes. |
| 12 | CHAIRMAN RAFTICAN: closer to |
| 13 | the oceans and Pew Commission's reports. |
| 14 | Dave. |
| 15 | MR. WALLACE: I can tell you that |
| 16 | ecosystems do not follow council |
| 17 | jurisdictions and when that councils' |
| 18 | jurisdictions were drawn, that wasn't a |
| 19 | consideration whatsoever. It's |
| 20 | CHAIRMAN RAFTICAN: Critical |
| 21 | boundaries. |
| 22 | MR. WALLACE: In New England, for |

example, there are a couple of separate ecosystems within its -- that council's boundaries and some of them overlap into the Mid-Atlantic and some of them overlap into Canada, so.

CHAIRMAN RAFTICAN: California's got two. I understand, but I'm just saying where do you start with this? I don't see us dividing the councils, making recommendations to divide the areas of the councils again.

MS. FOY: Oh, no. I think that would be a bad thing.

MR. SCHWAAB: Well, I guess the more basic question is if you decide that ecosystem-based management is your biggest challenge or the councils are the entities that are best equipped to serve as a starting point to deal with that, and let me just -- you know, there was a guy at Virginia Institute of Marine Science, Robert Diaz, who's done all the big coastal anoxic zone work back in the '70s and began it very

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recently. One of the things he said was really interesting, he said addressing overfishing was the fisheries management challenge of the twentieth century and dealing with habitat decline is the fisheries management challenge of the twenty-first century.

And a lot of the habitat decline, which is I mean certainly with respect to anoxic zones and -- you know, start upland and in the coastal inshore areas, and it really begs this question of integration across agencies, integration from the headwaters of these watersheds through the coastal zone and out into the EEZ, at least initially for our purposes. And so -- and I think if you look at the Joint Ocean Commission recommendations, it talks about sort of that kind of an integrated response to ecosystem health and management at the landscape scale.

So it seems to me that it's not

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the councils, it's maybe going back to that

Joint Ocean Commission recommendation and

starting to look at some of the pieces that

exist there and determining whether, in fact,

we think it's a good idea to integrate across

agencies to integrate reauthorization of the

Coastal Zone Act with some of these

interstate governors' commissions that are

developing around the country with some of

the existing fishery management council work.

CHAIRMAN RAFTICAN: And I think actually twice this morning and Mark in particular did a very good job of laying out something on that order of how do you start moving and how do you really start taking a little bit different look at some of this stuff. Maybe not totally in the watershed aspect of it, but really taking a different approach to this than we have from the councils. You know, taking that Joint Ocean Commission approach.

Does that seem to make sense to

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| 1 | folks? Why then |
|----|---|
| 2 | MS. FOY: Well, I would turn the |
| 3 | question around and ask the NOAA folks here |
| 4 | because they know what works in their own |
| 5 | system: Is that something that's going to |
| 6 | work or by doing that, making a are we |
| 7 | going to make a recommendation that's going |
| 8 | to turn around and bite us. |
| 9 | CHAIRMAN RAFTICAN: That's why I'm |
| 10 | throwing this out and this is why I'm trying |
| 11 | to make sure that before we start moving away |
| 12 | from a council-based recommendation, that at |
| 13 | least the folks in this room have got a |
| 14 | pretty fair idea of the direction that we're |
| 15 | going before we even open the door to them. |
| 16 | Everybody |
| 17 | MS. FOY: Don't all of you speak |
| 18 | at once. |
| 19 | CHAIRMAN RAFTICAN: Mark, you want |
| 20 | to add something on this? |
| 21 | DR. HOLLIDAY: I have been working |
| | 1 |

on ocean acidification, but...

(Laughter.)

DR. STEIN: I'll take a whack at it from the science side. Patty brought up two components to this. You're talking about two components to it. There's about building the information and the tools needed, and then there's a governance structure that would then take those tools and information and use and hopefully act upon them. So to me it's a two-part question, but I'm a science guy, so.

But I think Patty brought it,
there's an inventory, there's that kind of
thing, but there's the tools and the
ecosystem models that are needed to
illuminate these tradeoffs, whether it's
meaning spatial planning, energy siting, the
larger context of how ocean acidification
could interact with any one of these, and an
ability to illuminate those tradeoffs could
make it apparent to the governance, whatever
governance structure, is important. So one

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could get started on the science of it as the governance part's being sorted out so that the tools that Charlie's working on and others are working on and we're all working on would be more -- instead, oh, we've got it, now give us something, and we're not there.

MS. FOY: Right.

DR. STEIN: So but there's more than an inventory. There's a whole component of how you take that information, the things that Charlie's doing, then how do you fit it through a model, illustrate the tradeoffs, evaluate the different management strategies, the successful tools --

MS. DOERR: I think there's a slew of layers to it. I mean you also have to look at -- you know, I think healthy coastal -- some people would say healthy coastal ecosystem also include healthy coastal communities. And so within those tradeoffs is, okay, what impact your governance

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decision is going to have on the health of a local -- you know, the socioeconomic impacts on a local community. And so it -- and the -- you know, the foundation of all of that is that to have the science and data necessary to be able to make those decisions judge.

CHAIRMAN RAFTICAN: Sam.

MR. RAUCH: So in terms of the role the councils have in this process, I think that what we're talking about here is more than just the fishery management councils, you know, health of the habitat and everything. And I don't think the councils are well positioned — they're well positioned to be an input into this process, but not in terms of governance, other than they're dealing with the fishery part of it. That should be an input into the larger governance structure. I don't think the councils will be that large a governance structure.

We're going to need to create

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| something new, and that's what the Ocean | |
|---|-----|
| Commission has said. I think that's where | е |
| the administration is likely to go. I do | n't |
| think the councils will they want to be | e a |
| player, but they're not the structure. | |
| They're not the forum for which all these | |
| decisions will be made. And so I do thin | k |
| that this group could think outside the | |
| council box in terms of if that was the | е |
| question in terms of what solutions you | u |
| might look at. | |

DR. BALSIGER: Specifically don't put council members on this committee. It's against the -- I don't know if it's against the charter or against the standard operating procedure, whatever, but I do believe that the councils will reluctantly be subservient to any larger group. They may not have a choice, but they will fight that if they think that they have control over fishing in the waters.

They aren't going to like it if

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| 1 | some bigger group says, you know, the council |
|----|---|
| 2 | recommends long-line fishing over here in |
| 3 | this area and some larger group that has |
| 4 | regional governance says, 'Well, that's nice |
| 5 | that you recommend that, but that's where |
| 6 | we're going to have the little toxic waste |
| 7 | dump' or 'That's where we're going to have' |
| 8 | what do you call those little machines |
| 9 | that drive around on the water. The skidoo's |
| 10 | are |
| 11 | MS. DOERR: Live ammunition |
| 12 | DR. BALSIGER: They will fight |
| 13 | that, and I don't know where that |
| 14 | (Laughter and multiple comments.) |
| 15 | MS. DOERR: Well, and that kind of |
| 16 | brings up the larger issue of, you know, the |
| 17 | role of the councils and the role of the |
| 18 | existing structures, the entities that make |
| 19 | decisions when it comes to ocean management. |
| 20 | Just use the council as an |
| 21 | example. If Oceans 21, whatever, goes |
| 22 | through and we have a whole new regional |

governance structure, does this new regional

-- does a new regional governance structure

have veto power over councils and management

decisions when the councils -- when they're

the ones that have the expertise when it

comes to fisheries management? You know, can

they go and overrule a decision to have a

toxic waste dump where there's prime long
line fishing opportunity?

It's kind of -- in looking at a larger regional governance structure, just how much power does that structure have and are you then therefore limiting the power of the entities that have the expertise in the councils?

CHAIRMAN RAFTICAN: Sam.

MR. RAUCH: So I will share with you some of my thoughts on this exact point, about how you would deal with it, because right now you've got a lot of agencies in states that have jurisdictional authority.

And they're not going to get rid of it. I

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don't think it's politically -- I don't think that's likely to happen, that you completely overhaul the structure and make everybody answer to some central command.

What I think is possible is to have this regional group, whatever it's called, come out with the plan have the states and the federal government participate and it's maybe something like a CZMA coastal plan where it reaches from the shore out into the ocean. And they do the plan and in the plan they make decisions about that. And the plan gets blessed, maybe by Congress, but somehow it gets blessed. And then you send it back to the council and say: You can allow fishing anywhere you want, but it's going to be consistent with that plan.

And you go to the Corps and you say: You can allow development anywhere you want as long as it's consistent with that plan.

And so you don't have that

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Commission involved in the day-to-day allocation decisions. They set up the plan, they did the zoning, and then they're out of it unless the plan needs to be periodically be readjusted. But then you go back to the jurisdiction of the agencies or states that originally had that jurisdiction, and they still should have it. They just have to be consistent with some broader regional group.

I think that's -- that would be a difficult lift in Congress, but I think that is possible. I don't think it's possible and it will die on the vine if you have a central agency that everybody has to answer to and that will make all the decisions.

CHAIRMAN RAFTICAN: Randy.

MR. CATES: Can I shift gears for a second? You have four topics here.

Specifically to the energy and the 2020 plan, can we make amendments to the Vision 2020 -- that would be a question, because you said that these aren't really listed in there.

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| 1 | So one of the recommendations I |
|----|---|
| 2 | would have for this MAFAC and for NOAA and |
| 3 | the Secretary is: Take a leadership role |
| 4 | with respect to energy and fisheries, we |
| 5 | should find ways to ally and find mutual |
| 6 | benefit with energy projects. We should be |
| 7 | proactive in that and not adversarial. |
| 8 | CHAIRMAN RAFTICAN: Randy, I think |
| 9 | you're right on the money, but I want to |
| 10 | follow up where Sam was going because what it |
| 11 | does is allow us to do something like that. |
| 12 | If you set up this regional you said a |
| 13 | regional plan, a regional group, regional |
| 14 | governance. |
| 15 | MR. CATES: But in reality how |
| 16 | long will that |
| 17 | CHAIRMAN RAFTICAN: Part of the |
| 18 | deal is |
| 19 | MR. CATES: I mean we're talking |
| 20 | about a major change. Well, we have a |
| 21 | document right now that we could just |
| 22 | CHAIRMAN RAFTICAN: This is I |

| 1 | didn't go around the room a couple times by |
|----|---|
| 2 | accident. This is a new group. You know |
| 3 | we're coming to recommendations that are |
| 4 | significantly different than the terrain that |
| 5 | has been there for 30 years. So I understand |
| 6 | that when you're breaking ground you better |
| 7 | to be on fairly solid ground. |
| 8 | And I think where Sam's coming |
| 9 | from is you could set up a structure to do |
| 10 | that. And what you've got is one of those |
| 11 | parts within the structure. At the same time |
| 12 | what Cathy's saying is another part that fits |
| 13 | nicely within the structure. We're trying to |
| 14 | design that big structure. Energy is one of |
| 15 | the parts of it. |
| 16 | Does that make any sense? |
| 17 | MR. CATES: It doesn't seem |
| 18 | practical to me in a timely manner that |
| 19 | that's |
| 20 | CHAIRMAN RAFTICAN: We got to |
| 21 | start somewhere and I think if you Dave. |
| 22 | MR. WALLACE: It's not going to be |

timely because it's going to take legislation that is going to be considered because there's no way that the administration can just arbitrarily set up these regional groups without some authority. The only way that they can get -- they probably can't regulate the authority, so they're going to have to have legislative support in that authority. And then there going to have to get all the states to buy into it to make it a cohesive plan.

And so there's no way you can do it quickly. It's -- I think that what we need to do is enunciate an overarching policy that we would support this, whatever it is, these regional entities which literally set up the designations of different areas in the ocean for different functions and they would have to have the authority to do that. And that's going to take --

CHAIRMAN RAFTICAN: Well, that's what -- yeah, --

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| 1 | MR. WALLACE: That's going to take |
|----|--|
| 2 | legislation. And Randy's right, you know it |
| 3 | would be great to do it quickly, but there's |
| 4 | no way that's going to happen. |
| 5 | CHAIRMAN RAFTICAN: I think we got |
| 6 | a strategic plan coming down the line, and I |
| 7 | feel very bad that we didn't spend more I |
| 8 | didn't spend more time doing homework on |
| 9 | Mark's paper and actually Paul's paper |
| 10 | before, but the keys are there. And, again, |
| 11 | this is not putting I'm not proposing, |
| 12 | this is not AB 4422. This is how do you put |
| 13 | together a what does it look like from a |
| 14 | distance. |
| 15 | MR. WALLACE: Yeah, from 30,000 |
| 16 | feet. |
| 17 | CHAIRMAN RAFTICAN: So from |
| 18 | 30,000 feet. |
| 19 | MR. WALLACE: Right. |
| 20 | CHAIRMAN RAFTICAN: Mark, you were |
| 21 | going to say something? |
| 22 | DR. HOLLIDAY: Yeah. I was trying |

to sort of synthesize what I've been hearing and I think you might break some of this down into shorter-term --

CHAIRMAN RAFTICAN: Yes.

DR. HOLLIDAY: -- and longer-term actions or recommendations. So I think in the short term the administration does have a tool through executive order that they can make policy statements and implement changes that govern under these limited authorities, actions that the executive branch can carry out. So I think there are some things that could be done without a long, protracted -- not a whole new regime for management in the regional oceans, clearly, but there are things that in the short term that the administration could react to.

So you might look at things with respect to ocean acidification and energy and baseline work for marine spatial planning that you'd want to make a finding or recommendation, depending on what your

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consensus view is, that could be taken forward and provide advice. Because these things -- I mean clearly these are activities that are on the train that has left -- almost left the station of the administration.

Regional ocean governance, marine spatial planning, these are clear signals that these are things that they're going to do. And so your opportunity as MAFAC is to say, you know, what do you think of those things and how would you want to influence some of the more details of how that would be carried out.

And John was saying earlier he made some findings and recommendations in his ocean acidification briefing about we've got this new legislation that's passed, there are some missing gaps in research that are a priority, and how does the knowledge that you gain today about the impacts of ocean acidification impact this longer-term view you have about ecosystem-based management.

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| 1 | On the longer term, the regional |
|----|---|
| 2 | governance perspective, you know, Sam's |
| 3 | share his model of how this might work. And |
| 4 | NOAA teams have looked at this in the past |
| 5 | and we called this first thing that he's |
| 6 | talked about, this regional marine ecosystem |
| 7 | strategy, where there is a federal strategy |
| 8 | that all of the different players who bring |
| 9 | together their turf and their authorities and |
| 10 | they develop this framework. You know, you |
| 11 | call it a framework, call it a strategy. But |
| 12 | then they go back and they implement through |
| 13 | their existing authorities at Corps of |
| 14 | Engineers or EPA or Fisheries, or whatever, |
| 15 | the execution of that, so they can do their |
| 16 | business but it's within that overall |
| 17 | strategy or framework. And we call that a |
| 18 | Regional Marine Ecosystem Implementation |
| 19 | Plan. |

So there are models out there that, you know, we have talked about in the past about how this might work and it's in

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between. It's not hard governance and it's not soft governance. It's someplace in between on that continuum I talked about earlier.

So depending on how you feel about some of these ideas, I think what we'd be looking for from the advice from MAFAC is not necessarily, you know, now are you going to come up with the end point, but what things could you contribute to or that are important to you or that you're fearful of or that when you say you would want to avoid, you know, you can look at -- things that you'd like to see encouraged, see done, and the implementation of things in the short- and long-term, things you want to see avoided in the short- and long-term about these four issues.

And if the four issues don't make sense to you, you can pick the three issues.

I mean these are not the only four things.

You know, we came up with this agenda to try

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| 1 | to highlight the range of here's a big drive |
|----|---|
| 2 | around the ecosystem, right, that I have the |
| 3 | long-term health of ecosystems, ocean |
| 4 | acidification, across is anybody's who's got |
| 5 | an interest in the ocean is affected by that, |
| 6 | not just fish, right. |
| 7 | Then we had a specific topic on |
| 8 | alternative energy. You know, it's a hot |
| 9 | button issue, it's in the press. A lot of |
| 10 | action is taking place now. So we use that |
| 11 | again as a case study of what's going on, but |
| 12 | there's nothing saying that these are the |
| 13 | four things you have to include in your |
| 14 | report now. Those that make sense to you. |
| 15 | So |
| 16 | CHAIRMAN RAFTICAN: Get Randy on |
| 17 | that |
| 18 | DR. HOLLIDAY: just to |
| 19 | summarize, the long-term, short-term view of |
| 20 | things might be one way to wrap your head |
| 21 | around what we're talking about. You know, |

if it's missing from 2020, Randy, I think you

we did this document, we made an amendment,

| 1 | NOAA's using this as a tool, it's a good |
|----|--|
| 2 | avenue. |
| 3 | So to go back to these issues, I |
| 4 | think MAFAC should take a position on |
| 5 | fisheries and energy and what we think as a |
| 6 | leadership role would be. |
| 7 | In my experience in Hawaii there |
| 8 | have been three energy projects. All three |
| 9 | had a huge direct benefit to fisheries. But |
| 10 | when I hear about wind energy projects in |
| 11 | Oregon and there's this big fight to prevent |
| 12 | it, it doesn't make sense. OTEC, upwelling, |
| 13 | and currents, huge benefit to fisheries. |
| 14 | Everything we've done out there has been |
| 15 | benefitted the fishery. So my recommendation |
| 16 | is we should come up with a recommendation; |
| 17 | how we insert it, one avenue would be an |
| 18 | amendment to 2020. It could be a stand- |
| 19 | alone, whatever it is. |
| 20 | MR. JONER: Mark, if we |
| 21 | CHAIRMAN RAFTICAN: Go ahead. |

MR. JONER: We do that, more of an

addendum, not an amendment, right. What's the mechanism for that and what's the timing, what advantage would we gain -- or what's our best advantage timing-wise? Too late now for --

DR. HOLLIDAY: I think -- because there's -- I think there's a window of opportunity. Again, Dr. Lubchenco hasn't sat down with MAFAC. She hasn't been briefed on Vision 2020 yet. Okay. We've briefed -- Tom Billy went and Tony via phone briefed Mary Glackin, who was the Acting NOAA Administrator, last December on the contents of 2020 and the notion was that we'd get in front of the new NOAA Administrator when she or he was appointed, so we haven't done that yet.

Tom's name was on the list of people to -- you know, early people to be briefed -- briefing Dr. Lubchenco of important stakeholders and other representatives of advisory groups, so I

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| 1 | think there is if you were able to get |
|----|---|
| 2 | something together sooner and brief her on |
| 3 | these topics that are in high importance to |
| 4 | her, I think it would support the long-term |
| 5 | relevance of MAFAC to the NOAA Administrator, |
| 6 | as opposed to we took a good 18 months to |
| 7 | put out 2020. And I would hope that we could |
| 8 | get together something in a much different |
| 9 | time scale in order to take advantage of that |
| 10 | window of opportunity. |
| 11 | CHAIRMAN RAFTICAN: But 45 minutes |
| 12 | is a bit brief. |
| 13 | DR. HOLLIDAY: I know, but you've |
| 14 | got to decide what the way forward is for the |
| 15 | brief |
| 16 | DR. BALSIGER: I would suggest |
| 17 | that rather than |
| 18 | CHAIRMAN RAFTICAN: No, I and |
| 19 | that's what I was trying to do, is yeah. |
| 20 | DR. HOLLIDAY: and we can do |
| 21 | we can do work between meetings and it's not |
| 22 | but we have to have the intellectual |

contribution of what you want to accomplish.

CHAIRMAN RAFTICAN: Patty.

MS. DOERR: I have a question for Mark, in terms of kind of procedurally. Dave mentioned kind of the legislative efforts and what all really would have to go into a larger regional governance structure. Our role on MAFAC would not be -- would we have a role in commenting on legislative initiatives or do we just comment and provide advice to the Secretary?

DR. HOLLIDAY: In providing advice to the Secretary, if there's legislation that is pending or to be developed, I mean obviously you've taken positions on aquaculture legislation and -- collectively MAFAC is allowed not to lobby for legislation, but you can have opinions about the direction this legislation's taking you as part of your advice, consensus advice under FACA to the parent -- to the Department of Commerce.

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| 1 | MR. RAUCH: You can lobby the |
|----|--|
| 2 | Secretary on legislation, not Congress. But |
| 3 | that's part of your job is to say: We think |
| 4 | we need legislation or we think it needs to |
| 5 | be changed. |
| 6 | DR. HOLLIDAY: Right. |
| 7 | MS. DOERR: Okay. Thank you. |
| 8 | CHAIRMAN RAFTICAN: Randy, what |
| 9 | did you have in mind for the addendum to the |
| 10 | 2020? |
| 11 | MR. CATES: I think it would be |
| 12 | specific to energy, just some language that |
| 13 | we think NOAA and the National Marine |
| 14 | Fisheries should take a leadership role in |

specific to energy, just some language that
we think NOAA and the National Marine
Fisheries should take a leadership role in
finding synergies with energy projects. Pure
and simple. There -- we can coexist. For
example, aquaculture and wind farms -- it
would make sense to put them together and not
have that adversarial position that is
clearly the case today. As soon as an energy
project comes in, fishing groups and the
environmental groups all team up and they go:

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| 1 | No. |
|----|--|
| 2 | CHAIRMAN RAFTICAN: Sam, who's |
| 3 | lead energy the lead agency on an energy |
| 4 | project? Would it be Department of Energy? |
| 5 | MR. RAUCH: We are on OTEC. |
| 6 | Everything else is in probably Interior or |
| 7 | depends on where it is. |
| 8 | MR. BIGFORD: MMS issues leases. |
| 9 | If it's in state waters, FERC then has the |
| 10 | issue of license. So they lease the space, |
| 11 | but then they have to get a license for the |
| 12 | machine. |
| 13 | MR. RAUCH: Okay. |
| 14 | CHAIRMAN RAFTICAN: And maybe |
| 15 | instead of lead, partner with MMS, FERC. You |
| 16 | know you want I don't see them turning |
| 17 | over the driver's seat of energy projects to |
| 18 | NOAA. |
| 19 | MR. CATES: No. |
| 20 | CHAIRMAN RAFTICAN: But the thing |
| 21 | is I think that you could get to a point |

is that sounding close?

| 1 | MR. BIGFORD: Yeah, just that NOAA |
|----|---|
| 2 | doesn't want the lead, so |
| 3 | CHAIRMAN RAFTICAN: Okay. Well, |
| 4 | all right, and obviously |
| 5 | MR. BIGFORD: and they won't |
| 6 | get |
| 7 | CHAIRMAN RAFTICAN: we don't |
| 8 | want to recommend it then. |
| 9 | MR. BIGFORD: Right. |
| LO | MR. CATES: What I meant by a |
| 11 | leadership role is NOAA should take a |
| L2 | leadership role in finding synergies. |
| L3 | CHAIRMAN RAFTICAN: And that's |
| L4 | what I'm trying to get to, that we should get |
| 15 | to a point that we can partner with |
| 16 | MR. CATES: Exactly. |
| L7 | CHAIRMAN RAFTICAN: FERC, MMS. |
| L8 | MS. FELLER: Tom, can I ask a |
| L9 | clarifying question? |
| 20 | CHAIRMAN RAFTICAN: Sure. |
| 21 | MS. FELLER: NOAA doesn't want the |
| 22 | lead on permitting or NOAA doesn't want the |

lead on planning?

MR. BIGFORD: I was thinking in the lead regulatory role here. If we were to pursue basically taking someone else's mandate away from them, it's going to tie this all in knots --

MS. FELLER: Oh, yeah.

MR. BIGFORD: -- for decades.

MS. FELLER: Okay. That -- yeah. No, that makes sense.

MR. BIGFORD: I just think we could live within the existing authorities.

And if we want -- if we want to pursue some sort of a lead role on forcing a discussion, which is what I hear from Randy, that'd be good. I don't know whether MMS would be receptive. I think they're trying to narrow their charge so they can move forward on energy rather than thinking about alternative uses. But in a year or so or six months when they're ready to get back to the alternative uses, we can be poised.

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I think it should be more than commercial fishing since we have interests beyond -- or beyond aquaculture.

CHAIRMAN RAFTICAN: Yeah.

MR. CATES: Here's the problem we have today. It makes absolute common sense on some of these projects to team up seafood production with energy projects. The environmental groups do not want, for example, aquaculture partnering up with energy. They've done everything they can do to fight that. The energy projects don't necessarily want the baggage of aquaculture, so there is this tendency to keep it apart. But when you take a step back you go, well, this just makes sense.

of the ocean and say you're going to do energy and you can do aquaculture too, why not. We need to get away from that. It's really politics. They tell you they don't want this thing to work and become stronger

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and then create more. So somehow we got to get beyond that.

CHAIRMAN RAFTICAN: Yeah, and the other thing is putting these two things together makes an awful lot of sense. you look at -- when I look at marine protected areas, and essentially the same thing is true of marine managed areas, you look at National Academy of Sciences, and the biggest thing they bring up is, bring your stakeholders and get them together upfront and all of a sudden you have less problems in the long run. And that's really what we're trying to do here, is how do we put something together partnering.

Mark, could you help me wordsmith this out and put something together on putting energy together with MMS, FERC, and looking for a partnering agreement coming in on siting, anything else that you'd want to throw into this, of new projects.

MR. CATES: One other thing that

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| 1 | might make sense is we need to find a way to |
|----|---|
| 2 | use energy projects to enhance our fishery, |
| 3 | and I'll give you a quick example. In Hawaii |
| 4 | they want to bring cold seawater up and use |
| 5 | it for air conditioning. We know cold |
| 6 | seawater upwelling is a benefit to our |
| 7 | fishery. But instead of putting it back in |
| 8 | the ocean in an appropriate spot that would |
| 9 | benefit the fishery, they're going to pump it |
| 10 | underground. |
| 11 | Somehow we need to say wait a |
| 12 | minute, we have a resource here that could |
| 13 | benefit our fishery. How can we do that? |
| 14 | CHAIRMAN RAFTICAN: Can we I |
| 15 | think if we put "to the benefit of both." |
| 16 | MR. CATES: Yes. |
| 17 | CHAIRMAN RAFTICAN: Mark, can you |
| 18 | help us with the wordsmithing on that? |
| 19 | I want to tackle a couple more of |
| 20 | these issues and we're running out of time. |
| 21 | I mean we're beyond out of time. If we can |
| 22 | do this and wordsmith this overnight, I will |

run it by everybody tomorrow morning before we get out front and make sure that we're okay with everybody.

Charlie, you got a question?

MR. WHALE: Yeah. An observation

I guess on the marine spatial planning front.

I think Sam's vision of the governance side of it, it sounds like mine, that it's likely the way it will turn out in the near-term.

So I think that that's sort of a distinct issue that NOAA could use some advice or

But there's the other tract of how do you build the capacity to do it once you're given the authority or the venue to do it. And that's the world that I'm living in right now. You know there's a reason why we haven't done this up till now, and it's not entirely because nobody thought of it. It's because information either doesn't exist or it's really complicated or we don't have simple tools to make it so that it isn't too

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affirmation on.

complicated.

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And I think NOAA and all the other agencies would benefit from some input on the need for speed in this issue and to maybe not go down the rabbit hole of every last bit of information about ecosystem function and structure, every last bit of information about what fishing means to the local tourism economy. But instead some simple metrics and some simple decision support tools, because we're going to need to use this stuff within a few years. And the nature of those questions that are so far unanswered are tenyear questions. They're multi-million dollar questions. And so it would be useful to hear, I think, from the outside, start simple and start soon. And then here are the issues that you need to build up over time.

Our own advisory committee has just begun to think about these very things, and that's what we hope to engaging them in, is sort of a tiered approach to advice to the

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agency about what to focus on in the very early days.

CHAIRMAN RAFTICAN: Charlie, I think we heard an awful lot of that, although the problem is that when you start throwing something like this out in the air, everybody goes, all right, and we need to fix all the corners on it. And what I was trying to do was get something out there in general, you know, just an umbrella that covers it like that.

Sam put together very quickly throughout a project, a description of something that would cover a lot of bases.

And I think if we sit down and start worrying about the bases right now, we're not going to get it done this afternoon. And even though Mark's very good, I'm going to ask him to start wordsmithing something on that also if that's the view of the Committee.

But what we're talking about here is how do you implement, instead of looking

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| 1 | ecosystem, this is basically spatial |
|----|---|
| 2 | planning, but taking spatial planning in and |
| 3 | saying, all right, we're going to integrate |
| 4 | this with the fisheries management. And |
| 5 | we're having a difficult time. How do you |
| 6 | put this together and recognize that the |
| 7 | councils will still exist and play a role in |
| 8 | it. And I think if we sit down and try and |
| 9 | define these roles, we're going to be here |
| 10 | for a long, long time. |
| 11 | This will have to be done some |
| 12 | time down the line, but I did get the feeling |
| 13 | that everyone was going: Yeah, all right, |
| 14 | it's time to look at spatial planning. |
| 15 | Could we talk to that quickly for |
| 16 | a second? I think this is to kind of follow |
| 17 | up on where Charlie was going. |
| 18 | Randy, you got your arms crossed. |
| 19 | MR. CATES: Is there funding to do |
| 20 | it? Means |
| 21 | CHAIRMAN RAFTICAN: If we get into |
| 22 | funding or the actual, you know, how we're |

going to do it, I don't -- but I like the idea of just making the broad statement that we're looking at -- you know, we believe that this is the way to pursue it. It's looking at some type of --

MR. CATES: Well, I'll tell you my position. All information is valuable, even bad information is valuable. That's something I learned the hard way with the intelligence agency. All information is valuable. There's no doubt that spatial planning is a valuable tool.

CHAIRMAN RAFTICAN: What I see,
the tool that we are here is by putting
forward a recommendation. We give impetus to
the Secretary to move forward in this
direction. And it's clear that this is the
direction the administration wants to take.
It looks like this is something that, you
know, its time has come. We've got a choice
of either being on the bandwagon or being
under the bandwagon. And I prefer getting

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| | chiefe. And if you get on the bandwagon, the |
|----|--|
| 2 | thing is you got a good chance of grabbing |
| 3 | one of the reins along the line and help |
| 4 | steering where this thing's going. And that |
| 5 | was the reason I think that we get out of in |
| 6 | front of something like this. |
| 7 | And, again, if we sit down and try |
| 8 | and design the thing right now we're dead or |
| 9 | worry about the funding, we're dead. But I |
| 10 | think the thing is if we can at least put |
| 11 | forward something and go, yeah, hey, this is |
| 12 | kind of what it looks like, let's move in |
| 13 | that direction. And that's kind of where I'm |
| 14 | coming from on this. |
| 15 | MR. CATES: Can I ask a quick |
| 16 | question? |
| 17 | CHAIRMAN RAFTICAN: Sure. |
| 18 | MR. CATES: What would this group |
| 19 | think of a priority on spatial planning? Is |
| 20 | it a high priority? Do people think it's a |
| 21 | high priority? |

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MR. DEWEY: I think it is,

personally. I think it's part of how you grapple with the energy issue and the aquaculture siting issue and ecosystem-based management. I think it's fundamental to it, personally.

CHAIRMAN RAFTICAN: Forage fish, a ton of stuff.

Erika.

MS. FELLER: I think it's incredibly important for rationalizing a lot of different information about different uses and trying to find -- I mean I don't disagree with you that there are places where there are synergies between different ocean uses, but I think there are places where there are legitimate conflicts and places where you have to make a judgment call. A marine spatial plan can help reveal those places and really focus decision-makers on where the problems are.

MR. CATES: I'm not against spatial planning. I think it's a useful

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| 1 | tool. It seems to be an easy statement to |
|----|---|
| 2 | make to the Secretary of Commerce: MAFAC |
| 3 | thinks it's important, move forward. |
| 4 | CHAIRMAN RAFTICAN: Patty, spatial |
| 5 | planning. |
| 6 | MS. DOERR: Put it out. I mean I |
| 7 | think |
| 8 | (Laughter.) |
| 9 | MS. DOERR: I think I mean it's |
| 10 | where everything's going. Not that I am |
| 11 | against it, because I'm not. That's where |
| 12 | everything is going. I think it's a very |
| | |
| 13 | useful tool it can be a very useful tool |
| 14 | when done right and the information is there |
| 15 | to be able to do it right, to be able to |
| 16 | identify the conflicts and make an education |
| 17 | decision as to |
| 18 | CHAIRMAN RAFTICAN: Okay. |
| 19 | MS. DOERR: as to what should |
| 20 | be placed somewhere, what shouldn't, identify |
| 21 | the complementary activities. We have some |
| 22 | oil rigs and recreational fishing at the same |

1 time or aquaculture. I think --2 CHAIRMAN RAFTICAN: Okay. MS. DOERR: I mean I think that's 3 where it's moving and I think it's important 4 for this group to have some sort of statement 5 on it. 6 7 CHAIRMAN RAFTICAN: Dave. MR. WALLACE: It's imperative that 8 we move that forward. 9 10 CHAIRMAN RAFTICAN: Tom, I'll add one MR. CATES: 11 thing to that. In Hawaii we did GIS which 12 13 is, to me, spatial planning. It's looking at all the user conflicts. We looked at all the 14 15 state waters in the state. Out of five 16 potential projects for aquaculture, we use -none of them use it. It didn't matter, 17 because at the end of the day they had to go 18 19 through and look at what best place was for And we had a government agency that 20 did elaborate GIS studies and said this is 21

will be the best place for aquaculture, but

| 1 | it just didn't work. I'm not against it |
|----|---|
| 2 | CHAIRMAN RAFTICAN: Randy, what |
| 3 | I'm trying to do is make sure that we're one |
| 4 | of the stakeholders that gets into the mix |
| 5 | and can say this makes sense or this doesn't |
| 6 | make sense. I'm just trying to make sure |
| 7 | that we're at the table. |
| 8 | Cathy. |
| 9 | MS. FOY: I can agree with that, I |
| 10 | guess. |
| 11 | CHAIRMAN RAFTICAN: Eric, are |
| 12 | you |
| 13 | MR. SCHWAAB: Yeah. And I mean |
| 14 | I'm with Sam with respect to mechanism to a |
| 15 | point. And I think what you're suggesting is |
| 16 | consistent with the Joint Ocean Commission |
| 17 | recommendations that they established, sort |
| 18 | of federal interagency teams, but I would |
| 19 | just add two things. |
| 20 | One, which is also in the Ocean |
| 21 | Commission's recommendations, that there be |
| 22 | explicit direction to the federal agencies to |

| 1 | coordinate with state and local government |
|----|---|
| 2 | entities, number one. And, number two, that |
| 3 | you not lose sight of the opportunity in CZMA |
| 4 | reauthorization to essentially direct the |
| 5 | state agencies to do the same thing. Now |
| 6 | you're establishing a spatial framework |
| 7 | geographically and you're putting in place |
| 8 | mechanisms that dictate the kind of |
| 9 | coordination that's needed to effect what |
| 10 | we're getting at. |
| 11 | CHAIRMAN RAFTICAN: And probably |
| 12 | in that same vein work with the councils |
| 13 | MR. SCHWAAB: Yes. |
| 14 | CHAIRMAN RAFTICAN: I don't |
| 15 | know that |
| 16 | MR. SCHWAAB: Yes. |
| 17 | CHAIRMAN RAFTICAN: Okay. Bill. |
| 18 | MR. DEWEY: I would just like to |
| 19 | support Eric's comments. I think they're |
| 20 | right on. You know, trying to incorporate |
| 21 | states' concerns |
| 22 | CHAIRMAN RAFTICAN: Absolutely. |

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MR. DEWEY: -- but also emphasizing that this is federal water, state waters, and near-shore ecosystem.

CHAIRMAN RAFTICAN: I think we can put broad guidelines on that without having to find each one individually yet, but, yeah.

No, I -- Eric's comments on it.

Erika, you're comfortable with the direction we're going?

MS. FELLER: I am -- I mean we talked a little bit about the role of the councils and a little bit about lines and stuff like that. And I know we don't want to get into making a statement on that because that's a rabbit hole. But just one observation is I think you can draw lines a lot of different ways. I think it's important to be effective, that you find a way to make marine spatial plans relevant to the boundaries in which people make decisions, like whether that's state boundaries, you know.

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| 1 | Just as an example, the Nature |
|----|---|
| 2 | Conservancy does a lot of eco-regional |
| 3 | planning. We do these big, gigantic |
| 4 | landscape blobs. You have to take them back |
| 5 | apart and fit them to a state boundary |
| 6 | because if you go talk to a state agency |
| 7 | about this big, gigantic blob that includes |
| 8 | five states, they're like, 'Yeah, that's |
| 9 | great. We don't really care what's going on |
| 10 | in Connecticut.' |
| 11 | CHAIRMAN RAFTICAN: Right. |
| 12 | MS. FELLER: So you really do have |
| 13 | to make it relevant to the people who are |
| 14 | making the decisions, whether they're state |
| 15 | agencies. Or, frankly, even the councils, |
| 16 | packing it into a form that they make |
| 17 | decisions on, because making it management |
| 18 | relevant I guess is a good point. |
| 19 | DR. HOLLIDAY: I can't help I |
| 20 | can't let that comment go without commenting, |
| 21 | |

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MS. FELLER: Oh, God, why.

DR. HOLLIDAY: -- if you don't mind. I think you need to be multidirectional because to look at things only across managerial lines makes no sense either.

So part of this principles of this ecosystem approach is it has to be on ecologically-relevant scales. If you have to break it down in order to get the different constituents to understand how it's -- but those people who are in Rhode Island next to Connecticut are part of that same ecosystem, so it really doesn't matter what Connecticut does if Rhode Island's not going to be onboard across that ecosystem. So I think it has to work in both directions.

MS. FELLER: But this is what I
was getting at before, too. Ecosystems
function at different scales. I mean they
function at the large marine eco-region scale
all the way down to the watershed --

DR. HOLLIDAY: Of course. But

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| 1 | that's where you need to |
|----|--|
| 2 | MS. FELLER: to the wetlands |
| 3 | scale and |
| 4 | DR. HOLLIDAY: tailor it to |
| 5 | tailor the information to the scale of the |
| 6 | decision that you're making, but you can't |
| 7 | just the unifying principle can't be |
| 8 | jurisdictional boundaries if you're going to |
| 9 | do an ecosystem approach because they don't |
| LO | apply. Ecosystems don't obey those lines. |
| 11 | MS. FELLER: Right. I'm just |
| L2 | saying you need to be able to deliver the |
| L3 | information in a way that is relevant to |
| L4 | those jurisdictional boundaries. I mean you |
| 15 | do the analysis of whatever's |
| L6 | DR. HOLLIDAY: Okay. As long as |
| L7 | that's the underlying premise. |
| 18 | MS. FELLER: Yeah. |
| 19 | DR. HOLLIDAY: I didn't think that |
| 20 | was, but |
| 21 | CHAIRMAN RAFTICAN: That's okay. |
| 22 | Sam's going to fix that after |
| | |

| 1 | MS. FELLER: Yeah. No, yeah. |
|----|---|
| 2 | DR. HOLLIDAY: If I'm supposed to |
| 3 | be writing some of this is therefore, I'm |
| 4 | trying to clarify what your intent was. |
| 5 | CHAIRMAN RAFTICAN: Steve. |
| 6 | MR. JONER: Yeah. I'm comfortable |
| 7 | with where we're headed. You know, as long |
| 8 | as it's recognized as a tool, limited to use |
| 9 | as a tool and not a life of its own and |
| 10 | somehow becomes the law determining what is |
| 11 | compatible and what isn't. |
| 12 | MS. DOERR: Shouldn't be the end- |
| 13 | all, be-all. |
| 14 | MR. JONER: The final solution for |
| 15 | fisheries, or whatever. |
| 16 | CHAIRMAN RAFTICAN: I think we |
| 17 | still have got the councils involved in this, |
| 18 | so I don't see it as the final be-all, do- |
| 19 | all, and end-all, but I think |
| 20 | DR. HOLLIDAY: I had a question |
| 21 | for you, Tom. |
| 22 | CHAIRMAN RAFTICAN: Yes. |

| DR. HOLLIDAY: A clarification, if |
|---|
| I could. You started before you went around |
| and said this is in lieu of ecosystem |
| management because we can't get a handle on |
| that? |

CHAIRMAN RAFTICAN: No. I think we went back to saying this is spatial management, this is working. I think we started off on that and went off in a couple different rabbit holes and then came back. And I think everybody's pretty comfortable with basically marine spatial planning, throwing it back on with some of the stuff that Same came up with and that you came up with, and using that as a broad basis, a broad-brush basis, not to put -- I don't want to design the whole thing, but say that we're looking at marine spatial planning on a regional ecosystem basis.

I'm still looking around to make sure everybody's onboard.

DR. BALSIGER: So the statement

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| 1 | will contain a recommendation for MAFAC, and |
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| 2 | I understand the whole body has to |
| 3 | CHAIRMAN RAFTICAN: Yeah. |
| 4 | DR. BALSIGER: approve it, but |
| 5 | that it includes Sam's idea or Sam's I'm |
| 6 | not sure it was his idea the way he |
| 7 | CHAIRMAN RAFTICAN: Sam it was |
| 8 | a suggestion. |
| 9 | DR. BALSIGER: The suggestion that |
| 10 | some larger regional group be set up that has |
| 11 | the authority to do the spatial zoning and |
| 12 | then the fisheries council work underneath |
| 13 | that umbrella. So that's appropriate of the |
| 14 | recommendation. I said that awkwardly, but |
| 15 | |
| 16 | CHAIRMAN RAFTICAN: That was |
| 17 | awkwardly and probably a little stronger than |
| 18 | I would actually have put on, but I think |
| 19 | that's the direction. I think that was where |
| 20 | I felt that we were on. |
| 21 | MS. DOERR: I even think the |
| 22 | councils should be working with a regional |

| 1 | structure, not necessarily for a regional |
|----|---|
| 2 | structure. |
| 3 | DR. BALSIGER: I'm happy for your |
| 4 | recommendation, for the work that you do, but |
| 5 | I'm not sure that other alternative forms |
| 6 | have been thought about here and whether |
| 7 | you're choosing one that may be the only |
| 8 | one that works, but there certainly have been |
| 9 | other ideas that have circulated that didn't |
| 10 | have discussion. And Patty's would be sort |
| 11 | of one to make the fishery management |
| 12 | councils part of that thing instead of |
| 13 | underneath it. I'm not sure that would work, |
| 14 | but just |
| 15 | (Laughter.) |
| 16 | MR. DEWEY: Related to that, if I |
| 17 | may? |
| 18 | CHAIRMAN RAFTICAN: Yeah. |
| 19 | MR. DEWEY: Is that there's, in my |
| 20 | opinion that coordinating planning to be |
| 21 | effective needs to go beyond fisheries |
| 22 | issues And that's why I think it's broader |

than just the council.

CHAIRMAN RAFTICAN: And I think
the good and bad of this is the energy issues
that are important to Randy are also
contained within this. You know, this is how
you actually do spatial planning that's going
to make sense. I mean how do you integrate
energy and fishing at the same time. And
let's try and get it under the same roof.
And the thing is to think that we're going to
put the thing in a place that's going to
override --

(Cellphone tones.)

CHAIRMAN RAFTICAN: -- MMS on energy issues, I don't think it's going to happen, but the thing is if you -- at least we can get here and get a framework where we can put some guidance on that.

MR. CATES: A quick question. Who has that authority over the councils? I mean does NOAA have the authority to reorganize and change it?

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| 1 | MR. RAUCH: The councils were set |
|----|--|
| 2 | up by statute. |
| 3 | CHAIRMAN RAFTICAN: Well, |
| 4 | authority on the councils. The other thing |
| 5 | is councils basically make recommendations |
| 6 | and that NOAA, you guys, actually put the |
| 7 | rules in place. |
| 8 | MR. RAUCH: That's correct. |
| 9 | CHAIRMAN RAFTICAN: They're a |
| LO | recommending body. They try to set policy, |
| L1 | but it has to clear with you guys before you |
| L2 | put it in place. |
| L3 | DR. BALSIGER: Well, in order to |
| L4 | put Sam's idea in place to be once again |
| L5 | to help is it would take legislation I |
| L6 | presume. |
| L7 | CHAIRMAN RAFTICAN: I suspect |
| L8 | anything |
| L9 | DR. BALSIGER: And if there was |
| 20 | legislation, I hope that the Mineral |
| 21 | Management Service has a say in requirements |
| 22 | to fit under the regional group as does the |

| 1 | fisheries, so I'm not sure that did |
|----|---|
| 2 | MR. RAUCH: So if I could just be |
| 3 | clear on what my idea was. I think that you |
| 4 | could do a federal-only sort of supervening |
| 5 | structure through executive order and just |
| 6 | require all the agencies to use whatever |
| 7 | policy discretion they have. |
| 8 | If you wanted to incorporate the |
| 9 | states, and I think you absolutely have to do |
| 10 | that, you would need some sort of |
| 11 | legislation. And the CZMA might be a part to |
| 12 | do that because you can't really bring the |
| 13 | states to the table without that and give |
| 14 | them sort of a decision-making role. |
| 15 | MR. DEWEY: Sam, are you |
| 16 | suggesting since CZMA's up for reapplication |
| 17 | that maybe it's an opportunity to assert |
| 18 | that? |
| 19 | MR. RAUCH: It is a potential |
| 20 | opportunity. I also don't think that the |
| 21 | councils or anybody else, you know, the |
| | |

Corps, I don't think any of these people

would be actually under this group. They
would all consult with the group. The group
would do the plan and then everybody,
councils, Corps, states would have to act
consistently with that plan, but structurally
none of them would actually be -- in my view
at least -- officially under that regionally
structure. I think the Ocean Commission had
at some point thought about that idea, but I
don't think it would be that staggered in
terms of -- or structured toward
jurisdictional.

MR. SCHWAAB: Or politically possible.

MR. RAUCH: Yeah, and I don't think that would be politically possible. I do think you could have them where the council may have something that can coordinate. The people who do the -- they might even be on the group that may write the plan, but ultimately whatever they recommend or whatever the Corps decides to permit has

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| 1 | to be consistent with that plan, but they |
|----|---|
| 2 | don't answer to one another. At least that's |
| 3 | how I take it. |
| 4 | CHAIRMAN RAFTICAN: Is everybody |
| 5 | comfortable with Patty, go ahead. |
| 6 | MS. DOERR: Two things. One, I'm |
| 7 | looking at the Vision 2020 document here and |
| 8 | there's Appendix 6 is on management and |
| 9 | ecosystem-based approaches. I would just |
| 10 | suggest that we all take a look at that with |
| 11 | this discussion in mind in terms of is |
| 12 | anything worth saying here this afternoon |
| 13 | change what's in 2020. |
| 14 | And then also support Randy's idea |
| 15 | of using 2020 as kind of a |
| 16 | MR. CATES: Conduit. |
| 17 | MS. DOERR:conduit or |
| 18 | foundation for any sort of recommendations on |
| 19 | further recommendations on ecosystem-based |
| 20 | management and marine spatial planning. |
| 21 | And my second thing is to play |
| 22 | devil's advocate just a little bit on Sam's |

| 1 | ideas on kind of not the things that |
|----|---|
| 2 | ocean governance in general, I mean we're |
| 3 | kind of in terms of fisheries just one aspect |
| 4 | of this whole big thing. So is it really our |
| 5 | place to suggest what a regional governance |
| 6 | should look like or is it more our place to |
| 7 | suggest the role of fisheries management |
| 8 | within any kind of larger governance |
| 9 | structure? |
| 10 | I just toss it out there as |
| 11 | slightly devil's advocate. |
| 12 | CHAIRMAN RAFTICAN: I think as our |
| 13 | role, making recommendations to the |
| 14 | Secretary, we get the luxury of doing that. |
| 15 | This is addressing your second point. |
| 16 | MS. DOERR: Um-hum. |
| 17 | CHAIRMAN RAFTICAN: We've got the |
| 18 | opportunity to make those recommendations and |
| 19 | those recommendations will carry weight with |
| 20 | the Secretary. And we've got the opportunity |
| 21 | to do this. This is a broader scale. And |

we're dealing with all living marine

| 1 | resources. And each one of these spatial |
|----|--|
| 2 | entities within there are going to have an |
| 3 | effect on living marine resources, whether |
| 4 | it's just fisheries or whether it's, you |
| 5 | know, pinnipeds, turtles, whales, you name |
| 6 | it. There are enough interactions that I |
| 7 | think that our recommendations would be |
| 8 | highly relevant on that. |
| 9 | So the 2020 document, I'm trying |
| 10 | to go back because I put a lot of the stuff |
| 11 | in there on spatial management going back on |
| 12 | 2020. And we probably would have to |
| 13 | MS. DOERR: This is I mean not |
| 14 | necessarily today, but, you know, if we work |
| 15 | between now and the next meeting, |
| 16 | CHAIRMAN RAFTICAN: We want to |
| 17 | come out of here with some type of a |
| 18 | recommendation I think that we take a |
| 19 | recommendation to the entire MAFAC tomorrow. |
| | |

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Because our recommendation here is simply a

subcommittee recommendation and what we have

to do is we have to get the entire Committee

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| 1 | behind us before we can take the |
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| 2 | recommendation to the Secretary. |
| 3 | So while we may walk out of here |
| 4 | okay comfortable with it, we've got to put |
| 5 | down and actually Mark and I are going to |
| 6 | have a long night together put together |
| 7 | something that the entire Committee, we |
| 8 | present it to the Committee tomorrow, the |
| 9 | Committee will vote on it. And then it goes |
| 10 | forward from there. |
| 11 | MS. DOERR: Would we be |
| 12 | recommending to the full Committee tomorrow a |
| 13 | way in which to proceed on these four issues |
| 14 | or specific recommendations on these four |
| 15 | issues? |
| 16 | CHAIRMAN RAFTICAN: I think we got |
| 17 | two things that we're trying to put down |
| 18 | right when that we're going to deal with: |
| 19 | Spatial planning and I think energy was the |
| 20 | other one. |
| 21 | MS. DOERR: Because I would I |

mean I don't know -- I mean is it fair to you

| 1 | and Mark to come out with specific |
|----|---|
| 2 | recommendations on energy |
| 3 | CHAIRMAN RAFTICAN: We're not. |
| 4 | MS. DOERR: and spatial |
| 5 | planning |
| 6 | CHAIRMAN RAFTICAN: Mark and I are |
| 7 | doing. That's what we're trying to do right |
| 8 | now, is contribute |
| 9 | MS. DOERR: or a way in which |
| LO | to proceed, you know, to provide a larger |
| 11 | more in-depth recommendation to the |
| 12 | Secretary? |
| 13 | I'm just trying to clarify as to |
| L4 | what you guys are going to bring forth for us |
| 15 | to recommend to the Committee |
| L6 | CHAIRMAN RAFTICAN: We're not |
| L7 | going to bring forth we're going to bring |
| L8 | forth to you, the committee members, first |
| L9 | thing tomorrow morning, so you each are going |
| 20 | to get to look at it individually. We won't |
| 21 | put the recommendations in unless you check |
| | 1 |

the box.

But I think the thing that we're dealing with here is that the time is short on this. I don't know how short it is, but, Charlie, you know, how soon would you say recommendations like this have to come forward?

MR. WAHLE: Frankly, I think the phone could ring any day saying, okay, what are we going to do next week. I think clearly there's a series of phases, but I think there will be pressure and direction and opportunity to get moving on this very soon.

CHAIRMAN RAFTICAN: Mark.

DR. HOLLIDAY: So I mean we haven't talked about how to convey this information and so the recommendation coming out of the group was could be something like you're going to see to brief Dr. Lubchenco on issues coming out of the MAFAC meeting. You send your delegation to her and between now and then you can flesh out some of the more

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| 1 | specifics. You have an outline I think of |
|----|---|
| 2 | what you were trying to do, but I think it |
| 3 | might be a disservice to try to drill down to |
| 4 | very specific recommendations without giving |
| 5 | it the benefit of even sleeping on it. |
| 6 | You'll be waking on it. |
| 7 | So I would be a little bit |
| 8 | sensitive to how far you want to go into the |
| 9 | details. I think we have the framework of |
| 10 | what you're trying to say, but you may buy |
| 11 | yourself some time. That you know what |
| 12 | you're trying to do and the way that you're |
| 13 | going you're not going to send the letter |
| 14 | to the NOAA Administrator tomorrow afternoon, |
| 15 | but you need to get yourself positioned to |
| 16 | what are you going to do between now and the |
| 17 | time that this information's conveyed. |
| 18 | MS. DOERR: Yeah, I mean I feel |
| 19 | like these are big issues. |
| 20 | DR. HOLLIDAY: You want to get |
| 21 | them right. |

MS. DOERR: And we want to get

| 1 | them right and don't want to bite off more |
|----|---|
| 2 | than we can chew in one night. And so I was |
| 3 | under the impression I'm just confused and |
| 4 | trying to clarify in my head I was under |
| 5 | the impression that we're trying to figure |
| 6 | out a way, a process in which to move forward |
| 7 | to provide recommendations to the Secretary |
| 8 | and Dr. Lubchenco, not necessarily provide |
| 9 | the recommendations and the details tomorrow. |
| 10 | So |
| 11 | DR. HOLLIDAY: I think |
| 12 | MS. DOERR: So I'm just trying to |
| 13 | clarify |
| 14 | DR. HOLLIDAY: It's this middle |
| 15 | ground of I think you want to be able to |
| 16 | before you leave here, because the benefit of |
| 17 | having the group together is that you can |
| 18 | reach consensus on the concept that you're |
| 19 | trying to convey, right, but we can't |
| 20 | wordsmith for four or five hours on the exact |
| 21 | wording of it. So I think that would be your |

goal.

One of the principles that should be in the recommendation that you could agree to while you're here, we could polish that over time and make sure that that's -- the words beneath it are consistent with what your intent was prior to your sending anything forward as a finding or recommendation. So it's more than just a plan. We'll do that in the future, but you want to get consensus while you're here and get out on the table people's objections or contributions so that we know in principle what it is that you're trying to recommend.

CHAIRMAN RAFTICAN: Randy.

MR. CATES: It seems to me what I've heard is that we have -- we have agreement on two of the four issues. We have consensus on spatial planning is an important issue. I haven't heard anybody say that we shouldn't move forward with that. I haven't heard anybody express any concern about trying to find synergies with energy.

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| 1 | The governance is a big issue that |
|----|---|
| 2 | I don't know that we've had consensus on, and |
| 3 | ocean acidification we've kind of put aside. |
| 4 | DR. BALSIGER: Can I just make one |
| 5 | comment? |
| 6 | CHAIRMAN RAFTICAN: Sure. |
| 7 | DR. BALSIGER: Randy keeps |
| 8 | focusing on synergies with energy, that's a |
| 9 | good example if we have to have synergy with |
| LO | energy, but should have synergy with |
| 11 | everything. Maybe a sanctuary is exactly the |
| L2 | right place for an energy development. You |
| L3 | look at all of those kinds of things. |
| L4 | MR. CATES: I agree whole |
| 15 | heartedly. |
| L6 | DR. BALSIGER: So put energy as an |
| L7 | example. |
| L8 | MR. DEWEY: That's what spatial |
| 19 | planning is in my view. |
| 20 | MR. CATES: I agree whole |
| 21 | heartedly. |
| 22 | MS. FOY: You could just say to |

optimize use.

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MS. FELLER: Actually I think Randy brought up a good point. I think -- I want to understand what Patty's saying, but it strikes me that there is agreement on marine spatial planning. I mean marine spatial planning is like you said, it's information. You know, information is helpful, organizing information in a way to be relevant, to make decisions is a useful, helpful, easy thing to agree on. Regional ocean governance starts to become a much harder thing to agree on. I have no -- I have a lot of opinions about what I don't like, I have absolutely no idea what I do like.

But maybe it strikes me that if we could come to some type of agreement on marine spatial planning as something -- you know, it's an important short-term action that we would encourage the Secretary to take, maybe one thing that the committee

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could do through -- you know, doing work
between now and the next MAFAC meeting, is
start to at least think about what we think
are some principles to inform regional ocean
governance might be, because that -- you
know, I think Mark gave us a really good
framework for having a discussion like that,
but we don't have the time to have that
discussion here.

So I'd be really wary about putting something out there about regional ocean governance. I think it would be good for say marine spatial planning. And then, frankly, if Dr. Lubchenco can come to the next MAFAC, if it's in D.C., how cool would that be, this would be a really interesting conversation to have with her.

DR. BALSIGER: I actually think she will look forward to meeting with you. She's just so busy. She implied, she intended no disrespect for not showing up here.

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CHAIRMAN RAFTICAN: Oh, I'm sure.

This old neck of the woods, too.

I hear what you're saying and I also hear what Mark's saying. I'm going to rely on Mark in, you know, seeing a basis to put this stuff together. And the time line is short.

My suggestion might be, why don't we take this and put this together and work on this. And, again, bring tomorrow to the whole group the fact that short-term action on marine spatial planning is critical and that the committee, and with your indulgence, can we meet by over a conference call? Let's give -- Mark, does this work for you? Say we put this stuff together, take a week, we get it out to everybody in print within a week, we get a chance to take a look at it, and then have a conference call to make sure that we're all still onboard with the document on that? Does that make sense?

MR. WALLACE: I thought that we're

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| 1 | trying to put together some kind of an |
|----|---|
| 2 | outline and then look at it tomorrow morning? |
| 3 | CHAIRMAN RAFTICAN: Well, I was |
| 4 | going to try and do that, but I heard Mark |
| 5 | saying that, you know, Patty wanted longer. |
| 6 | Mark, what do you think? |
| 7 | DR. HOLLIDAY: I think the outline |
| 8 | is the principles and the direction that |
| 9 | you're trying to go, |
| 10 | MR. WALLACE: right. right. |
| 11 | DR. HOLLIDAY: but to flesh out |
| 12 | the |
| 13 | MS. DOERR: The details. |
| 14 | DR. HOLLIDAY: details of it |
| 15 | would take more than an overnight exercise. |
| 16 | MR. WALLACE: Right. Yeah, and I |
| 17 | agree. But, you know, we are going to |
| 18 | address the outline tomorrow, so that we'll |
| 19 | end up being essentially on the same page. |
| 20 | DR. HOLLIDAY: Right, so then we |
| 21 | have agreement then to send people off to try |
| 22 | to do the detail work, |

1 MR. WALLACE: Precisely.

DR. HOLLIDAY: -- the staff work behind that.

MR. WALLACE: Okay.

CHAIRMAN RAFTICAN: But I think, again, the detail work is going to have to be done in a fairly timely manner, do this, and then possibly -- and we just send email around to the entire Committee to look at after they get our recommendation on. Does that -- I'm afraid that the train's going to leave. And I think that we've got a chance to take some real guidance on this. And the thing is I would love to have Dr. Lubchenco here now. But the other thing is I would hate to have that train leave the station with us still sitting on the platform.

MS. DOERR: I think -- I agree with what you're saying. Get the outline, have a couple conference calls, flesh out the details, and convey to her our position via a letter or --

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| 1 | CHAIRMAN RAFTICAN: Yeah. No, |
|----|---|
| 2 | MS. DOERR: which I |
| 3 | CHAIRMAN RAFTICAN: We can come to |
| 4 | that further down the line, |
| 5 | MS. DOERR: Yeah, so we don't have |
| 6 | to wait |
| 7 | CHAIRMAN RAFTICAN: As long as |
| 8 | I'm just trying to lay out a general scenario |
| 9 | to make sure that everybody agrees with the |
| 10 | scenario. Are we good with that? |
| 11 | Mark, can you give me a hand and |
| 12 | get some stuff together? We'll get enough |
| 13 | together that we can bring it to you, first |
| 14 | of all, tomorrow morning and then from there |
| 15 | we'll bring it to the full Committee, not for |
| 16 | a final vote, but to give you a rough idea of |
| 17 | where we're going on this. And then we'll |
| 18 | yeah, Randy. |
| 19 | MR. CATES: Just a quick question, |
| 20 | Jim. If we were meeting in D.C. right now, |
| 21 | do you think she would be here? |
| 22 | DR. BALSIGER: I think she would |

| 1 | have found an hour probably to come see us. |
|----|---|
| 2 | That's just a guess, but I think she would |
| 3 | have. |
| 4 | MR. CATES: And I'm not asking |
| 5 | this for future ref you know, where she |
| 6 | we have meetings. How important is it to be |
| 7 | in D.C. versus I mean we're trying to make |
| 8 | ourselves more relevant, I think. And is it |
| 9 | absence? |
| 10 | DR. BALSIGER: Well, I think it's |
| 11 | more likely that you'd get time with her at |
| 12 | the next meeting if you were in D.C. On the |
| 13 | other hand, if the meeting was here and she |
| 14 | came she got it on her schedule, she'd |
| 15 | probably spend a day with you instead of an |
| 16 | hour. So I don't know how you play those |
| 17 | probabilities. |
| 18 | MR. JONER: So we can have five |
| 19 | meetings away, get her there on the sixth |
| 20 | meeting, we're still ahead. |
| 21 | DR. BALSIGER: Right. |
| 22 | CHAIRMAN RAFTICAN: Mark. |

1 DR. HOLLIDAY: This is not the 2 Agency's position or anything. Just my thought would be that, again, having Dr. 3 Lubchenco here is good in front of the entire 4 group because it makes everyone feel relevant 5 and important. But in terms of substantial 6 7 participation with her on policy issues, I think there's every reason to think that 8 members of MAFAC could meet with her outside 9 10 of a meeting and representatives of either the subcommittees or the chairs could be 11 briefing her on specific topics that would 12 13 then have more of a one-on-one dialogue that would then encourage that kind of an 14 exchange, versus it is very difficult to have 15 a conversation with 25 people around the 16

CHAIRMAN RAFTICAN: Sure.

MR. RISENHOOVER: There's a lot of posturing, there's a lot of protocol. And so I would just encourage that you continue to seek to gain audience. The chair of the

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table.

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| 1 | Science Advisory Board met with the last |
|----|--|
| 2 | Administrator and continues to meet with the |
| 3 | new Administrator outside of the actual |
| 4 | meetings. And I think that's an unused |
| 5 | technique for MAFAC. |
| 6 | CHAIRMAN RAFTICAN: Mark, I'm fine |
| 7 | with that. Like I said, I want to get the |
| 8 | general protocol moving in the right |
| 9 | direction so that we move the document |
| 10 | forward. Once we've got the document, we can |
| 11 | figure out how to do that. |
| 12 | And the other thing is I do think |
| 13 | it's important to meet with Dr. Lubchenco, |
| 14 | probably not in our debating mode, but, you |
| 15 | know, |
| 16 | MR. CATES: Did I hear you right, |
| 17 | Bill, to say that we could call up and say |
| 18 | we're a MAFAC member, we'd like a meeting |
| 19 | with Dr. Lubchenco and get in there? |
| 20 | DR. BALSIGER: You of course can |
| 21 | do that. Likely she will say go meet with |
| 22 | Jim Balsiger. But, nonetheless, when we |

have a couple of opportunity -- have had a couple of opportunities to tell her people that we thought she should meet with in the first week she was there, and then now into her second month. And we put the MAFAC chair and vice chair on of us both times, and so we've gone over that list, she agrees, that's the people she needs to meet with, she just hasn't gotten around to it.

She is going to make time to go to see the council -- the council chairs and executive directors meet next week in Boston.

It's the CCC meeting, so she's going -- she now has made time to go up and see them, so I think she's getting around to some of the advisory groups. And it won't be long before she needs to see somebody.

CHAIRMAN RAFTICAN: Dave.

MR. WALLACE: I'm just going to -there are so many issues that we have that we
need to convey to the Administrator that to
think that we could do that with this whole

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group is highly unlikely. You know she would give us -- she met with the MPA Committee, and Trey and I were there, and she gave us her philosophy. We were able to ask her some questions, but we didn't have -- and she was there half a day, and we didn't have time to get into any of the details. We were just talking about major issues.

And so I think that the best thing we can do is formulate good ideas, get them in writing, and send them to her, and then if she has any question she'll be sure to ask us and she'll just bring it back through the channels to the OA. And that will come back to Mark and then we can address them that way.

CHAIRMAN RAFTICAN: And invite her next time, too. That sounds good.

Any other suggestions on this?

Alright. Well, good. We're good on energy, we're good on spatial planning.

We'll have a document to you folks tomorrow

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| 1 | morning that will be in very rough draft. |
|----|---|
| 2 | And we'll make a presentation to the whole |
| 3 | MAFAC on the rough draft of where we're |
| 4 | going. And then fine tune that within the |
| 5 | next week or so thereafter and get back to |
| 6 | you first, you as the committee, and then |
| 7 | from the committee to the entire MAFAC. |
| 8 | Are we good with that? |
| 9 | MS. FELLER: And I'm sorry. |
| 10 | Just to clarify. Would we want to keep |
| 11 | working on regional ocean governance; is that |
| 12 | |
| 13 | CHAIRMAN RAFTICAN: I want to get |
| 14 | these two out of the way first. And, yeah, |
| 15 | hey, look at, next time first of all, I |
| 16 | was very honored to be chosen as chair, and I |
| 17 | hope I can do a good job. And I'm really |
| 18 | wondering after this meeting. |
| 19 | MS. FELLER: You're doing great. |
| 20 | CHAIRMAN RAFTICAN: But the next |
| 21 | time I will be much more prepared to come |

through with a set agenda that we've got a

| 1 | little bit of background on. |
|----|--|
| 2 | And, again, these guys have done |
| 3 | great work. They've got the documents |
| 4 | together. And, to be honest, I hadn't read |
| 5 | through them one time, and that's simply |
| 6 | not enough. We need to take a closer look |
| 7 | down the line and make sure that we've got |
| 8 | our ducks in a row coming in. And next time |
| 9 | we'll do that. |
| 10 | Go ahead. |
| 11 | MR. JONER: You didn't look this |
| 12 | way when the agreement was or when the |
| 13 | decision was made to table ocean |
| 14 | acidification. I even folded my arms, and |
| 15 | you still didn't |
| 16 | (Laughter.) |
| 17 | CHAIRMAN RAFTICAN: Okay. Hey, |
| 18 | does anybody have to leave right out of here |
| 19 | right now? Because if you don't, you know, |
| 20 | we're taking our issue |
| 21 | MR. JONER: Oh, no, I don't want |

22

-- I don't want that.

| 1 | CHAIRMAN RAFTICAN: of |
|----|---|
| 2 | governance and bring in the candlelight. |
| 3 | MR. JONER: But I just think there |
| 4 | are some things we could do. I mean we're |
| 5 | not going to solve it now. We certainly need |
| 6 | to get a handle on it. And I think there's |
| 7 | some you know, we need to get some |
| 8 | dedicated system monitoring going because |
| 9 | that's going to affect a lot of the other |
| 10 | things. |
| 11 | DR. HOLLIDAY: So along those |
| 12 | lines, did you know that ocean acidification |
| 13 | is an overarching threat to the continued |
| 14 | functioning of a healthy, resilient ecosystem |
| 15 | that's essential to the accomplishment of |
| 16 | food security and economic objectives of the |
| 17 | nation? Did you know that? |
| 18 | MS. FOY: Oh, I'll second that. |
| 19 | DR. HOLLIDAY: By the end of this |
| 20 | decade |
| 21 | CHAIRMAN RAFTICAN: Does anybody |
| 22 | have a problem |

| 1 | DR. HOLLIDAY: I'm not done. |
|----|---|
| 2 | By the end of this decade, pH |
| 3 | could decrease by as much as 0.3 to 0.4 pH |
| 4 | units. Time is critical to conduct research |
| 5 | on impacts and vulnerabilities and create an |
| 6 | observational network for ocean |
| 7 | acidification. That's a priority for NOAA |
| 8 | and any Agency mission related to healthy |
| 9 | oceans, and MAFAC supports early resources to |
| 10 | implement FOARAM, as recommended in the |
| 11 | legislation. |
| 12 | Something like that. |
| 13 | CHAIRMAN RAFTICAN: Bill, did I |
| 14 | hear a motion? |
| 15 | MR. WALLACE: I'll move that |
| 16 | MR. DEWEY: Well, wait, hang on a |
| 17 | second now. I like that. |
| 18 | DR. HOLLIDAY: No, this is |
| 19 | MR. DEWEY: That was good. |
| 20 | DR. HOLLIDAY: to get you guys |
| 21 | started. |
| 22 | MR. DEWEY: At the beginning of |

| 1 | the meeting you put the 800-pound gorilla in |
|----|---|
| 2 | the closet and unfortunately I'm in the |
| 3 | closet with it. So I was typing |
| 4 | MR. JONER: Halfway in the door. |
| 5 | MR. DEWEY: I was typing a |
| 6 | recommendation over here while everyone was |
| 7 | working on everything else. And I wasn't |
| 8 | going to let it go before we left the room. |
| 9 | MS. FOY: So what you're saying is |
| 10 | you're coming out of the closet. |
| 11 | MR. DEWEY: So it's close |
| 12 | actually close to what Mark came up with |
| 13 | independently across the room. Mine was just |
| 14 | I had a draft recommendation: |
| 15 | Due to the dire potential effects |
| 16 | of ocean acidification on marine resources, |
| 17 | MAFAC urges appropriation of the funding |
| 18 | authorized by the FOARAM Act of 2009, HR 146, |
| 19 | to establish an interagency committee to |
| 20 | develop an ocean acidification research and |
| 21 | monitoring plan and to establish an ocean |
| l | |

acidification program within NOAA.

Once

| 1 | established, the NOAA ocean acidification |
|----|---|
| 2 | program should prioritize interagency |
| 3 | coordinated monitoring and research on the |
| 4 | consequences of ocean acidification and |
| 5 | marine ecosystems. Research should include |
| 6 | adaption strategies for fisheries and |
| 7 | aquaculture, and techniques for effectively |
| 8 | conserving marine ecosystems as they cope |
| 9 | with increased ocean acidification. |
| 10 | And I was just |
| 11 | CHAIRMAN RAFTICAN: Was that |
| 12 | MR. DEWEY: I was paraphrasing |
| 13 | mostly from the PowerPoint slide. |
| 14 | CHAIRMAN RAFTICAN: Okay. |
| 15 | MR. JONER: And I need to take |
| 16 | just a little bit of what Mark read and put |
| 17 | it in there, just at this hour of the day my |
| 18 | brain's not in full gear, but I just think it |
| 19 | could use a little bit of that. |
| 20 | MR. DEWEY: Mark provided more |
| 21 | background on the dire consequences. |
| 22 | MR. JONER: Right. Yeah, I don't |

| 1 | like the dire consequences. |
|----|--|
| 2 | MR. DEWEY: I want |
| 3 | MR. JONER: I like the detail. |
| 4 | CHAIRMAN RAFTICAN: Could I have a |
| 5 | second on the motion, including Mark's dire |
| 6 | consequence? |
| 7 | DR. HOLLIDAY: You don't need to |
| 8 | move any of it. I mean you can look at this |
| 9 | tomorrow morning. |
| 10 | CHAIRMAN RAFTICAN: Okay. |
| 11 | DR. HOLLIDAY: But it's a way |
| 12 | forward if you do want to consider ocean |
| 13 | acidification tomorrow morning. If Bill |
| 14 | sends me an email I can hobble this together |
| 15 | for you to consider in the morning, and you |
| 16 | can take a motion if you want to use it or |
| 17 | not. |
| 18 | MS. FOY: I would be much more |
| 19 | comfortable with that than simply closeting. |
| 20 | CHAIRMAN RAFTICAN: So all in |
| 21 | favor cross their arms. |
| 22 | (Laughter.) |

1 CHAIRMAN RAFTICAN: Thank you. Anything else? 2 MS. DOERR: To get back to Erika's 3 question about regional governance, could the 4 outline that we're going to look at tomorrow 5 morning include just saying that we're going 6 to continue work on a recommendation 7 regarding regional ocean governance and we'll 8 work on it -- you know, it will be a conflict 9 10 we'll work on between now and --CHAIRMAN RAFTICAN: I think that's 11 fair enough and I think we're going to try 12 13 and put forward three distinct things that we're going to deal with. That is clearly 14 15 understood as the fourth, and I'll include it 16 in the report to the full Committee tomorrow -- if that's okay with everybody. 17 Okay. Heather. 18 19 MS. McCARTY: Chairman, I just wanted to tell you real quickly what we 20 discussed in relation to these issues over 21

there.

| 1 | CHAIRMAN RAFTICAN: Okay. |
|----|--|
| 2 | MS. McCARTY: We discussed taking |
| 3 | the 2020 document and updating it to include |
| 4 | these challenges that we were told about in |
| 5 | the last day and a half and talk about some |
| 6 | of the ways that NOAA might respond to it. |
| 7 | And so we included that in our strategic |
| 8 | planning discussion, but just on a real |
| 9 | surface basis we just made those |
| 10 | recommendations. So we're going to write |
| 11 | that up and have it for the full Committee |
| 12 | tomorrow. |
| 13 | CHAIRMAN RAFTICAN: I think Randy |
| 14 | actually brought precisely that same thing |
| 15 | up, that we we're looking to amend the |
| 16 | 2020 with the stuff that we're going |
| 17 | MS. McCARTY: Yeah, so that's what |
| 18 | |
| 19 | (Multiple comments.) |
| 20 | CHAIRMAN RAFTICAN: Anything else? |
| 21 | MR. JONER: So we have synergy. |
| 22 | DR. BALSIGER: But no energy. |

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the agenda?

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CHAIRMAN RAFTICAN: How about

dinner -- no, seriously. Any other things on

MR. DEWEY: Tom, I guess I'm not

personally comfortable not trying to grabble

with the governance issue, because, quite

honestly, I think that we're going with

spatial planning. And I think that is --

Erika made a great remark or last comment

there. That is a tool for governance. We

may not have the answer for governance, but

we may want to suggest that that regional

governance structure evolve and we'll work on

it. But immediately a tool to help develop

that is the spatial planning.

CHAIRMAN RAFTICAN: I suspect that

when we take a look at the document we're

probably going to have some of the pieces of

governance sitting there, not in concrete but

sitting there. And if we're all comfortable

with that spatial planning moving forward

like that and take a look at it in the

| 1 | morning, I would be comfortable saying this |
|----|---|
| 2 | is the first step towards a governance |
| 3 | system. I just don't again, at six |
| 4 | o'clock |
| 5 | MR. DEWEY: I definitely I |
| 6 | definitely understand |
| 7 | CHAIRMAN RAFTICAN: at night I |
| 8 | absolutely agree with you. Just the thing is |
| 9 | we got the stuff late and we're getting into |
| 10 | difficult, difficult areas, and how do we get |
| 11 | I want to make sure that we take as much |
| 12 | from here as we possibly can. |
| 13 | And what would your suggestion be? |
| 14 | MR. DEWEY: Well, it's just I'm |
| 15 | not trying to suggest that we're going to |
| 16 | arrive at a recommendation for governance. |
| 17 | But we need to acknowledge it's an issue. |
| 18 | And I think that as we make the spatial |
| 19 | planning recommendation, we link it to it by |
| 20 | saying that this is a vital tool. We see a |
| 21 | need for regional governance for |

ecosystem-based management and this spatial

| 1 | planning is a critical tool for that as it |
|----|--|
| 2 | evolves. |
| 3 | CHAIRMAN RAFTICAN: Are we |
| 4 | comfortable with that? |
| 5 | MR. DEWEY: I am. |
| 6 | CHAIRMAN RAFTICAN: Anything else? |
| 7 | Motion to adjourn? |
| 8 | MR. DEWEY: So moved. |
| 9 | MR. CATES: Second. |
| 10 | CHAIRMAN RAFTICAN: See you in the |
| 11 | morning. |
| 12 | MR. DEWEY: Nice job. |
| 13 | (The Ecosystem and Climate |
| 14 | Subcommittee meeting was adjourned at 5:54 |
| 15 | p.m.) |
| 16 | |
| 17 | |
| 18 | |
| 19 | |
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| 21 | |