Topics and Techniques for Forensic DNA Analysis

Capillary Electrophoresis Fundamentals

and Troubleshooting

Florida Statewide Training Meeting

Indian Rocks Beach, FL May 12-13, 2008



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Questions?

- What are your biggest challenges with keeping your ABI 310/3100/3130xl running?
- What kind of signal intensity variation are you seeing between your different instruments?
- Have anyone seen uneven injection across a sample plate? (We believe this to be an autosampler calibration issue...e.g., position G10 or H12 does not inject properly)

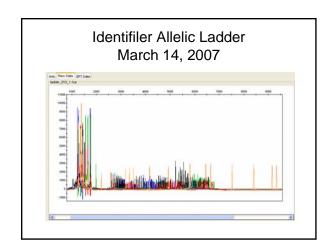
Planned Promega 2008 Meeting Troubleshooting Workshop

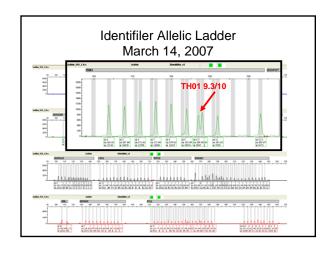
- Title: "Principles of Interpretation and Troubleshooting of Forensic DNA Typing Systems"
- Instructors: John Butler (NIST) and Bruce McCord (FIU)
- Date: October 16, 2008 with Promega Int. Symp. Human ID

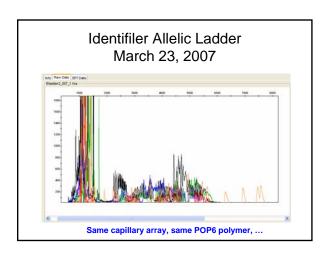
The workshop will consist of three parts:

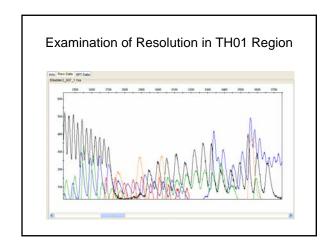
- (1) a through examination of theoretical issues with capillary electrophoresis PCR amplification of short tandem repeat markers
- (2) a discussion of how to properly set instrument parameters to interpret data (including mixtures), and (3) a review of specific problems seen by labs submitting problematic data and commentary on possible troubleshooting solutions.

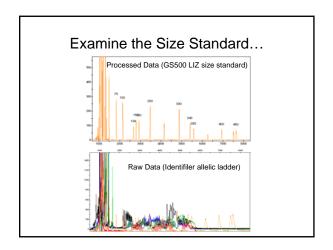
Seeking input of problems observed with CE systems

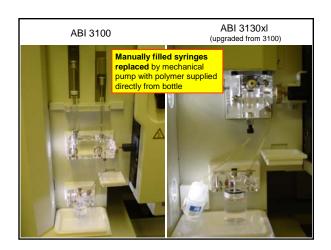


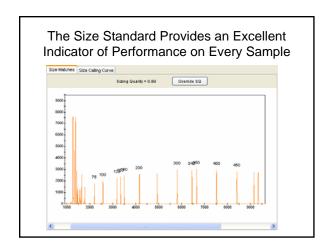


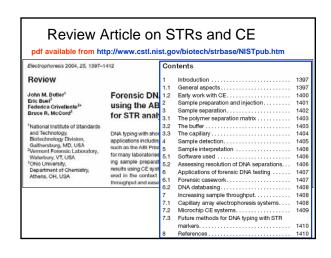


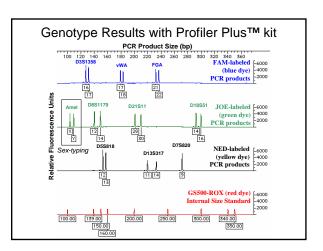


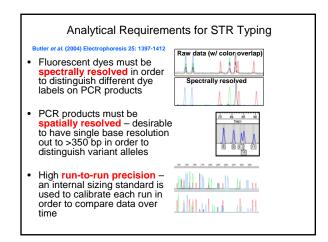


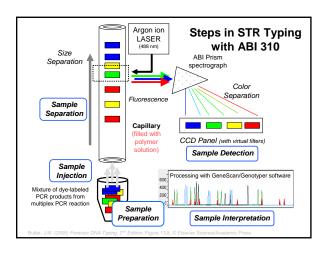


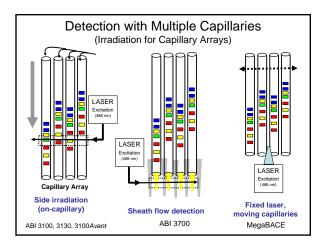












Process Involved in 310/3100 Analysis

- Separation
 - Capillary 50um fused silica, 43 cm length (36 cm to detector)
 - POP-4 polymer Polydimethyl acrylamide
 - Buffer TAPS pH 8.0
 - Denaturants urea, pyrolidinone
- Injection
 - electrokinetic injection process (formamide, water)
 - importance of sample stacking
- Detection
 - fluorescent dyes with excitation and emission traits
 - CCD with defined virtual filters produced by assigning certain pixels

Ohm's Law

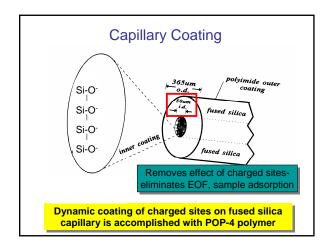
- V = IR (where V is voltage, I is current, and R is resistance)
- Current, or the flow of ions, is what matters most in electrophoresis
- CE currents are much lower than gels because of a higher resistance in the narrow capillary
- CE can run a higher voltage because the capillary offers a higher surface area-to-volume ratio and can thus dissipate heat better from the ion flow (current)

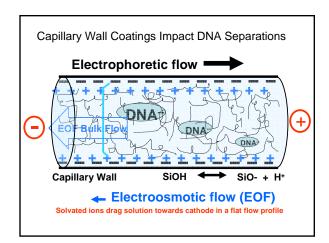
Separation Issues

- Electrophoresis buffer -
 - Urea for denaturing and viscosity
 - Buffer for consistent pH
 - Pyrolidinone for denaturing DNA
 TDTA for stability and aboleting a
 - EDTA for stability and chelating metals
- Polymer solution -- POP-4 (but others work also)
- Capillary wall coating -- dynamic coating with polymer
 Wall charges are masked by methyl acrylamide
- Run temperature -- 60 °C helps reduce secondary structure on DNA and improves precision. (Temperature control affects DNA sizing)

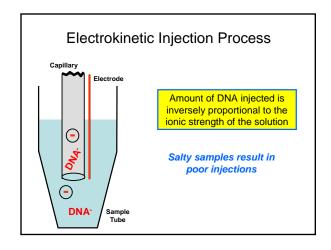
Why TAPS instead of Tris-borate (TBE) buffer?

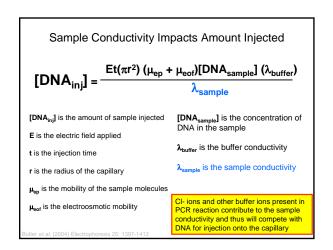
- TBE is temperature/pH sensitive
 - $-\,$ as temperature increases, the pH decreases (0.02 pH units with every 1 °C); this is the principle by which TaqGold activation works
- At lower pH, fluorescence emission of dyes decreases
 - see Singer and Johnson (1997) Proceedings of the Eighth International Symposium on Human Identification, pp. 70-77
- Thus when running at 60 °C on the ABI 310, if Tris-borate was used, fluorescent intensity of PCR products would be lower





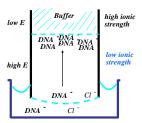
How to Improve Resolution? 1. Lower Field Strength 2. Increase Capillary Length 3. Increase Polymer Concentration 4. Increase Polymer Length





Two Major Effects of Sample Stacking

- 1. Sample is preconcentrated. Effect is inversely proportional to ionic strength
- 2. Sample is focused. Ions stop moving in low electric field
- 3. Mobility of sample = μ_{ep} = velocity/ electric field



Steps Performed in Standard Module

See J.M. Butler (2005) Forensic DNA Typing, 2nd Edition; Chapter 14

- Capillary fill polymer solution is forced into the capillary by applying a force to
- Pre-electrophoresis the separation voltage is raised to 10,000 volts and run for 5 minutes;
- Water wash of capillary capillary is dipped several times in deionized water to remove buffer salts that would interfere with the injection
- Sample injection the autosampler moves to position A1 (or the next sample in the sample set) and is moved up onto the capillary to perform the injection; a voltage is applied to the sample and a few nanoliters of sample are pulled onto the end of the capillary; the default injection is 15 kV (kilovolts) for 5 seconds
- Water wash of capillary capillary is dipped several times in waste water to remove any contaminating solution adhering to the outside of the capillary
- Water dip capillary is dipped in clean water (position 2) several times
- Flectrophoresis autosampler moves to inlet buffer vial (position 1) and separation voltage is applied across the capillary; the injected DNA molecules begin separating through the POP-4 polymer solution

 Detection data collection begins; raw data is collected with no spectral deconvolution of the different dye colors; the matrix is applied during Genescan analysis

Comments on Sample Preparation

- Use high quality formamide (<100 μS/cm)!
 - ABI sells Hi-Di formamide
 - regular formamide can be made more pure with ion exchange
- Deionized water vs. formamide
 - Biega and Duceman (1999) J. Forensic Sci. 44: 1029-1031
 - Crivellente, Journal of Capillary Electrophoresis 2002, 7 (3-4), 73-80.
 - water works fine but samples are not stable as long as with formamide; water also evaporates over time...
- Denaturation with heating and snap cooling
 - use a thermal cycler for heating and cold aluminum block for snap cooling
 - heat/cool denaturation step is necessary only if water is substituted for formamide...

January 6, 2005 Letter from Applied Biosystems to ABI 310 Customers

- "Testing has shown that Hi-Di Formamide denatures DNA without the need to heat samples..."
- In other words, no heat denaturation and snap cooling needed!

Applied Biosystems Okays Use of Deionized Water for DNA Sequencing

Technical Bulletin #1

Issued August 2006

Applied Biosystems 3730/3730xl DNA Analyzer

Subject: Influence of Sequencing Injection Solution on 3730/3730xl DNA Analyzer Performance

In this Bulletin:

- Three Loading Solutions Tested on Page 1
- Loading Solution Test Data on Page 2
- Recommendations on Page 6
 Guidelines for Use on Page 6

Three Loading Solutions Tested

Loading Solution Background

Applied Biosystems presently recommends the use of Hi-DiTM Formamide as the sample-loading solution for all Applied Biosystems DNA sequences to ensure sample preservation and reveistance to exporation. However, many users of the 3730 choose either decinized water or dilute EDTA solutions. These choices are driven largely by cost and safety/hazardous material considerations.

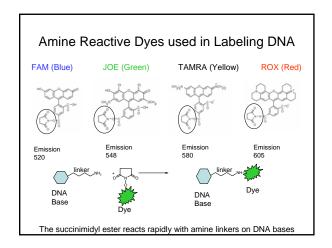
Detection Issues

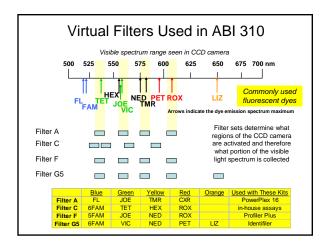
- Fluorescent dyes
 - spectral emission overlap
 - relative levels on primers used to label PCR products
 - dye "blobs" (free dye)
- · Virtual filters
 - hardware (CCD camera)
 - software (color matrix)

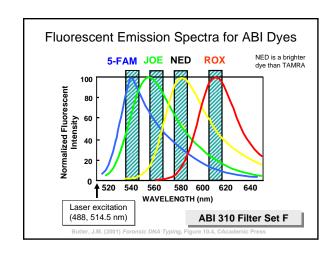
Filters determine which wavelengths of light are collected onto the CCD camera

Fluorescent Labeling of PCR Products

- Dyes are attached to one primer in a pair used to amplify a STR marker
- Dyes are coupled to oligonucleotides (primers) through NHS-esters and amine linkages on the 5'end of the primer: Dye-(CH₂)₆-primer
- Dye-labeled oligonucleotides are incorporated during multiplex PCR amplification giving a specific color "tag" to each PCR product
- PCR products are distinguished using CCD imaging on the 310

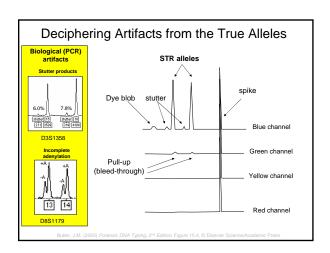




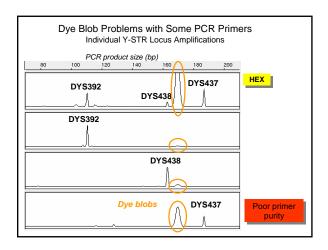


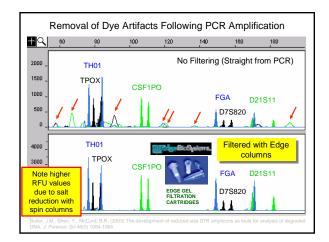
Please Note!

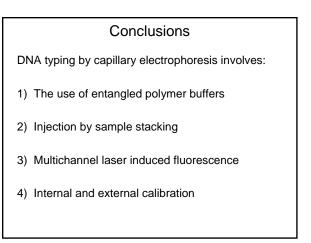
- There are no filters in a 310
- Its just the choice of pixels in the CCD detector
- All the light from the grating is collected
- · You just turn some pixels on and some off



Dye Blobs ("Artifacts") • Free dye (not coupled to primer) can be injected into the CE capillary and interfere with detection of true STR alleles • Dye blobs are wider and usually of less intensity than true STR alleles (amount depends on the purity of the primers used) • Dye blobs usually appear at an apparent size that is unique for each dye (e.g., FAM ~120 bp, PET ~100 bp) Poor primer purity HEX dye blob DYS437







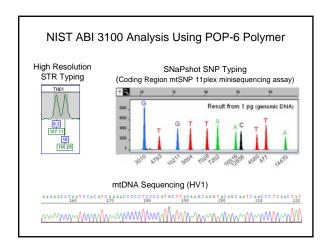
Practical Aspects of ABI 310/3100 Use

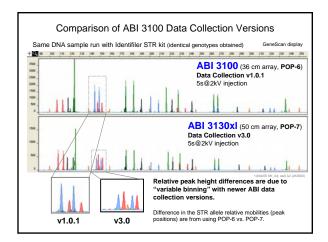
ABI 310 x 2 (originally with Mac, then NT) - 1st was purchased in 1996 - 2nd was purchased in June 2002 • ABI 3100 (Data collection v1.0.1) - Purchased in June 2002 - Original data collection software retained • ABI 3130xl upgrade (Data collection v3.0) - Purchased in April 2001 as ABI 3100 - Upgraded to ABI 3130xl in September 2005 - Located in a different room

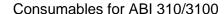
Our Use of the ABI 3100

- Data collection software, version 1.0.1
- POP-6 with 36 cm capillary array
- · STR kits and in-house assays for autosomal STRs, Y-STRs, and miniSTRs
- · SNaPshot assays for mtDNA SNPs, Y-SNPs, and autosomal SNPs
- · DNA sequencing for mtDNA and STR repeat sequencing

We can routinely get more than 400 runs per capillary array by not changing the polymer between applications







What we use at NIST

- A.C.E.TM Sequencing Buffer 10X (Amresco)
 \$155/L = \$0.0155/mL 1X buffer (costs 20 times less!)
 - http://www.amresco-inc.com
- 3700 POP-6 Polymer (Applied Biosystems)

\$530 / 200 mL = \$2.65/mL (costs 20 times

What ABI protocols suggest

- 10X Genetic Analyzer Buffer with EDTA
- \$78/25 mL = \$0.312/mL 1X buffer (ABI)
- 3100 POP-4 Polymer \$365 / 7 mL = \$52/mL

2004 prices

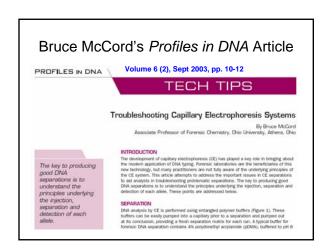
Maintenance of ABI 310/3100/3130

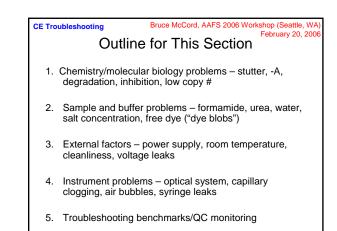
- Syringe leaks cause capillary to not fill properly
- Capillary storage & wash it dries, it dies!
- Pump block cleaning helps insure good fill
- · Change the running buffer regularly

YOU MUST BE CLEAN AROUND A CE!

Overall Thoughts on the ABI 310/3100/3130

- · Settling on a common instrument platform has been good for the forensic DNA community in terms of data consistency (this is also true with the use of common STR kits)
- I am concerned that the community is very dependent primarily on one company...
- · I really like using the instrument and can usually get nice data from it
- · Like any instrument, it has its quirks...



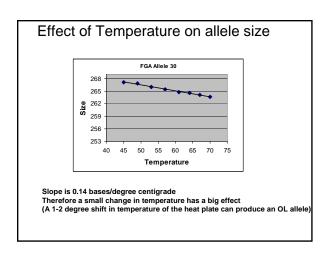


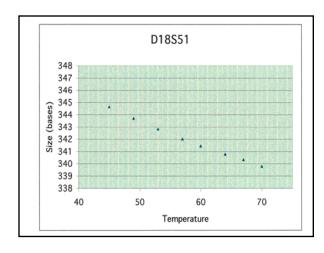
3. External Factors

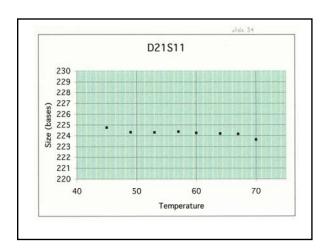
- · Room temperature
 - Variations in room temperature can cause mobility shifts with band shifts and loss of calibration
 - Temperature is also important due to effects of high humidity on electrical conductance

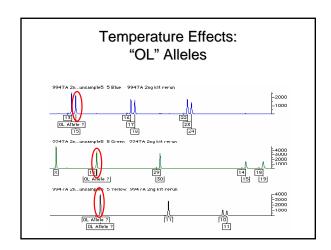
Cleanliness

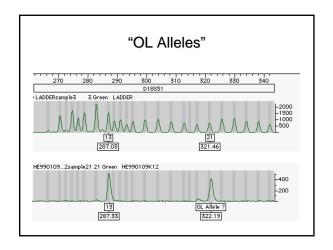
- Urea left in sample block can crystallize and catalyze further crystal formation causing spikes, clogs and other problems.
- Best bet is to keep polymer in system and not remove or change block until polymer is used up.

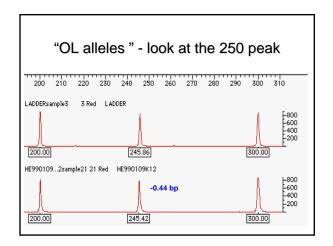


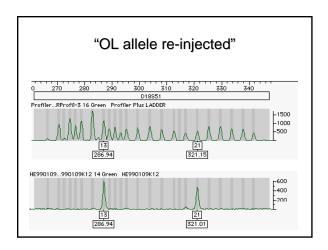


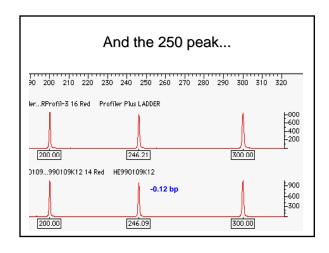


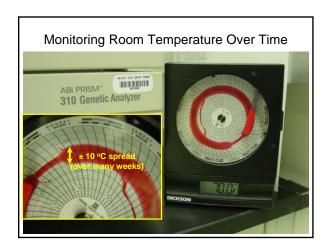


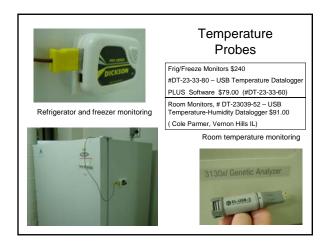


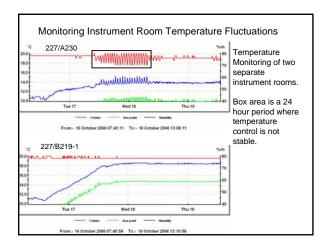


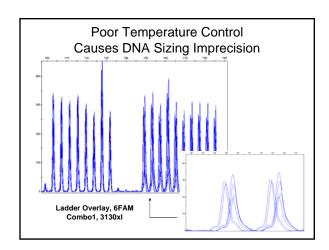


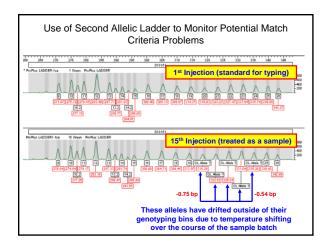






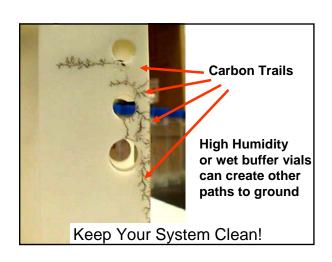






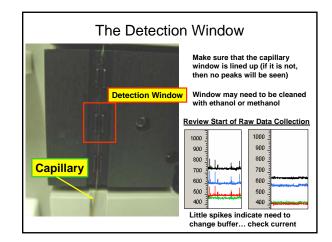
Cleanliness

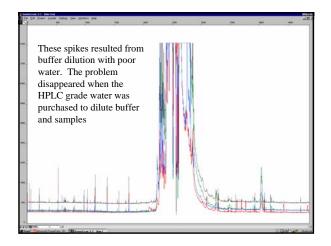
- Urea sublimates and breaks down to ionic components these find a path to ground
- Similarly wet buffer under a vial creates paths to ground
- Capillary windows must be clear or matrix effects will occur
- · Laser will often assist in this process
- · Vial caps will transfer low levels of DNA to capillary



4. Instrumental Factors

- · Optical System
 - Sensitivity changes with age, capillary diameter, capillary cleanliness, instrument calibration
- · Fluidic System
 - Effects of bubbles, dust, urea crystals, leaks in syringe and capillary ferrule
- Matrix Calculations
 - Changes in buffer, optics, sample dye can alter the software calibrations
- · Capillary Problems
 - Chemisorbed materials on capillary surface can produce osmotic flow, DNA band broadening and inconsistent resolution (meltdowns)





Beware of Urea Crystals



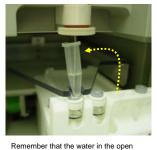
Urea crystals have formed due to a small leak where the capillary comes into the pump block

Urea sublimates and can evaporate to appear

Use a small balloon to better grip the ferrule and keep it tight

Pump block should be well cleaned to avoid problems with urea crystal formation

Storage when ABI 310 is not in use

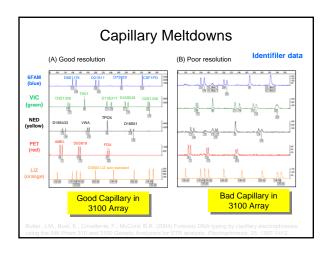


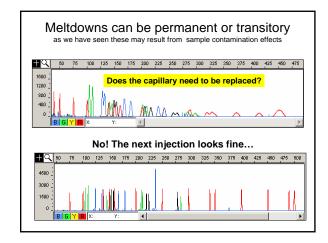
tube will evaporate over time.

- Keep inlet of capillary in water...if it dries out then urea crystals from the polymer will clog the opening
- The waste vial (normally in position 3) can be moved into position
- A special device can be purchased from Suppelco to rinse the capillary off-line
- Store in distilled water
- Note that the laser is on when the instrument is on

Buffer Issues

- The buffer and polymer affect the background fluorescence- affecting the matrix
- Urea crystals and dust may produce spikes
- High salt concentrations may produce reannealing of DNA
- · High salt concentrations affect current
- Low polymer concentrations affect peak resolution





Meltdowns may be the result of

- Bad formamide
- Excess salt in sample/renaturation
- · Water in the polymer buffer
- Syringe leak or bottom out
- · Poisoned capillary
- Conductive polymer buffer due to urea degradation
- · Crack/shift in capillary window
- · Detergents and metal ions

5. Troubleshooting benchmarks

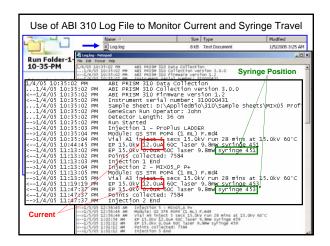
- · Monitor run current
- · Observe syringe position and movement during a batch
- Examine ILS (ROX) peak height with no sample
- Observe "250 bp" peak in GS500 size standard
- Monitor resolution of TH01 9.3/10 in allelic ladder and size standard peak shapes
- · Keep an eye on the baseline signal/noise
- · Measure formamide conductivity
- Reagent blank are any dye blobs present?
- See if positive control DNA is producing typical peak heights (along with the correct genotype)

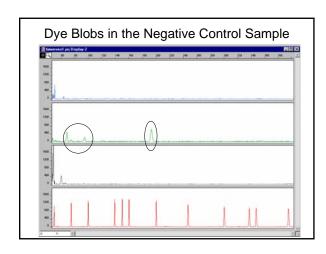
Measurement of Current

- V/I = R where R is a function of capillary diameter, [buffer], and buffer viscosity
- In a CE system the voltage is fixed, thus changes in resistance in the capillary will be reflected in the current observed
- Air bubbles, syringe leaks, alternate paths to ground, changes in temperature, changes in zeta potential, and contamination, will be reflected in the current
- A typical current for a CE system with POP4 buffer is 8-12 µA (microamps)

Syringe Travel

- The ABI 310 instrument also keeps track of the position of the syringe (in the log file)
- Depending on the resistance to flow, the syringe will travel different lengths
- Syringe leaks may be reflected in a longer distance traveled prior to each injection
- These leaks occur around the barrel of the syringe and at the connection to the capillary block





Conclusion:

Troubleshooting is more than following the protocols It means keeping watch on all aspects of the

2. Keeping track of current and syringe position

4. Watching and listening for voltage spikes 5. Monitoring room temperature and humidity

1. Monitoring conductivity of sample and

3. Watching the laser current

operation

formamide

