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# sec news digest

Issue 78-18

January 26, 1978

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## RULES AND RELATED MATTERS

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### NOTICE OF EXPIRATION OF TEMPORARY AMENDMENT

The Commission has announced that it does not intend to extend beyond its scheduled expiration date of January 31, the temporary exemption in Securities Exchange Act Rule 15c2-11(f) (4) (T), 17 CFR 240.15c2-11(f) (4) (T), which facilitates the operation of a weekly quotation service for securities traded over-the-counter. (Rel. 34-14413)

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## COURT ENFORCEMENT ACTIONS

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### FIRST LIBERTY CORPORATION ENJOINED

The Atlanta Regional Office and the Miami Branch Office announced that on January 12 the Honorable William Terrell Hodges, Judge, U.S. District Court, Middle District of Florida, Tampa Division, issued a final judgment of permanent injunction against First Liberty Corporation. The order, which permanently enjoins First Liberty from further violations of the registration and antifraud provisions of the securities laws also provides, under a plan to be subsequently approved by the Court, for the return to First Liberty shareholders of more than \$1,200,000, constituting the proceeds from the sale of First Liberty's common stock. The defendant consented to the entry of this order without admitting or denying the allegations in the Commission's complaint. (SEC v. First Liberty Corporation, et al., M. D. Fla., Civil Action No. 77-623-CIV-TH) (LR-8268)

### COMPLAINT NAMES POCAHONTAS COAL RESERVES OF W. VA., INC., OTHERS

The Chicago Regional Office announced that on January 16 the Commission filed a complaint in Federal District Court in Indianapolis (Southern District of Indiana), against Asset Management Corporation; Asset Development Company, Inc.; Asset Securities, Inc.; Buddy C. Stanley; David L. Kimball; Richard D. Hodgin, all from Indianapolis, Indiana; Pocahontas Coal Reserves of W. Va., Inc. (P.C.R.); Pocahontas Coal Processors, Inc.; Dominick E. Bartone, Northfield, Ohio; Edward E. Holschuh, Columbus, Ohio; Harold Franklin, Hudson, Ohio; and Shirley Dixon, Chagrin Falls, Ohio, seeking a preliminary and permanent injunction from further violations of the registration and antifraud provisions of the securities laws in the sale of securities in the form of limited partnerships of A. M. Coal Partners 1976 A through E and/or the sale of promissory notes of P.C.R. The Commission's complaint also seeks from Asset Development, Dominick Bartone, Edward Holschuh, Harold Franklin, and Shirley Dixon an accounting of funds raised from investors and disgorgement of any and all funds or other assets which they have received as a result of their sales of the securities. (SEC v. Asset Management Corporation, et al., S.D. Ind., Civil Action No. IP-78-34-C) (LR-8269)

### TEMPORARY RESTRAINING ORDER ENTERED AGAINST GARY L. OELSEN, OTHERS

The Denver Regional Office announced that on January 13, in connection with a complaint, application for temporary restraining order and motion for preliminary injunction filed by the Commission, a temporary restraining order was entered in U.S. District Court for the District of New Mexico by Chief Judge Wearle H. Payne, restraining Gary L. Oelsen and Jack W. Kelly of Memphis, Tennessee, Dwayne R. Young of Clovis, New Mexico, John Henry Young of Portales, New Mexico, and J. Troy Wolfe, Jr., an attorney in Chattanooga, Tennessee, from attempting to purchase the securities of United Savings and Loan Association of Los Alamos, New Mexico pending determination of the Commission's motion for preliminary injunction. The Commission's complaint alleges violations of the anti-fraud provisions of the securities laws in two successive tender offers to purchase the securities of United Savings and Loan Association. (SEC v. Gary L. Oelsen, et al., USDC N. Mex., Civil Action No. 78-031-B) (LR-8270)

## JAMES J. DOYLE INDICTED

The Chicago Regional Office announced that on January 13 a fifty-two count indictment was returned which charged James J. Doyle with aiding and abetting the filing of false income tax returns, interstate transportation of stolen securities, violations of the antifraud provisions of the securities laws, and transporting currency outside the U.S. without filing required reports. The indictment charged Doyle, formerly a registered principal with a Minneapolis, Minnesota brokerage firm, with fraudulent nondisclosures and misrepresentations in his securities transactions with customers. (U.S. v. James Joseph Doyle a/k/a Humbert John Battisti, D. Minn., C-2150). (LR-8271)

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## INVESTMENT COMPANY ACT RELEASES

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### NEWTON SELECT FUND

A notice has been issued giving interested persons until February 21 to request a hearing on an application of Newton Select Fund, Inc., declaring that it has ceased to be an investment company. (Rel. IC-10102 - Jan. 25)

### SUPER MARKET CAPITAL INVESTMENT COMPANY

An order has been issued declaring that Super Market Capital Investment Company, registered under the Act as a closed-end, non-diversified management company, has ceased to be an investment company. (Rel. IC-10103 - Jan. 25)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### DELISTING OF PACIFIC RESOURCES, INC. STOCK DEFERRED

The Commission issued an order extending until March 24 the date upon which the delisting of Pacific Resources, Inc. stock from the Pacific Stock Exchange becomes effective and extending until that date the exemption of certain persons from the requirements of Rule 17a-15 under the Securities Exchange Act of 1934 relating to last sale reporting of Pacific Resources, Inc. stock transactions in the over-the-counter market. (Rel. 34-14409)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGE

The New York Stock Exchange, Inc. has filed a proposed new rule and implementing resolution pursuant to Rule 19b-4 (SR-NYSE-77-33) regarding the collection of regulatory fees. Publication of the proposal is expected to be made in the Federal Register during the week of January 30. (Rel. 34-14412)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the New York Stock Exchange, Inc. The rule change (SR-NYSE-77-31) rescinds NYSE Rule 357 which requires members and member organizations to keep on file for two weeks all communications sent and received over private wires. Rule 357 was determined to be superfluous since Securities Exchange Act Rule 17a-4(b)(4) requires all exchange members, brokers and dealers to maintain originals of all communications received and copies of all communications sent relating to their business as exchange members, brokers and dealers for three years. (Rel. 34-14410)

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. The following companies or individuals filed Schedule 13D's during the period January 3 - 10, 1978. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner. \*Amended Acquisition Reports.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
Iroquois Brands, Ltd.	Archon Inc. (City of Industry, CA) Common Stock - 674,604 shs. (99%) (306,238 of above shares were acquired pursuant to the Tender Offer.)	1-3-78
Crocker National Corp. Crocker National Bank (Wholly-owned subsidiary of Crocker National Corp.)	Chase Manhattan Mortgage and Realty Trust (Hyannis, MA) Common Stock - 296,004 shs. on exercise of Warrant	1-3-78
Royal Bank of Canada (Canada)	Chase Manhattan Mortgage and Realty Trust (Hyannis, MA) Common Stock - 516,310 shs. (10%) on exercise of a Warrant	1-3-78
Michael M. Cantor	Continental Plastics & Chemicals, Inc. (Avenel, NJ) Common Stock - 58,200 shs.	1-3-78
Rusar, Inc. (Wholly-owned subsidiary of REK Corp.)	Don Hirschhorn, Inc. (Carlstadt, NJ) Common Stock - 495,000 shs. (Rusar also has an Option to acquire an additional 2,513,218 shares. Rusar also holds proxies to vote 758,750 shares.)	1-3-78
Goldman, Sachs & Co.	Louisiana Power & Light Co. (New Orleans, LA) 6.44% Preferred Stock - 5,500 shs. 5.40% Preferred Stock - 4,000 shs.	1-3-78
American General Insurance Company	Fred Meyer, Inc. (Portland, OR) Common Stock - 69,141.08783 shs.	1-3-78
Sears, Roebuck and Co. Allstate Insurance Co. (Wholly-owned subsidiary of Sears, Roebuck and Co.) Northbrook Insurance Co. (Wholly-owned subsidiary of Allstate Insurance Co.)	Northern Indiana Public Service Co. (Hammond, IN) 7-3/4% Preferred Stock - 100,000 shs.	1-3-78
Stebly Corporation	Phototron Corp. (Rialto, CA) Common Stock - 590,589 shs. (92%) (Above shares acquired from Mr. Stebly, members of his family and certain family trusts in exchange for 590,589 shares of Common Stock of Stebly Corp.)	1-3-78
Susan Falk	Struthers Capital Corporation (NYC) Common Stock - 37,152 shs.	1-3-78
Article 4th Trust u/t/w Harry Rapoport and Article 5th Trust u/t/w Harry Rapoport, Anna Rapoport and/or Jerome Rapoport, Trustee	Struthers Capital Corporation (NYC) Common Stock - 35,428 shs.	1-3-78
* Schultz Investments Ltd. Jeffrey A. Schultz	API Trust (NYC) Shares of Beneficial Interest - 196,509	1-3-78

ACQUISITION REPORTS CONT.

* Schultz Investments, Ltd.	Barnett-Winston Investment Trust (Jacksonville, FL) 8 $\frac{1}{2}$ % Convertible Debentures - 7,594 (25.3%)	1-3-78
* Prudential Insurance Company of America	Burdox, Inc. (Cleveland, OH) Common Stock - 300,000 shs. (Gas Accumulator Corp. (Sweden) offered Prudential to purchase any and all shares of Common Stock and Warrants.)	1-3-78
* Care Trust	General Real Estate Shares (Detroit, MI) Common Stock - 51,895 shs.	1-3-78
* George Sailor Gene Fruhling C. Dick Behm	Morehouse Industries, Inc. (Fullerton, CA) Common Stock - 78,269 shs. (In addition, the above have rights to acquire 26,390 shares.)	1-3-77
* Bridget B. Baird, Individually and as seccessor Trustee Cameron Baird Foundation Brent D. Baird, Individually and as Trustee Jane D. Baird, et al	Northwestern Financial Investors (Charlotte, NC) Shares of Beneficial Interest - 170,200 (11.27%)	1-3-78
* Jacques Amselem (France)	Shopwell, Inc. (Bronx, NY) Common Stock - 148,400 shs.	1-3-78
* Glenn Harz Victor Harz Alvin Kadohl Arlene Harz, et al (Stockholder Group)	Struthers Capital Corp. (NYC) Common Stock - 68,909 shs. (12.2%) (V. Harz has obtained a proxy to vote an additional 37,152 shares (6.6%) granted by Susan Falk)	1-3-78
* Woodward Governor Company Deferred Profit Sharing Plan	Woodward Governor Company (Rockford, IL) Common Stock - 25,162 shs. (13.2%)	1-3-78
Merrill Lynch, Pierce, Fenner & Smith, Inc. (Wholly-owned subsidiary of Merrill Lynch & Co. Inc.)	Airco, Inc. (Montvale, NJ) 3-7/8% Convertible Debentures - \$4,814,000	1-4-78
* Phyllis Malkin Cohen Barcone Pension Trust Barry F. Cohen	Clausing Corporation (Kalamazoo, MI) Common Stock - 73,400 shs.	1-4-78
* Dr. Harold B. Ehrlich	Ealing Corp. (South Natick, MA) Common Stock - 81,000 shs.	1-4-78
* GRP Investments N.V. (Netherland Antilles) (Wholly-owned by Ghaith Pharaon (Saudi Arabia)	Sam P. Wallace Co. Inc. (Dallas, TX) Common Stock - 1,139,304 shs. (38%) (Pharaon transferred 850,000 of above shares to GRP.)	1-4-78
Marvin L. Warner	Bancroft Convertible Fund, Inc. (NYC) Common Stock - 221,801 shs. (Above shares are owned by Home State Financial Services, Inc., a wholly-owned subsidiary of Warner National Corp. (84.7%) owned by Mr. Warner.)	1-5-78
* Hillman Company	Amarex, Inc. (Oklahoma City, OK) Common Stock - 959,000 shs. (47.9%)	1-5-78
* S. H. Scheuer, Individually and as Trustee Donald E. Feiser Leon Meyers Martin Bernstein, et al (Stockholder Group)	CIC of America, Inc. (Chicago, IL) Common Stock - 702,665 shs.	1-5-78

ACQUISITION REPORTS CONT.

* Kennecott Industries Inc.	Carborundum Company (Niagara Falls, NY) Common Stock - 7,900,000 shs. (96%)	1-5-78
* Hillman Company	Copeland Corp. (Sidney, OH) Common Stock - 2,014,044 shs. (35.92%) (Included above are shares owned by Mr. Hillman and members of his family.)	1-5-78
Vance, Sanders Special Fund, Inc. Capital Exchange Fund, Inc.	Cordis Corporation (Miami, FL) Capital Stock - 170,000 shs.	1-6-78
Rhinechem Laboratories, Inc. (Wholly-owned subsidiary of Bayer AG (West Germany)	Miles Laboratories, Inc. (Elkhart, IN) Common Stock - 4,823,226 shs. (97%) (Above shares were acquired pursuant to the Tender Offer.)	1-6-78
Vance, Sanders Special Fund, Inc. Diversification Fund, Inc. Exchange Fund of Boston, Inc. Capital Exchange Fund, Inc., et al	Watkins-Johnson Company (Palo Alto, CA) Capital Stock - 177,400 shs.	1-6-78
* Security Storage Company of Washington	Control Laser Corp. (Pompano Beach, FL) Common Stock - 469,806 shs. (51%)	1-6-78
* J. P. Guerin & Co.	Mitchum, Jones & Templeton, Inc. (Los Angeles, CA) Common Stock - 183,492 shs. (40.5%)	1-6-78
* G. Tsai & Company, Inc.	Tetra Tech, Inc. (Pasadena, CA) Common Stock - 74,100 shs.	1-6-78
AMCA International Corp.	Amtel, Inc. (Providence, RI) Common Stock - 4,620,005 shs. (96%) (3,945,664 of above shares were acquired pursuant to the Tender Offer.)	1-9-78
Joseph Sugarman Robert Russell Ted Bodin Harold Friedman, et al	Bodin Apparel, Inc. (Miami, FL) Common Stock - 1,126,350 shs. (Above shares are held pursuant to the Voting Trust Agreement.)	1-9-78
Cimarron Enterprises, Inc. Van R. Perkins	Carex International, Inc. (Los Angeles, CA) Common Stock - 10,510,000 shs. (In addition, Cimarron also owns 1,182,927 shares of Convertible Preferred Stock. Above shares were acquired pursuant to Plan of Arrangement under Chapter XI of Carex.)	1-9-78
J. Streicher & Co. Vincent Alfarano Jonathan Frey Mark Herzog, et al	Crowley, Milner & Co. (Detroit, MI) Common Stock - 40,475 shs.	1-9-78
Banque Arabe et Internationale d'Investissement (B.A.I.I.)(France)	Dean Witter Reynolds Organization, Inc. (San Francisco, CA) Common Stock - 512,760 shs. (Above shares were acquired in exchange for 854,600 shares of Common of Reynolds Securities International Inc. pursuant to terms of merger.)	1-9-78
Hanson Industries Inc. (Subsidiary of Hanson Trust Ltd. (U.K.))	Interstate United Corporation (Chicago, IL) Common Stock - 2,262,264 shs. (77.6%)	1-9-78
Investors Fidelity Marketing Programs, Inc. Tony Perry Arthur Wells W. D. Henceroth, et al	Investors Fidelity Life Assurance Corp. (Columbus, OH) Class A Common Stock - 179,837 shs. (41.5%) Class B Common Stock - 66,667 shs.	1-9-78

## ACQUISITION REPORTS CONT.

Todlau, Inc.	KGA Industries, Inc. (NYC) Common Stock - 2,043,827 shs. (91%) (As a result of merger on 12-30-77 of Todlau into KGA, all KGA common stock owned by Todlau was cancelled. Mr. Riklis and his children, owners of all common stock of Todlau, became owners of all KGA stock.)	1-9-78
Raytheon Company	Lexitron Corporation (Chatsworth, CA) Common Stock - 1,636,913 shs. (53%) (Raytheon also owns all Preferred Stock.)	1-9-78
Marifarms Shrimp 1977, Limited Partnership Minnich Resources, Inc., Hayes Resources, Inc., General Partners	Marifarms, Inc. (Panama City, FL) Common Stock - 2,094,393 shs. (95%) Common Stock - 16,800 shs. on exercise of a Warrant (Minnick & Hayes jointly own a Warrant to purchase 800 shares.)	1-9-78
MNR Co.	Minneapolis Shareholder Co. (Minneapolis, MN) Common Stock - 784,213 shs. (84%)	1-9-78
Source Capital, Inc.	Pneumo Corporation (Boston, MA) Common Stock - 136,900 shs.	1-9-78
LeRoy A. Erickson	Sonics International, Inc. (Dallas, TX) Common Stock - 199,997 shs. on conversion of Preferred Stock Common Stock - 100,000 shs. on exercise of Warrant	1-9-78
UAP Employees' Stock Ownership Trust	United Aircraft Products Inc. (Dayton, OH) Common Stock - 51,709 shs.	1-9-78
Dynamics Corporation of America	Vitramon, Incorporated (Bridgeport, CT) Common Stock - 56,550 shs.	1-9-78
Robert K. Moses, Jr.	Weatherford International Inc. (Houston, TX) Common Stock - 520,049 shs. (12.6%)	1-9-78
* Douglas B. Fletcher, Indi- vidually and as Trustee	Angeles Corporation (Los Angeles, CA) Common Stock - 61,269 shs. (In addition, members of his immediate family own 5,020 shs.)	1-9-78
* George F. Blasius	Blasius Industries, Inc. (Clifton, NJ) Common Stock - 134,540 shs. (In addition, Mr. Blasius has the right to acquire an additional 19,000 shares.)	1-9-78
* Masco Corporation	Braun Engineering Company (Detroit, MI) Common Stock - 64,200 shs. (11.91%)	1-9-78
* Midhurst Corporation	Casco, Incorporated (Houston, TX) Common Stock - 434,100 shs. Common Stock - 250,000 shs. on conversion of Debentures	1-9-78
* Northern Telecom Ltd. (Canada)	Data 100 Corporation (Minnetonka, MN) Common Stock - 1,280,643 shs. (26.25%)	1-9-78
* High Voltage Engineering Corporation	Eberline Instrument Corporation (Santa Fe, NM) Common Stock - 241,851 shs. (26%)	1-9-78
* Berkshire Hathaway Inc.	Blue Chip Stamps (Los Angeles, CA) Common Stock - 1,889,903 shs.	1-9-78
* Diversified Retailing Co. Inc.	Berkshire Hathaway Inc. (New Bedford, MA) Common Stock - 172,527 shs.	1-9-78

ACQUISITION REPORTS CONT.

* B. F. Saul Co. Chevy Chase Lake Corp. Manor Investment Co. Columbia Credit Co., et al	Financial General Bankshares, Inc. (Washington, DC) Common Stock - 388,866 shs. (B. F. Saul, II has the right to acquire 234,067 shares of Common Stock.)	1-9-78
* David A. Cofrin, M.D. Individually, Custodian and Trustee	Fort Howard Paper Company (Green Bay, WI) Common Stock - 1,173,898 shs.	1-9-78
* Alan J. Brown	Genisco Technology Corp. (Orange, CA) Common Stock - 114,300 shs.	1-9-78
* Don Curtis Whitaker, Indi- vidually and as Custodian Corinne Cooper John B. Gilbert Thousand Oaks Land & Devel- opment Co., et al	Hawthorne Financial Corp. (Hawthorne, CA) Common Stock - 96,900 shs.	1-9-78
* Consumers' Gas Co.	Home Oil Company Ltd. (Calgary, Alberta, Canada) Class A. Non-Voting Stock - 1,339,615 shs. (23.82%) Class B Voting Stock - 276,788 shs. (10.76%)	1-9-78
* Mitchell Corporation of Owosso Christine Wetzel Trust	MWA Company (Owosso, MI) Common Stock - 285,236 shs. (43.4%)	1-9-78
* Armada Corporation	Meridian Industries, Inc. (Detroit, MI) Common Stock - 947,025 shs. (In addition, Armada owns 4,688 shares Convertible Preferred, 6,150 shares Preferred Stock and \$450,000 Convertible Debentures and Warrants to purchase 1,883,000 shares of Common Stock.)	1-9-78
* James F. Gary	Pacific Resources, Inc. (Honolulu, HI) Common Stock - 206,601 shs. Common Stock - 59,057 shs. on exercise of Options (Included above are shares owned by his wife and sons.)	1-9-78
* Piper Jaffray Inc. & Piper Jaffray & Hopwood Inc. 1975 Amended Employee Stock Ownership Trust	Piper Jaffray Inc. (Minneapolis, MN) Common Stock - 516,038 shs. (44.99%)	1-9-78
* Pollution Control Industries, Inc. Employee Stock Owner- ship Trust	Pollution Control Industries, Inc. (W. Caldwell, NJ) Common Stock - 154,960 shs.	1-9-78
* Philip J. Matthews Financial Land Investment Corp.	S-G Securities, Inc. (Boston, MA) Common Stock - 103,500 shs.	1-9-78
* James W. Derryberry	Securities of America, Inc. (Orinda, CA) Common Stock - 1,253,180 shs. (56.2%)	1-9-78
Steinhardt, Berkowitz & Co.	Alaska Interstate Co. (Houston, TX) 6% Convertible Debentures - \$550,000	1-10-78
Dr. Irving Abramowitz, Individually and as Co- Executor Joyce Abramowitz, Individually and as Co-Trustee Robert L. Hallet, M.D. Marc L. Abramowitz, et al	Berkeley Bio-Medical, Inc. (San Leandro, CA) Common Stock - 321,762 shs. Common Stock - 85,000 shs. on exercise of Options	1-10-78
Federal's Investment Co. Inc. (Wholly-owned subsidiary of Consolidated Consumer Industries, Inc.)	Federal's, Inc. (Detroit, MI) Common Stock - 2,000,000 shs. (40%)	1-10-78

ACQUISITION REPORTS CONT.

Institutional Venture Associates Dennis, Jamieson and McMurtry	Rolls Corporation (Santa Clara, CA) Common Stock - 156,996 shs. (B.J. McMurtry, General Partner, owns 12,898 shares of Common Stock.)	1-10-78
Donald Sherman Sather	Solar Control Corp. (Boulder, CO) Common Stock - 1,327,779 shs. (Included above are shares owned by his wife.)	1-10-78
Jacob F. (Jake) Butcher	Sterchi Bros. Stores, Inc. (Knoxville, TN) Common Stock - 66,890 shs.	1-10-78
N.V. AMEV (Netherlands)	Time Holdings, Inc. (Milwaukee, WI) Common Stock - 2,000 shs. (100%) (AMEV America Inc., wholly-owned subsidiary of N.V. AMEV was merged into Time. Time is now a wholly-owned subsidiary of N.V. AMEV.)	1-10-78
* Central National Corp. Cenro Sejak Gottesman-Central National Profit Sharing Plan Trust, et al	Global Marine Inc. (Los Angeles, CA) Common Stock - 302,700 shs.	1-10-78
* Daniel J. Terra	Hach Chemical Company (Ames, IA) Common Stock - 221,925 shs. (Included above are shares owned by his wife, individually and as trustee.)	1-10-78

NOTICE

Many requests for copies of documents referred to in the SEC News Digest have erroneously been directed to the Government Printing Office. Copies of such documents and of registration statements may be ordered from the Public Reference Section, Securities and Exchange Commission, Washington, D.C. 20549. The reproduction cost is 10c per page plus postage (7 days) (\$3.50 minimum); 20c per page plus postage for expedited service (4 days) (\$5.00 minimum) and 30c per page plus postage for priority service overnight (\$5.00 minimum). Cost estimates are given on request. All other reference material is available in the SEC Docket.

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