

# sec news digest

U.S. SECURITIES AND  
EXCHANGE COMMISSION

Issue 80-194

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October 6, 1980

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## ADMINISTRATIVE PROCEEDINGS

## LIBRARY

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### PROCEEDINGS AGAINST SEVEN BROKER-DEALERS AND TWO INDIVIDUALS DISMISSED

The Commission has dismissed disciplinary proceedings against seven broker-dealers and two individuals. The broker-dealers are Steven S. Mitchell, Michael W. Engmann, Noam Rand and Rainbow Options (now known as Haynes & Co.), of San Francisco, Clyde Reynolds Mahnke of Orinda, California, Richard James French of Penngrove, California, and Lawrence Dennis Dougherty of Chicago, Illinois. The Commission also dismissed proceedings against Geoffrey B. Haynes, general partner of Haynes & Co., and Jose C. Pascual, both of San Francisco.

Respondents were charged with violations of antimanipulative provisions of the securities acts. The Commission stated that, on the basis of an independent review of the record, it was unable to conclude that the charges against respondents had been sustained. (Rel. 34-17163)

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## CIVIL PROCEEDINGS

### JOSEPH F. VALVERDE, OTHERS ENJOINED

The Commission announced on October 2 that Judge Lawrence W. Pierce of the U.S. District Court for the Southern District of New York entered Final Judgments of Permanent Injunction against Joseph F. Valverde, Lee Knight Moffett and Canadian American Financial Corporation, S.A. (CAF) by consent and against Trustee Mortgage Corporation by default, enjoining those defendants from violations of the antifraud, antimanipulation and margin requirements of the securities laws. Valverde, Moffett and CAF consented to the entry of Judgments against them without admitting or denying the allegations of the Commission's complaint. (SEC v. Joseph F. Valverde, et al., U.S.D.C. S.D.N.Y., Civil Action No. 80 Civ. 3073 LWP). (LR-9195)

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## CRIMINAL PROCEEDINGS

### ALFRED J. CUSINO INDICTED

The San Francisco Branch Office announced that on September 18 Alfred J. Cusino was indicted by a federal grand jury in San Francisco California, for six counts of mail fraud and six counts of fraud by wire. The indictment charges that Cusino, through Multi-Power Electrical Corporation, devised a scheme and artifice to defraud victims who invested in an "energy multiplier," a device Cusino claimed to have invented, which would multiply an initial electrical charge fourteen times, would produce electrical energy indefinitely, and was self-perpetuating. On March 27 Cusino was permanently enjoined by the U.S. District Court for the Northern District of California from violating the registration and antifraud provisions of the securities laws. (U.S. v. Multi-Power Electric Corporation). (LR-9192)

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## INVESTMENT COMPANY ACT RELEASES

### GOVERNMENT EMPLOYEE'S INVESTMENT FUND, INC.

A notice has been issued giving interested persons until October 27 to request a hearing on an application of Government Employee's Investment Fund, Inc. (Applicant), a registered, open-end, diversified, management investment company, for an order declaring that Applicant has ceased to be an investment company. (Rel. IC-11383 - Oct. 2)

An order has been issued on an application by National Municipal Trust, National Municipal Trust, Special Trusts, National Government Securities Trust, National Corporate Trust, Thomson McKinnon Securities Inc., Piper, Jaffray & Hopwood Incorporated, A.G. Edwards & Sons, Inc., and Oppenheimer & Co., Inc. (Applicants), exempting Applicants from Section 22(d) of the Investment Company Act of 1940 and permitting an offer of exchange pursuant to Section 11 of the Act. (Rel. IC-11384 - Oct. 2)

THE RESERVE FUND, INC.

A notice has been issued giving interested persons until October 27 to request a hearing on an application filed by The Reserve Fund, Inc. (Applicant), a "money market" fund registered under the Investment Company Act of 1940 as an open-end, non-diversified, management investment company, for an order, pursuant to Section 6(c) of the Act, exempting Applicant, subject to conditions, from the provisions of Section 2(a)(41) of the Act, and Rules 2a-4 and 22c-1 thereunder, to the extent necessary to permit Applicant to utilize amortized cost valuation for the purpose of pricing its shares for sale and redemption. (Rel. IC-11385 - Oct. 2)

SHEARSON/HARTFORD VARIABLE ACCOUNT A

A notice has been issued giving interested persons until October 28 to request a hearing on an application of Hartford Variable Annuity Life Insurance Company, a stock life insurance company organized under the laws of the state of Connecticut; Shearson/Hartford Variable Account A, a unit investment trust registered under the Investment Company Act of 1940 and Shearson Loeb Rhoades Inc., a broker-dealer registered under the Securities Exchange Act of 1934, pursuant to Section 6(c) of the Act, for an order exempting proposed transactions from Sections 2(a)(32), 2(a)(35), 22(c), 26(a)(1), 26(a)(2)(C), 26(a)(2)(D), 27(c)(1), 27(c)(2), 27(d) of the Act and Rule 22c-1 thereunder and for an order pursuant to Section 11 of the Act approving certain offers of exchange. (Rel. IC-11386 - Oct. 3)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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UNLISTED TRADING SOUGHT

A notice has been issued giving interested persons until October 24 to comment on the applications of the Midwest Stock Exchange, Incorporated for unlisted trading privileges in four stocks which are listed and registered on one or more other national securities exchanges and are reported on the consolidated transaction reporting system. (Rel. 34-17184)

UNLISTED TRADING GRANTED

An order has been issued granting the application of the Cincinnati Stock Exchange for unlisted trading privileges in the common stock (\$1.50 par value) of American General Corporation which is listed and registered on one or more other national securities exchanges and is reported on the consolidated transaction reporting system. (Rel. 34-17185)

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## SELF-REGULATORY ORGANIZATIONS

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NOTICE OF PROPOSED RULE CHANGES

The following stock exchanges have filed proposed rule changes pursuant to Rule 19b-4: The New York Stock Exchange, Inc. (SR-NYSE-80-37) to delete the requirement that the registered address of every member who personally transacts business on its trading floor be in vicinity of the Exchange. (Rel. 34-17186); The Pacific Stock Exchange Incorporated (SR-PSE-80-18) to amend its schedule of minimum fines for violations relating to the conduct of business on the option floor. (Rel. 34-17187); and The Philadelphia Stock Exchange, Inc. (SR-Phlx-80-22) to permit members to execute transactions in Phlx options as principals in the over-the-counter market for a premium not in excess of \$1.00 per contract. (Rel. 34-17188)

Publication of the above proposals are expected to be made in the Federal Register during the week of October 6.

The National Association of Securities Dealers, Inc. has withdrawn a proposed rule change (SR-NASD-79-4) which would have expanded the class of domestic securities eligible for temporary listing on NASDAQ. Publication of the proposal is expected to be made in the Federal Register during the week of October 6. (Rel. 34-17190)

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the American Stock Exchange, Inc. (SR-Amex-79-21) amending Rule 471 dealing with business expansion restrictions and reduction requirements to permit five additional business days under certain circumstances before restrictions are imposed. (Rel. 34-17191)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; File number and date filed; Assigned Branch; and whether the registration statement is a New Issue.

- (S-18) JOJOBA HORIZONS, INC., 2930 East Northern Ave., Phoenix, Ariz. 85028 - 11,000,000 shares of common stock. Underwriter: Covey and Company. The company holds various interests in farming properties in the desert area southwest Phoenix, Arizona, for the purpose of farming them to jojoba plants. (File 2-69246-LA - Sept. 22) (Br. 3) (New Issue)
- (S-16) CORDIS CORPORATION, 10555 West Flagler St., Miami, Fla. 33172 (305) 551-2000 - \$20 million of convertible subordinated debentures, due 2000. Underwriters: L.F. Rothschild, Unterberg, Towbin and Moseley, Hallgarten, Estabrook & Weeden Inc. The company is engaged in the design, manufacture and sale of medical instruments and devices, principally cardiovascular instruments. (File 2-69374 - Oct. 3) (Br. 3)
- (S-6) MUNICIPAL INVESTMENT TRUST FUND, SIXTEENTH PENNSYLVANIA SERIES, One Liberty Plaza, 165 Broadway, New York, N.Y. 10080 - an indefinite number of units of beneficial interest. Depositors: Merrill Lynch, Pierce, Fenner & Smith Incorporated, One Liberty Plaza, 165 Broadway, New York, N.Y. 10080, Bache Halsey Stuart Shields Incorporated, Dean Witter Reynolds Inc. and Shearson Loeb Rhoades Inc. (File 2-69383 - Oct. 2) (Br. 17) (New Issue)
- (S-6) NUVEEN TAX-EXEMPT BOND FUND - MULTI-STATE, SERIES 16, 209 South La Salle St., Chicago, Ill. 60604 - an indefinite number of units. Depositor: John Nuveen & Co. Incorporated. (File 2-69385 - Oct. 2) (Br. 16) (New Issue)
- (S-12) MORGAN GUARANTY TRUST COMPANY OF NEW YORK, 30 West Broadway, New York, N.Y. 10015 - 100,000 American Depositary Receipts for shares of Swan Resources Limited. (File 2-69386 - Oct. 3) (Br. 99) (New Issue)
- In a separate statement the company seeks registration of 100,000 American Depositary Receipts for shares of Eagle Corporation Limited. (File 2-69396 - Oct. 3) (Br. 99) (New Issue)
- In a third statement the company seeks registration of 100,000 American Depositary Receipts for shares of Bridge Oil Limited. (File 2-69397 - Oct. 3) (Br. 99) (New Issue)
- (S-16) SCA SERVICES, INC., 60 State St., Boston, Mass. 02109 (617) 367-8300 - 312,498 shares of common stock. (File 2-69387 - Oct. 3) (Br. 8)
- In a separate statement the company seeks registration of 118,367 shares of common stock. (File 2-69388 - Oct. 3) (Br. 8)

- (S-8) STRYKER CORPORATION, 420 East Alcott St., Kalamazoo, Mich. 49001 (616) 381-3811 - 100,000 shares of common stock. (File 2-69389 - Oct. 2) (Br. 8)
- (S-8) BEVERLY ENTERPRISES, 837 South Fair Oaks Ave., Pasadena, Cal. 91105 (213) 577-6111 - 121,500 shares of common stock. (File 2-69390 - Oct. 3) (Br. 6)
- (S-1) CABLE TV FUND IX, c/o Jones Intercable, Inc., 5275 DTC Pkwy., Englewood, Colo. 80111 - 66,000 preformation limited partnership interests. (File 2-69391 - Oct. 3) (Br. 7)
- (S-11) MCCOMBS PROPERTIES IV, LTD., 2392 Morse Ave., Irvine, Cal. 92714 - 25,000 limited partnership units. (File 2-69392 - Oct. 3) (Br. 6) (New Issue)
- (S-8) WESTERN DIGITAL CORPORATION, 3128 Red Hill Ave., Box 2180, Newport Beach, Cal. 92663 (714) 557-3550 - 1,000,000 shares of common stock. (File 2-69393 - Oct. 3) (Br. 7)
- (S-8) ALLRIGHT AUTO PARKS, INC., 1625 Esperson Bldg., Houston, Tex. 77002 (713) 222-2505 - 100,000 shares of common stock. (File 2-69394 - Oct. 3) (Br. 2)
- (S-1) ELECTRO-NUCLEONICS, INC., 368 Passaic Ave., Fairfield, N.J. 07006 - 660,000 shares of common stock. Underwriter: Blyth Eastman Paine Webber Incorporated. The company is engaged in the development, manufacture and worldwide marketing and servicing of biomedical products, among other things. (File 2-69395 - Oct. 3) (Br. 8)
- (S-15) FIRST VIRGINIA BANKS, INC., One First Virginia Plaza, 6400 Arlington Blvd., Falls Church, Va. 22046 (703) 241-3655 - 178,938 shares of common stock. (File 2-69398 - Oct. 3) (Br. 1)
- (S-8) BARNES ENGINEERING COMPANY, 30 Commerce Rd., Stamford, Conn. 06904 (203) 348-5381 - 100,000 shares of common stock. (File 2-69399 - Oct. 3) (Br. 8)
- In a separate statement the company seeks registration of 100,000 shares of common stock. (File 2-69400 - Oct. 3) (Br. 8)
- (S-16) CAROLINA POWER & LIGHT COMPANY, 411 Fayetteville St., Raleigh, N.C. 27602 (919) 836-6111 - 4,000,000 shares of common stock. Underwriter: Merrill Lynch White Weld Capital Markets Group. The company is engaged in the generation, transmission, distribution and sale of electricity. (File 2-69401 - Oct. 3) (Br. 7)
- (S-1) AMERICAN LEASING INVESTORS III, 19 Corporate Plaza, Suite 200, Newport Beach, Cal. 92660 - 60,000 units of limited partnership interest. Underwriter: Resources Distributors, Inc. (File 2-69406 - Oct. 3) (Br. 9) (New Issue)

#### REGISTRATIONS EFFECTIVE

- Sept. 24: National Rural Utilities Cooperative Finance Corp., 2-68994.  
Sept. 25: Bell Canada, 2-69105; CPT Corp., 2-69042; Cambridge Royalty Co., 2-68733; International Income Property Inc., 2-68771 & 2-68920; International Power Machines Corp., 2-68861.  
Sept. 26: Chancellor New Decade Growth Fund, Inc., 2-68723.  
Sept. 30: Bordeaux 80, Ltd., 2-68630; Lifemark Corp., 2-69191.

# RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	FILING No.	DATE	
ACTION INDUSTRIES INC	5	09/24/80	
ADVANCE CIRCUITS INC	2	09/18/80	
ALBUQUERQUE WESTERN SOLAR INDUSTRIES INC	2	08/18/80	
ALTRIX SIX BOARD CO	5	09/13/80	
AMERICAN UNDERWRITERS INC	2	09/15/80	
APACHE CORP	5	09/15/80	
APPALACHIAN NATIONAL CORP	5	09/12/80	
ARICO BELL CORP	7	03/26/80	AMEND
BASCO INC	2	09/11/80	
BAYSWATER REALTY & INVESTMENT TRUST	5	08/12/80	
BELDEN CORP /DE/	1,7	08/08/80	
BOND INDUSTRIES INC	3	09/15/80	
CABLE TV FUND VI	4	09/22/80	
CABLE TV FUND VII	4	09/22/80	
CABLE TV FUND VIII/NEW/	4	09/22/80	
CALIFORNIA REAL ESTATE TRUST	4	04/01/80	
CEKE LABORATORIES INC	5	09/15/80	
CENTALLOY INC	2	09/12/80	
CELESTIC BOISON CO	5	09/25/80	
CHESTER GAS CORP	5	09/10/80	
FARM HOUSE FOODS CORP	5	09/22/80	
FBI INVESTORS	1	09/17/80	
FIRST FEDERAL SAVINGS & LOAN ASSOCIATION	5	08/01/80	
FLORIDA POWER & LIGHT CO	5	09/01/80	
FT INDUSTRIES INC	4	09/26/80	
GAC CORP /DE/	1	09/17/80	
GLENDALE FEDERAL SAVINGS & LOAN ASSOCIAT	5,6	08/01/80	
GREENWOLD RESOURCES LTD	4	09/05/80	AMEND
GREENWOLD 1980 OIL & GAS PROGRAM	4	09/05/80	AMEND
ICH CORP	2	09/11/80	
ILS ACCUMULCH OIL EXPLORATION PROGRAM 19	5	09/18/80	
JONES INTERCABLE INC	4	09/22/80	
KOC HOLDINGS INC	5	09/18/80	
KOC RESOURCES INC	5	09/18/80	
MODERN AMERICAN LIFE INSURANCE CO	1	09/11/80	
MARCO SCIENTIFIC INC	2	09/11/80	
NATIONAL PROPERTY INVESTORS III	7	07/01/80	AMEND
NEXUS INDUSTRIES INC	5	09/09/80	
NORFOLK OIL & MINING LTD	1	08/01/80	
NEX TECHNOLOGIES INC	5	12/14/79	
OXFORD FIRST CORP /PA/	3	03/27/80	
PENN DIXIE INDUSTRIES INC	5	06/19/80	
PENNY TRAFFIC CO	4	09/19/80	
PEPPERFORD PROPERTIES FUND 50	2	09/15/80	
QUESTER CORP	1	09/25/80	
RADIATION SYSTEMS INC	4	09/26/80	AMEND
RAYBESTOS MANHATTAN INC	5	09/29/80	
RT SYSTEMS INC	5	07/02/80	
SCRIPTOMATIC INC	2	09/19/80	
SENTRY MANUFACTURING CO	2,7	07/01/80	

REYNOLDS GROUP	3	09/01/80
UNITED LIFE TECHNOLOGY INC	3	09/04/80
UT, PMA, CO	1	08/29/80
UNITED TRC	2	09/27/80
UNION PRODUCTS INC	3	09/04/80
TRINITY INDUST INC INC	2	09/11/80
UNITED INTER. ACQUISITION TELEPHONE CO	3	09/06/80

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within forty-five days after the end of the calendar year in which the person became subject to Section 13(d)(1). The following companies or individuals filed Schedule 13D's during the period September 16-19, 1980. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner. \*Amended Acquisition Reports.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
David P. Chapman	Medical Dynamics, Inc. (Englewood, CO) Common Stock - 300,000 shs. Common Stock - 250,000 shs. on exercise of options	9-16-80
* Apex Oil Company	Moraga Corporation (Los Angeles, CA) Common Stock - 163,200 shs. (12%)	9-16-80
* American Financial Corporation Carl H. Lindner Robert D. Lindner	Rapid-American Corporation (NYC) Common Stock - 755,200 shs. (14.8%)	9-16-80
* Fair Lanes, Inc.	Treadway Companies, Inc. (Paterson, NJ) Common Stock - 311,233 shs. (21%)	9-16-80
Sol Goldman	Aegis Corporation (Coral Gables, FL) Common Stock - 543,700 shs.	9-17-80
Lee B. Faulkner	HLH Petroleum Corp. (Dallas, TX) Common Stock - 975,553 shs.	9-17-80
BTR Fabrics (USA) Inc. (Wholly-owned subsidiary of BTR Limited (U.K.))	Huyck Corporation (Wake Forest, NC) Common Stock - 5,526,881 shs. (96.3%) (Above shares were acquired pursuant to the Tender Offer.)	9-17-80
Whitaker Investments Don C. Whitaker, Inc. Defined Benefit Trust	Kinark Corporation (Tulsa, OK) Common Stock - 279,600 shs.	9-17-80
Gulf & Western Industries, Inc. Charles G. Bluhdorn	Oxford Industries, Inc. (Atlanta, GA) Common Stock - 164,400 shs.	9-17-80
* Associates Madison Companies, Inc. Beneficial National Life Insurance Co. (Wholly-owned subsidiary of Associated Madison)	Pandick Press, Inc. (NYC) Common Stock - 120,000 shs.	9-17-80
* Edward B. McLaughlin	S F M Corporation (Plainfield, NJ) Common Stock - 51,000 shs.	9-17-80

## ACQUISITION REPORTS CONT.

* Syntek Corporation Syntek Partners One, Ltd.	Southmark Properties (Norcross, GA) Shares of Beneficial Interest - 218,600 Shares of Beneficial Interest - 122,427 on exercise of warrants	9-17-80
* BankAmerica Corporation Bank of America NT&SA	Avantek, Inc. (Santa Clara, CA) Common Stock - 238,084 shs.	9-18-80
* Dayton-Walther Corporation	Citation Companies, Inc. (Grand Rapids, MI) Common Stock - 1,526,802 shs. (96.2%) (1,050,320 of above shares were acquired pursuant to the Tender Offer.)	9-18-80
* Lend Lease Corporation Limited (Australia) U. S. Lend Lease, Inc.	FGI Investors (Narbeth, PA) Shares of Beneficial Interest - 750,000 (38.93%) Shares of Beneficial Interest - 400,000 on exercise of warrants	9-18-80
* J. Mack Robinson Delta Life Insurance Co. Bankers Fidelity Life Insurance Co. Atlantic American Life Insurance Co., et al	First Atlanta Corporation (Atlanta, GA) Common Stock - 839,770 shs. (12.07%)	9-18-80
SZRL Investments	Great American Management & Investment Inc. (Atlanta, GA) Common Stock - 412,850 shs.	9-18-80
* Unicorp Financial Corporation (Canada) George S. Mann (Canada)	GREIT Realty Trust (Warrington, PA) Shares of Beneficial Interest - 439,800 (44.1%)	9-18-80
* Deltec Panamerica S.A. (Panama)	Hamilton Investment Trust (Elizabeth, NJ) Shares of Beneficial Interest - 227,395 (10.5%)	9-18-80
* Fair Lanes, Inc.	Treadway Companies, Inc. (Paterson, NJ) Common Stock - 312,833 shs. (21.1%)	9-18-80
* American International Group, Inc. National Union Fire Insurance Co. of Pittsburgh, PA (Wholly-owned subsidiary of American Interantional)	United Guaranty Corporation (Greensboro, NC) Common Stock - 712,700 shs. (14.66%)	9-18-80
* JMB Realty Corporation Neil G. Bluhm, Individually and Custodian	Bass Financial Corporation (Chicago, IL) Common Stock - 69,700 shs.	9-19-80
* Richard Roy Kelley Hawaii Hotels, Ltd.	InterIsland Resorts, Ltd. (Honolulu, HI) Common Stock - 121,139 shs.	9-19-80
Whitaker Investments	Lincoln Mortgage Investors (Fullerton, CA) Shares of Beneficial Interest - 88,300	9-19-80
* B. F. Saul Company Columbia Securities Co. of Washington, DC Klingle Corporation Chevy Chase Financial Ltd., et al	B. F. Saul Real Estate Investment Trust (Chevy Chase, MD) Shares of Beneficial Interest - 1,374,008 (23.4%) Shares of Beneficial Interest - 6,581 on conversion of Debentures	9-19-80
* Farm House Foods Corporation	Scot Lad Foods, Inc. (Lansing, IL) Common Stock - 2,227,560 shs. (50%)	9-19-80
Drexel Burnham Lambert Inc. Drexel Burnham Lambert Group, Inc.	Seaboard World Airlines, Inc. (Jamaica, NY) Common Stock - 484,000 shs.	9-19-80



**NOTICE**

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*Many requests for copies of documents referred to in the SEC News Digest have erroneously been directed to the Government Printing Office. Copies of such documents and of registration statements may be ordered from the Public Reference Section, Securities and Exchange Commission, Washington, D.C. 20549. When you are ordering more than one, we prefer that the documents be listed in alphabetical order to expedite service. The reproduction cost is 10c per page plus postage (\$3.50 minimum); 20c per page plus postage for expedited service (\$5.00 minimum) and 30c per page plus postage for priority service (\$5.00 minimum). Cost estimates are given or request. All other reference material is available in the SEC Docket.*

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