

LIBRARY  
APR 18 1989  
SECURITIES AND EXCHANGE COMMISSION

# sec news digest

Issue 89-71

April 17, 1989

---

## NOTICE OF COMMISSION MEETINGS

---

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday morning. Meetings on Wednesday, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration.

Visitors are welcome at all open meetings, insofar as space is available.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, NW, Washington, DC. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

CLOSED MEETING - TUESDAY, APRIL 18, 1989 - 2:30 p.m.

The subject matter of the April 18 closed meeting will be: Settlement of injunctive actions; Institution of administrative proceedings of an enforcement nature; Settlement of administrative proceedings of an enforcement nature; Institution of injunctive actions; Formal order of investigation; Opinions.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: Karen Burgess at (202) 272-2000

---

## ADMINISTRATIVE PROCEEDINGS

---

### PROCEEDINGS INSTITUTED AND SANCTIONS IMPOSED AGAINST FRANK R. BREITWEISER

The Commission instituted proceedings and imposed remedial sanctions against Frank R. Breitweiser, a registered investment company doing business as Orange County Financial Planning Services. Breitweiser consented to the Findings and Sanctions without admitting or denying the allegations.

The Commission found that Breitweiser willfully violated the antifraud provisions and various recordkeeping provisions of the securities laws by making material misrepresentations and omissions in the offer and sale and in connection with the purchase and sale of securities in two investment clubs run by Breitweiser and by failing to keep true, accurate and current, investment advisory books and records. The Commission also found that Breitweiser was enjoined by a U.S. District Court for the Southern District of New York for the aforesaid violations.

The Commission revoked Breitweiser's investment adviser registration. He is also barred from association with any investment adviser; barred from association with any broker, dealer, investment company or municipal securities dealer in a proprietary or supervisory capacity; and is suspended from association with any broker, dealer, investment company or municipal securities dealer for 12 months. (Rel. 34-26704)

ORDER AMENDING ORDER ENTERED  
IN THE MATTER OF RICHARDSON GREENSHIELDS SECURITIES, INC.

The Commission entered an Order Amending Order ... In the Matter of Richardson Green-shields Securities, Inc. The original Order, which was entered pursuant to Respon-dent's Offer of Settlement, requires Respondent to comply with certain undertakings [see Release 34-26494, January 27, 1989]. The Order Amending Order provides an 18-day extension to Respondent for compliance with the terms of the original order. All other requirements and conditions of the original Order remain in full force and effect. (Rel. 34-26707)

---

## CORPORATE REORGANIZATION RELEASES

---

### GOELZER DENIES FOIA APPEAL OF THOMAS V. SOBCZAK

The General Counsel sent a letter to Thomas V. Sobczak, affirming the Freedom of Information Act Officer's decision to withhold access to the Commission's Automatic Data Processing Security Plan. The General Counsel determined that FOIA Exemption 2 protected the Security Plan from disclosure because the Plan is a predominantly internal document and its release would significantly risk circumvention of agency regulations or statutes. (Rel. FOIA-108)

---

## CIVIL PROCEEDINGS

---

### RICHARD R. COOK HELD IN CONTEMPT

The Atlanta Regional and Miami Branch Offices announced that on March 30 U.S. District Judge G. Kendall Sharp, Middle District of Florida, found Richard R. Cook, Esq., of Daytona Beach, Florida, in contempt of the Court for violating provisions of a Tempo-rary Restraining Order entered July 15, 1988 and a Final Judgment of Permanent Injunc-tion entered July 27, 1988 freezing assets of Goldcor, Inc. Cook was ordered to be held in the custody of the U.S. Marshall for 90 days unless he purges himself of con-tempt by payment of \$220,000 to the receiver of Goldcor previously appointed by the Court.

Motions for orders to show cause were filed by both the Commission and the receiver, alleging that Cook, a director and general counsel of Goldcor, willfully violated the freeze orders by dissipating Goldcor funds which had been deposited into Cook's law firm trust account. The Court rules on the receiver's motion. (SEC v. Goldcor, Inc., et al., Civil Action No. 88-612-CIV-ORL-18, MDFL). (LR-12064)

### N. DAVID HANANIA AND WILLIAM L. ALEXANDER CONSENT TO PERMANENT INJUNCTIONS

The Commission today filed a Complaint for Permanent Injunction and Other Relief in the U.S. District Court for the District of Columbia against N. David Hanania and William L. Alexander, formerly the Chief Executive Officer and Chief Financial Offi-cer, respectively, of Poloron Products, Inc., of Harrison, New York. Poloron's ord-nance subsidiary manufactured grenade bodies for the U.S. Department of the Army.

The Complaint alleges that defendants violated the antifraud provisions of the Securi-ties Exchange Act of 1934, and aided and abetted violations of the reporting and books and records provisions of the Exchange Act, by making false and misleading statements to their auditors and omissions of material facts to the public in Poloron's Annual Report on Form 10-K for its fiscal year ended November 30, 1985. Simultaneously, defendants, without admitting or denying the allegations, consented to Final Judgments of Permanent Injunction. (SEC v. N. David Hanania and William L. Alexander, USDC DC, Civil Action No. 89-1043, SSH). (LR-12065; AAER-223)

---

## INVESTMENT COMPANY ACT RELEASES

---

### L&N CMO FUNDING CORPORATION

An order has been issued on an application filed by L&N CMO Funding Corporation, on behalf of itself and certain Trusts, for an amendment to an existing conditional order exempting Applicant and the Trusts from all provisions of the Investment Company Act

in connection with the issuance of collateralized mortgage obligations, and the sale of residual interests in the Trusts to sophisticated institutional investors. The requested relief would permit (1) electing Trusts to issue and sell mortgage obligations collateralized by Non-Agency Certificates, (2) the use of certain funding agreements by the Trusts, and (3) the sale or assignment of residual interests in the Trusts to sophisticated non-institutional investors. (Rel. IC-16913 - Apr. 12)

#### MERRILL LYNCH KECALP L.P. 1986

A notice has been issued giving interested persons until May 9 to request a hearing on an application filed by Merrill Lynch KECALP L.P. 19886, Merrill Lynch KECALP L.P. 1987 (together, Partnerships), Merrill Lynch Interfunding Inc., and KECALP Inc., for an order granting an exemption from the provisions of Section 17 of the Investment Company Act to permit the Partnerships to acquire certain securities of Prince Holdings, Inc. and John Alden Financial Corporation, respectively, from an "affiliated person," as defined in the Act. (Rel. IC-16914 - Apr. 12)

---

## HOLDING COMPANY ACT RELEASES

---

#### THE SOUTHERN COMPANY

A supplemental order has been issued authorizing The Southern Company, a registered holding company, and two of its subsidiaries, Gulf Power Company and Mississippi Power Company, through March 31, 1991 for Southern to make capital contributions to Mississippi and Georgia Power Company, another Southern subsidiary, in the aggregate amounts of \$10 million and \$180 million, respectively. Jurisdiction has been reserved over all other matters proposed in the application-declaration pending completion of the record. (Rel. 35-24860 - Apr. 12)

#### SYSTEM ENERGY RESOURCES, INC.

A supplemental order has been issued authorizing a proposal by System Energy Resources, Inc. (SERI), subsidiary of Middle South Utilities, Inc., and Middle South's other subsidiaries, Arkansas Power & Light Company, Louisiana Power & Light Company, Mississippi Power & Light Company, and New Orleans Public Service, Inc., for SERI to cause the GG1A Funding Corporation to issue Secured Lease Obligation Bonds in order to refund the interim debt issued in connection with the sale and leaseback of Unit 1 of the Grand Gulf Steam Electric Generating Station. (Rel. 35-24861 - Apr. 13)

---

## SELF-REGULATORY ORGANIZATIONS

---

#### NOTICE OF PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change under Rule 19b-4 (SR-NASD-89-19) that would amend Article III, Section 21 of its Rules of Fair Practice to require members using predispute arbitration clauses in customer agreements to highlight those clauses and include certain disclosures concerning the arbitration process. Publication of the proposal is expected to be made in the Federal Register during the week of April 17. (Rel. 34-26719)

#### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Philadelphia Stock Exchange filed a proposed rule change which became effective on filing under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. The proposal (SR-Phlx-89-14) rescinds a \$100 fee regarding research of eligible over-the-counter stocks to underlying option listings. (Rel. 34-26720)

#### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval of a proposed rule change by the American Stock Exchange (SR-Amex-89-7) to extend its current margin requirements for equity and index options until July 17. (Rel. 34-26721)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- N-2 PUTNAM HIGH YIELD MUNICIPAL TRUST, ONE POST OFFICE SQ, BOSTON, MA 02109 (617) 292-1000 - 11,500,000 (\$115,000,000) COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: PRUDENTIAL BACHE CAPITAL FUNDING. (FILE 33-27889 - APR. 04) (BR. 22 - NEW ISSUE)
- S-3 ARCHER DANIELS MIDLAND CO, 4666 FARIES PKWY, DECATUR, IL 62525 (217) 424-5200 - 93,959 (\$2,314,210) COMMON STOCK. (FILE 33-27911 - APR. 07) (BR. 13)
- S-3 REPUBLIC NEW YORK CORP, 452 FIFTH AVE, NEW YORK, NY 10018 (212) 525-6100 - 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 33-27912 - APR. 07) (BR. 13)
- S-8 CONSOLIDATED PRODUCTS INC /IN/, 500 CENTURY BLDG, 36 S PENNSYLVANIA ST, INDIANAPOLIS, IN 46204 (317) 633-4100 - 300,000 (\$2,587,500) COMMON STOCK. (FILE 33-27915 - APR. 10) (BR. 12)
- S-1 PHOENIX LASER SYSTEMS INC, 2268 RESEARCH DR, LIVERMORE, CA 94550 (415) 373-2563 - 575,000 (\$3,450,000) COMMON STOCK. 1,875,000 (\$2,812,500) COMMON STOCK. 1,875,000 (\$4,218,750) COMMON STOCK. 50,000 (\$5) WARRANTS, OPTIONS OR RIGHTS. 50,000 (\$360,000) COMMON STOCK. UNDERWRITER: THOMAS JAMES ASSOCIATES INC. (FILE 33-27954 - APR. 06) (BR. 8 - NEW ISSUE)
- S-1 LIVE ENTERTAINMENT INC, 500 N VENTU PK RD, NEWBURY PARK, CA 91320 (805) 499-5827 - 115,000,000 (\$115,000,000) STRAIGHT BONDS. (FILE 33-27973 - APR. 07) (BR. 7)
- S-1 LONDONTOWN CORP, LONDONTOWN BLVD, ELDERSBURG, MD 21784 (301) 795-5900 - 75,000,000 (\$75,000,000) STRAIGHT BONDS. UNDERWRITER: KIDDER PEABODY & CO INC. (FILE 33-27979 - APR. 07) (BR. 12 - NEW ISSUE)
- S-8 AON CORP, 123 N WACKER DR, CHICAGO, IL 60606 (312) 701-3000 - 180,000,000 (\$180,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-27984 - APR. 07) (BR. 9)
- S-1 IMCO RECYCLING INC, 4300-E TEXAS COMMERCE BANK TWR, 2200 ROSS AVE LB 169, DALLAS, TX 75201 (214) 220-4330 - 500,000 (\$3,280,000) COMMON STOCK. 2,950,000 (\$19,352,000) COMMON STOCK. (FILE 33-27985 - APR. 07) (BR. 6)
- S-4 CEDAR INCOME FUND I LTD, 4333 EDGEWOOD RD NE, CEDAR RAPIDS, IA 52499 (319) 398-8559 - 888,635 (\$9,108,508.75) COMMON STOCK. (FILE 33-27986 - APR. 07) (BR. 5)
- S-2 CORNING NATURAL GAS CORP, 27 DENISON PKWY E, PO BOX 58, CORNING, NY 14830 (607) 936-3755 - 100,000 (\$1,600,000) COMMON STOCK. (FILE 33-27987 - APR. 07) (BR. 7 - NEW ISSUE)
- S-8 ANCHOR GLASS CONTAINER CORP, ONE ANCHOR PL, 1100 ANCHOR ST, TAMPA, FL 33607 (813) 870-6120 - 23,000,000 (\$23,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. 2,000,000 COMMON STOCK. (FILE 33-27988 - APR. 07) (BR. 9)
- S-1 GALLAGHER ARTHUR J & CO, 10 GOULD CTR, GOLF RD, ROLLING MEADOWS, IL 60008 (312) 640-8500 - 1,000,000 (\$18,000,000) COMMON STOCK. (FILE 33-27989 - APR. 07) (BR. 9)
- S-1 VIDEO JUKEBOX NETWORK INC, 3550 BISCAYNE BLVD STE 711, MIAMI, FL 33137 (305) 573-6122 - 1,250,000 (\$4,000,000) COMMON STOCK. (FILE 33-27991 - APR. 07) (BR. 7)
- S-8 KAHLER CORP/DE/, 20 SW 2ND AVE, ROCHESTER, MN 55902 (507) 282-2581 - 90,000 (\$1,755,000) COMMON STOCK. (FILE 33-27993 - APR. 07) (BR. 11)
- S-8 VELOBIND INC, 47212 MISSION FALLS CT, FREMONT, CA 94539 (415) 657-8200 - 200,000 (\$1,487,500) COMMON STOCK. (FILE 33-28008 - APR. 07) (BR. 10)

- S-2 HARRIGAN AMERICAN INC, FLYING HILLS CORPORATE CTR #6, P O BOX 13428, READING, PA 19612 (215) 775-5199 - 15,000,000 (\$15,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-28009 - APR. 07) (BR. 12)
- S-3 KNIGHT RIDDER INC, ONE HERALD PLZ, MIAMI, FL 33132 (305) 376-3800 - 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 33-28010 - APR. 07) (BR. 11)
- S-8 FLAGSHIP FINANCIAL CORP, 500 OLD YORK RD, JENKINTOWN, PA 19046 (215) 576-5900 - 188,343 (\$2,872,230) COMMON STOCK. 156,657 (\$2,154,034) COMMON STCK. (FILE 33-28013 - APR. 07) (BR. 1)
- S-3 EXXON CAPITAL CORP, 1251 AVE OF THE AMERICAS, NEW YORK, NY 10020 (212) 333-1517 - 750,000,000 (\$750,000,000) STRAIGHT BONDS. (FILE 33-28033 - APR. 10) (BR. 13)

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS 1000'S OWNED	CUSIP PRIOR%	FILING STATUS
AMERICAN CAP CORP FGI VOTING TRUST ET AL	COM 13D	3 31/89	15,653 87.7	02489810 87.2	UPDATE
AMERICAN WESTN CORP NEW AMERICAN WESTERN CORP ESOT	COM 13D	3 31 89	793 15.2	03047510 5.4	UPDATE
AMERICAN WESTN CORP NEW DORNBUCH K TEPPEY ET AL	COM 13D	3 28 89	250 4.8	03047510 0.0	NEW
CYPRESS FD INC NAV PARTNERS	COM 14D-1	4 12/89	0 0.0	23278710 0.0	NEW
DETECTION SYS INC APITECH CORP	COM 13D	4 11/89	118 4.9	25064410 7.6	UPDATE
EPSILON DATA MGMT BARKER OFFICE SVCS	COM 13D	4 3 89	170 5.7	29437010 0.0	NEW
EPSILON DATA MGMT BURDEN WILLIAM A M & CO ET AL	COM 13D	4 3/89	170 5.7	29437010 6.8	UPDATE
ESPRIT SYS INC ADI CORP	COM 13D	3/28 89	5,266 69.0	29665e10 71.3	UPDATE
LOAN AMERICA FINANCIAL CORP STUZIN JAMES M	CL B 13D	4 7/89	112 5.6	53945610 0.0	NEW
MEDALIST INDS INC KATZ MICHAEL DAVID ET AL	COM 13D	4 5/89	323 14.0	58402010 15.3	UPDATE
METROPOLITAN PLTY CORP STATE OF MICH STATE TREASURER	COM 13D	11-16/88	760 16.8	59227910 0.0	NEW

ACQUISITIONS REPORTS CONT.

MOBILE COMMUNICATIONS CORP CL B STRONG CORRELATION CAP MGMT	13D	3/17/89	0 0.0	60724310 0.0	PVISION
MOBILE COMMUNICATIONS CORP CL A STRONG CORRELATION CAP MGMT	13D	3/17/89	0 0.0	60724320 14.4	PVISION
OCILLA INDC INC ROCHUMC INDC ET AL	COM 13D	4/3/89	272 6.7	67555910 6.1	UPDATE
POLAROID CORP LFCP CORP LACAPD FREPEL ET AL	COM 13D	3/31/89	6.936 12.5	73109510 12.5	UPDATE
QUAKER FABRIC CORP SYMONS ALAM E	COM 13D	3/31/89	583 32.6	74739610 34.7	UPDATE
TIERCO GROUP INC DREXEL BURNHAM LAMBERT GROUP	COM 13D	3/31/89	589 23.0	88650610 31.6	UPDATE
UIG CORP DECEPT PARTNERC	COM PAR \$0.10 13D	4/6/89	3.040 5.6	90329330 5.7	UPDATE
ZEMEN CORP DENISON MINES LTD ET AL	COM 13D	3/31/89	890 50.1	98991710 49.0	UPDATE

**SIGNIFICANT NO-ACTION AND INTERPRETIVE LETTERS**

The following is a list of significant no-action and interpretative letters recently issued by the Divisions of Investment Management and Corporation Finance. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, DC 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, NW, Room 1024, Washington, DC, stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date. Copies cost 20 cents per page.

DIVISION OF CORPORATION FINANCE

<u>COMPANY</u>	<u>ACT/SECTION/ RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Bergen-Brunswig Corporation	1934 Act - Section 16(b) and Rule 16b-9	12/20/88	12/20/88
Fibreboard Corporation	1933 Act - Section 2(1)	12/29/88	12/29/88
Florida Construction, Commerce and Industry Self-Insurers Fund	1933 Act - Sections 2(3) and 5 and Rule 144	12/2/88	12/2/88
North American Leisure Group, Inc.	1933 Act - Regulation D Rule 504	12/15/88	12/15/88
P.A. Holdings Corporation	1933 Act - Regulation S-X	12/15/88	12/15/88
Perkins Coie	1934 Act - Section 16(b) and Rule 16b-3	12/30/88	12/30/88

## SIGNIFICANT NO-ACTION AND INTERPRETIVE LETTERS CONT.

Shell Oil Company	1933 Act - Section 5	12/12/88	12/12/88
S.T.A.R.T. Program	1933 Act - Section 5	12/29/88	12/29/88
Republic of Tunisia	1934 Act - Section 12	12/29/88	12/29/88

## DIVISION OF INVESTMENT MANAGEMENT

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Investment Company Institute	IAA'40, Section 206(4), Rule 206(4)-1(a)(5)	9/23/88	9/23/88
HUD Multifamily Project Mortgage Loans Asset Sale	ICA'40, Section 3(c)(5)(C)	9/29/88	9/29/88
Shearson Lehman Hutton Group of Funds	ICA'40, Rule 17a-8	10/7/88	10/7/88
Trust for Credit Unions	ICA'40, Rule 17j-1	10/31/88	10/31/88
Financial Security Assurance Inc.	ICA'40, Sections 2(a)(36), 18(g)	10/31/88	10/31/88
The Adams Express Company	ICA'40, Section 17(d)	11/21/88	11/21/88
Dimensional Fund Advisors Inc.	ICA'40, Section 5(a)(2), Rule 23c-2	11/21/88	11/21/88
Public Service Resources Corporation	ICA'40, Section 2(a)(36), Rule 3a-3	11/22/88	11/22/88
American Council of Life Insurance	ICA'40, Sections 22(e), 27(c)(1), 27(d)	11/28/88	11/28/88
The Prospect Group, Inc.	ICA'40, Rule 3a-1	11/29/88	11/29/88
The Fairmont Fund Trust	1933 Act, Rule 482(e)	12/9/88	12/9/88
Cooper Development Company	ICA'40, Rule 3a-2	12/12/88	12/12/88
Citizens' Scholarship Foundation of America, Inc. et. al. re: College Assurance Plan	ICA'40, Section 3(c)(3)	12/13/88	12/13/88
ACM Government Spectrum Fund, Inc. et. al.	ICA'40, Section 2(a)(16), Rule 17j-1(e)(5)	12/15/88	12/15/88
Chicago Milwaukee Corporation	ICA'40, Rule 17a-3	12/16/88	12/16/88
John Nuveen & Co. Incorporated	ICA'40, Sections 10(f), 17(e)(2)(C) IAA'40, Rule 206(3)-2(a)(5)	1/24/89	1/24/89

## SIGNIFICANT NO-ACTION AND INTERPRETIVE LETTERS CONT.

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
First Federal Savings and Loan Association of Rochester	IAA'40, Section 202(a)(17)	1/19/89	1/19/89
Hutton Options Trading L.P.	ICA'40, Section 18(f)	2/2/89	2/2/89
Shareholder Services Corp.	ICA'40, Section 22(d) IAA'40, Section 206, Rule 206(4)-3	2/3/89	2/3/89
Investment Company Institute	ICA'40, Section 12(d)(1)(A)(iii), Rule 6c-9	2/15/89	2/15/89
Baker, Jongewaard & Levenson Financial Planning Group, Inc.	IAA'40, Rules 204-1(b), 206(4)-2	2/24/89	2/24/89
The Northern Trust Company	ICA'40, Section 3(c)(3)	3/1/89	3/1/89
Sumitomo Metal America Finance, Inc.	ICA'40, Section 3(b)(3)	3/8/89	3/8/89