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Issue 93-178

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U.S. SECURITIES

COMMISSION

COMMISSION ANNOUNCEMENTS

BARRY BARBASH NAMED DIRECTOR OF THE DIVISION OF INVESTMENT MANAGEMENT

Chairman Arthur Levitt today appointed Barry Barbash as Director of the Division of Investment Management (Division). The Division oversees the regulation of the investment company and investment adviser industries in the United States, including the almost \$2.1 trillion of publicly-offered mutual funds. The Division also administers the Public Utility Holding Company Act of 1935.

Mr. Barbash returns to the SEC after a twelve year career in private practice with the New York law firm of Willkie Farr & Gallagher, where he became a partner in 1987. As a member of the firm's Corporate and Finance Department, he has been engaged in a broad-based financial services practice, specializing in issues relating to investment companies and money managers, both domestic and foreign. (Press Rel. 93-36)

ADMINISTRATIVE PROCEEDINGS

MICHAEL MATONIS PERMANENTLY BARRED

The Commission issued Findings and an Order Imposing Remedial Sanctions (Order) against Michael M. Matonis (Matonis), a New York resident. Matonis consented to the Order Instituting Proceedings and submitted an Offer of Settlement in which he consented to findings that he violated the antifraud provisions. Matonis also consented to the imposition of a permanent bar from association in any dealer, investment adviser or investment company.

The Order states that Matonis was permanently enjoined by the U.S. District Court for the Southern District of New York from further violations of Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder (SEC v. Michael M. Matonis and John L. Toscani, Jr., 93 Civ. 5531, MBM, SDNY).

The Commission's complaint in the above action alleges that Matonis violated Section 10(b) of the Exchange Act and Rule 10b-5 thereunder by creating the false and misleading appearance of a market for the securities of Firestone Development Corp. (Firestone) and Beta Tech Robotics, Inc. (Beta Tech), publishing price quotes for Firestone and Beta Tech securities which had no reasonable relation to the market, publishing fictitious price quotes for Firestone and Beta Tech securities, and making material misrepresentation and omissions to the public. (Rel. 34-32847)

PROCEEDING INSTITUTED AGAINST GEORGE PHILLIPS

The Commission instituted public administrative and cease and desist proceedings pursuant to Section 21C of the Securities and Exchange Act of 1934, against George W. Phillips of Boston, Massachusetts. The Order alleges that Phillips as chief executive officer and chairman of the board of directors of The Boston Company, Inc. (Boston Co.), and vice chairman and a member of the board of directors of Shearson Lehman Brothers Holdings, Inc., violated Rule 13b2-1 promulgated under the Exchange Act. The order also alleges that Phillips was a cause of violations by Shearson Lehman Brothers Holdings, Inc. and Shearson Lehman Brothers, Inc. (together, Shearson) of Sections 13(a) and 13(b)(2)(A) of the Exchange Act and Rules 12b-20 and 13a-13 thereunder. In addition, the order alleges that Phillips was a cause of violations by the then president and the then chief financial officer of Boston Co. of Section 10(b) of the Exchange Act and Rule 10b-5 promulgated thereunder, due to omissions Phillips knew or should have known would contribute to such violations. The Order alleges that in 1988, Boston Co. reported to its parent Shearson, which in turn reported to the Commission, inflated income figures ultimately resulting in the dissemination of materially false information to the public. It is alleged that Boston Co. overstated its pretax profits during the first three quarters of 1988 by a total of \$44 million, and that, in its Forms 10-Q for the first three quarters of 1988, Shearson reported overstatements of net income totaling \$30 million. (Rel. 34-32848)

CIVIL PROCEEDINGS

DEFENDANT CONSENTS TO PERMANENT INJUNCTION

On August 17, 1993, the Honorable Mary Little Parell permanently enjoined, on consent, defendant David M. Haber (Haber), former President of Monmouth Investments, Inc., a defunct New Jersey broker-dealer, from further violations of the antimanipulation, antifraud and registration provisions of the federal securities laws. The Commission filed its complaint on August 9, 1990 seeking permanent injunctive relief from eight defendants.

The complaint alleges that Haber manipulated the price at which the stock of Beres Industries, Inc. (Beres) traded, misrepresented and omitted to state material facts to investors about Beres, participated in an unregistered distribution of Beres stock, and bid for Beres stock while conducting that distribution.

Without admitting or denying the allegations in the complaint, Haber consented to the entry of a permanent injunction against him. [SEC v. Beres Industries, Inc., et al., 90 Civ. 3260, MLP, USDC DNJ] (LR-13787)

CIVIL ACTION AGAINST INTERLINK DATA NETWORK OF LOS ANGELES, INC., ET AL.

On September 10, the U.S. District Court for the Central District of California ordered the United States Marshall immediately to seize two luxury vehicles and a boat owned by or in the possession of defendant Michael Gartner. On June 7, 1993, the Court issued an asset freeze in connection with its entry of a preliminary injunction against Gartner and three affiliated entities. On August 23, 1993, the Court held Gartner in civil contempt for violating the asset freeze. On August 26, 1993, Gartner again violated the asset freeze by selling a luxury automobile for \$51,000, and using \$20,600 as payment of one month's rent on his personal residence. The Commission requested the order on an ex parte basis to prevent Gartner from further dissipating tangible assets that he owns prior to the resolution of this case on the merits. [SEC v. InterLink Data Network of Los Angeles, Inc., InterLink Fiber Optic Partners L.P., InterLink Video Phone Partners L.P. and Michael Gartner, Civ. No. 93-3073 R, USDC/CD/CA] (LR-13788)

CIVIL INJUNCTIVE COMPLAINT FILED AGAINST DIVERSIFIED CAPITAL SALES AND MARKETING, INC. AND DOMINIC ESPOSITO

The Commission announced that on September 3 it filed a complaint in the United States District Court for the Middle District of Florida against Diversified Capital Sales and Marketing, Inc., d/b/a Learning To Manage Your Money, and Dominic Esposito (collectively, defendants) of Longwood, Florida. The complaint, which alleges violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5, thereunder, seeks permanent injunctive relief, disgorgement and civil money penalties.

The complaint alleges that from at least February 1990 until at least June 1992, at least nine individuals invested at least \$857,000 with the defendants, to purchase various securities. Rather than purchase securities, however, the defendants used investors' funds to make "interest" payments to investors and for other personal use.

Simultaneously with filing the complaint, the Commission filed defendants' consents to the entry of a final judgment of permanent injunction (final judgment), which provides for the disgorgement of defendants' ill-gotten gains but which waives disgorgement based on defendants' demonstrated financial inability to pay. The proposed final judgment also notes the appropriateness of civil money penalties but does not impose such penalties based on defendants' demonstrated financial inability to pay. [SEC v. Diversified Capital Sales and Marketing, Inc., et al., Case No. 93-734-Civ-Orl-22, M.D. Fla.] (LR-13789)

INVESTMENT COMPANY ACT RELEASES

NATIONAL MULTI-SECTOR FIXED INCOME FUND, INC., ET AL.

A conditional, amended order has been issued under Section 6(c) of the Investment Company Act exempting applicants and certain other registered open-end management investment companies from Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c),

and 22(d), and Rule 22c-1 thereunder. The amended order permits the investment companies to issue multiple classes of shares representing interests in the same portfolio of securities, and assess and, under certain circumstances, waive a contingent deferred sales load on certain redemptions of shares. (Rel. IC-19706 - September 13)

ALEX. BROWN CASH RESERVE FUND, INC., ET AL.

A notice has been issued giving interested persons until October 8, 1993 to request a hearing on an application filed by Alex. Brown Cash Reserve Fund, Inc., et al. for a conditional order under Section 6(c) of the Investment Company Act that would exempt applicants from Sections 2(a)(32), 2(a)(35), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The order would permit applicants to assess a contingent deferred sales charge (CDSC) on certain redemptions of shares, and to waive the CDSC in certain circumstances. (Rel. IC-19707 - September 13)

GOLDMAN, SACHS & CO.

A notice has been issued giving interested persons until October 12, 1993 to request a hearing on an application filed by Goldman, Sachs & Co. for an amendment to an existing order under Sections 6(c) and 17(b) of the Investment Company Act exempting Goldman Sachs from Section 17(a). The existing order permits Goldman Sachs to engage in principal transactions with portfolios of any registered investment company of which it is an affiliated person of an affiliated person solely because of its sub-advisory relationship with other portfolios of that series investment company. The order, as amended, would extend the relief to permit Goldman Sachs to engage in these transactions with any non-series investment company or any portfolio of a series investment company of which Goldman Sachs is an affiliated person of an affiliated person solely because of its sub-advisory relationship with another registered investment company or portfolio under common control with the investment company or portfolio engaging in the principal transaction. (Rel. IC-19709 - September 14)

COLONIAL INCOME TRUST
COLONIAL U.S. GOVERNMENT TRUST
COLONIAL MINNESOTA TAX-EXEMPT TRUST
COLONIAL MICHIGAN TAX-EXEMPT TRUST
COLONIAL CALIFORNIA TAX-EXEMPT TRUST

Orders have been issued under Section 8(f) of the Investment Company Act declaring that the above-named companies have ceased to be investment companies. (Rel. IC-19710, IC-19711, IC-19712, IC-19713 and IC-19714, respectively - September 14)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

REGISTRATIONS

- SB-2 HARVEY UNIVERSAL INC, 1805 WEST 208TH ST, TORRANCE, CA 90501
(310) 328-9000 - 1,437,500 (\$11,500,000) COMMON STOCK. 125,000 (\$100)
WARRANTS, OPTIONS OR RIGHTS. 125,000 (\$1,200,000) COMMON STOCK.
UNDERWRITER: LAJOLLA SECURITIES CORP. (FILE 33-67764-LA - AUG. 20)
(BR. 2 - NEW ISSUE)
- SB-2 AMERICARE TRANSTECH INC, 20 NW 181 ST, MIAMI, FL 33169 (305) 770-1141 -
2,003,356 (\$32,053,696) COMMON STOCK. (FILE 33-67892-A - AUG. 26) (BR. 8
- NEW ISSUE)
- S-1 HOLOPHONE CORP, 250 EAST BROAD ST, STE 1400, COLUMBUS, OH 43215
(614) 224-3134 - 3,057,000 (\$51,969,000) COMMON STOCK. UNDERWRITER:
PAINWEBBER INC, SALOMON BROTHERS INC. (FILE 33-68116 - AUG. 31) (BR. 3
- NEW ISSUE)
- SB-2 FIRST STATE BANCORPORATION, 111 LOMAS AVE N W, ALBUQUERQUE, NM 87102
(505) 262-5500 - 1,380,000 (\$15,180,000) COMMON STOCK. 5,750,000
(\$5,750,000) STRAIGHT BONDS. UNDERWRITER: DAIN BOSWORTH INC. (FILE
33-68166 - AUG. 31) (BR. 1 - NEW ISSUE)
- S-8 DALLAS SEMICONDUCTOR CORP, 4401 S BELTWOOD PKWY, DALLAS, TX 75244
(214) 450-0400 - 500,000 (\$8,500,000) COMMON STOCK. (FILE 33-68200 -
SEP. 01) (BR. 3)
- S-1 TRIAD GUARANTY INC, 101 SOUTH STRATFORD RD, STE 500, WINSTON SALEM, NC
27104 (919) 723-1282 - 2,645,000 (\$43,642,500) COMMON STOCK. UNDERWRITER:
BRADFORD J C & CO, CHICAGO CORP, INTERSTATE JOHNSON LAND CORP. (FILE
33-68218 - SEP. 02) (BR. 11)
- SB-2 UNITED RESTAURANTS INC, 3195 C AIRPORT LOOP DR STE B, COSTA MESA, CA
92626 (714) 435-3550 - 2,012,500 (\$8,050,000) COMMON STOCK. 175,000
(\$175) WARRANTS, OPTIONS OR RIGHTS. 5,570,000 (\$27,670,000) COMMON STOCK.
UNDERWRITER: MEYERSON M H & CO INC. (FILE 33-68252-LA - SEP. 01) (BR. 12
- NEW ISSUE)
- S-4 APPAREL RETAILERS INC, 10201 MAIN ST, HOUSTON, TX 77025 (713) 667-5601
- 149,082,000 (\$149,082,000) STRAIGHT BONDS. (FILE 33-68258 - SEP. 01)
(BR. 11)
- SB-2 SEVENTH GENERATION INC, 49 HERCULES DR, COLCHESTER, VT 05446
(802) 655-6777 - 4,380,000 (\$14,943,100) COMMON STOCK. 262,869 (\$27,486)
WARRANTS, OPTIONS OR RIGHTS. 405,738 (\$2,094,407) COMMON STOCK.
UNDERWRITER: GKN SECURITIES CORP. (FILE 33-68272 - SEP. 02) (NEW ISSUE)
- S-11 ASSOCIATED ESTATES REALTY CORP, 600 BETA DR, MAYFIELD VILLAGE, OH 44143
(216) 461-1111 - 8,337,500 (\$191,762,500) COMMON STOCK. UNDERWRITER:
ALEX BROWN & SONS INC, DEAN WITTER REYNOLDS INC,
MCDONALD & CO SECURITIES INC, MERRILL LYNCH & CO. (FILE 33-68276 -
SEP. 02) (BR. 6 - NEW ISSUE)
- S-1 SAFESKIN CORP, 5100 TOWN CENTER CIRCIE, STE 560, BOCA RATON, FL 33486
(407) 395-9988 - 3,335,000 (\$46,690,000) COMMON STOCK. UNDERWRITER:
MONTGOMERY SECURITIES, SMITH BARNEY SHEARSON INC. (FILE 33-68284 -
SEP. 02) (BR. 5 - NEW ISSUE)
- S-1 US TURBINE CORP, 7685 S STATE RT 48, MAINEVILLE, OH 45039 (513) 683-6100
- 1,437,500 (\$12,937,500) COMMON STOCK. UNDERWRITER: DICKINSON & CO.
(FILE 33-68290 - SEP. 02) (BR. 10 - NEW ISSUE)

REGISTRATIONS CONTINUED

- N-1A MFS UNION STANDARD TRUST, 500 BOYLSTON ST, BOSTON, MA 02116
(617) 954-5000 - INDEFINITE SHARES. (FILE 33-68310 - SEP. 02) (BR. 16
- NEW ISSUE)
- F-1 BUFETE INDUSTRIAL SA, 850 MORAS, COLONIA DEL VALLE, MEXICO DF, 05
(525) 659-3555 - 70,224,719 (\$124,999,999.82) FOREIGN COMMON STOCK.
UNDERWRITER: FIRST BOSTON CORP, GOLDMAN SACHS & CO, SERFIN SECURITIES INC.
(FILE 33-68326 - SEP. 02) (BR. 9 - NEW ISSUE)
- S-3 CBT CORP /KY/, 333 BROADWAY, PADUCAH, KY 42001 (502) 575-5100 - 200,000
(\$6,775,000) COMMON STOCK. (FILE 33-68334 - SEP. 02) (BR. 2)
- S-1 MEDIA VISION TECHNOLOGY INC, 47300 BAYSIDE PARKWAY, FREEMONT, CA 94538
(510) 770-8600 - 119,322 (\$2,923,389) COMMON STOCK. (FILE 33-68340 -
SEP. 03) (BR. 9)
- S-3 LANDMARK GRAPHICS CORP, 15150 MEMORIAL DR, HOUSTON, TX 77079
(713) 560-1000 - 2,336,409 (\$51,260,813) COMMON STOCK. (FILE 33-68342 -
SEP. 03) (BR. 9)
- S-8 TRINZIC CORP, 101 UNIVERSITY AVE, PALO ALTO, CA 94301 (415) 328-9595 -
300,000 (\$1,200,000) COMMON STOCK. (FILE 33-68344 - SEP. 03) (BR. 10)
- S-1 FIRST STATE CORP /GA/, 333 BROAD AVE, ALBANY, GA 31701 (912) 432-8000 -
110,000 (\$3,300,000) COMMON STOCK. (FILE 33-68346 - SEP. 03) (BR. 1)
- S-4 COLONIAL BANCORP INC, ONE COMMERCE ST STE 800, P O BOX 1108,
MONTGOMERY, AL 36104 (205) 240-5000 - 2,148,033 (\$42,155,147.63)
COMMON STOCK. (FILE 33-68350 - SEP. 03) (BR. 2)
- S-2 HOMESTYLE FAMILY BUFFET INC, 35207 US HWY 19 N, PALM HARBOR, FL 34684
(813) 785-1370 - 4,600,000 (\$18,147,000) COMMON STOCK. (FILE 33-68352 -
SEP. 03) (BR. 12)
- S-3 WHOLE FOODS MARKET INC, 1705 CAPITAL OF TEXAS HIGHWAY S STE 400,
STE 1400, AUSTIN, TX 78746 (512) 328-7541 - 1,835,299 (\$82,588,455)
COMMON STOCK. (FILE 33-68362 - SEP. 03) (BR. 1)
- S-3 TEL ELECTRONICS INC, 705 E MAIN ST, AMERICAN FORK, UT 84003
(801) 756-9606 - 1,500,000 (\$1,500,000) COMMON STOCK. (FILE 33-68364 -
SEP. 02) (BR. 7)
- S-1 NAVARRE CORP /MN/, 6750 WEST BROADWAY, BROOKLYN PARK, MN 55428
(612) 535-8333 - 1,437,500 (\$10,062,500) COMMON STOCK. UNDERWRITER:
CRAIG HALLUM, HAMILTON INVESTMENTS INC. (FILE 33-68392 - SEP. 03) (BR. 1
- NEW ISSUE)
- S-1 MEGAFOODS STORES INC, 1455 S STAPLEY DR, MESA, AZ 85204 (602) 926-1087
- 82,500,000 (\$82,500,000) STRAIGHT BONDS. (FILE 33-68394 - SEP. 03)
(BR. 2)
- S-1 CROSSMANN COMMUNITIES INC, 2935 EAST 96TH ST, INDIANAPOLIS, IN 46240
(317) 843-9514 - 2,300,000 (\$27,600,000) COMMON STOCK. UNDERWRITER:
MCDONALD & CO SECURITIES INC, RAYMOND JAMES & ASSOCIATES INC. (FILE
33-68396 - SEP. 03) (BR. 9 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-3 INTERCARGO CORP, 1450 EAST AMERICAN LN, 20TH FLR, SCHAUMBURG, IL 60173 (708) 517-2990 - 89,040 (\$1,202,040) COMMON STOCK. (FILE 33-68398 - SEP. 03) (BR. 9)
- S-1 KENTUCKY ENTERPRISE BANCORP INC, 800 MONMOUTH ST, NEWPORT, KY 41071 - 2,645,000 (\$26,450,000) COMMON STOCK. UNDERWRITER: CHARLES WEBB & CO. (FILE 33-68400 - SEP. 03) (BR. 2 - NEW ISSUE)
- S-1 BALDWIN BUILDERS, 500 NEWPORT CENTER DR, STE 700, NEWPORT BEACH, CA 92660 (714) 640-0540 - 155,000,000 (\$155,000,000) STRAIGHT BONDS. (FILE 33-68402 - SEP. 03) (BR. 10 - NEW ISSUE)
- S-1 SPECIALTY EQUIPMENT COMPANIES INC, 6581 REVLOM DR STE 1, BELVIDERE, IL 61008 (815) 544-5111 - 170,000,000 (\$170,000,000) STRAIGHT BONDS. UNDERWRITER: CONTINENTAL PARTNERS INC, MERRILL LYNCH & CO. (FILE 33-68404 - SEP. 03) (BR. 9)
- S-3 SENSORMATIC ELECTRONICS CORP, 500 N W 12TH AVE, DEERFIELD BEACH, FL 33442 (305) 420-2000 - 450,000 (\$20,081,250) COMMON STOCK. (FILE 33-68406 - SEP. 03) (BR. 7)
- S-8 INTERCARGO CORP, 1450 EAST AMERICAN LN, 20TH FLR, SCHAUMBURG, IL 60173 (708) 517-2990 - 103,500 (\$1,500,750) COMMON STOCK. (FILE 33-68410 - SEP. 03) (BR. 9)
- S-1 AVONDALE INC, 506 S BROAD ST, MONROE, GA 30655 (404) 267-2226 - 4,000,000 (\$80,000,000) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP, LEHMANN BROTHERS, WHEAT FIRST BUTCHER & SINGERCAPITAL. (FILE 33-68412 - SEP. 03) (BR. 7 - NEW ISSUE)
- S-3 BET HOLDINGS INC, 1232 31ST ST NW, WASHINGTON, DC 20007 (202) 337-5260 - 81,938 (\$1,331,492.50) COMMON STOCK. (FILE 33-68414 - SEP. 03) (BR. 8)
- N-2 GLOBAL PARTNERS INCOME FUND INC, SEVEN WORLD TRADE CENTER, NEW YORK, NY 10048 (212) 783-7000 - 3,105,000 (\$46,575,000) COMMON STOCK. UNDERWRITER: OPPENHEIMER & CO INC, SALOMON BROTHERS INC. (FILE 33-68416 - SEP. 03) (BR. 16 - NEW ISSUE)
- S-4 HOMESTYLE FAMILY BUFFET INC, 35207 US HWY 19 N, PALM HARBOR, FL 34684 (813) 785-1370 - 4,000,000 (\$765,217) COMMON STOCK. (FILE 33-68418 - SEP. 03) (BR. 12)
- S-11 HORIZON OUTLET CENTERS INC, 1050 WEST WESTERN AVE, MUSKEGON, MI 49441 (616) 728-5170 - 9,660,000 (\$222,180,000) COMMON STOCK. UNDERWRITER: BEAR STEARNS & CO INC, MCDONALD & CO SECURITIES INC, PRUDENTIAL SECURITIES INC, SMITH BARNEY SHEARSON INC. (FILE 33-68420 - SEP. 03) (BR. 6 - NEW ISSUE)
- S-8 INFONOW CORP /DE, 4001 DISCOVERY DR, STE 230, BOULDER, CO 80303 (303) 442-6666 - 600,000 (\$2,664,000) COMMON STOCK. (FILE 33-68422 - SEP. 03) (BR. 9)
- S-8 AMERICAN MANAGEMENT SYSTEMS INC, 1777 N KENT ST, ARLINGTON, VA 22209 (703) 841-6000 - 300,000 (\$5,346,000) COMMON STOCK. (FILE 33-68426 - SEP. 03) (BR. 9)
- S-1 OPINION RESEARCH CORP, 23 ORCHARD RD, SKILLMAN, NJ 08558 (908) 281-5100 - 2,185,000 (\$24,035,000) COMMON STOCK. UNDERWRITER: ADVEST INC, LADENBURG THALMANN & CO INC. (FILE 33-68428 - SEP. 03) (BR. 5 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-3 NOISE CANCELLATION TECHNOLOGIES INC, 1015 WEST NURSERY RD, LINTHICUM, MD 21090 (410) 636-8700 - 8,050,000 (\$26,414,062.50) COMMON STOCK. (FILE 33-68430 - SEP. 03) (BR. 3)
- S-2 FIRSTBANK CORP, 311 WOODWORTH AVE, ALMA, MI 48801 (517) 463-3131 - 303,000 (\$6,363,000) COMMON STOCK. (FILE 33-68432 - SEP. 03) (BR. 2)
- S-1 CREDESCENCE SYSTEMS CORP, 3500 W WARREN AVE, FREMONT, CA 94538 (510) 657-7400 - 2,875,000 (\$46,000,000) COMMON STOCK. UNDERWRITER: COMEN & CO, SMITH BARNEY SHEARSON INC. (FILE 33-68438 - SEP. 03) (BR. 8 - NEW ISSUE)
- S-1 ENSYS ENVIRONMENTAL PRODUCTS INC /DE/, 4222 EMPEROR BLVD, ROYAL CENTER, MORRISVILLE, NC 27560 (919) 941-5509 - 2,070,000 (\$24,840,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, FIRST ANALYSIS SECURITIES CORP. (FILE 33-68440 - SEP. 03) (BR. 8 - NEW ISSUE)
- S-4 FBC FINANCIAL CORP, 1698 SOUTH LYNN RIGGS BLVD, CLAREMORE, OK 74017 (918) 341-7100 - 163,748 (\$2,829,564) COMMON STOCK. (FILE 33-68442 - SEP. 03) (BR. 1 - NEW ISSUE)
- S-1 SCOTSMAN GROUP INC, 8211 TOWN CTR DR, BALTIMORE, MD 21236 (410) 931-6000 - 120,000,000 (\$120,000,000) STRAIGHT BONDS. UNDERWRITER: BROWN ALEX & SONS INC, JEFFERIES & CO INC. (FILE 33-68444 - SEP. 03) (BR. 5 - NEW ISSUE)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
ACE CASH EXPRESS INC	COM		255	00440310	
MORGENS E & WATERFALL B ET AL	13D	9/ 2/93	6.1	0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AFFILIATED PUBNS INC NEW SOUTHEASTERN ASSET MGMT	COM SER A 13D	9/ 8/93	2,374 3.3	00826210 10.5	UPDATE
ALLSTATE FINL CORP VA FARLEY STEPHEN L ET AL	COM 13D	9/ 8/93	295 9.5	02001110 7.7	UPDATE
AMERICAN MAIZE PRODS CO ARCHER DANIELS MIDLAND	CL B 13D	9/ 3/93	0 0.0	02733930 4.4	UPDATE
AVID TECHNOLOGY INC HIGHLAND CAPITAL PTRNS ET AL	COM 13D	5/13/93	1,688 16.9	05399910 0.0	NEW
BOSTON CELTICS LTD PRTRNSHP COHEN ALAN H ET AL	UNIT LTD PRTRM 13D	8/30/93	668 10.4	10057610 16.2	UPDATE
CELLULAR TECHNICAL SVCS INC PEIZER TERREN S	COM 13D	5/21/93	330 7.6	15116710 6.9	UPDATE
CREATIVE BIOMOLECULES INC PATRICOF & CO VENTURES ET AL	COM 13D	8/24/93	1,540 9.9	22527010 8.4	UPDATE
EVEREST MED CORP ALBERS JOHN R	COM 13D	8/31/93	653 10.9	29980610 6.4	UPDATE
KENNEDY-WILSON INC ROBERTSON STEPHENS ORPHAN FD	COM FD 13D	8/13/93	793 5.6	48939910 0.0	NEW
LTC PPTYS INC FIDELITY INTL LTD	COM 13D	9/ 3/93	1,194 13.4	50217510 11.3	UPDATE
LTC PPTYS INC FMR CORP	COM 13D	9/ 3/93	1,194 13.4	50217510 11.3	UPDATE
LATTICE SEMICONDUCTOR CORP FIDELITY INTL LTD	COM 13D	9/ 4/93	1,405 7.9	51841510 7.9	UPDATE
LATTICE SEMICONDUCTOR CORP FMR CORP	COM 13D	9/ 4/93	1,405 7.9	51841510 7.9	UPDATE
LIFECO INVESTMENT GRP ALOISI LAMBERT G ET AL	COM 13D	8/24/93	2,558 54.8	53218399 53.5	UPDATE
MATLACK SYS INC CRANDALL J TAYLOR ET AL	COM 13D	9/ 1/93	400 6.9	57690110 0.0	NEW
MEDICAL PPTYS INC AMOROSO JOSEPH J & MINA C	COM 13D	7/23/93	143 6.1	58499210 0.0	NEW
MENRY CORP GARDNER DAVID A	COM 13D	9/ 7/93	5,000 17.0	58626310 0.0	NEW
MU-KOTE HLDG INC CENTENNIAL ASSOC	CL A 13D	9/10/93	403 5.2	66993510 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ 200MED	CUSIP/ PRIOR%	FILING STATUS
OESI PWR CORP BRONICKI LUCIEN Y ET AL	COM 13D	8/15/93	1,382 21.6	68999610 25.1	UPDATE
OESI PWR CORP ORMAT INC	COM 13D	9/21/92	1,382 21.6	68999610 0.0	NEW
OESI PWR CORP ORMAT INDS LTD	COM 13D	8/15/93	1,382 21.6	68999610 21.6	UPDATE
PARAMOUNT COMMUNICATIONS INC GAMCO INVESTORS INC ET AL	COM 13D	9/10/93	5,491 4.6	69921610 5.8	UPDATE
REPUBLIC PICTURES CORP BLOCKBUSTER ENTERTAINMENT	COM 13D	9/12/93	0 0.0	76072630 12.6	UPDATE
REPUBLIC PICTURES CORP REPINVESCO INC	COM 13D	9/12/93	3,360 46.7	76072630 12.6	UPDATE
SPI HLDG INC CUMBERLAND ASSOCIATES	CL B 13D	9/ 1/93	657 5.9	78499440 7.8	UPDATE
SIERRA ON LINE INC FNR CORP	COM 13D	9/ 3/93	925 12.7	82640910 11.2	UPDATE
SPELLING ENTNT GROUP INC SEGI HLDG CO ET AL	COM 13D	9/12/93	45,659 89.7	84780710 63.5	UPDATE
TELEMATICS INTL INC SOROS GEORGE ET AL	COM 13D	9/ 7/93	1,114 6.7	87942710 0.0	NEW
URANIUM RES INC ANTHONY HARRY L IV	COM NEW 13D	9/ 2/93	528 7.9	91690130 8.5	UPDATE
URANIUM RES INC ANTHONY JUDITH A	COM NEW 13D	9/ 2/93	505 7.6	91690130 0.0	NEW
URANIUM RES INC BARTELS CRAIG	COM NEW 13D	9/ 2/93	231 3.5	91690130 0.0	NEW
URANIUM RES INC BIDDLE KELLY L	COM NEW 13D	9/ 2/93	330 4.9	91690130 5.5	UPDATE
URANIUM RES INC CLEMENT RICHARD F JR	COM NEW 13D	9/ 2/93	354 5.3	91690130 5.9	UPDATE
URANIUM RES INC GARZA SERGIO	COM NEW 13D	9/ 2/93	52 0.7	91690130 0.0	NEW
URANIUM RES INC LARSON RAYMOND G	COM NEW 13D	9/ 2/93	855 12.9	91690130 13.8	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ XCHNGD	CUSIP/ PRIOR%	FILING STATUS
URANIUM RES INC MCKNIGHT WILLIAM M JR	COM NEW 13D	9/ 2/93	902 13.6	91690130 14.1	UPDATE
URANIUM RES INC PELIZA MARK	COM NEW 13D	9/ 2/93	260 3.9	91690130 0.0	NEW
URANIUM RES INC RUSSELL JAMES M	COM NEW 13D	9/ 2/93	79 1.2	91690130 0.0	NEW
VIDEO JUKEBOX NETWORK INC CEA INVESTORS INC ET AL	COM 13D	8/30/93	10,453 60.8	92699410 17.5	RVISION

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ADVANCED MONITORING SYSTEMS INC	CO									08/12/93	
AIR METHODS CORP	DE					X				09/08/93	
ALPHA 1 BIONEDICALS INC /DE/	DE					X				08/30/93	
AMERIGAS INC	PA						X			09/09/93	AMEND
ANNEX INC	NY						X			08/24/93	AMEND
AMERGEN INC	CA					X	X			08/17/93	
ANGELES INCOME PROPERTIES LTD IX						X	X			09/01/93	
ANGELES INCOME PROPERTIES LTD XI						X	X			09/01/93	
ANGELES INCOME PROPERTIES LTD XII						X	X			09/01/93	
ANGELES INCOME PROPERTIES LTD XIV						X	X			09/01/93	
ANGELES INCOME PROPERTIES LTD 16						X	X			09/01/93	
BAROID CORPORATION /DE	DE					X	X			09/07/93	
BEAR STEARNS MORT SEC INC MORT PAS THR C	DE					X	X			08/25/93	
BEAR STEARNS MORT SEC INC MORT PASS THR	DE					X	X			08/25/93	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
BEAR STEARNS MORT SEC INC MORT PASS THR	DE				X	X				08/25/93	
BEAR STEARNS MORT SEC INC MORT PASS THRO	DE				X	X				08/25/93	
BEAR STEARNS MORT SEC INC MORT PASS THRO	DE				X	X				08/25/93	
BEAR STEARNS MORTGAGE SEC INC MORT PASS	DE				X	X				08/25/93	
BEAR STEARNS MORTGAGE SEC MORT PASS THR					X	X				08/25/93	
BLOCKBUSTER ENTERTAINMENT CORP	DE				X					09/10/93	
BOSTON FINANCIAL TAX CREDIT FUND VII LP	MA		X				X			09/10/93	
BRIDAL EXPOS INC	NY									09/01/93	
BRINKER INTERNATIONAL INC	DE				X		X			06/30/93	
BROOKLYN UNION GAS CO	NY	X								09/14/93	
BRUSH CREEK MINING & DEVELOPMENT CO INC	NV				X					09/03/93	
BUILDERS TRANSPORT INC	DE	X					X			08/27/93	
BURLINGTON RESOURCES COAL SEAM GAS ROYAL	DE			X		X				07/23/93AMEND	
CACI INTERNATIONAL INC /DE/	DE				X	X				09/09/93	
CALIFORNIA FEDERAL BANK	CA				X	X				08/25/93	
CAPITOL HOME EQUITY LOAN TRUST 1990-1	MD						X			08/31/93	
CARETENDERS HEALTH CORP	DE	X					X			08/27/93	
CATERPILLAR INC	DE	X								09/13/93	
CBNI DEVELOPMENT CO INC	DE				X					08/30/93	
CELLULAR COMMUNICATIONS INTERNATIONAL IN	DE				X	X				08/26/93	
CENTURY PROPERTIES FUND XVIII	CA	X					X			08/27/93	
CHAMPION INDUSTRIES INC	WV	X					X			09/01/93	
CHASE MORTGAGE FINANCE CORP	DE	X					X			08/26/93	
CHEVY CHASE EXTENDIBLE CREDIT CARD TRUST	MD				X	X				09/08/93	
CHEVY CHASE EXTENDIBLE CREDIT CARD TRUST	MD				X	X				09/08/93	
CHRONIMED INC	MI							X		09/10/93	
CLINICORP INC	DE									08/25/93	
CLINICORP INC	DE									08/27/93	
CNC SECURITIES CORP II	DE				X	X				08/25/93	
CNC SECURITIES CORP II	DE	X					X			08/30/93	
COASTAL HEALTHCARE GROUP INC	DE						X			07/01/93AMEND	
COMMERCIAL CREDIT CO	DE						X			09/03/93	
COMPUTER NETWORK TECHNOLOGY CORP	MI						X			07/01/93AMEND	
CONNECTICUT LIGHT & POWER CO	CT				X					06/30/93	
CORESTATES HOME EQUITY TRUST 1993-1	NY						X			09/09/93	
CORNING INC /NY	NY	X								09/14/93	
CROCKER REALTY INVESTORS INC	FL	X					X			06/28/93AMEND	
CROSS TIMBERS OIL CO	DE						X			06/30/93AMEND	
CURAFLEX HEALTH SERVICES INC	DE						X			09/11/93AMEND	
CYBERTEK CORP /DE/	DE	X					X			08/14/93	
CYPRESS EQUIPMENT FUND II LTD										08/31/93	
DANKA BUSINESS SYSTEMS PLC /ENG/	FL				X	X				09/01/93	
DERAND REAL ESTATE INVESTMENT TRUST	MA									08/21/93	
DEVON ENERGY CORP /DE/	DE						X			06/28/93AMEND	
DIAMON SYSTEMS INC	DE						X			04/30/93AMEND	
DIAMON SYSTEMS INC	DE						X			06/29/93AMEND	
DOMINION INTERNATIONAL RESOURCES INC	CO	X					X			07/20/93	
DUPONT E I DE MEMOURS & CO	DE				X					09/14/93	
ENERGY CONVERSION DEVICES INC	DE									09/07/93	
ENERGY CONVERSION DEVICES INC	DE				X					09/10/93	
ENHANCED ELECTRONICS CORP	TX									08/31/93	
EQUITY AU INC	DE				X					09/01/93	