

SEC NEWS DIGEST

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ENFORCEMENT PROCEEDINGS

PAUL NIETZEL, CPA AGREES TO ADMINISTRATIVE CEASE AND DESIST AND RULE 102(e) ORDER

On August 12, the Commission issued a settled cease and desist and administrative order against Paul E. Nietzel, CPA (Order) finding that Nietzel, a certified public accountant and former associated person of a registered broker-dealer and investment adviser, violated the antifraud provisions of the federal securities laws in connection with Normandy America Inc.'s August 1995 \$200 million initial public offering. Normandy's registration statement falsely disclosed that prior to the IPO, Christopher K. Bagdasarian, Normandy's Chief Executive Officer, achieved a ten-year average annual rate of return of 29.1%, managing assets ranging from \$250.6 million at the beginning of 1990 to \$731.3 million during 1994.

The Commission's Order finds that Nietzel knew that Bagdasarian's performance history as an investment manager would be disclosed in Normandy's registration statement and was critical to the successful completion of the IPO. According to the Order, at the request and direction of Bagdasarian and Sam White (Bagdasarian's accountant and a former Deloitte & Touche partner with whom Nietzel had worked), Nietzel assisted White in creating false schedules which purported to track the content and performance of the assets under Bagdasarian's management. White told Nietzel that White would provide those schedules to the underwriters in connection with their due diligence investigation, and that the information in the schedules would be disclosed in Normandy's registration statement. The Commission's Order further finds that Nietzel impersonated one of Bagdasarian's investors in a due diligence interview conducted by representatives of Salomon Brothers, which was critical to their determination that the information in Normandy's registration statement was true.

The Commission's Order requires Nietzel to cease and desist from committing or causing any violation or future violation of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, or Sections 206(1) or (2) of the Investment Advisers Act; bars Nietzel from associating with any broker, dealer, investment adviser or municipal securities

dealer; and denies Nietzel the privilege of appearing or practicing before the Commission as an accountant. In settling the proceeding, Nietzel consented to issuance of the Commission's order without admitting or denying the matters set forth therein.

The Commission's civil injunctive action against Bagdasarian and White is currently pending in the United States District Court for the Southern District of New York (SEC v. Christopher Kent Bagdasarian and Sam Lance White, 96-CV-7306, SDNY). In related criminal actions, on July 23, 1998 White was sentenced to 57 months in prison and ordered to pay \$6.5 million in restitution as a result of his conviction on twenty-two counts of securities fraud, bank fraud and conspiracy. Bagdasarian has pleaded guilty to securities fraud, bank fraud, conspiracy and perjury and is awaiting sentencing. (Rels. 33-7563; 34-40320; IA-1740; AAE Rel. 1064; File No. 3-9672)

COMPLAINT FOR PERMANENT INJUNCTION AND OTHER RELIEF FILED AGAINST TIMOTHY LYONS AND ADMINISTRATIVE AND CEASE AND DESIST PROCEEDING INSTITUTED AND SETTLED AGAINST NICHOLAS-APPLEGATE CAPITAL MANAGEMENT

On August 12, the Commission announced that it filed a complaint against Timothy J. Lyons, a former trader and portfolio manager at two registered investment adviser firms. Simultaneously with the filing of the complaint, the Commission instituted and settled a cease and desist and administrative proceeding against Nicholas-Applegate Capital Management (NACM), a registered investment adviser located in San Diego, California. NACM has approximately 400 employees and manages approximately \$32 billion in assets for over 23,500 clients.

The Commission's complaint charges Lyons with failing to disclose his conflict of interest in fraudulently allocating \$929,601.30 in profitable equity "day" trades (buying and selling the same security within the same day) to his personal accounts at the expense of client accounts. The complaint alleges that these violations occurred from 1991 through July 1993, while Lyons was employed at NACM and from August 1993 through August 1995, at Lyons Capital Partners, an investment adviser firm formerly registered with the Commission of which Lyons was a 70% owner. Additionally, the complaint alleges that Lyons aided and abetted NACM's books and records violations by failing to report completely and accurately his personal trading to NACM.

The complaint alleges that Lyons violated the antifraud provisions of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and Sections 206(1) and 206(2) of the Investment Advisers Act, and aided and abetted NACM's recordkeeping violations of Section 204 of the Advisers Act and Rule 204-2(a)(12) thereunder. The complaint seeks a permanent injunction, disgorgement of Lyons' illicit profits of \$929,601.30 plus prejudgment interest, and civil penalties.

The administrative Order instituted and settled against NACM finds that NACM employed Lyons, who was both the trader and portfolio manager for NACM's employee profit-sharing retirement plan (NACM Employee Plan). According to the Order, from 1991 until he left NACM in July 1993, Lyons perpetrated a fraudulent scheme by consistently allocating profitable day trades to his personal accounts and unprofitable day trades to the NACM Employee Plan. During this time, Lyons' day trades generated profits of approximately one million dollars in his personal accounts.

The Order further finds that NACM failed reasonably to supervise Lyons. First, NACM employed Lyons as both the trader and the portfolio manager for the NACM Employee Plan without establishing any procedures to ensure Lyons did not allocate profitable day trades to his personal accounts and unprofitable day trades to the NACM Employee Plan. Consequently, Lyons had the opportunity to assess the market movement of securities after purchase and before allocating the trades to his personal accounts or to the NACM Employee Plan. Therefore, NACM placed Lyons in a conflict of interest position with respect to the NACM Employee Plan without establishing adequate procedures to review and supervise Lyons' personal securities trading. NACM did not have any procedures requiring someone other than Lyons to pre-approve his requests to trade in his own account. Additionally, NACM failed to use reasonable diligence to address the repeated deficiencies in Lyons' reporting of his personal trading. Finally, the Order finds that NACM willfully violated the books and records provisions of the Investment Advisers Act by failing to maintain accurate and complete records of Lyons' personal trading from February 1991 through July 1993.

In settling this matter with the Commission, NACM consented to the entry of the Order, without admitting or denying the findings in the Commission's Order, is ordered to pay a civil penalty of \$250,000 and is ordered to cease and desist from committing or causing violations and any future violations of Section 204 of the Advisers Act and Rule 204-2(a)(12) thereunder. In addition, NACM was censured and agreed to maintain and implement procedures reasonably designed to supervise its employees with a view toward preventing and detecting violations of the books and records provisions of the Advisers Act. [SEC v. Timothy J. Lyons, Civil Action No. 98 CV 1471J RBB, SDCA] (LR-15842A); Administrative Proceedings in the Matter of Nicholas-Applegate Capital Management, a California Limited Partnership (Rel. IA-1741; File No. 3-9673)

SEC SETTLES INSIDER TRADING ACTION AGAINST ALEJANDRO ZAFFARONI, FORMER CEO OF AFFYMAX, N.V. AND AGAINST SIX OTHER DEFENDANTS

The Commission announced that on August 12 it filed an insider trading action against Alejandro C. Zaffaroni, the founder and former chief executive officer of Affymax N.V., (Affymax), and against six other defendants. The complaint charges that Zaffaroni violated the securities laws by communicating information about the January 1995 acquisition of Affymax by Glaxo plc to three friends and to certain family members in Uruguay. Zaffaroni's friends and

family members profited by purchasing Affymax securities before the acquisition was publicly announced. At the time of the trading, Affymax, a drug discovery company located in Palo Alto, California, and pharmaceutical giant Glaxo plc (now Glaxo Wellcome plc) were engaged in confidential negotiations that resulted in Glaxo's acquisition of Affymax in a friendly tender offer.

Simultaneous with the filing of the complaint each of the defendants, without admitting or denying the allegations in the complaint, consented to the entry of Final Judgments permanently enjoining them from violating the insider trading prohibitions contained in Section 14(e) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 14e-3 thereunder, and ordering them to disgorge profits and to pay penalties on the trading. Section 14 (e) and Rule 14e-3 prohibit communicating or trading on inside information in connection with a tender offer. Zaffaroni has also consented to an injunction enjoining him from future violations of Section 10 (b) of the Exchange Act and Rule 10b-5 thereunder.

In addition to the entry of injunctions, six of the seven defendants have agreed to pay a total of \$1,849,953 in penalties, disgorgement of profits, and interest, as follows:

Zaffaroni, of Atherton, California, will pay a civil penalty of \$552,021. Eugene Farber, of Portola Valley, California, will pay \$230,510, which includes disgorgement and prejudgment interest of \$132,510 and a penalty of \$98,000. Nicholas Vida, of San Mateo, California will pay \$83,501, which includes disgorgement and prejudgment interest of \$48,001 and a penalty of \$35,500. Alba Rosa Arzate, of Mexico City, Mexico, will pay \$165,809, which includes disgorgement and prejudgment interest of \$95,316 and a penalty of \$70,493. Pedro Zaffaroni, of Montevideo, Uruguay, will pay \$746,973, which includes disgorgement and prejudgment interest of \$429,402 and a penalty of \$317,571. Ines Romero, of Montevideo, Uruguay, will pay \$71,639, which includes disgorgement and prejudgment interest of \$41,182 and a penalty of \$30,457.

The Commission's complaint alleges that Zaffaroni's friends, Farber, Vida and Arzate purchased large blocks of Affymax shares in the two weeks preceding the Glaxo tender offer announcement following meetings or telephone calls with Zaffaroni. During the same period, Zaffaroni told a family member living in Uruguay that he was in the final stages of selling Affymax. At the time of the trading, Zaffaroni was CEO and a member of the board of directors of Affymax. After learning of the acquisition, Zaffaroni's nephew and niece, Pedro Zaffaroni and Ines Romero, and Zaffaroni's former sister-in-law, Yolanda Rocco, all of whom live in Uruguay, purchased Affymax shares before the tender offer's announcement. The Commission's complaint also alleges that Pedro Zaffaroni used four nominees to buy Affymax shares and that he passed news of the acquisition to two others, resulting in trading profits by the Zaffaroni family and friends of the Zaffaroni family in Uruguay of approximately \$348,000. [SEC v. Alejandro C. Zaffaroni, Eugene Farber, Nicolas Vida, Alba Rosa Arzate, Yolanda Rocco, Ines Romero, and Pedro

FIRST AMENDED COMPLAINT FILED AGAINST WILLIAM TRAINOR, ET AL.

On August 12, the Commission filed a First Amended Complaint in the United States District Court for the District of Columbia against William P. Trainor, Vincent D. Celentano, Karen Losordo, Medical Diagnostic Products, Inc. (f/k/a Novatek International, Inc.) and New England Diagnostics, Inc. (NED), and Diane M. Trainor, Daniel J. Trainor, Geraldine Trainor and Mary N. Celentano as relief defendants.

The Commission's First Amended Complaint alleges that defendants Trainor, Celentano, Losordo and NED committed fraud in the offer and sale of shares of Health Care, Ltd., a Russian company owned and controlled by them. The First Amended Complaint alleges, among other things, that Trainor and Celentano offered and sold shares of Health Care, Ltd. without properly registering the securities with the Commission and that they made false and misleading statements to investors and prospective investors in connection with the offer and sale of the Health Care, Ltd. securities. The Commission's First Amended Complaint seeks to permanently enjoin the defendants from future violations of the federal securities laws as well as seek civil penalties against them. [SEC v. William P. Trainor, Vincent D. Celentano, Karen Losordo, Medical Diagnostic Products, Inc. (f/k/a Novatek International, Inc.), New England Diagnostics, Inc. Diane M. Trainor, Daniel J. Trainor, Geraldine Trainor and Mary N. Celentano, Civil Action No. 98-CV01533, EGS, USDC/DC] (LR-15844; AAE Rel. 1065)

SEC v. INTERNET CASINO SPORTS GAMING, LLC, ET AL.

The Commission announced today that it filed an emergency lawsuit in federal court in Los Angeles under seal on August 10 seeking to halt the operations of two Southern California entities that were conducting a fraudulent investment scheme over the Internet to purportedly finance, own and operate a virtual casino on-line website. Named in the complaint are Internet Casino Sports Gaming, LLC and Internet Casino Sports Gaming, Inc., both located in Sherman Oaks, California, and Robert Matias a/k/a Robert DuVall, age 35, of Granada Hills, California and Alan Lenchner a/k/a Alan Lane, age 62, also of Granada Hills, California. Matias and Lenchner are managing members of Internet Casino Sports Gaming, LLC and officers of Internet Casino Sports Gaming, Inc. The lawsuit was filed as part of the joint SEC and Federal Trade Commission "sweep" of entertainment firms entitled "Risky Business."

The complaint alleges that from April 1997 through the present, the defendants raised approximately \$2 million from the offer and sale of limited liability units in Internet Casino Sports Gaming, LLC to about 152 investors nationwide. The Complaint further alleges that the defendants made material misrepresentations and omissions in connection with the offer and sale of these securities. The defendants represented that investor funds would be used to develop and operate a virtual casino website and that investors could

potentially earn a 581 % return. However, the defendants misused investors' funds by spending only approximately 10% of investor funds on the development and licensing of the website while spending almost all of the remaining funds on undisclosed and undetermined expenses including sales commissions of 35-40% to sales agents and the defendants, undisclosed salaries and other Payments to the defendants and their family members (including payments to Matias' father and mother-in-law and Lenchner's daughter), undisclosed automobile allowances for the defendants (including a 1998 Mercedes Benz Sport Utility Vehicle), and operating costs of the boiler room soliciting investors.

The SEC obtained an order freezing the assets of the defendants and temporarily enjoining the defendants from future violations of Sections 5(a) and 5(c) of the Securities Act of 1933 (Securities Act), the securities registration provisions, Section 15(a) of the Securities Exchange Act of 1934 (Exchange Act), the broker-dealer registration provisions and Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, the antifraud provisions. The Complaint seeks preliminary and permanent injunctions, and other relief, including disgorgement and civil penalties, against the defendants. [SEC v. Internet Casino Sports Gaming, LLC, et al., Civil Action No. 98-6467, MRP, RNBx, CDCA] (LR-15845)

INVESTMENT COMPANY ACT RELEASES

AMERICAN GENERAL SERIES PORTFOLIO COMPANY 2, ET AL.

A notice has been issued giving interested persons until September 8, 1998, to request a hearing on an application filed by American General Series Portfolio Company 2, et al. Applicants seek an order under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act and Rule 18f-2 under the Act. The order would permit applicants to enter into and materially amend investment sub-advisory agreements without shareholder approval. (Rel. IC-23386 - August 12)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-Phlx-98-32) filed by the Philadelphia Stock Exchange to permit a FLEX equity option to have a term of up to five years in certain circumstances has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 17. (Rel. 34-40318)

WITHDRAWALS GRANTED

An order has been issued granting the application of Smart Choice Automotive Group, Inc. to withdraw its Common Stock, \$.01 Par Value, and its Redeemable Warrants, from listing and registration on the Boston Stock Exchange. (Rel. 34-40319)

An order has been issued granting the application of Sel-Leb Marketing, Inc. to withdraw its Common Stock, \$.01 Par Value, and its Redeemable Common Stock Purchase Warrants, from listing and registration on the Boston Stock Exchange. (Rel. 34-40321)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-B ISRAEL STATE OF, 800 SECOND AVENUE 17TH FLOOR, NEW YORK, NY 10017
(212) 560-0600 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 333-9226 - AUG. 03) (BR. 99)
- S-8 QSOUND LABS INC, 2748 37TH AVE, SUITE 500,
CALGARY ALBERTA CANADA T1Y 5L3, A0 - 2,000,000 (\$4,362,000)
FOREIGN COMMON STOCK. (FILE 333-9228 - AUG. 03) (BR. 4)
- S-8 FUNDTECH LTD, BEIT HABONIM, 2 NABINNINE STREET, ROMET GAN, ISRAEL,
(011) 972-3575 - 10,000 (\$181,300) FOREIGN COMMON STOCK. (FILE 333-9230 - AUG. 04) (BR. 3)
- S-8 ARAKIS ENERGY CORP, 540 - 5TH AVENUE SW, SUITE 320,
CALGARY ALBERTA T2P 0M2, A0 (403) 263-2488 - 8,900,000 (\$17,637,210.94)
FOREIGN COMMON STOCK. (FILE 333-9232 - AUG. 04) (BR. 4)
- F-6 DAIMLER BENZ AKTIENGESELLSCHAFT /ADR/, 111 WALL ST, C/O CITIBANK NA,
NEW YORK, NY 10043 (212) 657-7527 - 50,000,000 (\$2,500,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-9234 - AUG. 05) (BR. 99)
- F-6 TENAGA NASIONAL BERHAD/ADR/, 60 WALL ST,
C/O MORGAN GUARANTY TRUST CO OF NEW YORK, NEW YORK, NY 10260 (212) 648-3200
- 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-9236 - AUG. 05)
- S-8 FIRST ROBINSON FINANCIAL CORP, 501 EAST MAIN STREET, ROBINSON, IL 62454
(618) 544-8621 - 103,155 (\$1,772,976.50) COMMON STOCK. (FILE 333-60769 - AUG 05) (BR. 7)
- S-3 MONEY STORE INC /NJ, 2840 MORRIS AVE, UNION, NJ 07083 (908) 686-2200 -
1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-60771 - AUG. 06) (BR. 7)

S-3 NETWORKS ASSOCIATES INC/, 3965 FREEDOM CIRCLE, SANTA CLARA, CA 95054
(408) 988-3832 - 238,019 (\$10,294,321.75) COMMON STOCK. (FILE 333-60773 -
AUG. 06) (BR. 3)

S-8 NETWORK COMPUTING DEVICES INC, 350 N BERNARDO AVE, MOUNTAIN VIEW, CA
94043 (415) 694-0650 - 699,998 (\$5,253,585.32) COMMON STOCK. (FILE
333-60775 - AUG. 06) (BR. 3)

S-8 WESTERN INVESTMENT REAL ESTATE TRUST, 3450 CALIFORNIA ST, SAN FRANCISCO,
CA 94118 (415) 929-0211 - 850,000 (\$10,812,000) COMMON STOCK. (FILE
333-60777 - AUG. 06) (BR. 8)

S-8 EVOLVING SYSTEMS INC, 6892 SOUTH YOSEMITE, ENGLEWOOD, CO 80112
(303) 802-1000 - 2,124,584 (\$17,233,518.40) COMMON STOCK. (FILE 333-60779
- AUG. 06) (BR. 3)

S-1 OFFITBANK HOLDINGS INC, 520 MADISON AVENUE, NEW YORK, NY 10022
(212) 758-9600 - \$46,000,000 COMMON STOCK. (FILE 333-60781 - AUG. 06)
(BR. 7 - NEW ISSUE)

S-8 SYNOPSIS INC, 700 E MIDDLEFIELD RD, MOUNTAIN VIEW, CA 94043
(415) 962-5000 - 318,114 (\$5,271,148.98) COMMON STOCK. (FILE 333-60783 -
AUG 06) (BR. 3)

S-3 PRENTISS PROPERTIES TRUST/MD, 3890 W NORTHWEST HY, STE 400, DALLAS, TX
75220 (214) 654-0886 - 2,500,000 (\$60,078,250) COMMON STOCK. (FILE
333-60785 - AUG. 06) (BR. 8)

S-8 SURGE COMPONENTS INC, 1016 GRAND BLVD, DEER PARK, NY 11729
(516) 595-1818 - 850,000 (\$1,415,370) COMMON STOCK. (FILE 333-60787 -
AUG. 06) (BR. 5)

S-8 CAVANAUGHS HOSPITALITY CORP, 201 W NORTH RIVER DRIVE, SUITE 100,
SPOKANE, WA 99201 (509) 459-6100 - 1,502,385 (\$18,864,019) COMMON STOCK.
(FILE 333-60791 - AUG. 06) (BR. 8)

S-8 JUDGE GROUP INC, TWO BALA PLAZA, SUITE 405, BALA CYNWYD, PA 19004
(610) 667-1199 - 3,520,000 (\$17,703,851.56) COMMON STOCK. (FILE 333-60793
- AUG. 06) (BR. 3)

S-3 CMS ENERGY CORP, FAIRLANE PLZ SOUTH STE 1100, 330 TOWN CENTER DR,
DEARBORN, MI 48126 (313) 436-9200 - 400,000,000 (\$400,000,000)
STRAIGHT BONDS. (FILE 333-60795 - AUG. 06) (BR. 2)

S-8 QUINTILES TRANSNATIONAL CORP, 4709 CREEKSTONE DR,
RIVERBIRCH BLDG STE 200, DURHAM, NC 27703 (919) 941-2000 - 300,000
(\$13,218,750) COMMON STOCK. (FILE 333-60797 - AUG. 06) (BR. 1)

S-8 K2 DESIGN INC, C/O NEW YORK INFORMATION TECHNOLOGY CENT,
30 BROAD STREET, NEW YORK, NY 10004 - 725,000 (\$2,492,187.50) COMMON STOCK.
(FILE 333-60799 - AUG. 06) (BR. 8)

S-3 TANDY CORP /DE/, 100 THROCKMORTON ST, STE 1800, FORT WORTH, TX 76102
(817) 390-3700 - 100,000 (\$5,768,750) COMMON STOCK. (FILE 333-60803 -
AUG. 06) (BR. 2)

S-8 ONYX PHARMACEUTICALS INC, 3031 RESEARCH DR, BLDG A, RICHMOND, CA 94806
(510) 222-9700 - 375,000 (\$2,250,000) COMMON STOCK. (FILE 333-60805 -
AUG. 06) (BR. 1)

S-8 COGNEX CORP, ONE VISION DR, NATICK, MA 01760 (508) 650-3000 - 4,250,000
(\$68,531,250) COMMON STOCK. (FILE 333-60807 - AUG. 06) (BR. 5)

S-4 UNISOURCE ENERGY CORP, 220 WEST SIXTH STREET, P O BOX 711, TUCSON, AZ
85702 (520) 571-4000 - 4,822,000 (\$68,110,750) COMMON STOCK. (FILE
333-60809 - AUG. 06) (BR. 2)

S-3 RJR NABISCO HOLDINGS CORP, 1301 AVE OF THE AMERICAS, NEW YORK, NY 10019
(212) 258-5600 (FILE 333-60811 - AUG. 06) (BR. 4)

S-8 GOOD TIMES RESTAURANTS INC, 8620 WOLFF CT STE 330, WESTMINSTER, CO 80030
(303) 427-4221 - 300,000 (\$787,500) COMMON STOCK. (FILE 333-60813 -
AUG. 06) (BR. 5)

S-8 ARCHSTONE COMMUNITIES TRUST/, 7670 SOUTH CHESTER ST, ENGLEWOOD, CO 80112
(303) 708-5959 - 100,000 (\$2,046,875) COMMON STOCK. (FILE 333-60815 -
AUG. 06) (BR. 8)

S-8 ARCHSTONE COMMUNITIES TRUST/, 7670 SOUTH CHESTER ST, ENGLEWOOD, CO 80112
(303) 708-5959 - 115,000 (\$2,353,906.25) COMMON STOCK (FILE 333-60817 -
AUG 06) (BR. 8)

S-3 TECO ENERGY INC, TECO PLAZA, 702 N FRANKLIN ST, TAMPA, FL 33602
(813) 228-4111 - \$200,000,000 STRAIGHT BONDS. (FILE 333-60819 - AUG. 06)
(BR. 2)

S-3 CWABS INC, 4500 PARK GRANADA, CALABASAS, CA 91302 (818) 225-3240 -
2,000,000,000 (\$2,000,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE
333-60823 - AUG 06) (BR. 8)

S-8 AIR METHODS CORP, 7301 S PEORIA, P O BOX 4114, ENGLEWOOD, CO 80112
(303) 792-7400 - 100,000 (\$387,500) COMMON STOCK. (FILE 333-60825 -
AUG. 06) (BR. 5)

S-8 ENDOSONICS CORP, 6616 OWENS DRIVE, PLEASANTON, CA 94508 (916) 638-8008
- 1,000,000 (\$7,309,800) COMMON STOCK. (FILE 333-60827 - AUG. 06) (BR. 5)

S-3 NCI BUILDING SYSTEMS INC, 7301 FAIRVIEW, HOUSTON TEXAS, TX 77041
(713) 466-7788 - \$97,778,750 COMMON STOCK. (FILE 333-60829 - AUG 06)
(BR. 6)

S-3 CAPITAL ONE FINANCIAL CORP, 2980 FAIRVIEW PARK DR, STE 1300,
FALLS CHURCH, VA 22042 (703) 205-1000 - 476,427 (\$53,045,382) COMMON STOCK.
(FILE 333-60831 - AUG. 06) (BR. 7)

S-1 FAMILY CHRISTIAN STORES INC, 5300 PATTERSON AVENUE S.E., GRAND RAPIDS,
MI 49530 (616) 554-8700 - \$45,000,000 COMMON STOCK. (FILE 333-60835 -
AUG. 06) (NEW ISSUE)

S-1 EARTHWEB INC, 3 PARK AVE, NEW YORK, NY 10016 - \$34,500,000 COMMON STOCK
(FILE 333-60837 - AUG 06) (NEW ISSUE)

S-8 K N ENERGY INC, 370 VAN GORDON ST, PO BOX 281304, LAKEWOOD, CO 80228
(303) 989-1740 - 1,600,000 (\$77,900,000) COMMON STOCK (FILE 333-60839 -
AUG 06) (BR. 2)

S-4 SYNOVUS FINANCIAL CORP, 901 FRONT AVENUE, STE 301, COLUMBUS, GA 31901
(706) 649-2267 - 850,399 (\$5,835,970) COMMON STOCK. (FILE 333-60841 -
AUG. 06) (BR. 7)

S-8 ARCHSTONE COMMUNITIES TRUST/, 7670 SOUTH CHESTER ST, ENGLEWOOD, CO 80112
(303) 708-5959 - 3,000,000 (\$61,406,250) COMMON STOCK. (FILE 333-60847 -
AUG. 06) (BR. 8)

S-4 ANTIVIRALS INC, ONE S W COLUMBIA, STE 1105, PORTLAND, OR 97258
(503) 227-0554 - 2,292,661 COMMON STOCK. 2,275,659
WARRANTS, OPTIONS OR RIGHTS. (FILE 333-60849 - AUG. 07) (BR. 9)

SB-2 SIMS COMMUNICATIONS INC, 17821 SKYPARK CIRCLE, SUITE G, IRVINE, CA 92614
(714) 261-6665 - 4,105,938 (\$11,003,913) COMMON STOCK. (FILE 333-60851 -
AUG. 07) (BR. 9)

S-4 SPX CORP, 700 TERRACE POINT DR, MUSKEGON, MI 49443 (616) 724-5000 -
18,227,270 (\$900,804,212) COMMON STOCK (FILE 333-60853 - AUG 07)
(BR. 6)

S-4 AMM HOLDINGS INC, 1111 NORTHSHORE DRIVE SUITE N-600, KNOXVILLE, TN 37919
(423) 450-5300 - 68,000,000 (\$68,000,000) STRAIGHT BONDS. (FILE 333-60855
- AUG 07) (NEW ISSUE)

S-4 MOLL INDUSTRIES INC, 1111 NORTHSHORE DR, KNOXVILLE, TN 37919
(301) 987-1700 - 130,000,000 (\$130,000,000) STRAIGHT BONDS. (FILE
333-60857 - AUG. 07) (BR. 6)