

C. 2

# SEC NEWS DIGEST

Issue 99-223

November 19, 1999

---

## COMMISSION ANNOUNCEMENTS

---

### FEE RATE ADVISORY

The continuing resolution has been extended through Thursday, December 2, 1999. Therefore, the fee rate on filings made pursuant to Section 6(b) of the Securities Act of 1933 will remain at the current rate of \$278 per \$1,000,000. Please note that when an appropriations bill is enacted, the fee rate will decrease to \$264 per \$1,000,000.

We will issue further notices as appropriate to keep filers and registrants informed of developments affecting the Section 6(b) fee rate. This information will be posted at the SEC's Internet website at <http://www.sec.gov>.

Please contact the Filer Support Unit in the Office of Filings and Information Services at (202) 942-8900 if you have any questions. (Press Rel. 99-154)

### DISCLOSURE DOCUMENTS INDICATE ALL BROKER DEALERS AND NON-BANK TRANSFER AGENTS READY FOR YEAR 2000

Last summer the Commission set a target date of August 31, 1999 for broker-dealers and non-bank transfer agents to achieve Year 2000 compliance for their mission-critical systems. Brokers and non-bank transfer agents that were not ready by that date were required to notify the SEC, and certify and demonstrate how they would achieve Year 2000 compliance no later than November 15, 1999. As of August 31, 23, broker-dealers and one non-bank transfer agent notified the SEC that their mission-critical systems were not fully ready. Of those 24 firms, all have since certified that they have achieved Y2K compliance. Most of the firms filed during September or October, with a handful delaying their final readiness statements until near the November 15 deadline.

The Y2K rule is just one of several tools the SEC is using to monitor broker-dealers and non-bank transfer agents for Y2K compliance. "We believe that the notice and certification provisions of the rule have yielded useful and positive information," said Bob Colby, Deputy Director of the SEC's Division

of Market Regulation. "We are still looking closely at the certifications filed under the rule to confirm their accuracy; should we learn at any time that a broker-dealer is not ready for Year 2000, that firm will be referred to our Division of Enforcement for appropriate action."

The SEC's Y2K program has sought to encourage regulated entities to achieve Y2K compliance with a reasonable margin of time to spare before the century date change. Through the Y2K rule, the SEC's disclosure and examination programs, and close coordination with the exchanges and the NASD -- which directly monitor the Y2K readiness of their members on an ongoing basis -- the SEC has gathered a tremendous amount of information on the Y2K status of broker-dealers and non-bank transfer agents. "Based on the information we have collected to date, we have full confidence that the broker-dealer community is ready for Year 2000," stated Mr. Colby. (Press Rel. 99-155)

---

## ENFORCEMENT PROCEEDINGS

---

### SEC CHARGES FORMER PUBLIC FINANCE BANKER WITH DEFRAUDING FULTON COUNTY, GEORGIA

The Commission today announced the filing of a complaint against Paschal Gene Allen, a former public finance banker in the Atlanta office of Stephens Inc., for taking undisclosed payments in connection with a securities investment he recommended to his financial advisory client, Fulton County, Georgia. The complaint also charges Allen with taking undisclosed compensation from underwriter's counsel in connection with five local bond issues in Georgia.

The complaint, filed in the Northern District of Georgia, alleges the following: In the Fall of 1994, Allen recommended to Fulton County that it take certain County funds and invest them in a portfolio of long-term United States Treasury securities and an associated put option. The County adopted Allen's recommendation, and the transaction closed on November 29, 1994. Allen did not disclose, however, that he arranged to take, and subsequently took, \$20,970.10 in payments in connection with the transaction. Allen's failure to disclose the arrangement, the payment, and the actual and potential conflicts of interest created thereby violated the following antifraud provisions of the federal securities laws: Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. By 1998, Allen had paid the \$20,970.10 over to Stephens, after disclosing the payments to the firm. In other conduct occurring between September 1991 and April 1993, Allen also received undisclosed compensation totaling \$10,900 from underwriter's counsel in connection with five separate municipal securities offerings in Georgia. In these offerings, Stephens served as underwriter and a Georgia law firm served as underwriter's

counsel. In each offering, the issuer paid the underwriter's counsel fees of the law firm. Yet, Allen, who served as Stephens' lead banker on the transactions, did not disclose his payment arrangement with the law firm to the issuers or in the bonds' offering documents. Allen's failure to disclose this compensation violated Section 15B(c)(1) of the Exchange Act and Rule G-17 of the Municipal Securities Rulemaking Board.

Simultaneously with the filing of the complaint, without admitting or denying the complaint's allegations, Allen agreed to the entry of a final judgment permanently enjoining him from future violations of Sections 10(b) and 15B(c)(1) of the Exchange Act and Rule 10b-5 thereunder, as well as MSRB Rule G-17. In addition, Allen agreed to pay (1) disgorgement to Fulton County totaling \$6,216.84, consisting of prejudgment interest on the \$20,970.10 in undisclosed payments he received, during the period he retained those payments, and (2) a civil penalty of \$20,000. As part of his settlement with the Commission, Allen has also agreed to the entry of a Commission order barring him from associating with any securities broker or dealer or municipal securities dealer. [SEC v. Paschal Gene Allen, Civil Action No. 1 99-CV-2986, N.D. Ga.] (LR-16362)

#### **COMMISSION FILES ACTION IN INTERNET STOCK HOAX**

On November 18, the Commission filed a settled injunctive action in federal district court in Chicago, Illinois, against Leszek Zbierajewski, a 25 year old resident of Chicago. The complaint charges Zbierajewski with using the internet to circulate a false press release announcing an \$89 million strategic alliance between Bid.com International, Inc., a publicly traded company on NASDAQ, and America OnLine. On July 8, 1999, Zbierajewski, using the screen name "jag 98," posted the false press release on the Yahoo!Finance message board. The release caused tremendous trading activity in the shares of Bid.com, temporarily causing the price of Bid.com shares to increase from \$8.50 to \$9.00 per share. After Zbierajewski retracted the press release and Bid.com and AOL declared it a hoax, the share price of Bid.com's stock declined to approximately the price at which it was trading before the false announcement.

The complaint charges that Zbierajewski deliberately caused a significant disruption to the Bid.com market. Zbierajewski consented to the entry of an order of permanent injunction which does not impose civil penalties, based on Zbierajewski's demonstrated inability to pay. [SEC v. Leszek Zbierajewski, Civ. No. 99C-7530, USDC, ND Ill.] (LR-16363)

#### **CIVIL ACTION AGAINST COLIN SMITH**

On November 16, Judge Walter R. Smith of the United States District Court for the Western District of Texas in Waco ordered A. Colin Smith, of Waco, jailed for contempt. Judge Smith ordered Mr. Smith incarcerated until (1) he provides the accounting of funds that Judge Smith had ordered previously and that is to detail, among other things, all funds received from investors or sales of stock

and disbursed by Mr. Smith and the company, or (2) he posts a \$100,000 bond.

In its complaint, the Commission alleges that Great White Marine and Recreation, Inc., a Waco-based corporation once controlled by Mr. Smith, offered and sold unregistered shares of its stock through false statements in press releases, in promotional brochures, in internet website postings and in a Form 10-SB filed with the Commission. The complaint alleges that Great White and Mr. Smith raised at least \$10.8 million through their fraudulent activities, that Mr. Smith diverted at least \$3.5 million to his own uses and that Great White made a \$1 million "dividend payment as part of a "Ponzi" scheme.

The Commission is seeking permanent injunctive relief against Great White, and preliminary and permanent injunctive relief against Mr. Smith. Additionally, the Commission is seeking from Great White and Mr. Smith repatriation of funds from foreign accounts, disgorgement of ill-gotten gains (with prejudgment interest) and statutory civil monetary penalties. The Commission is also seeking the return of monies from Kenneth Ursery, Mr. Smith's son-in-law, and from Lourdes Smith, his ex-wife. [SEC v. Great White Marine & Recreation, Inc., W-99-CA-230, USDC, WD/TX, Waco Division] (LR-16364)

---

#### INVESTMENT COMPANY ACT RELEASES

---

#### CORPORATE INCOME FUND, ET AL.

A notice has been issued giving interested persons until December 13, 1999, to request a hearing on an application filed by Corporate Income Fund, et al., for an order exempting applicants from Section 17(a) of the Investment Company Act. The order would permit transactions in certain securities between series of certain registered unit investment trusts. The requested order would supersede a prior order. (Rel. IC-24143 - November 18)

#### GREAT WEST LIFE & ANNUITY INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until December 13, 1999, to request a hearing on an application filed by Great-West Life & Annuity Insurance Company (GWL&A), Retirement Plan Series Account of GWL&A (Separate Account), and One Orchard Equities, Inc. Applicants seek an order, pursuant to Section 26(b) of the Investment Company Act, approving the substitution of shares of the Maxim INVESCO ADR Portfolio of the Maxim Series Fund, Inc. for shares of the Foreign Equity Portfolio of the Maxim Series Fund, Inc. currently held by the Separate Account. (Rel. IC-24142 - November 18)

---

## SELF-REGULATORY ORGANIZATIONS

---

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-Phlx-99-22) filed by the Philadelphia Stock Exchange deleting rules adopted when Phlx Dell Options were traded on Amex technology has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 22. (Rel. 34-42143)

A proposed amendment to the Options Price Reporting Authority Plan (SR-OPRA-99-02) to revise certain subscriber fees charged by OPRA has become effective pursuant to Rule 11Aa3-2(c)(3)(i) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 22. (Rel. 34-42152)

### PROPOSED RULE CHANGE

The Philadelphia Stock Exchange filed a proposed change (SR-Phlx-99-38) relating to the removal of certain printers from the floor of the exchange and revising its fine schedule for failure to clock tickets of certain orders. Publication of the proposal is expected in the Federal Register during the week of November 22. (Rel. 34-42151)

---

## SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-3    ANGLOGOLD LTD, 11 DIAGONAL ST, PO BOX 62117 MARSHALLTOWN 2107,  
JOHANNESBURG 2001, T3 - 9,144,065 (\$523,314,839.95) FOREIGN COMMON STOCK.  
(FILE 333-11120 - NOV. 12) (BR. 4)

S-8    MILACRON INC, 2090 FLORENCE AVENUE, PO BOX 63716, CINCINNATI, OH 45206  
(51) 3) -487- - 1,367,000 (\$20,419,563) COMMON STOCK. (FILE 333-90755 -  
NOV. 12) (BR. 5)

S-8 CARIBINER INTERNATIONAL INC, 16 WEST 61ST ST, NEW YORK, NY 10023  
(212) 541-5300 - 600,000 (\$6,746,875) COMMON STOCK. (FILE 333-90757 -  
NOV. 12) (BR. 8)

S-1 DOBSON COMMUNICATIONS CORP, 13439 NORTH BROADWAY EXTENSION SUITE 200,  
OKLAHOMA CITY, OK 73114 (405) 391-8500 - \$575,000,000 COMMON STOCK. (FILE  
333-90759 - NOV. 12) (BR. 7)

S-8 THERMO ELECTRON CORP, 81 WYMAN ST, P O BOX 9046, WALTHAM, MA 02454  
(781) 622-1000 - 9,375,129 (\$130,079,915) COMMON STOCK. (FILE 333-90761 -  
NOV. 12) (BR. 5)

S-4 VECTREN CORP, 20 NW FOURTH ST, EVANSVILLE, IN 47741 (812) 465-5300 -  
61,304,137 (\$1,231,522,740) COMMON STOCK. (FILE 333-90763 - NOV. 12)  
(BR. 2 - NEW ISSUE)

S-4 CONCENTRA OPERATING CORP, 312 UNION WHARF, BOSTON, MA 02109 -  
190,000,000 (\$190,000,000) STRAIGHT BONDS. (FILE 333-90765 - NOV. 12)  
(BR. 1 - NEW ISSUE)

S-8 MILACRON INC, 2090 FLORENCE AVENUE, PO BOX 63716, CINCINNATI, OH 45206  
(513) 376-487- - 500,000 (\$7,468,750) COMMON STOCK. (FILE 333-90767 -  
NOV. 12) (BR. 5)

S-8 FIRST ENTERTAINMENT HOLDING CORP, 7887 E BELLVIEW 11TH FLOOR SUITE 14,  
DENVER, CO 80111 (303) 228-1650 - 1,000,000 (\$879,500) COMMON STOCK.  
(FILE 333-90769 - NOV. 12) (BR. 5)

S-4 CNB FINANCIAL CORP /NY/, 24 CHURCH ST, CANAJOHARIE, NY 13317  
(518) 673-4221 - 18,000,000 (\$18,000,000) FLOATING RATE NOTES. (FILE  
333-90771 - NOV. 12) (BR. 7)

S-8 AIR PRODUCTS & CHEMICALS INC /DE/, 7201 HAMILTON BLVD, ALLENTOWN, PA  
18195 (610) 481-4911 - 7,200,000 (\$189,450,000) COMMON STOCK. (FILE  
333-90773 - NOV. 12) (BR. 2)

S-8 CEL SCI CORP, 8229 BOONE BLVD STE 510, VIENNA, VA 22182 (703) 549-5293  
- 340,000 (\$829,600) COMMON STOCK. (FILE 333-90775 - NOV. 12) (BR. 1)

S-8 COVANCE INC, 210 CARNEGIE CENTER, PRINCETON, NJ 08540 (609) 452-4440 -  
50,000 (\$496,875) COMMON STOCK. (FILE 333-90777 - NOV. 12) (BR. 1)

S-3 XIRCOM INC, 2300 CORPORATE CENTER DR, THOUSAND OAKS, CA 91320  
(805) 376-9300 - 4,025,000 (\$216,595,312.50) COMMON STOCK. (FILE  
333-90783 - NOV. 12) (BR. 3)

S-8 CIGNA CORP, ONE LIBERTY PLACE 48TH FLOOR, 1601 CHESTNUT STREET,  
PHILADELPHIA, PA 19192 (215) 761-6211 - 30,000,000 (\$30,000,000)  
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-90785 - NOV. 12)  
(BR. 1)

S-8 WELLPOINT HEALTH NETWORKS INC /DE/, 21555 OXNARD STREET, WOODLAND HILLS,  
CA 91367 (818) 703-4000 - 500,000 (\$31,720,000) COMMON STOCK. (FILE  
333-90791 - NOV. 12) (BR. 1)

SB-2 NITTANY FINANCIAL CORP, 637 KENNARD RD, STATE COLLEGE, PA 16801  
(814) 466-6336 - 162,438 (\$1,786,818) COMMON STOCK. (FILE 333-90793 -  
NOV. 12) (BR. 7)

S-8 HEALTHEON CORP, 4600 PATRICK HENY DRIVE, SANTA CLARA, CA 95054  
(408) 876-5000 - 22,893,248 (\$277,890,477) COMMON STOCK. (FILE 333-90795 -  
NOV. 12) (BR. 3)

S-8 TITAN EXPLORATION INC, 500 W TEXAS AVE, STE 200, MIDLAND, TX 79701  
(915) 498-8600 - 200,000 (\$796,800) COMMON STOCK. (FILE 333-90801 -  
NOV. 12) (BR. 4)

S-3 NEW FRONTIER MEDIA INC /CO/, 5435 AIRPORT BLVD, SUITE 100, BOULDER, CO  
80301 (303) 444-0632 - 2,059,180 (\$9,657,554) COMMON STOCK. (FILE  
333-90803 - NOV. 12) (BR. 5)

S-8 TELTRAN INTERNATIONAL GROUP LTD, ONE PENN PLAZA STE 4632, NEW YORK, NY 10119 (212) 643-1283 - 3,307,500 (\$28,113,750) COMMON STOCK. (FILE 333-90805 - NOV. 12) (BR. 9)

S-8 INTEL CORP, 2200 MISSION COLLEGE BLVD, SANTA CLARA, CA 95052 (408) 765-8080 - 912,211 (\$73,746,558.03) COMMON STOCK. (FILE 333-90807 - NOV. 12) (BR. 5)

S-3 REGIS CORP, 7201 METRO BLVD, MINNEAPOLIS, MN 55439 (612) 947-7000 - 1,778,000 (\$36,226,750) COMMON STOCK. (FILE 333-90809 - NOV. 12) (BR. 8)

S-3 ISIS PHARMACEUTICALS INC, 2292 FARADAY AVE, CARLSBAD, CA 92008 (619) 931-9200 - 4,000,000 (\$49,240,000) COMMON STOCK. (FILE 333-90811 - NOV. 12) (BR. 1)

S-3 MANUFACTURED HOME COMMUNITIES INC, TWO N RIVERSIDE PLZ, STE 800, CHICAGO, IL 60606 (312) 279-1400 - 2,000,000 (\$48,125,000) COMMON STOCK. (FILE 333-90813 - NOV. 12) (BR. 8)

S-8 INFOSPACE COM INC, 15375- 90TH AVENUE N.E., REDMOND, WA 98052 (425) 882-1602 - 102,544 (\$2,271,753.62) COMMON STOCK. (FILE 333-90815 - NOV. 12) (BR. 3)

S-8 AUTONATION INC /FL, 110 SE 6TH ST, FT LAUDERDALE, FL 33301 (954) 769-6000 - 2,000,000 (\$20,000,000) COMMON STOCK. (FILE 333-90819 - NOV. 12) (BR. 6)

S-3 WESTERN PROPERTIES TRUST, 2200 POWELL STREET, SUITE 600, EMERYVILLE, CA 94608 (510) 597-0160 - 1,432,364 (\$14,905,537) COMMON STOCK. (FILE 333-90821 - NOV. 12) (BR. 8)

S-8 THERMO ELECTRON CORP, 81 WYMAN ST, P O BOX 9046, WALTHAM, MA 02454 (781) 622-1000 - 1,625,094 (\$22,548,180) COMMON STOCK. (FILE 333-90823 - NOV. 12) (BR. 5)

S-8 POWERCERV CORP, 400 NORTH ASHLEY DR, STE 2700, TAMPA, FL 33602 (813) 226-2600 - 1,815,000 (\$4,591,950) COMMON STOCK. (FILE 333-90825 - NOV. 12) (BR. 3)

S-8 POWERCERV CORP, 400 NORTH ASHLEY DR, STE 2700, TAMPA, FL 33602 (813) 226-2600 - 1,000,000 (\$2,530,000) COMMON STOCK. (FILE 333-90827 - NOV. 12) (BR. 3)

S-4 MIDDLE AMERICAN TISSUE INC, 135 ENGINEERS ROAD, HAUPPAUGE, NY 11788 (546) 435-9000 - 16,716,712 (\$16,716,712.25) STRAIGHT BONDS. (FILE 333-90829 - NOV. 12) (BR. 4 - NEW ISSUE)

SB-2 CIMNET INC/PA, 946 WEST PENN AVENUE, ROBESONIA, PA 19551 (307) 234-5310 - 1,724,300 (\$1,939,837.50) COMMON STOCK. (FILE 333-90831 - NOV. 12) (BR. 9)

S-8 SIMWARE INC, 2 GURDWARE RD, OTTAWA, ONTARIO CANADA, A6 (613) 727-1779 - 837,300 (\$3,114,756) COMMON STOCK. (FILE 333-90833 - NOV. 12) (BR. 3)

S-8 NOVEN PHARMACEUTICALS INC, 11960 SW 144TH ST, MIAMI, FL 33186 (305) 253-5099 - 1,000,000 (\$9,970,000) COMMON STOCK. (FILE 333-90835 - NOV. 12) (BR. 1)

S-8 SYCAMORE NETWORKS INC, 10 ELIZABETH DRIVE, CHELMSFORD, MA 01824 (978) 250-2900 - 19,382,553 (\$3,267,980,064) COMMON STOCK. (FILE 333-90839 - NOV. 12) (BR. 7)

S-3 MUSTANG COM INC /CA/, 6200 LAKE MING RD, BAKERSFIELD, CA 93306 (661) 873-2500 - 1,440,864 (\$10,984,673) COMMON STOCK. (FILE 333-90841 - NOV. 12) (BR. 3)

S-8 INTRANET SOLUTIONS INC, 8901 WALLACE ROAD, STANDING SPRINGS INDUSTRIAL PARK, EDEN PRAIRIE, MN 55344 (612) 903-2000 - 386,576 (\$7,417,620) COMMON STOCK. (FILE 333-90843 - NOV. 12) (BR. 3)

S-8 GENESEE & WYOMING INC, 71 LEWIS ST, GREENWICH, CT 06830 (203) 629-3722 - 50,000 (\$675,000) COMMON STOCK. (FILE 333-90845 - NOV. 12) (BR. 5)

S-3 HIE INC, 1850 PKWY PLACE STE 1100, MARIETTA, GA 30067 (770) 423-8450 - 302,458 (\$567,109) COMMON STOCK. (FILE 333-90849 - NOV. 12) (BR. 3)

S-8 PEPSIAMERICAS INC, 3800 DAIN RAUSCHER PLAZA, 60 SOUTH SIXTH STREET, MINNEAPOLIS, MN 55402 (787) 251-2000 - 4,554,371 (\$23,625,800) COMMON STOCK. (FILE 333-90855 - NOV. 12) (BR. 2)

S-1 INTERSIL HOLDING CO, 2401 PALM BAY ROAD NORTHEAST, PALM BAY, FL 32905 (407) 724-7000 - 200,000 (\$10,000) WARRANTS, OPTIONS OR RIGHTS. 5,555,560 (\$5,555.56) COMMON STOCK. (FILE 333-90857 - NOV. 12) (BR. 5)

S-8 BE FREE INC, 154 CRANE MEADOW RD SUITE 100, MARLBOROUGH, MA 01752 (508) 357-8888 - 212,500 (\$5,259,375) COMMON STOCK. (FILE 333-90859 - NOV. 12) (BR. 3)

S-8 BE FREE INC, 154 CRANE MEADOW RD SUITE 100, MARLBOROUGH, MA 01752 (508) 357-8888 - 2,643,449 (\$26,569,228) COMMON STOCK. (FILE 333-90861 - NOV. 12) (BR. 3)

S-8 HEALTHWORLD CORP, 100 AVE OF THE AMERICAS, NEW YORK, NY 10013 (212) 966-0077 - 500,000 (\$8,625,000) COMMON STOCK. (FILE 333-90863 - NOV. 12) (BR. 2)

S-1 CONNECTICUT BANCSHARES INC/DE, 923 MAIN STREET, MANCHESTER, CT 06040 (860) 646-1700 - 16,425,450 (\$164,254,500) COMMON STOCK. (FILE 333-90865 - NOV. 12) (BR. 7 - NEW ISSUE)

SB-2 EACCELERATION CORP, 1223 NW FINN HILL ROAD, POULSBORO, WA 98370 (360) 697-9260 - 3,000,000 (\$18,750,000) COMMON STOCK. (FILE 333-90867 - NOV. 12) (BR. 9 - NEW ISSUE)

S-1 VISION ENERGY INC, 50 BRIAR HOLLOW LANE, 7TH FLOOR WEST, HOUSTON, TX 77027 (713) 881-8900 - \$207,928,000 COMMON STOCK. (FILE 333-90869 - NOV. 12) (BR. 4 - NEW ISSUE)

S-1 APROPOS TECHNOLOGY INC, ONE TOWER LANE 28TH FLOOR, OAKBROOK TERRACE, IL 60181 - \$55,200,000 COMMON STOCK. (FILE 333-90873 - NOV. 12) (BR. 3 - NEW ISSUE)

SB-2 HEALTHLINK INTERNATIONAL INC, 929 EASTWIND DRIVE, SUITE 225, WESTERVILLE, OH 43081 (614) 890-5100 - 6,000,000 (\$24,000,000) COMMON STOCK. (FILE 333-90877 - NOV. 12) (BR. 9 - NEW ISSUE)

S-1 MEDIACOM COMMUNICATIONS CORP, 100 CRYSTAL RUN ROAD, MIDDLETOWN, NY 10941 (914) 695-2600 - \$345,000,000 COMMON STOCK. (FILE 333-90879 - NOV. 12) (BR. 37 - NEW ISSUE)

S-1 SAVVIS COMMUNICATIONS CORP, 7777 BONHOMME AVE, SUITE 1100, ST LOUIS, MO 63105 (314) 727-5596 - \$75,000,000 COMMON STOCK. (FILE 333-90881 - NOV. 12) (BR. 8)

S-8 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134 (408) 526-4000 - 756,784 (\$809,758.88) COMMON STOCK. (FILE 333-90883 - NOV. 12) (BR. 3)

S-8 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134 (408) 526-4000 - 111,748 (\$1,096,247.88) COMMON STOCK. (FILE 333-90885 - NOV. 12) (BR. 3)

S-3 BENCHMARK ELECTRONICS INC, 3000 TECHNOLOGY DRIVE, ANGLETON, TX 77515 (409) 849-6550 - 1,000,000 (\$18,690,000) COMMON STOCK. (FILE 333-90887 - NOV. 12) (BR. 5)

S-8 ACORN PRODUCTS INC, 390 DUBLIN AVENUE, COLUMBUS, OH 43215 (614) 222-4400 - 175,000 (\$404,687.50) COMMON STOCK. (FILE 333-90889 - NOV. 12) (BR. 6)

S-8 DURA PHARMACEUTICALS INC, 7475 LUSK BLVD, SAN DIEGO, CA 92121 (619) 457-2553 - 2,692,308 (\$33,632,695) COMMON STOCK. (FILE 333-90891 - NOV. 12) (BR. 1)



- F-1 SIDWARE SYSTEMS INC, 930 WEST FIRST ST, STE 102, NORTH VANCOUVER BC, A1 (604) 688-0047 - 5,493,666 (\$10,437,965) COMMON STOCK. (FILE 333-90893 - NOV. 12) (BR. 3)
- SB-2 ATLAS MINING CO, 1221 W YELLOWSTONE AVE, OSBURN, ID 83849 (208) 556-1181 - 7,500,000 (\$7,500,000) COMMON STOCK. (FILE 333-90895 - NOV. 12) (BR. 9 - NEW ISSUE)
- S-3 BLUE RHINO CORP, 104 CAMBRIDGE PLAZA DRIVE, WINSTON SALEM, NC 27104 (336) 659-6900 - 3,261,885 (\$26,714,838) COMMON STOCK. (FILE 333-90897 - NOV. 12) (BR. 2)
- S-3 SONIC FOUNDRY INC, 754 WILLIAMSON ST, MADISON, WI 53703 (608) 256-3133 - 1,085,609 (\$9,499,079) COMMON STOCK. (FILE 333-90899 - NOV. 12) (BR. 9)
- S-3 JABIL CIRCUIT INC, 10800 ROOSEVELT BLVD, ST PETERSBURG, FL 33716 (813) 577-9749 - 5,124,146 (\$303,765,780) COMMON STOCK. (FILE 333-90901 - NOV. 12) (BR. 5)
- S-3 BROADCOM CORP, 16215 ALTON PARKWAY, IRVINE, CA 92618 (949) 450-8700 - 1,306,318 (\$197,417,307.76) COMMON STOCK. (FILE 333-90903 - NOV. 12) (BR. 5)
- S-1 TOTAL SPORTS INC, 234 FAYETTEILLE ST MALL, STE 200, RALEIGH, NC 27601 (919) 573-8045 - \$57,500,000 COMMON STOCK. (FILE 333-90905 - NOV. 12) (BR. 8)
- S-8 SUN MICROSYSTEMS INC, 901 SAN ANTONIO RD, PALO ALTO, CA 94303 (650) 960-1300 - 37,000,000 (\$4,056,310,000) COMMON STOCK. (FILE 333-90907 - NOV. 12) (BR. 3)
- SB-2 AUSTIN FUNDING COM CORP, 823 CONGRESS AVENUE SUITE 515, AUSTIN, TX 78701 (512) 481-8000 - 10,000,000 (\$10,000,000) STRAIGHT BONDS. (FILE 333-90909 - NOV. 12) (BR. 9)
- S-1 FIREPOND INC, WALTHAM WOODS CORPORATE CENTER, 890 WINTER STREET SUITE 300, WALTHAM, MA 02451 (781) 487-8400 - \$75,000,000 COMMON STOCK. (FILE 333-90911 - NOV. 12) (BR. 3 - NEW ISSUE)
- SB-2 STEWART FINANCE CO HOLDINGS INC, 610 SIBLEY AVENUE, UNION POINT, GA 30669 (706) 486-4143 - 20,000,000 (\$20,000,000) STRAIGHT BONDS. (FILE 333-90915 - NOV. 12) (BR. 7 - NEW ISSUE)
- S-8 SYMPHONIX DEVICES INC, 2331 ZANKER ROAD, SAN JOSE, CA 95131 (408) -23-2-07 - 1,700,000 (\$5,232,349) COMMON STOCK. (FILE 333-90917 - NOV. 12) (BR. 1)
- S-8 CALIFORNIA MICRO DEVICES CORP, 215 TOPAZ ST, MILPITAS, CA 95035 (408) 263-3214 - 500,000 (\$3,218,750) COMMON STOCK. (FILE 333-90919 - NOV. 12) (BR. 5)
- S-8 PICK COMMUNICATIONS CORP, 8401 NORTHWEST 53RD TERRACE, SUITE 119, MIAMI, FL 33166 (201) 334-2929 - 1,454,000 (\$4,040,320) COMMON STOCK. (FILE 333-90921 - NOV. 12) (BR. 7)
- S-1 HUMBOLDT BANCORP, 701 FIFTH STREET, PO BOX 1007, EUREKA, CA 95501 (707) 445-3233 - \$8,000,000 COMMON STOCK. (FILE 333-90923 - NOV. 12) (BR. 7)
- S-4 HUMBOLDT BANCORP, 701 FIFTH STREET, PO BOX 1007, EUREKA, CA 95501 (707) 445-3233 - \$5,500,000 PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-90925 - NOV. 15) (BR. 7)
- S-4 ACE LTD, ACE BLDG, P O BOX HM 1015, HAMILTON HM 08 BERMU, DO (809) 295-5200 - 1,601,206 (\$31,825,758) COMMON STOCK. (FILE 333-90927 - NOV. 15) (BR. 1)

---

RECENT 8K FILINGS

---

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
ABC NACO INC	DE				X	X					11/09/99	
ADVANTA CONDUIT RECEIVABLES MORT LO AN TRUST 1999-4	NV	X							X		11/17/99	
ALTAIR INTERNATIONAL INC	A6	X					X				11/16/99	
AMERICAN HOME PRODUCTS CORP	DE				X	X					11/03/99	
AMERICAN ITALIAN PASTA CO	DE				X	X					11/16/99	
ANSWERTHINK CONSULTING GROUP INC	FL	X				X					11/05/99	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X					11/05/99	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X					11/05/99	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X					11/05/99	
ARDIS TELECOM & TECHNOLOGIES INC	DE	X					X				11/02/99	
ASK JEEVES INC					X	X					11/14/99	
BB&T CORP	NC				X	X					11/17/99	
BE AEROSPACE INC	DE				X	X					11/17/99	
BEACON CAPITAL PARTNERS INC	MD				X	X					11/17/99	
BEAR STEARNS ASSET BACKED SEC INC L O BAC NOT SER 1999 HLTV-1	DE						X				11/17/99	
BELL ATLANTIC CORP	DE					X					11/16/99	
BINDLEY WESTERN INDUSTRIES INC	IN				X	X					11/17/99	
BURKE MILLS INC	NC	X									11/05/99	
CALPROP CORP	DE				X	X					11/16/99	
CARDIA INC	MN				X	X					11/15/99	
CARLYLE REAL ESTATE LTD PARTNERSHIP XIV /IL/	IL	X					X				11/02/99	
CARLYLE REAL ESTATE LTD PARTNERSHIP XV	IL	X					X				11/02/99	
CATALYTICA INC	DE				X						11/17/99	
CHEVRON CORP	DE				X						11/18/99	
CHOICETEL COMMUNICATIONS INC /MN/	MN				X	X					11/15/99	
CISCO SYSTEMS INC	CA				X	X					11/08/99	
CITIZENS COMMUNITY BANCORP INC	FL				X						08/02/99	
CLAYTON HOMES INC	DE				X	X					11/15/99	
CMGI INC	DE					X					08/18/99	AMEND
CNA SURETY CORP	DE				X	X					11/18/99	
COMCAST CABLE COMMUNICATIONS INC	DE				X	X					11/16/99	
COMCAST CORP	PA				X	X					11/16/99	
COMCAST CORP	PA				X	X					11/16/99	
CONESTOGA ENTERPRISES INC	PA	X									11/18/99	
CONSECO FINANCE SECURITIZATIONS COR P	DE						X				11/15/99	
CONSTELLATION ENERGY GROUP INC	MD	X									11/17/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
CONVERGYS CORP	OH							X			11/15/99	
CORNING INC /NY	NY	X									11/13/99	
CORPORATE RENAISSANCE GROUP INC	DE		X								11/04/99	
CTB INTERNATIONAL CORP	DE		X								09/21/99	
CULP INC	NC	X									10/31/99	
CYBERCASH INC	DE					X					11/15/99	
DELIAS INC	DE					X					11/12/99	
DELTA BEVERAGE GROUP INC	DE					X					10/15/99	AMEND
DELTIC TIMBER CORP	DE					X					11/16/99	
DIASENSOR COM INC	PA					X					11/17/99	
DIGITAL MICROWAVE CORP /DE/	DE					X	X				11/16/99	
E AUTOMATE CORP	DE	X	X								07/29/99	
EARTHGRAINS CO /DE/	DE					X					11/15/99	
EAST TEXAS FINANCIAL SERVICES INC	DE					X	X				11/15/99	
EQUIVEST FINANCE INC	DE					X					11/17/99	
ESOPT INC	DE		X					X			09/10/99	AMEND
FIRST CAPITAL INC	IN							X			11/17/99	
FIRST COMMUNITY BANCSHARES INC /IN	IN					X	X				11/10/99	
FIRST OF LONG ISLAND CORP	NY					X	X				11/12/99	
FIRST PLACE FINANCIAL CORP	NM					X	X				11/10/99	
FORELAND CORP	NV					X					11/16/99	
FRONTIER OIL CORP /NEW/	WY					X	X				11/17/99	
GEOKINETICS INC	DE					X					11/02/99	
GOLDMAN SACHS GROUP INC								X			11/18/99	
GREAT PEE DEE BANCORP INC	DE		X			X					11/04/99	
GUNTHER INTERNATIONAL LTD	DE			X		X					11/15/99	
HARBOR BANKSHARES CORP	MD		X								11/10/99	
HCIA INC	MD					X	X				11/17/99	
HIDENET SECURE ARCHITECTURES INC	NJ	X						X			06/11/99	
HIDENET SECURE ARCHITECTURES INC	NJ					X	X				06/29/99	
HOMEBASE INC	DE					X	X				11/16/99	
HUNTINGDON LIFE SCIENCES GROUP PLC						X	X				11/16/99	
IBT BANCORP INC	PA					X	X				11/18/99	
IDAHO POWER CO	ID							X			11/17/99	
IMPAC GROUP INC /DE/	DE		X					X			11/02/99	
IMPERIAL CREDIT COMMERCIAL MORTGAGE INVESTMENT CORP	MD					X	X				11/16/99	
IMRGLOBAL CORP	FL							X			11/18/99	
INFOAMERICA INC	CO	X									06/08/99	AMEND
INTELLIGENT MEDICAL IMAGING INC	DE		X								11/09/99	
INTERNATIONAL TOTAL SERVICES INC	OH	X						X			11/03/99	
INTERNET STOCK MARKET RESOURCES INC	DE					X	X				11/11/99	
INTERWEST BANCORP INC	WA					X	X				11/15/99	
JERSEY CENTRAL POWER & LIGHT CO	NJ					X	X				11/15/99	
JUNIPER NETWORKS INC	DE		X			X	X				11/15/99	
KIMMINS CORP/DE	DE		X								11/18/99	
KINDER MORGAN INC	KS							X			10/07/99	
KOGER EQUITY INC	FL					X	X				09/02/99	
LASERSIGHT INC /DE	DE					X	X				11/17/99	
LOCAL FINANCIAL CORP /NV	DE					X	X				10/26/99	
LOEWEN GROUP INC						X	X				11/16/99	
LOEWEN GROUP INC						X	X				11/16/99	
MAXWELL TECHNOLOGIES INC	DE	X									07/31/00	
MAYTAG CORP	DE			X							11/18/99	
MELLON AUTO GRANTOR TRUST 1999-1	DE					X	X				11/15/99	
MERCANTILE CREDIT CARD MASTER TRUST	NY					X	X				11/03/99	
MERGE TECHNOLOGIES INC	WI							X			11/17/99	AMEND
METRO ONE TELECOMMUNICATIONS INC	OR					X	X				11/17/99	
MID STATE RACEWAY INC	NY	X				X	X				11/12/99	
MILLS CORP	DE					X	X				09/30/99	
MINIMED INC	DE					X	X				11/19/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NEW ENGLAND COMMUNITY BANCORP INC	DE				X						11/17/99	
NEWBRIDGE NETWORKS CORP	A6				X	X					11/10/99	
NEXTLINK COMMUNICATIONS INC / DE	DE				X						11/17/99	
NOONEY REALTY TRUST INC	MO	X									11/09/99	
NORTHERN STATES POWER CO /MN/	MN				X						11/18/99	
NORTHERN TRUST CORP	DE				X	X					11/16/99	
NORWEST ASSET SECURITIES CORP	DE				X	X					11/17/99	
NORWEST ASSET SECURITIES CORP	DE				X	X					11/18/99	
NPS PHARMACEUTICALS INC	DE				X	X					11/09/99	
OAK INDUSTRIES INC	DE				X	X					11/13/99	
OAKWOOD MORTGAGE INVESTORS INC	NV				X	X					11/17/99	
OLD POINT FINANCIAL CORP	VA	X									12/31/99	
ORLEANS HOMEBUILDERS INC	DE			X		X					11/11/99	
PAGING NETWORK INC	DE	X				X					11/07/99	
PAINWEBBER MOR ACCEPT CORP IV FREM	DE				X	X					10/25/99	
ONT HOME LN TR 1999-3												
PAINWEBBER MORTGAGE ACCEPTANCE COR	DE				X	X					11/16/99	
PORATION IV												
PEOPLES BANCORP INC	OH	X									11/17/99	
PHARMAPRINT INC	CA				X						09/15/99	
PHOTOGEN TECHNOLOGIES INC	NV				X	X					11/09/99	
PICCADILLY CAFETERIAS INC	LA				X	X					11/18/99	
PREFERRED EMPLOYERS HOLDINGS INC	DE	X				X					11/04/99	
PRICELINE COM INC	DE				X	X					11/16/99	
PRODIGY COMMUNICATIONS CORP	DE				X						11/05/99	
PROGRAMMERS PARADISE INC	DE				X	X					11/04/99	
PRUDENTIAL SECURITIES SECURED FINAN	DE				X	X					11/16/99	
CING CORP												
PSINET INC	NY				X	X					11/17/99	
PUBLIC SERVICE CO OF NEW MEXICO	NM				X						11/18/99	
PUBLIC STORAGE INC /CA	CA				X	X					11/15/99	
QUEST SOFTWARE INC	CA				X	X					11/02/99	
RAZORFISH INC	DE				X	X					09/16/99	AMEND
RBID COM INC	FL				X						11/11/99	
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X					11/17/99	
RESIDENTIAL FUNDING MORTGAGE SECURI	DE				X	X					11/17/99	
TIES II INC												
RIGHTCHOICE MANAGED CARE INC	MO				X						11/16/99	
RITE AID CORP	DE			X	X	X					11/11/99	
SAFESKIN CORP	FL				X	X					11/17/99	
SEARS CREDIT ACCOUNT MASTER TRUST I	IL				X	X					11/18/99	
I												
SECURITY ASSOCIATES INTERNATIONAL I	DE	X				X					11/05/99	
NC												
SECURITY FIRST TECHNOLOGIES CORP	DE	X		X	X						11/10/99	
SI DIAMOND TECHNOLOGY INC	TX	X				X					09/03/99	AMEND
SIERRA HOLDINGS GROUP INC	NV	X				X					11/01/99	
SILICON VALLEY BANCSHARES	CA				X	X					11/18/99	
SMARTALK TELESERVICES INC	CA				X	X					11/15/99	
SOUTHERN UNION CO	DE	X									11/18/99	
SPARTA FOODS INC	MN				X	X					11/12/99	
STAGE STORES INC	DE				X	X					11/18/99	
STAN LEE MEDIA INC	CO				X	X					11/03/99	
STARNET FINANCIAL INC	DE	X				X					10/13/99	
SUBURBAN PROPANE PARTNERS LP	DE	X									11/17/99	
SUN HYDRAULICS CORP	FL				X	X					11/10/99	
SUNSTONE HOTEL INVESTORS INC	MD				X	X					11/17/99	
SUPERIOR BANK FSB AFC MORTGAGE LN A	IL	X									09/28/99	
SSET BK NOTES SER 1999-3												
SUPERIOR FINANCIAL CORP /AR/	DE				X						11/03/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
TANDYCRAFTS INC	DE				X	X						11/17/99	
TEREX CORP	DE	X										11/18/99	
THOMAS & BETTS CORP	TN				X	X						11/17/99	
THORN APPLE VALLEY INC	MI			X		X						10/13/99	
TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7	DE							X				11/15/99	
TITAN CORP	DE		X			X						11/02/99	
TRIPATH IMAGING INC	DE				X	X						11/18/99	
TRUSTCO BANK CORP N Y	NY				X							11/17/99	
TRW INC	OH				X	X						11/17/99	
TYLER TECHNOLOGIES INC	DE		X			X						11/04/99	
UACSC AUTO TRUSTS UACSC 1999-C OWNE R TRUST AUTO REC BAC NOTE	NY				X	X						11/08/99	
UNIMARK GROUP INC	TX		X			X						11/18/99	
VANDERBILT MORTGAGE & FINANCE INC	TN				X	X						11/15/99	
VEECO INSTRUMENTS INC	DE		X			X						11/04/99	
VENTURI TECHNOLOGIES INC	NV		X			X						10/19/99	
VERIO INC	DE				X	X						11/16/99	
WESTERN DIGITAL CORP	DE					X						11/12/99	
WHY NOT INC	NV		X	X								10/03/99	
WILD OATS MARKETS INC	DE				X	X						11/17/99	
WIRELESS ONE INC	DE				X							11/17/99	