

SEC NEWS DIGEST

Issue 99-139

July 21, 1999

ENFORCEMENT PROCEEDINGS

COMMISSION SUSTAINS NASD DISCIPLINARY ACTION AGAINST STEPHEN GLUCKMAN

The Commission sustained the NASD's findings that Stephen J. Gluckman engaged in private securities transactions without giving notice to the broker-dealer with which he was associated. The NASD censured Gluckman, fined him \$55,000, and barred him.

Gluckman informed three of his broker-dealer customers that a partnership was being formed to produce a documentary movie and that the producer was seeking investment money. The Commission concluded that these interests were securities for purposes of the securities laws. Gluckman argued that he had a minor role in the transactions and that he merely introduced his customers to the producer. However, Gluckman also suggested provisions to be included in the agreements memorializing the investments, typed the agreements, and received a referral fee. The Commission determined that Gluckman participated in these transactions. The Commission further found that Gluckman failed to give the firm prior written notice of the transactions or receive written approval for them. (Rel. 34-41628; File No. 3-9545)

COMMISSION BARS MARTIN KAIDEN FROM ASSOCIATION

The Commission barred Martin R. Kaiden from association with a broker, dealer, or a member of a self-regulatory organization. The Commission found that Kaiden had made offers of fictitious prime bank instruments and programs purportedly involving prime bank instruments to an insurance company. Kaiden further misstated that these instruments carried "unconditional guarantees" from creditworthy institutions, that they would pay high monthly interest and "profit," and that the market for these instruments was worth billions and trillions of dollars. Kaiden had previously been enjoined from violating Securities Act Section 17(a) and being a control person of a broker-dealer that violates Exchange Act Section 15(c)(1)(A) or Exchange Act Rule 15c1-2 and required to pay a \$50,000 civil penalty.

The Commission concluded that the instruments constituted notes and investment contracts, which are securities under the federal

securities laws. The Commission also determined that Kaiden's representations, which were made without any basis, evidenced scienter. In light of the decision of the United States Court of Appeals for the District of Columbia, the Commission denied the Division's motion for a collateral bar. (Rel. 34-41629; File No. 3-9403)

INVESTMENT COMPANY ACT RELEASES

COLCHESTER STREET TRUST, ET AL.

A notice has been issued giving interested persons until August 10, 1999, to request a hearing on an application filed by Colchester Street Trust, et al. for an order under Sections 6(c), 12(d)(1)(J), and 17(b) of the Investment Company Act for exemptions from Sections 12(d)(1) and 17(a) of the Act and under Section 17(d) and Rule 17d-1 to permit certain joint transactions. The order would amend a prior order to permit certain registered investment companies and other entities to invest uninvested cash and cash collateral received in connection with a securities lending program in shares of affiliated money market funds and/or short-term bond funds. (Rel. IC-23904 - July 16)

HOLDING COMPANY ACT RELEASES

WEST PENN POWER COMPANY

A notice has been issued giving interested persons until August 10, 1999, to request a hearing on a proposal by West Penn Power Company (West Penn), a wholly owned public utility electric subsidiary of Allegheny Energy, Inc., a registered holding company, to increase of the amount of short-term debt that West Penn may issue from \$182 million up to \$500 million, and extend the period of authorization through December 31, 2007. (Rel. 35-27050)

CONECTIV, ET AL.

A notice has been issued giving interested persons until August 10, 1999, to request a hearing on a proposal by Conectiv, a registered holding company and its nonutility subsidiaries, Conectiv Services, Inc. (CSI), Delmarva Capital Investments, Inc., and Conectiv Solutions, Inc. (Solutions) to allow Solutions or CSI to acquire the common stock of ATE Investments, Inc., currently a direct nonutility subsidiary of Conectiv. (Rel. 35-27050)

ALLIANT ENERGY CORPORATION, ET AL.

A notice has been issued giving interested persons until August 10, 1999, to request a hearing on a proposal by Alliant Energy

Corporation (Alliant), a registered holding company, and its wholly owned utility subsidiary, IES Utilities, Inc. Alliant proposes to issue and acquire common stock; make capital contributions; and provide personnel, services, and other resources to affiliate and nonaffiliate companies. (Rel. 35-27050)

SELF-REGULATORY ORGANIZATIONS

EXPIRATION OF TEMPORARY REGISTRATION AS A CLEARING AGENCY

Notice is given that Delta Clearing Corp.'s (DDC) temporary registration as a clearing agency will expire on July 31, 1999. DDC will not file an application requesting that the Commission to extend its registration as a clearing agency. Publication of the notice is expected in the Federal Register during the week of July 26. (Rel. 34-41627)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 PORTUGAL TELECOM SA, AV FONTES PEREIRA DE MELO 40,
1089 LISBOA CODEX PORTUGAL, S1 - 2,699,411 (\$103,252,470.75)
FOREIGN COMMON STOCK. (FILE 333-10572 - JUL. 12) (BR. 7)
- F-1 PORTUGAL TELECOM SA, AV FONTES PEREIRA DE MELO 40,
1089 LISBOA CODEX PORTUGAL, S1 - 3,000,000 (\$114,750,000)
FOREIGN COMMON STOCK. (FILE 333-10574 - JUL. 12) (BR. 7)
- S-3 JDS UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134
(408) 434-1800 - 11,500,000 (\$956,662,000) COMMON STOCK. (FILE 333-82795 -
JUL. 14) (BR. 5)
- S-3 JDS UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134
(408) 434-1800 - 8,000,000 COMMON STOCK. (FILE 333-82797 - JUL. 14)
(BR. 5)
- S-1 E PIPHANY INC, 2300 GENG ROAD, SUITE 200, PALO ALTO, CA 94303
(650) 496-2430 - \$50,000,000 COMMON STOCK. (FILE 333-82799 - JUL. 14)
(BR. 37)
- S-8 OGDEN CORP, TWO PENNSYLVANIA PLZ - 25TH FLR, NEW YORK, NY 10121
(212) 868-6100 - 4,000,000 (\$104,250,000) COMMON STOCK. (FILE 333-82801 -
JUL. 14) (BR. 5)

S-8 NU HORIZONS ELECTRONICS CORP, 70 MAXESS ROAD, MELVILLE, NY 11747
(516) 396-5000 - 300,000 (\$2,148,000) COMMON STOCK. (FILE 333-82805 -
JUL. 14) (BR. 5)

S-4 U S TRUST CORP /NY, 114 WEST 47TH ST, NEW YORK, NY 10036 (212) 852-1000
- 900,000 (\$6,938,329.56) COMMON STOCK. (FILE 333-82809 - JUL. 14)
(BR. 7)

S-8 PSINET INC, 510 HUNTMAR PARK DR, HERNDON, VA 20170 (703) 904-4100 -
2,809,825 (\$159,106,340) COMMON STOCK. (FILE 333-82811 - JUL. 14) (BR. 3)

S-8 TALBOT BANCSHARES INC, 18 E DOVER ST, EASTON, MD 21601 (410) 822-1400 -
85,000 (\$4,675,000) COMMON STOCK. (FILE 333-82813 - JUL. 14) (BR. 7)

S-8 SHOE CARNIVAL INC, 8233 BAUMGART ROAD, EVANSVILLE, IN 47711
(812) 867-4039 - 25,000 (\$417,188) COMMON STOCK. (FILE 333-82819 -
JUL. 14) (BR. 2)

S-3 INTERNATIONAL ISOTOPES INC, 3100 JIM CHRISTAL ROAD, STE 105, DENTON, TX
76207 (940) 484-9492 - 1,503,804 (\$13,581,230) COMMON STOCK. (FILE
333-82821 - JUL. 14) (BR. 9)

S-8 SAF T LOK INC, 1101 NORTHPOINT PARKWAY, WEST PALM BEACH, FL 33407
(561) 743-5625 - 87,093 (\$215,015.19) COMMON STOCK. (FILE 333-82823 -
JUL. 14) (BR. 6)

S-3 INTERNATIONAL ISOTOPES INC, 3100 JIM CHRISTAL ROAD, STE 105, DENTON, TX
76207 (940) 484-9492 - 3,911,710 (\$35,327,631) COMMON STOCK. (FILE
333-82825 - JUL. 14) (BR. 9)

S-1 NETZERO INC, 2555 TOWNSLATE RD, WESTLAKE VILLAGE, CA 91361
(805) 418-2000 - \$115,000,000 COMMON STOCK. (FILE 333-82827 - JUL. 14)
(BR. 8 - NEW ISSUE)

S-3 NEWELL RUBBERMAID INC, 29 E STEPHENSON ST, NEWELL CTR, FREEPORT, IL
61032 (815) 235-4171 (FILE 333-82829 - JUL. 14) (BR. 6)

S-3 INDYMAC MBS INC, 155 NORTH LAKE AVENUE, PASADENA, CA 91101
(800) 669-2300 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES.
(FILE 333-82831 - JUL. 14) (BR. 7 - NEW ISSUE)

S-3 CONSOLIDATED GRAPHICS INC /TX/, 5858 WESTHEIMER STE 200, HOUSTON, TX
77057 (713) 787-0977 - 100,994 (\$4,522,511.32) COMMON STOCK. (FILE
333-82835 - JUL. 14) (BR. 5)

S-3 ABGENIX INC, 7601 DUMBARTON CIRCLE, FREMONT, CA 94555 (510) 608-6500 -
495,356 (\$9,456,347.06) COMMON STOCK. (FILE 333-82837 - JUL. 14) (BR. 1)

S-8 TRANS WORLD AIRLINES INC /NEW/, ONE CITY CENTRE, 515 N SIXTH ST,
ST LOUIS, MO 63101 (314) 589-3000 - 750,000 (\$3,517,500) COMMON STOCK.
(FILE 333-82839 - JUL. 14) (BR. 5)

S-8 GOODNOISE CORP, 1919 BROADWAY, SUITE 200, REDWOOD CITY, CA 94063
(650) 556-9733 - 8,954,600 (\$102,678,751.83) COMMON STOCK. (FILE
333-82841 - JUL. 14) (BR. 9)

S-2 UNITED FINANCIAL BANKING COMPANIES INC, 8399 LEESBURG PIKE, PO BOX 2459,
VIENNA, VA 22182 (703) 734-0040 - \$1,683,000 COMMON STOCK. (FILE
333-82845 - JUL. 14) (BR. 7)

S-8 ANGELICA CORP /NEW/, 424 S WOODS MILL RD, CHESTERFIELD, MO 63017
(314) 854-3800 - 500,000 (\$8,095,000) COMMON STOCK. (FILE 333-82847 -
JUL. 14) (BR. 8)

S-3 REGISTRY MAGIC INC, ONE S OCEAN BLVD, SUITE 206, BOCA RATON, FL 33432
(561) 367-0408 - 290,000 (\$1,296,010) COMMON STOCK. (FILE 333-82849 -
JUL. 14) (BR. 9)

S-3 MCLEODUSA INC, 6400 C ST SW, PO BOX 3177, CEDAR RAPIDS, IA 52406
(319) 364-0000 (FILE 333-82851 - JUL. 14) (BR. 7)

- S-3 WAVERIDER COMMUNICATIONS INC, 255 CONSUMERS ROAD SUITE 500, TORONTO, ONTARIO, A6 00000 (416) 502-3200 - 1,600,000 (\$2,600,000) COMMON STOCK. (FILE 333-82855 - JUL. 14) (BR. 9)
- S-8 GULFSTREAM AEROSPACE CORP, P O BOX 2206, 500 GULFSTREAM RD - TRAVIS FIELD, SAVANNAH, GA 31402 (912) 964-3000 - 1 (\$68.91) COMMON STOCK. (FILE 333-82857 - JUL. 14) (BR. 5)
- S-1 LODGIAN INC, 3445 PEACHTREE ROAD N E SUITE 700, ATLANTA, GA 30326 (404) 364-9400 - 3,500,000 (\$95,812,500) STRAIGHT BONDS. (FILE 333-82859 - JUL. 14) (BR. 8)
- S-3 SMITH CHARLES E RESIDENTIAL REALTY INC, 2345 CRYSTAL DR, CRYSTAL CITY ARLINGT, VA 22202 (703) 920-8500 - 92,793 (\$3,134,733.13) COMMON STOCK. (FILE 333-82861 - JUL. 14) (BR. 8)
- N-2 MORGAN STANLEY HIGH YIELD FUND INC, 1221 AVENUE OF THE AMERICAS, 8TH FLOOR, NEW YORK, NY 10020 (617) 557-8742 - 3,700,000 (\$58,043,750) COMMON STOCK. (FILE 333-82863 - JUL. 14) (BR. 16)
- S-1 RHYTHMS NET CONNECTIONS INC, 7337 S REVERE PKWY SUITE 100, ENGLEWOOD, CO 80112 (303) 476-4200 - 5,442,720 (\$355,246,334) COMMON STOCK. (FILE 333-82867 - JUL. 14) (BR. 7)
- S-3 PEROT SYSTEMS CORP, 12377 MERIT DRIVE, SUITE #1100, DALLAS, TX 75251 (703) 709-3000 - 1,404,000 (\$38,483,640) COMMON STOCK. (FILE 333-82869 - JUL. 14) (BR. 8)
- F-1 PIVOTAL CORP, 300-244 WEST ESPLANADE, NORTH VANCOUVER BRITISH COLUMBIA, CANADA, (604) 988-9982 - 4,025,000 (\$56,350,000) COMMON STOCK. (FILE 333-82871 - JUL. 14) (NEW ISSUE)
- S-4 FNB FINANCIAL SERVICES CORP, 202 SOUTH MAIN ST, P.O. BOX 2037, REIDSVILLE, NC 27320 (336) 342-3346 - 1,250,000 (\$13,924,255) COMMON STOCK. (FILE 333-82873 - JUL. 14) (BR. 7)
- SB-1 ALYA INTERNATIONAL INC, 101-16 FAWCETT RD, V3K 6X9, COQUITLAM BC V3K 6V2, A1 - 5,000,000 (\$5,000,000) COMMON STOCK. (FILE 333-82877 - JUL. 15)
- S-8 ACTION PERFORMANCE COMPANIES INC, 4707 E BASELINE RD, PHOENIX, AZ 85040 (602) 337-3700 - 500,000 (\$17,500,000) COMMON STOCK. (FILE 333-82879 - JUL. 15) (BR. 2)
- S-8 SCIENTIFIC NRG INC, 2246 LINDSAY WAY, GLENDORA, CA 91740 (909) 305-3322 - 6,598,553 (\$650,968) COMMON STOCK. (FILE 333-82881 - JUL. 15) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website. <www.sec.gov>.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| ACE LTD | | | X | | X | | X | X | | | 07/02/99 | |
| ACE SECURITIES CORP | DE | | | | X | | X | | | | 07/16/99 | |
| ADVANTA BUSINESS SERVICES CORP | DE | | | | X | | X | | | | 07/12/99 | |
| ADVANTA LEASING RECEIVABLES CORP IV | NV | | | | X | | X | | | | 07/12/99 | |
| ADVANTA LEASING RECEIVABLES CORP V | NV | | | | X | | X | | | | 07/12/99 | |
| AK STEEL HOLDING CORP | DE | | | | X | | X | | | | 07/15/99 | |
| ALLEGHENY ENERGY INC | MD | | | | X | | X | | | | 07/15/99 | |
| ALLEGIANCE TELECOM INC | DE | | | | X | | X | | | | 04/01/99 | AMEND |
| ALLIANCE BANCORP OF NEW ENGLAND INC | DE | | | | X | | X | | | | 06/30/99 | |
| ALLIANCE IMAGING INC /DE/ | DE | | | | | | X | | | | 05/13/99 | AMEND |
| ALLIED WASTE INDUSTRIES INC | DE | | | | X | | X | | | | 07/19/99 | |
| ALTEON INC /DE | DE | | | | X | | | | | | 07/14/99 | |
| AMERICAN BANKNOTE CORP | DE | | | | X | | | | | | 07/20/99 | |
| AMERICAN BINGO & GAMING CORP | DE | | | | X | | | | | | 07/02/99 | |
| AMERICAN FILM TECHNOLOGIES INC /DE/ | DE | | | | X | | | | | | 06/30/99 | |
| AMERICAN MANAGEMENT SYSTEMS INC | DE | | | | X | | X | | | | 07/15/99 | |
| AMERITECH CORP /DE/ | DE | | | | X | | X | | | | 07/20/99 | |
| AMPAL AMERICAN ISRAEL CORP /NY/ | NY | | X | | | | | | | | 07/06/99 | |
| ANCHOR FINANCIAL CORP | SC | | | | X | | X | | | | 07/20/99 | |
| ANTIGUA FUNDING CORP | DE | | | | X | | | | | | 07/08/99 | |
| ANTIGUA FUNDING CORP | DE | | | | X | | | | | | 07/08/99 | |
| ARGENT CAPITAL CORP | NV | | | | X | | | | | | 06/30/99 | |
| ARISTOTLE CORP | DE | | X | | | | X | | | | 05/04/99 | AMEND |
| ASARCO INC | NJ | | X | | | | | | | | 07/20/99 | |
| ASSET BACKED SECURITIES CORP | DE | | | | X | | X | | | | 07/07/99 | |
| ASSET BACKED SECURITIES CORP | DE | | | | X | | X | | | | 07/09/99 | |
| AUDIO BOOK CLUB INC | FL | | | | | | X | | | | 06/15/99 | AMEND |
| AUDIO BOOK CLUB INC | FL | | | | | | X | | | | 06/15/99 | AMEND |
| BANK OF AMERICA MORTGAGE SECURITIES INC | DE | | | | X | | X | | | | 07/19/99 | |
| BANK OF NEW YORK CO INC | NY | | | | X | | X | | | | 06/30/99 | |
| BLUE DOLPHIN ENERGY CO | DE | | | | X | | | | | | 07/19/99 | |
| BRAKE HEADQUARTERS U S A INC | DE | | | | X | | | | | | 05/28/99 | |
| BROWN TOM INC /DE | DE | | X | | | | X | | | | 07/02/99 | |
| CABLE TV FUND 14-A LTD | CO | | X | | | | X | | | | 07/06/99 | |
| CAPITA EQUIPMENT RECEIVABLES TRUST 1996-1 | NY | | | | X | | | | | | 07/08/99 | |
| CAPITAL GROWTH INC | NV | | X | | | | | | | | 05/18/99 | |
| CARCO AUTO LOAN MASTER TRUST | NY | | | | X | | | | | | 07/15/99 | |
| CBT GROUP PLC | | | X | | | | X | | | | 06/18/99 | AMEND |
| CENTENNIAL HEALTHCARE CORP | GA | | | | X | | X | | | | 07/13/99 | |
| CENTENNIAL TECHNOLOGIES INC | DE | | | | X | | X | | | | 07/20/99 | |
| CEPHALON INC | DE | | | | X | | X | | | | 01/03/94 | |
| CHANDLER INSURANCE CO LTD | | | X | | | | | | | | 07/16/99 | |
| CHASE MANHATTAN BANK USA | DE | | | | X | | X | | | | 07/09/99 | |
| CHEFS INTERNATIONAL INC | DE | | X | | | | X | | | | 07/07/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1995-2 | MD | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1996-1 | MD | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1996-2 | MD | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1 | MD | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2 | MD | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3 | MD | | | | X | | | | | | 06/30/99 | |

| NAME OF ISSUER | STATE | | BK ITEM NO. | | | | | | | | | DATE | COMMENT | |
|--|-------|---|-------------|---|---|---|---|---|---|---|---|------|----------|-------|
| | CODE | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4 | MD | | | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1 | MD | | | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2 | MD | | | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2 | MD | | | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE HOME LOAN TRUST 1996-1 | MD | | | | | | X | | | | | | 06/30/99 | |
| CHEVY CHASE HOME LOAN TRUST 1997-1 | MD | | | | | | X | | | | | | 06/30/99 | |
| CITIBANK SOUTH DAKOTA N A | DE | X | | | | | | | | | | | 06/25/99 | |
| CITICORP | DE | | | | | | X | | | | | | 06/30/99 | |
| CITIGROUP INC | DE | | | | | | X | | | | | | 07/19/99 | |
| COASTAL BANCORP INC | TX | | | | | | X | X | | | | | 09/30/99 | |
| COLUMBIA ENERGY GROUP | DE | | | | | | X | | | | | | 07/15/99 | |
| COMPREHENSIVE CARE CORP | DE | | | | | | X | X | | | | | 07/02/99 | |
| COMPX INTERNATIONAL INC | DE | | | | | | X | X | | | | | 07/20/99 | |
| CONCENTRA MANAGED CARE INC | DE | X | | | | | | | | | | | 07/19/99 | |
| CONCENTRA MANAGED CARE INC | DE | X | | | | | | | | | | | 07/20/99 | |
| CONSTELLATION ENERGY GROUP INC | MD | | | | | X | | | | | | | 07/16/99 | |
| CORNING INC /NY | NY | X | | | | | | | | | | | 06/30/99 | |
| COSMETIC CENTER INC | DE | | | | | | X | X | | | | | 05/03/99 | AMEND |
| COSMETIC CENTER INC | DE | | | | | | X | X | | | | | 07/01/99 | AMEND |
| COX COMMUNICATIONS INC /DE/ | DE | | | | | | X | X | | | | | 05/12/99 | AMEND |
| COYOTE SPORTS INC | NV | | | | | | X | X | | | | | 07/19/99 | |
| CTC COMMUNICATIONS CORP | MA | | | | | | X | | | | | | 07/15/99 | |
| CTC COMMUNICATIONS CORP | MA | | | | | | X | | | | | | 07/20/99 | |
| CYBEROPTICS CORP | MN | | | | | | | X | | | | | 05/05/99 | AMEND |
| CYGNUS INC /DE/ | DE | | | | | | X | X | | | | | 07/09/99 | |
| CYLINK CORP /CA/ | CA | | | | | X | | | | | | | 07/19/99 | |
| D & K HEALTHCARE RESOURCES INC | DE | | | | | | | X | | | | | 07/20/99 | AMEND |
| DAWSON GEOPHYSICAL CO | TX | | | | | | X | X | | | | | 07/13/99 | |
| DEVLIEG BULLARD INC | DE | | X | | | | X | X | | | | | 07/15/99 | |
| EAGLE GEOPHYSICAL INC | DE | | | | | | X | X | | | | | 07/15/99 | |
| EAGLEMARK INC | NV | | | | | | X | X | | | | | 07/19/99 | |
| EASTERN ENTERPRISES | MA | | | | | | X | X | | | | | 07/14/99 | |
| EGLOBE INC | DE | | | | | | X | | | | | | 06/30/99 | |
| ENERGYNORTH INC | NH | | | | | | X | | | | | | 07/14/99 | |
| ENERGYNORTH INC | NH | | | | | | X | | | | | | 07/14/99 | |
| ENERGYNORTH INC | NH | | | | | | X | | | | | | 07/14/99 | |
| ENERGYNORTH NATURAL GAS INC | NH | | | | | | X | | | | | | 07/14/99 | |
| ENVIRONMENTAL REMEDIATION HOLDING C ORP | CO | X | X | | | | X | X | | | | | 05/14/99 | AMEND |
| EUROGAS INC | UT | | | | | | | X | | | | | 03/31/99 | AMEND |
| EVANS INC | DE | | | | | | X | | | | | | 07/03/99 | |
| FALCON PRODUCTS INC /DE/ | DE | | X | | | | | X | | | | | 06/15/99 | AMEND |
| FIRST ALLIANCE CORP /DE/ | DE | | | | | | X | | | | | | 07/02/99 | |
| FIRST BANK CORPORATE CARD MASTER TR UST | NY | | | | | | X | X | | | | | 07/19/99 | |
| FIRST UNION DIRECT BANK N A | | | | | | | X | X | | | | | 06/15/99 | |
| FIRST UNION MASTER CREDIT CARD TRUS T | | | | | | | X | X | | | | | 06/15/99 | |
| FIRST USA CREDIT CARD MASTER TRUST | DE | | | | | | X | X | | | | | 06/28/99 | |
| FIRSTAR CORP /NEW/ | WI | | | | | | X | X | | | | | 07/19/99 | |
| FIRSTAR CORP /NEW/ | WI | | | | | | X | X | | | | | 07/20/99 | |
| FLEET FINANCIAL GROUP INC | RI | | | | | | X | X | | | | | 07/14/99 | |
| FLORIDA POWER CORP / | FL | | | | | | X | X | | | | | 07/16/99 | |
| FLORIDA PROGRESS CORP | FL | | | | | | X | X | | | | | 07/16/99 | |
| FOODMAKER INC /DE/ | DE | | | | | | X | | | | | | 07/19/99 | |
| FOUNDATION HEALTH SYSTEMS INC | DE | | | | | | X | X | | | | | 07/20/99 | |
| FPL GROUP INC | FL | | | | | | | X | | | | | 07/19/99 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|---|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| FRANKLIN SELECT REALTY TRUST | CA | | | | X | | | | | | 07/09/99 | |
| FX ENERGY INC | NV | | | | X | | | | | | 07/20/99 | |
| GALILEO INTERNATIONAL INC | DE | | | | X | | | | | | 06/29/99 | |
| GENERAL MOTORS ACCEPTANCE CORP | DE | | | | X | | | | | | 07/20/99 | |
| GENERAL MOTORS CORP | DE | | | | X | | | | | | 07/20/99 | |
| GENISYS RESERVATION SYSTEMS INC | NJ | | | | X | X | | | | | 07/06/99 | AMEND |
| GENTA INCORPORATED /DE/ | DE | | | | X | X | | | | | 07/20/99 | |
| GLOBAL CROSSING HOLDINGS LTD | | | X | | | X | | | | | 07/18/99 | |
| GLOBAL CROSSING LTD | | | X | | | X | | | | | 07/18/99 | |
| GO2NET INC | DE | | | | X | X | | | | | 07/19/99 | |
| GPU INC /PA/ | PA | | | | X | | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FINANCIAL CORP | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST | MN | | | | X | X | | | | | 07/15/99 | |
| GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST | MN | | X | X | | | | | | | 07/15/99 | |
| GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST | MN | | X | X | | | | | | | 07/15/99 | |
| HALLIBURTON CO | DE | | | | X | X | | | | | 07/15/99 | |
| HIGH VOLTAGE ENGINEERING CORP | MA | | X | | | X | | | | | 07/02/99 | |
| HOME BUILDING BANCORP INC | IN | | | | X | X | | | | | 07/15/99 | |
| INFOSEEK CORP /DE/ | DE | | | | X | X | | | | | 07/10/99 | |
| INTERACTIVE GAMING & COMMUNICATIONS CORP | DE | | X | | | | | | | | 03/08/99 | AMEND |
| INTERNATIONAL BUSINESS MACHINES CORP | NY | | | | X | | | | | | 07/19/99 | |
| INTERNATIONAL ISOTOPES INC | TX | | | | X | X | | | | | 07/19/99 | |
| KAMAN CORP | CT | | X | | | | | | | | 07/20/99 | |
| KAYE GROUP INC | DE | | | | X | X | | | | | 07/13/99 | |
| KERAVISION INC /CA/ | DE | | | | X | X | | | | | 07/20/99 | |
| KEVCO INC | TX | | | | X | X | | | | | 07/14/99 | |
| KEYSTONE FINANCIAL INC | PA | | | | X | | | | | | 06/30/99 | |
| KIMBALL INTERNATIONAL INC | IN | | | | X | | | | | | 07/19/99 | |
| KROGER CO | OH | | | | X | | | | | | 07/20/99 | |
| LAKEHEAD PIPE LINE PARTNERS L P | DE | | | | X | X | | | | | 07/15/99 | |
| LAKEHEAD PIPELINE CO LP | DE | | | | X | X | | | | | 07/15/99 | |
| LAKELAND BANCORP INC | NJ | | X | | | X | | | | | 07/15/99 | |
| LANDS END INC | DE | | | | X | | | | | | 01/28/00 | |
| LASER VISION CENTERS INC | DE | | | | X | X | | | | | 07/12/99 | |
| LEARN2 COM INC | DE | | | | X | X | | | | | 07/19/99 | |
| LINDSEY TECHNOLOGIES INC | CO | | X | | | | | | | | 11/05/98 | |
| LITTLE SWITZERLAND INC/DE | DE | | | | X | | | | | | 07/09/99 | |
| LOEWEN GROUP INC | | | | | X | X | | | | | 07/19/99 | |
| MAGNITUDE INFORMATION SYSTEMS INC | DE | | | | X | | | | | | 07/19/99 | |
| MARKETING SERVICES GROUP INC | NV | | X | | | | | | | | 07/08/99 | |
| MARVEL ENTERPRISES INC | DE | | | | X | X | | | | | 07/20/99 | |
| MATHSOFT INC | MA | | | | X | X | | | | | 07/19/99 | |
| MEDICAL ACTION INDUSTRIES INC | DE | | | | | X | | | | | 05/28/99 | AMEND |
| MEDICAL INDUSTRIES OF AMERICA INC | FL | | X | | | X | | | | | 07/06/99 | |
| MERCANTILE CREDIT CARD MASTER TRUST | NY | | | | X | X | | | | | 07/12/99 | |

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| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| MERRILL CORP | MA | | | | X | X | | | | | 07/14/99 | |
| METAL MANAGEMENT INC | DE | | | | X | X | | | | | 07/15/99 | |
| METRO GLOBAL MEDIA INC | DE | | | | X | | | | | | 07/20/99 | |
| MICRO INTEGRATION CORP /DE/ | DE | | | | X | X | | | | | 07/16/99 | |
| MICROSOFT CORP | WA | | | | X | X | | | | | 07/19/99 | |
| MILLION DOLLAR SALOON INC | NV | X | | | | X | | | | | 07/19/99 | |
| MORAN TRANSPORTATION CO | DE | | | | X | X | | | | | 07/15/99 | |
| MORGAN J P & CO INC | DE | | | | X | X | | | | | 07/19/99 | |
| MORTONS RESTAURANT GROUP INC | DE | | | | X | | | | | | 07/12/99 | |
| NATIONAL CAPITAL MANAGEMENT CORP | DE | X | | | X | X | | | | | 06/29/99 | |
| NEWCO ALASKA INC | DE | X | | | | | | | | | 06/01/99 | |
| NORTHEAST INDIANA BANCORP INC | DE | | | | X | X | | | | | 07/16/99 | |
| NORTHERN TRUST CORP | DE | | | | X | X | | | | | 07/19/99 | |
| NORWEST ASSET SEC CORP MORT PASS TH | DE | | | | X | X | | | | | 05/27/99 | |
| R CERT SER 1999-14 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | DE | | | | X | X | | | | | 05/27/99 | |
| R CERT SER 1999-15 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SEC CORP MORT PASS TH | DE | | | | X | X | | | | | 05/26/99 | |
| R CERT SER 1999-17 TRUST | | | | | | | | | | | | |
| NORWEST ASSET SECURITIES CORP | DE | | | | X | X | | | | | 07/19/99 | |
| NORWEST ASSET SECURITIES CORP | DE | | | | X | X | | | | | 07/21/99 | |
| NTL INC/NY/ | DE | X | | | X | X | | | | | 07/09/99 | |
| NU KOTE HOLDING INC /DE/ | TX | | | | X | X | | | | | 07/19/99 | |
| OPEN MARKET INC | DE | | | | X | | | | | | 07/19/99 | |
| OROAMERICA INC | DE | | | | X | X | | | | | 07/16/99 | |
| OSULLIVAN CORP | VA | X | | | | X | | | | | 07/07/99 | |
| OWENS & MINOR INC/VA/ | VA | | | | X | X | | | | | 07/06/99 | |
| PANHANDLE EASTERN PIPE LINE CO | DE | X | | | X | X | | | | | 03/29/99 | AMEND |
| PARK PLACE ENTERTAINMENT CORP | DE | | | | X | X | | | | | 07/20/99 | |
| PATHFINDER DATA GROUP INC | CO | | | | X | | | | | | 07/19/99 | |
| PEOPLES BANK CREDIT CARD MASTER TRU ST | CT | | | | X | | | | | | 02/01/99 | |
| PHYSICIANS RESOURCE GROUP INC | DE | | | | X | X | | | | | 07/16/99 | |
| PILGRIMS PRIDE CORP | DE | | | | X | X | | | | | 07/20/99 | |
| PLANAR SYSTEMS INC | NY | X | | | | X | | | | | 07/06/99 | |
| PLATINUM ENTERTAINMENT INC | DE | | | | X | | | | | | 07/07/99 | |
| PRESLEY COMPANIES /DE | DE | | | | X | X | | | | | 07/15/99 | |
| PRI AUTOMATION INC | MA | | | | X | | | | | | 07/19/99 | |
| PRICELINE COM INC | DE | | | | X | X | | | | | 07/19/99 | |
| PYR ENERGY CORP | DE | | | | X | X | | | | | 07/16/99 | |
| QUALCOMM INC/DE | DE | | | | X | X | | | | | 07/19/99 | |
| QUALITY SYSTEMS INC | CA | X | | | | | | | | | 07/20/99 | |
| QUINTILES TRANSNATIONAL CORP | NC | | | | X | X | | | | | 07/19/99 | |
| QWEST COMMUNICATIONS INTERNATIONAL INC | DE | | | | X | X | | | | | 07/18/99 | |
| RAYCHEM CORP | DE | | | | X | X | | | | | 07/15/99 | |
| REALTY PARKING PROPERTIES II LP | DE | X | | | | | | | | | 07/20/99 | |
| REGENT TECHNOLOGIES INC | CO | X | | | | | | | | | 07/14/99 | |
| REYNOLDS METALS CO | DE | | | | X | X | | | | | 07/20/99 | |
| SALOMON SMITH BARNEY HOLDINGS INC | DE | | | | X | | | | | | 07/19/99 | |
| SEALED AIR CORP/DE | DE | | | | X | | | | | | 07/19/99 | |
| SECURFONE AMERICA INC | DE | X | X | | | X | | | | | 07/01/99 | |
| SHARED TECHNOLOGIES CELLULAR INC | DE | | | | X | X | | | | | 07/07/99 | |
| SMARTALK TELESERVICES INC | CA | | | | X | X | | | | | 07/20/99 | |
| SMITHFIELD FOODS INC | VA | | | | X | | | | | | 07/16/99 | |
| SOFTNET SYSTEMS INC | DE | | | | X | | | | | | 07/20/99 | |
| SONESTA INTERNATIONAL HOTELS CORP | NY | | | | X | X | | | | | 07/15/99 | |
| SOUTH STREET FINANCIAL CORP | NC | | | | X | | | | | | 07/14/99 | |
| SPARTA SURGICAL CORP | DE | | | | X | | | | | | 07/19/99 | |
| SUIZA FOODS CORP | DE | X | | | | X | | | | | 07/02/99 | |
| SUMMIT TECHNOLOGY INC | MA | | | | X | | | | | | 07/19/99 | |

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| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| SUPERIOR SERVICES INC | WI | X | | | | | X | | | | 07/16/99 | |
| SYNETIC INC | DE | | | | | X | | | | | 07/21/99 | |
| TAL WIRELESS NETWORKS INC | DE | | | X | | | X | | | | 06/30/99 | |
| TAVA TECHNOLOGIES INC | CO | | | | | X | X | | | | 07/19/99 | |
| TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7 | DE | | | | | | X | | | | 07/15/99 | |
| TIFFANY & CO | DE | | | | | X | | | | | 07/19/99 | |
| TOWER AUTOMOTIVE INC | DE | | | | | X | X | | | | 07/15/99 | |
| TRUSTCO BANK CORP N Y | NY | | | | | X | | | | | 07/20/99 | |
| U S DIGITAL COMMUNICATIONS INC | NV | | | | | X | | | | | 06/24/99 | |
| U S DIGITAL COMMUNICATIONS INC | NV | | | | | X | X | | | | 07/08/99 | |
| U S WEST INC /DE/ | DE | | | | | X | X | | | | 07/18/99 | |
| UAL CORP /DE/ | DE | | | | | X | X | | | | 07/20/99 | |
| VIRTUAL ENTERPRISES INC | CO | X | | | | | | | | | 05/05/99 | AMEND |
| WELLS FARGO & CO/MN | DE | | | | | X | X | | | | 07/19/99 | |
| WIRELESS ONE INC | DE | | | | | X | X | | | | 07/20/99 | |
| WORLD HOUSE ENTERTAINMENT INC | NV | X | X | | | | X | X | | | 07/15/99 | |
| WORLDTALK COMMUNICATIONS CORP | DE | | | | | X | | | | | 07/19/99 | |
| XOMA LTD | AZ | | | | | X | X | | | | 06/28/99 | AMEND |
| XOOM INC | DE | | | | | | X | | | | 07/16/99 | AMEND |
| YAHOO INC | DE | | | X | | | X | | | | 07/20/99 | |
| ZIFF DAVIS INC | DE | | | | | X | X | | | | 07/14/99 | |