

# SEC NEWS DIGEST

Issue 99-101

May 26, 1999

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## COMMISSION ANNOUNCEMENTS

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### SEC ANNOUNCES Y2K TESTING FOR EDGAR FILERS

On May 25, the Commission announced an opportunity for EDGAR filers to use an EDGAR Y2K test system. The test system will be available to filers from July 12 through July 30, 1999, for voluntary submission of test filings. Starting at 8:00 a.m. on Monday, July 12, the EDGAR test system clock will be set to February 21, 2000 and will continue until the system clock reaches 10:00 p.m., March 10, 2000, on July 30, 1999. Filers are strongly encouraged to utilize this opportunity to test their EDGAR filing equipment and procedures for Y2K readiness.

EDGAR filer support staff will be available during operating hours to assist filers with any EDGAR Y2K issues encountered. EDGAR filers with Y2K questions for EDGAR Filer Support should call (202) 942-8900 and select Y2K assistance from the telephone menu. Detailed test information and instructions are available on the Commission's website at <http://www.sec.gov/edaux/news/edy2ktst.htm>. (Press Rel. 99-56)

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## RULES AND RELATED MATTERS

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### PROPOSED RULE REGARDING RECORDKEEPING REQUIREMENTS FOR TRANSFER AGENTS

The Commission is proposing amendments to Rule 17Ad-7 under the Securities Exchange Act of 1934 (Exchange Act). The proposed amendments would allow registered transfer agents to use electronic storage media to produce and preserve the records that they are required to retain. In addition, the Commission is proposing to expressly allow registered transfer agents to use microfiche in addition to microfilm for record retention purposes. (Rel. 34-41442; File No. S7-17-99)

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## ENFORCEMENT PROCEEDINGS

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## **ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST WILLIAM FAIN, JR.**

On May 25, the Commission entered an Order Instituting Administrative Proceedings Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 (Order) against William A. Fain, Jr. (Fain) based on his conviction for conspiracy to commit securities fraud, mail fraud and wire fraud (U.S. v. Fain, Case No. 98-8112-CR-RYSKAM, S.D. Fla. 1998).

The Order alleged that in October 1998, Fain pled guilty to a one-count criminal information charging him with conspiracy to commit securities fraud, mail fraud and wire fraud. The criminal information alleged that from in or about October 1993 through at least in or about July 1994, while a registered representative, Fain accepted undisclosed fees of approximately \$18,200 from a promoter for inducing his clients to purchase securities of certain issuers affiliated with the promoter. On January 11, 1999, Fain was sentenced to three years of probation and was ordered to pay \$35,576.77 in restitution.

A hearing will be held before an administrative law judge to determine whether the staff's allegations against Fain are true, and if so, what remedial sanctions are appropriate and in the public interest. (Rel. 34-41443; File No. 3-9909)

## **ADMINISTRATIVE PROCEEDINGS SETTLED AGAINST BRADFORD OROSEY**

On May 25, the Commission entered an Order Making Findings and Imposing Remedial Sanctions and Cease And Desist Order (Order) against Bradford A. Orosey of Houston, Texas. The Commission accepted Orosey's Offer of Settlement, in which he agreed to be barred from association with any broker, dealer, municipal securities dealer, investment adviser, or investment company, with a right to reapply in five years; Orosey also agreed to an order for him to cease and desist from committing or causing any violations of, and any future violation of, Section 17(a) of the Securities Act of 1933, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. Additionally, Orosey agreed to pay disgorgement of \$134,111, plus prejudgment interest. The Commission agreed to waive payment of this amount and not to impose civil money penalties against Orosey, based on his demonstrated financial inability to pay.

The Order finds that while Orosey was a registered representative of a registered broker-dealer, he made material misrepresentations and omissions in the sale of collateralized mortgage obligations to Escambia County, Florida. (Rels. 33-7686; 34-41444; File No. 3-9702)

## **PUBLIC ADMINISTRATIVE PROCEEDINGS INSTITUTED AND SETTLED AGAINST MICHAEL PEROZZI**

On May 25, the Commission instituted and simultaneously settled public administrative and cease and desist proceedings against Michael A. Perozzi (Perozzi). From April through September 1996, Perozzi was employed as a telephone sales person for Chief Exploration & Development Corporation which offered and sold fractional undivided interests in two gas well leases. The Commission's Order found that Perozzi willfully violated the antifraud provisions of the federal securities laws by misrepresenting the high-risk, speculative nature of the investment through such claims that it was low-risk with an 80 to 90 percent chance of success. Perozzi, who earned commissions of approximately 10 percent based on the sales of these interests, violated the broker-dealer registration provisions because he was not registered with the Commission as a broker-dealer when he sold these interests to investors. Without admitting or denying the Commission's findings, Perozzi has agreed to the entry of an Order barring from him from association with any broker or dealer, with the right to reapply for association after 18 months, and to cease and desist from committing or causing any violations, and any future violations of the antifraud and broker-dealer registration provisions. Based upon Perozzi's inability to pay, disgorgement and prejudgment interest were waived, and civil penalties not imposed. (Rel. 33-7687; 34-41445; File No. 3-9910)

## **PUBLIC ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST MICHAEL YOUNG**

On May 25, the Commission instituted public administrative and cease and desist proceedings against Michael D. Young (Young). From April through September 1996, Young was employed as a telephone sales person for Chief Exploration & Development Corporation, which offered and sold fractional undivided interests in two gas well leases. In the Order, the Division of Enforcement alleges that Young willfully violated the antifraud provisions of the federal securities laws by misrepresenting the high-risk, speculative nature of the investment through such claims that it was "almost a sure thing" with hardly any risk. Young, who earned commissions of approximately 10 percent based on the sales of these interests, was not registered with the Commission as a broker-dealer when he sold these interests to investors.

A hearing will be held before an administrative law judge to determine whether the staff's allegations are true, and if so, what remedial sanctions are appropriate in the public interest, and whether Young should be ordered to pay disgorgement and/or civil penalties. (Rel. 33-7688; Rel. 34-41446; File No. 3-9911)

## **ADMINISTRATIVE PROCEEDING INSTITUTED AND SETTLED AGAINST MUNICIPAL BOND SELLERS**

On May 25, the Commission instituted and simultaneously settled administrative proceedings against H. Michael Richardson (Richardson) and Derrick P. Dumont (Dumont) for alleged violations

of Section 17(a) of the Securities Act, Sections 10(b) and 15B(c) of the Exchange Act, Rule 10b-5 promulgated thereunder, and Municipal Securities Rulemaking Board Rule (MSRB) G-17 and additionally for Richardson, MSRB Rule G-19. The administrative proceedings were based on the entry of permanent injunctions against Richardson and Dumont. The Orders bar Richardson and Dumont from association with any broker, dealer, investment adviser, investment company or municipal securities dealer, with the right to reapply in three years and two years, respectively. Richardson and Dumont consented to the issuance of the Orders without admitting or denying the findings therein. First California Capital Markets Group, Inc. (First California), now known as Badger Technologies, Inc., withdrew its from registration as a broker-dealer in 1997.

First California served as the underwriter for five bond offerings totaling approximately \$69 million for four small municipalities, during which, the Commission alleged, First California, Richardson and Dumont misrepresented material facts concerning the bonds. The complaint further alleged that Richardson, acting as financial consultant, advised two cities to invest in municipal bonds that did not meet the issuer's minimum credit requirements (SEC v. First California Capital Markets Group, Inc., H. Michael Richardson and Derrick P. Dumont, C 97-02761 CRB, N.D. Cal.). (Administrative Proceeding in the Matter of Derrick P. Dumont - Rel. 34-41447; File No. 3-9912; Administrative Proceeding in the Matter of H. Michael Richardson - Rel. 34-41448; File No. 3-9913)

#### **RICHARD GOETTlich ORDERED TO PAY \$271 MILLION IN EQUIPMENT LEASE PONZI SCHEME**

The Commission announced today that a federal judge in Newark, New Jersey issued a judgment that permanently enjoins Richard Goettlich and orders him to pay \$271 million in disgorgement, interest and penalties for defrauding hundreds of investors in a massive "Ponzi" scheme.

Richard Goettlich conducted the fraudulent scheme through First Interregional Equity Corporation (FIEC), a registered broker-dealer now in liquidation, and First Interregional Advisors Corporation (FIAC), formerly an equipment lease finance company that is now a debtor in bankruptcy. The judgment, which was obtained by default, (a) permanently enjoins Richard Goettlich from committing securities fraud in violation of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder; (b) orders him to disgorge \$123,900,000 in ill-gotten gains plus \$23,275,277 in prejudgment interest; and (c) requires him to pay \$123,900,000 in civil penalties.

From at least 1993 to 1997, Richard Goettlich, FIAC, and FIEC defrauded investors by offering and selling purported interests in equipment leases. Specifically, Richard Goettlich and the other defendants systematically purported to assign the entire receivable stream from a single equipment lease to one investor after having previously assigned that same receivable stream to one prior

investor, and sometimes to two or three prior investors. Also between 1988 and 1997, Richard Goettlich misappropriated government and municipal bonds from the accounts of FIEC customers, and used the proceeds of the stolen bonds to fund his lavish lifestyle and satisfy FIEC's net capital requirements, among other things. As a result of the fraud, Richard Goettlich and the other defendants obtained approximately \$295 million from investors -- an amount that exceeded FIAC's actual lease receivable inventory by at least \$100 million.

When the Commission commenced this action against Richard Goettlich, FIAC, and FIEC in 1997, it obtained temporary restraining orders, preliminary injunctions, the appointment of receivers, asset freezes and other emergency relief to halt the fraud. The default judgment was entered after Richard Goettlich failed to answer or otherwise respond to the Commission's complaint. Separately, the Office of the United States Attorney for the District of New Jersey charged Richard Goettlich criminally for his role in the FIAC-FIEC "Ponzi" scheme. On November 18, 1998, Richard Goettlich pleaded guilty to an eight-count Information charging him with one count of conspiracy to commit securities fraud, one count of conspiracy to commit tax offenses, two counts of securities fraud, one count of tax evasion, two counts of money laundering and one count of illegal wiretapping. For more information see Litigation Release Nos. 15276 and 15979. [SEC v. Richard Goettlich, et al., 97 Civ. 1144, MTB, DNJ] (LR-16160)

**SEC CHARGES TWENTY-FIVE INDIVIDUALS WITH ILLEGAL PROFITS OF OVER \$1.3 MILLION FROM INSIDER TRADING IN ADVANCE OF IBM'S TAKEOVER OF LOTUS DEVELOPMENT CORPORATION**

The Commission today charged a former IBM secretary, Lorraine K. Cassano, and twenty-four other individuals with insider trading in the securities of Lotus Development Corporation in advance of IBM's takeover of Lotus. The Commission alleged that in the course of her employment Lorraine Cassano learned of a secret IBM plan to take over Lotus, and tipped her husband, Robert M. Cassano. The Commission further alleged that Robert Cassano then tipped two friends with whom he entered into secret profit sharing arrangements. According to the Commission's complaint, after purchasing Lotus stock and options, those two friends tipped others as the information then rapidly spread through a network of relatives, friends, co-workers and business associates within a six hour period on Friday, June 2, 1995. The complaint alleges that after IBM's public announcement on June 5, 1995, the defendants' trading generated illegal trading profits of more than \$1.3 million. The case was filed in the United States District Court for the Southern District of New York.

The illicit information obtained by L. Cassano ultimately was passed down to six tiers of traders. Many of the defendants were infrequent or even first time securities purchasers who purchased primarily call options, thus allowing them to make large profits on relatively small investments. In addition, the illicit trading cut across all walks of life as the defendants include a banker, an attorney, a

doctor, stock brokers, an engineer, a financial analyst, salesmen, small businessmen such as delicatessen and pizzeria owners, printer repairmen, and a school teacher.

Four of the defendants, L. Cassano and R. Cassano, Michael P. Green, and Dr. Gary Spierer, have settled the charges against them by agreeing to permanent injunctions from violating the antifraud provisions of the federal securities laws and to pay the following monetary relief:

- \* L. Cassano and R. Cassano will jointly pay \$7,500 in disgorgement plus prejudgment interest and each will pay a penalty of \$7,500 while an additional \$315,000 in disgorgement for tipping others will be waived based upon their demonstrated financial inability to pay more.
- \* Green will pay \$17,935 in disgorgement plus prejudgment interest (representing his own trading profits and those of his tippee) and a penalty of \$17,935.
- \* Spierer will pay \$110,354 in disgorgement plus prejudgment interest (representing his own trading profits and those of his tippee, Alvin Ira Davis) and a penalty of \$82,579.

The Commission's case remains pending against the remaining twenty-one defendants.

The Commission is seeking permanent injunctions for violations of Sections 10(b) and/or 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and/or 14(e)-3 thereunder, disgorgement of their illegal trading profits plus prejudgment interest and a civil monetary penalty of up to three times their illegal trading profits. The Commission will also seek to hold defendants liable for the profits of their tippees.

The complaint also alleges that several of the defendants engaged in concerted efforts to impede the underlying investigation by lying to the Commission staff in testimony under oath and/or in investigative interviews. In related criminal cases brought by the U.S. Attorney for the Southern District of New York, seven defendants earlier pleaded guilty to criminal charges arising from this action. R. Cassano was charged with insider trading. P. Gerard Mazzone, and Peter Mazzone were charged with insider trading and perjury. Green, Joseph Petrosino, Carmine DeSantis and Spierer were charged with perjury and/or obstructive conduct in the Commission's investigation.

The complaint named the following persons as defendants:

- \* Domenico Alba, was at the time a co-owner and operator of a pizzeria. Alba is a resident of Staten Island, New York. He is alleged to have made approximately \$49,000 in illegal trading profits, and is charged with tipping two other individuals.

- \* Lorraine K. Cassano, was at the time a secretary in the Volumes and Revenue Department of the Software Group at IBM in Somers, New York. She is a resident of North Salem, New York. She is alleged to have made, with her husband, approximately \$7,500 in illegal trading profits, and is charged with tipping her husband.
- \* Robert M. Cassano, the husband of L. Cassano, was a salesman for a paging communications company at the time of the illegal trading. He resides in North Salem, New York. He is alleged to have made, with his wife, \$7,500 in illegal trading profits and is charged with tipping two others.
- \* Richard Cofrancesco, is employed as a service technician for a manufacturer of laser computer printing equipment. Cofrancesco resides in Staten Island, New York. He is alleged to have made approximately \$25,000 in illegal trading profits and is charged with tipping four others.
- \* Alvin Ira Davis, was at the time employed as a bank vice president and financial analyst. Davis resides in Staten Island, New York. Davis is alleged to have made approximately \$57,000 in illegal trading profits.
- \* Josephine DeCicco, was at the time a private school teacher. She resides in Staten Island, New York. She is alleged to have made approximately \$48,000 in illegal trading profits.
- \* Lawrence DeMonte, is an executive of a family-owned grocery store in Staten Island, New York. DeMonte resides in Staten Island. He is alleged to have made approximately \$48,000 in illegal trading profits.
- \* Carmine DeSantis, is an attorney-at-law and a partner in a two-lawyer firm in Staten Island, New York. DeSantis is admitted to the bars of the states of New York and New Jersey and resides in Staten Island. He is alleged to have made approximately \$16,000 in illegal trading profits.
- \* Ronald DeTommaso, is a stock broker at Russo Securities, Inc., a Staten Island brokerage firm, and resides in Newark, New Jersey. He is alleged to have made approximately \$5,900 in illegal trading profits.
- \* Michael Paul Green, at all relevant times was a salesman for a paging communications company. Green resides in Edison, New Jersey. He is alleged to have made approximately \$17,900 in illegal trading profits and is charged with causing one other person to trade.
- \* Isaac Lederman, at all relevant times was the owner and operator of an egg, butter and dairy wholesaling business based in Bayonne, New Jersey. Lederman resides in Morganville, New Jersey. He is alleged to have made approximately \$47,500 in illegal trading profits from trading in his brother's brokerage

account and is charged with tipping his brother and one other person.

- \* Samuel Gary Lederman, is a stock broker and resides in Upper Saddle River, New Jersey. He is the brother of Isaac Lederman and is alleged to have made approximately \$47,500 in illegal trading profits.
- \* P. Gerard Mazzone (a/k/a Jerry Mazzone), is employed as a service technician for a manufacturer of computer printing equipment. He resides in Staten Island, New York. He is alleged to have made approximately \$28,000 in illegal trading profits and is charged with tipping four others.
- \* Peter G. Mazzone, owns and operates a delicatessen in Staten Island, New York, where he also resides. He is the cousin of Jerry Mazzone, allegedly made approximately \$84,000 in illegal profits and is charged with tipping four others.
- \* John J. Melville, at all relevant times was a bank consultant and resided in Spotswood, New Jersey. He is alleged to have made approximately \$116,000 in illegal trading profits.
- \* Joseph Petrosino, is a service technician for a manufacturer of laser computer printing equipment. Petrosino resides in Brooklyn, New York. He is alleged to have made approximately \$48,000 in illegal trading profits.
- \* James Vincent Ribellino, Jr., is the president and part owner, along with two other defendants, of a business in Brooklyn, New York, that prepares, prints and mails direct mail marketing materials. Ribellino resides in Staten Island, New York. He is alleged to have traded and allowed two others to trade in his brokerage account for a total illegal profit of approximately \$83,000.
- \* Jonah Rosenblatt, was at the time a stock broker at Russo Securities. Rosenblatt resides in Lawrence, New York. The complaint alleges that he caused six of his customers to trade, realizing approximately \$468,000 in illegal trading profits.
- \* Diane Russo Scipioni, is also a stock broker at Russo Securities. At the time of the illegal trading, Scipioni resided in Staten Island, New York. She is alleged to have made approximately \$3,000 in illegal trading profits.
- \* Ralph Serpe, operates and partially owns, along with Ribellino and one other defendant, a business in Brooklyn, New York, that prepares, prints and mails direct mail marketing materials. Serpe resides in East Northport, New York. He is alleged to have traded through Ribellino's account along with one other person making a joint illegal profit of approximately \$83,000.



- \* Gary Spierer, is a medical doctor specializing in obstetrics and gynecology. Spierer practices and resides in Staten Island, New York. He is alleged to have made approximately \$57,000 in illegal trading profits and is charged with tipping one other person.
- \* Claudio Spinelli, is employed as an electrical engineer. C. Spinelli resides in Staten Island, New York. He is alleged to have made approximately \$37,000 in illegal trading profits.
- \* Domenico Spinelli, owns and operates with a pizzeria in Staten Island, New York, where he also resides. He is alleged to have made approximately \$49,000 in illegal trading profits and is charged with tipping his brother, Claudio Spinelli.
- \* Dorinda Marie Tribiano, is a stock broker at Russo Securities, where she serviced an account maintained by P. Mazzone. Tribiano resides in Staten Island, New York. She is alleged to have made approximately \$3,000 in illegal profits and is charged with tipping three others.
- \* Gerald Wells, operates and partially owns, along with Ribellino and Serpe, a business in Brooklyn, New York, that prepares, prints and mails direct mail marketing materials. Wells resides in Port Washington, New York. He is alleged to have traded through Ribellino's account along with Serpe making a joint illegal profit of approximately \$83,000.

The Commission coordinated its investigation with that of the Securities and Commodities Fraud Task Force in the Office of the United States Attorney for the Southern District of New York. The Commission also acknowledges the assistance provided by the American Stock Exchange, the New York Stock Exchange and NASDR, Inc.

This complaint is the second arising out of the Lotus investigation. On April 16, 1998, the Commission charged Robert Scott, a former employee of Chase Manhattan Bank, with trading on inside information concerning IBM's takeover of Lotus. Scott settled the case paying disgorgement of \$12,267, plus prejudgment interest and a penalty of \$12,267 (SEC v. Robert G. Scott, Jr., C.A. No. 98-CV-2712, Stanton, SDNY; LR-15078). [SEC v. Lorraine K. Cassano, et al., Civil Action No. 99-CV-3822, SDNY] (LR-16161)

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#### INVESTMENT COMPANY ACT RELEASES

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#### KEMPER FLOATING RATE FUND, ET AL.

An order has been issued on an application filed by Kemper Floating Rate Fund, et al. for an exemption from Sections 18(c) and 18(i) of the Investment Company Act, Rule 23c-3 under the Act, and pursuant to Section 17(d) of the Act and Rule 17d-1 under the Act. The order permits certain registered closed-end investment companies to issue

multiple classes of shares and impose asset-based distribution fees and early withdrawal charges. (Rel. IC-23846 - May 24)

#### **BRANTLEY CAPITAL CORPORATION**

An order has been issued under Section 8(f) of the Investment Company Act declaring that the registration of Brantley Capital Corporation, a business development company, has ceased to be in effect as of October 30, 1996. (Rel. IC-23847 - May 25)

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#### **SELF-REGULATORY ORGANIZATIONS**

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#### **PROPOSED RULE CHANGES**

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-99-03) relating to option exercise procedures. Publication of the proposal is expected in the Federal Register during the week of May 24. (Rel. 34-41435)

The American Stock Exchange filed a proposed rule change (SR-AMEX-99-15) relating to the listing and trading of notes and warrants on the 10 Uncommon Values Index of Lehman Brothers Inc. Publication of the proposal is expected in the Federal Register during the week of May 24. (Rel. 34-41436)

#### **ACCELERATED TEMPORARY APPROVAL OF PROPOSED RULE CHANGE**

The Commission granted accelerated approval of a proposed rule change (SR-DTC-99-03) filed by The Depository Trust Company. The proposed rule change implements a pending transfer account which will facilitate the use of collateral in certain financing transactions. Publication of the proposal is expected in the Federal Register during the week of May 24. (Rel. 34-41437)

#### **APPLICATION FOR EXCHANGE REGISTRATION**

The International Securities Exchange filed its Form 1 application seeking to register as a national securities exchange under Section 6 of the Securities Exchange Act of 1934. The Commission is now requesting comment. Publication of the proposal is expected in the Federal Register during the week of May 24. (Rel. 34-41439)

#### **DELISTING GRANTED**

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Cotton Valley Resources Corporation, Common Stock, without par value. (Rel. 34-41452)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 WIRELESS ONE INC, 2506 LAKELAND DRIVE, JACKSON, MS 39208 (504) 926-7778  
- 400,000 (\$1,062,500) COMMON STOCK. (FILE 333-78741 - MAY. 19) (BR. 7)
- S-8 800 TRAVEL SYSTEMS INC, 4802 GUNN HIGHWAY, TAMPA, FL 33624  
(913) 908-0903 - 250,000 (\$1,408,750) COMMON STOCK (FILE 333-78745 -  
MAY. 19) (BR. 9)
- S-8 CIRCLE INTERNATIONAL GROUP INC /DE/, 260 TOWNSEND ST, SAN FRANCISCO, CA  
94107 (415) 978-0600 - 250,000 (\$5,382,500) COMMON STOCK. (FILE 333-78747  
- MAY. 19) (BR. 5)
- S-1 U S INTERACTIVE INC/PA, 2012 RENAISSANCE BLVD., KING OF PRUSSIA, PA  
19406 (610) 313-9700 - \$65,000,000 COMMON STOCK (FILE 333-78751 -  
MAY. 19) (BR. 8 - NEW ISSUE)
- S-8 MICRO LINEAR CORP /CA/, 2092 CONCOURSE DRIVE, SAN JOSE, CA 95131  
(408) 433-5200 - 988,720 (\$3,027,955) COMMON STOCK. (FILE 333-78753 -  
MAY. 19) (BR. 5)
- S-8 EAT AT JOES LTD, 1912 SANTIAGO DR, NEWPORT BEACH, CA 92660  
(914) 725-2700 - 100,000 (\$100,000) COMMON STOCK. (FILE 333-78757 -  
MAY. 19) (BR. 5)
- S-8 COMSAT CORP, 6560 ROCK SPRING DR, BETHESDA, MD 20817 (301) 213-3000 -  
250,000 (\$8,250,000) COMMON STOCK. (FILE 333-78759 - MAY. 19) (BR. 7)
- S-8 COCA COLA CO, ONE COCA COLA PLAZA, ATLANTA, GA 30313 (404) 676-2121 -  
120,000,000 (\$7,897,500,000) COMMON STOCK. (FILE 333-78763 - MAY. 19)  
(BR. 2)
- S-8 IDEXX LABORATORIES INC /DE, ONE IDEXX DR, WESTBROOK, ME 04092  
(207) 856-0300 - 700,000 (\$12,005,617) COMMON STOCK. (FILE 333-78765 -  
MAY. 19) (BR. 1)
- S-8 ECC INTERNATIONAL CORP, 2001 WEST OAK RIDGE ROAD, ORLANDO, FL 32839  
(407) 859-7410 - 360,000 (\$1,035,000) COMMON STOCK (FILE 333-78767 -  
MAY. 19) (BR. 5)
- S-8 IDEXX LABORATORIES INC /DE, ONE IDEXX DR, WESTBROOK, ME 04092  
(207) 856-0300 - 80,000 (\$2,025,000) COMMON STOCK. (FILE 333-78769 -  
MAY. 19) (BR. 1)
- S-8 PIONEER GROUP INC, 60 STATE ST, 19TH FLOOR, BOSTON, MA 02109  
(800) 821-1239 - 1,500,000 (\$26,625,000) COMMON STOCK. (FILE 333-78771 -  
MAY. 19) (BR. 7)

S-4 FIRST PREMIER FINANCIAL CORP, 13004 STARBUCK ROAD, ST LOUIS, MO 63141  
(314) 514-8491 - 1,100,000 (\$4,464,134) COMMON STOCK. (FILE 333-78773 -  
MAY. 19) (BR. 7)

S-3 MEDAPHIS CORP, 2840 MT WILKINSON PARKWAY, SUITE 300, ATLANTA, GA 30339  
(770) 444-5300 - 5,000,000 (\$18,906,250) COMMON STOCK. (FILE 333-78775 -  
MAY. 19) (BR. 1)

S-8 TRANSCEND SERVICES INC, 3353 PEACHTREE RD NE STE 1000, ATLANTA, GA 30326  
(404) 364-8000 - 750,000 (\$1,242,188) COMMON STOCK. (FILE 333-78777 -  
MAY. 19) (BR. 1)

S-8 AMB PROPERTY CORP, 505 MONTGOMERY STREET, SAN FRANCISCO, CA 94111  
(415) 394-9000 - 3,200,000 (\$71,500,000) COMMON STOCK (FILE 333-78779 -  
MAY. 19) (BR. 8)

S-1 LIBERATE TECHNOLOGIES, 1000 BRIDGE PARKWAY, C/O NETWORK COMPUTER INC,  
REDWOOD SHORES, CA 94065 (650) 631-4600 - 100,000,000 (\$100,000,000)  
COMMON STOCK. (FILE 333-78781 - MAY. 19) (BR. 3 - NEW ISSUE)

S-8 OPTEL INC, 1111 W MOCKINGBIRD LANE, SUITE 1000, DALLAS, TX 75247  
(214) 634-3800 - 4,062,635 (\$69,064,795) COMMON STOCK. (FILE 333-78791 -  
MAY. 19) (BR. 7)

S-3 REGIS CORP, 7201 METRO BLVD, MINNEAPOLIS, MN 55439 (612) 947-7000 -  
620,705 (\$15,312,792) COMMON STOCK. (FILE 333-78793 - MAY. 19) (BR. 8)

S-8 MISONIX INC, 1938 NEW HIGHWAY, FARMINGDALE, NY 11747 (516) 694-9555 -  
500,000 (\$3,115,150) COMMON STOCK. (FILE 333-78795 - MAY. 19) (BR. 5)

S-3 AMAZON COM INC, 1200 12TH AVENUE S SUITE 1200, SEATTLE, WA 98144  
(206) 266-1000 (FILE 333-78797 - MAY. 19) (BR. 2)

S-3 FARMERS NATIONAL BANC CORP /OH/, 20 S BROAD STREET, P O BOX 555,  
CANFIELD, OH 44406 (216) 533-3341 - 300,000 (\$11,550,000) COMMON STOCK.  
(FILE 333-78799 - MAY. 19) (BR. 7)

S-4 OLD KENT FINANCIAL CORP /MI/, ONE VANDENBERG CTR, 111 LYON ST NW,  
GRAND RAPIDS, MI 49503 (616) 771-5000 - 5,389,935 (\$228,339,296.55)  
COMMON STOCK. (FILE 333-78801 - MAY. 19) (BR. 7)

S-4 ENTERTAINMENT PROPERTIES TRUST, ONE KANSAS CITY PLACE,  
1200 MAIN STREET SUITE 3250, KANSAS CITY, MO 64105 (816) 472-1700 -  
5,000,000 (\$94,850,000) COMMON STOCK. (FILE 333-78803 - MAY. 19) (BR. 8)

S-8 GREAT ATLANTIC & PACIFIC TEA CO INC, 2 PARAGON DR, MONTVALE, NJ 07645  
(201) 573-9700 - 5,000,000 (\$158,906,250) COMMON STOCK. (FILE 333-78805 -  
MAY 19) (BR 2)

S-8 GALEY & LORD INC, 980 AVENUE OF THE AMERICA, NEW YORK, NY 10018  
(212) 465-3000 - 500,000 (\$2,031,250) COMMON STOCK. (FILE 333-78809 -  
MAY 19) (BR. 2)

S-8 TRUSTCO BANK CORP N Y, 192 ERIE BLVD, SCHENECTADY, NY 12305  
(518) 377-3311 - 1,175,000 (\$32,970,500) COMMON STOCK. (FILE 333-78811 -  
MAY. 19) (BR. 7)

S-1 DRUGSTORE COM INC, 13920 SOUTHEAST EASTGATE SUITE 300, BELLEVUE, WA  
98005 (425) 881-5131 - \$67,500,000 COMMON STOCK. (FILE 333-78813 -  
MAY. 19) (NEW ISSUE)

S-8 ONTRO INC, 12765 DANIELSON COURT STE 401, POWAY, CA 92064 - 1,548,065  
(\$4,257,179) COMMON STOCK. (FILE 333-78817 - MAY. 19) (BR. 9)

S-4 BB&T CORP, 200 WEST SECOND STREET, WINSTON-SALEM, NC 27101  
(336) 733-2000 - 3,352,846 (\$134,113,840) EQUIPMENT TRUST CERTIFICATES.  
(FILE 333-78819 - MAY. 19) (BR. 7)

S-3 UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134  
(408) 434-1800 - 35,776,000 (\$4,872,333,440) COMMON STOCK. (FILE  
333-78821 - MAY. 19) (BR. 5)

S-8 XOOM INC, 300 MONTGOMERY STREET, SUITE 300, SAN FRANCISCO, CA 94104  
(415) 445-2525 - 71,627 (\$1,368,835.39) COMMON STOCK. (FILE 333-78823 -  
MAY 19) (BR. 2)

S-8 NEXTERA ENTERPRISES INC, ONE CRANBERRY HILL, LEXINGTON, MA 02421  
(781) 778-4400 - 6,993,537 (\$58,609,030) COMMON STOCK. (FILE 333-78825 -  
MAY. 19) (BR. 8)

S-1 COVAD COMMUNICATIONS GROUP INC, 2330 CENTRAL EXPRESSWAY, 300,  
SANTA CLARA, CA 95050 - 8,625,000 (\$465,922,500) COMMON STOCK. (FILE  
333-78827 - MAY. 19) (BR. 7)

S-1 BIOPURE CORP, 11 HURLEY ST, CAMBRIDGE, MA 02141 (617) 234-6500 -  
\$86,250,000 COMMON STOCK. (FILE 333-78829 - MAY. 19) (BR. 20)

S-8 PHARMACIA & UPJOHN INC, 95 CORPORATE DR, BRIDGEWATER, NJ 08807  
(908) 306-4400 - 4,000,000 (\$208,376,000) COMMON STOCK. (FILE 333-78833 -  
MAY. 20) (BR. 1)

S-4 BB&T CORP, 200 WEST SECOND STREET, WINSTON-SALEM, NC 27101  
(336) 733-2000 - 6,657,509 (\$322,056,998) COMMON STOCK (FILE 333-78835 -  
MAY 19) (BR. 7)

S-8 RIGHT START INC /CA, 5388 STERLING CENTER DR, WESTLAKE VILLAGE, CA 91361  
(818) 707-7100 - 12,500 (\$94,531.25) COMMON STOCK. (FILE 333-78837 -  
MAY. 20) (BR. 2)

S-1 SAMSONITE CORP/FL, 11200 EAST 45TH AVENUE, DENVER, CO 53141  
(303) 373-2000 - \$49,590,000 COMMON STOCK (FILE 333-78839 - MAY. 20)  
(BR. 2)

S-3 ACE LTD, ACE BLDG, P O BOX HM 1015, HAMILTON HM 08 BERMU, DO  
(809) 295-5200 (FILE 333-78841 - MAY. 20) (BR. 1)

S-3 HOUSTON EXPLORATION CO, 1100 LOUISIANA STREET, SUITE 2000, HOUSTON, TX  
77002 (713) -83-0-68 (FILE 333-78843 - MAY 20) (BR. 4)

S-1 ALLIANCE RESOURCE PARTNERS LP, 1717 SOUTH BOULDER AVENUE, TULSA, OK  
74119 (918) 295-7600 - \$220,327,968 COMMON STOCK. (FILE 333-78845 -  
MAY. 20) (NEW ISSUE)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events.

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
ABN AMRO MORTGAGE CORP	DE	X									05/25/99	
ABN AMRO MORTGAGE CORP SERIES 1998- 2	DE	X									05/25/99	
ABN AMRO MORTGAGE CORP SERIES 1998- 3	DE	X									05/25/99	
ABN AMRO MORTGAGE CORP SERIES 1998- 4	DE	X									05/25/99	
ABN AMRO MORTGAGE CORP SERIES 1998- 5	DE	X									05/25/99	
ABN AMRO MORTGAGE CORP SERIES 1999- 1	DE	X									05/25/99	
ABN AMRO MORTGAGE CORP SERIES 1999- 2	DE	X									05/25/99	
ABN AMRO MORTGAGE CORP SERIES 1999- 3	DE	X									05/25/99	
ACRODYNE COMMUNICATIONS INC	DE			X	X						05/24/99	AMEND
ADVANCED LIGHTING TECHNOLOGIES INC	OH			X							05/17/99	
AK STEEL HOLDING CORP	DE			X	X						05/21/99	
ALABAMA POWER CO	AL			X	X						05/19/99	
ALL AMERICAN SEMICONDUCTOR INC	DE			X	X						05/24/99	
ALLIANCE IMAGING INC /DE/	DE		X		X						05/13/99	
ALLSTATE FINANCIAL CORP /VA/	VA			X							06/30/99	
APACHE CORP	DE			X	X						04/29/99	AMEND
ARMSTRONG WORLD INDUSTRIES INC	PA			X	X						05/14/99	
ARXA INTERNATIONAL ENERGY INC	DE	X		X							05/07/99	
ATLANTIC PREMIUM BRANDS LTD	DE			X	X						05/13/99	
AUTOINFO INC	DE			X	X						05/25/99	
AVIS RENT A CAR INC	DE	X									05/31/99	
BIO MEDICAL AUTOMATION INC	CO		X	X	X						03/09/99	AMEND
BIOSPHERICS INC	DE			X							05/18/99	
BISHOP EQUITIES INC	NV				X						03/10/99	AMEND
BRAZOS SPORTSWEAR INC /DE/	DE			X	X						05/19/99	
BSB BANCORP INC	DE			X	X						05/24/99	
C COR ELECTRONICS INC	PA			X	X						05/24/99	
CAPCO AMERICA SEC CORP COMM MORT PA SS THROU CERT SER 1998-D7	DE			X	X						05/17/99	
CAPRIUS INC	DE				X						05/25/99	AMEND
CARNEGIE INTERNATIONAL CORP	CO	X									12/31/98	
CD RADIO INC	DE			X							05/18/99	
CELTIC INVESTMENT INC	DE			X							05/25/99	
CENCOR INC	DE			X							05/18/99	AMEND
CENDANT CORP	DE			X	X						05/25/99	
CENTRAL EUROPEAN DISTRIBUTION CORP	DE			X							05/24/99	
CHASE MORTGAGE FINANCE CORP	DE			X	X						05/24/99	
CHECKFREE HOLDINGS CORP \GA\	DE			X	X						05/24/99	
CHESAPEAKE BIOLOGICAL LABORATORIES INC	MD			X	X						05/24/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-2	MD			X							04/30/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-1	MD			X							04/30/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1	MD			X							04/30/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2	MD			X							04/30/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD			X							04/30/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD			X							04/30/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD					X					04/30/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD					X					04/30/99	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD					X					04/30/99	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD					X					04/30/99	
CITICORP MORTGAGE SECURITIES INC	DE					X					05/21/99	
CLARITI TELECOMMUNICATIONS INTERNAT IONAL LTD	DE		X								05/24/99	
CLAYTON HOMES INC	DE					X	X				05/24/99	
COMMERCIAL MORTGAGE PASS THRU CERT SERIES 1999 C1	DE					X	X				05/20/99	
COMPUCOM SYSTEMS INC	DE		X				X				05/10/99	
COMPUTER NETWORK TECHNOLOGY CORP	MN					X	X				05/13/99	
CREDENCE SYSTEMS CORP	DE					X					05/24/99	
CROSS TIMBERS OIL CO	DE		X				X				04/08/99	AMEND
CWABS INC	DE					X	X				05/19/99	
DADE BEHRING INC	DE					X	X				05/21/99	
DANKA BUSINESS SYSTEMS PLC						X	X				05/19/99	
DAUGHERTY RESOURCES INC						X	X				05/24/99	
DESIGNS INC	DE					X	X				05/19/99	
DEUTSCHE RECREATIONAL ASSET FUNDING CORP	NV					X	X				05/24/99	
DIVOT GOLF CORP	DE						X				05/21/99	
DLJ COMMERCIAL MORT COMM MORT PASS THR CER SER 1999-CG1	DE							X			05/10/99	
DM MANAGEMENT CO /DE/ DRIVINGAMERICA COM INC	CO	X	X	X			X				05/25/99	
ELAMEX SA DE CV						X					04/30/99	AMEND
ENTEX INFORMATION SERVICES INC	DE		X				X				05/11/99	
EQUITY INNS INC	TN						X	X			05/07/99	
EXCEL SWITCHING CORP	MA		X					X			05/10/99	
FEDERAL REALTY INVESTMENT TRUST	DC					X	X				05/21/99	
FIRST COMMONWEALTH INC	DE					X	X				05/19/99	
FIRST MARYLAND BANCORP	MD							X			05/25/99	
FIRST MIDWEST FINANCIAL INC	DE					X	X				05/24/99	
FIRST NILES FINANCIAL INC						X	X				05/21/99	
FIRST SECURITY AUTO OWNER TRUST 199 9-2	UT					X					05/24/99	
FIRST TARGET ACQUISITION INC	NV							X			04/29/99	AMEND
FIRST UNION CORP	NC					X	X				05/25/99	
FLEET CREDIT CARD MASTER TRUST II	NY		X								05/17/99	AMEND
FOX ENTERTAINMENT GROUP INC	DE					X					05/20/99	
FRANKLIN RECEIVABLES LLC	DE					X	X				05/20/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ					X	X				05/24/99	
GLACIER BANCORP INC	DE					X	X				05/19/99	
GLENAYRE TECHNOLOGIES INC	DE					X	X				05/19/99	
GOLD BANC CORP INC	KS					X					05/25/99	
GREENPOINT CREDIT CORP	DE					X	X				05/21/99	
GREENPOINT FINANCIAL CORP	DE					X	X				05/21/99	
GROUP 1 AUTOMOTIVE INC	DE					X	X				05/12/99	
HEALTHCON CORP	DE		X					X			05/20/99	
HIGH COUNTRY BANCORP INC	CO					X	X				05/24/99	
HONDA AUTO RECEIVABLES 1997-B GRANT OR TRUST	CA					X					04/30/99	
HPSC INC	DE					X	X				04/26/99	
HUDSON RIVER BANCORP INC	DE					X	X				05/17/99	
HUDSON UNITED BANCORP	NJ					X	X				05/20/99	
IMAGINON INC /DE/	DE							X			03/08/99	AMEND
IMAGYN MEDICAL TECHNOLOGIES INC	DE		X			X	X				05/18/99	
INDEPENDENT BANK CORP /MI/	MI					X	X				05/18/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
INVESTORS REAL ESTATE TRUST	ND				X						05/22/99	
KIDEO PRODUCTIONS INC	DE				X	X					05/11/99	
LOEHMANN'S INC	DE				X	X					05/25/99	
LONG BEACH FINANCIAL CORP	DE				X	X					05/18/99	
MACK CALI REALTY CORP	MD				X	X					05/21/99	
MALLON RESOURCES CORP	CO	X									05/21/99	
MARINE SHUTTLE OPERATIONS INC					X		X				05/17/99	
MARKETING SERVICES GROUP INC	NV	X									05/24/99	
MARRIOTT RESIDENCE INN II LIMITED P ARTNERSHIP	DE			X							05/18/99	
MARRIOTT RESIDENCE INN LIMITED PART NERSHIP	DE			X							03/31/99	
MEDCARE TECHNOLOGIES INC	DE				X		X				05/17/99	AMEND
MEDIMMUNE INC /DE	DE	X									05/21/99	
MEDIMMUNE INC /DE	DE	X									05/21/99	
MEXICAN RESTAURANTS INC	TX				X	X					05/24/99	
MMCA AUTO OWNER TRUST 1997-1	DE						X				04/30/99	
MMCA AUTO OWNER TRUST 1998-1							X				04/30/99	
MMCA AUTO OWNER TRUST 1999-1	DE						X				04/30/99	
MORAN TRANSPORTATION CO	DE				X	X					05/25/99	
MORGAN STANLEY ABS CAPITAL I INC	DE				X	X					05/25/99	
MOTORCAR PARTS & ACCESSORIES INC	NY				X						05/24/99	
MTI TECHNOLOGY CORP	DE				X	X					05/20/99	
NAC RE CORP	DE				X	X					05/21/99	
NATIONAL AUTO CREDIT INC /DE	DE				X	X					04/22/99	
NATIONAL BANKSHARES INC	VA				X						05/24/99	
NATIONSLINK FUNDING CORP	DE				X	X					05/25/99	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	DE	X									05/22/99	
NDC AUTOMATION INC	DE				X						05/17/99	
NOMURA ASSET SECURITIES CORP SERIES 1998-D6	DE				X	X					05/15/99	
NORWEST FINANCIAL INC	IA		X								03/05/99	AMEND
NRG ENERGY INC	DE				X	X					05/20/99	
NUCLEUS INC	NV				X	X					05/17/99	
NUTRAMAX PRODUCTS INC /DE/	DE				X	X					05/18/99	
ORBITAL SCIENCES CORP /DE/	DE		X			X					05/07/99	
PAINWEBBER EQUITY PARTNERS ONE LTD PARTNERSHIP	VA		X			X					05/14/99	
PAINWEBBER MORTGAGE ACCEPTANCE COR PORATION IV	DE				X	X					05/24/99	
PATRIOT AMERICAN HOSPITALITY INC/DE	DE				X	X					03/26/99	AMEND
PHILADELPHIA SUBURBAN CORP	PA				X						05/24/99	
PHOTOGEN TECHNOLOGIES INC	NV				X	X					05/19/99	
PLAYTEX PRODUCTS INC	DE				X	X					05/13/99	
PP&L INC	PA				X						05/24/99	
PP&L RESOURCES INC	PA				X						05/24/99	
PRIDE INTERNATIONAL INC	LA				X	X					05/21/99	
PRISON REALTY TRUST INC	MD				X	X					05/17/99	
PROMUS HOTEL CORP/DE/	DE				X	X					05/25/99	
PROPERTY CAPITAL TRUST	MA				X	X					05/24/99	
QLOGIC CORP	DE				X	X					05/20/99	
RANDERS KILLAM GROUP INC	DE				X	X					05/24/99	
REALTY INCOME CORP	MD				X	X					05/24/99	
REDWOOD EMPIRE BANCORP	CA				X						05/25/99	
REGAN HOLDING CORP	CA	X									05/24/99	
RELIANCE BANCORP INC	DE				X						05/19/99	
RESIDENTIAL ACCREDIT LOANS INC	DE				X	X					05/25/99	
RESORTQUEST INTERNATIONAL INC	DE				X	X					05/24/99	
ROHN INDUSTRIES INC	DE				X	X					05/24/99	



NAME OF ISSUER	STATE		8K ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
SABRATEK CORP	DE					X						05/19/99	
SAC TECHNOLOGIES INC	MN					X						05/24/99	
SAFEGUARD SCIENTIFICS INC ET AL	PA		X				X					05/10/99	
SANTA FE SNYDER CORP	DE							X				05/05/99	AMEND
SIMPSON MANUFACTURING CO INC /CA/	CA					X						12/31/99	
SITE TECHNOLOGIES INC	CA					X						05/18/99	
STONE ENERGY CORP	DE						X					05/24/99	
STRUCTURED ASSET SEC CORP MORT PASS	DE					X	X					05/21/99	
THR CERT SER 1999-SPI													
SURGILIGHT INC			X									05/24/99	AMEND
SYNAPTIC PHARMACEUTICAL CORP	DE		X									05/23/99	
TAL WIRELESS NETWORKS INC	DE			X			X					04/30/99	
TANDY CORP /DE/	DE					X						05/20/99	
TANDY CORP /DE/	DE					X						05/25/99	
TCF FINANCIAL CORP	DE					X	X					05/11/99	
TCI MUSIC INC	DE					X	X					05/21/99	
TECHNOLOGY 80 INC	MN					X	X					05/25/99	
TEXAS INSTRUMENTS INC	DE					X						05/23/99	
THERMEDICS INC	DE					X	X					05/24/99	
THERMO ECOTEK CORP	DE					X	X					05/24/99	
THERMO ELECTRON CORP	DE					X	X					05/24/99	
THERMO INSTRUMENT SYSTEMS INC	DE					X	X					05/24/99	
THERMO POWER CORP	MA					X	X					05/24/99	
THERMO TERRATECH INC	DE					X	X					05/24/99	
THERMO VISION CORP	DE					X	X					05/24/99	
THERMOLASE CORP	DE					X	X					05/24/99	
THERMORETEC CORP	DE					X	X					05/24/99	
THERMOSPECTRA CORP	DE					X	X					05/21/99	
THERMOTREX CORP	DE					X	X					05/24/99	
TIREX CORP	DE					X	X					05/10/99	
TOUCHSTONE SOFTWARE CORP /CA/	DE		X				X					03/08/99	AMEND
TREDEGAR INDUSTRIES INC	VA			X								05/17/99	
TREX CO INC	DE				X	X						05/25/99	
U S TRUCKING INC	CO		X				X					05/03/99	
UACSC 1998-C AUTO TRUST	NY					X	X					05/10/99	
UACSC 1998-D AUTO TRUST	NY					X	X					05/10/99	
UACSC 1999 A AUTO TRUST	DE					X	X					05/10/99	
UNITED AUTO GROUP INC	DE				X		X					05/19/99	
VANDERBILT MORTGAGE & FINANCE INC	TN					X	X					05/24/99	
WASTE SYSTEMS INTERNATIONAL INC	DE		X									03/11/99	AMEND
WATERPUR INTERNATIONAL INC	DE		X	X			X					05/10/99	
WEATHERFORD INTERNATIONAL INC /NEW/	DE					X	X					05/21/99	
WESTAR FINANCIAL SERVICES INC/WA/	WA				X		X					03/11/99	AMEND
WESTERN GAS RESOURCES INC	DE		X									05/11/99	
WYNDHAM INTERNATIONAL INC	DE				X	X						03/26/99	AMEND
XECHEM INTERNATIONAL INC	DE				X	X						04/12/99	AMEND
XOX CORP	DE				X	X						05/18/99	