

SEC NEWS DIGEST

Issue 99-84

May 3, 1999

COMMISSION ANNOUNCEMENTS

SEC HALTS TRADING IN DCI TELECOMMUNICATIONS, INC.

The Commission announced the temporary suspension pursuant to Section 12(k) of the Securities Exchange Act of 1934 of over-the-counter trading of the securities of DCI Telecommunications, Inc. (DCI) of Stratford, Connecticut at 9:30 a.m. on May 3, 1999, and terminating at 11:59 p.m. on May 14, 1999. The Commission temporarily suspended trading in the securities of DCI because of questions that have been raised concerning the accuracy and adequacy of DCI's financial statements, specifically, DCI's apparent inflation of revenues by accounting for one or more business combinations as a pooling of interests. (Rel. 34-41358)

JAMES KELLY ASSUMES DUTIES AS ADMINISTRATIVE LAW JUDGE

On April 12, James Thomas Kelly assumed the duties of administrative law judge at the U.S. Securities and Exchange Commission. Previously, Judge Kelly served as an administrative law judge at the Social Security Administration and as Assistant General Counsel at the Commodity Futures Trading Commission.

Judge Kelly received his A.B. in economics, cum laude, from Georgetown University in 1969 and his J.D. from the University of Pennsylvania Law School in 1975.

REPORT ON ADMINISTRATIVE PROCEEDINGS FOR THE PERIOD OCTOBER 1, 1998 THROUGH MARCH 31, 1999

A Report on Administrative Proceedings for the Period October 1, 1998, through March 31, 1999, has been issued giving summary statistical information on the Commission's administrative proceedings caseload. Reports are issued each October and April and are published in the SEC Docket and appear on the Commission's website. (Rel. 34-41352)

RULES AND RELATED MATTERS

BROKER-DEALER REGISTRATION AND REPORTING - FORM BD

The Securities and Exchange Commission is proposing technical amendments to Form BD, the uniform broker-dealer registration form, and related rules under the Securities Exchange Act of 1934. The proposed amendments would adapt Form BD to the new, Internet-based Central Registration Depository (CRD) system, known as "Web CRD." The CRD is operated and maintained by the National Association of Securities Dealers, Inc. and is used by the Commission, self-regulatory organizations, and state securities regulators to register and license broker-dealers and their registered personnel.

Web CRD is expected to further streamline the "one stop" registration process for broker-dealers and their associated persons. It is also expected to improve access by regulators and the public to specific registrant disciplinary and disclosure information. (Rel. 34-41351; File No. S7-16-99)

ENFORCEMENT PROCEEDINGS

RODD BUCKLE OF LAS VEGAS, NEVADA IS BARRED FROM PENNY STOCK OFFERINGS

The Commission issued an Order Instituting Public Proceedings Pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934, Making Findings, and Imposing Remedial Sanctions (Order) against Rodd Buckle (Buckle) barring him from participating in an offering of penny stock. The Order is based upon the entry of a permanent injunction against him on April 22, 1999, arising out of the Commission's civil action against Buckle and other defendants and relief defendants. Buckle consented to the Order without admitting or denying the Commission's findings.

The Commission alleged in its complaint that Buckle participated in the sale of unregistered securities by Maven Capital Corporation and Maven Enterprises, Inc., which Buckle controlled, while making material misrepresentations as to the planned use of proceeds from those sales. The defendants fraudulently raised at least \$3 million. Buckle spent most of these proceeds to support his lavish lifestyle. (Rel. 34-41349; File No. 3-9885)

SUMMARY JUDGMENT GRANTED IN INTERNATIONAL TRADING SCHEME THAT DEFRAUDED OVER 1,400 INVESTORS

On April 23, after issuing his findings of fact and conclusions of law on March 22, Walter S. Smith, United States District Judge for the Western District of Texas, granted the Commission's motion for summary judgment and entered a Final Judgment against Scott L. Klion

individually and doing business as Cen-Tex Alchemy Guild, and Elizabeth Alaniz. Judge Smith found, among other things, that offering materials, newsletters and account statements prepared by Klion and Alaniz, and used by them in the offer and sale of Cen-Tex securities, were rife with false, misleading or incomplete statements of material fact. He also found that a significant portion of the money the defendants raised from investors was spent on "Ponzi" payments to other investors, and for Klion's and Alaniz's personal expenses.

In its summary judgment motion, the Commission demonstrated that Klion and Alaniz were responsible for an international investment scheme that defrauded over 1,400 victims of nearly \$3 million. The Commission further established that the defendants falsely represented to investors that Cen-Tex would invest their money in currency trading programs, and other undisclosed investment activities, that would generate gross returns of 400% per annum (or more, in limited circumstances), and that investors would receive "safe, guaranteed" returns -- payable in monthly dividends, or allowed to accrue and compound -- ranging from 80% to 200% annually. In reality, the trading programs did not exist, and Klion and Alaniz used funds from current investors to pay purported monthly "dividends" to prior investors in an obvious "Ponzi" scheme, and for their own purposes.

The Final Judgment permanently enjoins Klion and Alaniz from future violations of the antifraud provisions of the federal securities laws. The Court also terminated Klion's and Alaniz's right, title or interest in any funds or properties held by the court-appointed receiver; ordered Klion and Alaniz, jointly and severally, to pay disgorgement in the amount of \$1,172, 759.21, plus prejudgment interest of \$119,815.21, and assessed a \$110,000 civil penalty against each defendant. [SEC v. Scott L. Klion, individually and d/b/a Cen-Tex Alchemy Guild, and Elizabeth Alaniz, W98CA186, USDC, WD/TX (Waco Division) (LR-16129)]

INVESTMENT COMPANY ACT RELEASES

BANKERS TRUST COMPANY, ET AL.

A notice has been issued giving interested persons until May 24 to request a hearing on an application filed by Bankers Trust Company, et al. for an order exempting applicants from Section 15(a) of the Investment Company Act. The order would permit the implementation, without prior shareholder approval, of new investment advisory and subadvisory agreements in connection with the merger of Bankers Trust Corporation and Deutsche Bank AG. The order would cover a period of up to 150 days following the later of the date the merger is consummated or the date the requested order is issued, but in no event later than November 30, 1999. (Rel. IC-23817 - April 29)

EATON VANCE MANAGEMENT, ET AL.

An order has been issued on an application filed by Eaton Vance Management, et al. pursuant to Section 17(d) of the Investment Company Act and Rule 17d-1 under the Act. The order permits certain registered closed-end management investment companies to impose asset-based distribution fees. (Rel. IC-23818 - April 30)

MERRILL LYNCH LIFE INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 26(b) of the Investment Company Act to Merrill Lynch Life Insurance Company (Merrill Lynch Life), Merrill Lynch Life Variable Annuity Separate Account A (Annuity Account A), ML Life Insurance Company of New York (together with Merrill Lynch Life, Companies) and ML of New York Variable Annuity Separate Account A (together with Annuity Account A, Accounts) (all collectively, Applicants) approving the substitution of Units of the 1999 ML Select Ten V.I. Trust (1999 Portfolio) for Units of the 1998 ML Select Ten V.I. Trust (1998 Portfolio) of the Equity Investor Fund, Defined Asset Funds held by the Accounts to support certain policies issued by the Companies. An order has also been issued pursuant to Section 17(b) of the Act, granting an exemption from Section 17(a) to the extent necessary to permit Applicants to carry out the above-referenced substitution in whole or in part through an in-kind redemption and purchase. (Rel. IC-23819 - April 30)

AMERICAN SKANDIA TRUST AND AMERICAN SKANDIA INVESTMENT SERVICES, INC.

An order has been issued on an application filed by American Skandia Trust, on behalf of its series AST Putnam Value Growth & Income Portfolio, AST Putnam International Equity Portfolio and AST Putnam Balanced Portfolio (Portfolios), and American Skandia Investment Services, Inc. under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act. The order permits the implementation, without shareholder approval, of new investment sub-advisory agreements (Interim Agreements) following the resignation of the investment sub-adviser to the Portfolios. The order covers a period beginning on the date that the termination of the existing sub-advisory agreement becomes effective and continues for a period of up to 150 days (but in no event later than September 30, 1999) (Interim Period). The order also permits the payment of fees earned under the Interim Agreements during the Interim Period, following shareholder approval. (Rel. IC-23820 - April 30)

HOLDING COMPANY ACT RELEASES

NORTHEAST UTILITIES

An order has been issued authorizing a proposal by Northeast Utilities (NU), a registered holding company, and its service company subsidiary, Northeast Utilities Service Company, for NU to distribute rights regarding its common stock under a stockholder rights plan. (Rel. 35-27013)

NEW ENGLAND ELECTRIC SYSTEM

An order has been issued authorizing New England Electric System (NEES), a registered holding company, to amend its trust agreement to allow the proposed merger of NEES and The National Grid Group plc, and to give its shareholders certain appraisal rights. (Rel. 35-27014)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-99-16) relating to Agency Quotations and access fees. Publication of the proposal is expected in the Federal Register during the week of May 3. (Rel. 34-41343)

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-99-04) amending NYSE Rule 347 to expressly allow employees to bring employment related claims before the Equal Employment Opportunity Commission, National Labor Relations Board, or state or local anti-discrimination agencies. Publication of the proposal is expected in the Federal Register during the week of May 3. (Rel. 34-41344)

The Commission approved a proposed rule change and issued notice of filing and granted accelerated approval to Amendment No. 1 to a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-99-02) for permanent approval of the pilot program relating to the listing eligibility criteria for closed-end investment companies registered under the Investment Company Act. (Rel. 34-41346)

DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Comprehensive Care Corporation, Common Stock, \$.01 par value. (Rel. 34-41347)

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Cycomm International Inc., Common Stock, without par value. (Rel. 34-41348)

An order has been issued granting the application of the Chicago Stock Exchange to strike from listing and registration Waxman Industries, Inc., Common Stock, \$.01 par value. (Rel. 34-41355)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-8 ALBERTA ENERGY CO LTD, 3900 421-7 AVE SW, CALGARY ALBERTA T2P,
(403) 266-8111 - 400,000 (\$2,744,000) FOREIGN COMMON STOCK. (FILE
333-10276 - APR. 23) (BR. 4)
- S-1 COMMERCE ONE INC, 1600 RIVIERA AVE, WALNUT CREEK, CA 94596
(925) 941-6000 - 64,400,000 (\$64,400,000) COMMON STOCK. (FILE 333-76987 -
APR. 26) (BR. 3)
- S-4 NORTHERN STATES POWER CO /MN/, 414 NICOLLET MALL 4TH FL, MINNEAPOLIS, MN
55401 (612) 330-5500 - 186,000,000 (\$4,121,250,000) COMMON STOCK (FILE
333-76989 - APR. 26) (BR. 2)
- S-8 INTEGRATED SILICON SOLUTION INC, 2231 LAWSON LANE, SANTA CLARA, CA 95054
(408) 588-0800 - 575,000 (\$1,778,906) COMMON STOCK. (FILE 333-76991 -
APR. 26) (BR. 5)
- S-4 YAHOO INC, 3420 CENTRAL EXPRESSWAY, SUITE 201, SANTA CLARA, CA 95051
(408) 731-3300 - 27,381,327 (\$4,389,589,165.50) COMMON STOCK. (FILE
333-76995 - APR. 26) (BR. 3)
- S-1 MIDWEST MEDICAL INSURANCE HOLDING CO, 6600 FRANCE AVE SOUTH SUITE 245,
MINNEAPOLIS, MN 55435 (612) 922-5445 - 20,000 (\$21,600,000) COMMON STOCK.
(FILE 333-76999 - APR. 26) (BR. 1)
- S-3 ATLAS AIR INC, 538 COMMONS DR, GOLDEN, CO 80401 (303) 526-5050 (FILE
333-77005 - APR. 26) (BR. 5)
- S-8 CREDENCE SYSTEMS CORP, 215 FOURIER AVE, FREMONT, CA 94539 (510) 657-7400
- 1,707,818 (\$48,843,595) COMMON STOCK. (FILE 333-77007 - APR. 26)
(BR. 5)
- S-8 STARTEK INC, 111 HAVANA STREET, DENVER, CO 80010 (303) 361-6000 -
1,075,000 (\$17,468,750) COMMON STOCK. (FILE 333-77009 - APR. 26) (BR. 8)
- S-8 CINCINNATI BELL INC /OH/, 201 E FOURTH ST 102 732, CINCINNATI, OH 45202
(513) 397-9900 - 1,500,000 (\$29,953,125)
COMMON SHARES OF BENEFICIAL INTEREST (FILE 333-77011 - APR. 26) (BR. 7)
- S-8 GARTNER GROUP INC, 56 TOP GALLANT RD, P O BOX 10212, STAMFORD, CT 06904
(203) 964-0096 - 2,500,000 (\$57,305,189) COMMON STOCK. (FILE 333-77013 -
APR. 26) (BR. 8)

S-8 GARTNER GROUP INC, 56 TOP GALLANT RD, P O BOX 10212, STAMFORD, CT 06904
(203) 964-0096 - 10,000,000 (\$201,049,415) COMMON STOCK. (FILE 333-77015 -
APR. 26) (BR. 8)

S-4 WESTINGHOUSE AIR BRAKE CO /DE/, 1001 AIR BRAKE AVE, WILMERDING, PA 15148
(412) 825-1000 - 75,000,000 (\$75,000,000) STRAIGHT BONDS. (FILE 333-77017
- APR. 26) (BR. 5)

S-4 XL CAPITAL LTD, CUMBERLAND HOUSE, 1 VICTORIA ST, HAMILTON HM11 BERMUD,
D2 (441) 292-8515 - 19,734,740 (\$1,093,903,116) FOREIGN COMMON STOCK.
(FILE 333-77019 - APR. 26) (BR. 1)

S-1 CORTELCO SYSTEMS INC, 4119 WILLOW LAKE BOULEVARD, MEMPHIS, TN 38118
(901) 365-7774 - 35,000,000 (\$35,000,000) COMMON STOCK. (FILE 333-77021 -
APR. 26) (NEW ISSUE)

S-8 GOODRICH B F CO, 4020 KINROSS LAKES PKWY, RICHFIELD, OH 44286
(330) 659-7600 - 5,000,000 (\$100,647,470) COMMON STOCK. (FILE 333-77023 -
APR. 26) (BR. 5)

S-1 STAMPS COM INC, 2900 31ST STREET, SUITE 150, SANTA MONICA, CA 90405
(310) 450-1444 - 57,500,000 (\$57,500,000) COMMON STOCK. (FILE 333-77025 -
APR. 26)

S-8 FRIEDMAN BILLINGS RAMSEY GROUP INC, 1001 19TH STREET N., ARLINGTON, VA
22209 (703) 312-9500 - 2,000,000 (\$23,000,000) COMMON STOCK. (FILE
333-77027 - APR. 26) (BR. 8)

S-8 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -
63,254 (\$788,560.64) COMMON STOCK. (FILE 333-77031 - APR. 26) (BR. 3)

S-3 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -
118,601 (\$6,508,230) COMMON STOCK. (FILE 333-77033 - APR. 26) (BR. 3)

S-8 INTERNATIONAL SMART SOURCING INC, 320 BROAD HOLLOW RD, FARMINGDALE, NY
11735 (516) 752-1950 - 300,000 (\$675,000) COMMON STOCK. (FILE 333-77035 -
APR. 26) (BR. 6)

S-4 FREMONT GENERAL CORP, 2020 SANTA MONICA BLVD, STE 600, SANTA MONICA, CA
90404 (310) 315-5500 - 425,000,000 (\$425,000,000) STRAIGHT BONDS (FILE
333-77037 - APR. 26) (BR. 1)

S-1 DIGITAL ISLAND INC, 1132 BISHOP ST, STE 1001, HONOLULU, HI 96813
(808) 540-4000 - 75,000,000 (\$75,000,000) COMMON STOCK. (FILE 333-77039 -
APR. 26) (NEW ISSUE)

S-2 SUN LIFE ASSURANCE CO OF CANADA US, SC 1335 ONE SUN LIFE EXECUTIVE PARK,
WELLESLEY HILLS, MA 02181 (781) 446-1182 (FILE 333-77041 - APR. 26)
(BR. 20)

S-8 GSB FINANCIAL CORP, ONE SOUTH CHURCH STREET, GOSHEN, NY 10924
(914) 294-6151 - 314,755 (\$4,131,159) COMMON STOCK. (FILE 333-77043 -
APR. 26) (BR. 7)

S-3 COMPTOK RESEARCH INC/NY, 2732 TRANSIT RD, BUFFALO, NY 14224
(716) 677-4070 - 15,000,000 (\$15,000,000) CONVERTIBLE DEBENTURES AND NOTES.
(FILE 333-77045 - APR. 26) (BR. 3)

S-4 PEERLESS SYSTEMS CORP, 2381 ROSECRANS AVE, EL SEGUNDO, CA 90245
(310) 536-0908 - 2,500,000 (\$15,468,750)
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-77049 - APR. 26) (BR. 3)

S-8 TIER TECHNOLOGIES INC, 1350 TREAT BLVD, SUITE 250, WALNUT CREEK, CA
94596 (925) 937-3950 - 2,000,000 (\$15,060,000) COMMON STOCK. (FILE
333-77051 - APR. 26) (BR. 3)

S-3 BELLSOUTH CORP, 1155 PEACHTREE ST NE, ROOM 15G03, ATLANTA, GA 30309
(404) 249-2000 - 2,972,735,000 (\$2,972,735,000) STRAIGHT BONDS. (FILE
333-77053 - APR. 26) (BR. 7)

S-11 APPLE SUITES INC, 9 NORTH THIRD ST, RICHMOND, VA 23219 (804) 643-1761 -
30,166,666 (\$300,000,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE
333-77055 - APR. 26) (NEW ISSUE)

S-4 UNIVERSAL HOSPITAL SERVICES INC, 1250 NORTHLAND PLZ, 3800 W 80TH ST, BLOOMINGTON, MN 55431 (612) 893-3200 - 35,000,000 (\$35,000,000) STRAIGHT BONDS. (FILE 333-77057 - APR. 26) (BR. 6)

S-2 HANDY HARDWARE WHOLESALE INC, 8300 TEWANTIN DR, HOUSTON, TX 77061 (713) 644-1495 - 25,000 (\$2,500,000) COMMON STOCK. 25,000 (\$2,500,000) PREFERRED STOCK. (FILE 333-77059 - APR. 26) (BR. 6)

S-8 MGM GRAND INC, 3799 LAS VEGAS BLVD S, LAS VEGAS, NV 89109 (702) 891-3333 - 3,600,000 (\$145,350,000) COMMON STOCK. (FILE 333-77061 - APR. 27) (BR. 5)

S-4 ONEMAIN COM INC, 50 HAWTHORNE ROAD, SOUTHAMPTON, NY 11968 (516) 287-4084 - 4,000,000 (\$109,625,000) COMMON STOCK. (FILE 333-77063 - APR. 27) (BR. 3)

S-3 CNET INC /DE, 150 CHESTNUT ST, SAN FRANCISCO, CA 94111 (415) 395-7800 - 258,446 (\$24,940,039) COMMON STOCK. (FILE 333-77065 - APR. 26) (BR. 5)

S-3 APARTMENT INVESTMENT & MANAGEMENT CO, 1873 S BELLAIRE ST, SUITE 1700, DENVER, CO 80222 (303) 757-8101 - 610,431 (\$24,226,480) COMMON STOCK. (FILE 333-77067 - APR. 27) (BR. 8)

S-3 BOSTON PRIVATE FINANCIAL HOLDINGS INC, 10 POST OFFICE SQ, BOSTON, MA 02109 (617) 556-1900 - 800,000 (\$5,350,000) COMMON STOCK. (FILE 333-77069 - APR. 27) (BR. 7)

S-3 PEGASUS SYSTEMS INC, 3811 TURTLE CREEK BLVD, STE 1100, DALLAS, TX 75219 (214) 528-5656 - 2,012,500 (\$72,324,218) COMMON STOCK. (FILE 333-77071 - APR. 27) (BR. 3)

S-1 TRAVELSCAPE COM INC, 8951 WEST SAHARA AVE, LAS VEGAS, NV 89117 (702) 233-3888 - 69,000,000 (\$69,000,000) COMMON STOCK. (FILE 333-77073 - APR. 27)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT | |
|------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|------|----------|--|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| ACE HARDWARE CORP | DE | | | | | X | X | | | | | 04/30/99 | |
| ADELPHIA COMMUNICATIONS CORP | DE | | | | | X | X | | | | | 04/28/99 | |
| ADMIRALTY BANCORP INC | DE | | | X | | | | | | | | 04/23/99 | |
| ADRENALIN INTERACTIVE INC | DE | | | | | X | X | | | | | 04/28/99 | |
| ADRENALIN INTERACTIVE INC | DE | | | | | X | X | | | | | 04/30/99 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|-------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| ALFACELL CORP | DE | | | | X | | | | | | 04/27/99 | |
| ALLMERICA FINANCIAL CORP | DE | | | | X | X | | | | | 04/30/99 | |
| AMERICAN STANDARD COMPANIES INC | DE | | | | X | | | | | | 03/31/99 | |
| AMERICAN STANDARD INC | DE | | | | X | | | | | | 03/31/99 | |
| AMERICAN TELECASTING INC/DE/ | DE | | | | X | X | | | | | 04/30/99 | |
| AMTRUST CAPITAL CORP | DE | | X | | | | | | | | 04/30/99 | |
| ANADARKO PETROLEUM CORP | DE | | | | X | | | | | | 04/29/99 | |
| ANCHOR BANCORP WISCONSIN INC | WI | | | | X | X | | | | | 04/30/99 | |
| ARTHUR TREACHERS INC /FL/ | UT | | | X | | X | | | | | 04/21/99 | |
| AUTOBYTEL COM INC | DE | | | | X | X | | | | | 04/29/99 | |
| AVATAR HOLDINGS INC | DE | | X | | | X | | | | | 04/15/99 | |
| BA MASTER CREDIT CARD TRUST / | | | | | | | | X | | | 03/31/99 | |
| BALLY TOTAL FITNESS HOLDING CORP | DE | | | | X | X | | | | | 04/30/99 | |
| BANK OF AMERICA MORT SEC INC MORT P | DE | | | | X | X | | | | | 02/23/99 | |
| ASS THR CERT SER 1999-1 | | | | | | | | | | | | |
| BANK OF AMERICA MORTGAGE SECURITIES | DE | | | | X | X | | | | | 02/23/99 | |
| INC | | | | | | | | | | | | |
| BARNETT AUTO TRUST 1997-A | DE | | | | X | X | | | | | 04/15/99 | |
| BB&T CORP | NC | | | | X | X | | | | | 04/30/99 | |
| BERGEN BRUNSWIG CORP | NJ | | X | | | X | | | | | 04/26/99 | |
| BIO PLEXUS INC | CT | | | | X | | | | | | 04/21/99 | |
| BLACK HAWK GAMING & DEVELOPMENT CO | CO | | | | X | X | | | | | 04/16/99 | |
| INC | | | | | | | | | | | | |
| BOLT TECHNOLOGY CORP | CT | | X | | | X | | | | | 04/20/99 | |
| BOMBARDIER CREDIT RECEIVABLES CORP | DE | | | | | X | | | | | 03/31/99 | |
| BROUGHTON FOODS CO | | | X | | | | | | | | 04/28/99 | |
| CALIFORNIA CULINARY ACADEMY INC | CA | | | | X | X | | | | | 04/29/99 | |
| CAPITAL ONE FINANCIAL CORP | DE | | | | X | X | | | | | 04/30/99 | |
| CBS CORP | PA | | | | X | X | | | | | 04/30/99 | |
| CD RADIO INC | DE | | | | X | | | | | | 04/30/99 | |
| CENTURY TELEPHONE ENTERPRISES INC | LA | | | | X | | | | | | 03/31/99 | |
| CHROMATICS COLOR SCIENCES INTERNATI | NY | | | | X | X | | | | | 04/15/99 | |
| ONAL INC | | | | | | | | | | | | |
| COLORADO GOLD & SILVER INC | CO | | | | | X | | | | | 04/30/99 | |
| COMMERCIAL ASSETS INC | MD | | X | | | | | | | | 03/31/99 | AMEND |
| COOPER COMPANIES INC | DE | | X | | | X | | | | | 04/15/99 | |
| COX COMMUNICATIONS INC /DE/ | DE | | | | X | X | | | | | 07/27/98 | |
| CRESCENT REAL ESTATE EQUITIES CO | TX | | | | | X | | | | | 04/29/99 | |
| CYBERCASH INC | DE | | | | X | X | | | | | 04/30/98 | AMEND |
| DEERE & CO | DE | | | | | X | | | | | 04/29/99 | |
| DLJ MORTGAGE ACCEPTANCE CORP | DE | | | | X | X | | | | | 04/29/99 | |
| DONNELLY CORP | MI | | X | | | | | | | | 04/16/99 | |
| DYNAMIC HEALTH PRODUCTS INC | FL | | | X | | X | | | | | 04/29/99 | |
| EL PASO ENERGY CORP/DE | DE | | | | X | X | | | | | 03/13/99 | AMEND |
| ELAMEX SA DE CV | | | | X | | | | | | | 04/30/99 | |
| EMONS TRANSPORTATION GROUP INC | DE | | | | X | X | | | | | 04/23/99 | |
| ENTERPRISE SOFTWARE INC | DE | | X | | | X | | | | | 04/16/99 | |
| EQCC HOME EQUITY LOAN TRUST 1999-1 | DE | | | | X | X | | | | | 04/15/99 | |
| EVANS BOB FARMS INC | DE | | | | X | X | | | | | 04/30/99 | |
| EXECUTIVE TELECARD LTD | DE | | | | X | X | | | | | 11/30/98 | AMEND |
| FBR CAPITAL CORP /NV/ | NV | | X | X | | X | | | | | 04/15/99 | |
| FCB FINANCIAL CORP | WI | | | | X | X | | | | | 04/30/99 | |
| FIRST NATIONAL BANK OF ATLANTA | | | | | X | X | | | | | 03/31/99 | |
| FIRST UNION COMMERCIAL MORTGAGE SEC | NC | | | | | X | | | | | 04/15/99 | |
| URITIES INC | | | | | | | | | | | | |
| FIRST UNION REAL ESTATE EQUITY & MO | OH | | | | X | X | | | | | 04/30/99 | AMEND |
| RTGAGE INVESTMENTS | | | | | | | | | | | | |
| FISHER SCIENTIFIC INTERNATIONAL INC | DE | | X | | | X | | | | | 04/15/99 | |
| FLOUR CITY INTERNATIONAL INC | NV | | | X | | X | | | | | 04/26/99 | |
| FRONTIERVISION CAPITAL CORP | DE | | | | X | X | | | | | 04/29/99 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| FRONTIERVISION HOLDINGS CAPITAL CORP P | DE | | | | X | X | | | | | 04/29/99 | |
| FRONTIERVISION HOLDINGS CAPITAL II CORP | DE | | | | X | X | | | | | 04/29/99 | |
| FRONTIERVISION HOLDINGS LP | DE | | | | X | X | | | | | 04/29/99 | |
| FRONTIERVISION OPERATING PARTNERS L P | DE | | | | X | X | | | | | 04/29/99 | |
| FURON CO | CA | | | | X | X | | | | | 04/30/99 | |
| GENTA INCORPORATED /DE/ | DE | | | | X | X | | | | | 05/03/99 | |
| GIGA INFORMATION GROUP INC | DE | | | | X | X | | | | | 04/28/99 | |
| GLAS-AIRE INDUSTRIES GROUP LTD | NV | X | | | | | | | | | 04/16/98 | |
| GMAC COMMERCIAL MORTGAGE SECURITIES INC | DE | | | | | X | | | | | 04/15/99 | |
| GRAINGER W W INC | IL | | | | X | | | | | | 04/28/99 | |
| GREATER COMMUNITY BANCORP | NJ | X | | | | | | | | | 04/30/99 | |
| HEALTHCORE MEDICAL SOLUTIONS INC | | | | | X | X | | | | | 04/27/99 | |
| HEXCEL CORP /DE/ | DE | | | | X | X | | | | | 04/30/99 | |
| HOSPITALITY PROPERTIES TRUST | MD | | | | X | X | | | | | 04/30/99 | |
| ICG COMMUNICATIONS INC /DE/ | DE | | | | X | X | | | | | 04/30/99 | |
| ICG FUNDING LLC | DE | | | | X | X | | | | | 04/30/99 | |
| ICG HOLDINGS CANADA CO /CO/ | CO | | | | X | X | | | | | 04/30/99 | |
| ICG HOLDINGS INC | CO | | | | X | X | | | | | 04/30/99 | |
| IMPERIAL CREDIT COMMERCIAL MORTGAGE INVESTMENT CORP | MD | | | | X | X | | | | | 04/27/99 | |
| INDIANA ENERGY INC | IN | | | | X | X | | | | | 03/31/99 | |
| INDIANA GAS CO INC | IN | | | | X | X | | | | | 03/31/99 | |
| INTEGRATED SURGICAL SYSTEMS INC | DE | | | | X | | | | | | 04/26/99 | |
| INTERNATIONAL LEASE FINANCE CORP | CA | | | | | X | | | | | 04/28/99 | |
| IXION BIOTECHNOLOGY INC | DE | X | | | | | | | | | 04/16/99 | |
| JEFFERIES GROUP INC /DE/ | DE | | | | X | X | | | | | 04/27/99 | |
| JWGENESIS FINANCIAL CORP / | FL | | | | X | X | | | | | 04/16/99 | |
| KALEIDOSCOPE MEDIA GROUP INC | DE | | | X | X | | | | | | 04/29/99 | AMEND |
| KERAVISION INC /CA/ | DE | | | | X | X | | | | | 04/30/99 | |
| KERR MCGEE CORP | DE | | | | X | X | | | | | 04/29/99 | |
| KROGER CO | OH | | | | X | X | | | | | 04/30/99 | |
| L 3 COMMUNICATIONS CORP | DE | | X | | | X | | | | | 04/29/99 | |
| L 3 COMMUNICATIONS HOLDINGS INC | DE | | X | | | X | | | | | 04/29/99 | |
| LEVEL 8 SYSTEMS INC | NY | | X | | | X | | | | | 04/15/99 | |
| LONG ISLAND FINANCIAL CORP | DE | | | X | | | | | | | 04/15/99 | |
| MATEWAN BANCSHARES INC | DE | | | | X | X | | | | | 04/27/99 | |
| MERKERT AMERICAN CORP | DE | | | | X | X | | | | | 04/28/99 | |
| MICROTEL INTERNATIONAL INC | DE | | | | X | | | | | | 04/19/99 | |
| MLH INCOME REALTY PARTNERSHIP VI | NY | | | | X | | | | | | 04/30/99 | |
| MORROW SNOWBOARDS INC | OR | | | | X | X | | | | | 04/27/99 | |
| N TANDEM TRUST | CA | | | | | X | | | | | 11/30/98 | AMEND |
| NAC RE CORP | DE | | | | X | X | | | | | 04/26/99 | |
| NATIONAL CITY CORP | DE | | | | X | X | | | | | 05/03/99 | |
| NATIONAL STEEL CORP | DE | | | | X | X | | | | | 04/30/99 | |
| NATIONS FLOORING INC | NY | | X | | | X | | | | | 03/29/99 | AMEND |
| NCI BUILDING SYSTEMS INC | DE | | | | X | X | | | | | 04/30/99 | |
| NUCLEUS INC | NV | X | | | | X | X | | | | 04/15/99 | |
| ONYX ACCEPTANCE OWNER TRUST 1999-A P COM INC | DE | | | | X | | | | | | 04/15/99 | |
| PAMECO CORP | DE | | | | | X | | | | | 03/28/98 | AMEND |
| PAMECO CORP | GA | | | | X | | | | | | 04/27/99 | |
| PARTNERS FIRST RECEIVABLES FUNDING LLC | DE | | | | X | X | | | | | 04/14/99 | |
| PIONEER STANDARD ELECTRONICS INC | OH | | | | X | X | | | | | 04/27/99 | |
| PLATINUM SOFTWARE CORP | DE | | | | X | X | | | | | 04/26/99 | |
| POLYPHASE CORP | NV | | | | X | X | | | | | 04/30/99 | |
| PROVIDENT AMERICAN CORP | PA | | | | X | X | | | | | 03/30/99 | |

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| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| PROXIM INC /DE/ | DE | | | | X | | | | | | 04/28/99 | |
| PYR ENERGY CORP | DE | | | | X | X | | | | | 04/30/99 | |
| RAWLINGS SPORTING GOODS CO INC | DE | | | | X | X | | | | | 04/23/99 | |
| RAYMOND JAMES FINANCIAL INC | FL | X | | | | | | | | | 04/26/99 | |
| REAL GOODS TRADING CORP | CA | | | | X | | | | | | 03/30/99 | |
| RETAIL HIGHWAY COM INC | NV | X | X | | | | X | | | | 04/17/99 | |
| RICHFOOD HOLDINGS INC | VA | | | | X | X | | | | | 04/22/99 | |
| ROSECAP INC/NY | NY | | | | X | | X | | | | 04/26/99 | |
| SALANT CORP | DE | | | | | | X | | | | 04/16/99 | |
| SANDERSON FARMS INC | MS | | | | X | X | | | | | 04/22/98 | |
| SANMINA CORP/DE | DE | | | | X | | | | | | 04/30/99 | |
| SAXON ASSET SECURITIES TR 1999-1 MO R LN AS BKD CER SE 1999-1 | VA | | | | X | X | | | | | 04/26/99 | |
| SC&T INTERNATIONAL INC | AZ | | | | X | | X | | | | 04/23/99 | |
| SEQUESTER HOLDINGS INC/NV | NV | | | | X | | | | | | 04/23/99 | |
| SPYGLASS INC | DE | | X | | | | X | | | | 03/31/99 | |
| SYNCRONYS SOFTCORP | NV | | X | | | | | | | | 03/31/99 | |
| TECH DATA CORP | FL | | | | | | X | | | | 07/01/98 | AMEND |
| TF FINANCIAL CORP | DE | | | | X | X | | | | | 04/29/99 | |
| TITANIUM METALS CORP | DE | | | | X | X | | | | | 04/26/99 | |
| TOFUTTI BRANDS INC | DE | | | X | | X | | | | | 04/26/99 | AMEND |
| TREMONT CORPORATION | DE | | | | X | X | | | | | 04/27/99 | |
| TRIARC COMPANIES INC | DE | | | | | | X | | | | 04/30/99 | |
| UNITED COMPANIES FINANCIAL CORP | LA | | | | X | X | | | | | 04/28/99 | |
| VALLEY NATIONAL BANCORP | NJ | | | | X | | | | | | 04/28/99 | |
| VERITAS SOFTWARE CORP | DE | | | | X | X | | | | | 04/27/99 | |
| WEST SUBURBAN BANCORP INC | IL | | | X | | X | | | | | 04/30/99 | AMEND |
| WIND RIVER SYSTEMS INC | DE | | | | X | X | | | | | 04/22/99 | |
| WSI INDUSTRIES INC | MN | | | | | | X | | | | 02/15/99 | AMEND |
| XL CAPITAL LTD | | | | | X | | | | | | 04/26/99 | |
| XTRA CORP /DE/ | DE | | | | X | | | | | | 04/30/99 | |