

C, 2

SEC NEWS DIGEST

Issue 2000-201

October 19, 2000

RULES AND RELATED MATTERS

SEMIANNUAL REGULATORY AGENDA

The Commission has authorized the publication of its October 2000 Regulatory Flexibility Act Agenda. The agenda is a general announcement to the public intended to provide advance notice of rulemaking actions. The agenda, which will not be available to the public until its publication, has been submitted by the Commission to the Regulatory Information Service Center for inclusion in the Unified Agenda of Federal Regulations scheduled for publication in the Federal Register in October 2000. Public comments regarding the agenda and the individual agenda entries are due by December 29, 2000. (Rels. 33-7911, 34-43451, 35-27255, 39-2387, IC-24690, IA-1904, File No. S7-19-00)

ENFORCEMENT PROCEEDINGS

SEC SEEKS EMERGENCY RELIEF IN HEDGE FUND FRAUD

The Commission announced that on October 17 it filed a complaint in the United States District Court for the Southern District of New York charging one individual and two entities with a scheme to defraud limited partners who invested several millions of dollars in a purported limited partnership hedge fund. The Commission simultaneously filed an emergency application asking the court, among other things, to temporarily and preliminarily enjoin the defendants from committing the violations alleged in the complaint, appoint a temporary receiver over the defendants, freeze the defendants' assets, direct each of the defendants to provide an accounting, permit expedited discovery and prohibit the destruction of documents. Named in the complaint filed today are:

Ashbury Capital Partners L.P. (Ashbury Fund), a limited partnership organized under the laws of the State of Delaware. Ashbury Fund has been represented as a "private hedge fund which seeks to achieve capital appreciation through a portfolio devoted primarily to short-term trading opportunities in domestic equities."

Ashbury Capital Management L.L.C. (Ashbury Management), a Delaware limited liability corporation, is located in Grasonville, Maryland. Ashbury Management has been represented as the general and managing partner of Ashbury Fund, providing investment management and advisory services to Ashbury Fund.

Mark Yagalla (Yagalla), age 23, resides in Wilmington, Delaware. Yagalla is the principal of Ashbury Management, and has been represented as the President, Chief Executive Officer and portfolio manager of Ashbury Fund.

The complaint alleges that: Yagalla, Ashbury Management, and Ashbury Fund raised millions of dollars from at least twenty investors in a fraudulent offering of limited partnership interests in Ashbury Fund. The Defendants represented that the proceeds raised from investors in the offering would be used for short-term trading of equities and other investments in securities on behalf of the fund and the partners. Yagalla, by himself and through Ashbury Fund and Ashbury Management, has portrayed himself to potential investors as a successful trader and has boasted that, by trading securities over the last nine years, he has achieved an average of 80% return on his investments. In reality, Yagalla has misappropriated a substantial portion of funds of Ashbury Fund for his own personal use and other improper purposes, and has depleted the assets of the fund, which has not engaged in any securities trading at least during the past summer. To conceal the misappropriation of fund assets and trading losses, Defendants have sent investors falsified monthly statements significantly overstating the holdings and performance of investor accounts, and have distributed monthly updates that misrepresent the performance and status of the fund. Yagalla is currently scrambling to raise more money for Ashbury Fund by soliciting other funding sources, possibly including other investors, and by attempting to divest himself of assets at below-market prices if necessary.

As a result of the foregoing, the Commission alleges that Yagalla, Ashbury Management and Ashbury Fund committed securities fraud in violation of Section 17(a) of the Securities Act, 15 U.S.C. § 77q(a), Section 10(b) of the Securities Exchange Act of 1934, 15 U.S.C. § 78j(b), and Rule 10b-5, 17 C.F.R. § 240.10b-5. The Commission further alleges that Ashbury Management, aided and abetted by Ashbury Fund and Yagalla, violated Sections 206(1) and (2) of the Investment Advisers Act of 1940, 15 U.S.C. §§ 80b-6(1) and (2).

In addition to the interim relief sought in the application filed today, the Commission seeks a Final Judgment against Yagalla, Ashbury Management and Ashbury Fund: (i) enjoining them from future violations of the above-cited provisions; (ii) requiring the disgorgement of all of their ill-gotten gains, plus pre-judgment interest; and (iii) assessing civil penalties against them. [SEC v. Ashbury Capital Partners, L.P., et al., 00 Civ. 7898, RCC, SDNY] (LR-16770)

SEC v. BROADBAND WIRELESS INTERNATIONAL CORPORATION, ET AL.

The Commission announced that on October 16 Chief Judge David Russell of the U.S. District Court for the Western District of Oklahoma in Oklahoma City entered preliminary injunctions against BroadBand Wireless International Corporation (BBAN), BroadCom Wireless Communications Corporation (BroadCom), Donald L. Knight and Ivan W. Webb in the referenced civil action. The Commission's complaint in this case alleges that Knight and Webb orchestrated a "pump and dump" scheme involving BBAN stock, through false and misleading press releases, SEC filings and postings on the "Raging Bull" Internet message board, which drove up the price of BBAN stock by more than 10,000%. Before the price fell back to its prior level, Knight, using additional misrepresentations, sold or "dumped" millions of shares of restricted BBAN stock he held in the name of BroadCom to investors, reaping at least \$5 million. Knight and Webb participated in a false and misleading S-8 registration. Knight further devised a fraudulent proxy solicitation.

Each party is preliminarily enjoined from violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder. BBAN and Webb are also enjoined from violations of Section 13(a) of the Exchange Act, and Rules 13a-11, 13a-13 and 12b-20 thereunder. BroadCom and Knight are also enjoined from violations of Sections 13(d) and 14(a) of the Exchange Act, and Rules 13d-1, 13d-2, 14a-3, 14a-6 and 14a-9 thereunder. In addition, each party is subject to an asset freeze. BBAN, BroadCom and Webb agreed to the entry of the injunctions. [SEC v. BroadBand Wireless International Corporation, BroadCom Wireless Communications Corporation, Ivan W. Webb and Donald L. Knight, Defendants; and Black Giant Resources Corporation, BroadBand Wireless Communications Corporation, MedScan Technologies, Inc., and Kimberly Knight, Relief Defendants, Civil Action No. CV100-1375-R, USDC, W.D. Okl.] (LR-16772)

FOURTH DEFENDANT SENTENCED IN ELDER FRAUD SCHEME

The Commission announced that on October 13 Judge James Nowlin, United States District Judge for the Western District of Texas, sentenced Gregory Monroe Roberts to a term of 37 months in connection with his conviction for securities and mail fraud. Roberts is the fourth defendant to be sentenced for an investment scheme targeting senior citizens that had been ongoing since 1992. In addition, the Court ordered Roberts to pay \$2,605,936 in restitution to the victims of the fraud. Judge Jerry Buchmeyer, United States District Judge for the Northern District of Texas, had previously sentenced the other defendants in the scheme. Gary Landon Davenport, the alleged ringleader of the scheme, received a term of 20 years, Russell Dane Reeves received a term of 108 months and Richard Earl Russell was sentenced to a term of 52 months.

Previously, on September 9, 1999, Judge Buchmeyer granted the Commission's request for a preliminary injunction in a related civil action. The Commission's complaint alleged that approximately 100 elderly individuals were defrauded of over \$2.5 million

in the scheme. The indictments of the four defendants followed on October 20, 1999. Under the guise of providing estate and financial planning services, the defendants solicited information about the senior citizens' assets and investments. Upon obtaining this information, the defendants encouraged the senior citizens to liquidate their retirement investments and to invest the proceeds in phony promissory notes offering higher rates of return. In fact, according to the Commission's complaint, the issuers of the notes had no real business and the defendants misappropriated, or stole, most of the elderly investors' funds. [SEC v. Gary Landon Davenport, dba Southwest Family Trust Service, Financial Marketing Service and Liberty Marketing Service, Russel Reeves, dba Enterra Marketing Service, Richard Earl Russell and Gregory Monroe Roberts, Civil Case No. 7:99-CV-185-R, USDC, NDTX, Wichita Falls Division] (LR-16773)

COMMISSION CHARGES FORMER OFFICER OF DEP CORP. AND THREE OTHERS WITH INSIDER TRADING

On October 18, the Commission filed a civil action in federal district court in Los Angeles against Jerome Alpin, a former Senior Vice-President of DEP Corp. (DEP), his wife, Jane Alpin, and their friends Gregory and Ethne Silberg, for insider trading in DEP stock before the July 14, 1998 public announcement that Henkel KGaA (Henkel) would acquire DEP via a tender offer. DEP was a manufacturer of health and beauty care products located in Rancho Dominguez, California.

The Commission's complaint alleges that on July 9, 1998, Jerome Alpin, then a DEP Senior Vice-President, acquired material nonpublic information concerning Henkel's plans to acquire DEP. The complaint further alleges that Jerome Alpin tipped this information to Jane Alpin, who then tipped the information to Gregory and Ethne Silberg. According to the complaint, the Silbergs purchased 20,000 DEP shares on July 10, 1998, which they sold shortly after the public announcement for a profit of \$36,124.

The Commission charged the defendants with violations of Section 14(e) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 14e-3 thereunder. All four defendants have agreed to settle the case by consenting, without admitting or denying the allegations in the complaint, to the entry of a judgment permanently enjoining them from future violations of Section 14(e) of the Exchange Act and Rule 14e-3 thereunder. In addition, the Alpins and the Silbergs have agreed to disgorge, jointly and severally, \$36,124, together with prejudgment interest thereon, the Alpins have agreed to pay a civil penalty in the amount of \$36,124, and the Silbergs have agreed to pay a civil penalty in the amount of \$36,124.

The Commission acknowledges the assistance provided by NASDR Inc. [SEC v. Jerome Alpin, et al., Civil Action No. CV 00-11107 LGB, SHx, C.D. Cal.] (LR-16774)

FINAL JUDGMENTS ENTERED AGAINST REMAINING DEFENDANTS IN STOCK MANIPULATION CASE

On October 4, the United States District Court for the District of Columbia entered final judgments against the three remaining defendants in a stock manipulation case involving unregistered securities of Members Service Corporation.

Without admitting or denying the substantive allegations of the Commission's complaint, defendant Joseph Lanza consented to the entry of a Final Judgment permanently enjoining him from violating Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and ordering him to disgorge \$265,2143 plus prejudgment interest and waiving payment of the disgorgement and prejudgment interest and imposing no civil monetary penalty based upon his sworn representations concerning his financial condition. Relief defendant Jane Lanza consented to the entry of a Final Judgment ordering her to disgorge \$250,000. She was not alleged to have violated any law.

The Court entered a default judgment against defendant Philip Sung, permanently enjoining him from violating Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Sections 10(b) and 13(a) of the Securities Exchange Act of 1934 and Rules 10b-5 and 13d-1 thereunder. In addition, Sung was ordered to disgorge \$2,637,338, plus prejudgment interest of \$1,953,106 and pay a civil penalty of \$100,000.

Entry of these final judgments concludes the litigation in this matter. The Commission alleged that in 1993 certain of the defendants obtained over one million shares of unregistered stock of Members Service Corporation in sham transactions, purportedly under the provisions of Regulation S, that they manipulated the price of Members' stock, and that they then sold the unregistered stock into the manipulated market, making illegal profits of over \$5 million. For more information, see Litigation Release 15371. [SEC v. Members Service Corporation, et al., 97 CV 01146, HHK, D.D.C.] (LR-16775)

CONTEMPT APPLICATION FILED AGAINST ROBERT MOORE

The Commission filed on October 17 an application seeking an order of civil contempt against Robert F. Moore for violating the Temporary Restraining Order (TRO) issued on October 5, 2000 by the Honorable Susan Oki Mollway of the U.S. District Court for the District of Hawaii. The Commission alleges that Moore is violating the terms of the TRO by continuing to offer bonds of the purported Kingdom of Enenkiio through an Internet web site (www.enenkiio.org). Additionally, Moore failed to provide a sworn accounting of his assets, financial institution accounts, and transactions transferring funds or assets to or from Moore. The TRO required Moore to file such accounting by October 10, 2000.

The Commission's complaint, filed on October 5, alleged that Moore is holding himself out to be the head of state of The Kingdom of Enenkiio. The complaint alleged that Moore is conducting a \$1 billion offering of "Enenkiio Gold War bonds" on an Internet web site, through e-mail solicitations sent worldwide, and in postings to various Internet

bulletin boards. According to the complaint, the Kingdom of Enenkio is not recognized in any international forum as a sovereign state nor is it a corporate or statutory entity. The complaint alleged that Moore's offering materials represent that the bonds will pay a compound interest rate of ten percent after 5 years. The complaint also alleged that Moore represents that the bonds are "backed by gold reserves, guarantees, real property or other assets." However, according to the complaint, Moore has no gold reserves and no security, real property or otherwise, exists for the bonds. The complaint further alleged that the bonds have not been registered with the Commission and no exemption for registration applies.

On October 5, 2000, the Court granted the TRO sought by the Commission to prohibit Moore from continuing to offer the Enenkio government bonds for sale and to require Moore to provide an accounting. A hearing on the Commission's request for a preliminary injunction is set for October 23, 2000.

The Commission is seeking to have Moore comply with the TRO and to halt the fraudulent offer of securities not registered with the Commission nor exempt from registration. Moreover, the Commission is seeking to have Moore sanctioned for his contempt of the TRO. For further information see prior litigation release number 16758 (Oct. 6, 2000). [SEC v. Robert F. Moore, individually and doing business as The Kingdom of Enenkio, Civil No. 00-00651, SOM/LEK, D. Haw.] (LR-16776)

INVESTMENT COMPANY ACT RELEASES

MUTUAL OF AMERICA INVESTMENT CORPORATION, ET AL.

A notice has been issued giving interested persons until November 7, 2000, to request a hearing on an application filed by Mutual of America Investment Corporation (Mutual) and Mutual of America Capital Management Corporation (Capital Management) (collectively the Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to the extent necessary to permit shares of any current or future series of Mutual designed to fund insurance products and shares of any other investment company or series thereof now or in the future registered under the Act that is designed to fund insurance products and for which Capital Management or any of its affiliates, may serve in the future, as investment adviser, administrator, manager, principal underwriter, or sponsor to be offered and sold to, and held by (1) variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies, and (2) qualified pension and retirement plans outside of the separate account context. (Rel. IC-24691 – October 17)

THE GALAXY FUND, ET AL.

A notice has been issued giving interested persons until November 9, 2000, to request a hearing on an application filed by The Galaxy Fund, et al., for an order under Sections 6(c), 10(f), and 17(b) of the Investment Company Act for an exemption from Sections 10(f) and 17(a)(1) of the Act. The order would amend an existing order that permits an open-end management investment company to purchase certain securities: (i) from an affiliated underwriter, if such securities are solely underwritten by that underwriter or are unavailable from other members of an underwriting syndicate, and (ii) through group orders placed with an underwriting syndicate that includes the affiliated underwriter. (Rel. IC-24692 – October 17)

SEASONS SERIES TRUST, ET AL.

An order has been issued on an application filed by Seasons Series Trust, et al., for an exemption from Sections 12(d)(1)(A) and (B) of the Investment Company Act and from Section 17(a) of the Act. The order permits certain registered unit investment trusts or open-end management investment companies to acquire shares of registered open-end management investment companies both within and outside the same group of investment companies. (Rel. IC-24693 – October 17)

WILLIAM BLAIR FUNDS, ET AL.

A notice has been issued giving interested persons until November 13, 2000, to request a hearing on an application filed by William Blair Funds, et al., for an order under Section 12(d)(1)(J) of the Investment Company Act for an exemption from Sections 12(d)(1)(A) and (B) of the Act, under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a) of the Act, and under Section 17(d) of the Act and Rule 17d-1 under the Act to permit certain joint transactions. The order would permit certain registered management investment companies and private accounts to invest uninvested cash and cash collateral in one or more affiliated money market funds and engage in certain transactions with each other. (Rel. IC-24694 – October 17)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-CHX-00-20) filed by the Chicago Stock Exchange relating to execution of Nasdaq/NM securities. Publication of the order in the Federal Register is expected during the week of October 23. (Rel. 34-43443)

PROPOSED RULE CHANGES

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-00-40) to adopt a rule that will allow traders to print and execute orders in SPX Index options and orders for hedging transactions in SPX Index option combination orders outside of the prevailing bid or offer under certain circumstances. Publication of the notice is expected in the Federal Register during the week of October 23. (Rel. 34-43452)

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-00-14) relating to an increase in the position limits for Nasdaq 100 stock index options. Publication of the notice is expected in the Federal Register during the week of October 23. (Rel. 34-43456)

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-00-12) to modify NSCC's rules to allow Fund Members and Mutual Fund Processors to submit extended corrections in NSCC's Fund/Serv. Publication of the proposal is expected in the Federal Register during the week of October 23. (Rel. 34-43457)

DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Elf Aquitaine, American Depositary Shares (each representing 1/2 of an Ordinary Share). (Rel. 34-43453)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration IMC Global Inc., Warrants (expiring December 22, 2000). (Rel. 34-43454)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration ReliaStar Financial Corp., 6-5/8% Notes, due September 15, 2003; 8-5/8% Notes, due February 15, 2005; and 7-1/8% Notes, due March 1, 2003. (Rel. 34-43455)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-

mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 SENIOR CARE INDUSTRIES INC, 410 BROADWAY, 2ND FLOOR, LAGUNA BEACH, CA 92651 (949) 376-8575 - 610,000 (\$610,000) COMMON STOCK. (FILE 333-47804 - OCT. 12) (BR. 9)
- N-2 BYZANTINE VENTURES INC, 5655 COLLEGE AVE, SUITE 250, OAKLAND, CA 94618 - 200,000 (\$4,000,000) COMMON STOCK. (FILE 333-47808 - OCT. 12) (BR. 20)
- S-8 REMINGTON OIL & GAS CORP, 8201 PRESTON RD, SUITE 600, DALLAS, TX 75225 (214) 890-8000 - 50,000 (\$450,000) COMMON STOCK. (FILE 333-47810 - OCT. 12) (BR. 4)
- S-3 E LOAN INC, 5875 ARNOLD RD., SUITE 100, DUBLIN, CA 94568 (925) 241-2402 - 38,008,477 (\$154,409,438) COMMON STOCK. (FILE 333-47812 - OCT. 12) (BR. 7)
- S-3 MICROSOFT CORP, ONE MICROSOFT WAY #BLDG 8, NORTH OFFICE 2211, REDMOND, WA 98052 (425) 882-8080 - 753,660 (\$40,980,262.50) COMMON STOCK. (FILE 333-47814 - OCT. 12) (BR. 3)
- S-8 KEVCO INC, UNIVERSITY CENTRE I, 1300 S UNIVERSITY DR STE 200, FORT WORTH, TX 76107 (817) 332-2758 - 1,500,000 (\$1,687,500) COMMON STOCK. (FILE 333-47816 - OCT. 12) (BR. 6)
- S-3 STAAR SURGICAL COMPANY, 1911 WALKER AVE, MONROVIA, CA 91016 (818) 303-7902 - 1,500,000 (\$23,437,500) COMMON STOCK. (FILE 333-47820 - OCT. 12) (BR. 5)
- S-8 OLD KENT FINANCIAL CORP /MI/, ONE VANDENBERG CTR, 111 LYON ST NW, GRAND RAPIDS, MI 49503 (616) 771-5000 - 52,855 (\$1,430,388.40) COMMON STOCK. (FILE 333-47822 - OCT. 12) (BR. 7)
- S-8 PINNACLE DATA SYSTEMS INC, 6600 PORT RD, 614-487-1150, GROVEPORT, OH 43125 (614) 748-1150 - 250,000 (\$2,702,500) COMMON STOCK. (FILE 333-47824 - OCT. 12) (BR. 9)
- S-1 CAPTURA SOFTWARE INC, 6710 108TH AVENUE N.E., KIRKLAND, WA 98033 (425) 803-6000 - \$70,000,000 COMMON STOCK. (FILE 333-47826 - OCT. 12)
- S-8 CISCO SYSTEMS INC, 170 W TASMAN DR, SAN JOSE, CA 95134 (408) 526-4000 - 1,546,443 (\$22,626,595.73) COMMON STOCK. (FILE 333-47828 - OCT. 12) (BR. 3)

S-4 DIAMOND TECHNOLOGY PARTNERS INC, 875 NORTH MICHIGAN AVE SUITE 3000,
CHICAGO, IL 60611 (312) 255-5000 - 14,815,377 (\$402,175,000) COMMON
STOCK.
(FILE 333-47830 - OCT. 12) (BR. 8)

S-8 HOLLYWOOD CASINO CORP, TWO GALLERIA TOWER, 13455 NOEL RD LB 48,
DALLAS,
TX 75240 (972) 392-7777 - 50,000 (\$440,500) COMMON STOCK. (FILE 333-
47832
- OCT. 12) (BR. 8)

S-8 SYNPLICITY INC, 935 STEWART DRIVE, SUITE 115, SUNNYVALE, CA 94086
(405) 215-6000 - 13,695,389 (\$93,220,503.07) COMMON STOCK. (FILE
333-47834 - OCT. 12) (BR. 3)

S-8 BRUKER DALTONICS INC, 44 MANNING RD, BILLERICA, MA 01821 - 2,188,000
(\$51,020,706) COMMON STOCK. (FILE 333-47836 - OCT. 12) (BR. 5)

S-8 DYNAMICS RESEARCH CORP, 60 FRONTAGE ROAD, ANDOVER, MA 01810
(978) 475-9090 - 1,750,000 (\$11,985,000) COMMON STOCK. (FILE 333-47838
-
OCT. 12) (BR. 3)

S-4 WILLIAMS COMMUNICATIONS GROUP INC, ONE WILLIAMS CTR, TULSA, OK 74172
(918) 573-2000 - 1,000,000,000 (\$996,983,000) STRAIGHT BONDS. (FILE
333-47840 - OCT. 12) (BR. 7)

F-3 NUR MACROPRINTERS LTD, 5 DAVID NAVON STREET, MOSHAV MAGSHIMIM,
PETAH-TIKVA ISRAEL, L3 (011) 972-3908 - 1,452,301 (\$15,328,601.36)
FOREIGN COMMON STOCK. (FILE 333-47842 - OCT. 12) (BR. 5)

S-8 INTERTAN INC, 3300 HGWY #7, STE 904, CONCORD ONTARIO CANADA, TX
76102
(905) 760-9701 - 60,000 (\$686,400) COMMON STOCK. (FILE 333-47846 -
OCT. 12) (BR. 2)

S-8 MOODYS CORP /DE/, ONE DIAMOND HILL RD, C/O DUN & BRADSTREET,
MURRAY HILL, NJ 07974 (908) 665-5000 - 750,000 (\$17,602,500) COMMON
STOCK.
(FILE 333-47848 - OCT. 12) (BR. 2)

S-3 COLLEGELINK COM INCORP, 55 HAMMARLUND WAY, MIDDLETOWN, RI 02842
(800) 275-5895 - 3,384,080 (\$1,065,985.20) COMMON STOCK. (FILE 333-
47850 -
OCT. 12) (BR. 3)

SB-2 CORZON INC, 1087 BROAD ST, FOURTH FL, BRIDGEPORT, CT 06604
(770) 248-2298 - 42,996,594 (\$1,504,880.80) COMMON STOCK. (FILE 333-
47856
- OCT. 12) (BR. 9)

S-3 USINTERNETWORKING INC, ONE USI PLAZA, ANNAPOLIS, MD 21401 (410) 897-
4400
- \$150,000,000 COMMON STOCK. (FILE 333-47858 - OCT. 12) (BR. 3)

S-8 VOXWARE INC, 168 FRANKLIN CORNER RD, LAWRENCEVILLE, NJ 08648
(609) 514-4100 - 800,000 (\$1,293,760) COMMON STOCK. (FILE 333-47864 -
OCT. 12) (BR. 3)

S-8 BROKAT AKTIENGESELLSCHAFT, INDUSTRIESTRASSE 3, STUTTGART D-70565 GE,
I9
(011) 497-1178 - 1,292,705 (\$43,685,969.69) COMMON STOCK. (FILE 333-
47866
- OCT. 12) (BR. 3)

S-8 INNOVEDA INC, 293 BOSTON POST ROAD WEST, MARLBORO, MA 01752
(508) 480-0881 - 166,831 (\$500,493) COMMON STOCK. (FILE 333-47868 -
OCT. 12) (BR. 3)

S-4 VALLEY NATIONAL BANCORP, 1455 VALLEY RD, WAYNE, NJ 07470 (973) 305-
8800
- 14,331,041 (\$374,326,791) COMMON STOCK. (FILE 333-47870 - OCT. 12)
(BR. 7)

S-3 VIDEO NETWORK COMMUNICATIONS INC, 50 INTERNATIONAL DRIVE,
PORTSMOUTH, NH
03801 (603) 334-6700 - 5,280,000 (\$11,907,456) COMMON STOCK. 264,000
(\$561,000) LIMITED PARTNERSHIP CERTIFICATE. 264,000 (\$1,056,000)
WARRANTS, OPTIONS OR RIGHTS. (FILE 333-47872 - OCT. 13) (BR. 7)

S-8 INFOSPACE INC, 601 108TH AVE NE, SUITE 1200, BELLEVUE, WA 98004
(425) 882-1602 - 30,965,291 (\$620,872,533) COMMON STOCK. (FILE 333-
47874 -
OCT. 13) (BR. 3)

SB-2 SYNERGY TECHNOLOGIES CORP, 210-214 11TH AVENUE SE, CALGARY AB T2G
0X8,
A0 00000 (888) 378-6633 - 8,229,998 (\$28,459,996) COMMON STOCK. (FILE
333-47876 - OCT. 13) (BR. 9)