

# SEC NEWS DIGEST

Issue 2000-193

October 6, 2000

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## COMMISSION ANNOUNCEMENTS

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### FEE RATE ADVISORY #3

The current continuing resolution has been extended through October 14. Therefore, as specified in our previous Fee Rate Advisories dated September 25 and 29, the fee rate on filings made pursuant to Section 6(b) of the Securities Act of 1933 will remain at the current rate of \$264 per \$1,000,000. Please note that when an appropriations bill is enacted, the fee rate will decrease to \$250 per \$1,000,000.

We will issue further notices as appropriate to keep filers and registrants informed of developments affecting the Section 6(b) fee rate. This information will be posted at the SEC's Internet website at <http://www.sec.gov>.

Please contact the Filer Support Unit in the Office of Filings and Information Services at (202) 942-8900 if you have any questions. (Press Rel. 2000-152)

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## ENFORCEMENT PROCEEDINGS

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### NASD BAR OF GORDON KERR SUSTAINED

Gordon Kerr acted as a principal when he was barred from acting in that capacity without approval by the Commission and the NASD. The NASD barred Kerry in all capacities.

In 1983, Kerr consented to Commission findings that he willfully aided and abetted violations of Sections 15(c) and 17(a) of the Securities Exchange Act of 1934 and Exchange Act Rules 15c2-4, 15c3-3, 17a-3, 17a-4, 17a-5, and 17a-11. The Commission barred Kerr from acting as a principal although Kerr could apply for permission to act as a principal after fourteen months.

The Commission found that, in August 1995, Kerr become associated with a broker-dealer as compliance director. Kerr did not seek permission to act as a principal and did not register as a principal. The firm's compliance manual identified the compliance director as a supervisory position. Moreover, Kerr reviewed the firm's trades and reviewed and approved new accounts, activities that required him to register as a principal. Kerr also held himself out to the public and to the NASD as a supervisor. The Commission concluded that Kerr violated the NASD's registration and qualification requirements, as well as its own order, and sustained the NASD's disciplinary action. (Rel. 34-43418; File No. 3-10133)

## **SEC CHARGES FORMER DIRECTOR OF MASSACHUSETTS COMPANY WITH INSIDER TRADING**

On October 5, the Commission filed an injunctive action against Robert D. Happ for illegal insider trading in the stock of Galileo Corporation while he was a director of Galileo. The complaint alleges that Happ sold 4,000 shares of stock in Galileo in advance of the company's July 23, 1998, announcement of a number of adverse events affecting the company, including that its earnings for the third quarter of fiscal 1998 were substantially worse than forecasted. As a result of his sale of Galileo stock, Happ illegally avoided losses of \$34,758. Happ, a resident of Palm Beach, Florida, who also maintains a home in Weston, Massachusetts, was a director of Galileo and chairman of the board of directors' audit committee from 1995 until May 2000. During the relevant period, Galileo was a publicly traded company located in Sturbridge, Massachusetts. Galileo, which later changed its name to NetOptix Corporation, is now owned by Corning, Inc. and its operations are now located in Natick, Massachusetts.

According to the Commission's complaint, in late June 1998, Happ learned from Galileo's chief executive officer that the company had experienced difficulties or problems during its fiscal third quarter ending June 30, 1998. Just days after learning this inside information and prior to the public announcement of Galileo's poor performance during the third quarter and an assortment of other bad news affecting the company, Happ used his inside knowledge that the company was having trouble in the third quarter to sell all 4,000 of his shares of Galileo. By selling when he did, Happ illegally avoided a loss of \$34,758 that he would have faced had he held his shares until after July 23, 1998, when Galileo's public announcement of earnings and other bad news caused the stock's price to decline 64% from \$8.25 per share to \$3 per share.

According to the complaint, Happ violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission seeks to enjoin Happ from continuing to violate these laws. The Commission also seeks disgorgement of the amount of the loss avoided by Happ, plus prejudgment interest thereon. The Commission's complaint further seeks a civil monetary penalty from Happ of up to three times the loss avoided. [SEC v. Robert D. Happ, Civil Action No. 00CV12051-REK, D. Mass.] (LR-16755)

## **DEFENDANT DAVID BARROSO, JR. ENJOINED FOR PARTICIPATION IN SCHEME INVOLVING FRAUDULENT TRANSFER OF SECURITIES ACCOUNT**

The Commission announced today that on September 19, 2000, the United States District Court for the Southern District of New York entered a final consent judgment of permanent injunction and other relief against defendant David Barroso, Jr. The Final Consent Judgment entered against Barroso permanently enjoins Barroso from future violations of the antifraud provisions of the federal securities laws. The Commission also announced today that on September 29, 2000 it instituted and settled administrative proceedings against Barroso in which Barroso consented, without admitting or denying the Commission's findings, to an order barring him from association with any broker or dealer.

The Final Consent Judgment and the Commission's order resulted from a civil injunctive action commenced by the Commission on October 5, 1999, alleging, among other things, that, beginning in March 1998, while associated with Briarwood Investment Counsel, Inc. (Briarwood), a registered broker-dealer, Barroso participated with defendant Marlon D. Tropeano in a scheme to defraud Briarwood customers. Barroso and Tropeano defrauded Briarwood customers by, among other things, transferring, without authorization, the customers' securities brokerage account from Briarwood to Chatfield Dean & Co., Inc., also a registered broker-dealer, selling securities from the account, and misappropriating \$67,550 of the proceeds of those sales.

The Court had earlier entered final judgments by default against Tropeano and relief defendant Isabel Munoz on August 7, 2000 and against relief defendants Golf Emporium Corporation (Golf) and VMC Holdings Inc. (VMC) on March 30 and 31, 2000, respectively. The final judgments against Tropeano and Munoz permanently enjoin Tropeano from future violations of the federal securities laws, and require Tropeano and Munoz to disgorge \$91,497 and \$42,892, respectively. The final judgments against Golf and VMC require each to disgorge \$27,856 and \$19,349, respectively. The amounts ordered disgorged by the Court represent the funds and benefits that Tropeano, Munoz, Golf, and VMC obtained as a result of Tropeano's fraudulent conduct. [SEC v. Marlon D. Tropeano, David Barroso, Jr., Defendants, Golf Emporium Corporation, Isabel Munoz, and V.M.C. Holdings Inc., Relief Defendants, 99 Civ. 10260, JSR, USDC, SDNY] (LR-16756)

## **COMMISSION SUES FORMER INFORMIX CORPORATION SENIOR MANAGER FOR FRAUD AND OTHER VIOLATIONS**

On October 5, the Commission filed a civil injunctive action in the United States District Court for the Northern District of California against Walter Königseder (Königseder), formerly a Vice President of Informix Corporation (Informix or Company). In its complaint, the Commission alleges that Königseder approved, ratified, or directed a variety of actions that aided and abetted Informix's material overstatement of revenue and earnings for 1996 and the final three quarters of that year. The Commission further alleges that, after causing the Company to overstate its revenues and earnings, Königseder lied about his knowledge of, and involvement in, the transactions. According to the complaint, as a result of his misconduct, Königseder violated, or aided

and abetted violations of, antifraud, reporting, record-keeping, and internal control provisions of the Securities Exchange Act of 1934 (Exchange Act). The Commission seeks an order permanently enjoining Königseder from violating, or aiding and abetting violations of, sections 10(b), 13(a), 13(b)(2), and 13(b)(5) of the Exchange Act and Exchange Act rules 10b-5, 12b-20, 13a-1, 13a-13, and 13b2-1.

Separately on October 5, 2000, the Office of the United States Attorney for the Northern District of California (USAO) announced that it had indicted Königseder for wire fraud and criminal securities violations. The civil and criminal actions are the result of investigations by the Commission, the USAO, and the San Francisco office of the Federal Bureau of Investigation.

Previously, the Commission instituted and simultaneously settled an administrative proceeding against Informix. Informix Corp., Admin. Proc. No. 3-10130; SA Rel. No. 33-7788; SEA Rel. No. 34-42326; AAE Rel. No. 1215 (Jan. 11, 2000). [SEC v. Walter Königseder, Civil Action No. C-00-3668, MJJ, N.D. Cal.] (LR-16757; AAE Rel. 1330)

## **ADDITIONAL INFORMATION**

The following is the release number and file number for an administrative release In the Matter of Engineering Animation, Inc. and Michael J. Jablo mentioned in the October 5<sup>th</sup> issue of the Digest: Rel. 34-43416; File No. 3-10343.

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## **INVESTMENT COMPANY ACT RELEASES**

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### **FIRST ALLMERICA FINANCIAL LIFE INSURANCE COMPANY, ET AL.**

A notice has been issued giving interested persons until October 30 to request a hearing on an application filed by First Allmerica Financial Life Insurance Company (First Allmerica) and Allmerica Financial Life Insurance and Annuity Company (Allmerica Financial) together with Separate Account VA-K of First Allmerica, Allmerica Select Separate Account of First Allmerica, Separate Account VA-P of First Allmerica, Separate Account KG of First Allmerica, Separate Account KGC of First Allmerica, Separate Account VA-K of Allmerica Financial, Allmerica Select Separate Account of Allmerica Financial, Separate Account VA-P of Allmerica Financial, Separate Account KG of Allmerica Financial, Separate Account KGC of Allmerica Financial (the Separate Accounts), and Allmerica Investments, Inc. (collectively, the Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act of 1940 (1940 Act) granting exemptive relief from Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the 1940 Act and Rule 22c-1 thereunder to the extent necessary to permit the deduction of a monthly charge from variable deferred annuity contracts (Contracts), for optional insurance riders upon surrender of a Contract, that the Applicant companies will issue through the Separate Accounts or other separate accounts which the Applicant companies may establish in the future (Other Separate Accounts), as well as other contracts, similar in all material respects to the contracts described in the application, that the Applicant

companies may issue in the future through the Separate Accounts or Other Separate Accounts (Future Contracts). Applicants also request that the order being sought extend to any other National Association of Securities Dealers, Inc. member broker-dealers controlling or controlled by, or under common control of First Allmerica or Allmerica Financial, whether existing or created in the future, that serves as distributor or principal underwriter of the Contracts or Future Contracts offered through the Separate Accounts or any Other Separate Account. (Rel. IC-24677 – October 5)

#### **PADCO ADVISORS, INC., ET AL.**

A notice has been issued giving interested persons until October 30 to request a hearing on an application filed by PADCO Advisors, Inc., et al., for an order exempting applicants from Sections 12(d)(1)(A) and (B) of the Investment Company Act and from Section 17(a) of the Act. The order would permit certain registered open-end management investment companies to acquire shares of other registered open-end management investment companies outside the same group of investment companies. (Rel. IC-24678 – October 5)

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#### **HOLDING COMPANY ACT RELEASES**

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#### **VECTREN CORPORATION**

The Commission has issued an order approving an application filed under Sections 9(a)(2) and 10 of the Act by Vectren Corporation (Vectren), an Indiana public-utility holding company exempt from registration under Section 3(a)(1) of the Act by Rule 2, and its wholly owned subsidiary, Vectren Utility Holdings, Inc. (VUHI), in connection with: (1) the formation of VUHI, which will acquire Vectren's public-utility subsidiaries and serve as an intermediate holding company over them; and (2) Vectren's acquisition, through VUHI, of a public-utility company that will hold certain of the natural gas distribution assets of The Dayton Power & Light Company, an Ohio public-utility company. The order reserves jurisdiction over the requests by Vectren and VUHI for orders of exemption from registration under Section 3(a)(1) of the Act. (Rel. 35-27239)

#### **SYSTEM ENERGY RESOURCES, INC., ET AL.**

A notice has been issued giving interested persons until October 30 to request a hearing on a proposal by Entergy Corporation, a registered holding company, System Energy Resources, Inc. (System Energy), Entergy's electric generating subsidiary company, and Entergy Arkansas, Inc., Entergy Louisiana, Inc., Entergy Mississippi, Inc., and Entergy New Orleans, Inc., Entergy's operating subsidiary companies, for System Energy, from time to time through December 31, 2005 to engage in (1) the issuance and sale of First Mortgage Bonds and Debentures not to exceed \$350 million, (2) the arrangement for the issuance and sale of tax-exempt revenue bonds not to exceed \$500 million, (3) the issuance and sale of first mortgage bonds as collateral for the tax-exempt bonds not to exceed \$365 million, (4) the arrangement for the issuance of municipal securities not to

exceed \$100 million, and (5) providing security for obligations in the form of an insurance policy, letter of credit or assignments under existing agreements. (Rel. 35-27240)

## **AGL RESOURCES, ET AL.**

An order has been issued authorizing a proposal by AGL Resources, Inc. (AGLR), an exempt public utility holding company; AGLR's gas utility subsidiary companies, Atlanta Gas Light Company (AGLC) and Chattanooga Gas Company; and Virginia Natural Gas, Inc. (VNG, and collectively, Applicants), a gas utility subsidiary company of Dominion Resources, Inc., a registered holding company. Applicants request authority for AGLR to acquire all the outstanding common stock of VNG. In addition, Applicants request authority to engage in various financing and other related transactions through March 31, 2004. Further, AGLC has been granted an exemption under Section 3(a)(2) of the Public Utility Holding Company Act from all provisions of the Act, except Section 9(a)(2). (Rel. 35-27243)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **PROPOSED RULE CHANGES**

The Boston Stock Exchange filed a proposed rule change (SR-BSE-00-13) relating to electronic trading permits for BEACON Remote units pursuant to Rule 19b-4(e). Publication of the notice in the Federal Register is expected during the week of October 2. (Rel. 34-43394)

The Philadelphia Stock Exchange filed a proposed rule change (SR-Phlx-00-72) amending Rules 501, 505, and 506 regarding allocation and specialist appointment, as well as Rule 748, Supervision. Publication of the proposal is expected in the Federal Register during the week of October 9. (Rel. 34-43407)

### **PARTIAL ACCELERATED APPROVAL OF PROPOSED RULE CHANGE**

The Commission granted partial accelerated approval to a proposed rule change and Amendment No. 1 thereto submitted by the Chicago Board Options Exchange (SR-CBOE-00-46) extending a pilot program relating to the Rapid Opening System. (Rel. 34-43395)

### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES**

A proposed rule change (SR-Amex-00-54) filed by the American Stock Exchange relating to Amex Rule 915 has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 9. (Rel. 34-43405)

A proposed rule change filed by the Cincinnati Stock Exchange (SR-CSE-00-01) amending its rules to accommodate the implementation of decimal pricing has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 9. (Rel. 34-43408)

#### **APPROVAL OF PROPOSED RULE CHANGE**

The Commission approved a proposed rule change (SR-Phlx-00-39) filed by the Philadelphia Stock Exchange, which amends the Exchange By-Laws relating to the disqualification of members of the Board of Governors and others. Publication of the proposal is expected in the Federal Register during the week of October 9. (Rel. 34-43406)

#### **IMMEDIATE EFFECTIVENESS OF PROPOSED AMENDMENT TO THE OPTIONS PRICE REPORTING AUTHORITY'S NATIONAL MARKET SYSTEM PLAN**

The Options Price Reporting Authority filed a notice of filing and immediate effectiveness of a proposed Plan amendment pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934 (SR-OPRA-00-09) increasing the professional subscriber information fees charged by OPRA regarding its basic service. (Rel. 34-43414)

#### **DELISTING GRANTED**

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Unidigital Inc., Common Stock, \$.01 par value. (Rel. 34-43412)

#### **WITHDRAWAL SOUGHT**

A notice has been issued giving interested persons until October 26 to comment on the application of Citizens First Financial Corp. to withdraw its Common Stock, \$.01 par value, from listing and registration on the American Stock Exchange. (Rel. 34-43413)

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#### **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-1 APPLIED EPI INC, 4900 CONSTELLATION DRIVE, ST PAUL, MN 55127  
(651) 482-0800 - \$97,095,978 COMMON STOCK. (FILE 333-46898 - SEP. 29)  
(NEW ISSUE)
- S-8 DELTA AIR LINES INC /DE/, HARTSFIELD ATLANTA INTL AIRPORT,  
1030 DELTA BLVD, ATLANTA, GA 30320 (404) 715-2600 - 2,500,000  
(\$113,282,500) COMMON STOCK. (FILE 333-46904 - SEP. 29) (BR. 5)
- S-8 HAUPPAUGE DIGITAL INC, 91 CABOT COURT, HAUPPAUGE, NY 11788  
(516) 434-1600 - 500,000 (\$3,312,500) COMMON STOCK. (FILE 333-46906 -  
SEP. 29) (BR. 3)
- S-8 CPAC INC, 2364 LEICESTER RD, LEICESTER, NY 14481 (716) 382-3223 -  
24,000 (\$170,625) COMMON STOCK. (FILE 333-46908 - SEP. 29) (BR. 5)
- S-8 HAUPPAUGE DIGITAL INC, 91 CABOT COURT, HAUPPAUGE, NY 11788  
(516) 434-1600 - 100,000 (\$662,500) COMMON STOCK. (FILE 333-46910 -  
SEP. 29) (BR. 3)
- F-3 NIPPON TELEGRAPH & TELEPHONE CORP, 19-2 NISHI-SHINJUKU 3-CHOME,  
SHINJUKU-KU, TOKYO 163-19 JAPAN, MO 00000 - 200,000 (\$2,260,516,000)  
FOREIGN COMMON STOCK. (FILE 333-46912 - SEP. 29) (BR. 7)
- S-8 QUANTECH LTD /MN/, 1419 ENERGY PARK DRIVE, ST PAUL, MN 55108 -  
2,000,000 (\$6,812,500) COMMON STOCK. (FILE 333-46914 - SEP. 29) (BR.  
5)
- S-8 IC ISAACS & CO INC, 3840 BANK ST, BALTIMORE, MD 21224 (410) 342-8200  
-  
600,000 (\$978,000) COMMON STOCK. (FILE 333-46916 - SEP. 29) (BR. 2)
- S-8 KIRLIN HOLDING CORP, 6901 JERICHO TURNPIKE, SYOSSET, NY 11791  
(800) 899-9400 - 12,090,584 (\$27,725,833.45) COMMON STOCK. (FILE  
333-46918 - SEP. 29) (BR. 7)
- S-8 ABRAMS INDUSTRIES INC, 1945 THE EXCHANGE, SE, SUITE 300, ALTANTA, GA  
30339 (770) 953-0304 - 1,000,000 (\$3,625,000) COMMON STOCK. (FILE  
333-46920 - SEP. 29) (BR. 6)
- S-8 AMERICAN EDUCATION CORPORATION, 7506 N BROADWAY EXTN, SUITE 505,  
OKLAHOMA CITY, OK 73116 (405) 840-6031 - 600,000 (\$319,750) COMMON  
STOCK.  
(FILE 333-46924 - SEP. 29) (BR. 5)
- S-8 NORAM GAMING & ENTERTAINMENT INC, THREE CANTON SQ, STE 460, TOLEDO,  
OH  
43624 (801) 485-7775 - 5,000,000 (\$600,000) COMMON STOCK. (FILE 333-  
46926  
- SEP. 29) (BR. 5)



S-8 FREEDOM SURF INC, 207 W 138TH ST, LOS ANGELES, CA 90061 (714) 969-0123  
- 120,000 (\$120,000) COMMON STOCK. (FILE 333-46928 - SEP. 29) (BR. 9)

F-1 UBS AG, BAHNHOFSTRASSE 45, ZURICH SWITZERLAND, V8 00000 -  
2,000,000,000  
(\$2,000,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-46930 -  
SEP. 29) (BR. 7)

S-3 INTERNATIONAL FLAVORS & FRAGRANCES INC, 521 W 57TH ST, NEW YORK, NY  
10019 (212) 765-5500 - 5,050,000 (\$107,272,140) COMMON STOCK. (FILE  
333-46932 - SEP. 29) (BR. 2)

S-8 LIBERTY MINT LTD, 975 NORTH 1430 WEST, OREM, UT 84059 (801) 373-9300  
-  
180,000 (\$33,750) COMMON STOCK. (FILE 333-46934 - SEP. 29) (BR. 9)

S-8 DIRECT FOCUS INC, 2200 NE 65TH AVENUE, VANCOUVER, WA 98661  
(360) 418-6178 - 100,000 (\$3,525,000) COMMON STOCK. (FILE 333-46936 -  
SEP. 29) (BR. 5)

S-8 ACE COMM CORP, 704 QUINCE ORCHARD RD, GAITHERBURG, MD 20878  
-  
(301) 258-9850 - 1,000,000 (\$7,906,500) COMMON STOCK. (FILE 333-46938  
SEP. 29) (BR. 7)

S-8 SOUTHTRUST CORP, 420 N 20TH ST, BIRMINGHAM, AL 35203 (205) 254-5000  
-  
5,000,000 (\$149,218,750) COMMON STOCK. (FILE 333-46940 - SEP. 29) (BR.  
7)

S-8 TIDALWAVE HOLDINGS INC, 1831 NORTHEAST 45TH ST, FORT LAUDERDALE, FL  
33308 (954) 255-6753 - 147,059 (\$19,117.67) COMMON STOCK. (FILE 333-  
46942  
- SEP. 29) (BR. 7)

S-8 UNIVEX INC, 22 DUBON COURT, FARMINGDALE, NY 11735 (516) 777-2000 -  
1,000,000 (\$450,000) COMMON STOCK. (FILE 333-46944 - SEP. 29) (BR. 9)

SB-2 BELFLEUR INC, 404 SCOTT POINT DRIVE, SALT SPRING ISLAND,  
BC CANADA V8K 2R2, A1 00000 (250) 537-5732 - 1,000,000 (\$10,000)  
COMMON STOCK. (FILE 333-46946 - SEP. 29) (NEW ISSUE)

S-8 CRYOCON INC, 2250 NORTH 1500 WEST, OGDEN, UT 84404 (801) 395-2796 -  
45,000 (\$192,600) COMMON STOCK. (FILE 333-46950 - SEP. 29) (BR. 6)

S-8 LEGGETT & PLATT INC, NO 1 LEGGETT RD, CARTHAGE, MO 64836 (417) 358-  
8131  
- 3,000,000 (\$43,782,000) COMMON STOCK. (FILE 333-46952 - SEP. 29)  
(BR. 6)

S-3 TOTAL TEL USA COMMUNICATIONS INC, OVERLOOK AT GREAT NOTCH 150 CLOVE  
RD,  
BOX 449, LITTLE FALLS, NJ 07424 (973) 812-1100 - 2,454,661  
(\$10,285,029)  
COMMON STOCK. (FILE 333-46954 - SEP. 29) (BR. 7)

S-8 INTERNATIONAL ISOTOPES INC, 1500 SPENCER RD, DENTON, TX 76205  
(940) 484-9492 - 550,000 (\$1,925,000) COMMON STOCK. (FILE 333-46956 -  
SEP. 29) (BR. 1)

S-8 MALAN REALTY INVESTORS INC, 30200 TELEGRAPH RD, STE 105, BIRMINGHAM,  
MI  
48025 (248) 644-7110 - 6,550 (\$86,787.60) COMMON STOCK. (FILE 333-  
46958 -  
SEP. 29) (BR. 8)

S-8 CONAGRA INC /DE/, ONE CONAGRA DR, OMAHA, NE 68102 (402) 595-4000 -  
50,000 (\$943,750) COMMON STOCK. (FILE 333-46962 - SEP. 29) (BR. 4)

S-3 ESENJAY EXPLORATION INC, 500 N WATER STREET, SUITE 1100, CORPUS  
CHRISTI,  
TX 78471 (512) 883-7464 - 99,566 (\$317,366.63) COMMON STOCK. (FILE  
333-46964 - SEP. 29) (BR. 4)

S-1 CHESAPEAKE ENERGY CORP, 6100 N WESTERN AVE, OKLAHOMA CITY, OK 73118  
(405) 848-8000 - 3,694,939 (\$23,669,779) COMMON STOCK. (FILE 333-46966  
-  
SEP. 29) (BR. 4)

S-8 ESENJAY EXPLORATION INC, 500 N WATER STREET, SUITE 1100, CORPUS  
CHRISTI,  
TX 78471 (512) 883-7464 - 2,830,585 (\$7,182,989.69) COMMON STOCK.  
(FILE  
333-46968 - SEP. 29) (BR. 4)

S-3 COMVERSE TECHNOLOGY INC/NY/, 170 CROSSWAYS PARK DR, WOODBURY, NY  
11797  
(516) 677-7200 - 5,676,593 (\$514,072,262) COMMON STOCK. (FILE 333-  
46970 -  
SEP. 29) (BR. 7)

S-8 PROTON ENERGY SYSTEMS INC, 50 INWOOD RD, ROCKY HILL, CT 06067  
(860) 571-6533 - 7,901,689 (\$111,141,651) COMMON STOCK. (FILE 333-  
46972 -  
SEP. 29) (BR. 5)

S-8 BON TON STORES INC, 2801 E MARKET ST, YORK, PA 17402 (717) 757-7660  
-  
400,000 (\$825,000) COMMON STOCK. (FILE 333-46974 - SEP. 29) (BR. 2)

S-3 NEXMED INC, 350 CORPORATE BLVD, ROBBINSVILLE, NJ 08691 (609) 208-  
9688 -  
5,596,573 (\$108,258,708.97) COMMON STOCK. (FILE 333-46976 - SEP. 29)  
(BR. 9)

F-3 PIVOTAL CORP, 300-244 WEST ESPLANADE, NORTH VANCOUVER BRITISH  
COLUMBIA,  
CANADA, (604) 988-9982 - 2,116,713 (\$119,530,783) FOREIGN COMMON STOCK.  
(FILE 333-46978 - SEP. 29) (BR. 8)

S-8      CONSTELLATION ENERGY GROUP INC, 250 W PRATT STREET, BALTIMORE, MD  
21201  
(410) 234-5685 - 2,000,000 (\$90,250,000) COMMON STOCK. (FILE 333-46980  
-  
SEP. 29) (BR. 2)

S-3      URSUS TELECOM CORP, 440 SAWGRASS CORPORATE PKWY, STE 112, SUNRISE,  
FL  
33325 (954) 846-7887 - 149,053 (\$652,106) COMMON STOCK. (FILE 333-  
46982 -  
SEP. 29) (BR. 7)

S-4      INTERSIL HOLDING CO, 7585 IRVINE CENTER DR, STE 100, IRVINE, CA  
92618  
(949) 341-7062 - 3,500,000 (\$172,690,000) COMMON STOCK. (FILE 333-  
46984 -  
SEP. 29) (BR. 5)

S-3      HASBRO INC, 1027 NEWPORT AVE, P O BOX 1059, PAWTUCKET, RI 02861  
(401) 431-8697 - 500,000 (\$5,391,250) COMMON STOCK. (FILE 333-46986 -  
SEP. 29) (BR. 5)

S-2      CAMBRIDGE HEART INC, ONE OAK PARK DR, BEDFORD, MA 01730 (781) 271-  
1200  
- 3,268,250 (\$12,517,398) COMMON STOCK. (FILE 333-46988 - SEP. 29)  
(BR. 5)

S-8      QUESTCOR PHARMACEUTICALS INC, 26118 RESEARCH ROAD, HAYWARD, CA 94545  
(510) 732-5551 - 600,000 (\$956,400) COMMON STOCK. (FILE 333-46990 -  
SEP. 29) (BR. 1)

S-1      AMERICAN MEDICAL LABORATORIES INC, 14225 NEWBROOK DR, CHANTILLY, VA  
20153 - \$115,000,000 COMMON STOCK. (FILE 333-46992 - SEP. 29) (NEW  
ISSUE)

S-8      VIZACOM INC, GLENPOINTE CENTER EAST, 300 FRANK W BURR BOULEVARD,  
TEANECK, NJ 07666 (201) 928-1001 - 15,000,000 (\$21,600,000) COMMON  
STOCK.  
(FILE 333-46994 - SEP. 29) (BR. 3)

S-8      PEOPLESOFT INC, 4460 HACIENDA DR POST OFFICE BOX 8015, PLEASANTON,  
CA  
94588 (510) 225-3000 - 17,611,360 (\$488,169,287.84) COMMON STOCK.  
(FILE  
333-46998 - SEP. 29) (BR. 3)

S-8      PEOPLESOFT INC, 4460 HACIENDA DR POST OFFICE BOX 8015, PLEASANTON,  
CA  
94588 (510) 225-3000 - 10,000,000 (\$277,190,000) COMMON STOCK. (FILE  
333-47000 - SEP. 29) (BR. 3)

S-8      CHOICE ONE COMMUNICATIONS INC, 100 CHESTNUT ST, SUITE 700,  
ROCHESTER, NY  
14604 (716) 246-4231 - 4,036,209 (\$55,088,092) COMMON STOCK. (FILE  
333-47002 - SEP. 29) (BR. 7)

S-3 MYPOINTS COM INC, 100 CALIFORNIA ST, 11TH FLOOR, SAN FRANCISCO, CA  
94111  
(415) 676-3700 - 270,000 (\$1,392,187.50) COMMON STOCK. (FILE 333-47004  
-  
SEP. 29) (BR. 3)

S-3 IGI INC, WHEAT RD AND LINCOCN AVE, P O BOX 687, BUENA, NJ 08310  
(609) 697-1441 - 1,907,543 (\$2,384,428.75) COMMON STOCK. (FILE 333-  
47006 -  
SEP. 29) (BR. 1)

S-8 CHOICE ONE COMMUNICATIONS INC, 100 CHESTNUT ST, SUITE 700,  
ROCHESTER, NY  
14604 (716) 246-4231 - 531,896 (\$5,930,640) COMMON STOCK. (FILE 333-  
47008  
- SEP. 29) (BR. 7)

S-3 FRISBY TECHNOLOGIES INC, 3195 CENTRE PARK BLVD, WINSTON SALEM, NC  
27107  
(336) 784-7754 - 100,000 (\$390,620) COMMON STOCK. (FILE 333-47010 -  
SEP. 29) (BR. 9)

N-2 GABELLI EQUITY TRUST INC, ONE CORP CENTER, RYE, NY 10580 (914) 921-  
5070  
- \$143,168,823 COMMON STOCK. (FILE 333-47012 - SEP. 29) (BR. 22)

S-8 CORECOMM LTD /DE/, 50 MONUMENT RD, BALA CYNWYD, PA 19004 -  
37,705,000  
(\$322,849,062.50) COMMON STOCK. (FILE 333-47014 - SEP. 29) (BR. 7)

S-4 SENTRY TECHNOLOGY CORP, 350 WIRELESS BLVD, HAUPPAUGE, NY 11788  
(514) 232-2100 - 28,666,665 (\$3,439,999) COMMON STOCK. (FILE 333-47018  
-  
SEP. 29) (BR. 7)

S-8 SONICWALL INC, 1160 BORDEAUX DRIVE, SUNNYVALE, CA 94089 (408) 745-  
9600  
- 1,894,030 (\$54,216,608) COMMON STOCK. (FILE 333-47020 - SEP. 29)  
(BR. 8)

S-3 BANK ONE CORP, 1 BANK ONE PLAZA, CHICAGO, IL 60670 (312) 732-4000  
(FILE  
333-47022 - SEP. 29) (BR. 7)

S-8 AGILENT TECHNOLOGIES INC, 395 PAGE MILL ROAD, MS A 3-10, PALO ALTO,  
CA  
94306 (650) 752-5000 - 35,000,000 (\$1,405,687,500) COMMON STOCK. (FILE  
333-47024 - SEP. 29) (BR. 36)

S-8 DIGITAL RIVER INC /DE, 9625 W 76TH STREET SUITE 150, EDEN PRAIRIE,  
MN  
55344 (612) 263-1234 - 1,700,000 (\$10,079,307.50) COMMON STOCK. (FILE  
333-47026 - SEP. 29) (BR. 3)

S-3 APW LTD, N22 W23685 RIDGEVIEW PKWY WEST, WAUKESHA, WI 53188  
 (262) 523-7600 - \$500,000,000 STRAIGHT BONDS. (FILE 333-47028 - SEP.  
 29)  
 (BR. 5)

S-1 XENOGEN CORP, 860 ATLANTIC AVENUE, ALAMEDA, CA 94501 (510) 291-6100  
 -  
 \$86,250,000 COMMON STOCK. (FILE 333-47030 - SEP. 29)

S-3 ALLIANCE PHARMACEUTICAL CORP, 6175 LUSK BLVD, SAN DIEGO, CA 92121  
 (858) 410-5200 - 900,902 (\$13,792,810) COMMON STOCK. (FILE 333-47032 -  
 SEP. 29) (BR. 1)

S-3 P COM INC, 3175 S WINCHESTER BLVD, CAMPBELL, CA 95008 (408) 866-3666  
 -  
 8,180,216 (\$47,936,065.76) COMMON STOCK. (FILE 333-47034 - SEP. 29)  
 (BR. 7)

S-3 SILICON STORAGE TECHNOLOGY INC, 1171 SONORA CT, SUNNYVALE, CA 94086  
 (408) 735-9110 - 5,750,000 (\$153,453,125) COMMON STOCK. (FILE 333-  
 47036 -  
 SEP. 29) (BR. 5)

S-3 VITECH AMERICA INC, 8807 NW 23RD STREET, 305-477-1161, MIAMI, FL  
 33172  
 (305) 477-1161 - 750,120 (\$2,986,673) COMMON STOCK. (FILE 333-47038 -  
 SEP. 29) (BR. 3)

S-1 BRIENCE INC, 128 SPEAR ST, STE 300, SAN FRANCISCO, CA 94105  
 (415) 974-5300 - \$100,000,000 COMMON STOCK. (FILE 333-47040 - SEP. 29)

S-3 SILICON STORAGE TECHNOLOGY INC, 1171 SONORA CT, SUNNYVALE, CA 94086  
 (408) 735-9110 - 172,500,000 (\$172,500,000)  
 CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-47042 - SEP. 29) (BR. 5)

S-8 VASTERA INC, 45025 AVIATION DR, STE 200, DULLES, VA 20166 -  
 19,350,000  
 (\$328,950,000) COMMON STOCK. (FILE 333-47046 - SEP. 29) (BR. 4)

S-3 CORVAS INTERNATIONAL INC, 3030 SCIENCE PARK RD, SAN DIEGO, CA 92121  
 (619) 455-9800 - 5,750,000 (\$123,970,000) COMMON STOCK. (FILE 333-  
 47050 -  
 SEP. 29) (BR. 1)

S-8 LIFEMINDERS INC, 1110 HERNDON PKWY, HERNDON, VA 20170 (703) 707-8261  
 -  
 249,206 (\$3,075,202.04) COMMON STOCK. (FILE 333-47052 - SEP. 29) (BR.  
 8)

S-8 WEB4BOATS COM INC, PO BOX 1028, LA JOLLA, CA 92038 (858) 551-8160 -  
 340,000 (\$154,700) COMMON STOCK. (FILE 333-47054 - SEP. 29) (BR. 9)

S-2 UNIVERSITY BANCORP INC /DE/, 959 MAIDEN LANE, ANN ARBOR, MI 48105  
 (906) 635-9794 - 1,525,000 (\$1,525,000) COMMON STOCK. (FILE 333-47056  
 -  
 SEP. 29) (BR. 7)

S-3 OSI PHARMACEUTICALS INC, 106 CHARLES LINDBERGH BLVD, UNIONDALE, NY  
11553 (516) 222-0023 - 5,175,000 (\$347,863,500) COMMON STOCK. (FILE 333-  
47060 - SEP. 29) (BR. 1)

S-3 SIEBEL SYSTEMS INC, 1855 SOUTH GRANT STREET, SAN MATEO, CA 94402  
(650) 295-5000 - 9,565,537 (\$963,184,128) COMMON STOCK. (FILE 333-  
47062 - SEP. 29) (BR. 3)

S-3 LEXINGTON CORPORATE PROPERTIES TRUST, 355 LEXINGTON AVE, NEW YORK,  
NY 10017 (212) 692-7260 - 500,000 (\$5,781,000) COMMON STOCK. (FILE 333-  
47064 - SEP. 29) (BR. 8)

S-3 METRIS COMPANIES INC, 600 S HIGHWAY 169, STE 1800, ST LOUIS PARK, MN  
55426 (612) 525-5020 (FILE 333-47066 - SEP. 29) (BR. 7)

S-3 CALPINE CAPITAL TRUST III, 50 WEST SAN FERNANDO STREET, SAN JOSE, CA  
95113 (408) 995-5115 - 10,350,000 (\$517,500,000) PREFERRED STOCK.  
(FILE 333-47068 - SEP. 29) (NEW ISSUE)

S-3 XCEED INC, 233 BROADWAY, NEW YORK, NY 10022 (212) 753-5511 -  
32,108,787 (\$58,699,679) COMMON STOCK. (FILE 333-47070 - SEP. 29) (BR. 3)

S-8 CURON MEDICAL INC, 735 PALOMAR AVENUE, SUNNYVALE, CA 94086  
(408) 733-9910 - 3,916,228 (\$30,876,572) COMMON STOCK. (FILE 333-47072  
- SEP. 29) (BR. 5)

S-3 24/7 MEDIA INC, 1250 BROADWAY, 27TH FLOOR, NEW YORK, NY 10001  
(212) 231-7100 - 2,000,000 (\$19,625,000) COMMON STOCK. (FILE 333-47074  
- SEP. 29) (BR. 2)

S-8 FRANKLIN TELECOMMUNICATIONS CORP, 733 LAKEFIELD RD, WESTLAKE  
VILLAGE, CA 91361 (805) 373-8688 - 200,000 (\$200,000) COMMON STOCK. (FILE 333-  
47076 - SEP. 29) (BR. 3)

S-1 GOODRICH PETROLEUM CORP, 815 WALKER, SUITE 1040, HOUSTON, TX 77002  
(713) 780-9494 - \$29,109,375 COMMON STOCK. (FILE 333-47078 - SEP. 29)  
(BR. 4)

S-8 C H ROBINSON WORLDWIDE INC, 8100 MITCHELL ROAD, #200, EDEN PRAIRIE,  
MN 55344 (612) 937-8500 - 10,000,000 (\$10,000,000)  
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-47080 - SEP. 29)  
(BR. 5)

S-1 EMISSIONS TESTING INC, 400 COLONY PARK, BLDG 104 STE 600, CUMMING,  
GA  
30041 (678) 947-6718 - 3,845,000 (\$2,845,000) COMMON STOCK. (FILE  
333-47082 - SEP. 29)

S-1 NCT GROUP INC, 20 KETCHUM ST, WESTPORT, CT 06880 (203) 226-4447 -  
114,255,850 (\$35,305,058) COMMON STOCK. (FILE 333-47084 - SEP. 29)  
(BR. 5)

S-8 MILLENNIUM CELL INC, INDUSTRIAL WAY WEST, EATONTOWN, NJ 07724  
(732) 542-4000 - 5,500,000 (\$112,750,000) COMMON STOCK. (FILE 333-  
47086 -  
SEP. 29) (BR. 5)

S-8 INKINE PHARMACEUTICAL CO INC, 1720 WALTON RD, SUITE 200, BLUE BELL,  
PA  
19422 (610) 260-9350 - 1,000,000 (\$3,700,000) COMMON STOCK. (FILE  
333-47088 - SEP. 29) (BR. 1)

SB-2 VEQUITY CORP, 2305 E ARAPAHOE ROAD SUITE 220, LITTLETON, CO 80122 -  
8,560,000 (\$52,000,000) COMMON STOCK. (FILE 333-47090 - SEP. 29) (BR.  
9)

SB-2 SOONER HOLDINGS INC /OK/, 2535 WEST I-40, OKLAHOMA CITY, OK 73108  
(405) 236-8332 - 1,000,000 (\$295,000) COMMON STOCK. (FILE 333-47092 -  
SEP. 29) (BR. 2)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AAMES CAPITAL CORP	CA					X	X					09/18/00	
ACCREDITED HOME LENDERS INC	DE						X					09/25/00	
AIMCO PROPERTIES LP	DE	X					X					09/20/00	
AK STEEL HOLDING CORP	DE					X	X					10/02/00	
ALTEON INC /DE	DE					X	X					09/29/00	
ALTERNATE MARKETING NETWORKS INC	MI	X					X					09/19/00	
AMERICA SERVICE GROUP INC /DE	DE	X					X					09/20/00	
AMERICAN ACCESS TECHNOLOGIES INC	FL					X						09/14/00	
AMERICAN BIO MEDICA CORP	NY					X	X					10/04/00	
AMERICAN RESIDENTIAL EAGLE BOND TRU ST 1999-2 COLLATERALIZED	DE					X	X					09/25/00	
AMORTIZING RESI COLLATERAL TR MOR P AS THRU CERT SER 2000-BC3	DE						X					09/25/00	
AMRESCO CAPITAL TRUST	TX					X	X					09/26/00	
APARTMENT INVESTMENT & MANAGEMENT C O	MD	X					X					09/20/00	
APPLIED VOICE RECOGNITION INC /DE/	DE					X	X					08/01/00	
AQUIS COMMUNICATIONS GROUP INC	DE					X	X					10/04/00	
ASIAN STAR DEVELOPMENT INC /NV	NV					X						10/04/00	
BANC OF AMERICA FUNDING CORP	DE						X					09/20/00	
BATTLE MOUNTAIN GOLD CO	NV					X	X					10/04/00	
BCS INVESTMENT CORP	CO	X	X			X						09/25/00	
BEACON CAPITAL PARTNERS INC	MD					X	X					10/03/00	
BEAR STEARNS ASSET BAC SE INC MOR B A LIB NOT CL A SE 1999-1	DE					X	X					09/25/00	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE						X					09/26/00	
BESTFOODS	DE					X	X					10/04/00	
BREED TECHNOLOGIES INC	DE					X	X					09/29/00	
CALIBER LEARNING NETWORK INC	MD					X	X					09/29/00	
CANADIAN GENERAL CAPITAL	DE					X	X					06/30/00	
CANADIAN GENERAL CAPITAL	DE					X	X					09/30/00	
CD WAREHOUSE INC	DE					X	X					08/25/00	
CELLEGY PHARMACEUTICALS INC	CA					X						10/02/00	
CENTENNIAL BANCORP	OR	X										10/02/00	
CENTRAL FINANCIAL ACCEPTANCE CORP	DE					X	X					08/11/00	
CENTURYTEL INC	LA	X				X	X					09/29/00	
CFI MORTGAGE INC	DE	X					X					01/13/00	
CFI MORTGAGE INC	DE	X					X					05/01/00	
CFI MORTGAGE INC	DE	X					X					08/02/00	
CFW COMMUNICATIONS CO	VA								X			10/03/00	
CHAMPIONSHIP AUTO RACING TEAMS INC	DE					X						10/03/00	
CHASE COMMERCIAL MORT SEC CORP COM MOR PAS THR CER SE 2000 3	NY					X	X					09/28/00	
CHELL GROUP CORP	NY	X					X					09/19/00	
CHESAPEAKE ENERGY CORP	OK					X	X					10/03/00	
CIRRUS LOGIC INC	DE					X						09/28/00	
CIRRUS LOGIC INC	DE					X						09/28/00	
CIRTRAN CORP	NV						X					07/01/00	AMEND
CITADEL HOLDING CORP	DE	X				X	X					09/19/00	
CLEAR CHANNEL COMMUNICATIONS INC	TX					X	X					10/05/00	
COAST FEDERAL LITIGATION CONTINGENT PAYMENT RIGHTS TRUST	DE					X	X					10/03/00	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
COHOES BANCORP INC	DE				X		X				09/28/00	
COMDIAL CORP	DE				X						09/15/00	
COMMUNITY FINANCIAL CORP /IL/	IL				X		X				10/03/00	
COMPUTER ASSOCIATES INTERNATIONAL I NC	DE				X		X				10/04/00	
CONSECO FINANCE CORP	DE								X		10/05/00	
CONSECO FINANCE SECURITIZATIONS COR P	DE								X		10/05/00	
CONSOLIDATED EDISON CO OF NEW YORK INC	NY				X		X				09/22/00	
CONSOLIDATED EDISON INC	NY				X		X				09/22/00	
CONSTELLATION 3D INC	FL				X		X				09/19/00	
CONVERSION TECHNOLOGIES INTERNATION AL INC	DE				X		X				10/04/00	
CORNERSTONE INTERNET SOLUTIONS CO / DE/	DE				X		X				09/25/00	
CORNING INC /NY	NY	X									06/30/00	
COVAD COMMUNICATIONS GROUP INC	DE				X		X				09/22/00	
CYTOGEN CORP	DE				X						09/29/00	
D&N CAPITAL CORP	DE				X		X				10/05/00	
DEUTSCHE MORTGAGE & ASSET RECEIVING CORP SERIES 2000-C1	DE				X		X				09/20/00	
DOUBLECLICK INC	DE				X		X				10/02/00	
DUN & BRADSTREET CORP/NW	DE				X		X				09/30/00	
EDG CAPITAL INC	NY				X		X				09/29/00	
EDG CAPITAL INC	NY				X		X				09/29/00	AMEND
EFFICIENT NETWORKS INC	DE				X						10/05/00	
EMMIS COMMUNICATIONS CORP	IN				X		X				09/26/00	
ENCORE MEDICAL CORP	DE				X		X				10/02/00	
ENERGY CONVERSION DEVICES INC	DE				X						09/21/00	
EQUIFAX INC	GA				X						10/02/00	
EVERGREEN RESOURCES INC	CO		X					X			10/04/00	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									10/04/00	
FELCOR LODGING TRUST INC	DE				X		X				10/04/00	
FIDELITY FEDERAL BANCORP	IN								X		10/05/00	
FIRST INDIANA CORP	IN	X									09/29/00	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	X									09/18/00	
FORD MOTOR CO	DE	X	X								10/03/00	
FRISCHS RESTAURANTS INC	OH				X						10/02/00	
GB HOLDINGS INC	DE			X			X				10/05/00	
GB PROPERTY FUNDING CORP	DE			X			X				10/05/00	
GLOBALMEDIA COM	NV				X		X				09/07/00	
GLOBALNET FINANCIAL COM INC	DE		X				X				08/01/00	AMEND
GOLDEN STAR RESOURCES LTD					X						09/29/00	
GOPUBLICNOW COM INC	DE				X		X	X			10/02/00	
GRACE DEVELOPMENT INC	CO				X		X				10/05/00	
GRAND COURT LIFESTYLES INC	DE				X		X				09/20/00	
GRAND UNION CO /DE/	DE			X			X				10/03/00	
GRANT GEOPHYSICAL INC	DE			X							10/04/00	
GREATE BAY HOTEL & CASINO INC	NJ			X			X				10/05/00	
GREENE COUNTY BANCSHARES INC	TN				X		X				06/30/00	
GST TELECOMMUNICATIONS INC	DE		X								09/21/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HANSEN NATURAL CORP	DE		X								09/20/00	
HAWKER PACIFIC AEROSPACE	CA	X							X		09/20/00	
HOLLYWOOD COM INC	FL				X	X					09/29/00	
IDEX CORP /DE/	DE				X						10/05/00	
ILLUMINET HOLDINGS INC	DE				X	X					10/05/00	
INAMED CORP	DE				X						10/01/00	
INCYTE GENOMICS INC	DE	X									09/18/00	
INDEPENDENT BANKSHARES INC	TX			X		X					09/27/00	
INFOTOPIA INC	NV		X		X	X	X				04/25/00	AMEND
INNOVATIVE CLINICAL SOLUTIONS LTD	DE	X			X	X					09/21/00	
INTELEFILM CORP	MN				X	X					10/04/00	
INTELLICALL INC	DE			X							10/04/00	
INTERCOUNTY BANCSHARES INC	OH				X						10/04/00	
INTERNAP NETWORK SERVICES CORP/WA	WA		X				X				07/31/00	AMEND
INTERNATIONAL MENU SOLUTIONS CORP	NV				X	X					09/20/00	
INTERNATIONAL PAPER CO /NEW/	NY				X	X					10/02/00	
INTERNATIONAL SPEEDWAY CORP	FL				X						10/05/00	
JEREMYS MICROBATCH ICE CREAMS INC	DE				X	X					09/27/00	
KANSAS CITY SOUTHERN INDUSTRIES INC	DE				X	X					09/27/00	
KROLL O GARA CO	OH				X						10/04/00	
LCA VISION INC	DE				X	X					10/03/00	
LEGALOPINION COM	NV	X									10/04/00	
LIFEPOINT HOSPITALS INC	DE				X	X					09/29/00	
LNR PROPERTY CORP	DE							X			10/05/00	
LOEWEN GROUP INC					X	X					10/02/00	
L90 INC	DE		X				X				07/24/00	AMEND
MARGATE INDUSTRIES INC	DE				X						10/02/00	
MATTEL INC /DE/	DE				X	X					10/04/00	
MAXUS REALTY TRUST INC	MO				X						09/19/00	
MEDIX RESOURCES INC	CO				X						09/30/00	
MELLON RESIDENTIAL FUNDING COR MOR	NY						X				09/25/00	
PAS THR CER SER 2000-TBC1												
METAWAVE COMMUNICATIONS CORP	DE		X				X				09/21/00	
MICROGRAFX INC	TX				X	X					09/28/00	
MICROMUSE INC	DE		X				X				07/18/00	AMEND
MICROTEL INTERNATIONAL INC	DE				X						09/22/00	
MITEK SYSTEMS INC	DE				X	X					10/02/00	
MK GOLD CO	DE				X		X				09/28/00	
MOODYS CORP /DE/	DE				X	X					09/30/00	
MORGAN STANLEY ABS CAPITAL I INC MS	DE						X				09/25/00	
DWCC HELOC TRUST 2000-1												
MORGAN STANLEY ABS CAPITAL I INC MS	DE						X				09/25/00	
DWCC HELOC TRUST 2000-1												
MORTGAGE PASS THROUGH CERT SERIES 2	DE						X				09/25/00	
000-1												
MRV COMMUNICATIONS INC	DE						X				07/12/00	AMEND
NATURAL MICROSYSTEMS CORP	DE						X				10/05/00	
NEORX CORP	WA				X	X					10/03/00	
NETCREATIONS INC	NY				X	X					10/02/00	
NETMASTER INC	NV				X						10/04/00	
NETRADIO CORP	MN				X	X					10/02/00	
NETWORK COMMERCE INC	WA				X	X					09/29/00	
NETWORK SIX INC	RI	X									10/05/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NEWTEK CAPITAL INC	NY		X						X		09/19/00	
NEXTEL COMMUNICATIONS INC	DE					X	X				10/05/00	
NICOLE INDUSTRIES INC	NV	X									07/31/00	AMEND
NORWEST ASSET SECURITIES CORP MORT PASS THR CERT SER 2000 1	DE								X		09/25/00	
NORWEST INTEGRATED STRUCTURED ASSET S INC SERIES 2000-1 TRUST	DE								X		09/25/00	
NVIDIA CORP/CA	DE								X		10/05/00	
ON ASSIGNMENT INC	DE					X	X				08/24/00	
ON SITE SOURCING INC	DE					X					10/02/00	
ONVIA COM INC	DE					X	X				09/28/00	
OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2000-1	DE								X		09/25/00	
OPTION ONE MORT ACCEPT CORP ASSET B ACKED CERT SER 2000-2	DE								X		09/25/00	
ORANGE & ROCKLAND UTILITIES INC	NY					X	X				09/22/00	
ORTEC INTERNATIONAL INC	DE					X	X				09/22/00	
OXFORD RESIDENTIAL PROPERTIES I LTD PARTNERSHIP	MD					X	X				09/20/00	
OXFORD TAX EXEMPT FUND II LTD PARTN ERSHIP	MD					X	X				09/20/00	
PAYFORVIEW COM CORP /NV/	NV		X						X		10/02/00	AMEND
PH GROUP INC	OH					X	X				09/28/00	
PHILIPS INTERNATIONAL REALTY CORP	MD					X	X				10/02/00	
PITNEY BOWES INC /DE/	DE	X									10/04/00	
POLO RALPH LAUREN CORP	DE					X	X				10/05/00	
POWER EXPLORATION INC	NV					X					09/27/00	
PROFESSIONAL BENEFITS INSURANCE CO	TX								X		08/31/00	
PROTEIN DESIGN LABS INC/DE	DE					X	X				09/07/00	
PROVIDENT BANK	OH								X		09/25/00	
PROVIDENT BANK	OH								X		09/25/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM					X					10/03/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM					X					10/03/00	
QUEST SOFTWARE INC	CA								X		09/11/00	AMEND
RENTRAK CORP	OR	X									09/20/00	
REPUBLIC BANCORP INC	MI					X	X				10/05/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE								X		09/25/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X				09/25/00	
RIVIERA HOLDINGS CORP	NV					X					10/05/00	
RMI NET INC	DE		X						X		09/21/00	
SANFILIPPO JOHN B & SON INC	DE					X					10/03/00	
SARATOGA HOLDINGS I INC						X					03/23/00	AMEND
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X	X				09/28/00	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X	X				09/28/00	AMEND
SENSOR CORP /NV/	NV					X					10/05/00	
SEQUOIA MORT TRUST 4 MORT LOAN ASSE T BACK PASS-THROUGH CERT	DE					X	X				09/22/00	
SHELTER PROPERTIES I LTD PARTNERSHI P	SC		X						X		09/14/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
SIMON TRANSPORTATION SERVICES INC	NV	X									09/30/00	
SL GREEN REALTY CORP	MD				X	X					09/29/00	
SLM FUNDING CORP	DE				X	X					09/26/00	
SOUTHERN MISSOURI BANCORP INC	DE		X				X				09/15/00	AMEND
SOUTHERN UNION CO	DE		X								09/20/00	
STEWART ENTERPRISES INC	LA				X						10/05/00	
STREAMLINE COM INC	DE				X	X					10/05/00	
STRUCTURED ASSET SECURITIES CORP MO PA TH CE SE 2000-BC2	DE						X				10/01/00	
STRUCTURED PRODUCTS CORP	DE						X				10/01/00	
SUPERCONDUCTOR TECHNOLOGIES INC	DE				X						09/29/00	
TARGET RECEIVABLES CORP	MN				X						10/05/00	
TECHNICAL VENTURES INC	NY				X						09/30/00	
TECUMSEH PRODUCTS CO	MI				X						10/03/00	
TELIGENT INC	DE		X								10/04/00	
TERAYON COMMUNICATION SYSTEMS	DE		X				X				09/27/00	
TEREX CORP	DE		X								10/04/00	
TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7	DE						X				10/01/00	
TIME WARNER INC/	DE					X					10/05/00	
TRANSCANADA CAPITAL	DE				X	X					06/30/00	
TRANSCANADA CAPITAL	DE				X	X					09/30/00	
U S DIAGNOSTIC INC	DE		X				X				09/20/00	
U S TECHNOLOGIES INC	DE					X	X				09/27/00	
UNION PLANTERS MORT PASS THR CERT S ER 2000-UP1	DE						X				09/25/00	
UNITED INDUSTRIAL CORP /DE/	DE				X	X					09/29/00	
UNITED LEISURE CORP	DE				X						10/03/00	
US BANCORP \DE\	DE				X	X					10/04/00	
USA EDUCATION INC	DE				X	X					10/03/00	
VERITAS DGC INC	DE				X	X					10/05/00	
VERTEX PHARMACEUTICALS INC / MA	MA				X						10/05/00	
VIATEL INC	DE				X						09/13/00	
WARNACO GROUP INC /DE/	DE				X	X					09/29/00	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2000-5	DE						X	X			08/20/00	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2000-5	DE						X				09/25/00	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2000-6	DE				X	X					08/30/00	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2000-6	DE						X				09/25/00	
WESTERN WATER CO	DE				X						10/04/00	
WILSON BROTHERS USA INC	IL		X								09/28/00	
WOLVERINE TUBE INC	DE				X	X					10/02/00	
WOLVERINE WORLD WIDE INC /DE/	DE				X	X					10/03/00	
WORLDPORT COMMUNICATIONS INC	DE							X			10/02/00	
WSI INTERACTIVE CORP					X	X					10/05/00	
YOUNETWORK CORP	DE				X						10/04/00	
ZALE CORP	DE		X				X				09/20/00	
2U ONLINE COM INC	DE		X								09/20/00	