

C-2

SEC NEWS DIGEST

Issue 2000-174

September 11, 2000

ENFORCEMENT PROCEEDINGS

SEC AND DEPARTMENT OF JUSTICE SANCTION FOUR OPTIONS EXCHANGES FOR ANTICOMPETITIVE CONDUCT

AMEX, CBOE, PACIFIC, AND PHILADELPHIA CENSURED AND ORDERED TO SPEND \$77 MILLION ON SURVEILLANCE AND ENFORCEMENT

The Securities and Exchange Commission today censured the four floor-based options exchanges for engaging in anticompetitive activities -- in particular, for refraining from listing options already listed on another exchange -- and for failing adequately to enforce compliance with their own rules. The Commission ordered them to collectively spend \$77 million on surveillance and enforcement. In the civil administrative proceeding, the American Stock Exchange LLC, the Chicago Board Options Exchange, Inc., the Pacific Exchange, Inc. and the Philadelphia Stock Exchange, Inc., agreed to the censure and undertakings without admitting or denying the Commission's findings.

Also today, the Department of Justice's Antitrust Division filed a lawsuit in the U.S. District Court in Washington, DC against the four exchanges, alleging that their conduct in refraining from multiple listings of certain options violated the antitrust laws. The four exchanges also consented to the entry of a consent decree in the Antitrust Division lawsuit that, if approved by the court, would resolve the lawsuit and provide for relief directed at preventing recurrence of the unlawful conduct alleged by the Antitrust Division.

Chairman Arthur Levitt said, "Investors rely on vibrant competition among our options markets to drive prices to fair and efficient levels, and to fuel technological innovation. The proceedings announced today underscore the commitment of the Commission and the Department of Justice to take action where impediments to competition are found. Through their undertakings, the options exchanges have helped ensure that competition will be the principal force shaping the landscape of our evolving options industry. The result will be enhanced infrastructure for competition and fairer markets for those investing in options."

Richard H. Walker, Director of the SEC's Division of Enforcement, said, "Fair competition and effective self-regulation are hallmarks of our securities markets. The options exchanges named in today's enforcement actions did not fulfill adequately their responsibilities in both respects. We are confident that, going forward, investors will see increased competition among the options exchanges and significant improvements in the oversight and operation of the options market."

The Commission's Findings

The Order finds that:

- The exchanges unlawfully refrained from listing certain options that were already listed on another exchange (*i.e.*, multiple listing of an option), and took steps to discourage or hinder multiple listing of options;
- Certain exchanges rebuffed proposals by their member firms to multiply list options listed on another exchange, and, in some instances, threatened or harassed the member firms that made such proposals; and
- The exchanges have not satisfied their legal obligations to effectively enforce compliance with the following exchange rules:
 - Priority Rules (which generally require that a customer limit order be executed before any other orders at the same or inferior prices);**
 - Firm Quote Rules** (which require specialists or market makers to trade specified minimum numbers of options at the prices they quote);
 - Limit Order Display Rules** (which generally require display of customer limit orders that improve the quoted price);
 - Trade Reporting Rules** (which require the timely reporting of trades); and
 - **Rules or Policies Against:** harassment, intimidation, refusals to deal or retaliation that are meant to protect members of the exchanges who seek to act competitively.

These failures to enforce compliance with exchange rules were uncovered as part of the Commission's routine inspection program for self-regulatory organizations.

The Exchanges' Undertakings

The exchanges have agreed to a number of undertakings which address the concerns of the Commission and the Antitrust Division, and which will promote competition and substantially reform and improve the options markets. Pursuant to the undertakings, the exchanges will:

- Design and implement a consolidated options audit trail system that will enable the Exchanges to more effectively conduct surveillance of the markets and enforce Exchange rules governing how Exchanges handle customer orders.
- Modify the rules relating to options listings to facilitate competition in listings.
- Enhance and improve surveillance, investigative and enforcement processes with respect to rules governing how investor orders are handled, and enhance sanctions for violations of rules governing how Exchanges handle investor orders.
- Adopt rules that formalize the way the Exchanges handle proposals by members to list particular options and make it easier for members to propose listings (including multiple listings).
- Adopt improved trade reporting rules to provide more timely and transparent information to the public, to enhance effective surveillance of the market and to aid in the enforcement of order handling and other rules.
- Modify certain rules relating to the system that transmits the quotations and trade reports of the Exchanges so that Exchanges cannot improperly limit a competing Exchange's electronic capability to transmit quotations and trade reports.
- Adopt or improve rules designed to enhance the competitiveness of automated quotation systems used by the Exchanges.
- Obtain Commission approval for practices or procedures involving agreements among market makers concerning the prices of options or allocation of orders, and stop any unapproved or disapproved practices or procedures.
- Strengthen protections for exchange members against retaliation, harassment, intimidation and similar conduct for acting or seeking to act competitively.

The Exchanges' Financial Commitments

For calendar years 2000 and 2001, the exchanges have committed to spend for options-related surveillance systems and staffing for options related surveillance, investigation, and enforcement, a total of \$77 million. The individual annual amounts for each exchange are:

| | |
|------------------------------------|---------------------------------------|
| American Stock Exchange: | \$22 million (\$11 million per year) |
| Chicago Board of Options Exchange: | \$34 million (\$17 million per year) |
| Pacific Exchange: | \$13 million (\$6.5 million per year) |
| Philadelphia Stock Exchange: | \$8 million (\$4 million per year) |

The amounts specified for each Exchange do not reflect any determination of an Exchange's relative degree of culpability with respect to the conduct alleged in the Order.

The Commission and the Antitrust Division have worked closely and cooperatively throughout the investigation and resolution of these matters. The Commission thanks the Department of Justice's Antitrust Division and its staff, and looks forward to continuing this cooperative relationship. (Rel. 34-43268; File No. 3-10282; Press Rel. 2000-126)

INVESTMENT COMPANY ACT RELEASES

CNI CHARTER FUNDS AND CITY NATIONAL BANK

An order has been issued on an application filed by CNI Charter Funds and City National Bank under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act and Rule 18f-2 under the Act. The order permits applicants to enter into and materially amend subadvisory agreements without obtaining shareholder approval. (Rel. IC-24637 – September 8)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change filed by the Chicago Board Options Exchange to elaborate its existing policy prohibiting harassment and other improper conduct (SR-CBOE-00-45) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43251)

A proposed rule change (SR-AMEX-00-50) filed by the American Stock Exchange relating to the Exchange policy prohibiting harassment and other improper trading

practices has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43252)

A proposed rule change (SR-AMEX-00-52) filed by the American Stock Exchange relating to the Exchange policy prohibiting harassment and other improper trading practices has become effective under Section 19(b)(3)(A)(iii) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43253)

A proposed rule change by the Boston Stock Exchange (SR-BSE-00-11) to amend its rules to provide for the implementation of decimal pricing has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43255)

A proposed rule change filed by the Chicago Stock Exchange (SR-CHX-00-25) to facilitate the conversion to decimal pricing has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43256)

A proposed rule change (SR-PHLX-00-73) filed by the Philadelphia Stock Exchange relating to a reduction in fees for off-floor traders has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-43257)

DELISTINGS GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Halsey Drug Co., Inc., Common Stock, \$.01 par value. (Rel. 34-43264)

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Kafus Industries Ltd., Common Shares, without par value. (Rel. 34-43265)

An order has been issued granting the application of the Philadelphia Stock Exchange to strike from listing and registration call and put option contracts issued by The Options Clearing Corporation with respect to certain underlying securities. (Rel. 34-43266)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until September 29 to comment on the application of AMRESKO, INC. to withdraw its 10% Senior Subordinated Notes, due 2003 and 10% Senior Subordinated Notes, due 2004 from listing and registration on the New York Stock Exchange. (Rel. 34-43267)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 U S PAWN INC, 7215 LOWELL BLVD, WESTMINSTER, CO 80030 (303) 657-3550
-
105,000 (\$105,000) COMMON STOCK. (FILE 333-44708 - AUG. 29) (BR. 2)
- S-8 BRILLIANT DIGITAL ENTERTAINMENT INC, 6355 TOPANGA CANYON BLVD SUITE
120,
WOODLAND HILLS, CA 91367 (818) 615-1500 - 1,000,000 (\$4,500,000)
COMMON STOCK. (FILE 333-44710 - AUG. 29) (BR. 3)
- S-8 HUNTINGDON LIFE SCIENCES GROUP PLC, HUNTINGDON RESEARCH CENTRE,
WOOLLEY ROAD ALCONBURY HUNTINGDON, CAMBRIDGESHIRE ENGLAND, X0
(212) 373-3000 - 2,500,000 (\$29,790,000) FOREIGN COMMON STOCK. (FILE
333-44712 - AUG. 29) (BR. 6)
- S-8 NBT BANCORP INC, 52 S BROAD ST, NORWICH, NY 13815 (607) 337-2265 -
81,450 (\$512,000) COMMON STOCK. (FILE 333-44714 - AUG. 29) (BR. 7)
- S-8 UROCOR INC, 800 RESEARCH PARKWAY, OKLAHOMA CITY, OK 73104 (405) 290-
4000
- 100,000 (\$597,000) COMMON STOCK. (FILE 333-44716 - AUG. 29) (BR. 1)
- S-8 UROCOR INC, 800 RESEARCH PARKWAY, OKLAHOMA CITY, OK 73104 (405) 290-
4000
- 700,000 (\$4,179,000) COMMON STOCK. (FILE 333-44718 - AUG. 29) (BR.
1)
- S-8 CMI CORP, I 40 & MORGAN RD, P O BOX 1985, OKLAHOMA CITY, OK 73101
(405) 787-6020 - 1,100,000 (\$2,651,000) COMMON STOCK. (FILE 333-44720
-
AUG. 29) (BR. 5)
- S-3 POST PROPERTIES INC, ONE RIVERSIDE, SUITE 800, ATLANTA, GA 30327
(404) 846-5000 - 1,500,000 (\$63,750,000) COMMON STOCK. (FILE 333-44722
-
AUG. 29) (BR. 8)

S-3 REGENCY REALTY CORP, 121 WEST FORSYTH ST, STE 200, JACKSONVILLE, FL
32202 (904) 356-7000 - 577,373 (\$13,100,593.37) COMMON STOCK. (FILE
333-44724 - AUG. 29) (BR. 8)

S-8 PANGEA PETROLEUM CORP, 16360 PARK TEN PLACE DRIVE, SUITE 327,
HOUSTON,
TX 77084 (281) 398-8000 - 40,000 (\$78,752) COMMON STOCK. (FILE 333-
44726 -
AUG. 29) (BR. 1)

S-8 MIRAVANT MEDICAL TECHNOLOGIES, 336 BOLLAY DRIVE, SANTA BARBARA, CA
93117
(805) 685-9880 - 6,000,000 (\$117,000,000) COMMON STOCK. (FILE 333-
44728 -
AUG. 29) (BR. 1)

S-8 SOLOMON ALLIANCE GROUP INC /AZ, PO BOX 2904, ALPHARETTA, GA 30022 -
500,000 (\$310,000) COMMON STOCK. (FILE 333-44730 - AUG. 29) (BR. 9)

SB-2 GLINT CORP, 2247 PALM LAKES BLVD SUITE 237, WEST PALM BEACH, FL
33499
(561) 686-3040 - 1,000,000 (\$250,000) COMMON STOCK. (FILE 333-44732 -
AUG. 29) (BR. 9 - NEW ISSUE)

S-8 SUPERGEN INC, TWO ANNABLE LANE STE 220, SAN RAMON, CA 94583
(925) 327-0200 - 2,000,000 (\$50,069,653.21) COMMON STOCK. (FILE 333-
44736
- AUG. 29) (BR. 1)

S-4 WPS RESOURCES CORP, 700 N ADAMS ST, PO BOX 19001, GREEN BAY, WI
54307
(920) 433-4901 - 1,763,943 (\$55,467,188) COMMON STOCK. (FILE 333-44738
-
AUG. 29) (BR. 2)

S-8 ARMOR HOLDINGS INC, 1400 MARSH LANDING PARKWAY, SUITE 112,
JACKSONVILLE,
FL 32250 (904) 741-5600 - 2,725,000 (\$38,190,563) COMMON STOCK. (FILE
333-44742 - AUG. 29) (BR. 8)

S-8 AMERICAN SOFTWARE INC, 470 E PACES FERRY RD NE, ATLANTA, GA 30305
(404) 261-4381 - 5,833,583 (\$8,969,000) COMMON STOCK. (FILE 333-44744
-
AUG. 29) (BR. 3)

S-3 ALTERA CORP, 101 INNOVATION DR, SAN JOSE, CA 95134 (408) 544-8000 -
885,654 (\$55,381,052) COMMON STOCK. (FILE 333-44746 - AUG. 29) (BR. 5)

S-3 HARMONIC INC, 549 BALTIC WAY, SUNNYVALE, CA 94089 (408) 542-2500 -
286,123 (\$8,109,798.78) COMMON STOCK. (FILE 333-44748 - AUG. 29) (BR.
7)

S-8 PACIFIC CAPITAL BANCORP /CA/, 200 EAST CARRILLO STREET, SUITE 300,
SANTA BARBARA, CA 93101 (805) 564-6298 - 95,128 (\$1,926,491) COMMON
STOCK.
(FILE 333-44752 - AUG. 29) (BR. 7)

S-3 PROTEIN DESIGN LABS INC/DE, 34801 CAMPUS DR, FREMONT, CA 94555
 (415) 903-3700 - 2,875,000 (\$225,141,250) COMMON STOCK. (FILE 333-
 44754 -
 AUG. 29) (BR. 1)

S-8 PHARSIGHT CORP, 800 WEST EL CAMINO REAL, STE 200, PALO ALTO, CA
 94040
 (650) 314-3800 - 6,932,905 (\$44,703,569) COMMON STOCK. (FILE 333-44756
 -
 AUG. 29) (BR. 3)

S-4 ALLEGIANT BANCORP INC, 2122 KRATKY ROAD, SUITE 500, ST LOUIS, MO
 63114
 (314) 692-8200 - 2,874,877 (\$23,785,876) COMMON STOCK. (FILE 333-44758
 -
 AUG. 29) (BR. 7)

S-8 MINNESOTA MINING & MANUFACTURING CO, 3M CENTER, ST PAUL, MN 55144
 (651) 733-1110 - 15,000,000 (\$1,427,812,500) COMMON STOCK. (FILE
 333-44760 - AUG. 29) (BR. 6)

S-8 PROTEIN DESIGN LABS INC/DE, 34801 CAMPUS DR, FREMONT, CA 94555
 (415) 903-3700 - 600,000 (\$47,962,500) COMMON STOCK. (FILE 333-44762 -
 AUG. 29) (BR. 1)

S-8 MEDTRONIC INC, 7000 CENTRAL AVE NE, MS 316, MINNEAPOLIS, MN 55432
 (612) 574-4000 - 8,000,000 (\$394,480,000) COMMON STOCK. (FILE 333-
 44766 -
 AUG. 29) (BR. 5)

S-8 SILICON IMAGE INC, 10131 BUBB ROAD, SUITE 222, CUPERTINO, CA 95014
 (408) 873-3111 - 52,492 (\$82,150) COMMON STOCK. (FILE 333-44768 - AUG.
 29)
 (BR. 5)

S-8 TERAYON COMMUNICATION SYSTEMS, 2952 BUNKER HILL LN, SANTA CLARA, CA
 95054 (408) 727-4400 - 7,993,968 (\$357,326,070) COMMON STOCK. (FILE
 333-44770 - AUG. 29) (BR. 7)

S-1 CATALYTICA COMBUSTION SYSTEMS INC, 430 FERGUSON DRIVE, 650-960-3000,
 MOUNTAIN VIEW, CA 94043 (650) 960-3000 - \$65,586,000 COMMON STOCK.
 (FILE
 333-44772 - AUG. 29) (BR. 1)

S-4 QUOKKA SPORTS INC, 525 BRANNAN STREET, GROUND FLOOR, SAN FRANCISCO,
 CA
 94107 (415) 908-3800 - 15,000,000 (\$1,176,725.20) COMMON STOCK. (FILE
 333-44774 - AUG. 29) (BR. 5)

S-1 TELECT INC, 2111 N MOLTER RD, LIBERTY LAKE, WA 99019 (509) 926-6000
 -
 \$150,000,000 COMMON STOCK. (FILE 333-44776 - AUG. 30) (NEW ISSUE)

S-3 SOUTHERN CALIFORNIA EDISON CO, 2244 WALNUT GROVE AVE, P O BOX 800,
 ROSEMEAD, CA 91770 (626) 302-1212 - 1,095,000,000 (\$1,095,000,000)
 STRAIGHT BONDS. (FILE 333-44778 - AUG. 30) (BR. 2)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| ADOBE SYSTEMS INC | DE | | | | | X | | X | | | 07/01/00 | |
| AMERI-CAN RAILWAY SYSTEMS INC | NH | | | | | X | | | | | 06/29/00 | |
| AMERICAN ENTERPRISE COM CORP | FL | | X | | | | | | | | 06/20/00 | |
| BIOSHIELD TECHNOLOGIES INC | GA | | | | | X | | X | | | 06/14/00 | |
| BOWL AMERICA INC | MD | | | | | X | | | | | 07/03/00 | |
| BRITESMILE INC | UT | | | | | X | | X | | | 06/29/00 | |
| CABLEVISION SYSTEMS CORP /NY | DE | | | | | X | | X | | | 06/30/00 | |
| CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1 | MD | | | | | X | | | | | 06/20/00 | AMEND |
| CIRCLE INTERNATIONAL GROUP INC /DE/ | DE | | | | | X | | X | | | 07/02/00 | |
| CNH HOLDINGS CO | NV | X | X | | | | | | | | 06/28/00 | |
| COVEST BANCSHARES INC | DE | | | | | X | | X | | | 07/03/00 | |
| CSC HOLDINGS INC | DE | | | | | X | | X | | | 06/30/00 | |
| DYNAMIC HOMES INC | MN | | | | | | | X | | | 06/27/00 | |
| FIBERCORE INC | NV | | X | | | | | X | | | 06/20/00 | |
| FIRST SECURITY AUTO OWNER TRUST 200 0-1 | UT | | | | | X | | | | | 06/29/00 | |
| FIRST SECURITY BANK NA | | | | | | X | | | | | 06/29/00 | |
| GANNETT CO INC /DE/ | DE | | | | | X | | | | | 06/28/00 | |
| INDUSTRIAL HOLDINGS INC | TX | | X | | | | | X | | | 07/03/00 | |
| IQROM COMMUNICATIONS INC | NV | X | | | | | | X | | | 04/20/00 | AMEND |
| LEXMARK INTERNATIONAL INC /KY/ | DE | | | | | X | | | | | 07/03/00 | |
| PROXICOM INC | DE | | | | | | | X | | | 04/19/00 | AMEND |
| PUBLIC SERVICE CO OF NEW MEXICO | NM | | | | | X | | | | | 06/08/00 | AMEND |
| QWEST COMMUNICATIONS INTERNATIONAL INC | DE | | | | | X | | X | | | 06/30/00 | |
| RYANS FAMILY STEAKHOUSES INC | SC | | X | | | | | | | | 06/28/00 | |
| SCB COMPUTER TECHNOLOGY INC | TN | | | | | X | X | X | | | 06/30/00 | |
| SEQUESTER HOLDINGS INC/NV | NV | | | | | X | X | | | | 03/10/00 | |
| SMITH GARDNER & ASSOCIATES INC | FL | | | | | X | | X | | | 06/16/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| SYSTEM SOFTWARE ASSOCIATES INC | DE | | | | | X | | | | | 06/30/00 | |
| U S WEST INC /DE/ | DE | X | | | | | | | X | | 06/30/00 | |
| VALLEY MEDIA INC | DE | | | | | X | X | | | | 07/03/00 | |
| VEREX LABORATORIES INC/CO | CO | | | | X | | | | | | 06/23/00 | |
| WASHINGTON POST CO | DE | | | | | X | X | | | | 06/27/00 | |
| WASHINGTON TRUST BANCORP INC | RI | | | | | X | | | | | 06/26/00 | |
| WELLS FARGO ASSET SECURITIES CORP | DE | | | | | X | X | | | | 06/20/00 | AMEND |
| WELLS FARGO ASSET SECURITIES CORP | DE | | | | | X | X | | | | 06/20/00 | AMEND |
| XCEL MANAGEMENT INC/UT | UT | | | | | | | | X | | 07/03/00 | AMEND |
| ACCREDITED HOME LENDERS INC | DE | | | | | | | | X | | 08/25/00 | |
| ACT MANUFACTURING INC | MA | | | | | X | X | | | | 08/31/00 | |
| ALEXANDRIA REAL ESTATE EQUITIES INC | MD | | | | | X | X | | | | 06/27/00 | |
| ALYSIS TECHNOLOGIES INC | DE | X | | | | | | X | | | 08/24/00 | |
| AMERI-CAN RAILWAY SYSTEMS INC | NH | | | | X | | | | | | 08/24/00 | |
| AMERISERVE FOOD DISTRIBUTION INC /D E/ | DE | | | | | X | X | | | | 09/07/00 | |
| AMERIVEST PROPERTIES INC | MD | X | | | X | X | X | | | | 08/25/00 | |
| APPLIED BIOMETRICS INC | MN | | | | | X | | | | | 09/01/00 | |
| ARCH CAPITAL GROUP LTD | DE | | | | | X | X | | | | 05/05/00 | |
| ASSOCIATES CORPORATION OF NORTH AME RICA | DE | X | | | | | | X | | | 08/30/00 | |
| AUXER GROUP INC | DE | X | | | | | | | | | 08/25/00 | |
| AVAX TECHNOLOGIES INC | DE | | X | | | | | X | | | 08/24/00 | |
| BANC ONE FINANCIAL SERVICES HOME EQ UNITY LOAN TRUST 1999-1 | NY | | | | | | | X | | | 08/25/00 | |
| BICO INC/PA | PA | | | | | X | | | | | 09/08/00 | |
| BIG V SUPERMARKETS INC | NY | | | | | X | X | | | | 09/08/00 | |
| BLUE RHINO CORP | DE | | | | | X | X | | | | 09/07/00 | |
| BOSTON BIOMEDICA INC | MA | | | | | X | X | | | | 08/25/00 | |
| BRASS EAGLE INC | DE | X | | | | | | X | | | 06/30/00 | AMEND |
| BRE PROPERTIES INC /MD/ | MD | | | | | X | X | | | | 07/10/00 | |
| BYL BANCORP | CA | | | | | X | X | | | | 09/08/00 | |
| CABOT INDUSTRIAL PROPERTIES LP | DE | | | | | X | X | | | | 09/07/00 | |
| CABOT INDUSTRIAL TRUST | MD | | | | | X | X | | | | 09/07/00 | |
| CBQ INC | CO | | | | | X | | | | | 08/07/00 | |
| CELL THERAPEUTICS INC | WA | | | | | | | X | | | 01/24/00 | AMEND |
| CENTURY BANCSHARES INC | DE | X | | | | | | | | | 08/25/00 | |
| CHARTER COMMUNICATIONS INC /MO/ | DE | | | | | X | X | | | | 09/07/00 | |
| CHASE COMMERCIAL MORTGAGE SECURITIE S CORP | NY | | | | | X | X | | | | 09/08/00 | |
| CHEVY CHASE BANK FSB | MD | | | | | | | X | | | 09/08/00 | |
| CIRCUIT SYSTEMS INC | IL | | X | | | | | | | | 09/06/00 | |
| CLAYTON HOMES INC | DE | | | | | X | X | | | | 08/29/00 | |
| CMC SECURITIES CORP II | DE | | | | | X | X | | | | 07/25/00 | |
| CONTANGO OIL & GAS CO | NV | | | | | X | X | | | | 08/24/00 | |
| CONTIFINANCIAL CORP | DE | | | | | X | X | | | | 08/25/00 | |
| CYLINK CORP /CA/ | CA | X | | | | | | | | | 08/30/00 | |
| DELTA PETROLEUM CORP/CO | CO | | | | | X | X | | | | 09/07/00 | |
| DEUTSCHE MORTGAGE & ASSET RECEIVING CORP SERIES 2000-C1 | DE | | | | | X | X | | | | 09/07/00 | |
| ELECTRONIC BUSINESS SERVICES INC | DE | | X | | | | | | | | 09/01/00 | |
| EURAMAX INTERNATIONAL PLC | | | | | | X | | | | | 09/01/00 | |

| NAME OF ISSUER | STATE | BK ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| EXELIXIS INC | DE | | | | | X | X | | | | 09/07/00 | |
| EXPLORE TECHNOLOGIES INC | NV | | X | | | X | X | X | | | 07/24/00 | |
| FAIRMARKET INC | DE | | | | | X | X | | | | 08/29/00 | |
| FIRSTSPARTAN FINANCIAL CORP | | | | | | X | X | | | | 09/05/00 | |
| FOCUS ENHANCEMENTS INC | DE | | | | | X | | | | | 08/30/00 | |
| FORD MOTOR CO | DE | | X | X | | | | | | | 08/09/00 | |
| GADZOOX NETWORKS INC | DE | | | | | X | X | | | | 09/07/00 | |
| GIBBS CONSTRUCTION INC | TX | | | X | | | | | | | 08/20/00 | |
| GRAND CENTRAL FINANCIAL CORP | DE | | | | | X | X | | | | 09/07/00 | |
| GREENWICH CAPITAL ACCEPTANCE INC | NY | | | | | | X | | | | 08/25/00 | |
| HECLA MINING CO/DE/ | DE | | | | | X | | | | | 09/08/00 | |
| HOLLYWOOD CASINO CORP | DE | | X | | | | | | | | 09/08/00 | |
| HONEYWELL INTERNATIONAL INC | DE | | | | | X | | | | | 08/17/00 | |
| HOTELWORKS COM INC | NY | | | | | X | X | | | | 09/01/00 | |
| HOUGHTON MIFFLIN CO | MA | | | | | X | | | | | 09/07/00 | |
| HWCC TUNICA INC | TX | | X | | | | | | | | 09/08/00 | |
| IMAGEX COM INC | WA | | | | | | | X | | | 06/27/00 | AMEND |
| IMAGICA ENTERTAINMENT INC | FL | | | | | X | | | | | 09/07/00 | |
| IMH ASSETS CORP COLLATERALIZED ASSE T BACKED BONDS SER 2000 1 | DE | | | | | | | X | | | 08/25/00 | |
| IMPAC SECURED ASSETS CORP | CA | | | | | | | X | | | 08/25/00 | |
| INTERNATIONAL REALTY GROUP INC | DE | | X | | | X | X | | | | 09/01/00 | |
| IT GROUP INC | DE | | | | | X | | | | | 09/08/00 | |
| MALLON RESOURCES CORP | CO | | X | | | | | | | | 09/08/00 | |
| MANGOSOFT INC | NV | | | | | | | X | | | 08/31/00 | AMEND |
| MBNA CORP | MD | | | | | X | | | | | 09/08/00 | |
| MDU RESOURCES GROUP INC | DE | | | | | X | X | | | | 09/06/00 | |
| MEDITECH PHARMACEUTICALS INC | NV | | | | | X | X | | | | 09/06/00 | |
| MELLON RESIDENTIAL FUNDING COR MOR PAS THR CER SER 2000-TBC1 | NY | | | | | | | X | | | 08/25/00 | |
| MGM MIRAGE | DE | | | | | X | X | | | | 09/06/00 | |
| MILLER BUILDING SYSTEMS INC | DE | | | | | X | X | | | | 08/31/00 | |
| MONTEREY BAY BANCORP INC | DE | | | | | X | | | | | 09/08/00 | |
| MORGAN J P COMMERCIAL MORTGAGE FINA NCE CORP | DE | | | | | X | X | | | | 09/07/00 | |
| MORGAN STANLEY CAPITAL I INC HOME E Q LN AS BK CER SER 1999-2 | NY | | | | | | | X | | | 08/25/00 | |
| MTR GAMING GROUP INC | DE | | | | | X | | X | | | 09/06/00 | |
| MYCOM GROUP INC /NV/ | NV | | X | X | | | | X | | | 08/23/00 | |
| NATURAL SOLUTIONS CORP | NV | | X | | | | | | | | 08/31/00 | |
| NEBCO EVANS HOLDING CO | DE | | | | | X | X | | | | 09/07/00 | |
| NORWEST ASSET SEC CORP MORT PAS THR CER SER 2000-2 TR | DE | | | | | | | X | | | 08/25/00 | |
| NORWEST ASSET SECURITIES CORP MORT PASS THR CERT SER 2000 1 | DE | | | | | | | X | | | 08/25/00 | |
| NORWEST INTEGRATED STRUCTURED ASSET S INC SERIES 2000-1 TRUST | DE | | | | | | | X | | | 08/25/00 | |
| NRG ENERGY INC | DE | | | | | X | X | | | | 09/06/00 | |
| OPTICAL CABLE CORP | VA | | | | | X | | | | | 09/06/00 | |
| OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2000-1 | DE | | | | | | | X | | | 08/25/00 | |
| OPTION ONE MORT ACCEPT CORP ASSET B ACKED CERT SER 2000-2 | DE | | | | | | | X | | | 08/25/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| OPTION ONE MORT ACCEPT CORP ASSET B ACKED CERT SERIES 2000-3 | DE | | | | | | | | | X | 08/25/00 | |
| PANORAMIC CARE SYSTEMS INC | CO | | X | | | | | | | X | 08/25/00 | |
| PC SERVICE SOURCE INC | DE | | | X | | | | | | X | 08/29/00 | |
| PRISON REALTY TRUST INC | MD | | | | | X | | | | X | 08/31/00 | |
| PROVIDENT BANK | OH | | | | | | | | | X | 08/25/00 | |
| PROVIDENT BANK | OH | | | | | | | | | X | 08/25/00 | |
| PRUDENTIAL SECURITIES SECURED FINAN CING CORP | DE | | | | | | | | | X | 08/25/00 | |
| PRUDENTIAL SECURITIES SECURED FINAN CING CORP | DE | | | | | X | | | X | | 09/06/00 | |
| QUESTAR CORP | UT | | X | | | | | | | | 08/28/00 | |
| RADISYS CORP | OR | | | | | X | | X | | | 09/07/00 | |
| RELIANT INTERACTIVE MEDIA CORP | NV | | X | | | | | | | | 09/08/00 | |
| RENT USA INC | NV | | | | X | | X | | | | 01/02/00 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | | | | | | X | 08/25/00 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | | | | | | X | 08/25/00 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | | | | | X | 08/18/00 | |
| SALEM COMMUNICATIONS CORP /DE/ | DE | | X | | | X | | X | | | 08/24/00 | |
| SALOMON BROTHERS MOR SEC VII FL RT MR PA TH CER SER 2000 LB1 | DE | | | | | | | | | X | 08/25/00 | |
| SAXON ASSET SEC TRUST 2000 1 MTG LN ASSET BK CERT SER 2000 1 | VA | | | | | X | | X | | | 08/25/00 | |
| SILICON LABORATORIES INC | DE | | | | | | | | | X | 08/09/00 | AMEND |
| SPARTAN OIL CORP | DE | X | X | | | | | | | | 07/07/00 | |
| STAR STUCK LTD | DE | | | | | X | | X | | | 08/07/00 | |
| STEMCELLS INC | DE | | | | | | | X | | | 09/08/00 | AMEND |
| STRUCTURED ASSET SECURITIES CORP | DE | | | | | X | | X | | | 08/31/00 | |
| STRUCTURED ASSET SECURITIES CORP MO PA TH CE SE 2000-BC1 | NY | | | | | | | | | X | 08/25/00 | |
| STRUCTURED ASSET SECURITIES CORP MO PA TH CE SE 2000-BC2 | DE | | | | | | | | | X | 08/25/00 | |
| STRUCTURED ASSET SECURITIES CORP MO RT PAS THR CERT SE 2000 3 | DE | | | | | | | | | X | 08/25/00 | |
| SYCAMORE NETWORKS INC | DE | | X | | | | | | | X | 09/07/00 | |
| SYNAVANT INC | | | | | | | X | X | | | 09/05/00 | |
| SYSTEMAX INC | DE | | | | | | X | | | | 09/07/00 | |
| TRANSWITCH CORP /DE | DE | | | | | | X | X | | | 09/07/00 | |
| U S WIRELESS DATA INC | CO | | | | | | X | | | | 09/01/00 | |
| UNITED COMPANIES FINANCIAL CORP | LA | | | | | | X | X | | | 09/06/00 | |
| UNITED SHIPPING & TECHNOLOGY INC | UT | | | | | | X | X | | | 09/01/00 | |
| UST INC | DE | | | | | | X | X | | | 08/29/00 | |
| VANDERBILT MORTGAGE & FINANCE INC | TN | | | | | | X | X | | | 08/29/00 | |
| VERIDA INTERNET CORP | NV | | X | | | | | | | | 08/29/00 | |
| VERTEX PHARMACEUTICALS INC / MA | MA | | | | | | X | X | | | 09/08/00 | |
| VISTEON CORP | DE | | | | | | X | X | | | 09/07/00 | |
| VOICESTREAM WIRELESS CORP /DE | DE | | | | | | X | X | | | 09/06/00 | |
| WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2000-2 | NY | | | | | | | | | X | 08/25/00 | |

| NAME OF ISSUER | STATE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|---|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SERIES 2000-4 | NY | | | | | | | | | X | 08/25/00 | |
| WELLS FARGO ASSET SECURITIES CORP | DE | | | | | X | X | | | | 08/24/00 | AMEND |
| WELLS FARGO ASSET SECURITIES CORP | DE | | | | | | X | | | | 08/25/00 | |
| WELLS FARGO ASSET SECURITIES CORP M ORT BK SEC 2000-3 TRUST | DE | | | | | | X | | | | 08/25/00 | |
| ZILOG INC | CA | | | | | | | | | X | 09/08/00 | |