

SEC NEWS DIGEST

Issue 2000-139

July 21, 2000

ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST GERALD PATE

On July 20, the Commission announced that it issued an Order Instituting Proceedings pursuant to Section 15(b) of the Securities Exchange Act of 1934 (Exchange Act), Making Findings and Imposing Remedial Sanctions against Gerald Lee Pate.

The Order makes findings that from September 1997 through March 1999, Pate was acting as an unregistered broker-dealer, and on July 6, 2000, Pate was enjoined from violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 15(a) of the Exchange Act and Rule 10b-5 thereunder, by the U.S. District Court for the Northern District of Texas (Dallas Division) [*SEC v. Cook, et al.*, 3-99-CV-0571-X]. Pate consented to the injunction without admitting or denying any violation of the securities laws. The Commission's Complaint alleged that Pate and others raised more than \$30 million through the offer and sale of unregistered securities in a nonexistent "prime bank" trading program. The Complaint alleged that investment funds were misappropriated for personal and unauthorized uses, such as making Ponzi payments.

In anticipation of the institution of administrative proceedings, Pate submitted an Offer of Settlement, which the Commission accepted, barring Pate from association with any broker or dealer. (File No. 3-10257; Rel. 34-43058)

FINAL THREE DEFENDANTS IN SYSTEMS OF EXCELLENCE LITIGATION SETTLE AND ORDERED TO PAY MORE THAN \$2 MILLION FOR TOUTING AND DISSEMINATING MISLEADING INFORMATION IN INTERNET STOCK NEWSLETTER

The Commission announced that on July 7, Judge Gladys Kessler issued an order implementing a settlement with Theodore R. Melcher, Jr., SGA Goldstar Research, Inc., and Alpha Securities, Ltd. (collectively the Melcher Defendants), the remaining three defendants in *SEC v. Charles O. Huttoe, et al.* The Melcher Defendants agreed to settle

the Commission's action, without admitting or denying the Commission's claims against them, by consenting to a permanent injunction against future violations of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933 (Securities Act), Sections 10(b) and 17(b) of the Securities Exchange Act of 1934 (Exchange Act), and Exchange Act Rule 10b-5. As part of the settlement, the Melcher Defendants agreed to disgorge, jointly and severally, \$3,300,015 plus prejudgment interest thereon; provided however, that after disgorging certain assets, including cash, securities, and real estate that are in the aggregate worth over \$2 million, the remainder of their disgorgement obligation will be waived based upon their inability to pay more as demonstrated by the representations in their sworn financial statement. Including assets to be disgorged by the Melcher Defendants, the Commission will have recovered approximately \$11 million from its enforcement actions related to the Systems of Excellence fraud. Melcher previously pled guilty to criminal charges arising from the same conduct. The settlement with the Melcher Defendants concludes the original civil litigation filed by the Commission in 1996 in response to the massive market manipulation perpetrated by Systems of Excellence, Inc. and others. (LR-16632; Civil Action No. 96-02543)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The Pacific Exchange has filed a proposed rule change (SR-PCX-00-05) under Rule 19b-4 of the Securities Exchange Act of 1934 relating to its automatic execution system. (Rel. 34-43049)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The commission granted accelerated approval to a proposed rule change (SR-NYSE-00-25) filed by the New York Stock Exchange on June 14, to interpret the NYSE's Listed Company Manual to accommodate the trading of ordinary shares of Celanese AG. Publication of the notice and order is expected in the Federal Register during the week of July 24. (Rel. 34-43044; IS-1228)

The Commission granted accelerated approval to a proposed rule change submitted by the Chicago Board Options Exchange (SR-CBOE-00-16) under Rule 19b-4 of the Securities Exchange Act of 1934 relating to an increase in narrow-based index option position and exercise limits. (Rel. 34-43052)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 BARNES GROUP INC, 123 MAIN ST, BRISTOL, CT 06010 (203) 583-7070 -
2,500,000 (\$42,656,250) COMMON STOCK. (FILE 333-41398 - JUL. 14) (BR.
6)
- S-8 EMERGING VISION INC, 1500 HEMPSTEAD TURNPIKE, EAST MEADOW, NY 11554
(516) 390-2100 - 3,500,000 (\$7,109,375) COMMON STOCK. (FILE 333-41400
-
JUL. 14) (BR. 2)
- S-8 NEWAGECITIES COM INC, 1181 SOUTH ROGERS CIRCLE, SUITE 5, BOCA RATON,
FL
33487 (954) 766-7800 - 500,000 (\$147,500) COMMON STOCK. (FILE 333-
41402 -
JUL. 14) (BR. 9)
- S-3 PRIMARK CORP, 1000 WINTER ST STE 4300N, WALTHAM, MA 02451 (617) 466-
6611
- 230,770 (\$8,610,606) COMMON STOCK. (FILE 333-41404 - JUL. 14) (BR.
3)
- S-3 ACT MANUFACTURING INC, 2 CABOT ROAD, HUDSON, MA 01749 (978) 568-0105
-
100,000,000 (\$100,000,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE
333-41406 - JUL. 14) (BR. 5)
- S-1 TNPC INC, NEW POWER CO, 10 GLENVILLE ST, GREENWICH, CT 06831
(203) 531-0400 - \$400,000,000 COMMON STOCK. (FILE 333-41412 - JUL. 14)
(BR. 2 - NEW ISSUE)
- S-8 BUCKTV COM INC, 5085 LIFT DRIVE, SUITE 201, STE 10801, COLORADO
SPRINGS,
CO 80919 (800) 410-2225 - 575,000 (\$155,250) COMMON STOCK. (FILE
333-41414 - JUL. 14) (BR. 9)

S-8 HS RESOURCES INC, ONE MARITIME PLAZA 15TH FLOOR, SAN FRANCISCO, CA
94111
(415) 433-5795 - 1,250,000 (\$37,773,437.50) COMMON STOCK. (FILE 333-
41416
- JUL. 14) (BR. 4)

SB-2 EAGLE WIRELESS INTERNATIONAL INC, 101 COURAGEOUS DR, LEAGUE CITY, TX
77573 (713) 280-0488 - 1,425,567 (\$11,206,474) COMMON STOCK. (FILE
333-41420 - JUL. 14) (BR. 7)

S-3 COM21 INC, 750 MILPITAS DRIVE, MILPITAS, CA 95035 (408) 953-9100 -
2,281,720 (\$65,599,450) COMMON STOCK. (FILE 333-41422 - JUL. 14) (BR.
3)

S-3 ENDOCARDIAL SOLUTIONS INC, 1350 ENERGY LANE, STE 110, ST PAUL, MN
55108
(612) 644-7890 - 2,030,000 (\$17,762,500) COMMON STOCK. (FILE 333-41424
-
JUL. 14) (BR. 5)

S-3 DIASYS CORP, 49 LEAVENWORTH ST, WATERBURY, CT 06702 (203) 755-5083 -
1,500,000 (\$13,875,000) COMMON STOCK. (FILE 333-41426 - JUL. 14) (BR.
5)

SB-2 SOLID MANAGEMENT CORP, 2779 LAKE CITY WAY, BURNABY,
BRITISH COLUMBIA V5A 2Z6, A2 (250) 868-8177 - 200,000 (\$200,000)
COMMON STOCK. (FILE 333-41428 - JUL. 14) (BR. 9)

S-8 RAINBOW TECHNOLOGIES INC, 50 TECHNOLOGY DRIVE, IRVINE, CA 92718
(714) 454-2100 - 750,000 (\$35,437,500) COMMON STOCK. (FILE 333-41430 -
JUL. 14) (BR. 3)

S-3 MARGATE INDUSTRIES INC, 129 NORTH MAIN STREET, YALE, MI 48097
(313) 387-4300 - 280,000 (\$1,715,000) COMMON STOCK. (FILE 333-41432 -
JUL. 14) (BR. 6)

S-3 HOMESTAKE MINING CO /DE/, 1600 RIVIERA AVENUE SUITE 200, 9TH FL,
WALNUT CREEK, CA 94596 (925) 817-1300 - 2,600,000 (\$16,818,750)
COMMON STOCK. (FILE 333-41434 - JUL. 14) (BR. 4)

S-3 SAUL CENTERS INC, 8401 CONNECTICUT AVE, CHEVY CHASE, MD 20815
(301) 986-6207 - 193,998 (\$3,097,905.56) COMMON STOCK. (FILE 333-41436
-
JUL. 14) (BR. 8)

S-8 CAPITAL CORP OF THE WEST, 550 W MAIN STREET, STE A, MERCED, CA 95340
(209) 725-2200 - 961,828 (\$10,279,537) COMMON STOCK. (FILE 333-41440 -
JUL. 14) (BR. 7)

S-3 MAXIM PHARMACEUTICALS INC, 8899 UNIVERSITY CENTER LANE, STE 400,
SAN DIEGO, CA 92122 (858) 453-4040 - 1,552,775 (\$90,495,727) COMMON
STOCK.
(FILE 333-41442 - JUL. 14) (BR. 1)

S-8 MICROWAVE POWER DEVICES INC, 49 WIRELESS BLVD, HAUPPAUGE, NY 11788
(516) 231-1400 - 500,000 (\$3,140,625) COMMON STOCK. (FILE 333-41444 -
JUL. 14) (BR. 7)

S-8 CYPRESS COMMUNICATIONS INC, FIFTEEN PIEDMONT CENTER, SUITE 710,
ATLANTA,
GA 30305 (404) 869-2500 - 18,283 (\$113,126.06) COMMON STOCK. (FILE
333-41446 - JUL. 14) (BR. 7)

S-8 JUMPMUSIC COM INC, 201 SAN ANTONIO CIRCLE SUITE 105, MOUNTAIN VIEW,
CA
94040 (650) 917-7460 - 75,000 (\$28,125) COMMON STOCK. (FILE 333-41448
-
JUL. 14) (BR. 9)

S-8 SOFTWARE COM INC, 525 ANACAPA ST, SANTA BARBARA, CA 93101 (805) 882-
2470
- 4,092,281 (\$482,481,878) COMMON STOCK. (FILE 333-41450 - JUL. 14)
(BR. 3)

S-8 FIRST ENTERTAINMENT HOLDING CORP, 5495 MARION STREET, DENVER, CO
80216
- (303) 382-1500 - 6,800,000 (\$1,360,000) COMMON STOCK. (FILE 333-41452
-
JUL. 14) (BR. 5)

S-8 SAUCONY INC, 13 CENTENNIAL DR, CENTENNIAL INDUSTRIAL PK, PEABODY, MA
01961 (508) 532-9000 - 1,000,000 (\$10,402,500) COMMON STOCK. (FILE
333-41454 - JUL. 14) (BR. 6)

S-3 SMITH CHARLES E RESIDENTIAL REALTY INC, 2345 CRYSTAL DR,
CRYSTAL CITY ARLINGT, VA 22202 (703) 920-8500 - 594,956
(\$23,705,426.86)
COMMON STOCK. (FILE 333-41456 - JUL. 14) (BR. 8)

S-8 ADTRAN INC, 901 EXPLORER BLVD, HUNTSVILLE, AL 35806 (205) 971-8000 -
3,000,000 (\$180,282,000) COMMON STOCK. (FILE 333-41458 - JUL. 14) (BR.
7)

S-8 INFORMATION HOLDINGS INC, 2777 SUMMER STREET, SUITE 209, STAMFORD,
CT
06905 (203) 466-5055 - 500,000 (\$17,828,125) COMMON STOCK. (FILE
333-41460 - JUL. 14) (BR. 5)

S-4 FAIRPOINT COMMUNICATIONS INC, 521 EAST MOREHEAD ST, STE 250,
CHARLOTTE,
NC 28202 (704) 344-8150 - 200,000,000 (\$200,000,000) STRAIGHT BONDS.
(FILE 333-41462 - JUL. 14) (BR. 7)

S-8 CATERPILLAR INC, 100 NE ADAMS ST, PEORIA, IL 61629 (309) 675-1000 -
10,000,000 (\$344,688,000) COMMON STOCK. (FILE 333-41464 - JUL. 14)
(BR. 5)

S-4 WATSON PHARMACEUTICALS INC, 311 BONNIE CIRCLE, CORONA, CA 92880
(909) 270-1400 - 13,664,157 (\$316,181,445.60) COMMON STOCK. (FILE
333-41466 - JUL. 14) (BR. 1)

S-3 OMI CORP/M I, ONE STATION PLACE, 90 PARK AVE, STAMFORD, CT 60902
 (203) 602-6700 - 1,500,000 (\$8,906,250) COMMON STOCK. (FILE 333-41468
 -
 JUL. 14) (BR. 5)

S-3 EDGAR ONLINE INC, 50 WASHINGTON ST, NORWALK, CT 06854 (203) 852-5666
 -
 3,509,114 (\$11,404,620) COMMON STOCK. (FILE 333-41472 - JUL. 14) (BR.
 8)

S-3 NHANCEMENT TECHNOLOGIES INC, 6663 OWENS DRIVE, STE 250, PLEASANTON,
 CA
 94588 (925) 251-3333 - 7,325,305 (\$84,458,745) COMMON STOCK. (FILE
 333-41474 - JUL. 14) (BR. 9)

S-8 TENET HEALTHCARE CORP, 3820 STATE STREET, SANTA BARBARA, CA 93105
 (805) 563-7000 - 13,000,000 (\$364,416,000) COMMON STOCK. (FILE 333-
 41476 -
 JUL. 14) (BR. 1)

S-8 TENET HEALTHCARE CORP, 3820 STATE STREET, SANTA BARBARA, CA 93105
 (805) 563-7000 - 4,500,000 (\$126,144,000) COMMON STOCK. (FILE 333-
 41478 -
 JUL. 14) (BR. 1)

S-3 AIRTRAN HOLDINGS INC, 9955 AIRTRAN BLVD, ORLANDO, FL 32827
 (407) 251-5600 (FILE 333-41480 - JUL. 14) (BR. 5)

S-8 VIGNETTE CORP, 901 SOUTH MOPAC EXPRESSWAY, SUITE 300, AUSTIN, TX
 78746
 (512) 306-4300 - 6,264,999 (\$104,940,913) COMMON STOCK. (FILE 333-
 41482 -
 JUL. 14) (BR. 3)

S-1 KABIRA TECHNOLOGIES INC, ONE MCINNIS PKWY, SAN RAFAEL, CA 94903 -
 \$57,500,000 COMMON STOCK. (FILE 333-41484 - JUL. 14) (NEW ISSUE)

S-1 CHARTER COMMUNICATIONS INC /MO/, 12444 POWERSCOURT DRIVE, SUITE 400,
 ST LOUIS, MO 63131 (314) 965-0555 - 5,660,817 (\$82,081,846.50)
 COMMON STOCK. (FILE 333-41486 - JUL. 14) (BR. 7)

S-3 SUCCESSORIES INC, 2520 DIEHL ROAD, AURORA, IL 60504 (630) 820-7200 -
 2,500,000 (\$5,000,000) COMMON STOCK. (FILE 333-41488 - JUL. 14) (BR.
 5)

S-8 ZYDECO ENERGY INC, 635 WEST CAMPBELL RD, SUITE 130, RICHARDSON, TX
 75080
 (713) 659-2222 - 2,522,459 (\$1,236,004.91) COMMON STOCK. (FILE 333-
 41492 -
 JUL. 14) (BR. 4)

S-3 PHC INC /MA/, 200 LAKE ST STE 102, PEABODY, MA 01960 (978) 536-2777
 -
 1,408,018 (\$1,760,023) COMMON STOCK. (FILE 333-41494 - JUL. 14) (BR.
 1)

- S-3 SKYMALL INC, 1520 EAST PIMA ST, PHOENIX, AZ 85034 (602) 254-9777 - 2,665,792 (\$5,831,420) COMMON STOCK. (FILE 333-41498 - JUL. 14) (BR. 2)
- S-3 PENN OCTANE CORP, 900 VETERANS BLVD STE 240, REDWOOD CITY, CA 94063 (650) 368-1501 - 7,246,131 (\$50,722,917) COMMON STOCK. (FILE 333-41502 - JUL. 14) (BR. 4)
- S-3 NEUROGEN CORP, 35 NORTHEAST INDUSTRIAL RD, BRANFORD, CT 06405 (203) 488-8201 - 1,638,000 (\$48,935,250) COMMON STOCK. (FILE 333-41504 - JUL. 14) (BR. 1)
- S-1 OPLINK COMMUNICATIONS INC, 2381 ZANKER ROAD SUITE 150, 408-433-0606, SAN JOSE, CA 95131 - \$172,500,000 COMMON STOCK. (FILE 333-41506 - JUL. 14)
- S-2 MAXICARE HEALTH PLANS INC, 1149 S BROADWAY ST, SUITE 910, LOS ANGELES, CA 90015 (213) 765-2000 - 1,800,000 (\$31,076,072) COMMON STOCK. (FILE 333-41510 - JUL. 14) (BR. 1)
- S-4 DOBSON COMMUNICATIONS CORP, 13439 NORTH BROADWAY EXTENSION SUITE 200, OKLAHOMA CITY, OK 73114 (405) 391-8500 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-41512 - JUL. 14) (BR. 7)
- S-1 NOVIENT INC, 3525 PIEDMONT ROAD BUILDING 8 SUITE 500, ATLANTA, GA 30305 (404) 720-3600 - \$75,000,000 COMMON STOCK. (FILE 333-41514 - JUL. 14) (NEW ISSUE)
- S-4 WWBROADCAST NET INC, 885 WEST GERGIA STREET SUITE 2200, VANCOUVER BRITISH COLUMBIA, CANADA V6C 3E8, A1 00000 - 500 (\$230) FOREIGN COMMON STOCK. (FILE 333-41516 - JUL. 14) (NEW ISSUE)
- S-3 MATRIX PHARMACEUTICAL INC/DE, 34700 CAMPUS DR, FREMONT, CA 94555 (415) 742-9900 - 2,500,000 (\$36,950,000) COMMON STOCK. (FILE 333-41518 - JUL. 14) (BR. 1)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

Item 8. Change in Fiscal Year.
 Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|---|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| ABLE TELCOM HOLDING CORP | FL | | | | X | X | | | | | 07/07/00 | |
| ADVANCE PARADIGM INC | DE | X | | | | | | X | | | 07/05/00 | |
| AETNA INC | CT | | | | X | | | | | | 07/20/00 | |
| AIR PRODUCTS & CHEMICALS INC /DE/ | DE | | | | X | | | | | | 07/20/00 | |
| AMERICAN AIRLINES INC | DE | X | | | | | | | | | 07/19/00 | |
| AMM HOLDINGS INC | DE | | | | X | X | | | | | 07/19/00 | |
| AMR CORP | DE | X | | | | | | | | | 07/19/00 | |
| APPLIED POWER INC | WI | | | | X | X | | | | | 07/17/00 | |
| ARIBA INC | DE | | | | X | X | | | | | 06/21/00 | |
| ARINCO COMPUTER SYSTEMS INC | NM | | | | X | | | | | | 07/13/00 | |
| ARVIDA JMB PARTNERS L P II | DE | | | | X | | | | | | 07/20/00 | |
| ASCENT ENTERTAINMENT GROUP INC | DE | X | | | | | | X | | | 07/06/00 | |
| ASSOCIATES CORPORATION OF NORTH AME RICA | DE | | | | | | | X | | | 06/23/00 | |
| AUSPEX SYSTEMS INC | DE | | | | X | | | | | | 07/14/00 | |
| AVANT IMMUNOTHERAPEUTICS INC | DE | | | | X | X | | | | | 07/17/00 | |
| BALANCED CARE CORP | DE | X | | | | | | X | | | 06/30/00 | |
| BANC ONE AUTO GRANTOR TRUST 1997-B | NY | | | | X | X | | | | | 07/20/00 | |
| BANCTEC INC | DE | | | | X | | | | | | 07/17/00 | |
| BANK ONE CORP | DE | | | | X | X | | | | | 07/19/00 | |
| BANK ONE TEXAS NATIONAL ASSOCIATION | | | | | X | X | | | | | 07/20/00 | |
| BECTON DICKINSON & CO | NJ | | | | X | | | | | | 07/20/00 | |
| BELLSOUTH CORP | GA | | | | X | | | | | | 07/20/00 | |
| BEMIS CO INC | MO | | | | X | X | | | | | 07/07/00 | |
| BIOSOURCE INTERNATIONAL INC | DE | | | | X | | | | | | 07/17/00 | |
| BPI PACKAGING TECHNOLOGIES INC | DE | | | | | | | X | | | 07/19/00 | |
| BSD MEDICAL CORP | DE | | | | X | | | | | | 07/02/00 | |
| BSQUARE CORP /WA | WA | | | | | | | X | | | 05/10/00 | AMEND |
| CAM COMMERCE SOULUTIONS INC | DE | | | | X | X | | | | | 07/18/00 | |
| CANADA SOUTHERN PETROLEUM LTD | CT | | | | X | | | | | | 07/19/00 | |
| CANARGO ENERGY CORP | DE | | | | X | | | | | | 06/28/00 | |
| CAPITAL ONE FINANCIAL CORP | DE | | | | X | X | | | | | 07/19/00 | |
| CAPITAL ONE MASTER TRUST | VA | | | | X | X | | | | | 07/17/00 | |
| CARRIZO OIL & GAS INC | TX | | | | X | | | | | | 07/03/00 | |
| CATALINA LIGHTING INC | FL | X | | | | | | X | | | 07/20/00 | |
| CATERPILLAR INC | DE | | | | X | | | | | | 06/30/00 | |
| CCM MANUFACTURING TECHNOLOGIES INC | DE | X | X | | | | | X | | | 07/13/00 | |
| CELADON GROUP INC | DE | | | | X | X | | | | | 06/28/00 | |
| CENTRAL GARDEN & PET COMPANY | DE | | | | X | X | | | | | 07/19/00 | |
| CENTRE CAPITAL CORP /NV/ | NV | | | | | | | X | | | 07/03/00 | AMEND |
| CHASE MANHATTAN CORP /DE/ | DE | | | | X | X | | | | | 06/30/00 | |
| CHESAPEAKE ENERGY CORP | OK | | | | X | X | | | | | 07/19/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1996-1 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1996-2 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE HOME LOAN TRUST 1996-1 | MD | | | | | X | | | | | 07/20/00 | |
| CHEVY CHASE HOME LOAN TRUST 1997-1 | MD | | | | | X | | | | | 07/20/00 | |
| CITIGROUP INC | DE | | | | | | | | X | | 07/10/00 | |
| CITIGROUP INC | DE | | | | | X | | | | | 07/17/00 | |
| CNH RECEIVABLES INC | DE | | | | | X | X | | | | 07/17/00 | |
| COGNIGEN NETWORKS INC | CO | | | X | | | | | | | 07/12/00 | AMEND |
| COMMONWEALTH BANCORP INC | PA | | | | | X | X | | | | 07/18/00 | |
| COMMSCOPE INC | DE | | | | | X | X | | | | 07/19/00 | |
| COMPOST AMERICA HOLDING CO INC | NJ | | | | | X | X | | | | 07/19/00 | |
| CONDOR TECHNOLOGY SOLUTIONS INC | DE | | | | | X | X | | | | 06/30/00 | |
| CONESTOGA ENTERPRISES INC | PA | X | | | | | | | | | 07/20/00 | |
| CONSOLIDATED PAPERS INC | WI | | | | | X | X | | | | 07/19/00 | |
| CORNERSTONE REALTY INCOME TRUST INC | VA | | | | | | | X | | | 03/16/00 | AMEND |
| COUNTRYWIDE CREDIT INDUSTRIES INC | DE | | X | | | | | | | | 12/31/99 | |
| COVOL TECHNOLOGIES INC | DE | | | | | X | | | | | 07/19/00 | |
| CPS AUTO RECEIVABLES TRUST 1998-4 | CA | | | | | | | X | | | 07/17/00 | |
| CREDIT SUISSE FIR BOS MO SEC CORP C M MT PS TH CRT SR 2000-C1 | DE | | | | | X | X | | | | 07/19/00 | |
| CRIIMI MAE INC | MD | | | X | | | | | | | 07/20/00 | |
| DEAN FOODS CO | DE | | | | | | | X | | | 07/20/00 | |
| DIALYSIS CORP OF AMERICA | FL | | | | | X | | | | | 07/12/00 | |
| DIGITAL ISLAND INC | DE | | | | | X | X | | | | 07/17/00 | |
| DISCOVER CARD MASTER TRUST I | DE | | | | | X | | | | | 06/30/00 | |
| DISCOVER CARD MASTER TRUST I | DE | | | | | X | | | | | 06/30/00 | |
| DOLLAR TREE STORES INC | VA | | | | | X | X | | | | 07/19/00 | |
| DONALDSON LUFKIN & JENRETTE INC /NY | DE | | | | | X | | | | | 07/18/00 | |
| / | | | | | | | | | | | | |
| DST SYSTEMS INC | DE | | | | | X | | | | | 07/19/00 | |
| E PAWN COM INC | NV | X | X | | | X | X | | | | 07/20/00 | |
| EDGE PETROLEUM CORP | DE | | | | | X | | | | | 07/03/00 | |
| EDISON INTERNATIONAL | CA | | | | | X | X | | | | 07/13/00 | |
| EFFICIENT NETWORKS INC | DE | | | | | X | X | | | | 07/18/00 | |
| EGGHEAD COM INC/DE | DE | | | | | X | X | | | | 07/14/00 | |
| EL PASO ENERGY PARTNERS LP | DE | | | | | X | X | | | | 06/30/00 | |
| ELITE INFORMATION GROUP INC | DE | | X | | | | | X | | | 07/11/00 | |
| ENVISION DEVELOPMENT CORP /FL/ | FL | | X | | | | | X | | | 05/05/00 | AMEND |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| EQUITY MARKETING INC | DE | | | | X | | X | | | | 07/20/00 | |
| ESCO TECHNOLOGIES INC | MO | | | | X | | X | | | | 07/10/00 | |
| ESOFTE INC | DE | | | | X | | | | | | 07/12/00 | |
| EXCALIBUR TECHNOLOGIES CORP | DE | | | | X | | | | | | 07/14/00 | |
| EXCEL LEGACY CORP | DE | | | | X | | X | | | | 07/05/00 | |
| FARNSWORTH BANCORP INC | NJ | | | | X | | X | | | | 07/20/00 | |
| FFY FINANCIAL CORP | DE | | | | X | | | | | | 07/18/00 | |
| FINANCIALWEB COM INC | NV | | | | X | X | | | | | 07/12/00 | |
| FIRST CHARTER CORP /NC/ | NC | | | | X | | X | | | | 07/13/00 | |
| FIRST SECURITY CORP /UT/ | DE | | | | X | | | | | | 07/20/00 | |
| FIRST SIERRA RECEIVABLES II INC | DE | X | | | | | | X | | | 07/01/00 | |
| FIRST SIERRA RECEIVABLES III INC | DE | X | | | | | | X | | | 07/01/00 | |
| FIRST UNION DIRECT BANK N A | | | | | | | X | X | | | 07/17/00 | |
| FIRST UNION MASTER CREDIT CARD TRUS T | | | | | | | X | X | | | 07/17/00 | |
| FLEETBOSTON FINANCIAL CORP | RI | | | | X | | X | | | | 07/17/00 | |
| FLIR SYSTEMS INC | OR | | | | X | X | | | | | 07/13/00 | |
| FLOWSERVE CORP | NY | | | | X | | | | | | 07/14/00 | |
| FOOTHILL INDEPENDENT BANCORP | CA | | | | X | | X | | | | 07/18/00 | |
| FORD MOTOR CO | DE | X | | | | | | | | | 07/19/00 | |
| FORD MOTOR CREDIT CO | DE | | | | X | | X | | | | 07/19/00 | |
| FORT JAMES CORP | VA | | | | X | | X | | | | 07/19/00 | |
| FORTUNE BRANDS INC | DE | | | | X | | X | | | | 07/20/00 | |
| FPIC INSURANCE GROUP INC | FL | | | | X | | X | | | | 07/17/00 | |
| FRANKLIN RECEIVABLES LLC | DE | X | | | | | | | | | 06/30/00 | |
| FX ENERGY INC | NV | | | | X | | | | | | 06/28/00 | |
| GENERAL CREDIT CORP | NY | | | | X | | X | | | | 07/18/00 | |
| GENERAL MILLS INC | DE | | | | X | | X | | | | 07/16/00 | |
| GENERAL SEMICONDUCTOR INC | DE | | | | X | | X | | | | 07/20/00 | |
| GENTNER COMMUNICATIONS CORP | UT | X | | | | | | X | | | 07/05/00 | |
| GENZYME CORP | MA | | | | X | | X | | | | 07/17/00 | |
| GEORGIA PACIFIC CORP | GA | | | | X | | X | | | | 07/18/00 | |
| GERALD STEVENS INC/ | FL | | | | X | | X | | | | 07/17/00 | |
| GIGA INFORMATION GROUP INC | DE | | | | X | | X | | | | 07/19/00 | |
| GLOBAL CROSSING LTD | | | | | X | | X | | | | 07/11/00 | |
| GREAT AMERICAN BANCORP INC | DE | | | | X | | X | | | | 07/12/00 | |
| GREENPOINT HM EQ LN TR 1999-2 REV H M EQ ASS BK NOT SE 1999-2 | DE | | | | X | | X | | | | 07/15/00 | |
| GROVE PROPERTY TRUST | MD | | | | X | | X | | | | 07/17/00 | |
| HANCOCK HOLDING CO | MS | | | | X | | | | | | 07/18/00 | |
| HANOVER COMPRESSION INC | DE | | | | X | | X | | | | 07/11/00 | |
| HANOVER GOLD COMPANY INC | DE | | | | X | | | | | | 07/14/00 | |
| HARLEYSVILLE NATIONAL CORP | PA | X | | | | | | | | | 06/30/00 | |
| HASBRO INC | RI | | | | X | | X | | | | 07/20/00 | |
| HEALTHAXIS INC | PA | | | | X | | X | | | | 06/30/00 | |
| HELLER FINANCIAL INC | DE | | | | X | | X | | | | 07/19/00 | |
| HELLER FINANCIAL INC | DE | | | | X | | X | | | | 07/19/00 | |
| HONDA AUTO RECEIVABLES 1997-B GRANT OR TRUST | CA | | | | X | | | | | | 06/30/00 | |
| HONDA AUTO RECEIVABLES 1999-1 OWNER TRUST | CA | | | | X | | | | | | 06/30/00 | |
| IDX SYSTEMS CORP | VT | | | | X | | X | | | | 07/13/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT | |
|--|---------------|-------------|---|---|---|---|---|---|---|---|------|----------|-------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| IMRGLOBAL CORP | FL | | | | | | | | | | X | 07/05/00 | |
| INFORETECH WIRELESS TECHNOLOGY INC | NV | | | | X | | | X | | | | 07/13/00 | |
| INNOVATIVE MEDICAL SERVICES | CA | | | | X | | | | | | | 07/18/00 | AMEND |
| INTEL CORP | DE | | | | | X | | X | | | | 07/18/00 | |
| INTERNATIONAL BUSINESS MACHINES CORP | NY | | | | | X | | | | | | 07/19/00 | |
| P | | | | | | | | | | | | | |
| INTERNATIONAL FAST FOOD CORP | FL | | | | X | | | X | | | | 07/17/00 | |
| INTERNATIONAL HOME FOODS INC | DE | | | | | X | | X | | | | 07/20/00 | |
| INTEST CORP | DE | | | | | | X | X | | | | 07/19/00 | |
| JENNA LANE INC | DE | | | | X | | | | | | | 07/10/00 | |
| JEREMYS MICROBATCH ICE CREAMS INC | DE | X | | | | | | | | | | 07/06/00 | |
| JFAX COM INC | DE | | | | | X | | X | | | | 07/13/00 | |
| JOSTENS INC | MN | | | | | X | | X | | | | 07/19/00 | |
| JOURNAL REGISTER CO | DE | | | | | X | | X | | | | 06/26/00 | |
| JUNO ONLINE SERVICES INC | DE | | | | | X | | X | | | | 06/29/00 | |
| KEYCORP /NEW/ | OH | | | | | X | | X | | | | 07/18/00 | |
| KEYSTONE FINANCIAL INC | PA | | | | | X | | | | | | 07/20/00 | |
| KEYSTONE PROPERTY TRUST | MD | | | | | | | X | | | | 05/05/00 | AMEND |
| KIRBY CORP | NV | | | | | X | | X | | | | 07/18/00 | |
| KNIGHT TRIMARK GROUP INC | DE | | | | | X | | X | | | | 07/19/00 | |
| KRAUSES FURNITURE INC | DE | | | | | | X | X | | | | 02/04/00 | AMEND |
| LAST CO CLOTHING INC | | X | | | | | | | | | | 07/20/00 | AMEND |
| LEAP TECHNOLOGY INC / DE | DE | | X | | | | | | | | | 07/01/00 | |
| LEHMAN BROTHERS HOLDINGS INC | DE | | | | | X | | X | | | | 07/07/00 | |
| LEUCADIA NATIONAL CORP | NY | | | | | X | | | | | | 07/18/00 | |
| LJL BIOSYSTEMS INC | DE | | | | | X | | X | | | | 07/14/00 | |
| LUCENT TECHNOLOGIES INC | DE | | | | | X | | | | | | 07/20/00 | |
| MACKENZIE INVESTMENT MANAGEMENT INC | DE | | | | | | | X | | | | 07/20/00 | |
| MANDALAY RESORT GROUP | NV | | | | | X | | | | | | 07/19/00 | |
| MBNA CORP | MD | | | | | X | | | | | | 07/20/00 | |
| MEDICORE INC | FL | | | | | X | | | | | | 07/11/00 | |
| MEGADATA CORP | NY | | | | | X | | | | | | 06/30/00 | |
| MELLON AUTO GRANTOR TRUST 2000-1 | DE | | | | | X | | X | | | | 07/17/00 | |
| MERIDIAN RESOURCE CORP | TX | | | | | X | | X | | | | 07/17/00 | |
| MIDWAY AIRLINES CORP | DE | | | | | X | | X | | | | 07/19/00 | |
| MINIMED INC | DE | | | | | X | | X | | | | 07/19/00 | |
| MINNESOTA POWER INC | MN | | | | | | | X | | | | 07/19/00 | |
| MMCA AUTO OWNER TRUST 1997-1 | DE | | | | | X | | X | | | | 06/30/00 | |
| MMCA AUTO OWNER TRUST 1998-1 | DE | | | | | X | | X | | | | 06/30/00 | |
| MODINE MANUFACTURING CO | WI | | | | | X | | | | | | 07/19/00 | |
| MOLL INDUSTRIES INC | DE | | | | | X | | X | | | | 07/19/00 | |
| MOORE BENJAMIN & CO | NJ | | | | | X | | | | | | 07/17/00 | |
| MORROW SNOWBOARDS INC | OR | | X | | | | | X | | | | 01/31/00 | AMEND |
| MS CARRIERS INC | TN | | | | | | | X | | | | 07/19/00 | |
| MULTINET INTERNATIONAL CORP INC | | | | | | X | | | | | | 07/21/00 | |
| NATIONAL CITY CREDIT CARD MASTER TRUST | | | | | | | | X | | | | 04/15/99 | |
| UST | | | | | | | | | | | | | |
| NATURAL MICROSYSTEMS CORP | DE | | X | | | X | | X | | | | 07/07/00 | |
| NETIQ CORP | | | | | | | | X | | | | 05/12/00 | AMEND |
| NETSCOUT SYSTEMS INC | DE | | X | | | | | X | | | | 07/07/00 | |
| NETWORK SIX INC | RI | X | | | | | | | | | | 07/19/00 | |
| NEXELL THERAPEUTICS INC | DE | | | | | X | | X | | | | 07/12/00 | |
| NEXLAND INC | AZ | | | | | X | | X | | | | 06/30/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
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| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| NEXTEL INTERNATIONAL INC | WA | | | | | X | X | | | | 07/19/00 | |
| NEXTLINK COMMUNICATIONS INC/NEW | DE | | | | | X | X | | | | 07/06/00 | |
| NMT MEDICAL INC | DE | | | | | X | X | | | | 07/18/00 | |
| NORTH FORK BANCORPORATION INC | DE | | | | | X | X | | | | 07/13/00 | |
| NORTH FORK BANCORPORATION INC | DE | | | | | X | | | | | 07/14/00 | |
| NOVADIGM INC | DE | | | | | X | X | | | | 06/30/00 | |
| NRG ENERGY INC | DE | | | | | X | X | | | | 07/18/00 | |
| OCCIDENTAL PETROLEUM CORP /DE/ | DE | | | | | X | | | | | 07/19/00 | |
| OCCUPATIONAL HEALTH & REHABILITATIO N INC | DE | | | | | X | | X | | | 07/14/00 | |
| ONLINE INTERNATIONAL CORP /NV/ | NV | X | | | | | | | | | 07/20/00 | |
| ONYX ACCEPTANCE FINANCIAL CORP | DE | | | | | X | X | | | | 07/19/00 | |
| ORTHOVITA INC | | | | | | X | | | | | 07/20/00 | |
| PACIFIC GATEWAY EXCHANGE INC | DE | | | | | | X | | | | 06/28/00 | AMEND |
| PARTS COM INC | NV | | | | X | | X | | | | 07/19/00 | |
| PENTASTAR COMMUNICATIONS INC | DE | | | | | X | X | | | | 07/18/00 | |
| PENTASTAR COMMUNICATIONS INC | DE | | | | | X | X | | | | 07/19/00 | |
| PEOPLES SIDNEY FINANCIAL CORP | DE | | | | | X | X | | | | 07/18/00 | |
| PHILIP SERVICES CORP/DE | DE | | | | | X | | | | | 07/20/00 | |
| PLEXUS CORP | WI | | X | | | | X | | | | 07/14/00 | |
| PLUM CREEK TIMBER CO INC | DE | | X | | | | X | | | | 07/18/00 | |
| PRE CELL SOLUTIONS INC/ | CO | | | | | X | | | | | 07/14/00 | |
| PRIME CAPITAL CORP | DE | | | | | | X | X | | | 07/18/00 | |
| PRUDENTIAL SECURITIES SECURED FINAN CING CORP | DE | X | | | | | | X | | | 07/01/00 | |
| PSINET INC | NY | | | | | | | X | | | 07/15/00 | |
| PUBLIC SERVICE CO OF NEW MEXICO | NM | | | | | X | | | | | 07/20/00 | |
| PURINA MILLS INC/ | DE | | | | | X | X | | | | 06/29/00 | |
| QUINTILES TRANSNATIONAL CORP | NC | | | | | X | X | | | | 07/20/00 | |
| REDBACK NETWORKS INC | DE | | | | | X | X | | | | 07/20/00 | |
| SAFETY KLEEN CORP/ | DE | | | | | X | | | | | 07/19/00 | |
| SAKS INC | TN | | | | | X | X | | | | 07/19/00 | |
| SALOMON SMITH BARNEY HOLDINGS INC | DE | | | | | X | | | | | 07/19/00 | |
| SALON COM | DE | | | | | | | X | | | 05/05/00 | AMEND |
| SBI COMMUNICATIONS INC | DE | | | | | X | | | | | 06/30/00 | |
| SBI COMMUNICATIONS INC | DE | | X | | | | | | | | 09/30/00 | |
| SCHEIN PHARMACEUTICAL INC | DE | X | | | | | | X | | | 07/06/00 | |
| SCHWEITZER MAUDUIT INTERNATIONAL IN C | DE | | | | | X | X | | | | 07/19/00 | |
| SCIENCE APPLICATIONS INTERNATIONAL CORP | DE | | | | | X | | | | | 07/19/00 | |
| SCIENT CORP | | | | | | X | | | | | 07/20/00 | |
| SENETEK PLC /ENG/ | | | | | | X | | | | | 06/05/00 | |
| SPARTAN STORES INC | MI | | | | | X | X | | | | 07/18/00 | |
| SPIGADORO INC | DE | | | | | | | X | | | 05/03/00 | AMEND |
| SPORT HALEY INC | CO | | | | | X | | X | | | 07/13/00 | |
| STANLEY WORKS | CT | | | | | X | X | | | | 07/19/00 | |
| STEWART & STEVENSON SERVICES INC | TX | | | | | X | X | | | | 07/18/00 | |
| TECO ENERGY INC | FL | | | | | X | X | | | | 07/20/00 | |
| TELLABS INC | DE | | | | | X | | | | | 07/20/00 | |
| TIER TECHNOLOGIES INC | CA | | | | | | | X | | | 07/19/00 | AMEND |

| NAME OF ISSUER | STATE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|---|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7 | DE | | | | | | | | | X | 07/15/00 | |
| TITAN MOTORCYCLE CO OF AMERICA INC | NV | | | | | X | X | | | | 07/14/00 | |
| TITAN PHARMACEUTICALS INC | DE | | | | | X | X | | | | 07/20/00 | |
| TODAYS MAN INC | PA | | | | | X | | | | | 07/19/00 | |
| TOTAL FILM GROUP INC | DE | X | | | | | | | | | 06/30/00 | |
| TRANSGENOMIC INC | DE | | | | | X | | | | | 07/17/00 | |
| TRICON GLOBAL RESTAURANTS INC | NC | | X | | | | | | | | 07/18/00 | |
| TRIPLE S PLASTICS INC | MI | | | | | X | X | | | | 07/13/00 | |
| TRISTAR CORP | DE | X | | | | | | | | | 07/07/00 | |
| U S TECHNOLOGIES INC | DE | | X | | | | | X | | | 07/05/00 | |
| U S TRUCKING INC | CO | | | | | | | X | | | 02/07/00 | AMEND |
| UAL CORP /DE/ | DE | | | | | X | X | | | | 07/19/00 | |
| UNION PLANTERS CORP | TN | | | | | X | X | | | | 07/20/00 | |
| UNITED AIR LINES INC | DE | | | | | X | X | | | | 07/19/00 | |
| UNITED COMMUNITY FINANCIAL CORP | OH | | | | | X | | | | | 07/19/00 | |
| UNITED STATES EXPLORATION INC | CO | | | | | X | X | | | | 07/13/00 | |
| UNITED WATER RESOURCES INC | NJ | | | | | X | | | | | 07/20/00 | |
| US AIRWAYS GROUP INC | DE | | | | | X | X | | | | 07/19/00 | |
| US AIRWAYS INC | DE | | | | | X | X | | | | 07/19/00 | |
| US BANCORP \DE\ | DE | | | | | X | X | | | | 07/20/00 | |
| VARI L CO INC | CO | | | | X | | | | | | 07/05/00 | AMEND |
| VASTAR RESOURCES INC | DE | | | | | X | X | | | | 07/20/00 | |
| VECTOR GROUP LTD | DE | | | | | X | | | | | 07/14/00 | |
| VERITAS SOFTWARE CORP /DE/ | DE | | | | | X | X | | | | 07/18/00 | |
| VERTICALNET INC | PA | | | | | X | X | | | | 07/13/00 | |
| WARNACO GROUP INC /DE/ | DE | | | | | X | X | | | | 07/19/00 | |
| WELLS FARGO ASSET SECURITIES CORP | DE | | | | | X | X | | | | 07/19/00 | |
| WELLS FARGO ASSET SECURITIES CORP | DE | | | | | X | X | | | | 07/20/00 | |
| WIRELESS TELECOM GROUP INC | NJ | | X | | | | | X | | | 07/07/00 | |
| WORLD FINANCIAL NETWORK NATIONAL BA NK | OH | | | | | | | X | | | 07/17/00 | |
| ZIASUN TECHNOLOGIES INC | NV | | | X | | | | | | | 06/26/00 | |
| ZYGO CORP | DE | | | X | | | | X | | | 05/05/00 | AMEND |