NATIONAL MARINE MAMMAL TISSUE BANK SPECIMEN ACCESS POLICY

The goal of the National Marine Mammal Tissue Bank (NMMTB) is to maintain quality controlled marine mammal tissues and or blood that will permit retrospective analyses to determine such things as environmental trends of contaminants and other analytes of interest and that will provide the highest quality samples for analyses using new and innovative techniques. The NMMTB was formally established in 1992 by Public Law 102-587. This legislation gives lead responsibility to the National Oceanic and Atmospheric Administration (NOAA) and designates the Department of the Interior (DOI) as cooperator for establishing and managing this specimen bank.

The National Marine Fisheries Service (NMFS), Office of Protected Resources (OPR), is the NOAA line organization responsible for the NMMTB. The NMMTB is a component of NMFS Marine Mammal Health and Stranding Response Program. Through an agreement between NMFS and the National Institute of Standards and Technology (NIST), the NMMTB is maintained at the NIST National Biomonitoring Specimen Bank facilities in Gaithersburg, Maryland, and Charleston, South Carolina, as part of the National Marine Analytical Quality Assurance Program. The U.S. Fish and Wildlife Service (USFWS), the Minerals Management Service (MMS), and the U.S. Geological Survey Biological Resources Division (USGS/BRD) are the DOI agencies cooperating in the NMMTB. These Federal agencies, NMFS, USFWS, USGS/BRD, and NIST, are defined as the NMMTB Collaborating Agencies.

Additional information on the history of the MMHSRP and the NMMTB can be found on the following NMFS website:

www.nmfs.noaa.gov/pr/health/

The scientific community, contributors, and principal investigators can request tissues from this repository for retrospective analyses such as to determine environmental trends of contaminants and other analytes of interest. The NMMTB collects, processes, and stores tissues or blood from specific species (e.g., Atlantic bottlenose dolphins, Atlantic white sided dolphins, pilot whales, harbor porpoise), animals from mass strandings; animals that have been trapped, injured or killed incidental to commercial fisheries; animals taken for subsistence purposes, animals from which biopsies have been obtained; and animals from unusual mortality events.

Specimen Description

Each tissue or blood specimen consists of duplicate samples (denoted A and B) of approximately 150 g, each. These duplicate samples are banked in the NMMTB in separate liquid nitrogen vapor-phase freezers and are maintained at -150 °C. When a portion of a specimen is requested for analysis, the "B" sample of that specimen can be cryogenically homogenized and aliquoted into approximately 20 subsamples of 6 to 8 g, each tissue. The "A" sample of each specimen remains as a bulk sample and will only be homogenized after all portions from the corresponding

"B" sample has been depleted and there is sufficient justification to homogenize the remaining material. Thus, 50% of each specimen is available to the scientific community for research and scientific evaluations consistent with the goal of the NMMTB and 50% is intended for long-term storage as a more permanent archive for decades.

Each "B" sample of a specimen is divided into three categories (Table 1). Category 1, which is 10% of the homogenized material, is reserved for baseline analyses. Category 2 consists of 50% of the material and is reserved for use by the specimen collector(s)/contributor(s). Category 3 constitutes 40% of the material and is available to the scientific community for research that is consistent with the goal of the NMMTB and the MMHSRP.

If an "A" sample is eventually homogenized, it is divided into the following four categories. Category 1 consists of 10% of the material for baseline analyses. Category 2 consists of 25% of the material reserved for use by the specimen contributors. Category 3 consists of 25% of the material available to the scientific community. Category 4 contains the remaining 40%, which is intended as a permanent archive. Category 4 will not be used unless a very high need can be identified by NOAA and DOI. Combining the "A" and "B" samples, the specimen allocations for each use are as follows: Category 1 = 10%, Category 2 = 37.5%, Category 3 = 32.5%, and Category 4 = 20%.

Table 1. Relative amounts of tissue for each NMMTB category

| (Category) Use | Sample A = 150 g Long-Term Archival | | Sample B = 150 g Available for Homogenization | |
|--------------------------|----------------------------------------|-------------------|-----------------------------------------------|-------------------|
| | _ | Portion of Sample | | Portion of Sample |
| (1) Baseline Analyses | 15 | 10% | 1: | 5 10% |
| (2) Contributor | 37.5 | 25% | 75 | 50% |
| (3) Scientific Community | 37.5 | 25% | 60 | 40% |
| (4) Long-Term Archival | 60 | 40% | 0 | 0% |

ACCESS PROCEDURES

Category 1, Baseline Analyses

Baseline analyses may be conducted by a Federal Laboratory to establish values of analytes of interest for a specimen. These baseline values may be used to monitor specimen stability during storage and, for QA purposes, to compare with analytical data being generated on the same specimens (and/or similar specimens from the same individual animals) by collaborating monitoring and research programs. The baseline database may also be used in NMMTB planning and development (i.e., evaluating collection protocols, evaluating sampling procedures

required for adequate statistical analysis, etc.). NIST and NMFS, in consultation with USGS/BRD, USFWS, and the Contributor(s) have the primary responsibility for baseline analyses, the details of which will be documented in the annual work statement of each agency.

Category 2 and 3 Applicants

The scientific community, contributors, and principal investigators for research can request tissue specimen samples, contribute samples for retrospective studies. The Contributor is an organization that contributes samples, funds or personnel for collecting or archiving tissues in the NMMTB (see below under the section, Major Contributors, To-Date). A principal investigator is an individual primarily responsible for the taking, importation, export, and any related activities conducted under a permit issued for scientific research or enhancement purposes.

Of particular importance in determining whether a sample request by the scientific community will be granted is the justification that the use being proposed is consistent with the goals of the NMMTB (page1, paragraph1) and the MMHSRP; and comparable tissue samples to accomplish the goals of the proposed research could not be readily obtained from other sources. The goals of the MMHSRP are to facilitate the collection and dissemination of reference data on marine mammals and health trends of marine mammal populations in the wild; to correlate the health of marine mammals and marine mammal populations in the wild with available data on physical, chemical, and biological environmental parameters; and to coordinate effective responses to unusual mortality events.

The applicant should keep in mind that only a very limited amount of a sample may be available and that the NMMTB emphasizes the intended use of banked specimens for retrospective studies.

How To Apply:

- 1. Applicants must submit a signed written request with attached study plan to the MMHSRP Program Manager, Office of Protected Resources, NMFS (identified below under the section, Principal Representatives of the NMMTB Collaborating Agencies).
 - 2. The following specific information must be included in the request:
 - a. A clear and concise statement of the proposed use of the banked tissue specimen sample. The applicant must justify the use of the banked tissue and demonstrate that the proposed use is consistent with the goals of the NMMTB and the MMHSRP (described above);
 - b. A copy of the applicant's scientific research permit. The applicant must demonstrate that the proposed use of the banked tissue is authorized by the permit;
 - c. Name of principal investigator, official title, and affiliated research or academic organization;

- d. Specific tissue sample and quantity desired;
- e. Research facility where analyses will be conducted. The applicant must demonstrate that the research facility will follow the Analytical Quality Assurance (AQA) program, which was designed to ensure the accuracy, precision, level of detection, and intercompatibility of data resulting from chemical analyses of marine mammal tissues. The AQA consists of annual interlaboratory comparisons and the development of control materials and standard reference materials for marine mammal tissues. Standard Reference Materials for use in the analysis of marine mammal tissues can be purchased from the NIST;
- f. Verification that funding is available to conduct the research;
- g. Estimated date for completion of research, and schedule/date of subsequent reports;
- h. Agreement that all (1) research/findings based on use of the banked tissue will be reported to the NMMTB, MMHSRP Program Manager, and the contributor; and (2) the sequence of any tissue specimen samples that are used/released for genetic analyses (DNA sequencing) will be archived in the National Center for Biotechnology Information's GenBank. Sequence accessions in GenBank should document the source, citing a NIST field number that indentifies the animal; and
- i. Agreement that credit and acknowledgment will be given to U.S. Fish and Wildlife Service (USFWS), U.S. Geologic Service (USGS), NMFS, NIST, MMS, the NMMTB, and the collector for use of banked tissues, as applicable. The applicant shall insert the following acknowledgment in all publications, abstracts or presentations based on research using the banked tissue:

The specimens used in this study were collected by [the contributor] and provided by the National Marine Mammal Tissue Bank, which is maintained in the National Biomonitoring Specimen Bank at NIST and which is operated under the direction of NMFS with the collaboration of USGS, USFWS, MMS, and NIST through the Marine Mammal Health and Stranding Response Program [and the Alaska Marine Mammal Tissue Archival Project if the samples are from Alaska] as applicable.

- 3. Upon submission of a complete application, the MMHSRP Program Manager will send the request and attached study plan to the following entities which will function as the review committee:
 - a. Appropriate Federal agency (NMFS or USFWS) marine mammal management office for that particular species, and
 - b. Representatives of the NMMTB Collaborating Agencies (NMFS, USFWS, USGS, MMS, Biological Resources Division, and NIST). If no member of the review committee is an expert in the field that is related to the proposed research activity, any member may request an outside review of the proposal, which maybe outside of NMFS or USFWS but within the Federal government.
- 4. The MMHSRP Program Manager will send the request and attached study plan to any contributor(s) of the tissue specimen sample. The contributor(s) of the sample may submit comments on the proposed research activity to the Director, Office of Protected Resources

within 30 days of the date that the request was sent to the contributor(s).

- 5. The USFWS Representative of the NMMTB Collaborating Agencies will be chair of the review committees for requests involving species managed by the DOI. The MMHSRP Program Manager will be chair of all other review committees.
- 6. Each committee chair will provide recommendations on the request and an evaluation of the study plan will be provided by each committee chair to the Director, Office of Protected Resources, NMFS.
- 7. The Director, Office of Protected Resources, NMFS, will make the final decision on release of the samples based on the advice provided by the review committee, comments received from any contributor(s) of the sample within the time provided in paragraph 4, and determination that the proposed use of the banked tissue specimen sample is consistent with the goals of the MMHSRP and the NMMTB. The Director will send a written decision to the applicant and send copies to all review committee members. If the samples are released, the response will indicate whether the samples have been homogenized and, if not, the homogenization schedule.

The average time for review of the request and the mailing of the written response to the requester will be 45 working days from receipt of the request by the committee chair. However, the Director, Office of Protected Resources, NMFS will respond in writing no later than 60 days following receipt of the letter of request.

- 8. Shipping and homogenization costs related to the use of any specimens from the NMMTB will be borne by the applicant.
- 9. The applicant will dispose of the tissue specimen sample after the research is completed unless the requester submits another request for research and receives approval in accordance with the procedures listed above. The request must be submitted within three months after the original project has been completed.

Category 4, Long-Term Archival

Aliquots of Category 4 cannot be withdrawn until all other categories of a sample have been depleted. When Category 4 tissues are withdrawn, the same review process will be followed as described for Category 3 with the final determination made jointly by the Representatives of the NMMTB Collaborating Agencies and specific Contributors.

PRINCIPAL REPRESENTATIVES OF THE NMMTB COLLABORATING AGENCIES

Teresa K. Rowles, D.V.M., Ph.D. MMHSRP Program Manager U.S. Department of Commerce NOAA/NMFS/PR2 1315 East-West Highway Bldg. SSMC-3, Room 13752 Silver Spring, Maryland 20910-3282

Paul R. Becker, Ph.D.
Charleston Project Leader
U.S. Department of Commerce
NIST
Hollings Marine Laboratory
331 Fort Johnson Road
Charleston, South Carolina 29412

Cleveland J. Cowles, Ph.D. Chief, Environmental Studies Section Alaska Region Mineral Management Services 949 East 36th Avenue, Suite 300 Anchorage, Alaska 99508-4363 Geoff Weston York AMMTAP Project Leader U.S. Department of the Interior USGS Biological Resources Division Alaska Science Center 1011 East Tudor Road Anchorage, Alaska 99503

Marty Kodis Branch Chief, Resource Management Support U.S. Fish and Wildlife Service 4401 N. Fairfax Drive, Room 400B Arlington, VA 22203

DEPARTMENT OF THE INTERIOR REPRESENTATIVES FOR SPECIMEN ACCESS REVIEW

For walrus, N sea otter, polar bear: Geoff Weston York, AMMTAP Project Leader USGS Biological Resources Division Alaska Science Center 1101 E. Tudor Rd Anchorage, Alaska 99503

For Manatees:

Robert K. Bonde, Ph.D., Sirenia Project USGS Biological Resources Division Florida Caribbean Science Center 412 NE 16th Avenue, Room 250 Gainesville, Florida 32601

For southern sea otter:

Lyman K. Thorsteinson, Ph.D. USGS Biological Resources Division Western Fisheries Research Center 6505 NE 65th Street Seattle, Washington 98113 Rosa Meahan
U.S. Fish and Wildlife Service
Marine Mammals Management
Alaska Science Center
1101 E. Tudor Rd
Anchorage, Alaska 99503

Jim Valade, Lead Recovery Biologist and/or Species Expert U.S. Fish and Wildlife Service Jacksonville Field Office 6620 South Point Drive, Suite 310 Jacksonville, Florida 32216-0958

Greg Sanders U.S. Fish and Wildlife Service Ventura Field Office 24993 Portola Rd, Suite B Ventura, CA 93003

DEPARTMENT OF COMMERCE REPRESENTATIVES FOR SPECIMEN ACCESS REVIEW

For cetaceans and pinnipeds (except walrus), depending on region or species managed:

NOAA/NMFS Alaska Regional Office Protected Resources Division P.O. Box 21668 Juneau, Alaska 99802

NOAA/NMFS

Southwest Fisheries Science Center Protected Resources Division 8604 La Jolla Shore Drive La Jolla, California 92037-1508

NOAA/NMFS

Southeast Fisheries Science Center Protected Resources and Biodiversity Branch

Miami, Florida

NON-FEDERAL CONTRIBUTORS, TO-DATE:

NOAA/NMFS

Alaska Fisheries Science Center y National Marine Mammal Laboratory 7600 Sand Point Way N.E. Seattle, Washington 98115-6349

NOAA/NMFS

Northeast Regional Office Protected Resources Division One Blackburn Drive Woods Hole, Massachusetts 01930-2298

NOAA/NMFS

Northwest Fisheries Science Center Environmental Conservation Division 2725 Montlake Blvd., East Seattle, Washington 98112-2097 North Slope Borough Department of Wildlife Management, Barrow, Alaska

Marine Mammal Center, Sausalito, California

Minerals Management Service, Alaska OCS Environmental Studies Division, Anchorage, Alaska

Coastal Center for Environmental Health and Biomolecular Research, Charleston, South Carolina.

Kawerak, Inc., Natural Resources Division and Eskimo Walrus Commission, Nome, Alaska

Kotzebue, IRA, Kotzebue, Alaska

Alaska SeaLife Center, Seward, Alaska

New England Aquarium, Boston, Massachusetts

University of North Carolina at Wilmington, North Carolina