

UNITED STATES DEPARTMENT OF AGRICULTURE
FOOD SAFETY AND INSPECTION SERVICE
WASHINGTON, DC

FSIS DIRECTIVE

5040.1

1/22/13

USES OF FSIS FORM PY-200 EGG PRODUCTS INSPECTION CERTIFICATE

I. PURPOSE

This directive provides instruction to FSIS inspection program personnel (IPP) assigned to egg products plants. The FSIS Form PY-200 “Egg Products Inspection Certificate” is a USDA-FSIS serially numbered official form that has multiple uses within the egg products inspection program. This directive is being issued to make IPP aware of the uses of FSIS Form PY-200 and of how the copies of the completed form are to be distributed.

KEY POINTS

- *The PY-200 is used to record information on egg products shipped between official egg products plants.*
- *The PY-200 serves as a shipping certificate for shipment of non–denatured inedible egg products.*
- *The PY-200 includes information on the shipment of egg product samples to the Agricultural Marketing Service (AMS) laboratory for analysis.*
- *The PY-200 includes certification of voluntary specification requirements for a finished lot of egg products (e.g., school lunch commodity product, exports, military, net weight).*
- *The PY-200 is used to control the movement of egg products to an approved off-premise freezer for further processing.*
- *Distribution of the completed FSIS Form PY-200 (original and copies) is in Attachment 1.*

II. CANCELLATION

Egg Products Inspector’s Handbook, Section 13, I. B. Distribution, page 2

III. BACKGROUND

IPP assigned to an official egg products plant issue FSIS Form PY-200 for various reasons. The form is used to record inspection results, such as information indicating that IPP have examined the type (i.e., frozen whole eggs, liquid egg yolks, dried egg whites) and the condition of egg products to be shipped. The form is also used to record that the egg product meets the requirements of 9 CFR Part 590 and any applicable voluntary specification requirements. IPP are to issue a completed FSIS Form PY-200 to meet FSIS regulatory requirements and at the request of an applicant (e.g., egg products plant manager) to accompany a shipment of egg products.

IV. SHIPMENT OF EGG PRODUCT TO ANOTHER OFFICIAL PLANT

A. IPP RESPONSIBILITIES AT THE ORIGINATING EGG PRODUCTS PLANT

IPP at the plant of origin are to generate FSIS Form PY-200 and record information for each lot of egg product shipped between official plants. IPP are to indicate the type and condition of the egg product, time shipped, its temperature, and the FSIS seal numbers on FSIS Form PY-200. IPP are to follow the instructions for completing FSIS Form PY-200 in Section 6 of the Egg Products Inspector's Handbook.

B. IPP RESPONSIBILITIES AT THE RECEIVING EGG PRODUCTS PLANT

Upon receipt of the egg product shipment, IPP are to verify the accuracy of the information recorded on the original FSIS Form PY-200 and verify the integrity of the egg product shipped. IPP at the destination plant are to follow the instructions in the Egg Products Inspector's Handbook, Section 6, II, C. 2.

V. SHIPMENT OF NON-DENATURED INEDIBLE EGG PRODUCTS

FSIS IPP may permit the collection and shipment of non-denatured inedible egg products under the following conditions:

1. To another FSIS official plant for inedible processing. The originating plant management requests FSIS Form PY-200 from the IIC as provided for in [9 CFR 590.504\(c\)](#). IPP are to ensure adequate controls (e.g., a USDA seal and a completed FSIS Form PY-200) to prevent non-denatured inedible egg product from being diverted for human consumption. FSIS IPP at the plant of origin and at the destination plant are to follow the instructions in the Egg Products Inspector's Handbook, Section 12.
2. To a location not under FSIS jurisdiction for inedible processing (e.g., pet food). The originating plant management requests FSIS Form PY-200 from the IIC as provided for in [9 CFR 590.504\(c\)](#). The originating plant is responsible for requesting inspection coverage from the DO for receipt of the non-denatured inedible egg product at the non-FSIS destination location per the regulatory requirements. IPP are to verify that all procedures are in accordance with [9 CFR 590.504\(c\)](#) and [590.840](#) and approved by the District Office (DO), and that destination inspection will be covered by an authorized FSIS representative before non-denatured inedible egg product is shipped.

VI. LABORATORY ANALYSIS OF CERTIFICATION SAMPLES

A. Certification of egg products for bacteriological, chemical, or physical characteristics requires laboratory testing. When IPP receive a request (e.g., from plant management) for certification, they are to select and prepare samples to be sent to:

USDA/AMS, Science and Technology National Science Laboratory
801 Summit Crossing Place, Suite B
Gastonia, NC 28054
Telephone: (704) 867-3873

B. All laboratory samples intended for certification are submitted under the Voluntary Egg Products Inspection Program. IPP are to complete FSIS Form PY-200, as described in Section 8 of the Egg Products Inspectors Handbook, to accompany samples to the USDA AMS Laboratory.

C. Under the voluntary sampling program, the plant is responsible for selecting the samples, providing all sampling supplies, and paying for all costs associated with the collection and shipment of samples to the AMS laboratory. The AMS laboratory bills the plant directly for service rendered. FSIS laboratories do not accept or analyze egg product samples for certification purposes.

D. A designated plant employee may select samples for certification for the following reasons:

1. To meet export requirements as identified in the export library.
2. To meet voluntary specification requirements (school lunch commodity product, exports, and military) when a Certificate of Analysis is required.

VII. VOLUNTARY PROGRAM REQUESTS

A. FSIS provides voluntary reimbursable services for egg products ([9 CFR Part 592](#)). FSIS IPP are to verify that the egg products are manufactured, processed, and produced in compliance with any applicable standard or specification for such egg product, as prescribed in [9 CFR 592.650](#). IPP are to complete FSIS Form PY-200 to certify that FSIS has completed voluntary inspection.

B. The FSIS voluntary egg products inspection service is used for certification to Federal, State, local, military, export, and commercial specifications requirements. Voluntary inspection may also include certification of further processed egg products that are not amenable to the Egg Products Inspection Act ([EPIA](#)) (e.g., fully cooked egg patties, fully cooked omelets). FSIS provides voluntary egg products inspection on a fee-for-service basis. Certified product is identified with the USDA mark of inspection.

VIII. CONTROLLING MOVEMENT OF EGG PRODUCTS TO AN APPROVED OFF-PREMISE FREEZER

A. Egg products moved to an approved off-premise freezer to be frozen must travel under USDA government seal and be accompanied by a completed FSIS Form PY-200. Alternatively, egg products may move to an off-premise freezer when alternative approved control procedures have been approved by the DO to ensure control of the movement and disposition of egg product through the end of the freezing process and final condition examination by FSIS IPP. All egg products and further processed products required to be produced under FSIS inspection

(e.g.; egg substitute processed from unpasteurized egg product and only pasteurized one time) are considered to be “in-process” until all processing steps have been completed and regulatory compliance is verified by FSIS.

B. FSIS IPP are to verify that the off-premise freezer is approved, as described in [9 CFR 590.534](#). Section 5 of the Egg Products Inspector’s Handbook provides instructions on the movement of egg products from the origin plant to an off-premise freezer to be frozen. IPP are to verify that FSIS Form 5200-10, “Application for Off-Premise Freezing of Egg Products” has been completed by the official plant and the freezing plant and approved by the Frontline Supervisor (FLS). Approved off-premise freezers are considered an extension of the official egg products plant and do not have an assigned FSIS identification or plant number.

NOTE: IPP are to be aware that egg products that are labeled as frozen product and are being moved to an off-premise freezer for freezing are considered product that is still “in-process.” Egg products that are still “in-process” are not eligible for distribution in commerce. Both management of the egg products plant and the off-premise freezer facility must ensure that egg products do not enter commerce until all requirements of [9 CFR 590.536](#) have been met.

IX. EXPORT INFORMATION:

A. IPP are to issue FSIS Form PY-200 upon verifying that the requested egg products for export meets the requirements of 9 CFR part 590 and the requesting country’s import requirements.

B. IPP are to enter egg products-related statements on FSIS Form PY-200 as listed in the FSIS Export Library.

C. IPP are to review importing country requirements and verify information accuracy before signing the FSIS Form PY-200 for export. The export requirements for egg products are posted in the FSIS Export Library and can be found by accessing the following link:

[FSIS Export Library](#)

D. Additional information regarding the export of egg products can be found in FSIS Directive 9000.6, “Certification of Egg Products From Other Than Official Egg Products Plants” by accessing the following link: [FSIS Directive 9000.6](#).

X. DISTRIBUTION AND FILING OF COMPLETED PY-200 FORMS

See Attachment 1 for a chart listing the distribution and filing of a completed FSIS Form PY-200 and its copies.

XI. SUPERVISORY RESPONSIBILITIES

A. “Supervisory personnel” refers to any OFO personnel who supervise IPP conducting egg products inspection activities.

B. The supervisor plays a key role in ensuring that IPP decisions are consistent with FSIS statutory authority and Agency policy, and that the IPP’s duties are performed in accordance with prescribed inspection methods and procedures addressed in this directive.

C. FSIS supervisory personnel are to discuss the key points identified in this directive with IPP.

D. Supervisory personnel are to ensure that IPP:

1. Understand their responsibilities when using FSIS Form PY-200;
2. Correctly complete FSIS Form PY-200 for the purpose intended;
3. Properly document observations made during shipping or receiving; and
4. Verify that all shipping or receiving specification requirements have been met.

E. Supervisory personnel are to use the [FSIS Guide for Conducting In-Plant Performance System \(IPPS\) Assessments](#) for additional guidance and instructions.

XII. DATA ANALYSIS

The Policy Development Division (PDD), Office of Policy and Program Development, will review and analyze askFSIS questions to determine the effectiveness of this directive. The questions involving FSIS Form PY- 200 will be analyzed to identify subject matter trends before and after the issuance of this directive.

XIII. QUESTIONS

A. Refer questions regarding this directive to the Policy Development Division through [askFSIS](#) or by telephone at 1-800-233-3935. When submitting a question, use the Submit a Question tab, and enter the following information in the fields provided:

Subject Field: Enter **PY-200 General Policy Question**
Question Field: Enter your question with as much detail as possible
Product Field: Select **General Inspection Policy** from the drop-down menu
Category Field: Select **Regulations/Agency Issuances** from the drop-down menu
Policy Arena: Select **Domestic (U.S.) Only** from the drop-down menu, and

When all fields are complete, press the **Submit** button.

B. Questions can also be submitted to the International Policy Division through [askFSIS](#). When submitting a question, use the **Submit a Question** tab, enter the following information in the fields provided:

Subject Field: Enter **PY-200 Export Question**
Question Field: Enter your question with as much detail as possible
Product Field: Select **Exports** from the drop-down menu
Category Field: Select **Export Certificates** from the drop-down menu
Policy Arena: Select **Domestic (U.S.) Only** or **International (Import/Export)** from the drop-down menu

When all fields are complete, press the **Submit** button.

C. Refer export questions to the Export Programs Staff, Office of International Affairs, by calling the toll free telephone number at 1-855-444-9904.

A handwritten signature in black ink, reading "Rachel A. Edelstein". The signature is written in a cursive style with a large initial "R".

Assistant Administrator
Office of Policy and Program Development

Attachment 1: Distribution and Filing of Completed PY-200 Forms

PY-200	Between Official Plants	Laboratory Sample for Certification	Voluntary Specification Certification	Off-Premise Freezer	Exports	Non-denatured Inedible
Copy Color	Mandatory	Voluntary	Voluntary	Mandatory	Voluntary	Mandatory
ORIGIN INSPECTOR						
<i>Original/ White</i>	Accompanies Shipment	Accompanies Sample to Laboratory	To Applicant/ Accompanies Shipment	Accompanies Shipment	Accompanies Shipment	Accompanies Shipment
<i>Pink</i>	USDA File	USDA File	USDA File	USDA File	USDA File	USDA File
<i>Yellow</i>	Plant management	Plant management	Plant management	Plant management	Plant management	Accompanies Shipment
<i>Yellow</i>	Accompanies Shipment	Accompanies Sample to Laboratory	Follow instructions in Specification	Accompanies Shipment	Accompanies Shipment	Accompanies Shipment
<i>Yellow</i>	Accompanies Shipment	Accompanies Sample to Laboratory		Accompanies Shipment	Extra-Destroy	Mail to DO.
<i>Yellow</i>	Mailed to IIC at Destination Plant	Accompanies Sample to Laboratory		Mailed to Off-Premise Freezer IIC	Extra-Destroy	Extra/Destroy
DESTINATION INSPECTOR						
Copy Color	Mandatory	Voluntary	Voluntary	Voluntary	Voluntary	Voluntary
<i>Original/ White</i>	Plant management	NA	NA	NA	NA	Follow DO Instructions
<i>Pink</i>	NA	NA	NA	NA	NA	NA
<i>Yellow</i>	USDA File	NA	NA	NA	NA	NA
<i>Yellow</i>	Mail to Origin Plant	NA	NA	NA	NA	NA
<i>Yellow</i>	Destroy mailed copy	NA	NA	NA	NA	NA
<i>Yellow</i>	NA	NA	NA	NA	NA	NA