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| <p>Application for Rockfish Cooperative Fishing Quota (CQ)</p> | <p>U.S. Dept. of Commerce/ NOAA National Marine Fisheries Service (NMFS) Restricted Access Management (RAM) P.O. Box 21668 Juneau, AK 99802-1668 (800) 304-4846 toll free / (907) 586-7202 in Juneau (907) 586-7354 fax</p> |  |
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This application must be submitted annually by each Rockfish Cooperative and received by NMFS **by March 15th (2012 fishing year only) by March 1st for each subsequent fishing year**

A Rockfish Cooperative that submits a complete application that NMFS approves will receive a CQ permit. CQ permit will establish an annual amount of primary rockfish species, secondary species, and rockfish halibut prohibited species catch (PSC) based on the collective rockfish quota share (QS) of the License Limitation Program (LLP) licenses assigned to the rockfish cooperative by its members. A CQ permit will list the amount of CQ, by fishery, held by the Rockfish Cooperative, the members of the Rockfish Cooperative, LLP licenses assigned to that rockfish cooperative, and the vessels that are authorized to harvest fish under that CQ permit.

ATTACHMENTS

For the cooperative application to be considered complete, the following documents must be attached.

- ◆ A copy of the business license issued by the state where the Rockfish Cooperative is registered as a business entity
- ◆ A copy of the articles of incorporation or partnership agreement of the Rockfish Cooperative
- ◆ A copy of the Rockfish Cooperative agreement signed by the Rockfish Cooperative member (if different from the articles of incorporation or partnership agreement of the Rockfish Cooperative)
- ◆ A copy of proposed fishing plan

NOTE: The articles of incorporation or cooperative agreement must specify that

- ◆ the Rockfish QS holders affiliated with Rockfish processors cannot participate in price setting negotiations, except as permitted by general antitrust law
- ◆ the Rockfish Cooperative has a monitoring program sufficient to ensure compliance with the Rockfish Program

BLOCK A – ROCKFISH COOPERATIVE IDENTIFICATION

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| 1. Rockfish Cooperative's Legal Name: | 2. NMFS Person ID: |
| 3. Type of business entity under which the cooperative is organized: <div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> Cooperative <input type="checkbox"/> Partnership <input type="checkbox"/> Other (Please specify) </div> | |
| 4. Date of Incorporation: | 5. State in which the cooperative is legally registered as a business entity: |

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|---------------------------------------|-------------------------|------------------------------|
| 6. Name of Authorized Representative: | | |
| 7. Permanent Business Address: | | |
| 8. Business Telephone Number: | 9. Business Fax Number: | 10. Business E-mail Address: |

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| <i>BLOCK B – MEMBERS OF THE ROCKFISH COOPERATIVE LLP Holder and Ownership Documentation</i> |
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| 1. Full Name: | 2. NMFS Person ID: |
| | 3. LLP Number(s): |

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) assigned to the rockfish cooperative and the percent of ownership each person and individual holds in the LLP license(s).

| Name | % Ownership in LLP License |
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| <i>LLP Holder and Ownership Documentation</i> |
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| 1. Full Name: | 2. NMFS Person ID: |
| | 3. LLP Number(s): |

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) listed above and the percentage ownership each person and individual holds in the LLP license(s). Attach additional pages as necessary.

| Name | % Ownership in LLP License |
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LLP Holder and Ownership Documentation

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| 1. Full Name: | 2. NMFS Person ID: |
| | 3. LLP Number(s): |

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) listed above and the percentage ownership each person and individual holds in the LLP license(s). Attach additional pages as necessary.

| Name | % Ownership in LLP License |
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LLP Holder and Ownership Documentation

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|---------------|--------------------|
| 1. Full Name: | 2. NMFS Person ID: |
| | 3. LLP Number(s): |

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) listed above and the percentage ownership each person and individual holds in the LLP license(s). Attach additional pages as necessary.

| Name | % Ownership in LLP License |
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LLP Holder and Ownership Documentation

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|--------------|--------------------|
| 1. Full Name | 2. NMFS Person ID: |
| | 3. LLP Number(s): |

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) listed above and the percentage ownership each person and individual holds in the LLP license(s). Attach additional pages as necessary.

| Name | % Ownership in LLP License |
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BLOCK C -- IDENTIFICATION OF ROCKFISH COOPERATIVE MEMBER VESSELS

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|-------------|---------------|--------------|
| Vessel Name | ADF&G Number: | USCG Number: |
| Vessel Name | ADF&G Number: | USCG Number: |
| Vessel Name | ADF&G Number: | USCG Number: |
| Vessel Name | ADF&G Number: | USCG Number: |
| Vessel Name | ADF&G Number: | USCG Number: |
| Vessel Name | ADF&G Number: | USCG Number: |

BLOCK D – SHORESIDE PROCESSOR ASSOCIATE OF THE ROCKFISH COOPERATIVE

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| 1. Processor Name: | 2. NMFS Person ID: |
| 3. Facility Name: | 4. ADF&G Processor Code: |
| | 5. Federal Processor Permit Number: |

BLOCK E - CERTIFICATION OF COOPERATIVE AUTHORIZED REPRESENTATIVE

Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, the information is true, correct, and complete.

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| 1. Signature of Cooperative Authorized Representative: | 2. Date Signed: |
| 3. Printed Name of Cooperative Authorized Representative (attach authorization): | |

BLOCK F - CERTIFICATION OF PROCESSOR ASSOCIATE

Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, the information is true, correct, and complete.

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| 1. Signature of Shoreside Processor Associate Authorized Representative: | 2. Date Signed: |
| 3. Printed Name of Shoreside Processor Associate Authorized Representative (attach authorization): | |

Instructions for
APPLICATION FOR
ROCKFISH COOPERATIVE FISHING QUOTA (CQ)

Catcher vessel sector: Those rockfish eligible harvesters, who hold an LLP license without a catcher/processor designation with at least one rockfish legal landing that could, or does, generate rockfish quota share (QS). Catcher vessels may form a cooperative with other catcher vessels with an association with any shoreside processor located within the geographic boundaries of the City of Kodiak. No minimum number of License Limitation Program (LLP) licenses is required.

Catcher/processor sector: Those rockfish eligible harvesters, who hold an LLP license with a catcher/processor designation that is assigned at least one rockfish legal landing that could, or does, generate rockfish QS. Catcher/processors may form a cooperative with other catcher/processors. No minimum number of LLP licenses required.

A rockfish cooperative that submits a complete application and that is approved by NMFS will receive a rockfish cooperative allocation that establishes an annual catch limit of primary and secondary species based on the collective catch history holdings of the LLPs held and contributed by the members of the rockfish cooperative. An allocation will list the amount of allocation, by fishery, held by the rockfish cooperative and identify the members of the rockfish cooperative.

| Rockfish Program Species of the Central Gulf of Alaska | |
|--|--|
| Rockfish Primary Species | Rockfish Secondary Species |
| Northern rockfish | Sablefish not allocated to the IFQ Program |
| Pacific Ocean perch | Thornyhead rockfish |
| Pelagic Shelf rockfish | Pacific cod for catcher vessel sector |
| | Rougheye rockfish for the catcher/processor sector |
| | Shortraker rockfish for the catcher/processor sector |

A Rockfish CQ permit is valid only until the end of the calendar year for which the CQ permit is issued.

Fishing by vessels participating in a rockfish cooperative is authorized from 1200 hours, A.l.t., May 1 through 1200 hours, A.l.t., November 15.

GENERAL

Please allow at least 10 working days for your application to be processed. Items will be sent by first class mail, unless you provide alternate instructions *and* include a prepaid mailer with appropriate postage or corporate account number for express delivery.

Application forms are available on the NMFS Alaska Region website at <http://alaskafisheries.noaa.gov>, or by contacting NMFS at 800-304-4846, Option 2.

A completed application must be received by NMFS no later than 1700 hours, A.l.t., March 15, 2012, for the first year, and then March 1 of each year for all subsequent years or if sent by U.S. mail, the application must be postmarked by that time. For applications delivered by hand delivery or carrier only, the receiving date of signature by NMFS staff is the date the application was received. If the application is submitted by fax, the receiving date of the application is the date stamped received by NMFS.

Objective written evidence of timely application will be considered as proof of a timely application.

This application may only be submitted to NMFS using the methods described below. Type or print legibly in ink; retain a copy of completed application for your records.

Complete application and submit:

by mail to: **NMFS Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668**

by delivery to: **Room 713, Federal Building
709 West 9th Street
Juneau, AK**

by fax to: **(907) 586-7354**

If you need additional information, contact RAM at:

(800) 304-4846 (Option #2) or

(907) 586-7202 (Option #2)

Print information in the application legibly in ink or type information.

Retain a copy of completed application for your records.

COMPLETING THE APPLICATION

ATTACHMENTS

The following documents must be attached to the completed application:

- ◆ A copy of the **business license** issued by the state in which the Cooperative is registered as a business entity
- ◆ A copy of the **articles of incorporation or partnership agreement** of the Rockfish Cooperative
- ◆ A copy of the **cooperative agreement** signed by the members of the Rockfish Cooperative. The Cooperative Agreement
 - must include specific monitoring procedures
 - may include any voluntary codes of conduct that apply to the members of the cooperative
 - may specify the obligations of rockfish cooperative members to ensure the full payment of rockfish cost recovery fees that may be due.

NOTE: The articles of incorporation or cooperative agreement submitted by the Rockfish Cooperative must specify that the eligible processor does not participate in price setting negotiations except to the extent permitted by general antitrust law.

BLOCK A – ROCKFISH COOPERATIVE IDENTIFICATION

1. Legal name and NMFS Person ID of cooperative
2. Check one box to indicate the type of business entity: Cooperative, Partnership, or specify some other type of business entity
3. State in which legally registered as a business entity
4. Date of Incorporation
5. Name of the authorized representative who will be conducting business with NMFS
6. Permanent business address, including P.O. Box number or street address, city, state, and zip code
- 7-8. Business telephone number and business fax number, including area code
9. Business e-mail address

BLOCK B – MEMBERS OF ROCKFISH COOPERATIVE (LLP Holdership Documentation) (Copy this page to accommodate additional coop members)

1. Full name of member
2. NMFS Person ID
3. LLP license number(s)
4. Name and the percent of ownership each person holds in the LLP(s).
List all persons, to the individual level, holding an ownership interest in the LLP(s) assigned to the rockfish cooperative and the percent of ownership each person and individual holds in the LLP license(s).

BLOCK C -- IDENTIFICATION OF ROCKFISH COOPERATIVE MEMBER VESSELS

Provide a list of any vessels that may be used by the cooperative to harvest rockfish CQ during the year for which CQ is applied. This list **may not be modified** during the year for which the CQ permit is issued.

For each vessel provide:

- Vessel name
- Alaska Department of Fish and Game (ADF&G) vessel registration number
- U.S. Coast Guard (USCG) documentation number

BLOCK D – SHORESIDE PROCESSOR ASSOCIATE OF THE ROCKFISH COOPERATIVE

1. Processor Name. A Rockfish processor is a shoreside processor with a Federal processor permit that receives groundfish harvested under the authority of a CQ permit.
2. NMFS Person ID
3. Facility Name
4. ADF&G Processor Code

5. Federal Processor Permit Number

BLOCK E – CERTIFICATION OF COOPERATIVE AUTHORIZED REPRESENTATIVE

The Rockfish Cooperative’s authorized representative must enter printed name, signature, and date signed certifying that all information is true, correct, and complete to the best of his/her knowledge and belief.

BLOCK F – CERTIFICATION OF PROCESSOR ASSOCIATE

The Rockfish Cooperative’s Processor Associate authorized representative must enter printed name, signature, and date signed certifying that all information is true, correct, and complete to the best of his/her knowledge and belief.

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NMFS Alaska Region, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 679 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.
