

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE	PAGE OF PAGES 1 2	
2. AMENDMENT/MODIFICATION NO. 331	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)	
6. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	7. ADMINISTERED BY (If other than Item 6) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) OAK RIDGE ASSOCIATED UNIVERSITIES, INC. P.O. BOX 117 OAK RIDGE TN 37830-6218		(x) 9A. AMENDMENT OF SOLICITATION NO.	9B. DATED (SEE ITEM 11)	
CODE 041152224 FACILITY CODE		x 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-06OR23100	10B. DATED (SEE ITEM 13) 12/21/2005	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended. is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) Public Law 95-91 and Mutual Agreement

E. IMPORTANT: Contractor is not. is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Tax ID Number: 62-0476816

DUNS Number: 041152224

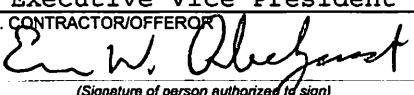
Technical Services for Management of the Oak Ridge Institute of Science and Education

This modification accomplishes the following:

deletes Section J, Attachment D, DOE Directives (List B), Requirements Change Notice (RCN) ORAU-22 in its entirety and substitutes the attached RCN ORAU-23 (13 pages attached to this modification) in lieu thereof. It is agreed among the parties that this revision will have no impact on the cost and fee payable to the Contractor under the terms and conditions of this contract.

Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Eric Abelquist Executive Vice President		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mary L. Crow	
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED 10/12/11	16B. UNITED STATES OF AMERICA (Signature of Contracting Officer)	16C. DATE SIGNED 10/11/2011

CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED
DE-AC05-06OR23100/331

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NAME OF OFFEROR OR CONTRACTOR
OAK RIDGE ASSOCIATED UNIVERSITIES, INC.

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	<p>deletes Item 14 of Modification 330 and replaces it with the following:</p> <p>The purpose of this modification is to obligate funding in the amount of \$200,300.97 for funds received from the Department of State through the Work for Others program. Clause B.4 Obligation of Funds, paragraph (a) is revised as follows:</p> <p>"Pursuant to the Clause in Section I, FAR 52.232-22 entitled "Limitation of Funds," total funds in the amount of \$1,300,589,589.53 are obligated herewith and made available for payment of allowable costs and maximum fee to be incurred from the effective date of this contract through the period estimated to end December 31, 2015." Period of Performance: 01/01/2006 to 12/31/2015</p>				

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE	PAGE OF PAGES 1 2
2. AMENDMENT/MODIFICATION NO. 331	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)
6. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	7. ADMINISTERED BY (If other than Item 6) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) OAK RIDGE ASSOCIATED UNIVERSITIES, INC. P.O. BOX 117 OAK RIDGE TN 37830-6218		(x)	9A. AMENDMENT OF SOLICITATION NO.
CODE 041152224		FACILITY CODE	9B. DATED (SEE ITEM 11)
		x	10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-06OR23100
			10B. DATED (SEE ITEM 13) 12/21/2005

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	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) Public Law 95-91 and Mutual Agreement

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Tax ID Number: 62-0476816

DUNS Number: 041152224

Technical Services for Management of the Oak Ridge Institute of Science and Education

This modification accomplishes the following:

deletes Section J, Attachment D, DOE Directives (List B), Requirements Change Notice (RCN) ORAU-22 in its entirety and substitutes the attached RCN ORAU-23 (13 pages attached to this modification) in lieu thereof. It is agreed among the parties that this revision will have no impact on the cost and fee payable to the Contractor under the terms and conditions of this contract.

Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mary L. Crow	
15B. CONTRACTOR/OFFEROR (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA Signature on File (Signature of Contracting Officer)	16C. DATE SIGNED 10/18/2011

CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED
DE-AC05-06OR23100/331

PAGE OF
2 2

NAME OF OFFEROR OR CONTRACTOR
OAK RIDGE ASSOCIATED UNIVERSITIES, INC.

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
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DOE Form
(04/1991)

No.: ORAU-23

**U.S. Department of Energy
REQUIREMENTS CHANGE NOTICE**

Page 1 of 13 Pages

PROJECT:
Contract Baseline Documentation

LOCATION:
Oak Ridge, Tennessee

CONTRACTOR:
Oak Ridge Associated Universities (ORAU)

CONTRACT NO.:
DE-AC05-06OR23100, I.105, Laws, Regulations, and
DOE Directives, DEAR 970.5204-2

DATE OF CONTRACT:
January 1, 2006

This Requirements Change Notice (RCN) No. ORAU-23 incorporates into Section J, Attachment D, of Contract No. DE-AC05-06OR23100, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. This is a complete numbered list of administrative requirements and a representation of environment, safety, and health (ES&H) requirements. Information regarding ES&H-related directives and their applicability can only be obtained from the WSS set. ES&H-related directives in the WSS set are italicized in Section J, Attachment D. Changes to Section J, Attachment D, are indicated by bold type. Below is a list of the directives incorporated herein:

ADDITIONS:

DOE O 205.1B	DOE O 210.2A	DOE O 414.1D	DOE O 430.1B, Chg. 2
DOE M 435.1-1, Chg. 2	DOE O 436.1	DOE O 440.2C, Chg. 1	DOE O 443.1B
DOE O 458.1, Chg. 1	DOE O 458.1, Chg. 2	DOE O 471.6	DOE O 473.3
DOE O 474.2			

DELETIONS:

DOE P 141.2	DOE O 205.1A	DOE M 205.1-4	DOE M 205.1-5, Chg. 2
DOE M 205.1-6, Chg. 2	DOE M 205.1-7, Chg. 2	DOE M 205.1-8, Chg. 2	DOE O 210.2
DOE O 414.1C	DOE O 430.1B, Chg. 1	DOE O 430.2B	DOE M 435.1-1, Chg. 1
DOE O 440.2B, Chg. 1	DOE O 443.1A	DOE O 450.1A	DOE M 450.4-1
DOE O 458.1, Chg. 1	DOE M 470.4-2A	DOE M 470.4-4A, Chg. 1	DOE M 470.4-6, Chg. 1
DOE 5400.5, Chg. 2			

IMPLEMENTATION PLAN:

DOE O 458.1, Chg. 1

UPDATES

Work Smart Standards Set, Revision 38

DOE AUTHORIZING SIGNATURE:

M. G. Branton
Michele G. Branton, Contracting Officer's Representative

DATE:

9-29-11

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
DOE P 141.2 <i>Deletion (Cancelled by DOE N 251.104)</i>	05/02/2003	PUBLIC PARTICIPATION AND COMMUNITY RELATIONS		DOE ltr dtd 07/26/11
DOE O 205.1A <i>Deletion (Cancelled by DOE O 205.1B)</i>	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		ORAU ltr dtd 07/07/11 DOE ltr dtd 06/15/11
DOE M 205.1-4 <i>Deletion (Cancelled by DOE O 205.1B)</i>	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		ORAU ltr dtd 07/07/11 DOE ltr dtd 06/15/11
DOE M 205.1-5 <i>Deletion (Cancelled by DOE O 205.1B)</i>	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	2 12/22/2009	ORAU ltr dtd 07/07/11 DOE ltr dtd 06/15/11
DOE M 205.1-6 <i>Deletion (Cancelled by DOE O 205.1B)</i>	12/23/2008	MEDIA SANITIZATION MANUAL	2 12/22/2009	ORAU ltr dtd 07/07/11 DOE ltr dtd 06/15/11
DOE M 205.1-7 <i>Deletion (Cancelled by DOE O 205.1B)</i>	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	2 12/22/2009	ORAU ltr dtd 07/07/11 DOE ltr dtd 06/15/11
Compliance: Implementation Plan Cancelled				
DOE M 205.1-8 <i>Deletion (Cancelled by DOE O 205.1B)</i>	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	2 12/22/2009	ORAU ltr dtd 07/07/11 DOE ltr dtd 06/15/11
DOE O 205.1B <i>Addition (Cancels and replaces DOE O 205.1A)</i>	05/16/2011	DEPARTMENT OF ENERGY CYBER SECURITY PROGRAM		ORAU ltr dtd 07/07/11 DOE ltr dtd 06/15/11
DOE O 210.2 <i>Deletion (Cancelled by DOE O 210.2A)</i>	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		ORAU ltr dtd 07/12/2011 ORO ltr dtd 06/15/2011
DOE O 210.2A <i>Addition (Cancels and replaces DOE O 210.2)</i>	04/08/2011	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		ORAU ltr dtd 07/12/2011 ORO ltr dtd 06/15/2011
DOE O 414.1C <i>Deletion (Cancelled by DOE O 414.1D)</i>	06/17/2005	<i>QUALITY ASSURANCE</i>		<i>ORAU ltr dtd 06/16/2011 DOE ltr dtd 05/23/2011</i>

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<i>DOE O 414.1D Addition (Cancels and replaces DOE O 414.1C)</i>	04/25/2011	<i>QUALITY ASSURANCE</i>		<i>ORAU ltr dtd 06/16/2011 DOE ltr dtd 05/23/2011</i>
<i>DOE O 430.1B Deletion (Cancelled by DOE O 430.1B, Chg. 2)</i>	09/24/2003	<i>REAL PROPERTY ASSET MANAGEMENT</i>	<i>1 02/08/2008</i>	<i>ORAU ltr dtd 06/08/2011 DOE ltr dtd 05/12/2011</i>
<i>DOE O 430.1B Addition (Cancels and replaces DOE O 430.1B, Chg. 1)</i>	09/24/2003	<i>REAL PROPERTY ASSET MANAGEMENT</i>	<i>2 04/25/2011</i>	<i>ORAU ltr dtd 06/08/2011 DOE ltr dtd 05/12/2011</i>
<i>DOE M 435.1-1 Deletion (Cancelled by DOE O 435.1-1, Chg. 2)</i>	07/09/1999	<i>RADIOACTIVE WASTE MANAGEMENT MANUAL</i>	<i>1 06/19/2001</i>	<i>ORAU ltr dtd 07/12/2011 DOE ltr dtd 06/28/2011</i>
<i>DOE M 435.1-1 Addition (Cancels and replaces DOE O 435.1-1, Chg. 1)</i>	07/09/1999	<i>RADIOACTIVE WASTE MANAGEMENT MANUAL</i>	<i>2 06/08/2011</i>	<i>ORAU ltr dtd 07/12/2011 DOE ltr dtd 06/28/2011</i>
<i>DOE O 430.2B Deletion (Cancelled by DOE O 436.1)</i>	02/27/2008	<i>DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT</i>		<i>ORAU ltr dtd 08/11/2011 DOE ltr dtd 07/26/2011</i>
<i>DOE O 450.1A Deletion (Cancelled by DOE O 436.1)</i>	06/04/2008	<i>ENVIRONMENTAL PROTECTION PROGRAM</i>		<i>ORAU ltr dtd 08/11/2011 DOE ltr dtd 07/26/2011</i>
<i>DOE O 436.1 Addition (Cancels and replaces DOE O 430.2B and DOE O 450.1A)</i>	05/02/2011	<i>DEPARTMENTAL SUSTAINABILITY</i>		<i>ORAU ltr dtd 08/11/2011 DOE ltr dtd 07/26/2011</i>
<i>DOE O 440.2B Deletion (Cancelled by DOE O 440.2C, Chg. 1)</i>	11/27/2002	<i>AVIATION MANAGEMENT AND SAFETY</i>	<i>1 11/19/2006</i>	<i>ORAU ltr dtd 08/11/2011 DOE ltr dtd 07/12/2011</i>
<i>DOE O 440.2C Addition (Cancels and replaces DOE O 440.2B, Chg. 1)</i>	06/15/2011	<i>AVIATION MANAGEMENT AND SAFETY</i>	<i>1 06/22/2011</i>	<i>ORAU ltr dtd 08/11/2011 DOE ltr dtd 07/12/2011</i>
<i>DOE O 443.1A Deletion (Cancelled by DOE O 443.1B)</i>	12/20/2007	<i>PROTECTION OF HUMAN SUBJECTS</i>		<i>ORAU ltr dtd 06/16/2011 DOE ltr dtd 05/25/2011</i>

OUTLINE OF CHANGES

RCN No. ORAU-23

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
DOE O 443.1B <i>Addition (Cancels and replaces DOE O 443.1A)</i>	03/17/2011	PROTECTION OF HUMAN SUBJECTS		ORAU ltr dtd 06/16/2011 DOE ltr dtd 05/25/2011
DOE M 450.4-1 <i>Deletion (Cancelled by DOE O 450.2)</i>	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		DOE ltr dtd 08/02/2011
DOE 5400.5 <i>Deletion (Cancelled by DOE O 458.1, Chg 1)</i>	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2 01/07/1993	ORAU ltr dtd 06/16/2011 DOE ltr dtd 05/23/2011
DOE O 458.1 <i>Addition (Cancels and replaces DOE 5400.5, Chg. 2)</i>	02/11/2011	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	1 03/08/2011	ORAU ltr dtd 06/16/2011 DOE ltr dtd 05/23/2011
Compliance: Implementation Plan Due 10/14/2011				
DOE O 458.1 <i>Deletion (Cancelled by DOE O 458.1, Chg. 2)</i>	02/11/2011	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	1 03/08/2011	ORAU ltr dtd 08/12/2011 DOE ltr dtd 07/12/2011
Compliance: Implementation Plan Cancelled				
DOE O 458.1 <i>Addition (Cancels and Replaces DOE O 458.1, Chg. 2)</i>	02/11/2011	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2 06/06/2011	ORAU ltr dtd 08/12/2011 DOE ltr dtd 07/12/2011
DOE M 470.4-4A <i>Deletion (Cancelled by DOE O 471.6)</i>	01/16/2009	INFORMATION SECURITY MANUAL	1 10/12/2010	ORAU ltr dtd 08/11/2011 DOE ltr dtd 07/26/2011
DOE O 471.6 <i>Addition (Cancels and replaces DOE M 470.4-4A, Chg. 1)</i>	06/20/2011	INFORMATION SECURITY		ORAU ltr dtd 08/11/2011 DOE ltr dtd 07/26/2011
DOE M 470.4-2A <i>Deletion (Cancelled by DOE O 473.3)</i>	07/23/2009	PHYSICAL PROTECTION		ORAU ltr dtd 08/24/2011 DOE ltr dtd 07/26/2011
DOE O 473.3 <i>Addition (Cancels and replaces DOE M 470.4-2A)</i>	06/27/2011	PROTECTION PROGRAM OPERATIONS		ORAU ltr dtd 08/24/2011 DOE ltr dtd 07/26/2011

OUTLINE OF CHANGES

RCN No. ORAU-23

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
DOE M 470.4-6 <i>Deletion (Cancelled by DOE O 474.2)</i>	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	ORAU ltr dtd 08/24/2011 DOE ltr dtd 07/26/2011
DOE O 474.2 <i>Addition (Cancels and replaces DOE M 470.4-6, Chg. 1)</i>	06/27/2011	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY		ORAU ltr dtd 08/24/2011 DOE ltr dtd 07/26/2011
Work Smart Standards Set Updates Revision Number and Date	12/13/1999	ORISE WORK SMART STANDARDS SET	38 08/22/2011	ORAU e-mail dtd 08/22/2011

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE directives may be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 130.1	09/29/1995	BUDGET FORMULATION PROCESS		Expiration date extended to 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		
DOE O 142.3A	10/17/2010	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM		
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		
Compliance: Revised Implementation plan approved on 02/15/2011.				
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		Footnote (4)
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
DOE M 200.1-1	03/10/1997	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE O 205.1B	05/16/2011	DEPARTMENT OF ENERGY CYBER SECURITY PROGRAM		
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		

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DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Expiration date extended to 06/29/2009 by DOE N 251.74.
DOE O 210.2A	04/08/2011	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.3	12/17/2001	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		
DOE O 225.1B	03/03/2011	ACCIDENT INVESTIGATIONS		
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		
DOE P 226.1A	05/25/2007	DEPARTMENT OF ENERGY OVERSIGHT POLICY		
DOE O 231.1A	08/19/2003	ENVIRONMENT, SAFETY AND HEALTH REPORTING		
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		

**BASELINE LIST OF
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DOE N 234.1	02/27/2008	REPORTING OF RADIOACTIVE SEALED SOURCES		Expiration date extended to 05/06/2011 by DOE N 251.86.
DOE O 241.1B	12/13/2010	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT		
DOE O 243.1	02/03/2006	RECORDS MANAGEMENT PROGRAM		
DOE O 243.2	02/02/2006	VITAL RECORDS		
DOE O 252.1A	02/23/2011	TECHNICAL STANDARDS PROGRAM		
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	3 02/23/2010	
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		Footnote (2)
DOE O 412.1	04/20/1999	WORK AUTHORIZATION SYSTEM		
DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		Footnote (4)
DOE O 413.3B	11/29/2010	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		
<i>DOE O 414.1D</i>	<i>04/25/2011</i>	<i>QUALITY ASSURANCE</i>		<i>ES&H-related directive included in WSS. See Footnote (3).</i>
<i>DOE O 420.1B</i>	<i>12/22/2005</i>	<i>FACILITY SAFETY</i>	<i>1 04/19/2010</i>	<i>ES&H-related directive included in WSS. See Footnote (3).</i>

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE directives may be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	2 04/25/2011	
<i>DOE O 435.1</i>	<i>07/09/1999</i>	<i>RADIOACTIVE WASTE MANAGEMENT</i>	<i>1</i> <i>08/28/2001</i>	<i>ES&H-related directive included in WSS. See Footnote (3).</i>
<i>DOE M 435.1-1</i>	<i>07/09/1999</i>	<i>RADIOACTIVE WASTE MANAGEMENT MANUAL</i>	<i>2</i> <i>06/08/2011</i>	<i>ES&H-related directive included in WSS. See Footnote (3).</i>
DOE O 436.1	05/02/2011	DEPARTMENTAL SUSTAINABILITY		
<i>DOE M 440.1-1A</i>	<i>01/09/2006</i>	<i>DOE EXPLOSIVES SAFETY MANUAL</i>		<i>ES&H-related directive included in WSS. See Footnote (3).</i>
DOE O 440.2C	06/15/2011	AVIATION MANAGEMENT AND SAFETY	1 06/22/2011	
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 443.1B	03/17/2011	PROTECTION OF HUMAN SUBJECTS		

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DOE DIRECTIVES				
DOE directives may be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
<i>DOE O 451.1B</i>	<i>10/26/2000</i>	<i>NATIONAL ENVIRONMENTAL POLICY ACT COMPLIANCE PROGRAM</i>	<i>1 09/28/2001</i>	<i>ES&H-related directive included in WSS. See Footnote (3).</i>
DOE O 452.7	05/14/2010	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
<i>DOE O 458.1</i>	<i>02/11/2011</i>	<i>RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT</i>	<i>2 06/06/2011</i>	<i>ES&H-related directive included in WSS. See Footnote (3).</i>
DOE O 460.1C	05/14/2010	PACKAGING AND TRANSPORTATION SAFETY		
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		
DOE M 460.2-1A	06/04/2008	RADIOACTIVE MATERIAL TRANSPORTATION PRACTICES MANUAL FOR USE WITH DOE O 460.2A		Footnote (2)
DOE P 470.1A	12/29/2010	SAFEGUARDS AND SECURITY PROGRAM		
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		

ATTACHMENT D

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE directives may be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	2 10/20/2010	
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE O 471.1B	03/01/2010	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	1 01/13/2011	
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	1 01/13/2011	
DOE O 471.6	06/20/2011	INFORMATION SECURITY		
DOE O 473.3	06/27/2011	PROTECTION PROGRAM OPERATIONS		
DOE O 474.2	06/27/2011	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE O 475.2A	02/01/2011	IDENTIFYING CLASSIFIED INFORMATION		
DOE O 481.1B	09/28/2001	WORK FOR OTHERS (NON-DEPARTMENT OF ENERGY FUNDED WORK)		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE directives may be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY	1 04/14/2011	
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
DOE O 534.1B	01/06/2003	ACCOUNTING		
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM	1 05/08/2008	
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

WORK SMART STANDARDS (WSS) SET				
WSS Set may be found at: http://www.ora.gov/orise/wss.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH REVISION	NOTES AND COMMENTS
WSS Set	12/13/1999	OAK RIDGE INSTITUTE FOR SCIENCE AND EDUCATION (ORISE) WORK SMART STANDARDS SET	38 08/22/2011	

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) The Contractor does not perform activities which fall within the scope of this directive at this time. If these activities are conducted at some future date, the requirements contained in this document will be applicable to the Contractor and programs to implement the requirements of this document will be established when and if such activities are to be initiated.
- (3) This document is ES&H-related and appears on the current Work Smart Standards Set. In an S/RID or WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections.
- (4) The requirements of this document are applicable; however, specific additional clarification or guidance is required from DOE before such requirements can be implemented in full as indicated in written requests for direction from the Contractor.