

NOT SPECIFIED /OTHER

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE		PAGE OF PAGES 1 2	
2. AMENDMENT/MODIFICATION NO. 068		3. EFFECTIVE DATE See Block 16C		4. REQUISITION/PURCHASE REQ. NO. 09SC003502	
5. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831		6. CODE 00518		7. ADMINISTERED BY (If other than item 5) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	
8. NAME AND ADDRESS OF CONTRACTOR (Firm, street, county, State and ZIP Code) OAK RIDGE ASSOCIATED UNIVERSITIES, INC. P.O. BOX 117 OAK RIDGE TN 37830-6218		9A. AMENDMENT OF SOLICITATION NO.		9B. DATED (SEE ITEM 11)	
CODE 041152224 FACILITY CODE		10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-06OR23100		10B. DATED (SEE ITEM 11) 12/21/2005	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers  is extended,  is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of the amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

<input type="checkbox"/>	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
<input type="checkbox"/>	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
<input checked="" type="checkbox"/>	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: P.L. 95-91; Mutual Agreement
<input type="checkbox"/>	D. OTHER (Specify type of modification and authority)

8. IMPORTANT: Contractor  is not,  is required to sign this document and return \_\_\_\_\_ 1 \_\_\_\_\_ copies to the issuing office.


14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible)

Subj to Retent: N

The purpose of this modification is to delete Section J, Attachment D, DOE Directives (List B), Requirements Change Notice (RCN) ORAU-12 (17 pages) in its entirety and substitute the attached RCN ORAU-13 (16 pages) in lieu thereof.

The following is for information only and does not represent any changes other than those Continued ...

Except as provided herein, all terms and conditions of the document referenced in item 8A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Ivan A. Boatner Vice President + General Counsel		15A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mary L. Crow	
15B. CONTRACTOR OFFICER 		15C. DATE SIGNED 6/19/09	
15D. UNITED STATES OF AMERICA		15E. DATE SIGNED	

NSN 7540-01-152-8070  
Previous edition unusable

STANDARD FORM 33 (REV. 10-83)  
Prescribed by GSA  
FAR (48 CFR) 53.243

<b>CONTINUATION SHEET</b>	REFERENCE NO. OF DOCUMENT BEING CONTINUED	PAGE	OF
	DE-AC05-06OR23100/068	2	2

NAME OF OFFEROR OR CONTRACTOR  
 OAK RIDGE ASSOCIATED UNIVERSITIES, INC.

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	detailed above.				
	FOB: Destination Period of Performance: 01/01/2006 to 12/31/2010  Change Item 00001 to read as follows (amount shown is the total amount):				
00001	TECHNICAL SERVICES TO MANAGE AND DIRECT THE PROGRAMS OF ORISE Line item value is: \$746,872,723.00 Incrementally Funded Amount: \$668,809,407.83				746,872,723.00

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE	PAGE OF PAGES 1   2
2. AMENDMENT/MODIFICATION NO. 068	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. 09SC003502	5. PROJECT NO. (If applicable)
6. ISSUED BY Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518	7. ADMINISTERED BY (If other than Item 6) Oak Ridge U.S. Department of Energy P.O. Box 2001 Oak Ridge TN 37831	CODE 00518
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) OAK RIDGE ASSOCIATED UNIVERSITIES, INC. P.O. BOX 117 OAK RIDGE TN 37830-6218		(x) 9A. AMENDMENT OF SOLICITATION NO.	
		9B. DATED (SEE ITEM 11)	
		X 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-06OR23100	
		10B. DATED (SEE ITEM 11) 12/21/2005	
CODE 041152224	FACILITY CODE	11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS	

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CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: P.L. 95-91; Mutual Agreement
	D. OTHER (Specify type of modification and authority)

**E. IMPORTANT:** Contractor  is not,  is required to sign this document and return 1 copies to the issuing office.

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Subj to Retent: N

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The following is for information only and does not represent any changes other than those

Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mary L. Crow
15B. CONTRACTOR/OFFEROR  <i>(Signature of person authorized to sign)</i>	15C. DATE SIGNED
16B. UNITED STATES OF AMERICA  <i>(Signature of Contracting Officer)</i>	16C. DATE SIGNED 06/19/2009

**CONTINUATION SHEET**

REFERENCE NO. OF DOCUMENT BEING CONTINUED  
DE-AC05-06OR23100/068

PAGE	OF
2	2

NAME OF OFFEROR OR CONTRACTOR  
OAK RIDGE ASSOCIATED UNIVERSITIES, INC.

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
00001	detailed above.  FOB: Destination Period of Performance: 01/01/2006 to 12/31/2010  Change Item 00001 to read as follows (amount shown is the total amount):  TECHNICAL SERVICES TO MANAGE AND DIRECT THE PROGRAMS OF ORISE Line item value is: \$746,872,723.00 Incrementally Funded Amount: \$668,809,407.83				746,872,723.00

DOE Form  
(04/1991)

No.: ORAU-13

**U.S. Department of Energy  
REQUIREMENTS CHANGE NOTICE**

Page 1 of 16 Pages

PROJECT:  
Contract Baseline Documentation

LOCATION:  
Oak Ridge, Tennessee

CONTRACTOR:  
Oak Ridge Associated Universities (ORAU)

CONTRACT NO.:  
DE-AC05-06OR23100, I.105, Laws, Regulations, and  
DOE Directives, DEAR 970.5204-2

DATE OF CONTRACT:  
January 1, 2006

This Requirements Change Notice (RCN) No. ORAU-13 incorporates into Section J, Attachment D, of Contract No. DE-AC05-06OR23100, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. This is a complete numbered list of administrative requirements and a representation of environment, safety, and health (ES&H) requirements. Information regarding ES&H-related directives and their applicability can only be obtained from the WSS set. ES&H-related directives in the WSS set are italicized in Section J, Attachment D. Changes to Section J, Attachment D, are indicated by bold type. Below is a list of the directives incorporated herein:

**ADDITIONS:**

DOE O 413.1B  
DOE O 413.3A, Change 1  
DOE O 470.3B

**DELETIONS:**

DOE O 413.1A  
DOE O 413.3A  
DOE M 413.3-1  
DOE O 470.3A  
DOE M 470.4-3, Change 1

ORO O 130, Chapter II, Change 5	ORO O 250, Chapter IV, Change 4	ORO O 470, Chapter IX, Change 5
ORO O 150, Chapter I, Change 6	ORO O 250, Chapter V, Chapter 6	ORO O 470, Chapter XIV
ORO O 150, Chapter IV, Change 7	ORO O 250, Chapter VI, Chapter 5	ORO O 530, Chapter III, Change 6
ORO O 220, Chapter II, Change 6	ORO O 250, Chapter VIII, Chapter 2	ORO O 550, Chapter II, Change 2
ORO O 220, Chapter III, Change 5	ORO O 250, Chapter X, Change 1	
ORO O 220, Chapter IV, Change 4	ORO O 350, Chapter III, Change 4	
ORO O 220, Chapter VII, Change 5	ORO O 410, Chapter I, Change 5	
ORO O 230, Chapter III, Change 5	ORO O 430, Chapter II, Change 4	
ORO O 250, Chapter I, Change 5	ORO O 440, Chapter V, Change 5	
ORO O 250, Chapter II, Change 6	ORO O 470, Chapter VII, Change 5	

**EXTENSION OF EXPIRATION DATES PER DOE N 251.74**

DOE N 206.4

**UPDATES**

Work Smart Standards Set, Revision 35

*b. a. mill*

DATE:

3/5/09

**OUTLINE OF CHANGES**

<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>AUTHORIZATION</b>
<b>DOE N 206.4</b> Extension (by DOE N 251.74)	06/29/2007	PERSONAL IDENTITY VERIFICATION		ORO ltr dtd 01/08/2009 ORAU ltr dtd 01/23/2009
<b>DOE O 413.1A</b> Deletion (Cancelled and replaced by DOE O 413.1B)	04/18/2002	MANAGEMENT CONTROL PROGRAM		ORO ltr dtd 11/24/2008 ORAU ltr dtd 12/10/2008
<b>DOE O 413.1B</b> Addition (Cancels and replaces DOE O 413.1A)	10/28/2008	INTERNAL CONTROL PROGRAM		ORO ltr dtd 11/24/2008 ORAU ltr dtd 12/10/2008
<b>DOE O 413.3A</b> Deletion (Cancelled and replaced by DOE O 413.3A, Change 1)	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		ORO ltr dtd 12/11/2008 ORAU ltr dtd 01/15/2009
<b>DOE O 413.3A</b> Addition (Cancels and replaces DOE O 413.3A)	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	<b>1</b> <b>11/17/2008</b>	ORO ltr dtd 12/11/2008 ORAU ltr dtd 01/15/2009
<b>DOE M 413.3-1</b> Deletion (Cancelled by DOE N 251.75)	03/28/2003	PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		ORO ltr dtd 01/30/2009
<b>DOE O 470.3A</b> Deletion (Cancelled and replaced by DOE O 470.3B)	11/29/2005	DESIGN BASIS THREAT POLICY (U)		ORO ltr dtd 10/22/2008 ORAU ltr dtd 12/04/2008
<b>DOE O 470.3B</b> Addition (Cancels and replaces DOE O 413.3A)	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		ORO ltr dtd 10/22/2008 ORAU ltr dtd 12/04/2008
<b>DOE M 470.4-3</b> Deletion	08/26/2005	PROTECTIVE FORCE	<b>1</b> <b>03/07/2006</b>	ORO ltr dtd 12/19/2008

## OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<b>ORO O 130</b> Chapter II Deletion	05/15/1996	SHUTDOWN OF DEPARTMENTAL OPERATIONS UPON FAILURE BY CONGRESS TO ENACT APPROPRIATIONS	5 06/04/2007	ORO ltr dtd 12/23/2008
<b>ORO O 150</b> Chapter I Deletion	09/30/1996	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM	6 04/22/2004	ORO ltr dtd 12/23/2008
<b>ORO O 150</b> Chapter IV Deletion	05/15/1996	RADIOLOGICAL ASSISTANCE PROGRAM (RAP)	7 08/31/2007	ORO ltr dtd 12/23/2008
<b>ORO O 220</b> Chapter II Deletion	09/30/1996	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL	6 04/02/2008	ORO ltr dtd 12/23/2008
<b>ORO O 220</b> Chapter III Deletion	05/31/1996	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS	5 05/02/2006	ORO ltr dtd 12/23/2008
<b>ORO O 220</b> Chapter IV Deletion	05/31/1996	COORDINATION OF GOVERNMENT ACCOUNTABILITY OFFICE ACTIVITIES	4 04/29/2005	ORO ltr dtd 12/23/2008
<b>ORO O 220</b> Chapter VII Deletion	05/31/1996	AUDIT RESOLUTION AND FOLLOW-UP	5 05/08/2007	ORO ltr dtd 12/23/2008
<b>ORO O 230</b> Chapter III Deletion	06/14/1996	COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION, AND LIABILITY ACT (CERCLA) REQUIREMENTS	5 08/29/2006	ORO ltr dtd 12/23/2008
<b>ORO O 250</b> Chapter I Deletion	08/13/1996	ORO STANDARDS MANAGEMENT PROGRAM OVERVIEW	5 04/18/2006	ORO ltr dtd 12/23/2008
<b>ORO O 250</b> Chapter II Deletion	08/13/1996	ORO DIRECTIVES SYSTEM	6 04/18/2006	ORO ltr dtd 12/23/2008

## OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
ORO O 250 Chapter IV Deletion	08/13/1996	IMPACT ASSESSMENTS	4 04/18/2006	ORO ltr dtd 12/23/2008
ORO O 250 Chapter V Deletion	08/13/1996	DEVELOPMENT, APPROVAL, AND MAINTENANCE OF WORK SMART STANDARDS SETS	6 04/18/2006	ORO ltr dtd 12/23/2008
ORO O 250 Chapter VI Deletion	09/30/1996	IMPLEMENTATION PLANS AND EXEMPTION REQUESTS	5 04/18/2006	ORO ltr dtd 12/23/2008
ORO O 250 Chapter VIII Deletion	04/27/2001	REQUIREMENTS CHANGE NOTICES	2 04/18/2006	ORO ltr dtd 12/23/2008
ORO O 250 Chapter X Deletion	10/31/2003	DOE DIRECTIVES SYSTEM	1 04/18/2006	ORO ltr dtd 12/23/2008
ORO O 350 Chapter III Deletion	05/31/1996	FEDERAL LABOR STANDARDS	4 05/09/2006	ORO ltr dtd 12/23/2008
ORO O 410 Chapter I Deletion	09/24/1996	WORK AUTHORIZATION SYSTEM	5 08/13/2007	ORO ltr dtd 12/23/2008
ORO O 430 Chapter II	06/14/1996	ENERGY AND UTILITIES MANAGEMENT	4 08/21/2006	ORO ltr dtd 12/23/2008
ORO O 440 Chapter V Deletion	09/30/1996	EMPLOYEE CONCERNS MANAGEMENT SYSTEM	5 04/24/2007	ORO ltr dtd 12/23/2008
ORO O 470 Chapter VII Deletion	05/15/1996	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	5 03/08/2007	ORO ltr dtd 12/23/2008
ORO O 470 Chapter IX Deletion	05/15/1996	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS	5 05/18/2007	ORO ltr dtd 12/23/2008



**OUTLINE OF CHANGES**

<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>AUTHORIZATION</b>
<b>ORO O 470</b> Chapter XIV Deletion	05/22/2008	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM		ORO ltr dtd 12/23/2008
<b>ORO O 530</b> Chapter III Deletion	06/18/1996	ACCOUNTING	<b>6</b> <b>02/06/2007</b>	ORO ltr dtd 12/23/2008
<b>ORO O 550</b> Chapter II Deletion	09/26/2001	FOREIGN TRAVEL AUTHORIZATION	<b>2</b> <b>01/22/2007</b>	ORO ltr dtd 12/23/2008
<b>Work Smart</b> <b>Standards Set</b> Updates Revision Number and Date	12/13/1999	ORISE WORK SMART STANDARDS SET	<b>35</b> <b>02/18/2009</b>	ORAU e-mail dtd 02/18/2009

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE directives may be found at the following Internet address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
DOE O 130.1	09/29/1995	BUDGET FORMULATION PROCESS		Expiration date extended to 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE P 141.2	05/02/2003	PUBLIC PARTICIPATION AND COMMUNITY RELATIONS		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		Footnote (4)
DOE N 153.2	08/11/2003	CONNECTIVITY TO NATIONAL ATMOSPHERIC RELEASE ADVISORY CENTER (NARAC)		Footnote (2)
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE M 200.1-1	03/10/1997	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Expiration date extended to 12/31/2001 by DOE N 251.40.
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL		Footnote 4
DOE N 205.2	11/01/1999	FOREIGN NATIONAL ACCESS TO DOE CYBER SYSTEMS		Expiration date extended to 09/30/2006 by DOE N 205.16.
DOE N 205.3	11/23/1999	PASSWORD GENERATION, PROTECTION, AND USE		Expiration date extended to 09/30/2006 by DOE N 205.16.
DOE N 205.8	02/11/2004	CYBER SECURITY REQUIREMENTS FOR WIRELESS DEVICES AND INFORMATION SYSTEMS		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE N 205.9	02/19/2004	CERTIFICATION AND ACCREDITATION PROCESS FOR INFORMATION SYSTEMS INCLUDING NATIONAL SECURITY SYSTEMS		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE N 205.10	02/19/2004	CYBER SECURITY REQUIREMENTS FOR RISK MANAGEMENT		Expiration date extended to 03/18/2006 by DOE N 205.15.

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE N 205.11	02/19/2004	SECURITY REQUIREMENTS FOR REMOTE ACCESS TO DOE AND APPLICABLE CONTRACTOR INFORMATION TECHNOLOGY SYSTEMS		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Expiration date extended to 06/29/2009 by DOE N 251.74.
DOE N 206.5	10/09/2007	RESPONSE AND NOTIFICATION PROCEDURES FOR DATA BREACHES INVOLVING PERSONALLY IDENTIFIABLE INFORMATION		
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.3	12/17/2001	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		
DOE O 226.1A	07/31/07	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		
DOE P 226.1A	05/25/2007	DEPARTMENT OF ENERGY OVERSIGHT POLICY		

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE O 231.1A	08/19/2003	ENVIRONMENT, SAFETY AND HEALTH REPORTING		
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		
DOE N 234.1	02/27/2008	REPORTING OF RADIOACTIVE SEALED SOURCES		
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/04/2003	
DOE O 243.1	02/03/2006	RECORDS MANAGEMENT PROGRAM		
DOE O 243.2	02/02/2006	VITAL RECORDS		
DOE O 251.1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM		
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 412.1	04/20/1999	WORK AUTHORIZATION SYSTEM		
<b>DOE O 413.1B</b>	<b>10/28/2008</b>	<b>INTERNAL CONTROL PROGRAM</b>		<b>Footnote (4)</b>
DOE P 413.2	01/07/2004	VALUE ENGINEERING		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 11/17/2008	Footnote (2)
<i>DOE O 414.1C</i>	06/17/2005	<i>QUALITY ASSURANCE</i>		<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>
<i>DOE O 420.1B</i>	12/22/2005	<i>FACILITY SAFETY</i>		<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<i>DOE O 435.1</i>	07/09/1999	<i>RADIOACTIVE WASTE MANAGEMENT</i>	<i>1</i> 08/28/2001	<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>
<i>DOE M 435.1-1</i>	07/09/1999	<i>RADIOACTIVE WASTE MANAGEMENT MANUAL</i>	<i>1</i> 06/19/2001	<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>
<i>DOE M 440.1-1A</i>	01/09/2006	<i>DOE EXPLOSIVES SAFETY MANUAL</i>		<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE directives may be found at the following Internet address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
<i>DOE O 450.1A</i>	<i>06/04/2008</i>	<i>ENVIRONMENTAL PROTECTION PROGRAM</i>		<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
<i>DOE O 451.1B</i>	<i>10/26/2000</i>	<i>NATIONAL ENVIRONMENTAL POLICY ACT COMPLIANCE PROGRAM</i>	<i>1 09/28/2001</i>	<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		

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REQUIRED COMPLIANCE DOCUMENTS**

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 460.2-1A	06/04/2008	RADIOACTIVE MATERIAL TRANSPORTATION PRACTICES MANUAL FOR USE WITH DOE O 460.2A		Footnote (2)
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Expiration date extended to 12/31/2001 by DOE N 251.40.
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		
<b>DOE O 470.3B</b>	<b>08/12/2008</b>	<b>GRADED SECURITY PROTECTION (GSP) POLICY</b>		
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
DOE M 470.4-4	08/26/2005	INFORMATION SECURITY	1 06/29/2007	
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		



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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		
DOE O 481.1B	09/28/2001	WORK FOR OTHERS (NON-DEPARTMENT OF ENERGY FUNDED WORK)		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
DOE O 534.1B	01/06/2003	ACCOUNTING		
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM	1 05/08/2008	

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<b>DOE DIRECTIVES</b>				
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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS PUBLICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		
<i>DOE 5400.5</i>	<i>02/08/1990</i>	<i>RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT</i>	<i>2 01/07/1993</i>	<i>ES&amp;H-related directive included in WSS. See Footnote (3).</i>

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REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>SECRETARY OF ENERGY NOTICES (SENs)</b> SENs may be found at the following Internet address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
SEN-22-90	05/08/1990	DOE POLICY ON SIGNATURES OF RCRA PERMIT APPLICATIONS		

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>WORK SMART STANDARDS (WSS) SET</b> WSS Set may be found at: <a href="http://www.ornl.gov/orise/wss.htm">http://www.ornl.gov/orise/wss.htm</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH REVISION	NOTES AND COMMENTS
WSS Set	12/13/1999	OAK RIDGE INSTITUTE FOR SCIENCE AND EDUCATION (ORISE) WORK SMART STANDARDS SET	35 02/18/2009	

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) The Contractor does not perform activities which fall within the scope of this directive at this time. If these activities are conducted at some future date, the requirements contained in this document will be applicable to the Contractor and programs to implement the requirements of this document will be established when and if such activities are to be initiated.
- (3) This document is ES&H-related and appears on the current Work Smart Standards Set. In an S/RID or WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections.
- (4) The requirements of this document are applicable; however, specific additional clarification or guidance is required from DOE before such requirements can be implemented in full as indicated in written requests for direction from the Contractor.