



**UNITED STATES DEPARTMENT OF COMMERCE**  
**National Oceanic and Atmospheric Administration**

*National Marine Fisheries Service*

*P.O. Box 21668*

*Juneau, Alaska 99802-1668*

December 3, 2008

Dear Alaska Native and community representatives:

National Marine Fisheries Service (NMFS) has released the Bering Sea Chinook Salmon Bycatch Management Draft Environmental Impact Statement/Regulatory Impact Review/Initial Regulatory Flexibility Analysis (DEIS). This DEIS is intended to serve as the central decision-making document for the North Pacific Fishery Management Council (Council) at its April 2009 meeting in Anchorage when it finalizes its recommendation to the Secretary of Commerce on measures to minimize Chinook salmon bycatch in the Bering Sea pollock fishery. The Council will consider public comments received on this DEIS, as well as public testimony at Council meetings, when making its final recommendation. The agenda for the April 2009 Council meeting will be posted on its website prior to the meeting at: <http://www.fakr.noaa.gov/npfmc/>. The final EIS, which will incorporate public comments and the Council's final recommendation, will serve as the central decision-making document for the Secretary of Commerce to approve, disapprove, or partially approve the recommended measures and for NMFS to implement them through federal regulations.

This DEIS assesses the environmental, social, and economic impacts associated with measures to minimize Chinook salmon bycatch to the extent practicable in the Bering Sea pollock fishery. The alternatives analyzed in this DEIS generally involve limits or "caps" on the number of Chinook salmon that may be taken as bycatch in the Bering Sea pollock fishery and closure of all or a part of the Bering Sea to pollock fishing once the cap is reached. These closures would occur when a Chinook salmon bycatch cap is reached, even if the entire pollock total allowable catch has not yet been harvested. NMFS and the Council are seeking ways to limit bycatch in order to conserve Chinook salmon, maintain a healthy ecosystem, and provide maximum benefit to fishermen and communities that depend on Chinook salmon and pollock.

Enclosed is a copy of the executive summary of the DEIS. The complete DEIS is accessible electronically through the NMFS Alaska Region's website at <http://alaskafisheries.noaa.gov/sustainablefisheries/bycatch/default.htm>. CDs or printed copies of the DEIS may be requested from this website. You may also call NMFS at 907-586-7228, to obtain a printed copy or CD.



The 60-day public comment period for the DEIS will begin on December 5, 2008, and conclude on February 3, 2009. When submitting fax or email comments, please include the following document identifier in the subject line: Salmon Bycatch EIS. Written comments should be submitted through mail, facsimile (fax), or email to:

Robert D. Mecum, Acting Administrator  
NMFS Alaska Region  
P.O. Box 21668  
Juneau, AK 99802  
Telephone: (907) 586-7221  
Fax: (907) 586-7557  
E-mail: [salmonbycatcheis@noaa.gov](mailto:salmonbycatcheis@noaa.gov)

NMFS has special obligations to consult and coordinate with tribal governments and Alaska Native Claims Settlement Act (ANCSA) corporations on a government-to-government basis pursuant to Executive Order 13175 and the Executive Memorandum of April 29, 1994, on "Government-to-Government Relations with Native American Tribal Governments." If you represent a tribal entity or ANCSA corporation, you have the opportunity to consult with and provide comments to NMFS at any time; however, comments submitted during the public comment period would be most helpful.

I look forward to working with you through the completion of this project. For more information on this project, please call Gretchen Harrington or Sally Bibb at 907-586-7228.

Sincerely,

A handwritten signature in blue ink, appearing to read 'R. Mecum', with a large loop at the end.

Robert D. Mecum  
Acting Administrator, Alaska Region

Enclosure

# **Executive Summary of the Bering Sea Chinook Salmon Bycatch Management Draft Environmental Impact Statement/ Regulatory Impact Review/ Initial Regulatory Flexibility Analysis**

December 2008

Lead Agency: National Oceanic and Atmospheric Administration  
National Marine Fisheries Service  
Alaska Region  
Juneau, Alaska

Cooperating Agency: State of Alaska Department of Fish and Game  
Juneau, Alaska

Responsible Official: Robert D. Mecum  
Acting Administrator  
Alaska Region

For further information contact: Diana Stram  
North Pacific Fishery Management Council  
605 W. 4<sup>th</sup> Ave., suite 306  
Anchorage AK 99501-2258  
(907) 271-2809

Gretchen Harrington  
National Marine Fisheries Service  
P.O. Box 21668  
Juneau, AK 99802-1668  
(907) 586-7228

**Abstract:** This executive summary summarized the Environmental Impact Statement/Regulatory Impact Review/Initial Regulatory Flexibility Analysis (EIS/RIR/IRFA) which provides decision-makers and the public with an evaluation of the environmental, social, and economic effects of alternative measures to minimize Chinook salmon bycatch in the Bering Sea pollock fishery. The alternatives analyzed in this EIS/RIR/IRFA generally involve limits or “caps” on the number of Chinook salmon that may be caught in the Bering Sea pollock fishery and closure of all or a part of the Bering Sea to pollock fishing once the cap is reached. These closures would occur when a Chinook salmon bycatch cap is reached, even if the entire pollock total allowable catch has not yet been harvested. This document addresses the requirements of the National Environmental Policy Act, Executive Order 12866, and the Regulatory Flexibility Act.

**PUBLIC COMMENTS DUE: FEBRUARY 3, 2009**

(blank page)

---



**CONTENTS**

**EXECUTIVE SUMMARY ..... 1**

Bering Sea Pollock Fishery ..... 2

Salmon Bycatch in the Pollock Fishery ..... 3

Description of Alternatives ..... 4

    Alternative 1: Status Quo (No Action)..... 5

    Alternative 2: Hard cap ..... 5

    Alternative 3: Triggered Closures ..... 8

    Alternative 4: Preliminary Preferred Alternative ..... 9

Managing and Monitoring the Alternatives ..... 12

Consequences of the Alternatives ..... 13

    Chinook Salmon..... 14

    Chinook salmon savings ..... 15

    Benefits of Chinook salmon savings..... 19

    Comparison of Chinook salmon saved and foregone pollock harvest ..... 26

    Costs of forgone harvest in the pollock fishery ..... 29

    Effects of Alternative 3 on Chinook salmon savings and pollock fishery gross revenues..... 31

    Pollock stocks ..... 32

    Chum salmon ..... 33

    Other groundfish ..... 33

    Other prohibited species and forage fish..... 34

    Other marine resources ..... 34

    Environmental Justice ..... 35

    Directly Regulated Small Entities ..... 35

Areas of controversy and issues yet to be resolved..... 36

**FIGURES**

Fig. ES -1 Map of the Bering Sea and major connected salmon producing rivers in Alaska and Northwest Canada ..... 3

Fig. ES-2 Proposed A season area closure under Alternative 3. .... 9

Fig. ES-3 Proposed B season area closures under Alternative 3. Note: all three areas would close simultaneously on or after August 15<sup>th</sup>. .... 9

Fig. ES-4 Time series of Chinook actual and adult equivalent bycatch from the pollock fishery, 1991-2007 (2008 to date is also indicated). The dotted lines represent the uncertainty of the AEQ estimate, due to the combined variability of ocean mortality, maturation rate, and age composition of bycatch estimates. .... 17

Fig. ES-5 Comparisons of hypothetical Chinook bycatch (numbers, horizontal axis) and forgone pollock (thousands of t, vertical axis) for PPA 1 (circles) and PPA 2 (triangles) assuming 80% rollover and transferability. Numbers represent the year (i.e., 6=2006, 7=2007 etc) and those not enclosed by symbols are from the four Alternative 2 hard cap options with 70/30 A-B season split and sector splits following Option 2d (CDQ=6.5 %, inshore CV=57.5 %, Motherships=7.5 %, and at-sea processors= 28.5 %). .... 28

**TABLES**

Table ES-1 The number of participating vessels in the Bering Sea pollock fishery, the pollock total allowable catch in metric tons (t), and the number of Chinook salmon taken as bycatch, for the years analyzed, 2003 to 2007. .... 4

Table ES-2 Range of Chinook salmon hard cap options, in numbers of fish ..... 6

Table ES-3 Range of Chinook salmon hard caps, in numbers of fish, for use in the analysis..... 6

Table ES-4 Seasonal distribution of caps between the A and B seasons ..... 6

Table ES-5	Sector apportionment options for the Chinook salmon bycatch cap.....	7
Table ES-6	Transfers and rollovers options.....	8
Table ES-7	A and B season caps, in numbers of Chinook salmon, for Alternative 4 under PPA1 and PPA2, showing both the sector allocation as a percentage and in numbers of Chinook salmon.....	11
Table ES-8	Overview of western Alaska Chinook salmon stock status for 2008.....	14
Table ES-9	Projected fleetwide Chinook salmon bycatch (in numbers of fish), by season and annually, under PPA 1, PPA2, and the lowest and highest bycatch sector and season combinations for Alternative 2, and percentage reduction from actual bycatch under Alternative 1, for highest (2007) and lowest (2003) bycatch years. ....	15
Table ES-10	Total projected reduction of Chinook salmon bycatch and adult equivalent salmon bycatch from the actual 2007 bycatch estimate of 121,638 Chinook salmon. Compares PPA1, PPA2, and the highest and lowest caps of comparable seasonal and sector combinations of Alternative 2.....	17
Table ES-11	2007 projected adult equivalent Chinook salmon saved, in number of salmon, by region of origin (based on genetic aggregations). Compares PPA1, PPA2, and the Alternative 2 highest and lowest caps with comparable seasonal and sector combinations. Higher numbers indicate a greater salmon “savings”, compared to Alternative 1, status quo. ....	19
Table ES-12	Percentage change in adult equivalent Chinook salmon savings from Alternative 1, status quo, between Alternative 4 (PPA) caps and closely comparable management options in Alternative 2, for the years 2003 to 2007.....	20
Table ES-13	Summary of Chinook salmon escapement goals obtained, restrictions imposed, and potential management changes with additional AEQ Chinook salmon returns to rivers over the time period from 2003 to 2007.....	22
Table ES-14	Kuskokwim Area Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Savings Estimates for Alternatives 2 and 4 (2003-2007).....	23
Table ES-15	Alaska Yukon River Area Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Savings Estimates for Alternatives 2 and 4 (2003-2007).....	24
Table ES-16	Bristol Bay Area Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Savings Estimates for Alternatives 2 and 4 (2003-2007).....	25
Table ES-17	Total western Alaska (excluding Norton Sound) Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Estimates for Alternatives 2 and 4 (2003-2007).....	26
Table ES-18	Estimated percentage of Chinook salmon saved from actual bycatch compared with the percentage of forgone pollock catch from actual catch for 2003 and 2007. ....	27
Table ES-19	Summary of main options under Alternatives 2 and 4 and their relative scale of impact on pollock fishery gross revenues.....	29
Table ES-20	Comparison of sector allocations under Alternative 2, option 2d and Alternative 4 (PPA) ..	29
Table ES-21	2007 estimated forgone gross revenue by sector for Alternative 2, option 2d (70/30 season split, cap 68,100), compared with PPA1 (cap 68,392) (in millions of \$).....	30
Table ES-22	2007 estimated forgone revenue for Alternative 2, option 2d (70/30 season split, cap 48,700) compared with PPA2 (cap 47,591) (in millions of \$). ....	30





## EXECUTIVE SUMMARY

This Draft Environmental Impact Statement/Regulatory Impact Review/Initial Regulatory Flexibility Analysis (EIS/RIR/IRFA) provides decision-makers and the public with an evaluation of the environmental, social, and economic effects of alternative management measures to minimize Chinook salmon bycatch in the Bering Sea pollock fishery. The final preferred alternative would be Amendment 91 to the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area (BSAI FMP). This EIS/RIR/IRFA is intended to serve as the central decision-making document for the North Pacific Fishery Management Council (Council or NPFMC) to recommend Amendment 91 to the Secretary of Commerce. The EIS/RIR/IRFA would also serve as the central decision-making document for the Secretary of Commerce to approve, disapprove, or partially approve Amendment 91, and for the National Marine Fisheries Service (NMFS or NOAA Fisheries) to implement Amendment 91 through federal regulations.

The proposed action is to amend the FMP and federal regulations to establish new measures to minimize Chinook salmon bycatch in the Bering Sea pollock fishery to the extent practicable while achieving optimum yield in the pollock fishery. The proposed action is focused on the Bering Sea pollock fishery because this fishery catches up to 95 percent of the Chinook salmon taken incidentally as bycatch in the Bering Sea and Aleutian Islands (BSAI) groundfish fisheries.

In selecting its preferred alternative, the Council must comply with the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) and all other applicable federal laws. With respect to the Magnuson-Stevens Act, the Council's preferred alternative must be consistent with all ten national standards. The most relevant for this action are National Standard 9, which requires that conservation and management measures shall, to the extent practicable, minimize bycatch; and National Standard 1, which requires that conservation and management measures prevent overfishing while achieving, on a continuing basis, the optimum yield from each fishery for the United States fishing industry. The Magnuson-Stevens Act defines optimum yield as the amount of harvest which will provide the greatest overall benefit to the Nation, particularly with respect to food production and recreational opportunities, and taking into account the protection of marine ecosystems. Therefore, the preferred alternative must minimize Chinook salmon bycatch in the Bering Sea pollock fishery to the extent practicable while achieving optimum yield from the pollock fishery. Minimizing Chinook salmon bycatch while achieving optimum yield is necessary to maintain a healthy marine ecosystem, ensure long-term conservation and abundance of Chinook salmon, provide maximum benefit to fishermen and communities that depend on Chinook salmon and pollock resources, and comply with the Magnuson-Stevens Act and other applicable federal law.

This EIS/RIR/IRFA examines four alternatives to minimize Chinook salmon bycatch in the Bering Sea pollock fishery. The EIS/RIR/IRFA evaluates the environmental consequences of each of these alternatives with respect to nine resource categories:

- Pollock
- Chinook salmon
- Chum salmon
- Other groundfish species

- Other prohibited species (steelhead trout, Pacific halibut, Pacific herring, and crab)
- Forage fish
- Marine mammals
- Seabirds
- Essential fish habitat
- Marine ecosystem

Three chapters of this document evaluate the social and economic consequences of the alternatives with respect to four major issues:

- economic impacts and net benefits to the Nation
- Alaska Native, non-native minority, and low income populations
- directly regulated small entities
- fisheries management and enforcement

### **Bering Sea Pollock Fishery**

The pollock fishery in waters off Alaska is the largest U.S. fishery by volume. The economic character of the fishery centers on the products produced from pollock; roe, surimi, and fillet products. In 2007, total first wholesale gross value of retained pollock was estimated to be \$1.248 billion. The Bering Sea pollock fishery is divided into two seasons – the winter “A” roe (eggs) season (January 20 to June 10) and the summer/fall “B” season (June 10 to November 1), when pollock generally do not contain roe.

Until 1998, the Bering Sea pollock fishery was managed as an open access fishery, commonly characterized as a “race for fish.” In 1998, however, Congress enacted the American Fisheries Act (AFA) to rationalize the fishery by limiting participation and allocating specific percentages of the Bering Sea directed pollock fishery total allowable catch (TAC) among the competing sectors of the fishery. NMFS apportions the pollock TAC among the inshore catcher vessel (CV) sector, offshore catcher/processor (CP) sector, and mothership sectors after allocations are made to the Community Development Quota (CDQ) Program and incidental catch allowances. In this analysis, the inshore CV sector, offshore CP sector and mothership sector also are collectively referred to as the non-CDQ sectors.

The AFA also allowed for development of pollock fishing cooperatives in the non-CDQ sectors. Ten such cooperatives were developed as a result of the AFA: seven inshore CV cooperatives, two offshore CP cooperatives, and one mothership cooperative. Catcher vessels in the inshore CV sector deliver pollock to shorebased processors. Catcher/processors harvest and process pollock on the same vessel. Catcher vessels in the mothership sector deliver pollock to motherships, which are processing vessels.

The CDQ Program was created to improve the social and economic conditions in western Alaska communities by facilitating their economic participation in the BSAI fisheries, which had developed without significant participation from rural western Alaska communities. These fisheries, including the Bering Sea pollock fishery, are capital-intensive and require large investments in vessels, infrastructure, processing capacity, and specialized gear. The CDQ Program was developed to redistribute some of the BSAI fisheries’ economic benefits to adjacent communities by allocating a portion of commercially important fisheries to those communities as fixed shares of groundfish, halibut, crab, and prohibited species catch. These allocations, in turn, provide an opportunity for residents of these communities to both participate in and benefit from the BSAI fisheries. Currently, NMFS allocates 10% of the pollock TAC and 7.5% of the Bering Sea Chinook salmon prohibited species catch limit to the CDQ Program.

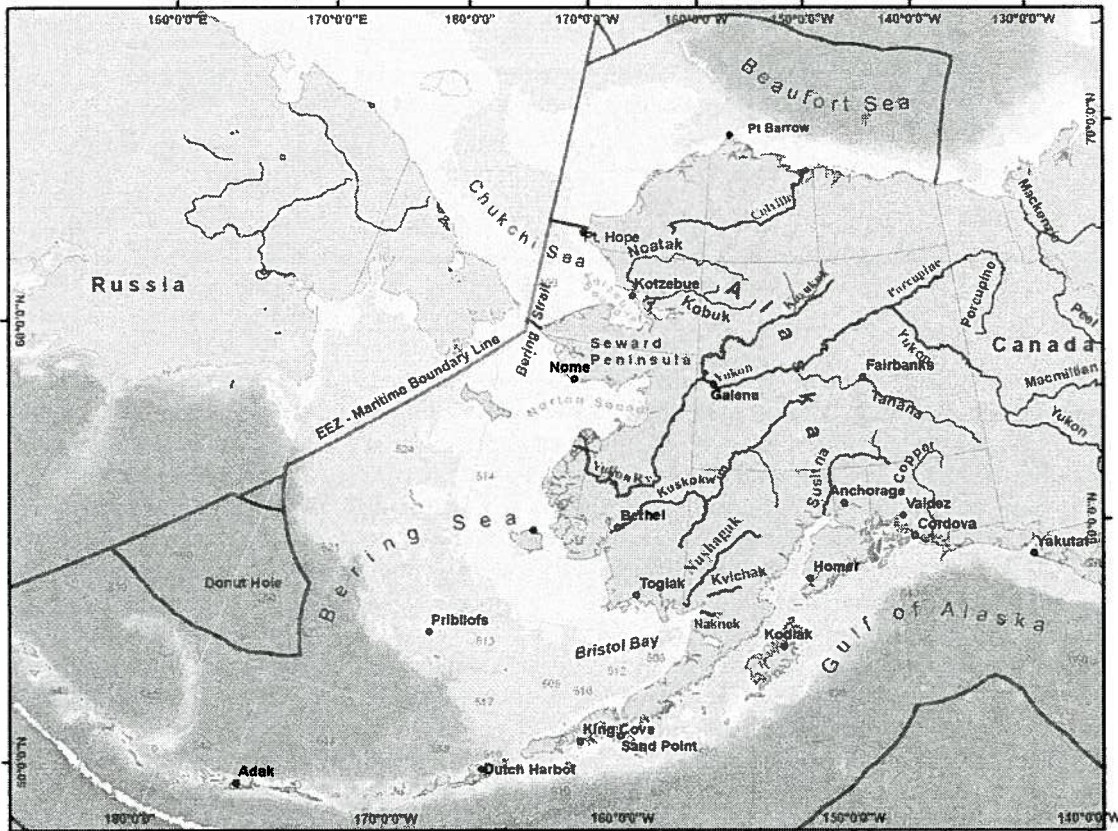


Fig. ES -1 Map of the Bering Sea and major connected salmon producing rivers in Alaska and Northwest Canada

### Salmon Bycatch in the Pollock Fishery

Pacific salmon are caught incidentally in the Bering Sea pollock fishery. Of the five species of Pacific salmon, Chinook salmon (*Oncorhynchus tshawytscha*) and chum salmon (*O. keta*) are most often caught incidentally in the Bering Sea pollock fishery. Several management measures are currently used to reduce salmon bycatch in the Bering Sea pollock fishery. The Council and NMFS decided to limit the scope of this action to Chinook salmon, because Chinook salmon is a highly valued species that warrants specific protection measures. The Council will address non-Chinook salmon (primarily chum salmon) bycatch in the Bering Sea pollock trawl fishery with a separate future action. Until then, existing non-Chinook salmon bycatch reduction measures will remain in effect.

From 1992 through 2001, the annual average Chinook salmon bycatch in the pollock fishery was 32,482 Chinook salmon. Chinook salmon bycatch numbers increased substantially after 2002. The average bycatch from 2003 to 2007 was 74,067 Chinook salmon, with peak of approximately 122,000 Chinook salmon taken as bycatch in 2007. Table ES-1 shows the number of Chinook salmon taken as bycatch during the years used in this analysis, 2003 to 2007. Chinook salmon bycatch in the Bering Sea pollock fishery decreased substantially in 2008. The preliminary Chinook salmon bycatch estimate after the fishery closed on November 1, 2008, was 19,477 Chinook salmon (NMFS Alaska Region estimate on 11/6/2008).

Table ES-1 The number of participating vessels in the Bering Sea pollock fishery, the pollock total allowable catch in metric tons (t), and the number of Chinook salmon taken as bycatch, for the years analyzed, 2003 to 2007.

Year	Number of pollock fishing vessels	Pollock TAC (t)	Chinook salmon bycatch (numbers of fish)
2003	112	1,491,760	46,993
2004	113	1,492,000	51,696
2005	109	1,478,000	67,363
2006	106	1,487,756	82,647
2007	109	1,394,000	121,638

Chinook salmon taken incidentally in groundfish fisheries are classified as prohibited species and, as such, must be either discarded or donated through the Prohibited Species Donation Program. In the mid-1990s, the Chinook Salmon Savings Areas, which are large closure areas, and year-round accounting of Chinook salmon bycatch in the trawl fisheries were implemented. After several amendments to the management measures since 1995, the current regulations require that once Chinook salmon bycatch in the Bering Sea pollock fishery reaches 29,000 salmon, the Chinook Salmon Savings Areas are closed to pollock fishing. The savings areas were adopted based on areas of high historic observed salmon bycatch rates and were designed to avoid areas and times of high salmon bycatch.

The Council started considering revisions to salmon bycatch management in 2004 when information from the fishing fleet indicated that it was experiencing increases in Chinook salmon bycatch following the regulatory closure of the Chinook Salmon Savings Area. Contrary to the original intent of the savings area closure, Chinook salmon bycatch rates appeared to be higher outside of the savings area than inside the area. To address this problem, the Council examined other means to minimize salmon bycatch that were more flexible and adaptive.

Since 2006, the pollock fleet has been exempted from regulatory closures of the Chinook Salmon Savings Areas if they participated in a salmon intercooperative agreement (ICA) with a voluntary rolling hotspot system (VRHS). The fleet started the VRHS for Chinook salmon in 2002. It was intended to increase the ability of pollock fishery participants to minimize salmon bycatch by giving them more flexibility to move fishing operations to avoid areas where they experience high rates of salmon bycatch. The exemption to area closures for vessels that participated in the VRHS ICA was implemented in 2006 and 2007 through an exempted fishing permit and subsequently, in 2008, through Amendment 84 to the BSAI FMP.

In light of the high amount of Chinook salmon bycatch in recent years, the Council and NMFS are considering new measures to minimize bycatch to the extent practicable while achieving optimum yield from the pollock fishery. While the VRHS ICA reports on Chinook salmon bycatch indicate that the VRHS has reduced Chinook salmon bycatch rates compared with what they would have been without the measures, concerns remain because of high amounts of Chinook salmon bycatch through 2007.

## Description of Alternatives

Chapter 2 describes and compares four alternatives for minimizing Chinook salmon bycatch, including detailed options and suboptions for each alternative.

**Alternative 1: Status Quo (No Action)**

**Alternative 2: Hard cap**

**Alternative 3: Triggered closures**

**Alternative 4: Preliminary Preferred Alternative (PPA)**

The alternatives analyzed in this EIS/RIR/IRFA generally involve limits or “caps” on the number of Chinook salmon that may be caught in the Bering Sea pollock fishery and closure of all or a part of the Bering Sea to pollock fishing once the cap is reached. These closures would occur when a Chinook salmon bycatch cap is reached even if the entire pollock TAC has not yet been harvested. The Council has identified a preliminary preferred alternative (Alternative 4) which includes a choice between two different overall Chinook salmon cap levels (68,392 Chinook salmon or 47,591 Chinook salmon). The higher cap would be available if some or all of the pollock fishery participates in a private contractual arrangement called an intercooperative agreement (ICA) that establishes an incentive program to keep Chinook salmon bycatch below the 68,392 Chinook salmon cap. The combination of the higher cap and the bycatch reduction incentive program in the ICA is intended to provide a more flexible and responsive approach to minimizing salmon bycatch than would be achieved by a cap alone. The PPA would rely on the cap to limit Chinook salmon bycatch in all years and on the ICA to keep bycatch as far as possible below the cap.

***Alternative 1: Status Quo (No Action)***

Alternative 1 would retain the current Chinook Salmon Savings Area (SSA) closures and the exemption for vessels that participate in the VRHS ICA. Only vessels directed fishing for pollock are subject to the SSA closures and VRHS ICA regulations. Once the pollock fleet reaches the Chinook salmon prohibited species catch limit of 29,000 Chinook salmon, the SSA areas are closed for the remainder of the season. The Chinook salmon prohibited species catch limit is apportioned to the non-CDQ and CDQ fisheries. The pollock fishery can continue to harvest pollock outside of the closed areas. Pollock vessels participating in the VRHS ICA, under regulations implemented for BSAI FMP Amendment 84, are exempt from these closures.

***Alternative 2: Hard cap***

Alternative 2 would establish separate Chinook salmon bycatch caps for the pollock fishery A and B seasons which, when reached, would require all directed pollock fishing to cease for the remainder of that season.

Alternative 2 contains components, and options for each component, to determine (1) the total cap amount and how to divide the total cap between the A and B season, and (2) whether and how to allocate the cap to sectors, (3) whether and how salmon can be transferred among sectors, and (4) whether and how the cap is allocated to and transferred among cooperatives.

**Setting the Hard Cap**

Under this alternative, the Council would choose an annual hard cap from a specified range of eight caps from 29,323 Chinook salmon to 87,500 Chinook salmon (Table ES-2). These possible cap levels were selected because they represent a range of historical averages over specified years, as described in Chapter 2.

Table ES-2 Range of Chinook salmon hard cap options, in numbers of fish

Suboption	Overall fishery cap	CDQ cap	Non-CDQ cap (all sectors combined)
i)	87,500	6,563	80,938
ii)	68,392	5,129	63,263
iii)	57,333	4,300	53,033
iv)	47,591	3,569	44,022
v)	43,328	3,250	40,078
vi)	38,891	2,917	35,974
vii)	32,482	2,436	30,046
viii)	29,323	2,199	27,124

For the analysis, a subset of four caps that include the upper and lower endpoints of the range, and two equidistant midpoints, were used to understand the impacts of Alternative 2 (Table ES-3).

Table ES-3 Range of Chinook salmon hard caps, in numbers of fish, for use in the analysis

	Chinook	CDQ	Non-CDQ
i)	87,500	6,563	80,938
ii)	68,100	5,108	62,993
iii)	48,700	3,653	45,048
iv)	29,300	2,198	27,103

#### Seasonal distribution of the hard cap

The annual cap would then be divided between the A and B seasons based on one of four percentage splits (Table ES-4). The suboption would allow the “rollover” of unused Chinook salmon bycatch from the A season to the B season. Rollovers are management actions by NMFS to move Chinook salmon bycatch from one account to another. In this case, rollovers could occur when a sector or cooperative has harvested all of its pollock allocation, but has not reached its A season Chinook salmon bycatch cap. With this suboption, NMFS could move that sector’s or cooperative’s unused salmon bycatch from its A season account to that sector’s or cooperative’s B season account.

Table ES-4 Seasonal distribution of caps between the A and B seasons

Seasonal Distribution Options	A season	B season
1-1	70%	30%
1-2	58%	42%
1-3	55%	45%
1-4	50%	50%
Suboption	Rollover unused salmon from the A season to the B season, within a sector and a calendar year	

#### Apportioning the hard cap

The hard caps could be apportioned as:

- fishery level caps for the CDQ fishery and the non-CDQ fishery;
- sector level caps for the three non-CDQ sectors: the inshore CV sector, the mothership sector, and the offshore CP sector; and
- cooperative level caps for the inshore CV sector.

A fishery level cap would be managed by NMFS with inseason actions to close the fishery once the cap was reached. The CDQ fishery caps would be allocated and managed at the CDQ group level, as occurs under status quo. The hard caps could be apportioned to sectors as sector level caps based on the percentages in Table ES-5. Non-CDQ sector level caps would be managed by NMFS with inseason actions to close the fishery once the cap was reached.

The inshore CV sector level cap could be allocated to cooperatives and the inshore CV limited access fishery. The cooperative transferable allocation amounts would be based on the proportion of pollock allocations received by the cooperatives.

Table ES-5 Sector apportionment options for the Chinook salmon bycatch cap

Options	CDQ	Inshore CV	Mothership	Offshore CP
No sector allocation	7.5 %; allocated and managed at the CDQ group level	92.5 %; managed at the combined fishery-level for all three sectors		
Option 1 (AFA pollock allocations)	10 %	45 %	9 %	36 %
Option 2a (hist. avg. 04-06)	3 %	70 %	6 %	21 %
Option 2b (hist. avg. 02-06)	4 %	65 %	7 %	25 %
Option 2c (hist. avg. 97-06)	4 %	62 %	9 %	25 %
Option 2d (midpoint)	6.5 %	57.5 %	7.5 %	28.5 %

**Transfers and Rollovers**

To provide sectors and cooperatives more opportunity to fully harvest their pollock allocations, the ability to transfer sector and cooperative allocations and/or rollover unused salmon bycatch could be implemented as part of Alternative 2 (Table ES-6).

If sector level caps are issued as transferable allocations, then these entities could request NMFS to move a specific amount of a salmon bycatch allocation from one entity’s account to another entity’s account during a fishing season. Transferable allocations would not constitute a “use privilege” and, under the suboptions, only a portion of the remaining salmon bycatch could be transferred. If NMFS issues the sector level cap as a transferable allocation to a legal entity representing all participants in that sector, that entity would then be prohibited from exceeding its allocation and would be subject to an enforcement action if it exceeded its allocation.

With the sector rollover option, rollovers would occur when a sector has harvested all of its pollock allocation but has not reached its seasonal sector level Chinook salmon bycatch cap. NMFS would move the unused portion of that sector’s cap to the sectors still fishing in that season.

Table ES-6 Transfers and rollovers options

	Option	Provision		
<b>No transfer of salmon</b>				
<b>Sector transfers</b>	Option 1	Caps are transferable among sectors in a fishing season		
	Suboption	Maximum amount of transfer limited to the following percentage of salmon remaining:	a	50 %
			b	70 %
c	90 %			
<b>Sector rollover</b>	Option 2	NMFS rolls over unused salmon bycatch to sectors still fishing in a season, based on proportion of pollock remaining to be harvested		
<b>Cooperative transfers</b>	Option 1	Lease pollock among cooperatives in a season or a year		
	Option 2	Transfer salmon bycatch in a season		
	suboption	Maximum amount of transfer limited to the following percentage of salmon remaining:	a	50%
	b		70%	
	c		90%	

**Alternative 3: Triggered Closures**

Alternative 3 would establish time and area closures that are triggered when specified cap levels are reached. The cap levels for triggered closures would be set in the same way as those described under Alternative 2 and may be apportioned to sectors. Also similar to Alternative 2, the caps may be allocated to sectors as transferable allocations. Closures would be of a single area in the A season and three areas in the B season. Once specified areas are closed, pollock fishing could continue outside of the closure areas until either the pollock allocation is reached or the pollock fishery reaches a seasonal (June 10) or annual (November 1) closure date.

**Management**

Triggered area closures would be managed either by NMFS or by the industry through a NMFS-approved ICA. Under NMFS management, once the single trigger cap for the non-CDQ pollock fisheries was reached, NMFS would close the trigger areas to directed fishing for pollock by all vessels fishing for the non-CDQ sectors. The trigger cap allocation to the CDQ Program would be further divided among the six CDQ groups as occurs under status quo. Each CDQ group would be prohibited from fishing inside the closure area(s) once the group's trigger cap is reached.

A NMFS-approved ICA would allow the pollock industry to manage, through its contract, any subdivision of the seasonal trigger caps at the sector level, inshore cooperative, or individual vessel level. The ICA would close areas for the designated group or entity when subdivided caps established by the ICA are reached. The subdivision of the trigger caps under the ICA would not be prescribed by federal regulations. The ICA would decide how to manage participating vessels to avoid reaching the trigger closures as long as possible during each season.

**Area Closures**

One A season and three B season closures areas are proposed for Chinook salmon under Alternative 3. For the A season closure (Fig. ES-2), once the closure is triggered, the area would remain closed for the remainder of the season. For the B season closures (Fig. ES-3), all three areas close simultaneously. If the B season caps are reached before August 15<sup>th</sup>, the B season areas would not close until August 15<sup>th</sup>. If triggered anytime after August 15<sup>th</sup>, the area would close immediately and remain closed for the duration of the season.



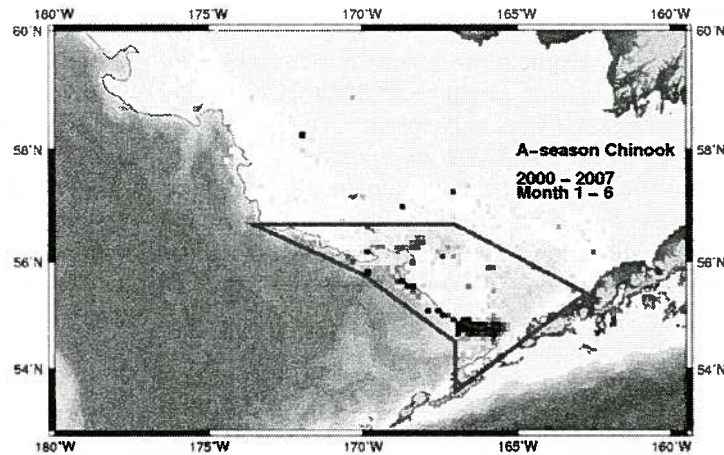


Fig. ES-2 Proposed A season area closure under Alternative 3.

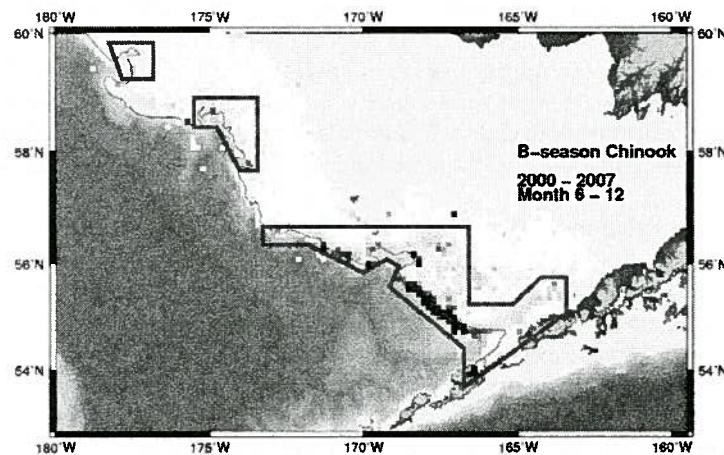


Fig. ES-3 Proposed B season area closures under Alternative 3. Note: all three areas would close simultaneously on or after August 15<sup>th</sup>.

#### **Alternative 4: Preliminary Preferred Alternative**

In June 2008, the Council developed Alternative 4 as its preliminary preferred alternative (PPA). This alternative consists of two different annual scenarios with different caps for each scenario. Under each scenario, a Chinook salmon bycatch cap is established for each pollock fishing season which, when reached, would require all directed pollock fishing to cease for the remainder of that season. Annual scenario 1 (PPA1) contains a dual cap system, with a high cap of 68,392 Chinook salmon for vessels that participate in the NMFS-approved salmon bycatch ICA which provides explicit incentives to avoid Chinook salmon bycatch ICA, and a “backstop” cap of 32,482 Chinook salmon for vessels that do not participate in the ICA. The primary purpose of the ICA is to keep Chinook salmon bycatch as far as practicable below the cap level. Annual scenario 2 (PPA2) contains a cap of 47,591 Chinook salmon and does not contain a provision for an ICA. The prescribed sector level caps (and provisions to allocate the caps as transferrable allocations and divide the sector level caps to the inshore CV cooperative level and among CDQ groups) are identical for both the PPA1 high cap and the PPA2 cap. Each cap would be apportioned seasonally 70 percent to the A season and 30 percent to the B season.

### **Annual Scenario 1 (PPA1)**

If an ICA is in place that provides explicit incentives for each participant to avoid Chinook salmon bycatch in all years, then the overall cap would be 68,392 Chinook salmon. For each season, the high cap would be divided into separate sector level caps for the CDQ sector, the inshore CV sector, the mothership sector, and the CP sector. All Chinook salmon bycatch by vessels in these sectors that were party to the NMFS-approved ICA with incentives to reduce salmon bycatch would accrue against the sector's specific seasonal cap. If a sector forms the necessary legal entity, NMFS would issue that sector's cap as a transferable allocation. Cooperatives and CDQ groups would receive a transferable allocation. When a sector level cap or transferable allocation is reached, the sector, CDQ group, or cooperative would then be prohibited from exceeding its allocation and would be subject to an enforcement action if it exceeded its allocation..

The ICA must meet the following requirements:

- An ICA must provide incentive(s) for each vessel to avoid Chinook salmon bycatch under any condition of pollock and Chinook salmon abundance in all years.
- Incentive measures must include rewards for Chinook salmon bycatch avoidance or penalties for failure to avoid Chinook salmon bycatch at the vessel level.
- The ICA must specify how those incentives are expected to promote reductions in actual individual vessel bycatch rates relative to what would have occurred in the absence of the incentive program.
- Incentive measures must promote Chinook salmon savings in any condition of pollock and Chinook salmon abundance, such that they are expected to influence operational decisions at bycatch levels below the hard cap.
- The ICA must be available for Council and public review and an annual report to the Council would be required and must include:
  - 1) a comprehensive explanation of incentive measures in effect in the previous year,
  - 2) how incentive measures affected individual vessels, and
  - 3) evaluation of whether incentive measures were effective in achieving Chinook salmon savings beyond levels that otherwise would have been achieved in absence of the measures.

Sectors with transferable allocations, CDQ groups, and cooperatives could request NMFS to transfer a specific amount of a salmon bycatch allocation from that entity's account to another entity's account during a fishing season. Allocations would be fully transferable among entities.

Rollovers could occur when a sector, CDQ group, or cooperative has harvested all of its pollock allocation but has not reached its A season Chinook salmon bycatch cap. NMFS would move up to 80 percent of that sector's, CDQ group's, or cooperative's unused salmon bycatch from its A season account to that sector's, CDQ group's, or cooperative's B season account. No rollover would occur from the B season to the A season.

Table ES-7 provides the three cap amounts under Alternative 4 and the associated sector and seasonal allocations.

Table ES-7 A and B season caps, in numbers of Chinook salmon, for Alternative 4 under PPA1 and PPA2, showing both the sector allocation as a percentage and in numbers of Chinook salmon

	Annual scenario 1 (PPA1)				Annual scenario 2 (PPA2) Cap	
	High Cap		Backstop Cap			
<b>Overall cap</b>	<b>68,392</b>		<b>32,482</b>		<b>47,591</b>	
<b>A season allocation (70%):</b>	47,874		22,737		33,314	
CDQ	9.3%	4,452	7.5%	1,705	9.3%	3,098
Inshore CV	49.8%	23,841			49.8%	16,590
Mothership	8%	3,830			8%	2,665
Offshore CP	32.9%	15,751	92.5%	21,032	32.9%	10,960
<b>B season allocation (30%):</b>	20,518		9,745		14,277	
CDQ	5.5%	1,128	7.5%	731	5.5%	785
Inshore CV	69.3%	14,219			69.3%	9,894
Mothership	7.3%	1,498			7.3%	1,042
Offshore CP	17.9%	3,673	92.5%	9,014	17.9%	2,556

Operations that choose not to participate in the ICA would fish under the backstop cap of 32,482 Chinook salmon. The backstop cap would not be allocated to sectors or cooperatives. Instead, it would be divided between the CDQ (2,436) and non-CDQ (30,046) fisheries. Any AFA vessels or CDQ groups not participating in the ICA would be managed as a group under the backstop cap and prohibited by NMFS from directed fishing for pollock once the backstop cap is reached. Chinook salmon bycatch by the CDQ groups, including the CDQ groups participating in the ICA, would accrue against the CDQ portion of the backstop cap. Chinook salmon bycatch by all non-CDQ vessels directed fishing for pollock, including those vessels participating in the ICA, would accrue against the non-CDQ portion of the backstop cap. This means that salmon bycatch by the ICA vessels would accrue against both the high cap and the backstop cap, but the bycatch by non-ICA participants would only accrue against the backstop cap.

During the process of writing this EIS/RIR/IRFA and describing and analyzing the PPA, three issues arose that require either clarification by the Council or modification to the PPA. Chapter 2 describes the following issues and suggests possible options for resolving them:

- Two issues related to the formation and composition of the ICA.
- The potential for the 68,392 Chinook salmon hard cap to be exceeded because, under the PPA, Chinook salmon bycatch accrues to both the high cap and the backstop cap.

**Annual Scenario 2 (PPA2)**

Under PPA2, the Bering Sea pollock industry would be subject to a hard cap of 47,591 Chinook salmon, regardless of whether the industry operated under an ICA with incentives to avoid salmon bycatch. The PPA2 cap would be subject to the same seasonal apportionments, sector allocations, and rollover and transfer provisions described for the PPA1 cap of 68,392 Chinook salmon (Table ES-7).

**Annual Scenario 1 combined with Annual Scenario 2**

If the Council chose to combine PPA1 and PPA2, the Bering Sea pollock fleet would be subject to a cap of 47,591 Chinook salmon, unless industry submits and NMFS approves an ICA which provides explicit incentives for salmon avoidance. NMFS would increase the cap to 68,392 Chinook salmon if fishery

participant submits and NMFS approves an ICA meeting all of the applicable regulatory requirements. Vessels that choose not to participate in the ICA would be subject to the backstop cap.

### ***Managing and Monitoring the Alternatives***

Chapter 2 also describes how management of the pollock fisheries would change under each of the alternatives and how Chinook salmon bycatch would be monitored. Estimated costs and the impacts of these changes on enforcement of regulations governing the pollock fisheries are discussed in Chapter 10.

Each of the three alternatives to status quo include a cap on the amount of Chinook salmon bycatch that may be caught in the pollock fisheries. Under Alternatives 2 and 4, once this cap is reached, pollock fishing must stop. Under Alternative 3, reaching this cap closes certain areas important to pollock fishing. Each of the alternatives include options that would allocate Chinook salmon bycatch caps among the sectors, inshore cooperatives, and CDQ groups participating in the pollock fisheries. The use of transferable Chinook salmon bycatch allocations is a new aspect of managing the pollock fisheries that does not currently exist in these fisheries and represents the largest challenge for management and enforcement. Transferable bycatch allocations are used in other Bering Sea fisheries, such as the CDQ fisheries and the allocations to the non-AFA trawl catcher/processors under Amendment 80 to the BSAI FMP. These fisheries provide the model for NMFS's recommendations about the management and monitoring requirements that will be needed to implement the alternatives analyzed in this EIS/RIR/IRFA.

To ensure effective monitoring and enforcement of transferable Chinook salmon bycatch allocations, NMFS recommends that the following additional monitoring requirements be implemented for the inshore CV sector and the CDQ sector (if CVs that deliver to shorebased processors harvest pollock on behalf of CDQ groups in the future):

- Each CV, regardless of size, must have 100 percent observer coverage.
- Chinook salmon may be discarded at-sea only if first reported to, and recorded by, the vessel observer.
- Shorebased processor monitoring requirements may have to be adjusted to incorporate a higher standard for Chinook salmon bycatch accounting. This could include such changes as modifying observer sampling protocols, increasing the number of observers, or reducing the flow of pollock into the factory to ensure that Chinook salmon do not pass the observer's sampling area without being counted.
- Electronic (video) monitoring in lieu of observers on CVs would only be allowed after a successful, comprehensive assessment of the effectiveness of electronic monitoring to verify that Chinook salmon are not discarded before they were counted.

Existing observer coverage requirements and species composition sampling methods for catcher/processors and motherships participating in the AFA pollock fisheries, including the directed fisheries for pollock CDQ, represent NMFS's current method for estimating Chinook salmon and will be relied upon to account for and transfer allocations among industry sectors. However, the use of observer data to limit pollock fishing or to enforce overages of Chinook salmon bycatch allocations will place increased scrutiny on this bycatch estimation process and additional improvements or revisions may be needed in the future.

Alternative 4, the Council's PPA, is more complicated to manage and enforce than the other alternatives because PPA1 has two different Chinook salmon bycatch caps that could be operating at the same time, and it includes the requirement for an ICA agreement with incentives to reduce Chinook salmon bycatch below the cap levels. Under PPA1, NMFS would be required to identify which cap each of the

approximately 120 vessels participating in the pollock fishery is fishing under, prior to the start of each year's fishery, attribute the catch from that vessel to the appropriate sector level cap or transferable allocation account, and monitor compliance with Chinook salmon bycatch caps for up to 36 different groups of vessels fishing under different Chinook salmon bycatch allocations. In addition, NMFS would be required to review a proposed ICA submitted by the pollock industry and approve or disapprove this proposed ICA prior to the start of the pollock fisheries.

### **Consequences of the Alternatives**

The specific components as prescribed in Alternative 1, Alternative 4, the subset of combinations under Alternative 2, and triggered closures under Alternative 3, were analyzed quantitatively for impacts on Chinook salmon, pollock, chum salmon, and the related economic analyses. Chapter 3 describes the methodology for the quantitative analysis. For the remaining resource categories considered in this analysis, marine mammals, seabirds, other groundfish, essential fish habitat, ecosystem relationships, and environmental justice, impacts of the alternatives were evaluated largely qualitatively based on results and trends from the quantitative analysis.

The impact of alternative Chinook salmon bycatch management measures is evaluated by using the actual bycatch of Chinook salmon, by season and sector, for the years from 2003 to 2007 to estimate when alternative cap levels would have been reached and closed the pollock fishery during those years. In some cases, the alternatives and options would not have closed the pollock fisheries earlier than actually occurred during these years and in other cases the alternative and options would have closed the pollock fisheries earlier than actually occurred. This is due to the fact that the inter-annual variability is such that in some years, a sector will close for a season, while other sectors remain open (all sectors within both seasons would need to reach their cap for the fleet to reach the total bycatch cap). When an alternative would have closed the pollock fishery earlier in a given season, an estimate is made of (1) the amount of pollock TAC that would have been left unharvested and (2) the reduction in the amount of Chinook salmon bycatch as a result of the closure. The unharvested or forgone pollock catch and the salmon saved by the reduction in Chinook salmon bycatch is then used as the basis for assessing the impacts of the alternatives.

Results presented in Chapter 5 include both overall changes in Chinook salmon mortality due to alternative management measures, as well as resulting estimates of adult equivalent Chinook salmon that would return to natal rivers as adult fish (AEQ bycatch). Additional information is provided on the relative Chinook salmon and pollock catch inside and outside proposed closures in Alternative 3, however discussion of salmon saved (overall and AEQ) is limited to the cap levels as analyzed in Alternatives 2 and 4. Additional AEQ estimates as a result of continued fishing outside of the triggered closures of Alternative 3 are not evaluated due to the difficulty in modeling the potential effect of displaced effort and the resulting bycatch of specific stocks.

The RIR in Chapter 10 examines the costs and benefits of the alternatives based on the analysis in Chapters 4 and 5 that estimates the likely dates of pollock fisheries closures and thereby retrospectively projects likely forgone pollock harvest, as well as the number of Chinook salmon that may be saved under each of the alternatives due to projected fishery closures. In this way, estimates of direct costs, in terms of potentially forgone gross revenue due to unharvested pollock, may be compared to the estimated benefits, in terms of the numbers of Chinook salmon that would not be taken as bycatch. Potentially forgone pollock fishery gross revenue is estimated by tabulating the amount of pollock historically caught after a closure date and applying established sector and seasonal prices. However, it is not a simple matter to estimate changes in gross revenues due to the changes in Chinook salmon bycatch predicted under the alternatives. The analysis instead relies on AEQ estimates of Chinook salmon saved as the measure of economic benefits of the alternatives and options.

### **Chinook Salmon**

The Chinook salmon taken as bycatch in the pollock fishery originate from Alaska, the Pacific Northwest, Canada, and Asian countries along the Pacific Rim. Estimates vary, but more than half of the Chinook salmon caught as bycatch in the Bering Sea pollock fishery may be destined for western Alaska. Therefore, this document primarily focuses on Chinook salmon bound for western Alaska. Western Alaska includes the Bristol Bay, Kuskokwim, Yukon, and Norton Sound areas, and the Nushagak, Kuskokwim, Yukon, Unalakeet, Shaktoolik and Kwiniuk rivers make up the Chinook salmon index stocks for this region. A general overview of stock status is contained in Table ES-8. Chapter 5 provides an overview of Chinook salmon biology, distribution, and stock assessments by river system or region.

Table ES-8 Overview of western Alaska Chinook salmon stock status for 2008

Chinook Stock	Total run estimated?	2008 preliminary run estimate above or below projected/forecasted	Escapement estimates?	Escapement goals met?	Stock of concern?
Norton Sound	No	NA	Yes	Infrequent	Yield concern (since 2004)
Yukon	Yes	Below	Yes	Most	Yield concern (since 2000)
Kuskokwim	Yes	Below	Yes	Yes	No Yield concern discontinued 2007
Bristol Bay	Yes	Below	Yes	Some	No

As discussed in Chapters 9 and 10, Chinook salmon support subsistence, commercial, personal use, and sport fisheries in their regions of origin. Chinook salmon serve an integral cultural, spiritual, nutritional, and economic role in the lives of Alaska Natives and others who live in rural communities. Many people in western Alaska depend on Chinook salmon as a primary subsistence food. In addition, commercial fishing for Chinook salmon may provide the only source of income for many people who live in remote villages.

Chapters 9 and 10 provide information on the major Chinook salmon fisheries that occur in the Norton Sound region, Kuskokwim area, the Yukon River, and in the Nushagak and Togiak districts of the Bristol Bay region. The State of Alaska Department of Fish & Game is responsible for managing commercial, subsistence, sport, and personal use salmon fisheries. The first priority for management is to meet spawning escapement goals to sustain salmon resources for future generations. Highest priority use is for subsistence under both State and Federal law. Surplus fish beyond escapement needs and subsistence use are made available for other uses. The Alaska Board of Fisheries adopts regulations through a public process to conserve fisheries resources and to allocate fisheries resources to the various users. Yukon River salmon fisheries management includes obligations under an international treaty with Canada. Subsistence fisheries management includes coordination with U.S. Federal government agencies where federal rules apply under the Alaska National Interest Lands Conservation Act. Subsistence salmon fisheries are an important culturally and greatly contribute to local economies. Commercial fisheries are also an important contributor to many local communities as well as supporting the subsistence lifestyle.

### Chinook salmon savings

Chapter 5 analyzes the impacts of the alternatives on Chinook salmon. The first step was to predict the number of Chinook salmon saved under each alternative compared to Alternative 1, status quo. Note, these estimates are based on actual numbers of Chinook salmon taken as bycatch per year and do not represent the numbers of adult Chinook salmon expected to return to their rivers of origin (adult equivalents). The analysis of adult equivalents is the second step in the impact analysis. The third step was to analyze the adult equivalent Chinook salmon returns to rivers of origin.

Table ES-9 shows the predicted changes in the amount of Chinook salmon bycatch under each alternative in the highest (2007) and lowest (2003) bycatch years. For each year, the table indicates the projected fleetwide bycatch, by season and annually, for Alternative 4 (PPA1 and PPA2), and the highest and lowest bycatch combinations of sector and seasonal splits under Alternative 2. The table compares the projected bycatch totals for Alternatives 2 and 4 to the actual bycatch in that year under Alternative 1, and shows the percentage reduction under Alternative 2 and 4 from the actual bycatch. Note that this analysis does not capture changes in fleet behavior since 2007 or estimate changes in behavior expected to occur in response to a hard cap.

Table ES-9 Projected fleetwide Chinook salmon bycatch (in numbers of fish), by season and annually, under PPA 1, PPA2, and the lowest and highest bycatch sector and season combinations for Alternative 2, and percentage reduction from actual bycatch under Alternative 1, for highest (2007) and lowest (2003) bycatch years.

Bycatch year	Alternative	Bycatch cap level	Projected salmon bycatch			Reduction from actual bycatch in that year
			A season	B season	Annual Total	
2007	PPA1	68,392	46,130	20,193	66,323	46%
	PPA2	47,591	32,175	14,208	46,383	62%
Actual bycatch: 121,638	Lowest 2007 Alt. 2 bycatch	29,300	2,801	6,557	9,358	92%
	Highest 2007 Alt. 2 bycatch	87,500	40,415	36,828	77,243	37%
2003	PPA1	68,392	33,578	13,113	46,691	1%
	PPA2	47,591	31,520	13,113	44,633	5%
Actual bycatch: 46,993	Lowest 2003 Alt. 2 bycatch	29,300	11,550	11,084	22,634	52%
	Highest 2003 Alt 2. bycatch	87,500	33,808	13,185	46,993	0

In 2007, the highest bycatch year analyzed (and the year of highest historical bycatch of Chinook salmon), PPA1 would have resulted in a 46% reduction overall in Chinook bycatch, from the actual amount caught. PPA2, with a lower cap but the same sector and seasonal partitions, would have resulted in a 62% reduction from the actual amount. For comparison against other scenarios analyzed under Alternative 2, a high of 92% reduction in Chinook salmon bycatch would have been estimated under the most restrictive cap of 29,300 Chinook salmon (with seasonal split of 70/30 and an option 2d sector split - the midpoint of historical average options and the AFA pollock allocations), while the least restrictive cap of 87,500 (with seasonal split of 50/50 and option 2a sector split - the historical average from 2004-2006) would have resulted in a 37% reduction from actual bycatch in that year.

In low bycatch years, the majority of caps under consideration have minimal impact on actual bycatch levels, as estimated annually. In 2003, the lowest bycatch year analyzed, PPA1 and PPA2 both result in small reductions from the actual bycatch in that year (1%–5% reduction, respectively), while under the

highest cap under consideration (87,500), no change is predicted from Alternative 1, status quo. The lowest cap under consideration of 29,300 (split seasonally 50/50 with an option 1 sector split based on the AFA pollock allocation) provides a 52% reduction in Chinook salmon bycatch from Alternative 1.

#### **Adult Equivalent Chinook salmon savings**

The second step in the analysis uses a simulation model to compute adult equivalent impacts (AEQ bycatch) from the hypothetical bycatch numbers calculated in the first step. AEQ bycatch takes into account the fact that some of the Chinook salmon taken as bycatch in each year would not have returned to their river of origin in that year. Based on their age and maturity, they might have returned from one to four years later. Some proportion of the bycatch would not have returned in any year due to ocean mortality. AEQ bycatch estimates provide a means to evaluate the impacts to spawning stocks and future mature returning Chinook salmon.

The pattern of bycatch relative to AEQ is variable. In some years, the actual bycatch may be below the AEQ estimates, due to the lagged impact of catches in previous years. For example, in 2000, actual bycatch is below the predicted AEQ bycatch (Fig. ES-4). This is because from 1996 to 1998, the actual bycatch was high. The impacts from those high bycatch years show up in the AEQ bycatch in subsequent years.

A similar situation is predicted for the AEQ model results for 2008, because of high bycatch in previous years, especially in 2007. Although 2008 Chinook salmon bycatch was very low, compared to previous years, the impacts from 2007 bycatch will continue to be experienced in river systems for several years to come. This impact analysis does not predict impacts past 2007, however authors acknowledge that bycatch during the years 2003-2007 will continue to influence adult equivalent salmon returning to river systems for several years into the future.



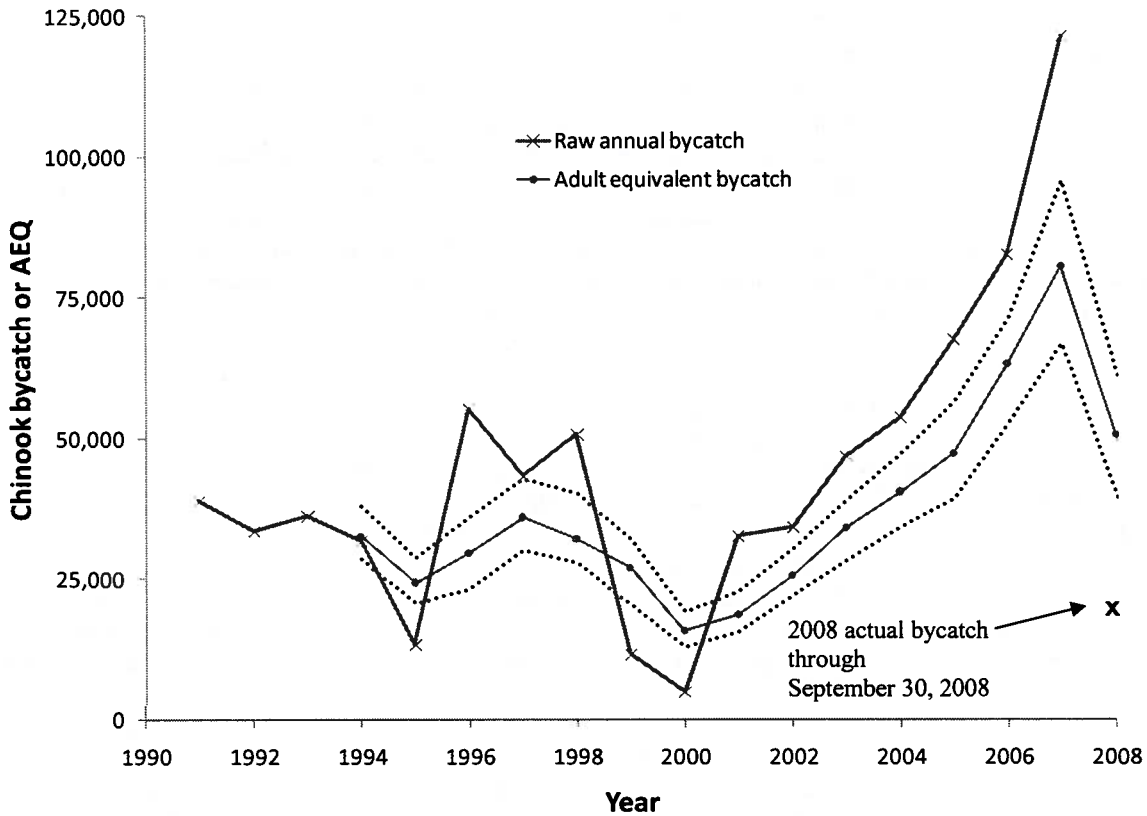


Fig. ES-4 Time series of Chinook actual and adult equivalent bycatch from the pollock fishery, 1991-2007 (2008 to date is also indicated). The dotted lines represent the uncertainty of the AEQ estimate, due to the combined variability of ocean mortality, maturation rate, and age composition of bycatch estimates.

For the PPA scenarios as well as each of the subsets (36 alternatives) analyzed under Alternative 2, if these measures had been in place (and assuming that fleet behavior in the past approximates future behavior), the results indicate that fewer Chinook salmon would have been removed from the system, except in years where bycatch level was already low, like in 2003. Table ES-10 compares the number of Chinook salmon that would have been saved in 2007, if PPA1, PPA 2, or the highest and lowest caps of comparable seasonal and sector combinations of Alternative 2 had been in place.

Table ES-10 Total projected reduction of Chinook salmon bycatch and adult equivalent salmon bycatch from the actual 2007 bycatch estimate of 121,638 Chinook salmon. Compares PPA1, PPA2, and the highest and lowest caps of comparable seasonal and sector combinations of Alternative 2.

	PPA1	PPA2	Alt2 cap 87,500 Opt2d 70/30	Alt2 cap 29,300 Opt2d 70/30
Number of Chinook salmon saved	55,307	75,306	46,766	112,647
Adult equivalent Chinook salmon saved	26,420	40,851	22,417	65,476

### **AEQ Chinook salmon returns to rivers of origin**

The third step in evaluating Chinook salmon bycatch impacts is to relate the total AEQ salmon saved to particular river systems and regions where the Chinook salmon would be returned to spawn. Applying available genetics and scale-pattern data showed that the clearest results were for western Alaska river systems. Since the genetics results are limited in the ability to distinguish among these stocks, this analysis uses the results from scale-pattern analyses to provide estimates to western Alaska rivers based on the proportional breakdowns of western Alaska Chinook salmon derived from Myers et al. (2003). These values are based on medians from the simulation model and are applied to mean proportional assignments to regions within each stratum - A-season (all areas) and B-seasons (broken out geographically by east and west of 170°W long.). See Chapter 3 for methodology and Chapter 5 for detailed impacts by river system.

For the highest cap level, results suggest that over 3,000 western Alaska AEQ Chinook salmon would have been saved had those measures been in place in 2006 and 2007. Under the lowest cap level, the number of AEQ Chinook salmon saved to western Alaska rivers would have been over 26,000 in 2006 and over 33,000 in 2007. Table ES-11 shows the increases in AEQ Chinook salmon saved by river systems from the estimated AEQ returns under Alternative 1. PPA1 and PPA2 are compared against results from Alternative 2, using the option 2d sector allocations for the highest and lowest cap levels (87,500 and 29,300). The 70/30 seasonal split is used for all scenarios. Table ES-11 indicates the distribution of AEQ salmon saved to selected river systems. This shows an example for one year and a subset of caps only, additional scenarios for different caps, seasonal and sector splits, as compared against the PPA, are included in the analysis.

PPA1 provides neither the highest nor lowest reduction in adult equivalents to individual river systems, based on the range of caps under consideration. Relative impacts to individual river systems are highly dependent upon where the fleet fished in a given year, as a river system's proportional contribution to bycatch varies spatially. Thus, comparative results for the same caps and rivers of origin will be highly variable by year.

In a high bycatch year such as 2007, some management options also result in higher AEQ salmon mortalities for some systems (e.g., for a number of options for the middle Yukon and Upper Yukon rivers). Given that Chinook from these rivers tend to be found most commonly in the northwest Bering Sea during the B season, and that the proportion attributed to that stratum increases from the estimated 8% to over 44% for some options, the relative stock composition of the AEQ bycatch as a whole can change. These complexities reveal the difficulty in predicting how any management action will affect specific stocks of salmon, particularly since their relative effects appear to vary in different years.

Table ES-11 2007 projected adult equivalent Chinook salmon saved, in number of salmon, by region of origin (based on genetic aggregations). Compares PPA1, PPA2, and the Alternative 2 highest and lowest caps with comparable seasonal and sector combinations. Higher numbers indicate a greater salmon “savings”, compared to Alternative 1, status quo.

Stocks of Origin <sup>1</sup>	PPA1	PPA2	Alt2 cap 87,500 Opt2d 70/30	Alt2 cap 29,300 Opt2d 70/30
Yukon	5,228	8,840	3,299	14,938
Kuskokwim	3,398	5,746	2,144	9,710
Bristol Bay	4,443	7,514	2,804	12,697
Pacific Northwest aggregate stocks (PNW)	8,489	11,135	9,581	15,507
Cook Inlet stocks	1,042	1,202	1,010	1,284
Transboundary aggregate stocks (TBR)	699	821	670	909
North Alaska Peninsula stocks (N.AK)	2,318	4,389	2,264	8,594
Aggregate ‘other’ stocks	803	1,203	646	1,837

### ***Benefits of Chinook salmon savings***

Chapter 10 analyzes the benefits of the estimated changes in Chinook salmon savings under the alternatives. The AEQ estimates represent the potential benefit in numbers of adult Chinook salmon that would have returned to individual river systems and aggregate river systems as applicable in the years 2003 to 2007. These benefits would accrue within natal river systems of stock origin as returning adult fish that may return to spawn or be caught in subsistence, commercial, or sport fisheries. Exactly how those fish would be used is the fundamental, and exceedingly difficult, question to answer in order to provide a balanced treatment of costs and benefits.

Measuring the potential economic benefit of Chinook salmon saved, in terms of effects on specific subsistence, commercial, sport, and personal use fisheries is difficult. The proportion of AEQ estimated Chinook salmon that might be taken in each of the various fisheries is a function of many variables including overall run strength, subsistence management strategies, commercial management strategies, availability of commercial markets, the effect of weather on catch (e.g. high water), and potentially, on management of other salmon runs as well. Lacking estimates of the proportion of AEQ Chinook salmon that would be caught by each user group, it is not possible to estimate economic benefits in terms of gross revenues or other monetary values for those user groups due to changes in AEQ Chinook salmon under each alternative.

Without an estimate of changes in commercial catches, it is not possible to accurately estimate changes in gross revenue for the commercial Chinook salmon fishermen from changes in AEQ Chinook salmon under the alternatives. Estimating changes in commercial Chinook salmon gross revenues would require two unrealistic assumptions. First, the analysts would have to assume the portion of the AEQ Chinook salmon that would be caught by the commercial fisheries, such as the simple assumption that the commercial fishery would catch all of the returning AEQ Chinook salmon. This assumption would not be realistic because the subsistence use of Chinook salmon has priority over commercial use. Thus, in some river systems, increases in Chinook salmon returns might be caught wholly by subsistence fishermen.

<sup>1</sup> For specific information on stocks included in each stock of origin grouping, see Table 3-7 in Chapter 3.

Second, to estimate changes in gross revenues, one must also make an assumption of average weight per fish and determine an appropriate average price per pound by river system. In some rivers systems, directed commercial Chinook salmon fisheries have not occurred in recent years. Thus, average weight and average price proxy values from other areas would have to be used, which creates additional uncertainty in the estimates of potential commercial value.

Further, the total social and cultural value of subsistence Chinook salmon harvests cannot be evaluated in a way that is directly comparable to the monetary value of potential increases in commercial Chinook salmon catch or forgone gross revenues from the pollock fleet. Estimates of changes to the gross revenues to the commercial Chinook salmon fishery may mask the true subsistence value; tempting the reader to focus on the monetary estimates of commercial value when the non-monetary value of subsistence harvests is very important and not reflected in terms of gross revenues.

For these reasons, this analysis of potential economic benefits is in terms of AEQ estimated Chinook salmon saved and does not provided estimates of a monetary value of the salmon saved. The first step is to evaluate, by year, the overall AEQ salmon saved for the Alternative 2 and 4 cap levels, and season and sector options, as compared to Alternative 1, status quo. Table ES-12 provides this summary comparison by indicating the percentage change in aggregate AEQ estimates of benefits under the alternatives analyzed compared to the estimated historical AEQ by year (2003-2007). This comparison shows that the AEQ benefits of the PPA scenarios range from a less than 1% change in AEQ Chinook salmon estimated for 2003, to a high of 52% more AEQ Chinook salmon estimated for PPA2 in 2007.

Four cap options for Alternative 2 with the same 70/30 seasonal splits and sector divisions (Option 2d) are compared against PPA1 and PPA2. The Alternative 2 cap level considered closest to PPA1 is 68,100 Chinook salmon. Alternative 2 at this cap level would have a similar minor benefit in 2003 but in higher bycatch years, like 2007, it would have an estimated 64% increase in benefit compared with a 34% increase for PPA1. For comparison, the highest cap of 87,500 shows a 28% increase in benefits. As with the PPA scenarios, one can see the range of values that fall in between as bycatch levels generally increased from 2003 through 2007. The highest percentage change from status quo occurs with the lowest cap considered (29,300) in the highest bycatch year (2007) which results in an estimated 83% increase in the AEQ Chinook salmon savings in that year.

Table ES-12 Percentage change in adult equivalent Chinook salmon savings from Alternative 1, status quo, between Alternative 4 (PPA) caps and closely comparable management options in Alternative 2, for the years 2003 to 2007.

	2003	2004	2005	2006	2007
<b>Alt. 1 AEQ Chinook salmon</b>	<b>33,215</b>	<b>41,047</b>	<b>47,268</b>	<b>61,737</b>	<b>78,814</b>
PPA1	<1%	7%	16%	22%	34%
PPA2	2%	11%	24%	40%	52%
87,500 70/30 opt2d	1%	7%	19%	21%	28%
68,100 70/30 opt2d	<1%	18%	29%	51%	64%
48,700 70/30 opt2d	12%	18%	29%	51%	64%
29,300 70/30 opt2d	42%	45%	51%	67%	83%

These results are for the total AEQ Chinook salmon saved by year to give an overall impression of the relative magnitude of effects for all river systems to compare against the constraints on the pollock fishery. Individual benefits of AEQ Chinook salmon returning to specific river systems is evaluated next, with a particular focus on river systems in western Alaska because proportional break-outs were only possible for western Alaskan-origin Chinook. Our ability to provide results relating salmon saved to

specific rivers of origin is limited by the aggregate genetic data employed in this analysis. Further discussion of this is included in Chapter 3.

Table ES-11 provides an overview of the stocks of origin and the relative reduction of AEQ Chinook salmon bycatch by region of origin for a snapshot of one year (2007) for PPA1 and PPA2 compared to two caps options under Alternative 2. Results for aggregate groupings for the Pacific Northwest stocks, the North Alaska Peninsula stocks, Cook Inlet stocks, and Transboundary stocks are shown in the analysis for comparison of their relative trends by alternative. Absolute impacts of aggregate AEQ savings as noted to these rivers systems is not estimable at this time due to the genetic limitations. However results are shown for inference of trends to various regions and areas.

Thus AEQ Chinook salmon savings results are shown individually for the Yukon River, Kuskokwim River and Bristol Bay with comparison made as possible with relative catch by commercial, subsistence, and sport users over the analytical time period considered. Personal use catch is a very small component of the subsistence catch. Just as with estimating the total changes in catches in the commercial Chinook salmon fisheries from AEQ salmon saved discussed above, it is not possible, with presently available information, to determine the proportions of river specific AEQ estimates of returning adult Chinook salmon that would be caught in commercial, subsistence, and sport fisheries in these western Alaska river systems.

While it is very difficult to retrospectively assess the specific impacts or management implications of additional AEQ Chinook salmon to a given river system, it is reasonable to assume that any additional fish would benefit escapement and harvest according to the priorities outlined above. However, management decisions in the lower Yukon and Kuskokwim Rivers must be made long before adequate information on escapements is available and if additional AEQs of unknown stock origin were spread throughout the run, how management actions might specifically provide for greater stock-specific escapements is uncertain. Regardless, any additional fish in the run would presumably help to achieve escapement goals, and there is demonstrable benefit even from missing the escapement goal by a smaller amount of fish. Similarly, it is difficult to predict the impacts of additional fish to particular subsistence fishermen or even to the subsistence harvest as a whole. If escapement goals are projected to be met, it is logical that subsistence fishermen would directly benefit from increased run sizes of any magnitude.

Table ES-13 summarizes some management indices for the Yukon River, Kuskokwim River, and Bristol Bay, in conjunction with the restrictions that were imposed over the time period considered, and discusses what, if any, management changes could have been made given the projected changes in AEQ Chinook salmon returns indicated in this analysis. No subsistence fishery restriction occurred in the Kuskokwim, Yukon, or Bristol Bay from 2003 to 2007; however some fishermen reported that it took them longer to catch their needed number of Chinook salmon. There are direct cost increases associated with the need for increased time, effort, and resources (fuel, equipment wear and tear) necessary to approach individual subsistence needs. Where increases in run size contribute to achieving escapement goals and satisfying subsistence needs, one would expect some benefit to the commercial fishery as well. In the Yukon-Kuskokwim Delta, commercial fishing represents an important economic impact to local communities and in many respects, facilitates the pursuit of subsistence living with needed cash for supplies and equipment. The predicted benefits of additional AEQs to commercial fishermen may depend greatly on when the fish recruit to the fishery in relation to managers' assessments of escapement and subsistence harvest.

Table ES-13 Summary of Chinook salmon escapement goals obtained, restrictions imposed, and potential management changes with additional AEQ Chinook salmon returns to rivers over the time period from 2003 to 2007.

River	Escapement goals met from 2003-2007	Additional restrictions imposed from 2003-2007			Likely management changes if additional AEQ Chinook salmon had been available 2003-2007
		Subsistence	Commercial	Sport	
Yukon	2006-2007 some key goals not met	No	No	No	2006-2007 additional fish would accrue towards escapement; in all years increased potential for higher subsistence and commercial harvest
Kuskokwim	Most	No	No	No	Potential for increased commercial harvests within market constraints
Bristol Bay	2007 goals not met	No	No	2007	If additional Chinook salmon were sufficient to meet escapement then 2007 sport fish restriction would not have been imposed; In all years additional fish towards escapement, increased potential for higher subsistence and commercial harvest

**Kuskokwim River**

In the Kuskokwim River, most escapement goals were met during the period from 2003 to 2007 and there were no restrictions to subsistence or sport fisheries beyond those provided for in state regulation. If additional fish had returned in these years, the commercial harvest may have been higher in some years, though poor chum salmon markets and lack of buyer capacity may have precluded more commercial fishing. Processor capacity is expected to increase with completion of a large facility in the area in 2009, so future additional AEQ Chinook salmon returns could directly benefit commercial fishermen.

Table ES-14 provides Kuskokwim area specific catch, by harvesting sector and by year, compared to AEQ Chinook salmon estimates for PPA1, PPA2, and for high and low caps under Alternative 2. The Kuskokwim AEQ estimates for the PPA scenarios range indicates that the greatest benefit, in terms of numbers of returning adult Chinook salmon, would occur for the lower bycatch cap in years with the highest Chinook salmon bycatch. This also holds for the cap examples shown for Alternative 2. The greatest benefit, in the Kuskokwim areas, under Alternative 2 would be 9,710 more Chinook salmon returning, which occurs under the lowest cap of 29,300 and in the high bycatch years of 2006 and 2007.

Comparing these numbers to subsistence catches, which have priority over all other uses once escapements have been met, reveals that historic Kuskokwim area subsistence catches are much larger than the estimated increases in AEQ Chinook salmon returns under Alternatives 2 and 4. However, commercial and sport catches are smaller than many of the AEQ estimates, indicating potential benefits to commercial and sport fishermen in the area.

Table ES-14 Kuskokwim Area Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Savings Estimates for Alternatives 2 and 4 (2003-2007).

<b>Kuskokwim Area</b>					
Catch and AEQ Estimates	Year				
	2003	2004	2005	2006	2007
<b>Commercial Catch</b>	158	2,300	4,784	2777	179
<b>Subsistence Catch</b>	67,788	80,065	70,393	63,177	72,097*
<b>Sport Catch</b>	401	857	1,092	572	2,543*
<b>Total Catch</b>	68,347	83,222	76,269	66,526	74,819
<b>PPA1</b>	-214	384	1,269	2217	3,398
<b>PPA2</b>	-40	301	1,264	3,849	5,746
<b>Alt. 2, 87,500, opt2d, 70/30</b>	365	824	1,369	2,144	2,144
<b>Alt. 2, 29,300, opt2d, 70/30</b>	2,399	3,243	6,361	9,710	9,710

\* 2007 data are preliminary

Note: in years when the actual bycatch was below a given cap level, this could have resulted in negative AEQ salmon savings (i.e., more, not fewer, salmon were prevented from spawning than actually occurred). This can happen when the combined cumulative effect from prior years bycatch levels are low in some seasons and sectors and high in others.

### Yukon River

In the Yukon River, for the period from 2003 to 2005, most escapement goals were met and there were no restrictions to subsistence or sport fisheries. Due to generally low run sizes, commercial fisheries were managed conservatively. Any additional fish would have likely increased escapements and contributed to subsistence and commercial harvests. Sport fish harvest is fairly stable and the harvest may be impacted more by water conditions than abundance, unless restricted to meet escapement goals. In 2006 and 2007, some key escapement goals were not met, but there were no restrictions to subsistence or sport fisheries. Additional fish in these years would most likely have accrued to escapement and some additional subsistence harvest. Yukon River Chinook salmon command a high price in commercial markets, but their value to escapement and subsistence fishermen is inestimable.

Table ES-15 provides Alaska Yukon River specific catch, by harvesting sector and by year, compared to AEQ Chinook salmon estimates for PPA1, PPA2, and the Alternative 2 high and low caps. The Yukon AEQ estimates for the PPA scenarios indicates that the greatest benefit, in terms of numbers of returning adult Chinook salmon, would occur under the lower bycatch cap in years with the highest Chinook salmon bycatch. This also holds for the cap examples shown for Alternative 2. The greatest benefit, in the Yukon area, under Alternative 2 would be a savings of 14,938 Chinook salmon, which occurs under the lowest cap of 29,300 and in the high bycatch year of 2007.

Comparing Yukon AEQ numbers to subsistence catches, which have priority over all other uses once escapements have been met, reveals that historic Yukon area subsistence catches are much larger than the projected estimates of AEQ Chinook salmon returns under Alternatives 2 and 4. The same is true of historic Yukon commercial catches. However, both PPA scenarios would result in AEQ Chinook salmon estimates that are more than 10% of the commercial catch in 2007, and considerably larger than sport catch in that year. In 2006, a similar result is seen, although with a slightly smaller percentage. Thus, it is difficult to interpret the magnitude of the benefits from the projected changes to AEQ Chinook salmon.

Table ES-15 Alaska Yukon River Area Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Savings Estimates for Alternatives 2 and 4 (2003-2007)

Yukon River (Alaska)					
Catch and AEQ Estimates	Year				
	2003	2004	2005	2006	2007
Commercial Catch	40,438	56,151	32,029	45,829	33,634
Subsistence Catch	55,109	53,675	52,561	47,710	59,242
Sport Catch	2,719	1,513	483	739	960
<b>Total Catch</b>	<b>98,266</b>	<b>111,339</b>	<b>85,073</b>	<b>94,278</b>	<b>92,876</b>
PPA1	-329	591	1,952	3,409	5,228
PPA2	-61	463	1,944	5,921	8,840
Alt. 2, 87,500, opt2d, 70/30	561	-2	1,267	2,107	3,299
Alt. 2, 29,300, opt2d, 70/30	3,690	3,469	4,989	9,786	14,938

Note: in years when the actual bycatch was below a given cap level, this could have resulted in negative AEQ salmon savings (i.e., more, not fewer, salmon were prevented from spawning than actually occurred). This can happen when the combined cumulative effect from prior years bycatch levels are low in some seasons and sectors and high in others.

### Bristol Bay

During the period from 2003 to 2006, escapement goals were achieved and no restrictions were placed on any subsistence, sport, or commercial fisheries in Bristol Bay. Though additional AEQ Chinook salmon returns would not have changed any management decisions made in those years, additional fish would have benefited all uses while providing additional escapement. In 2007, the sport fish bag limit was reduced to a single fish after July 7 for the Nushagak River. The in-river escapement goal was not achieved despite this restriction. Increased AEQ Chinook salmon returns to Bristol Bay would have mainly accrued towards achieving the in-river escapement goal, and probably would have made the Nushagak sport fish restriction unnecessary. These restrictions have immediate and lasting economic impacts due to continued perception of poor fishing and possible future restrictions. Additional fish might have provided benefits to commercial fishermen, though specific impacts are highly dependent upon the run timing of these fish.

Table ES-16 provides Bristol Bay area catch, by harvesting sector and by year, compared to AEQ Chinook salmon savings estimates for PPA1, PPA2, and Alternative 2 high and low caps. The Bristol Bay AEQ estimates for the PPA scenarios indicates that the greatest benefit, in terms of numbers of returning adult Chinook salmon, would occur under the lower bycatch cap in years with the highest Chinook salmon bycatch. This also holds for the cap levels shown for Alternative 2. The greatest benefit, in the Bristol Bay area, under Alternative 2 would be an estimate increase return of 12,697 Chinook salmon, which occurs under the lowest cap of 29,300 and in the high bycatch year of 2007.

In the Bristol Bay area, in contrast to the Yukon and Kuskokwim areas, commercial fishing takes the largest proportion of harvestable surplus of Chinook salmon, possibly due to the presence of a large sockeye fishery. Comparing Bristol Bay AEQ numbers to catches reveals that historic Bristol Bay area subsistence and sport catches are larger than the Bristol Bay AEQ estimates under Alternatives 2 and 4, but not by as great a margin as evident in the Kuskokwim and Yukon areas. In addition, historic Bristol Bay area commercial catches are considerably larger than the estimates of AEQ Chinook salmon returns to Bristol Bay. As was the case for the Yukon; however, both PPA scenarios would result in AEQ Chinook salmon estimates that approach (PPA1) or exceed (PPA2) 10% of the commercial catch in 2007, and that are considerably larger than sport catch in that year. Thus, it is difficult to interpret just how



much benefit the estimated changes in AEQ Chinook salmon returns to Bristol Bay would imply and it is variable by year and option.

Table ES-16 Bristol Bay Area Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Savings Estimates for Alternatives 2 and 4 (2003-2007).

<b>Bristol Bay Area</b>					
Catch and AEQ Estimates	Year				
	2003	2004	2005	2006	2007
<b>Commercial Catch</b>	46,953	114,280	76,590	106,962	62,670
<b>Subsistence Catch</b>	21,231	18,012	15,212	12,617	16,002
<b>Sport Catch</b>	9,941	13,195	13,036	10,749	15,200
<b>Total Catch</b>	78,125	145,487	104,838	119,579	78,672
<b>PPA1</b>	-280	503	1,659	2,898	4,443
<b>PPA2</b>	-52	394	1,653	5,033	7,514
<b>Alt. 2, 87,500, opt2d, 70/30</b>	477	-1	1,077	1,791	2,804
<b>Alt. 2, 29,300, opt2d, 70/30</b>	3,137	2,948	4,241	8,318	12,697

Note: in years when the actual bycatch was below a given cap level, this could have resulted in negative AEQ salmon savings (i.e., more, not fewer, salmon were prevented from spawning than actually occurred). This can happen when the combined cumulative effect from prior years bycatch levels are low in some seasons and sectors and high in others.

#### Western Alaska combined

Table ES-17 combines the AEQ and catch estimates discussed above for each of the three major western Alaska river systems for which AEQ estimates are available in order to compare the aggregate effect of the alternatives on western Alaska Chinook salmon runs. Note, however, that genetic data necessary to provide separate AEQ estimates for the Norton Sound area rivers are not presently available. Thus, these estimates do not include Norton Sound.

The western Alaska total (excluding Norton Sound) AEQ estimates for the PPA scenarios range from a negative 823 Chinook salmon under PPA1, in 2003, to 22,100 Chinook salmon under PPA2 in 2007. Under the Alternative 2 cap of 87,500, the smallest increase in returns would have been 821 Chinook salmon in 2004. The greatest benefit to western Alaska, under Alternative 2, would be an estimated increase in returns of 37,345 Chinook salmon under the lowest cap of 29,300 and in the high bycatch year of 2007.

Comparing the combined total of Chinook salmon catches for western Alaska with combined total AEQ estimates reveals that total catches, which are dominated by subsistence catches, are more than ten times larger than the largest estimate of AEQ Chinook salmon returns under Alternatives 2 and 4, in all years except 2007. However, these AEQ estimates, when compared to sector level commercial harvests, can range between 10% and 40% of the total commercial catch in the highest bycatch year of 2007. Similarly, the AEQ estimates are, in some cases, comparable to sport catches. Thus, while these AEQ estimates appear small relative to the total catch, they may, nonetheless, represent measurable benefit to harvesters. The extent of that benefit is, of course dependent on which option is chosen and what level of bycatch occurred, as well as on the in-season management of the western Alaska salmon fisheries. Further, the aggregate AEQ estimates of all river systems combined produce numbers of AEQ Chinook salmon returns that are much larger than the western Alaska estimates, which represent a subset of the aggregate estimates presented in Table ES-10.

Table ES-17 Total western Alaska (excluding Norton Sound) Annual Chinook Salmon Catch, by Sector, Compared to AEQ Chinook Salmon Estimates for Alternatives 2 and 4 (2003-2007).

Total Kuskokwim, Alaska Yukon, and Bristol Bay					
Catch and AEQ Estimates	Year				
	2003	2004	2005	2006	2007
Commercial Catch	87,549	172,731	113,403	155,568	96,483
Subsistence Catch	144,128	151,752	138,166	123,504	147,341
Sport Catch	13,061	15,565	14,6	12,060	18,703
Total Catch	244,738	340,048	266,180	280,383	262,527
PPA1	-823	1,478	4,880	8,524	13,069
PPA2	-153	1,158	4,861	14,803	22,100
A2, 87,500, opt2d, 70/30	1,403	821	3,713	6,042	8,247
A2, 29,300, opt2d, 70/30	9,226	9,660	15,591	27,814	37,345

Note: in years when the actual bycatch was below a given cap level, this could have resulted in negative AEQ salmon savings (i.e., more, not fewer, salmon were prevented from spawning than actually occurred). This can happen when the combined cumulative effect from prior years bycatch levels are low in some seasons and sectors and high in others.

However, according to the Alaska Department of Fish & Game, in general, the western Alaska Chinook salmon stocks declined sharply in 2007 and declined even further in 2008. In some of these areas, the 2008 Chinook salmon run was one of the poorest on record. The 2008 preliminary total run estimates from each of these river systems were below the projected or forecasted run sizes and despite conservative management, many of the escapement goals were not met. No directed Chinook salmon commercial fisheries occurred in the Yukon River or in Norton Sound, and only small commercial fisheries occurred in the Nushagak and Kuskokwim Rivers. Sport fisheries were restricted in the Yukon, Unalakleet, and Shaktoolik Rivers. More significantly, the subsistence fisheries in the Yukon River and in the Unalakleet and Shaktoolik subdistricts of Norton Sound were restricted.

**Comparison of Chinook salmon saved and foregone pollock harvest**

Selection of a final preferred alternative will involve explicit consideration of trade-offs between the potential Chinook salmon saved and the forgone pollock catch. Table ES-18 compares Alternative 2 cap levels (with the sector split options from Table ES-5 and season split options from Table ES-4) with PPA1 and PPA2 for both their estimated Chinook salmon saved and the forgone pollock over the highest bycatch year analyzed (2007) and the lowest bycatch year analyzed (2003). Note that this analysis considers changes in actual Chinook salmon bycatch, not changes in AEQ bycatch.

In a high bycatch year like 2007, an estimated 92% percent reduction in Chinook salmon bycatch would have occurred under the cap level of 29,300. However this would be achieved at a reduction of 46% of the annual total pollock catch. The highest cap under consideration (87,500) would have reduced overall salmon bycatch by an estimated 37%, but with only a 22% reduction in pollock catch. The PPA falls between these high and low levels, as indicated. PPA1 would indicate a higher percentage of salmon bycatch saved than the 87,500 cap for a similar reduction in pollock catch. However, in a lower bycatch year (such as 2003), the PPA results in limited reduction in salmon bycatch and limited reduced pollock catch. In low bycatch years, only the lowest cap considered (29,300) was estimated to achieve substantial bycatch reduction.

Table ES-18 Estimated percentage of Chinook salmon saved from actual bycatch compared with the percentage of forgone pollock catch from actual catch for 2003 and 2007.

Year	Bycatch Cap level (results for specific sector and seasonal allocations)	Reduction from actual bycatch in that year	Forgone pollock catch in that year
<b>2007 (highest)</b>	68,392 (PPA1)	46%	23%
	47,591 (PPA2)	62%	32%
	Alt. 2. 87,500 cap, Opt 2a, 50/50	37%	22%
	Alt. 2 29,300 cap, Opt 2d, 70/30	92%	46%
<b>Actual bycatch= 121,638</b>			
<b>2003 (lowest)</b>	68,392 (PPA1)	1%	0%
	47,591 (PPA2)	5%	4%
	Alt. 2 87,500 cap, all sector and season options	0%	0%
	Alt. 2 29,300 cap, Opt 1, 50/50	52%	22%
<b>Actual bycatch= 46,993</b>			

The analysis in Chapter 4 and 5 show that impacts of Alternatives 2 and 4, and the combination of sector and seasonal allocations under Alternative 2, on total bycatch numbers and forgone pollock would vary by year. The selection of a final preferred alternative, with specific seasonal and sector caps, will consider the tradeoffs between salmon saved and pollock forgone, understanding that the same option can have very different results in terms of forgone pollock and Chinook salmon saved in a given year compared to other years. This is due to the annual variability in the rate of Chinook salmon caught per ton of pollock and annual changes in Chinook salmon abundance and distribution in the Bering Sea.

Fig. ES-5 illustrates the relative impacts on Chinook salmon bycatch and pollock harvests had PPA1, PPA2, and the various options and suboptions of Alternative 2 been in effect from 2003 to 2007 and shows annual variability in Chinook salmon bycatch and forgone pollock for each cap level. The bottom left-hand corner represents what would be an ideal situation with zero bycatch and zero pollock “forgone” (that is, no amount of the pollock TAC left unharvested) by the commercial fishery. The higher a number or shape is on the vertical axis, the more pollock that the option would require fishermen to forgo because of the restriction on bycatch imposed by that option; the farther to the right a number’s or shape’s position, the greater the amount of Chinook salmon bycatch. Therefore, the optimal options are represented by those shapes nearest the bottom (less pollock forgone) and farthest to the left (less bycatch).

Each number represents the year in which a particular cap level (one of the four Alternative 2 hard cap scenarios in Table ES-3, with the option 2d sector split and the 70/30 season split, and assuming no transfers or rollovers), would have resulted in that level of forgone pollock and Chinook salmon bycatch.

In general, hard cap levels evaluated under Alternative 2 showed a large degree of variability in trade-offs between Chinook salmon bycatch and forgone pollock, with lower cap levels resulting in higher forgone pollock. For Alternative 2, due to other (e.g., sector allocation) constraints, the total annual bycatch caps are never reached.

The analysis shows that, overall, PPA1 (circles) resulted in lower levels of forgone pollock but higher levels of bycatch than PPA2 (triangles). For PPA1, the 68,392 cap would have only been taken in years of high bycatch, 2006 and 2007, and would have resulted in some forgone pollock in those years, although less than under PPA2 and Alternative 2 low cap combinations. In 2003 and 2004, the PPA1 cap would not have been reached, and no pollock would have been forgone. In 2005, the inshore CV sector would have reached its allocation and would have had forgone pollock. For PPA 2, the 47,591 cap resulted in bycatch levels at the hard cap in all years but had variable impact on industry's ability to catch the full pollock TAC. In years of low bycatch, PPA2 would have resulted in little or no forgone pollock. For PPA1 and PPA2, the retrospective examination shows that allowing for transferability among sectors and rollovers between seasons retains the feature of staying below the salmon bycatch cap while reducing the forgone pollock catch levels.

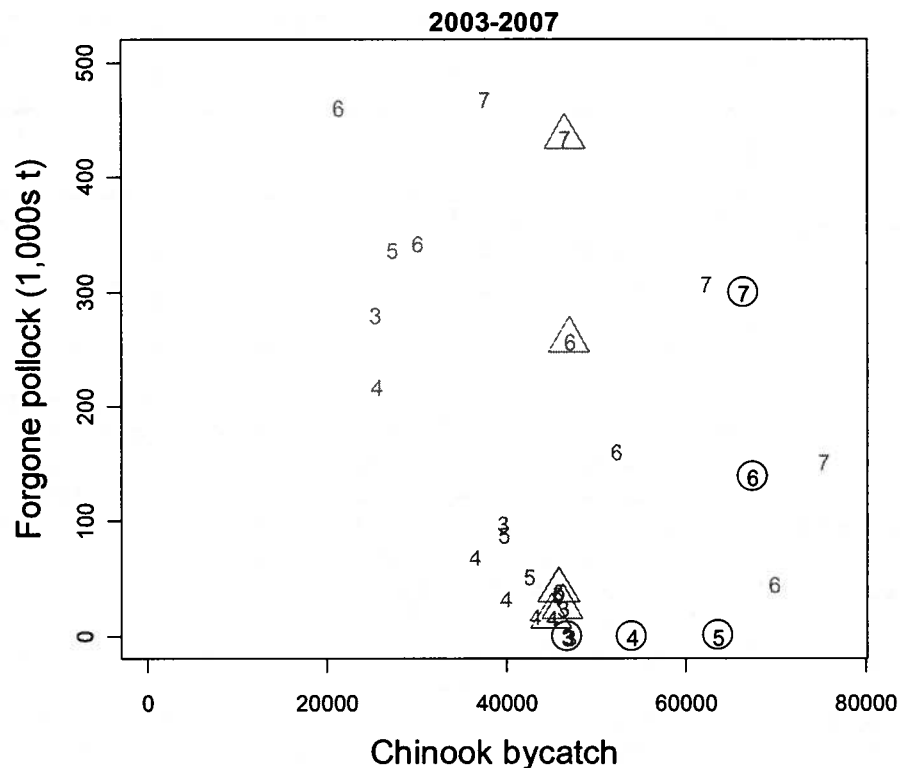


Fig. ES-5 Comparisons of hypothetical Chinook bycatch (numbers, horizontal axis) and forgone pollock (thousands of t, vertical axis) for PPA 1 (circles) and PPA 2 (triangles) assuming 80% rollover and transferability. Numbers represent the year (i.e., 6=2006, 7=2007 etc) and those not enclosed by symbols are from the four Alternative 2 hard cap options with 70/30 A-B season split and sector splits following Option 2d (CDQ=6.5 %, inshore CV=57.5 %, Motherships=7.5 %, and at-sea processors= 28.5 %).

**Costs of forgone harvest in the pollock fishery**

Chapter 10 provides an analysis of the costs of the alternatives to the pollock industry in terms of forgone pollock gross revenue. This analysis assumes that past fleet behavior appropriately approximates operational behavior under the alternatives and does not estimate changes in behavior. While it is expected that the fleet would change its behavior to mitigate potential losses in pollock gross revenue, explicitly predicting changes in fleet behavior in a reasonable way would require data and analyses that are presently unavailable.

Impacts by hard cap alternative (Alternatives 2 and 4) are summarized by the different components and options that define them (Table ES-19). The components and options projected to cause the greatest changes to the pollock fishery gross revenues are the overall cap level, the sector specific cap allocation, and the seasonal split. Rollovers and transfers are analyzed in conjunction with the PPA scenarios only but comparative information is provided for evaluating rollover impacts under Alternative 2.

Table ES-19 Summary of main options under Alternatives 2 and 4 and their relative scale of impact on pollock fishery gross revenues

Option	Relative economic impact on pollock industry
Cap level: 29,300-87,500	<ul style="list-style-type: none"> <li>• Lowest cap leads to highest constraint on pollock fishery in all years.</li> <li>• In high bycatch years (e.g. 2007), even the highest cap (87,500) is constraining for the pollock fishery.</li> </ul>
Sector allocation	<ul style="list-style-type: none"> <li>• See Table ES-20 and Table ES-21</li> </ul>
Seasonal allocation	<ul style="list-style-type: none"> <li>• Higher forgone pollock revenue when seasonal allocations are lower in the A season (E.g. 50/50 and 58/42).</li> <li>• 70/30 seasonal split least constraining due to higher roe value in A season.</li> </ul>
Rollover	<ul style="list-style-type: none"> <li>• 80% rollover in PPA scenarios mitigates forgone revenue impacts in B season.</li> </ul>
Transferability	<ul style="list-style-type: none"> <li>• Full transferability mitigates forgone revenue impacts in the A season</li> </ul>

Summarizing the relative impacts of sector allocations (comparing Alternative 2 with Alternative 4) is difficult due to the complexity of the sector allocation options in Alternative 2. In order to summarize some of the differences in the Alternative 2 sector splits options and the sector split in Alternative 4, a comparison is made with the Alternative 2 option 2d (midpoint between the AFA pollock allocations and the historical averages). Table ES-20 shows the different the sector split between the two alternatives.

Table ES-20 Comparison of sector allocations under Alternative 2, option 2d and Alternative 4 (PPA)

Alternative	CDQ	Inshore CV	Mothership	Offshore CP
Alternative 2: option 2d (midpoint)	6.5%	57.5%	7.5%	28.5%
Alternative 4 PPA:				
A season	9.3%	49.8%	8.0%	32.9%
B season	5.5%	69.3%	7.3%	17.9%

The Alternative 2 cap levels of 68,100 Chinook salmon and 48,700 Chinook salmon, with the 70/30 seasonal split and option 2d sector split, are compared with Alternative 4 PPA1 and PPA2. Full A season

transferability is assumed for Alternative 4. While transferability is an option under Alternative 2, for this comparison, it was assumed that transferability was not allowed. Impacts on forgone gross revenue (millions \$) by sector are shown for 2007 (Table ES-21, Table ES-22).

Table ES-21 2007 estimated forgone gross revenue by sector for Alternative 2, option 2d (70/30 season split, cap 68,100), compared with PPA1 (cap 68,392) (in millions of \$).

Sector		CDQ	Inshore CV	Mothership	Offshore CP	Total
Alternative 2: option 2d						
	A season	\$0	\$124.7	\$20.7	\$108.1	\$253.5
	B season	\$2.2	\$37.5	\$1.5	\$3.6	\$44.7
Total Alternative 2		\$2.2	\$162.2	\$22.2	\$111.7	\$298.2
Alternative 4: PPA1						
	A season	\$0	\$114.0	\$12.0	\$105.0	\$231.0
	B season	\$3.0	\$33.0	\$2.0	\$18.0	\$57.0
Total Alternative 4		\$3.0	\$147.0	\$14.0	\$123.0	\$288.0

Total forgone gross revenue is less under PPA1; however forgone gross revenue for the pollock fleet varies by sector between the two alternatives in terms of overall gains and losses. The CDQ sector has a higher forgone gross revenue under PPA1, due to the lower B season sector allocation. The inshore CV sector has a lower annual forgone gross revenue under PPA1 and lower seasonal forgone revenue in both A and B seasons as compared with Alternative 2, option 2d. The Mothership sector also has a lower annual forgone gross revenue under PPA1, driven substantially lower A season forgone gross revenue. The CP sector has a higher forgone gross revenue under PPA1, driven primarily by the lower B season allocation.

Table ES-22 2007 estimated forgone revenue for Alternative 2, option 2d (70/30 season split, cap 48,700) compared with PPA2 (cap 47,591) (in millions of \$).

Sector		CDQ	Inshore CV	Mothership	Offshore CP	Total
Alternative 2: option 2d						
	A season	\$22.2	\$185.6	\$34.5	\$142.4	\$384.7
	B season	\$3.9	\$50.2	\$3.1	\$11.3	\$68.4
Total Alternative 2		\$26.1	\$235.8	\$37.6	\$153.7	\$453.1
Alternative 4: PPA2						
	A season	\$12.0	\$160.0	\$29.0	\$141.0	\$341.0
	B season	\$4.0	\$42.0	\$3.0	\$26.0	\$76.2
Total Alternative 4		\$16.0	\$202.0	\$32.0	\$167.0	\$417.2

Total forgone gross revenue is less under PPA2 than Alternative 2 option 2d; however forgone gross revenue for the pollock fleet varies by sector between the two alternatives in terms of overall gains and losses. The CDQ sector has a lower forgone gross revenue under PPA2, due to the higher relative A season sector allocation. The inshore CV sector has a lower annual forgone gross revenue under PPA2 and lower seasonal forgone gross revenue in both A and B seasons as compared with Alternative 2, option 2d. The Mothership sector also has a lower annual forgone gross revenue under PPA2, driven by the lower A season forgone gross revenue under the PPA2. The CP sector has a higher forgone gross revenue under PPA2, driven primarily by the lower B season allocation under the PPA.

### ***Effects of Alternative 3 on Chinook salmon savings and pollock fishery gross revenues***

Alternative 3 closes a large scale area rather than the whole fishery when specified cap levels are reached. The relative impacts of the cap levels themselves on salmon saved and AEQ by river of origin are equivalent to those described in Alternatives 2 and 4. However, for Alternative 3, there is some potential for the levels of estimated bycatch to be higher than the cap given that once the cap is reached and the area closure is triggered, fishing may continue outside of the closure.

By design, the Alternative 3 trigger areas represent regions where on average (2000-2007) 90% or more of the bycatch by season was taken. In the A season, since 1991, the areas have comprised 72-100% of the bycatch. In the B season since 1991, with the exception of 2000 when there was an injunction on the pollock fishery, the areas have comprised between 68-98% of the Chinook salmon bycatch. In the most recent years evaluated (2006-2007), both A and B season areas have represented between 97-99% of the total Chinook salmon bycatch by season. Thus, while the fleet can continue to fish outside of the closed area and potentially continue to catch Chinook salmon as bycatch, based upon recent averages, it is not anticipated that there will be appreciable bycatch outside of the area following a closure.

To determine the effects of the triggered closure areas on Chinook salmon bycatch, the analysis in Chapter 5 estimates changes to pollock catch and Chinook salmon bycatch within and outside the trigger-closure area in each of the years 2003-2007. That methodology has estimated the numbers of Chinook salmon that are potentially saved by moving effort outside of the closure. These estimates are based on changed catch rates of Chinook salmon inside and outside the area closures. The AEQ analysis presented previously in the discussion of Alternatives 2 and 4 has not been specifically re-created for the trigger-closure analysis at this time, thus it is not possible to relate these savings in Chinook salmon to total AEQ estimates or to specific western Alaska River systems.

#### **Salmon Savings under Alternative 3**

The maximum Chinook salmon bycatch reduction under Alternative 3, of 40,311 fish, would come from the lowest cap in the highest bycatch year (2007) and occurs for all but the 70/30 split, which had 36,899 Chinook saved. Thus, the 70/30 split reduces estimated Chinook savings overall in all years under the 29,300 trigger. In the low bycatch year of 2004, the maximum Chinook savings under the trigger-closure with the 29,300 cap is 5,224 fish and is greatest under the 50/50 split option. In general, in the more moderate bycatch years the 50/50 split results in the greatest Chinook savings under both the 29,300 and 48,700 triggers. Note, however, that the 48,700 trigger level is not estimated to save any Chinook salmon in 2004. Further, the higher triggers are only expected to save salmon in the highest bycatch years of 2006 and 2007. Under the high trigger of 87,500, the maximum Chinook salmon saved would have come from the 50/50 split and would have been 12,098 and 15,088 in 2006 and 2007, respectively.

B season Chinook savings show a different pattern than in the A season. As expected, the maximum number of Chinook saved, 36,290 comes from the lowest trigger of 29,300 fish in the highest overall bycatch year (2007), and from the 70/30 split. However, even the 87,500 trigger with the 70/30 split is expected to save Chinook salmon with savings of 2,680, 11,300 and 20,322 expected for 2004, 2005, and 2007 respectively. There are some instances when the trigger closure is shown to produce a negative savings of Chinook salmon. That finding implies that in some years, the catch rate of Chinook outside the B season triggered closure area is actually higher than inside of it. In the 2005 season this would have been the case under a 48,700 trigger with either the 58/42 or 55/45 season splits and with a 70/30 season split under the 68,100 trigger.

### **Revenue at Risk under Alternative 3**

While the hard caps of Alternative 2 have the potential effect of fishery closure and resulting forgone pollock fishery gross revenues, the triggered closures do not directly create forgone earnings, but rather, they place revenue at risk of being forgone. When the closure is triggered, vessels must be relocated outside the closure areas and operators must attempt to catch their remaining allocation of pollock TAC outside the closure area. Thus, the revenue associated with any remaining allocation is placed at risk of not being earned, if the fishing outside the closure area is not sufficiently productive to offset any operational costs associated with relative harvesting inefficiencies outside the closure area.

The data show that in the highest bycatch years and under the most restrictive trigger levels, gross revenue at risk for the pollock industry would be about \$485 million in the A season for all vessels combined. That represents 77% of the 2007 estimated total A season first wholesale gross revenue of the pollock fleet. As the trigger amount is increased, the impacts decrease; however, the least restrictive A season trigger (70/30 season split) of 87,500 Chinook salmon cap still results in \$125.2 million in gross revenue at risk, or about 21% of the overall first wholesale gross revenue of all pollock vessels combined. In lower bycatch years (e.g., 2003, 2004, and 2005), the larger triggers of 87,500 Chinook salmon cap and 68,100 Chinook salmon cap do not cause triggers to be hit, and thus, there is no gross revenue placed at risk. However, in the low bycatch year of 2004, the lowest trigger of a 29,300 Chinook salmon cap would place \$33.2 million (70/30 season split) to \$97.4 million (50/50s season split) of gross receipts at risk. These values are 11% and 31% of total pollock gross revenue, respectively.

The gross revenue placed at risk in the B season is greatest under the 70/30 season split and is as much as \$117.38 million in the worst case (2006, 29,300, 70/30), or 17% of total B season pollock gross revenue. At the 29,300 trigger, and 70/30 season split, the B season revenue at risk remains above 15% in all years except 2003. Even under the 87,500 trigger with a 70/30 season split, more than \$50 million, or 8% of total first wholesale gross revenue, would have been placed at risk in 2007. Ignoring the 2007 year, however, only the 29,300 trigger generates gross revenue at risk in excess of 10% of total first wholesale gross value in the pollock fishery.

### ***Pollock stocks***

Chapter 4 analyzes the impacts of the alternatives on pollock stocks. Analysis of Alternatives 2, 3, and 4 indicate that salmon bycatch management measures that would be implemented under each of these alternatives would make it more difficult to catch the full TAC for Bering Sea pollock. Catching less pollock than authorized under the TAC would reduce the total catch of pollock and reduce the impact of fishing on the pollock stock. However, these alternatives are likely to result in fishermen shifting where they fish for pollock to avoid Chinook salmon bycatch. Changes in where pollock fishing occurs may change the size or age of pollock caught which may, in turn, impact the pollock stocks.

Hard caps under Alternatives 2 or 4 may result in the fishery focusing on younger ages of pollock than otherwise would have been taken. Changes in fishing patterns could result in lower acceptable biological catch and TAC levels overall, depending on how the age composition of the catch changed. Seasonal data of the size at age of pollock caught show that early in the season, the lengths-at-age and especially the weights-at-age are smaller. Should the fishery focus effort earlier in the B season then the yield per individual pollock will be lower. Spatially, a similar tendency towards smaller pollock occurs as the fleet ventures further from traditional fishing grounds. However, these changes would be monitored and incorporated in future stock assessments. Conservation goals of maintaining pollock spawning biomass would remain central to the stock assessments that will be used as a basis for setting future pollock TACs. Any changes in the size or age of pollock caught would be eventually accounted for in the stock assessment analysis since updated mean weights-at-age are computed. Smaller fish-at-age would likely result in a lower acceptable biological catch and TAC in future years but this would be accounted for in



the present quota management system which is designed to prevent overfishing. Therefore, the risk to the pollock stock from changes in where pollock are caught as a result of any of the alternatives would be minor.

The impact of Alternative 3 (triggered closures) on pollock fishing was evaluated in a similar way. The assumption that the pollock TAC may be fully harvested depends on the difficulty in finding pollock after the closure areas are triggered. The data show that in some years, the catch rate is consistently higher outside of the trigger area whereas in other years it is consistently lower for at-sea processors and inshore CVs and for the fleet as whole. The impact of a triggered area closure depends on when the closure occurs, and the spatial characteristics of the pollock stock, which, based on this examination, appears to be highly variable between years. As with the evaluation of hard caps, under Alternatives 2 and 4, the same impacts under triggered closures (Alternative 3) would apply: it seems likely that the fleet would fish earlier in the summer season and would tend to fish in places further away from the core fishing grounds north of Unimak Island. Both of these effects likely would result in catches of pollock that were considerably smaller in mean sizes-at-age. This impact would, based on future assessments, likely result in smaller TACs since pollock harvests would not benefit from the summer-season growth period.

### ***Chum salmon***

Chapter 6 analyzes the impacts of the alternatives on chum salmon. As noted earlier chum salmon is also caught incidentally by the pollock fishery, and while additional management measures will be evaluated at a later time by the Council specific to chum salmon management, alternatives which close the pollock fishery for reaching Chinook salmon caps also potentially impact the amount of chum salmon taken by the fleet. Historical temporal and spatial trends in chum bycatch are described in Chapter 6. Chum salmon are caught almost exclusively in the B season.

As with the pollock and Chinook salmon analysis, chum salmon bycatch levels were tabulated on a fleetwide basis given estimated closure dates for the years from 2003 to 2007. Impacts were evaluated three ways: hard caps alone; caps in combination with triggered area closures; and the possible effect of concentrating effort earlier in the B season so that Chinook salmon bycatch could be minimized.

Alternative 2 and 4 cap levels resulted in some reduction in overall chum salmon catch by year. The overall estimated reduction ranged from 34% in some years under the lowest cap (29,300) to no impact (i.e. no reduction in chum salmon catch) under the highest cap (87,500) in some years. Often impacts of each alternative on actual chum bycatch levels by year and scenario are low due to the fact that the closure constraint on the fishery occurs after the time period in which most of the chum in that year had already been caught. Results for the PPA scenarios indicate that chum bycatch reduction would have been minimal in most years. Results from examinations of planned shortened season lengths were variable, but resulted in about the same overall amounts of bycatch than if the season had not been shortened. Information was not sufficient to carry the impact analysis of chum further than tabulating specific reduction in numbers, i.e. AEQ levels for chum were not estimated at this time.

### ***Other groundfish***

Chapter 7 analyzes the impacts of the alternatives on other species caught as bycatch in the pollock fishery; groundfish, prohibited species, and forage fish. Other groundfish species include Pacific cod, flathead sole, rock sole, squid, arrowtooth flounder, Atka mackerel, Pacific ocean perch, yellowfin sole, and rockfish species.

Neither of the hard cap alternatives considered (Alternative 2 or 4) would be expected to drastically change the impact of the pollock fishery on other groundfish as compared to status quo. Groundfish fishery management, which maintains harvests at or below the TAC and prevents overfishing, would

remain the same under any of the hard caps under consideration. The rate and type of incidentally caught groundfish are expected to vary largely in the same manner as the status quo. To the extent that the alternatives close the pollock fishery before the TAC is reached, the incidental catch of groundfish could diminish in relative amounts and perhaps in numbers of species. Under the PPA, the fleet would not be expected to fish for extended periods in areas marginal for pollock, and thus is not expected to incur radically different incidental catch. If a hard cap closes the pollock fishery especially early in the fishery year, the fleet may increase focus on alternate fisheries to attempt to make up for lost catch.

Under Alternative 3, assuming that closures are driven by an association of a high concentration of pollock and Chinook salmon, displacing the fleet from that area and allowing the fishery to continue elsewhere may shift incidental groundfish catch from the current patterns. The degree to which incidental groundfish catch will vary in relation to status quo depends on the selected closed areas and the duration of the closures. To the extent that Alternative 3 displaces the pollock fleet away from the center of pollock concentration and into the other groundfish preferred habitat, change would occur in incidental groundfish species catch.

#### ***Other prohibited species and forage fish***

Chapter 7 also evaluates the impacts of the alternatives on other prohibited species (i.e. besides Chinook and non-Chinook salmon which are examined separately) and forage fish. The extent to which the alternatives would change the catch of steelhead trout, Pacific halibut, Pacific herring, red king crab, Tanner crab, and snow crab is unknown but existing prohibited species catch limits and area closures constrain the catch of these species in the pollock fishery and this limits the impacts on those species.

Forage fish (primarily capelin and eulachon) are not anticipated to be impacted adversely by these alternatives. If Alternatives 2, 3, and 4, constrain the pollock fishery, that would reduce fishing effort and the associated incidental catch of forage fish.

#### ***Other marine resources***

Chapter 8 analyzes the impacts of the alternatives on marine mammals, seabirds, essential fish habitat, and ecosystem relationships. Potential impacts of the alternatives on marine mammals and seabirds are expected to be limited to incidental takes, effects on prey, and disturbance. Effects on prey could be direct effects by competing with seabirds and marine mammals that depend on pollock and salmon or indirect effects on the benthic habitat that may support benthic prey in areas where seabirds and marine mammals forage in the bottom habitat. The preferred alternative (Alternative 4) as well as other hard cap alternatives under consideration (Alternative 2), would potentially lead to a decrease in the incidental takes of marine mammals and seabirds due to relative constraints by season on the pollock fishery.

Alternative 3 could impact some marine mammals if the fishery were shifted northward outside of the large scale area closure. However, the current protection measures and area closures for marine mammals remain in place, and reduce the interaction with Steller sea lions, and northern fur seals and other marine mammals occurring in the closure areas. The overall effect of shifting the pollock fishery and the resulting incidental takes and disturbance of seabirds and marine mammal species such as ice seals, killer whales, Dall's porpoise, and whales is unknown given the lack of precise information in these regions. A northward shift in the pollock fishery outside of the triggered closure is not likely to affect the interaction with Steller sea lions as they are taken in both the southern and northern portion of the Bering Sea.

Potential impacts of the alternatives on seabirds are expected to be limited. Alternative 4 and Alternative 2 could potentially lead to a decrease in the incidental takes of seabirds if seasonal caps close the pollock fishery earlier than would have occurred with no cap. Under Alternative 3, the overall effect of shifting

the pollock fishery and the resulting incidental takes of seabirds is unknown given the lack of precise information about potential seabird bycatch in these regions.

The total amount of pollock harvested may decrease under the alternatives and options which restrict the pollock fishery. Under each alternative, the impact of the pollock fishery on Essential Fish Habitat is not expected to change beyond those previously identified in the Final Environmental Impact Statement for Essential Fish Habitat Identification and Conservation in Alaska (NMFS 2005).

The alternatives are not predicted to have additional impacts on ecosystem relationships beyond those identified in the Alaska Groundfish Harvest Specifications EIS (NMFS 2007a). The pollock fisheries, as prosecuted under Alternative 1, would have similar ecosystem impacts as analyzed in the Harvest Specifications EIS. Alternatives 2 and 4, to the extent that they prevent the pollock fleet from harvesting the pollock TAC and therefore reduce pollock fishing effort, would reduce the pollock fishery's impacts on ecosystem relationships from status quo. It is not possible to predict how much less fishing effort would occur under Alternatives 2 and 4 because the fleet will have strong incentives to reduce bycatch through other means, such as gear modifications and avoiding areas with high salmon catch rates, to avoid reaching the hard cap and closing the fishery. And, depending on the extent vessels move to avoid salmon bycatch or as pollock catch rates decrease, pollock trawling effort may increase even if the fishery is eventually closed due to a hard cap. Since the total amount of pollock harvested and the total effort would not change under Alternative 3, it is reasonable to conclude that the overall impacts on ecosystem relationships would be similar to Alternative 1. As with Alternative 2, fishing effort may increase as vessels move to avoid salmon bycatch or as pollock catch rates decrease.

### ***Environmental Justice***

Chapter 9 analyzes the Environmental Justice impacts of the alternatives. The key factor in an environmental justice analysis is the disproportionality of adverse impacts on identified minority or low-income populations in the U.S., whereas adverse impacts that fall more generally on all populations are not considered for an environmental justice analysis. Significant proportions of the populations in the impacted area are low income and Alaska Native. Minority populations work aboard factory trawlers and in on-shore processing plants. Native American tribes in Northwest Washington, coastal Oregon, and along the Columbia River may be adversely affected by Chinook salmon bycatch. Changes in salmon bycatch and returns may affect populations in western Alaska and the Pacific Northwest; changes in pollock harvests may affect minority populations working in the pollock industry and populations in western Alaska who benefit from CDQ group activities. Populations in western Alaska may also be affected if alternatives induce changes in the way pollock vessels interact with other resources, including chum (and other) salmonid species, marine mammals, seabirds, essential fish habitat, other groundfish species, forage species, and other prohibited species.

As discussed in Chapter 9, Chinook salmon are extremely important to subsistence and commercial fishermen. Alternatives 2 and 4 (hard caps) which restrict the seasonal and annual total removals of Chinook salmon (and resulting AEQ by river system) would benefit subsistence and commercial users on these river systems by increasing the proportion of fish that would have returned in some years and thus potentially increasing the amount available for subsistence and commercial harvest. Actual estimates of AEQ by river system vary by alternative (and by availability of appropriate genetic information). Some alternatives may actually increase the region-specific bycatch by river system in some years depending upon the spatial concentration of the fishing effort in that year.

### ***Directly Regulated Small Entities***

Chapter 11 contains an IRFA which evaluates the impacts of alternatives on directly regulated small entities. The IRFA is prepared to comply with the requirements of the Regulatory Flexibility Act (RFA),

as amended by the Small Business Regulatory Enforcement Fairness Act (SBREFA). The only small entities directly regulated by the action are the six western Alaska CDQ groups. This IRFA is preliminary until NMFS develops the implementing regulations for this action.

### **Areas of controversy and issues yet to be resolved**

Chinook salmon bycatch in the Alaska groundfish fisheries has long been and will remain a highly controversial subject. Chapter 1 and the Scoping Report prepared for this EIS identify the issues with Chinook salmon bycatch in the pollock fishery raised by the public. The scoping report is summarized in Chapter 1 and available on the NMFS Alaska Region web site at:

<http://alaskafisheries.noaa.gov/sustainablefisheries/bycatch/default.htm>

Many of the issues highlight areas of on-going controversy which, though informed by analyses such as this one, are not totally resolved. Differences of opinion exist among various industry, Alaska Native, environmental, management, and scientific groups as to the appropriate levels of Chinook salmon bycatch. Areas of controversy primarily focus on the effects of Chinook salmon bycatch and the pollock fishery on the ten major resource components analyzed in this EIS. The most controversial of these are the effects of Chinook salmon bycatch on Chinook salmon stocks and the people, tribes, and communities that rely on Chinook salmon for their cultural and economic livelihoods.

The predominant area of controversy and issue yet to be resolved revolves around scientific uncertainty regarding the source of origin of Chinook salmon taken as bycatch in the Bering Sea pollock trawl fishery and the relationship of this bycatch to in-river salmon abundance. Chapter 3 describes the best available scientific information used to understand the impacts of the alternatives on Chinook salmon attributed to river or region of origin. Expanded data collection efforts are ongoing to improve the spatial and temporal extent of genetic information from Chinook salmon bycatch to understand how the bycatch composition changes over time and space. The ability to employ genetic methods rapidly to determine the river of origin is also improving. Chinook salmon bycatch data will continue be to collected and analyzed to improve understanding of the origins of this bycatch.

The declining returns of Chinook salmon to most regions of origin and the impacts of ocean survival on abundance are also issues yet to be resolved. The ocean environment is changing and the impacts of those changes on Chinook salmon abundance are unknown and the subject of on-going research and debate. The impacts of marine commercial fisheries on the abundance of Chinook salmon, both directed Chinook salmon fisheries and bycatch of Chinook salmon in other fisheries, are also under debate with some believing that marine fishery removals do not greatly impact Chinook salmon returns, while others believe that marine catches are the only human activity that we can directly control and therefore need to be controlled to mitigate the impacts of declining returns due to the changing environment.

Alaskan communities and communities throughout the Pacific coast of British Columbia, Washington, and Oregon depend on the marine resources for their livelihoods and lifestyles, whether as participants in commercial fisheries or tourism-related businesses or through subsistence or personal use fishing. Public comment expressed concern that the status quo levels of bycatch negatively impact the people and communities that rely on Chinook salmon. Chapters 9 and 10 discuss the social and economic impacts of the alternatives, particularly on Alaskan communities where the majority of the bycatch losses are believed to accrue.