



# Thrift Savings Plan BULLETIN for Agency TSP Representatives

**Subject:** Implementation of Automatic Enrollment in the Thrift Savings Plan – Updated Error Messages Listing for TSP Payroll Office Reports

**Date:** July 19, 2010

The Thrift Savings Plan Enhancement Act of 2009, Public Law 111-31, signed into law on June 22, 2009, authorized automatic enrollment in the TSP. TSP [Bulletin 10-3, Implementation of Automatic Enrollment in the Thrift Savings Plan](#), dated February 24, 2010, provided guidance to agencies and their servicing payroll offices on this program. This bulletin supplements that guidance.

## I. Error Messages for the Payroll Office Reports

- A. In TSP Bulletin 10-3, we indicated that warning messages WN4 (Status code indicates FERS participant should receive agency automatic (1%) contributions and WP1 (Status code indicates FERS participants should receive agency matching contributions) would also apply under the automatic enrollment program.
- B. In order to assist agencies in properly coding rehired participants, we are also adding a new warning message WA8. This message will be returned on the error message reports (1701 and 1703) when:
  - An Employee Data Record (O6-Record) is received with an “A” Status Code (indicating that the employee has been automatically enrolled),
  - the employee has an existing TSP account,
  - the “A” Status Code changes the prior Status Code of E (eligible), Y (yes, contributing), or T (terminated employee contributions); and
  - the participant does not appear to be rehired (i.e., there is no data record on file prior to this change with a TSP Employment Code of S (separated).

*(continued on next page)*

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**Inquiries:** Questions concerning this bulletin should be directed to the Federal Retirement Thrift Investment Board at **202-942-1460**.

**Chapter:** This bulletin may be filed in Chapter 3, Eligibility

Upon receipt of this warning message, the payroll office should confirm that the participant has been properly automatically enrolled. If not, and the participant has a prior contribution election on file, the account should be corrected. The most obvious example of this error is when a participant transfers between agencies and the gaining agency does not properly input the participant's existing contribution election.

## **II. Error Message List**

The updated error message list (including a comprehensive list of all the record types and journal vouchers) is attached to this bulletin. Details about the various record types, the processing reports, and their uses are provided in separate bulletins.

The bulletins and error message list are also posted in the Information for Agency/Service Representatives section of the TSP website under Bulletins and Payroll Office Information/Payroll Office Tools/Reports Payroll Offices Receive, respectively.



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Attachment

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

The following list includes the error codes and messages associated with the Thrift Savings Plan processing reports provided to civilian and uniformed services payroll offices. Please refer to the legend at the end of this document for additional information and a complete list of the various types of TSP records and journal vouchers. Details about the TSP records, journal vouchers, and reports are available under the Information for TSP Agency/Service Representatives at the TSP website, [www.tsp.gov](http://www.tsp.gov).

Error Code	Record Type	Message	Notes
P9J ***	16, 46	<p>Employee contribution will cause IRS elective deferral limit to be exceeded. Agency automatic (1%) contributions on record have been processed, however agency matching contributions have been rejected.</p> <p>The following variable message will be displayed on the error report for the payroll office to use to resubmit the record:</p> <p>Additional employee contributions allowed for year: \$99,999.99.</p> <p>[Condition: record contains agency automatic (1%) contributions]</p>	<p>Civilian Only FERS Employees Only</p> <p>Stop Contributions</p>
PC3	86, 87, 88, 89	<p>Amount submitted will cause the participant to exceed the annual limit for catch-up contributions. Contributions in excess of the limit have been rejected.</p>	<p>Catch-Up Only</p> <p>Stop Contributions</p>
PN1	16, 17, 46, 47	<p>Employee and/or agency matching contributions submitted for pay date falling within participant's non-contribution period (which resulted from a financial hardship in-service withdrawal). Agency automatic (1%) contributions on record have been processed.</p> <p>[Condition: record contains agency automatic (1%) contributions]</p>	<p>Stop Contributions</p>
PN2 ***	26, 27, 28, 29, 30	<p>Present value of the negative adjustment for at least one of the sources of contributions exceeds the amount currently in that source of contributions attributable to the process date. Requested adjustment for other source(s) has been processed.</p> <p>A variable message will show the source and amount of the rejected adjustment: EC = ; AA = ; AM = . Reports 31504 and 31503 show the source and amount of the processed adjustment.</p>	<p>Not Enough \$ to Process Negative Adjustments</p>

### Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services

Error Code	Record Type	Message	Notes
PXS	06	Participant is separated and has submitted change(s) to personal information. Payroll office cannot make changes to the participant's name, Social Security number, date of birth, or address.  [Other changes have been made as requested.]	Employee Must Update Information Directly with the TSP
PXZ	All Detail Records	Part of the transaction has been rejected. Contact Agency Technical Services for assistance.	System Issues
R01	All Header Records	Payroll office number on Header record is invalid or not established on data base.	Update Submission Information
R02	All Header Records	JV number on Header record is missing or invalid.	Update Submission Information
R03	All Header Records	Journal Voucher report not established on TSP data base.	Update Submission Information
R04	All Header Records	Current pay date on Header is not equal to current pay date on Journal Voucher.	Update Submission Information
R06	All Header Records	JV number on Header record matches a JV number that has already been posted for this payroll number.	Update Submission Information
R09	All Detail Records	SSN is missing or invalid.	
R11	06	Date of birth (DOB) is not equal to DOB on data base and previous/erroneous DOB is blank.	
R13	06	Previous/erroneous SSN does not match a value on data base. SSN change not made.	Need Previous SSN for Employee
R14	06	Previous/erroneous SSN exists but DOB does not match DOB on data base. SSN change not made.	
R16	06	DOB is missing or invalid.  [Invalid calendar date.]	
R17	All Detail Records	"As of" date or current pay date is invalid.  [A date that is not a calendar date, or if the date is post-conversion, it is a date that is not a business day, or is a date later than the current pay date on the Header record.]	
R18	06	Last name of participant is missing.	

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

<b>Error Code</b>	<b>Record Type</b>	<b>Message</b>	<b>Notes</b>
R26	06	Department and/or agency code is missing or invalid.  [Department and/or agency codes must be data reported to TSP by agency notification. Check data or contact Agency Technical Services to establish new code(s).]	Coordinate with ATS as Necessary
R30	06	TSP-SCD for FERS participant is missing or invalid and there is no TSP-SCD on data base.  [A date that is not valid calendar date or a date that is later than the current (process) date; If calendar date is valid but it is earlier than 1/1/84, error code is W9Z.]	Civilian Only
R34	06	Employment code is invalid.  [Employment code must equal T, S, D or B.]	
R36	06	Employment code date is missing or invalid. Failure to submit a new 06-Record with valid date may delay processing of a withdrawal.  [Condition: EC on record equals T, S, D or B.  Invalid is a date that is not a calendar date or a date that is later than the posting date or for a FERS participant, a date that is earlier than the TSP-SCD. In addition, for 06-civ, invalid is a date that is earlier than 1/1/87 and for 06-us, a date that is earlier that 1/1/02.]	
R37	06	Previous/erroneous date of birth (DOB) does not match DOB in data base for SSN.	Need Previous DOB for Employee, Verify DOB
R38	06	Previous/erroneous date or birth (DOB) matches DOB in data base, but DOB on record is invalid.  [Date that is not a calendar date or date that is later than the posting date.]	
R39	All Detail Records	Duplicate record in this payroll submission.	
R40	26, 27, 28, 29, 30	Attributable pay date later than current processing date.	
R41	26, 27, 28, 29, 30	No matching payment record found for negative adjustment record.	
R42	26, 27, 28, 29, 30	Attributable pay date prior to January 1, 2000. For uniformed services, date is January 1, 2002.	
R43	All Detail Records	Data in numeric field is not numeric.	

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

<b>Error Code</b>	<b>Record Type</b>	<b>Message</b>	<b>Notes</b>
R44	All Payment Records	Attributable pay date is blank or invalid.	
R46	06	SSN merge/change pending. Employee data record must be resubmitted.	Timing Issue, Resubmit
R47	06	EDR change pending. SSN merge/change must be resubmitted.	Timing Issue, Resubmit
R48	All Detail Records except, 06-Record	Employee data record has not been submitted to establish account for SSN on record.	Personnel Office has to put the Employee in the System
R49	06	First Conversion EDR (FERS only) cannot be used to establish new account. Submit a separate EDR to establish account first, then submit first conversion data.	Civilian Only FERCCA
R6E	All Payment Records	Employee contributions invalid. Total amount of a contribution source must be \$1 or more.	
R7E	All Payment Records	Agency matching contributions invalid. Total amount of a contribution source must be \$1 or more.	
R84	All Header Records	Header record is missing or invalid.	
R85	All Trailer Records	Trailer record is missing or invalid.	
R88	All Detail Records	Record contains negative contribution or loan payment amount.	No Negative (-) Signs Allowed
R94	All JV's (FOR TSP USE ONLY)	The agency clearing account number is missing or invalid. The agency must provide such number to the ATS to ensure that the correct account is charged.	
R97 ***	26, 27, 28, 29, 30	<p>Net contribution remaining for attributable pay date (APD) is less than amount of requested adjustment.</p> <p>The following variable message will be displayed on the error report for the payroll office to use if it wants to resubmit the negative adjustment record:</p> <p>Remaining contribution for APD: EC = \$99,999.99; AA = \$99,999.99; AM = \$99,999.99</p>	Payment has Already been Adjusted and There is not Enough \$ to do Another Adjustment

## Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services

Error Code	Record Type	Message	Notes
R9H	06, 16, 26, 46	<p>"As of" date or attributable pay date reported is 12/31/86. Record must be resubmitted on separate JV reporting a current pay date of 12/31/86.</p> <p>[Condition: record is submitted with a JV containing current pay date other than 12/31/86]</p>	<p>Has to Be on a Separate JV Submitted via TSP Web Submission Process</p> <p>FERCCA - Dealing with 1<sup>st</sup> Conversion</p>
R9J ***	16, 17, 46, 47	<p>Employee contribution will cause IRS elective deferral limit to be exceeded.</p> <p>The following variable message will be displayed on the error report for the payroll office to use if it wants to resubmit the record:</p> <p>Additional employee contributions allowed for year: \$99,999.99.</p> <p>[Condition for 16 and 46 record - record contains no agency automatic (1%) contributions]</p>	
R9L	46, 47, 48, 88, 89	"As of" date is missing.	
RA1	26, 27, 28, 29, 30	Negative adjustments with positive values are invalid.	No Positive (+) Signs Allowed
RA2	16, 46, 86, 88	Retirement code is FICA only. Participant cannot make or receive contributions.	Not Eligible for TSP, Check to Ensure Employee is FICA
RC1	86, 87, 88, 89	"As of" date is earlier than 08/01/2003. Catch-up contributions started August 2003.	For Catch-Up Contributions
RC2	86, 87, 88, 89	DOB in system indicates participant is not eligible to make catch-up contributions.	For Catch-Up Contributions Cannot be Younger than 50 Years Old
RC3	86, 87, 88, 89	The participant has already contributed up to the annual limit for catch-up contributions. The entire amount has been rejected.	
RJV	All Journal Vouchers	Grand total of dollars on Detail Records is more than grand total of dollars on Journal Voucher.	
RL1	L6	There is no outstanding loan for Social Security number provided.	
RL2	L6	Loan number provided does not match loan number for Social Security number.	

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

<b>Error Code</b>	<b>Record Type</b>	<b>Message</b>	<b>Notes</b>
RL4	L6	Loan number missing or invalid but Social Security number has outstanding loan.	
RM1	06	Status code and/or status code date is missing or invalid.	
RM3	06	Retirement code is missing or invalid.	
RM9	06, 17	As of date is earlier than 1/1/02.	Uniformed Services Only
RMC	06	Personnel office identifier (POI) is missing or invalid.  [Department and/or agency POI must be data reported to TSP by agency notification. Check POI or contact Agency Technical Services (ATS) to establish new POI.]	Civilian Only
RN0	06, 16, 26, 46	Current pay date on Header record is 12/31/86, but "As of" date or attributable pay date on Detail Records does not equal 12/31/86.	Civilian Only If First Conversion Deposit (FERCCA only), Use TSP Web Submission Process
RN2	26, 27, 28, 29, 30	Present value of the adjustment exceeds the amount currently in that source of contributions.  [Market declines could impact participant account and contributions.]	Hold Until Value of Contribution Increases; Then Process the Negative Adjustment
RN3 ***	16, 17, 18, 46, 47, 48	Contributions on record will cause the Internal Revenue Code limit at section 415(c) to be exceeded and therefore cannot be processed.  The following variable message will be displayed on the error report to allow the payroll office to resubmit the record:  Additional contributions allowed for the year: \$99,999.99	Primarily Uniformed Services
RN4	All Detail Records except, 06-Record	Record contains zero dollars.	
RN5	16, 17, 46, 47, 48, 86, 87, 88	Employee and/or agency matching contributions submitted for pay date falling within participant's non-contribution period (which resulted from a financial hardship in-service withdrawal).  [Condition for 16 and 46 record – no agency automatic (1%) contributions on record]	Generally a Late or Make-Up Payment; Probably USERRA



**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

<b>Error Code</b>	<b>Record Type</b>	<b>Message</b>	<b>Notes</b>
RN6	All Detail Records except, 06-Record	Participant is separated and account balance is zero. Requested transaction cannot be processed.	If A Back Pay Award or Settlement; Change the Separation Code, Deposit the \$, and Change the Code Back
RN7	All Detail Records	Invalid record number or record type.	
RN8	16, 46, L6	"As of" date is earlier than 3/31/87.  [Condition: this earlier date is not 12/31/86]	Civilian Only Possible FERCCA 2 <sup>nd</sup> Conversion
RN9	06	TSP vesting code for FERS participant is missing or invalid.  [Condition: Vesting code must be a "2" or "3"]	Civilian Only
RND	06	Record not accompanied by payment record and SSN not on data base.	Data and Payment Record Must Be Submitted to Establish TSP Account
RNE	06	Address is missing or invalid.	
RNH	All Header Records	Current pay date on Header record is missing or invalid.	
RNL	46, 47, 48, 88, 89	"As of" date on record is equal to current pay date on Header record.  [Note: If 16, 17, 18, 86, or 87 – Records contain such "As of" dates, all sources of contributions are posted as current contributions but no error is identified and no message goes back to the payroll office.]	Record Is Not Late
RNR	06	Employee data record with previous erroneous Social Security number and previous erroneous date of birth is not allowed.	Can't Change Both on the Same Record
RS9	67, 68	No matching payment record found for recharacterization record.	Uniformed Services Only
RT1 ***	17, 68	Tax deferred contribution for attributable pay date (APD) is less than amount to be recharacterized. Net tax-deferred contribution remaining for APD is less than tax-deferred amount to be recharacterized.  [The following variable message will be displayed on the error report for the payroll office to use if it wants to	Uniformed Services Only

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

Error Code	Record Type	Message	Notes
		resubmit the record: Net remaining tax-deferred contribution: \$99,999.99.]	
RT2 ***	18, 67	Tax-exempt contribution for attributable pay date (APD) is less than amount to be recharacterized. Net tax-exempt contribution remaining for APD is less than tax-exempt amount to be recharacterized.  [The following variable message will be displayed on the error report for the payroll office to use if it wants to resubmit the record: Net remaining tax-exempt contribution: \$99,999.99.]	Uniformed Services Only
RT3	17, 68	Tax-deferred contributions remaining in account are less than the tax-deferred amount to be recharacterized.	Uniformed Services Only
RT4	18, 67	Tax-exempt contributions remaining in account are less than the tax-exempt amount to be recharacterized.	Uniformed Services Only
RXR	All Headers Records	Invalid record types; submission cannot be processed.  [Condition: submission contains no valid record types]	
RXS	06	Participant is separated and has submitted change(s) to personal information. Payroll office cannot make changes to the participant's name, Social Security number, date of birth or address.  [No other changes were requested.]	Employee Must Submit Changes Directly to TSP.
RXY	All Detail Records	Record contains non-printable characters.	
RXZ	All Detail Records	Transaction has been rejected. Contact the Agency Technical Services for assistance.	
RY1	16, 46	Employee and/or matching contributions not valid for "As of" date 12/31/1986.	Civilian Only FERCCA 1 <sup>st</sup> Conversion
RY2	16, 46	Payment record with "As of" date 12/31/1986 must be accompanied by 06 – record.	Civilian Only FERCCA 1 <sup>st</sup> Conversion  Submit via TSP Web Submission Process

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

Error Code	Record Type	Message	Notes
RY3	06	TSP-SCD on 06-record accompanying first conversion deposit must be earlier than 1/1/87.	Civilian Only
W10	06	Submission contained two Records for same Social Security number and both have been processed; the last processed is the resulting account data. <u>Check to see that this account data is correct.</u>	
W11	All Detail Records except, 06-Record	Date of birth (DOB) for SSN is not equal to DOB on data base.	
W18	06	Last name of participant begins with a non-alpha character.	
W29	06	Payroll office number on record is not equal to payroll office number on Header record. Payroll office number on record has been changed to payroll office number on Header record.	
W35	06	Employment code date not required for participant with employment code of blank or "N". The employment code date has been changed to 00/00/0000. If employment code is not "N" or blank, submit a new 06 - Record with correct employment code and employment code date.	
W46	TRLR, Detail Records	Total record count on Trailer record not equal to total Detail Records submitted.	
W49	All Payment Records	Department and/or agency code is missing or invalid or not equal to such code on Detail record.	
W53	16, 46	Agency contributions submitted for CSRS participant.	Civilian Only Retirement Code Mismatch; Agency \$ Coming In
W67	TRLR, TSP-2, U-2, 2-G, U-2-G	Employee total from contribution Details do not equal total on Trailer.	Update Submission Information
W69	TRLR, TSP-2, 2-G	Agency automatic (1%) total from contribution Details do not equal total on Trailer.	Update Submission Information
W70	TRLR, TSP-2, U-2, 2-G, U-2-G	Agency matching total from contribution Details do not equal total on Trailer.	Update Submission Information
W71	TRLR, TSP-2, U-2, 2-G,	Employee total from adjustment Details do not equal total on Trailer.	Update Submission Information

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

Error Code	Record Type	Message	Notes
	U-2-G		
W73	TRLR, TSP-2, 2-G	Agency automatic (1%) total from adjustment Details does not equal total on Trailer.	Update Submission Information
W74	TRLR, TSP-2, U-2, 2-G, U-2-G	Agency matching total from adjustment Details does not equal total on Trailer.	Update Submission Information
W75	TRLR, TSP-2, U-2, 2-G, U-2-G	Count of employee data Records submitted does not equal count on Trailer.	Update Submission Information
W76	TRLR, TSP-2, U-2, 2-G, U-2-G	Count on current/late payment Records submitted does not equal count on Trailer.	Update Submission Information
W77	TRLR, TSP-2, U-2, 2-G, U-2-G	Count on negative adjustment Records submitted does not equal count on Trailer.	Update Submission Information
W78	TRLR, TSP-2, U-2, 2-G, U-2-G	Current/late payment record dollars on Trailer not equal to dollars on JV.	Update Submission Information
W79	TRLR, TSP-2, U-2, 2-G, U-2-G	Negative adjustment record dollars on Trailer not equal to dollars on JV.	Update Submission Information
W80	TRLR, TSP-2, U-2, 2-G, U-2-G	Count of employee data Records on Trailer does not equal count on JV.	Update Submission Information
W81	TRLR, TSP-2, U-2, 2-G, U-2-G	Count of current/late payment Records on Trailer not equal to count on JV.	Update Submission Information
W82	TRLR, TSP-2, U-2, 2-G, U-2-G	Count on negative adjustment Records on Trailer not equal to count on JV.	Update Submission Information
W87	16, 17	Agency matching contributions should not exceed employee contributions.  [Condition: "As of" date on the record must be blank or equal to or later than current pay date on Header record]	Possibly During Error Corrections
W9K	16, 17, 18, 46, 47, 48	Contribution posted caused participant to exceed limit imposed by section 415(c) of the Internal Revenue Code. Submit 26 - record to remove excess contributions.	Possibly Uniformed Services Only

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

<b>Error Code</b>	<b>Record Type</b>	<b>Message</b>	<b>Notes</b>
W9N	06	Retirement code changed from CSRS to FERS.	Civilian Only Switching Retirement Codes
W9P	06	Retirement code changed from FERS to CSRS.	Civilian Only Switching Retirement Codes
W9V	06	Employment code has been overlaid with a blank. If the participant is separated, submit a new 06 - Record with correct employment code.	
W9W	06	Date of birth is not at least 16 years before posting date.  [This edit should catch DOB greater than posting date also.]	
W9Y	06	TSP vesting code is invalid. The invalid TSP vesting code has been changed to 0.  [Condition: retirement code is not equal to FERS]	Civilian Only CSRS Employees Do Not Have Vesting Codes; No Agency 1%
W9Z	06	TSP-SCD submitted for FERS participant is earlier than 1/1/84. A value of 1/1/1984 has been posted to the account.  [Condition: TSP-SCD submitted is valid, but earlier than 1/1/84 and there is no TSP-SCD on the data base.]	Civilian Only
WA4	06	Retirement code changed to FICA only. Remove agency contributions or they will be forfeited.	Civilian Only Changed Retirement Code from FERS to FICA
WA5	06	Retirement code changed to FICA only. Submit another 06 - Record containing employment code and employment code date to reflect separation of employee who is not eligible to participate in the TSP.	Civilian Only Need "I" Status Code and Separation Date Submit via TSP Web Submission Process
WA7	16, 17, 18, 86, 87	Employee and/or agency matching contributions submitted for a participant whose status code indicates termination of contributions.  [Conditions: "As of" date on payment record must be blank or equal to or greater than current pay date on Header record; the pay date ("as of") date on the payment record or the current pay date on the Header record does not fall in the participant's non-contribution period, and the participant's status code equals T. If the pay date for the contribution falls within the non-contribution period, the contributions will be rejected and error code RN5 or PN1 will be generated.]	Employee Has Stopped Contributions or Received Financial Hardship in Service Withdrawal

**Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

Error Code	Record Type	Message	Notes
WA8	06	Employee has an existing account; does not appear to be rehired; "A" status code may not be correct.	Confirm with Personnel that Participant does not have an existing TSP election on file.
WC3	16, 46, 86	Contribution posted caused participant to exceed catch-up limit. Submit 29 - Record to remove excess catch-up contributions.  (Senate payroll processing prior year error code.)	For Senate Only
WL1	TRLR, TSP-2-L	Loan payment total from Detail Records does not equal total on Trailer.	Loans
WL2	TRLR, TSP-2-L	Count of loan payment Records submitted does not equal count on Trailer.	Loans
WL3	TRLR, TSP-2-L	Count of loan payment Records on Trailer does not equal count on Journal Voucher.	Loans
WL8	L6	Loan payment submitted for participant with employment code reflecting the participant's separation from service. Submit an Employee Data Record to correct the employment code if the participant is not separated.  [Condition: Loan payment is submitted 1 to 45 days after receipt of EDR with employment code of S, B or D]	Loans
WN3	06	Previous/erroneous date of birth (DOB) should be blank because DOB has already been corrected.	
WN4	16	FERS participant must receive agency automatic (1%) contributions.  [Conditions: "As of" date on record must be blank or equal to or later than current pay date on Header record; record contains contributions from employee or agency matching source (but not agency automatic (1%) contributions); the participant's status code is A, E, Y, T (or N)]	Civilian Only Error Correction; Agency 1% Missing

## Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services

Error Code	Record Type	Message	Notes
WN5	06	TSP-SCD submitted for FERS participant is earlier than 1/1/84. Consequently, the TSP-SCD submitted was not used to change the TSP-SCD on the data base. If the TSP-SCD on the data base is not correct, submit a new 06 - Record with a date no earlier than 1/1/84 to correct the value on the data base.	Civilian Only
WN6	16, 17, 18, 86, 87	Department/agency that submitted current contribution is not the current department/agency in the TSP system.  [Condition: "As of" date on record must be blank or equal to or later than current pay date on Header record]	
WP1	16	FERS participant must receive agency matching contributions.  [Conditions: "As of" date on record must be blank or equal to or later than current pay date on Header record; record contains employee contributions (but not agency matching contributions); pay date is not within participant's non-contribution period; participant's status code is A or Y]	Civilian Only Matching Contributions Missing
WR2	Trailer Record	Total dollar amount from recharacterization 67-record details does not equal total on trailer.	Uniformed Services Only
WR3	Trailer Record	Count of recharacterization 67-records submitted does not equal count on trailer.	Uniformed Services Only
WR4	Trailer Record	Total dollar amount from recharacterization 68-record details does not equal total on trailer.	Uniformed Services Only
WR5	Trailer Record	Count of recharacterization 68-records submitted does not equal count on trailer.	Uniformed Services Only
WR6	Trailer Record	Recharacterization 67-record dollars on trailer not equal to dollars on JV.	Uniformed Services Only
WR7	Trailer Record	Recharacterization 68-record dollars on trailer not equal to dollars on JV.	Uniformed Services Only
WR8	Trailer Record	Count of recharacterization 67-records on trailer does not equal count on JV.	Uniformed Services Only
WR9	Trailer Record	Count of recharacterization 68-records on trailer does not equal count on JV.	Uniformed Services Only
WS6	67, 68	Only employee contributions can be recharacterized. Agency contributions cannot be recharacterized.	Uniformed Services Only

## Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services

### LEGEND

\*\*\* indicates variable message for error report.

- W = Warning. The record was processed, but there appears to be an inconsistency or possible error.
- P = Partial. Only part of the record was processed. Action must be taken to resolve the possible error or inconsistency for the remaining portion.
- R = Rejected. The entire record was rejected. Action must be taken to resolve the error.

### RECORDS

06 = Employee Data Record (Civilian and Uniformed Services)

#### Detail Records (Civilian)

- 16 = Payment Record
- 26 = Negative Adjustment Record
- 29 = Catch-up Contribution Negative Adjustment Record
- 46 = Late Payment Record
- 71 = FERCCA Payment Record
- 72 = Miscellaneous Payment Record
- 86 = Catch-up Contribution Record
- 88 = Catch-up Contribution Late Payment Record
- L6 = Loan Payment Record

#### Detail Records (Uniformed Services)

- 17 = Payment Record (Tax-Deferred)
- 18 = Payment Record (Tax-Exempt)
- 27 = Negative Adjustment Record (Tax-Deferred)
- 28 = Negative Adjustment Record (Tax-Exempt)
- 30 = Catch-up Contribution Negative Adjustment Record (Tax-Deferred)
- 47 = Late Payment Record (Tax-Deferred)
- 48 = Late Payment Record (Tax-Exempt)
- 67 = Recharacterization Payment Record (Tax-Exempt to Tax-Deferred)
- 68 = Recharacterization Payment Record (Tax-Deferred to Tax-Exempt)
- 87 = Catch-up Contribution Record (Tax-Deferred)
- 89 = Catch-up Contribution Record Late Payment Record (Tax-Deferred)

#### Header and Trailer Record Types

- Employee Data, Payment, and Negative Adjustment (Civilian and Uniformed Services)
- Loan (Civilian and Uniformed Services)
- Recharacterization (Uniformed Services Only)



## **Error Codes and Messages Keyed to Record Types Civilian/Uniformed Services**

### Journal Vouchers (Civilian)

- TSP-2 = Certification of Transfer of Funds and Journal Voucher
- TSP-2-G = Certification of Transfer of Funds and Journal Voucher for Contribution Requiring G Fund Breakage
- TSP-2-L = Certification of Transfer of Funds and Journal Voucher for Loan Payments
- TSP-2-F = Certification of Transfer of Funds and Journal Voucher for Earnings Adjustments

### Journal Vouchers (Uniformed Services)

- TSP-U-2 = Certification of Transfer of Funds and Journal Voucher
- TSP-U-2-G = Certification of Transfer of Funds and Journal Voucher for Contribution Requiring G Fund Breakage
- TSP-U-2-L = Certification of Transfer of Funds and Journal Voucher for Loan Payments
- TSP-U-2-F = Certification of Transfer of Funds and Journal Voucher for Earnings Adjustments
- TSP-U-2-R = Certification of Recharacterization of Records and Journal Voucher