

**Table 336. Financial Crimes: 2003 to 2009**

[For the year ending September 30. The FBI focuses its financial crimes investigations on such criminal activities as corporate fraud, securities and commodities fraud, health care fraud, mortgage fraud, insurance fraud, mass marketing fraud, and money laundering. These are the identified priority crime problem areas of the Financial Crimes Section (FCS) of the FBI. The mission of the FCS is to oversee the investigation of financial fraud, and to facilitate the forfeiture of assets from those engaging in federal crimes. For more information on financial fraud types including mortgage fraud, go to <[http://www.fbi.gov/publications/financial/fcs\\_report2009/financial\\_crime\\_2009.htm](http://www.fbi.gov/publications/financial/fcs_report2009/financial_crime_2009.htm)>. For more detailed information on mortgage fraud, go to <[http://www.fbi.gov/publications/fraud/mortgage\\_fraud09.htm](http://www.fbi.gov/publications/fraud/mortgage_fraud09.htm)>]

Type of financial fraud	Unit indicator	Year						
		2003	2004	2005	2006	2007	2008	2009
<b>Corporate fraud:</b>								
Cases pending	Number	279	332	423	486	529	545	592
Indictments	Number	150	192	178	176	183	160	161
Convictions	Number	143	126	150	134	181	134	162
Restitution	Bil. dol.	—	0.4	5.6	1.1	12.6	8.2	6.1
Recoveries	1,000 dol.	37.0	28.0	68.0	41,400.0	27,400.0	6,590.0	16,100.0
Fines	Mil. dol.	3.0	117.1	122.4	14.2	38.6	193.7	5.3
Seizures	Mil. dol.	(NA)	20.8	12.6	82.4	70.1	9.3	40.6
<b>Securities/Commodities fraud:</b>								
Cases pending	Number	937	987	1,139	1,165	1,217	1,210	1510
Indictments	Number	358	393	327	320	408	359	412
Convictions	Number	320	305	363	279	321	302	309
Restitution	Bil. dol.	1.6	0.9	2.3	2.1	1.5	3.0	2.1
Recoveries	Mil. dol.	28.6	13.0	76.3	20.6	25.4	43.7	47.3
Fines	Mil. dol.	16.7	12.5	14.8	80.7	202.8	128.5	7.4
Seizures	Mil. dol.	(NA)	11.9	281.9	41.8	83.0	77.5	85.0
<b>Insurance fraud:</b>								
Cases pending	Number	326	289	270	233	209	177	152
Indictments	Number	111	100	72	56	39	73	43
Convictions	Number	172	77	79	66	47	60	42
Restitution	Mil. dol.	101.9	121.6	171.7	30.4	27.6	553.7	22.9
Recoveries	1,000 dol.	115.0	34,200.0	913.0	14.0	21.0	10,400.0	31,400.0
Fines	1,000 dol.	810.0	330.0	112.0	212.0	447.0	31.0	138.0
Seizures	Mil. dol.	0.3	15.7	10.7	3.5	15.9	25.3	2.2
<b>Mass marketing fraud:</b>								
Cases pending	Number	236	192	161	147	127	100	92
Indictments	Number	94	66	28	15	13	50	9
Convictions	Number	93	64	43	46	11	23	23
Restitution	Mil. dol.	154.2	23.0	503.8	273.2	30.6	4.2	4.4
Recoveries	1,000 dol.	125.0	1,900.0	4.0	468.0	542.0	173.0	—
Fines	1,000 dol.	4,900.0	11,100.0	362.0	86,900.0	121.0	23.0	2.1
Seizures	Mil. dol.	9.6	1.8	8.1	12.7	(Z)	—	(Z)
<b>Health care fraud:</b>								
Cases pending	Number	2,262	2,468	2,547	2,423	2,493	2,434	2,494
Indictments	Number	523	693	589	588	847	851	982
Convictions	Number	414	564	550	535	642	707	674
Restitution	Bil. dol.	1.1	1.0	1.1	0.4	1.1	1.1	1.3
Recoveries	Mil. dol.	10.0	28.8	115.0	1,600.0	439.8	102.4	517.1
Fines	Mil. dol.	78.8	543.0	42.4	172.8	33.7	25.6	68.9
Seizures	Mil. dol.	79.7	60.4	52.7	28.9	86.1	48.3	55.7
<b>Money laundering:</b>								
Cases pending	Number	496	509	507	473	443	404	350
Indictments	Number	105	127	126	264	140	114	63
Convictions	Number	61	69	91	112	115	134	96
Restitution	Mil. dol.	13.2	282.9	313.0	17.1	69.4	222.4	81.9
Recoveries	Mil. dol.	2.9	0.8	9.3	3.2	2.7	20.9	0.6
Fines	Mil. dol.	2.4	0.9	0.3	0.4	11.4	34.1	1.5
Seizures	Mil. dol.	8.2	5.1	7.8	6.4	10.9	24.2	4.5
<b>Mortgage fraud:</b>								
Cases pending	Number	(NA)	(NA)	721	881	1,211	1,644	2,794
Indictments	Number	(NA)	(NA)	93	138	328	574	822
Convictions	Number	(NA)	(NA)	60	123	283	354	494
Restitution	Mil. dol.	(NA)	(NA)	151.2	308.3	600.6	825.2	2,540.0
Recoveries	Mil. dol.	(NA)	(NA)	(Z)	1.2	21.8	3.3	7.5
Fines	Mil. dol.	(NA)	(NA)	44.0	300.8	1.6	3.1	58.4
Seizures	Mil. dol.	(NA)	(NA)	(NA)	(NA)	5.1	6.6	5.0
<b>Suspicious Activity Reports (SARs) <sup>1,2</sup></b>								
<b>Mortgage fraud related—</b>								
<b>Number of violations:</b>								
Mortgage fraud	Number	6,936	17,127	21,994	35,617	46,717	63,713	67,190
Commercial loan	Number	1,850	1,724	2,126	2,409	3,240	4,189	4,514
False statement	Number	4,569	6,784	11,611	21,023	28,692	37,622	38,159
<b>Dollars losses reported on:</b>								
Mortgage fraud SARs	Bil. dol.	0.2	0.4	1.0	0.9	0.8	1.5	2.8
Reported on commercial loan SARs	Bil. dol.	1.0	1.1	0.7	0.5	1.0	1.9	1.7
False statement SARs	Bil. dol.	0.4	0.5	1.0	1.4	0.8	2.5	2.1

— Represents zero. NA Not available. Z represents a value less than 50 thousand. <sup>1</sup> Reports filed by federally-insured financial institutions. <sup>2</sup> SARs are cataloged according to the year in which they are submitted and the information contained within them may describe activity that occurred in previous month or years.

Source: U.S. Department of Justice, Federal Bureau of Investigation, *Financial Crimes Report to the Public* and 2009 Mortgage Fraud Report, "Year in Review."