



The Director

UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

JUL - 7 2006

MEMORANDUM FOR CHIEF HUMAN CAPITAL OFFICERS

FROM: LINDA M. SPRINGER  
DIRECTOR

A handwritten signature in blue ink, appearing to read "LMS", written over the printed name "LINDA M. SPRINGER".

Subject: Executive Core Qualifications

The Office of Personnel Management (OPM) is committed to ensuring the quality of the Senior Executive Service (SES) cadre. Senior executives must excel within a results-oriented performance culture, and the Executive Core Qualifications (ECQs) ensure they have the competencies needed to do so. On February 3rd, 2006, we issued the Draft ECQs for your review and comment. We greatly appreciate the time you took to provide valuable feedback, and your suggestions assisted us in updating and enhancing the ECQs.

The revised ECQs (attachment 1) will be effective October 1, 2006. These updates and changes will be reflected on OPM's *The Senior Executive Service* website (<http://www.opm.gov/ses/>).

We received comments from numerous agencies inquiring how the candidates would address the Fundamental Competencies. Because the Fundamental Competencies are cross-cutting, they should be addressed over the course of the candidate's ECQ narrative. It is not necessary to address them directly as long as the narrative, in its totality, shows mastery of these competencies on the whole. We will also provide a Q & A document (attachment 2) that addresses this issue.

Issuing the new ECQs is a critical step in improving how senior executives are assessed and selected. In that same spirit, beginning in Fiscal Year 2007, OPM will begin to review and evaluate the application and Qualifications Review Board (QRB) processes to identify areas that could be improved.

Once again, thank you for your valuable feedback. Your involvement was essential in the revision of the ECQs. For further information, please contact your Human Capital Officer at OPM.

2 Attachments

cc: Human Resources Directors

## Executive Core Qualifications

Leading Change	Leading People	Results Driven	Business Acumen	Building Coalitions
<i>Definitions</i>				
<p>This core qualification involves the ability to bring about strategic change, both within and outside the organization, to meet organizational goals. Inherent to this ECQ is the ability to establish an organizational vision and to implement it in a continuously changing environment.</p>	<p>This core qualification involves the ability to lead people toward meeting the organization's vision, mission, and goals. Inherent to this ECQ is the ability to provide an inclusive workplace that fosters the development of others, facilitates cooperation and teamwork, and supports constructive resolution of conflicts.</p>	<p>This core qualification involves the ability to meet organizational goals and customer expectations. Inherent to this ECQ is the ability to make decisions that produce high-quality results by applying technical knowledge, analyzing problems, and calculating risks.</p>	<p>This core qualification involves the ability to manage human, financial, and information resources strategically.</p>	<p>This core qualification involves the ability to build coalitions internally and with other Federal agencies, State and local governments, nonprofit and private sector organizations, foreign governments, or international organizations to achieve common goals.</p>
<i>Competencies</i>				
<ul style="list-style-type: none"> <li>• Creativity and Innovation</li> <li>• External Awareness</li> <li>• Flexibility</li> <li>• Resilience</li> <li>• Strategic Thinking</li> <li>• Vision</li> </ul>	<ul style="list-style-type: none"> <li>• Conflict Management</li> <li>• Leveraging Diversity</li> <li>• Developing Others</li> <li>• Team Building</li> </ul>	<ul style="list-style-type: none"> <li>• Accountability</li> <li>• Customer Service</li> <li>• Decisiveness</li> <li>• Entrepreneurship</li> <li>• Problem Solving</li> <li>• Technical Credibility</li> </ul>	<ul style="list-style-type: none"> <li>• Financial Management</li> <li>• Human Capital Management</li> <li>• Technology Management</li> </ul>	<ul style="list-style-type: none"> <li>• Partnering</li> <li>• Political Savvy</li> <li>• Influencing/Negotiating</li> </ul>

**Fundamental Competencies:** These competencies are the foundation for success in each of the Executive Core Qualifications.

- |   |  |   |
|---|--|---|
| <ul style="list-style-type: none"> <li>• Interpersonal Skills</li> </ul>  | <ul style="list-style-type: none"> <li>• Oral Communication</li> </ul> | <ul style="list-style-type: none"> <li>• Continual Learning</li> </ul>        |
| <ul style="list-style-type: none"> <li>• Written Communication</li> </ul> | <ul style="list-style-type: none"> <li>• Integrity/Honesty</li> </ul>  | <ul style="list-style-type: none"> <li>• Public Service Motivation</li> </ul> |

# EXECUTIVE CORE QUALIFICATIONS and COMPETENCY DEFINITIONS

## ECQ 1: Leading Change

Definition: This core qualification involves the ability to bring about strategic change, both within and outside the organization, to meet organizational goals. Inherent to this ECQ is the ability to establish an organizational vision and to implement it in a continuously changing environment.

Competencies	
Creativity and Innovation	Develops new insights into situations; questions conventional approaches; encourages new ideas and innovations; designs and implements new or cutting edge programs/processes.
External Awareness	Understands and keeps up-to-date on local, national, and international policies and trends that affect the organization and shape stakeholders' views; is aware of the organization's impact on the external environment.
Flexibility	Is open to change and new information; rapidly adapts to new information, changing conditions, or unexpected obstacles.
Resilience	Deals effectively with pressure; remains optimistic and persistent, even under adversity. Recovers quickly from setbacks.
Strategic Thinking	Formulates objectives and priorities, and implements plans consistent with the long-term interests of the organization in a global environment. Capitalizes on opportunities and manages risks.
Vision	Takes a long-term view and builds a shared vision with others; acts as a catalyst for organizational change. Influences others to translate vision into action.

## ECQ 2: Leading People

Definition: This core qualification involves the ability to lead people toward meeting the organization's vision, mission, and goals. Inherent to this ECQ is the ability to provide an inclusive workplace that fosters the development of others, facilitates cooperation and teamwork, and supports constructive resolution of conflicts.

Competencies	
Conflict Management	Encourages creative tension and differences of opinions. Anticipates and takes steps to prevent counter-productive confrontations. Manages and resolves conflicts and disagreements in a constructive manner.
Leveraging Diversity	Fosters an inclusive workplace where diversity and individual differences are valued and leveraged to achieve the vision and mission of the organization.
Developing Others	Develops the ability of others to perform and contribute to the organization by providing ongoing feedback and by providing opportunities to learn through formal and informal methods.
Team Building	Inspires and fosters team commitment, spirit, pride, and trust. Facilitates cooperation and motivates team members to accomplish group goals.

## ECQ 3: Results Driven

Definition: This core qualification involves the ability to meet organizational goals and customer expectations. Inherent to this ECQ is the ability to make decisions that produce high-quality results by applying technical knowledge, analyzing problems, and calculating risks.

<b>Competencies</b>	
Accountability	Holds self and others accountable for measurable high-quality, timely, and cost-effective results. Determines objectives, sets priorities, and delegates work. Accepts responsibility for mistakes. Complies with established control systems and rules.
Customer Service	Anticipates and meets the needs of both internal and external customers. Delivers high-quality products and services; is committed to continuous improvement.
Decisiveness	Makes well-informed, effective, and timely decisions, even when data are limited or solutions produce unpleasant consequences; perceives the impact and implications of decisions.
Entrepreneurship	Positions the organization for future success by identifying new opportunities; builds the organization by developing or improving products or services. Takes calculated risks to accomplish organizational objectives.
Problem Solving	Identifies and analyzes problems; weighs relevance and accuracy of information; generates and evaluates alternative solutions; makes recommendations.
Technical Credibility	Understands and appropriately applies principles, procedures, requirements, regulations, and policies related to specialized expertise.

## ECQ 4: Business Acumen

Definition: This core qualification involves the ability to manage human, financial, and information resources strategically.

<b>Competencies</b>	
Financial Management	Understands the organization's financial processes. Prepares, justifies, and administers the program budget. Oversees procurement and contracting to achieve desired results. Monitors expenditures and uses cost-benefit thinking to set priorities.
Human Capital Management	Builds and manages workforce based on organizational goals, budget considerations, and staffing needs. Ensures that employees are appropriately recruited, selected, appraised, and rewarded; takes action to address performance problems. Manages a multi-sector workforce and a variety of work situations.
Technology Management	Keeps up-to-date on technological developments. Makes effective use of technology to achieve results. Ensures access to and security of technology systems.

## ECQ 5: Building Coalitions

Definition: This core qualification involves the ability to build coalitions internally and with other Federal agencies, State and local governments, nonprofit and private sector organizations, foreign governments, or international organizations to achieve common goals.

<b>Competencies</b>	
Partnering	Develops networks and builds alliances; collaborates across boundaries to build strategic relationships and achieve common goals.
Political Savvy	Identifies the internal and external politics that impact the work of the organization. Perceives organizational and political reality and acts accordingly.
Influencing/Negotiating	Persuades others; builds consensus through give and take; gains cooperation from others to obtain information and accomplish goals.

## Fundamental Competencies

Definition: These competencies are the foundation for success in each of the Executive Core Qualifications.

<b>Competencies</b>	
Interpersonal Skills	Treats others with courtesy, sensitivity, and respect. Considers and responds appropriately to the needs and feelings of different people in different situations.
Oral Communication	Makes clear and convincing oral presentations. Listens effectively; clarifies information as needed.
Integrity/Honesty	Behaves in an honest, fair, and ethical manner. Shows consistency in words and actions. Models high standards of ethics.
Written Communication	Writes in a clear, concise, organized, and convincing manner for the intended audience.
Continual Learning	Assesses and recognizes own strengths and weaknesses; pursues self-development.
Public Service Motivation	Shows a commitment to serve the public. Ensures that actions meet public needs; aligns organizational objectives and practices with public interests.

Q & A

**Q: Do I need to address each Fundamental Competency within each Executive Core Qualification (ECQ)?**

A: No. Because the Fundamental Competencies are cross-cutting, they should be addressed over the course of the ECQ narrative. It is not necessary to address them directly as long as the narrative, in its totality, shows mastery of these competencies on the whole.

**Q: Will I be assessed on all of the competencies?**

A: No. You will be assessed on each ECQ, not on the individual competencies (although you are expected to demonstrate mastery of the Fundamental Competencies somewhere within your narrative statement).

**Q: What happened to the key characteristics?**

A: We reviewed the key characteristics and determined this information was not essential to the application process. For further clarification of the ECQs, candidates may refer to the competency definitions.

**Q: What if I have an SES vacancy announcement posted right now? Will I need to remove it or add the new ECQs?**

A: No. Any vacancies posted prior to October 1, 2006, will not need to be updated to reflect the new ECQs. Only those vacancies posted after October 1, 2006, will need to reflect the new ECQs. However, during this transition, it is preferable for human resources officers to attach the vacancy announcement to the application package to ensure the ERB and QRB members are aware of the applicable ECQ definitions for each vacancy.