

APPENDIX A

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FORM 600 (SEPTEMBER 1997 EDITION) [FOR PURPOSES OTHER THAN ASSIGNMENT OF AUTHORIZATION]

Information Requirements

Applications received on or after December 4, 2000, can continue to be filed on Form 600 to request a new station license, to modify an existing licensed station(s), to renew an existing licensed station, or to reinstate an expired call sign that is no more than 30 days past the expiration date, provided that any additional information now collected on FCC Form 601 is included in the application filing.

In addition, when Form 600 is filed with the FCC on or after December 4, 2000, existing locations, antennas, and control points must be identified by using the location, antenna, and control point numbers assigned by the Universal Licensing System (ULS). These numbers may not be identical to the location, antenna, and control point numbers on applicants' current authorization if that authorization was not issued by ULS.

If applicants' are unsure of the location, antenna, or control point number that corresponds to a particular location, antenna, or control point, applicants can query ULS for the most up-to-date information regarding their authorization. To query the ULS license database for a call sign, applicants should go to <http://www.fcc.gov/wtb/uls>. Alternatively, applicants can call 1-888-CALLFCC (225-5322) for assistance.

The Location Letters [A–F for fixed sites and G–Z for area sites] on Form 600 Schedule E, Schedule F, Schedule G, and Schedule H must be replaced with the ULS Location and Antenna Numbers (as appropriate). In the margin of the schedules, applicants must also indicate the action requested (e.g., **Add**, **Modify** or **Delete**). Applicants must provide only the information pertinent to the Add, Modify, or Delete request. Applicants should refer to Form 601 Instructions for further information about action requested and location and antenna numbers.

Form 600, Item 26, must be completed using the ULS Radio Service Codes, which are listed on the Form 601 Instructions for Item 1.

If any of the answers to the Alien Ownership questions (Items 29, 30, 31 or 32 of the Form 600) is “Yes,” then applicants must provide an attachment explaining the circumstances.

All coordinates on Schedule E of Form 600 must be referenced to the North American Datum of 1983 (NAD83), except for sites in the Northern Mariana Islands, Midway Island, and Wake Island, where applicants continue to reference the applicable local datum. Applicants can determine NAD83 information in many ways, including a GPS receiver, a 7.5-minute topographical quadrangle map of the area, or applicants may consult the city or county surveyor in their area. Additional information on determining and converting geographic coordinates is available on the ULS homepage at <http://www.fcc.gov/wtb/uls/utilities/nadcon.html>. Topographical maps may be purchased from the U.S. Geological Survey, Washington, DC, 20242 or from its office in Denver, CO, 80225.

Item E10 of the Form 600 Schedule E must be completed with the appropriate ULS area of operation code. Applicants should refer to the Form 601 Instructions for a complete listing of the codes and also for the chart that indicates what additional information is required for each code selected. Applicants must provide the ULS area of operation code and additional required information either on the Form 600 Schedule E or on the Appendix A attachment.

Item F7 of the Form 600 Schedule F must be completed for fixed locations if the action requested is to add or to modify. Applicants must provide the ULS code for the type of structure on which the antenna is or will be mounted from the following valid ULS structure types:

<u>Code</u>	<u>Definition</u>
B	Building with a side mounted antenna
BANT	Building with Antenna on Top
BMAST	Building with Mast/Antenna on Top
BPIPE	Building with Pipe/Antenna on Top
BPOLE	Building with Pole/Antenna on Top
BRIDG	Bridge
BTWR	Building with Tower/Antenna on Top
MAST	Self-Support Structure
NNTANN*	Antenna Tower Array
NTOWER**	Multiple Structures
PIPE	Any Type of Pipe
POLE	Any Type of Pole, used only to mount an antenna
RIG	Oil or Other Type of Rig
SIGN	Any Type of Sign or Billboard

SILO	Any Type of Silo
STACK	Smoke Stack
TANK	Any Type of Tank (Water, Gas, etc.)
TOWER	A Free Standing or Guyed Structure Used for Communications Purposes
TREE	When Used as a Support for an Antenna
UPOLE	Utility Pole/Tower Used to Provide Service (Electric, Telephone, etc.)

*Valid Tower Arrays. Code definition: The first NN indicates the number of towers in an array. The second NN is optional and indicates the position of that tower in the array (e.g., 3TA2 would identify the second tower in a three-tower array).

**Valid Multiple Structures. Code Definition: The N indicates the number of structures where multiple antenna structures are present in a multiple structure (e.g., 2TOWER, 3TANK, 6BANT, 7BMAST).

NOTE: Applicants must have a valid registration number if antenna structure registration is required. If applicants provide information that their application for an antenna structure registration is pending and do not specifically file for a waiver, their license application is subject to dismissal.

Item F10 of the Form 600 Schedule F must be completed for fixed locations if the action requested is to add or to modify. If antenna structure registration is required, applicants must enter the seven-digit FCC Antenna Structure Registration Number (shown on the structure's registration, FCC Form 854R). Otherwise, applicants must enter "N/A" to indicate that FAA notification is not required.

Item G1 of the Form 600 Schedule G must provide both the existing frequency and the new frequency if the action requested is to modify the frequency itself (i.e., changing from one operating frequency to a different operating frequency).

On an 8 1/2" x 11" paper attached to the application, applicants must provide the following Supplemental Information, which is now collected on the Main Form 601, Schedule D and Schedule H:

Main Form 601 Supplemental Information

Item 1a. If the request is to change the Radio Service Code, applicants must provide the Existing ULS Radio Service Code, which may be obtained from License Search in ULS. To query the ULS license database for a call sign, applicants should go to <http://www.fcc.gov/wtb/uls>. Alternatively, applicants can call 1-888-CALLFCC (225-5322) for assistance.

Item 3a. If this license request is for a **D**evelopmental License, **D**emonstration License, or a **S**pecial Temporary Authorization (STA), applicants must provide the appropriate

code (D, M, or S). Otherwise, applicants must provide code **N** for “Not Applicable.” Applicants must then attach the required exhibit as described in Form 601 Instructions.

Item 3b. If this request is for Special Temporary Authority (STA) due to an emergency situation, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 6. If this request is for a New, Amendment, Renewal Only, or Renewal/Modification, applicants may provide the requested authorization expiration date (MM/DD). This item is *optional*.

Item 7. If this request is “major” as defined in Section 1.929 of the FCC’s Rules when read in conjunction with the applicable radio service rules found in Parts 22 and 90 of the FCC’s Rules, applicants must provide **Yes**; otherwise, they must provide **No**.

NOTE: This question applies only to certain site-specific applications. Applicants should see the Form 601 Instructions for applicability and full text of Section 1.929.

Item 8a. If the filing requests a Waiver of the FCC’s Rules, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must then attach an exhibit providing the rule numbers and explaining the circumstances.

Item 8b. If a feeable waiver is requested, then applicants must multiply the number of stations (call signs) times the number of rule sections and provide the result.

Item 8c. If the frequencies or parameters requested in this filing are covered by grandfathered privileges, previously approved by waiver, or functionally integrated with an existing station, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 9. If there are attachments being filed with this application, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they then provide the attachments.

Items 10a. Applicants must provide the their Taxpayer Identification Number (TIN).

Item 10b. Applicants must provide the their SGIN (Sub-Group Identification Number), if applicable.

Item 11. Applicants may utilize the additional options of the applicant/licensee type codes by providing the appropriate code in item 39 of the Form 600. The additional options are “**L**” or Limited Liability Corporation, “**T**” for Trust, “**O**” for Consortium, or “**J**” for Joint Venture.

Items 14 and 15. Applicants must provide the Name and Taxpayer Identification Number (TIN) of the Real Party in Interest of the applicant, if applicable.

Item 24. Applicants may provide their email address. This item is *optional*.

Item 34. Applicants may provide the email address of the contact person. This item is *optional*.

Item 35. Applicants must provide Regulatory Status Code(s) of the type(s) of radio service offering (provide all codes that apply).

- C** Common Carrier
- N** Non Common Carrier
- P** Private Internal Communications
- B** Broadcast Services
- M** Band Manager

Item 36. Applicants must provide the Type(s) of Radio Services that this application, if granted, will provide (provide all codes that apply):

- F** Fixed
- M** Mobile
- R** Radiolocation
- S** Satellite (Sound)
- B** Broadcast Services

Item 38. If the applicant is exempt from FCC application fees, applicants must provide Yes; otherwise, they must provide No.

Item 39. If the applicant is exempt from FCC regulatory fees, applicants must provide Yes; otherwise, they must provide No.

General Certification Statements

Applicants must certify that they waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Applicants must certify that the grant of this application would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicant have sought a waiver of any such rule in connection with this application, then application certification may be subject to the outcome of the waiver request.

Applicants must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application and are true, complete, correct, and made in good faith.

Applicants must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 USC § 862, because of a conviction for possession or distribution of a

controlled substance. This certification does not apply to applications filed in services exempted under Section 1.2002(c) of the rules, 47 CFR § 1.2002(c). Applicants should see Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of “party to the application” as used in this certification.

Applicants must certify that they either:

1. have a current Form 602 on file with the Commission;
2. are filing an updated Form 602 simultaneously with this application; or
3. are not required to file Form 602 under the Commission’s Rules.

Form 601, Schedule D, Supplemental Information

Item 3. Applicants must provide the ULS Location Description Code for each location listed on the application. Valid ULS location description codes are

- FX** Fixed
- MO** Mobile
- IT** Itinerant
- TF** Temporary Fixed
- 6.1** 6.1 Meter Control Station

Item 20. If this request is to add or to modify a fixed location and if the FCC’s rules recognize Primary and Secondary protection in conjunction with other fixed site facilities in the service, applicants must provide “**P**” if they wish primary protection for the site or “**S**” if they wish no protection for the site. If the FCC’s rules do not recognize Primary and Secondary protection in conjunction with other fixed site facilities in the service, applicants do not need to provide Item 20. Applicants should refer to the applicable FCC rules for their service for more information on Primary and Secondary protection.

Item 23. For *each* MO, TF, IT, or 6.1 location listed on the application, applicants must indicate if they propose to operate in an area that requires frequency coordination with Canada. If applicants do, they must provide **Yes**; otherwise, they must provide **No**.

Item 26. For *each* location listed on the application, applicants must indicate if an FCC grant of authorization for the location would be an action that may have a significant environmental effect. If it would, applicants must provide **Yes**; otherwise, they must provide **No**. See Section 1.1307 of 47 CFR. If **Yes**, then applicants must submit an environmental assessment as required by 47 CFR, Sections 1.1308 and 1.1311.

Item 27. For *each* location listed on the application, applicants must indicate if it is located in one of the Quiet Zones listed below. If it is, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, then applicants must provide the date (mm/dd/yy) that the proper authority was notified.

1. National Radio Astronomy Observatory, Green Bank, Pocahontas County, West Virginia. The Quiet Zone is located within Virginia, West Virginia, and Garrett County, Maryland, and bounded by 39° 15' N on the north, 78° 30' W on the east,

37° 30' N on the south, and 80° 30' W on the west. Applicants should contact the National Radio Astronomy Observatory, P.O. Box 2, Green Bank, West VA, 24944.

2. Arecibo Observatory, Puerto Rico. The Quiet Zone consists of the islands of Puerto Rico, Desecheo, Mona, Vieques, and Culebra. Applicants should contact the Interference Office, Arecibo Observatory, P.O. Box 995, Arecibo, Puerto Rico, 00613.

Form 601, Schedule H, Supplemental Information

Items 3, 4, 5, 6 and 7. The appropriate certified frequency coordinator must complete these items if applicants are required to comply with the frequency coordination.

Item 8. If applicants are requesting a new or modified extended implementation plan, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, then applicants must attach an exhibit with a justification and a proposed station construction schedule.

Items 15 and 16. If this request is to add, to modify, or to delete a Control Point (formerly known as the Radio System Operation Point of Contact on the Form 600), applicants must provide the action requested, the ULS Control Point Number, and the County, which is in addition to the information collected on the Form 600 (e.g., street address, city, state and telephone number).

Item 34. If this request includes Paging Receivers, applicants must provide the location number, antenna number, frequency, and number of paging receivers.

**FORM 600 (SEPTEMBER 1997 EDITION) AND FORM 1046 (APRIL 1997 EDITION)
[FOR ASSIGNMENT OF AUTHORIZATION]**

Information Requirements

Applications for Assignment of Authorization (AA) for private wireless services received on or after December 4, 2000, can continue to be filed on Form 600 and 1046, provided that any additional information now collected on FCC Form 603 is included in the application filing.

NOTE: All call signs listed within one application must be licensed to the same assignor. Separate applications (Form 600 and 1046) are required if requesting assignment of licenses authorized to different assignors.

On an 8 ½" x 11" paper attached to the application, applicants must provide the following Supplemental Information, which is now collected on the Form 603:

Main Form 603 Supplemental Information

Item 2b. Applicants must provide the file numbers (if any) of related pending applications currently on file with the FCC.

Item 3a. If the filing request is a *pro forma* assignment of authorization, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 3b. If the filing request is a *pro forma* assignment of authorization and the notification of a *pro forma* transaction is being filed under the FCC's forbearance procedures for telecommunications licenses, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 5. If the filing requests a waiver of the FCC's rules, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, then applicants must attach an exhibit that lists the rule section(s) of the affected rules and explains the circumstances.

Item 6. If attachments are being filed with this application, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, then they must provide attachment(s).

Item 7a. If the transaction that is the subject of this application also involves transfer or assignment of other wireless licenses held by the assignor/transferor or affiliates of the assignor/transferor (e.g., parents, subsidiaries, or commonly controlled entities) that are not included on this form and for which Commission approval is required, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 7b. If the transaction that is the subject of this application also involves transfer or assignment of non-wireless licenses that are not included on this form and for which Commission approval is required, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 8. The filing request must indicate how the assignment of authorization is to be accomplished. If the facilities are to be sold or the stock of the licensee or the entity controlling the licensee is to be assigned to another entity, applicants must provide an **S**. If the assignment of authorization is to be accomplished by court order, applicants must provide a **C**. If applicants cannot provide an **S** or **C**, provide an **O** for Other. If **O** is provided, the assignor must attach an exhibit that explains how the assignment is to be accomplished. Also, if required by applicable rule, applicants must attach an exhibit statement on how control is to be assigned, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.

Item 9. The filing request must indicate whether the assignment of authorization is voluntary or involuntary. If voluntary, applicants must provide **V**; otherwise, they must provide **I**.

Items 10a and 10b. Applicants must provide the Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN) of the Assignor.

Items 45a and 45b. Applicants must provide the Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN) of the Assignee.

Item 49. Applicants may provide the Taxpayer Identification Number (TIN) of the Real Party in Interest of the Assignee.

If any of the answers to the Alien Ownership questions (Items 29, 30, 31 or 32 of the Form 600) is **Yes**, then applicants must provide an attachment explaining the circumstances.

Assignor Certification Statements

The Assignor must certify that either (1) the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or (2) that prior FCC consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. Applicants should see *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

The Assignor must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Assignee Certification Statements

Assignees must certify that either (1) the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or (2) that prior FCC consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. Applicants should see *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

Assignees must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Assignees must certify that grant of this application would not cause the them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request.

Assignees must agree to assume all obligations and abide by all conditions imposed on the Assignor under the subject authorization(s), unless the FCC pursuant to a request made herein otherwise allows, except for liability for any act done by, or any

right accrued by, or any suit or proceeding had or commenced against the Assignor prior to this assignment.

Assignees must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Assignees must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. Assignees should see Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of “party to the application” as used in this certification.

Assignees must certify that it either (1) has an updated Form 602 on file with the FCC, (2) is filing an updated Form 602 simultaneously with this application, or (3) is not required to file Form 602 under the FCC’s Rules.

If the filing requests a partial assignment of authorization (some, but not all, of the facilities of a station license), it is not necessary for the Assignor or the Assignee to file a Form 600 to accompany the Form 490 provided that the filing request provides as an attachment the ULS Location Number and Frequency of the facilities of the station license that is being partially assigned to the Assignee.

For *each* call sign or partial assignment listed on the application, applicants must provide **Yes** if the call sign or partial assignment is constructed; otherwise, they must provide **No**.

FORM 703 (JANUARY 1997 EDITION) [FOR TRANSFER FOR CONTROL]

Information Requirements

Applications for Transfer of Control (TC) for private wireless services received on or after December 4, 2000, can continue to be filed on Form 703, provided that any additional information now collected on FCC Form 603 is included in the application filing.

NOTE: All call signs listed on one application must be licensed to the same entity.

On an 8 ½" x 11" paper attached to the application, provide the following Supplemental Information, which is now collected on the Form 603:

Main Form 603 Supplemental Information

Item 2b. Applicants must provide the file numbers (if any) of related pending applications currently on file with the FCC.

Item 3a. If the filing request is a *pro forma* transfer of control, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 3b. If the filing request is a *pro forma* transfer of control and the notification of a *pro forma* transaction is being filed under the FCC's forbearance procedures for telecommunications licenses, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 5. If the filing is requesting a waiver of the FCC's rules, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit that lists the rule section(s) of the affected rules and explains the circumstances.

Item 6. If attachments are being filed with this application, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicant must provide the attachment(s).

Item 7a. If the transaction that is the subject of this application also involves transfer or assignment of other wireless licenses held by the assignor/transferor or affiliates of the assignor/transferor (e.g., parents, subsidiaries, or commonly controlled entities) that are not included on this form and for which FCC approval is required, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 7b. If the transaction that is the subject of this application also involves transfer or assignment of non-wireless licenses that are not included on this form and for which FCC approval is required, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 8. The filing request must indicate how the transfer of control is to be accomplished. If the facilities are to be sold or the stock of the licensee or the entity controlling the licensee is to be transferred to another entity, applicants must provide an **S**. If the transfer of control is to be accomplished by court order, applicants must provide a **C**. If **S** or **C** is not provided, applicants must provide an **O** for Other. If **O** is provided, the transferor must attach an exhibit that explains how the transfer is to be accomplished. Also, if required by applicable rule, attach as an exhibit a statement on how control is to be transferred, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.

Item 9. The filing request must indicate whether the transfer of control is voluntary or involuntary. If voluntary, applicants must provide **V**; otherwise, they must provide **I**.

Items 10a and 10b. Applicants must provide the Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN) of the Licensee. Item 3 of the Form 703 requests the Taxpayer Identification Number (TIN) of the licensee. The SGIN can also be included in Item 3 of the Form 703 or in the Supplemental Information for Appendix A.

Applicants must provide the Transferor information as required by items 23a, 23b, 24 or 25, 26 and/or 27, 28, 29, 30 and 31 of the Form 603 [Taxpayer Identification Number, SGIN, First and Last Name if individual or Entity Name if other than individual, P.O. Box number and/or Street Address, City, State, Zip Code and Telephone Number].

Applicants may provide the Transferor Contact Representative information as requested in Form 603 by items 34 through and including 43. [First and Last Name, Company Name, P.O. Box number and/or Street Address, City, State, Zip Code, Telephone Number, FAX, and Email Address].

Applicants must provide the Transferee information as required by items 45a, 45b and 56 of the Form 603 [Taxpayer Identification Number, SGIN and Telephone Number].

Applicants may provide the Name and Taxpayer Identification Number (TIN) of the Real Party in Interest of the Transferee and the Transferee's FAX, and Email Address as requested in Form 603 by items 48, 49, 57, and 58.

Applicants may provide the Transferee Contact Representative information as requested in Form 603 by items 59 through and including 68. [First and Last Name, Company Name, P.O. Box number and/or Street Address, City, State, Zip Code, Telephone Number, FAX, and Email Address].

Item 69. If the Transferee is a foreign government or the representative of any foreign government, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit explaining the circumstances.

Item 70. Only applicants who provide or have ownership interest in common carrier services must answer this item. If the Transferee is an alien or the representative of an alien, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit explaining the circumstances.

Item 71. Only applicants who provide or have ownership interest in common carrier services must answer this item. If the Transferee is a corporation organized under the laws of any foreign government, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit explaining the circumstances.

Item 72. Only applicants who provide or have ownership interest in common carrier services must answer this item. If the Transferee is a corporation of which more than one-fifth (1/5) of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit explaining the circumstances.

Item 73. Only applicants who provide or have ownership interest in common carrier services must answer this item. If the Transferee is directly or indirectly controlled by any other corporation of which more than one-fourth (1/4) of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit explaining the circumstances.

Item 74. If the Transferee or any party to this application has had any FCC station authorization, license, or construction permit revoked or had any application for an initial, modification, or renewal of FCC station authorization, license, construction permit denied by the FCC, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach as an exhibit a statement explaining the circumstances and a statement giving the reasons why the transferee believes that grant of the application would be in the public interest notwithstanding the actual or alleged misconduct.

Item 75. If the Transferee or any party to this application, or any party directly or indirectly controlling the Transferee, or any party to this application has ever been convicted of a felony by any state or federal court, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach as an exhibit a statement explaining the circumstances and a statement giving the reasons why the transferee believes that grant of the application would be in the public interest notwithstanding the actual or alleged misconduct.

Item 76. If any court has finally adjudged the Transferee, or any party directly or indirectly controlling the Transferee guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach as an exhibit a statement explaining the circumstances and a statement giving the reasons why the transferee believes that grant of the application would be in the public interest notwithstanding the actual or alleged misconduct.

Item 77. If the Transferee, or any party directly or indirectly controlling the Transferee, is currently a party in any pending matter referred to in the preceding two items, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach as an exhibit a statement explaining the circumstances and a statement giving the reasons why the Transferee believes that grant of the application would be in the public interest notwithstanding the actual or alleged misconduct.

Transferor Certification Statements

The Transferor must certify that either (1) the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or (2) that prior FCC consent is not required because the transaction is subject to

streamlined notification procedures for pro forma assignments and transfers by telecommunications carriers. Applicants should see *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

The Transferor must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Items 79 through 81. Applicants must provide the Transferor's Typed or Printed Name of Party Authorized to Sign [First Name and Last Name are required, Middle Initial and Suffix are optional]. Applicants must also provide the Transferor's Signature and Date of Signature. Title is optional.

Transferee Certification Statements

Transferees must certify that either (1) the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or (2) that prior FCC consent is not required because the transaction is subject to streamlined notification procedures for pro forma assignments and transfers by telecommunications carriers. Applicants should see *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

Transferees must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Transferees must certify that grant of this application would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, this waiver may make this certification subject to the outcome of the request.

Transferees must agree to assume all obligations and abide by all conditions imposed on the Transferor under the subject authorization(s), unless the FCC pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against the Transferor prior to this assignment.

Transferees must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Transferees must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a

controlled substance. Applicants should see Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of “party to the application” as used in this certification.

Transferees must certify that they either

1. have an updated Form 602 on file with the FCC,
2. are filing an updated Form 602 simultaneously with this application, or
3. are not required to file Form 602 under the FCC’s Rules.

Items 82 and 83. Transferees must provide their Typed or Printed Name of Party Authorized to Sign [First Name and Last Name are required, Middle Initial and Suffix are optional]. Title is optional.

For *each* call sign listed on the application, applicants must provide **Yes** if the call sign is constructed; otherwise, they must provide **No**.

FORM 490 (OCTOBER 1997 EDITION) [FOR ASSIGNMENT OF AUTHORIZATION OR TRANSFER OF CONTROL] INFORMATION REQUIREMENTS

Information Requirements

Applications for Assignment of Authorization (AA) or Transfer of Control (TC) for commercial wireless services received on or after December 4, 2000, can continue to be filed on Form 490, provided that any additional information now collected on FCC Form 603 is included in the application filing.

On an 8 ½" x 11" paper attached to the application, provide the following Supplemental Information, which is now collected on the Form 603:

Main Form 603 Supplemental Information

Item 2b. Applicants must provide the file numbers (if any) of related pending applications currently on file with the FCC.

Item 3b. If the filing request is a *pro forma* assignment of authorization or transfer of control, applicants must provide a **Yes**, if this notification of *pro forma* transaction is being filed under the FCC’s forbearance procedures for telecommunications licenses; otherwise, they must provide **No**.

Item 5. If the filing is requesting a waiver of the FCC’s rules, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit that lists the rule section(s) of the affected rules and that explains the circumstances.

Item 6. If attachments are being filed with this application, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide the attachment(s).

Item 7a. If the transaction that is the subject of this application also involves transfer or assignment of other wireless licenses held by the assignor/transferor or affiliates of the assignor/transferor (e.g., parents, subsidiaries, or commonly controlled entities) that are not included on this form and for which FCC approval is required, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 7b. If the transaction that is the subject of this application also involves transfer or assignment of non-wireless licenses that are *not* included on this form and for which FCC approval is required, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 8. The filing request must indicate how the assignment of authorization or transfer of control is to be accomplished. If the facilities are to be sold or the stock of the licensee or the entity controlling the licensee is to be assigned to another entity, applicants must provide an **S**. If the assignment of authorization or transfer of control is to be accomplished by court order, applicants must provide a **C**. If **S** or **C** is not provided, provide an **O** for Other. If **O** is provided, the assignor/transferor must attach an exhibit that explains how the assignment or transfer is to be accomplished. Also, if required by applicable rule, applicants must attach as an exhibit a statement on how control is to be assigned or transferred, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.

Items 10a and 10b. Applicants must provide the Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN) of the Licensee/Assignor.

Items 23a and 23b. Applicants must provide the Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN) of the Transferor if purpose of application is Transfer of Control.

Items 45a and 45b. Applicants must provide the Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN) of the Assignee or Transferee.

Item 49. Applicants may provide the Taxpayer Identification Number (TIN) of the Real Party in Interest of the Assignee or Transferee.

Assignor/Transferor Certification Statements

Assignors or Transferors must certify either that (1) the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or that (2) prior FCC consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. See *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

Assignors or Transferors must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Assignee/Transferee Certification Statements

Assignees or Transferees must certify either that (1) the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or that (2) prior FCC consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. See *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

Assignees or Transferees must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application.

Assignees or Transferees must certify that grant of this application would not cause the Assignees or Transferees to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, they may make this certification subject to the outcome of the waiver request.

Assignees or Transferees must agree to assume all obligations and abide by all conditions imposed on the Assignor or Transferor under the subject authorization(s), unless the FCC pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against the Assignor or Transferor prior to this assignment.

Assignees or Transferees must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Assignees or Transferees must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of “party to the application” as used in this certification.

Assignees or Transferees must certify that they either (1) have an updated Form 602 on file with the FCC; (2) are filing an updated Form 602 simultaneously with this application, or (3) are not required to file Form 602 under the FCC’s Rules.

If the filing is requesting a partial assignment of authorization (some, but not all, of the facilities of a station license), it is not necessary for the Assignor or the Assignee to file a Form 600 to accompany the Form 490 provided that the filing request provides as an attachment the ULS Location Number and Frequency of the facilities of the station license that is being partially assigned to the Assignee.

For *each* call sign or partial assignment listed on the application, applicants must provide **Yes**, if the call sign or partial assignment is constructed; otherwise, they must provide **No**.

FORM 405A (MAY 1997 EDITION) AND FORM 574R (JUNE 2000 EDITION) [FOR RENEWAL ONLY AND FOR CANCELLATION]

Information Requirements

Applications received on or after December 4, 2000, can continue to be filed on Forms 405A and 574R to request renewal of an existing licensed station or reinstate an expired call sign that is no more than 30 days past the expiration date, provided that the supplemental information listed below is included in the filing request.

NOTE: The Renewal Only (RO) purpose will ONLY extend the license term. To change any other information on the license, applicants must use the Renewal/Modification purpose.

On the Form 574R Item 6 [Purpose of Application], only “Renewal” or “Cancellation of License” may be checked.

On the Form 405A Item 11 [Purpose], only “Renew License,” “Reinstate Land Mobile License,” or “Notification of Station Closure” may be checked.

Purpose code RO [Renewal Only] or CA [Cancellation] must be written on the upper right-hand corner of the application.

List Call Sign(s) to be renewed in Item 7 of the Form 405A. Call Sign is already listed in Item 1 of the Form 574R.

On an 8 ½" x 11" paper attached to the application, applicants must provide the following Supplemental Information, which is now collected on the Main Form 601:

Items 10a and 10b. Applicants must provide their Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN).

NOTE: TIN, including SGIN, must be provided in the supplemental information for Appendix A, on the Form 574R application under the box labeled “For FCC Use Only” in the upper right-hand corner of the application, or in the upper right-hand corner of the Form 405A application.

General Certification Statements

Applicants must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Applicants must certify that grant of this application would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, the waiver may make this certification subject to the outcome of the request.

Applicants must certify that all statements made in this application and in exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Applicant must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. 862, because of a conviction for possession or distribution of a controlled substance. Applicants should see Section 1.2002(b) of the rules, 47 CFR 1.2002(b), for the definition of “party to the application” as used in this certification.

Applicants must certify that they either

1. have a current Form 602 on file with the FCC,
2. are filing an updated Form 602 simultaneously with this application, or
3. are not required to file Form 602 under the FCC Rules.

Item 6. A Month and Day of Requested Expiration Date (mm/dd) is optional. Applicants may, if desired, request the month and day of license expiration. However, in no cases will licenses be granted for terms that exceed the license term as governed by the rules for each service.

Item 38. If applicants are exempt from FCC application fees, they must provide **Yes**; otherwise, they must provide **No**.

Item 39. If applicants are exempt from FCC regulatory fees, they must provide **Yes**; otherwise, they must provide **No**.

Item 50. Applicants must provide the typed or printed Name of Party Authorized to Sign in the following format:

First Name: MI: Last Name: Suffix:

Item 51. Title of Party Authorized to Sign is optional.

FORM 405A (MAY 1997 EDITION) AND FORM 574R (JUNE 2000 EDITION) [FOR RENEWAL WITH ACCEPTABLE MINOR CHANGES – RENEWAL/MODIFICATION]

Information Requirements

Applications received on or after December 4, 2000, can continue to be filed on Forms 405A and 574R to request renewal of an existing licensed station or reinstate an expired call sign that is no more than 30 days past the expiration date, provided that any required additional information now collected on FCC Form 601 is included in the application filing.

The acceptable minor changes for the Form 405A and Form 574R are Licensee Name Change Without Change in Ownership, Corporate Structure, Control or Entity, Change of Licensee Mailing Address, Telephone and/or FAX Number, and Change in the Number of Mobiles/Pagers.

Purpose code RM [Renewal/Modification] must be written on the upper right-hand corner of the application.

List Call Sign(s) to be affected by this renewal/modification in Item 7 of the Form 405A. Call Sign is already listed in Item 1 of the Form 574R.

On an 8 ½" x 11" paper attached to the application, applicants must provide the following Supplemental Information, which is now collected on the Main Form 601:

Items 10a and 10b. Applicants must provide their Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN).

NOTE: TIN including SGIN must be provided in the supplemental information for Appendix A, on the Form 574R application under the box labeled “For FCC Use Only” in the upper right-hand corner of the application, or in the upper right-hand corner of the Form 405A application.

Item 6. Applicants may request the month and day of license expiration (MM/DD). However, in no cases will licenses be granted for terms that exceed the license term as governed by the rules for each service. This item is optional.

Item 7. If this request is “major” as defined in Section 1.929 of the FCC’s Rules when read in conjunction with the applicable radio service rules found in Parts 22 and 90 of the FCC’s Rules, applicants must provide **Yes**; otherwise, they must provide **No**.

NOTE: This question only applies to certain site-specific applications. See the Form 601 Instructions for applicability and full text of Section 1.929.

Item 8a. If the filing requests a Waiver of the FCC’s Rules, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit providing the rule numbers and explaining the circumstances.

Item 8b. If a feeable waiver is requested, applicants should multiply the number of stations (call signs) times the number of rule sections and provide the result.

Item 8c. If the frequencies or parameters requested in this filing are covered by grandfathered privileges, previously approved by waiver, or functionally integrated with an existing station, applicants must provide **Yes**; otherwise, they must provide **No**.

Item 9. If there are attachments being filed with this application; applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must provide the attachments.

Item 38. If applicants are exempt from FCC application fees, they must provide **Yes**; otherwise, they must provide **No**.

Item 39. If applicants are exempt from FCC regulatory fees, they must provide **Yes**; otherwise, they must provide **No**

General Certification Statements

Applicants must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Applicants must certify that grant of this application would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, they waiver may make this certification subject to the outcome of the request.

Applicants must certify that all statements made in this application and in exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Applicants must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. 862, because of a conviction for possession or distribution of a controlled substance. Applicants should see Section 1.2002(b) of the rules, 47 CFR 1.2002(b), for the definition of “party to the application” as used in this certification.

Applicants must certify that they either

1. have a current Form 602 on file with the FCC,
2. are filing an updated Form 602 simultaneously with this application, or
3. are not required to file Form 602 under the FCC’s Rules.

Item 50. Applicants must provide the typed or printed Name of Party Authorized to Sign in the following format:

First Name: MI: Last Name: Suffix:

Item 51. Title of Party Authorized to Sign is optional.

LETTER REQUESTS FOR AMENDMENT TO PREVIOUSLY FILED, CURRENTLY PENDING APPLICATIONS

Information Requirements

An Amendment (AM) is a request to change data on a previously filed, currently pending application. The request must accurately reflect the amendment's data. Applicants should refer to applicable FCC rules.

During the six-month interim period, licensees may continue to submit letter requests to notify the FCC of amendments to data for a previously filed, currently pending application, provided that the supplemental information listed below is included in the filing request.

In addition, for letter requests filed with the FCC on or after December 4, 2000, that request to amend location, antenna or control point data must identify the existing location, antenna, or control point by using the location, antenna, and control point numbers assigned by the Universal Licensing System (ULS). If applicants are unsure of the location, antenna, or control point number that corresponds to a particular location, antenna or control point, they can query ULS for the most up-to-date information regarding the pending application. To query the ULS application database for a pending application, applicants should go to <http://www.fcc.gov/wtb/uls>. Alternatively, applicants may call 1-888-CALLFCC (225-5322) for assistance.

Applicants' letter requests must clearly state that the purpose of the request is for an amendment (AM) to a previously filed, currently pending application.

Applicants must provide the file number of the affected pending application.

Applicants must also provide their Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN).

Applicants must clearly identify the requested changes to the pending application.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR ADMINISTRATIVE UPDATE

Information Requirements

Administrative Update (AU) is a request to make changes to administrative data on a license. These changes are limited to changes in license name (without a change in ownership, control or corporate structure), address, phone number, fax number, email, contact information, and control point information.

During the six-month interim period, licensees may continue to submit letter requests to notify the FCC of administrative updates for a license, provided that the supplemental information listed below is included in the filing request.

In addition, for letter requests filed with the FCC on or after December 4, 2000, the existing control points must be identified by using the control point numbers assigned by the Universal Licensing System (ULS). If applicants are unsure of the control point number that corresponds to a particular control point, applicants can query ULS for the most up-to-date information regarding their authorization. To query the ULS license database for a call sign, go to <http://www.fcc.gov/wtb/uls>. Alternatively, applicants may call 1-888-CALLFCC (225-5322) for assistance.

Applicants' letter requests must clearly state that the purpose of the request is for an administrative update (AU).

Applicants must provide their Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN).

Applicants must provide the call sign(s) of the affected station(s). When updating control point information, only one call sign may be listed per Administrative Update request.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR CANCELLATION OF A LICENSE

Information Requirements

A request for Cancellation of a License(s) (CA) cancels all facilities operating under the call sign.

During the six-month interim period, licensees may continue to submit letter requests for cancellation of a license, provided that the supplemental information listed below is included in the filing request.

Applicants' letter requests must clearly state that the purpose of the request is for a Cancellation (CA) of a License.

Applicants must provide their Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN).

Applicants must provide the call sign(s) of the affected station(s) to be canceled.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR WITHDRAWAL OF PENDING APPLICATIONS

Information Requirements

A Withdrawal (WD) is a request to withdraw a previously filed, currently pending application.

During the six-month interim period, licensees may continue to submit letter requests to withdraw a previously filed, currently pending application, provided that the supplemental information listed below is included in the filing request.

Applicants' letter requests must clearly state that the purpose of the request is for a Withdrawal (WD) of a previously filed, currently pending application.

Applicants must provide the file number(s) of the affected pending application(s).

Applicants must also provide their Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN).

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR DUPLICATE LICENSES

Information Requirements

A request for Duplicate License (DU) is a request for a hardcopy duplicate of an existing license.

During the six-month interim period, licensees may continue to submit letter requests for duplicate licenses, provided that the supplemental information listed below is included in the filing request.

Applicants' letter requests must clearly state that the purpose of the request is for a Duplicate (DU) of a License.

Applicants must provide their Taxpayer Identification Number (TIN) and Sub-Group Identification Number (SGIN).

Applicants must provide the call sign(s) of the affected station(s).

If the licensees/applicants are exempt from FCC application fees, they must provide **Yes**; otherwise, they must provide **No**.

If the licensees/applicants are exempt from FCC regulatory fees, they must provide **Yes**; otherwise, they must provide **No**.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR REQUIRED NOTIFICATION OF CONSTRUCTION

Information Requirements

A required notification of construction is a notification to the FCC that (1) construction requirements have been satisfied within the required time period, (2) compliance with yearly station construction commitments for licensees with an approved extended implementation plans have been met, or (3) compliance for final notification

that construction requirements have been met for the referenced system with an approved extension implementation plan. Typically, construction requirements apply when specific authorized components of a license must be constructed and service commenced by a specific date. Refer to the FCC's rules for more information on construction coverage requirements and how they may apply to a service.

During the six-month interim period, licensees may continue to submit letter requests for notification of construction, provided that the supplemental information listed below is included in the filing request.

Applicants' letter requests must *clearly* state that the purpose of the request is for a Required Notification of Construction (NT). Additionally, all letter requests must *clearly* state for which notification purpose—either S, G or H—and must also provide the appropriate additional data as indicated below:

S Satisfaction of Construction Requirements (Site-Licensed Services Only)

Construction requirements for the referenced system have been met. Applicants must also provide, as applicable, call signs, location numbers, frequencies, and number of operational mobiles to identify which construction requirements have been met. Number of operational mobiles applies only to Land Mobile Radio Services operating in the 470–512 MHz band and non-SMR conventional systems operating above 800 MHz only.

Extended Implementation (Slow Growth) for Land Mobile Services Only

G Notification of compliance with **yearly** station construction commitments for licensees with approved extended implementation plans. Applicants must also provide the call sign(s) of the affected station(s).

H **Final** notification that construction requirements have been met for the referenced system with approved extension implementation plan. Applicants must also provide, as applicable, call signs, location numbers, frequencies, and number of operational mobiles to identify which construction requirements have been met. Number of operational mobiles only applies to Land Mobile Radio Services operating in the 470–512 MHz band and non-SMR conventional systems operating above 800 MHz only.

To identify existing location numbers, applicants must use the location numbers assigned by the Universal Licensing System (ULS). If applicants are unsure of the location number that corresponds to a particular location, they can query ULS for the most up-to-date information regarding the license. To query the ULS database for a license, applicants should go to <http://www.fcc.gov/wtb/uls>. Alternatively, applicants may call 1-888-CALLFCC (225-5322) for assistance.

If licensees/applicants are exempt from FCC application fees, they must provide **Yes**; otherwise, they must provide **No**.

If licensees/applicants are exempt from FCC regulatory fees, they must provide **Yes**; otherwise, they must provide **No**.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR EXTENSION OF TIME FOR CONSTRUCTION

Information Requirements

An extension of time for construction is a request for additional time to satisfy construction requirements. Typically, construction requirements apply when specific authorized components of a license must be constructed and service commenced by a specific date. Applicants should refer to the FCC's rules for more information on construction coverage requirements and how these requirements may apply to a service.

During the six-month interim period, licensees may continue to submit letter requests for extension of time for construction, provided that the supplemental information listed below is included in the filing request.

Applicants' letter requests must clearly state that the purpose of the request is for an Extension of Time for Construction (EX). An extension of time may be requested for specific portions of a system. Applicants must provide only those items that are necessary to identify which portions of the system that the extension of time is requested (i.e., Call Sign, Location Number, and/or Frequency).

To identify existing location numbers, applicants must use the location numbers assigned by the Universal Licensing System (ULS). If applicants are unsure of the location number that corresponds to a particular location, they can query ULS for the most up-to-date information regarding the license. To query the ULS database for a license, applicants should go to <http://www.fcc.gov/wtb/uls>. Alternatively, applicants may call 1-888-CALLFCC (225-5322) for assistance

Applicants must provide the new construction expiration date that is being requested.

Applicants must describe the circumstances and provide a justification for this extension of time request.

If licensees/applicants are exempt from FCC application fees, they must provide **Yes**; otherwise, they must provide **No**.

If licensees/applicants are exempt from FCC regulatory fees, they must provide Yes; otherwise, they must provide No.

Licensees/applicants must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Licensees/applicants must certify that grant of this request would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this request, the waiver may make this certification subject to the outcome of the request.

Licensees/applicants must certify that all statements made in this request and in exhibits, attachments, or documents incorporated by reference are material, are part of this request, and are true, complete, correct, and made in good faith.

Licensees/applicants must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR 1.2002(b), for the definition of “party to the application” as used in this certification.

Licensees/applicants must certify that they either

1. have a current Form 602 on file with the FCC,
2. are filing an updated Form 602 simultaneously with this application, or
3. are not required to file Form 602 under the FCC’s Rules.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR NOTIFICATION OF CONSUMMATION

Information Requirements

A notification of consummation is a filing to notify the FCC that the assignment of authorization or transfer of control transaction has been consummated. Upon consummation of any assignment of authorization or transfer of control, assignees or transferees must notify the FCC of the date of consummation.

During the six-month interim period, applicants may continue to submit letter requests for notification of consummation, provided that the supplemental information listed below is included in the filing request.

Applicants' letter requests must *clearly* state that the purpose of the request is for a Notification of Consummation (NT).

Applicants must provide the File Number of the Assignment of Authorization or the Transfer of Control and the Date of Consummation (mm/dd/yy).

If licensees/applicants are exempt from FCC application fees, they must provide **Yes**; otherwise, they must provide **No**.

If licensees/applicants are exempt from FCC regulatory fees, they must provide **Yes**; otherwise, they must provide **No**.

Assignees or Transferees must certify either (1) the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or (2) that prior FCC consent is not required because the transaction is subject to streamlined notification procedures for pro forma assignments and transfers by telecommunications carriers. Applicants should see *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

Assignees or Transferees must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Assignees or Transferees must certify that grant of this application would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, the waiver may make this certification subject to the outcome of the request.

Assignees or Transferees must agree to assume all obligations and abide by all conditions imposed on them under the subject authorization(s), unless the FCC pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against Assignors or Transferors prior to this assignment.

Assignees or Transferees must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Assignees or Transferees must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or

distribution of a controlled substance. Applicants should see Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of “party to the application” as used in this certification.

Applicant must certify that they either

1. have an updated Form 602 on file with the FCC,
2. are filing an updated Form 602 simultaneously with this application, or
3. are not required to file Form 602 under the FCC’s Rules.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR EXTENSION OF TIME FOR CONSUMMATION

Information Requirements

An Extension of Time for Consummation is a request to the FCC for additional time to consummate an assignment of authorization or transfer of control transaction. Assignments of authorization and transfers of control must be consummated within 60 days of FCC approval, unless the FCC grants an extension of time to complete a specific transaction.

During the six-month interim period, applicants may continue to submit letter requests for extension of time for consummation, provided that the supplemental information listed below is included in the filing request.

Applicants’ letter requests must clearly state that the purpose of the request is for an Extension of Time for Consummation (EX).

Applicants must provide the File Number of the Assignment of Authorization or Transfer of Control and the New Consummation Expiration Date Requested (mm/dd/yy).

Applicants must describe the circumstances and provide a justification for this extension of time request.

If licensees/applicants are exempt from FCC application fees, they must provide Yes; otherwise, they must provide No.

If licensees/applicants are exempt from FCC regulatory fees, they must provide Yes; otherwise, they must provide No.

Assignees or Transferees must certify either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the FCC has been given, or (2) that prior FCC consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. Applicants should see *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

Assignees or Transferees must waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Assignees or Transferees must certify that grant of this application would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, the waiver may make this certification subject to the outcome of the request.

Assignees or Transferees must agree to assume all obligations and abide by all conditions imposed on Assignors or Transferors under the subject authorization(s), unless the FCC pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against Assignors or Transferors prior to this assignment.

Assignees or Transferees must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Assignees or Transferees must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of “party to the application” as used in this certification.

Applicants must certify that they either

1. have an updated Form 602 on file with the FCC,
2. are filing an updated Form 602 simultaneously with this application, or
3. are not required to file Form 602 under the FCC’s Rules.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

LETTER REQUESTS FOR SPECIAL TEMPORARY AUTHORITY

Information Requirements

A Special Temporary Authority (STA) is a request to the FCC that requires immediate or temporary use of facilities, and/or to install and/or to operate new or modified equipment.

During the six-month interim period, applicants may continue to submit letter requests for STAs, provided that the supplemental information listed below is included in the filing request.

Applicants' letter requests must clearly state that the purpose of the request is for an STA. Applicants must also provide an attachment that includes the following information: description of the nature of the extraordinary circumstance, equipment to be used, type of operation to be conducted, and an explanation of how the facilities will be used, and both times and dates of operation.

If the filing is for an STA due to an emergency situation, applicants must provide **Yes**; otherwise, they must provide **No**. Applicants should refer to Rule 1.915 for an explanation of situations considered to be an emergency.

If the filing requests a Waiver of the FCC's Rules, applicants must provide **Yes**; otherwise, they must provide **No**. If **Yes**, applicants must attach an exhibit providing the rule numbers and explaining the circumstances.

If a feeable waiver is requested, applicants should multiply the number of stations (call signs) times the number of rule sections and provide the result.

If the frequencies or parameters requested in this filing are covered by grandfathered privileges, previously approved by waiver, or functionally integrated with an existing station, applicants must provide **Yes**; otherwise, they must provide **No**.

Applicants must provide the Taxpayer Identification Number (TIN); SGIN (Sub-Group Identification Number), when applicable; Entity Name, Mailing Address, and Telephone Number.

Applicants must provide the applicant/licensee type code from the following list:

- I** Individual
- C** Corporation
- U** Unincorporated Association
- L** Limited Liability Corporation
- T** Trust

- P** Partnership
- G** Government Entity
- O** Consortium
- J** Joint Venture

Applicants must provide Regulatory Status Code(s) of the type(s) of radio service offering (provide all codes that apply).

- C** Common Carrier
- N** Non Common Carrier
- P** Private Internal Communications
- B** Broadcast Services
- M** Band Manager

Applicants must provide the Type(s) of Radio Services that this application, if granted, will provide (provide all codes that apply):

- F** Fixed
- M** Mobile
- R** Radiolocation
- S** Satellite (Sound)
- B** Broadcast Services

If applicants propose to provide interconnected service to the public switched telephone network, as defined in the FCC rules, they must provide **Yes**; otherwise, they must provide **No**.

If applicants are exempt from FCC application fees, they must provide **Yes**; otherwise, they must provide **No**.

If applicants are exempt from FCC regulatory fees, they must provide **Yes**; otherwise, they must provide **No**.

If applicants are a foreign government or the representative of any foreign government, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If applicants are an alien or the representative of an alien, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If applicants are a corporation organized under the laws of any foreign government, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If applicants are a corporation of which more than one-fifth (1/5) of the capital stock is owned of record or voted by aliens or their representatives or by a foreign

government or representative thereof or by any corporation organized under the laws of a foreign country, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If applicants are directly or indirectly controlled by any other corporation of which more than one-fourth (1/4) of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If applicants, or any party to this application or amendment have had any FCC station authorization, license, or construction permit revoked or had any application for an initial, modification or renewal of FCC station authorization, license, construction permit denied by the FCC, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If applicants or any party to this application or amendment, or any party directly or indirectly controlling the applicant, have ever been convicted of a felony by any state or federal court, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If any court has finally adjudged applicants or any party directly or indirectly controlling the applicants guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition, they must provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

If applicants or any party directly or indirectly controlling the applicant, are currently a party in any pending matter referred to in the preceding two items, they provide **Yes**; otherwise, they must provide **No**. If **Yes**, they must provide an attachment explaining the circumstances.

General Certification Statements

Applicants must certify that they waive any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and request an authorization in accordance with this application.

Applicants must certify that grant of this application would not cause them to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule. If applicants have sought a waiver of any such rule in connection with this application, the waiver may make this certification subject to the outcome of the request.

Applicants must certify that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Applicants must certify that neither they nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under Section 1.2002(c) of the rules, 47 CFR § 1.2002(c). Applicants should see Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of “party to the application” as used in this certification.

Applicants must certify that they either

1. have a current Form 602 on file with the FCC,
2. are filing an updated Form 602 simultaneously with this application, or
3. are not required to file Form 602 under the FCC’s Rules.

Applicants must provide the typed or printed Name of the Party Authorized to Sign the request in the following format:

First Name: MI: (optional) Last Name: Suffix: (optional)

Title of the party authorized to sign the request is optional.

Applicants must provide the signature and date of signature of the party authorized to sign the request.

Applicants must provide the appropriate technical data to identify the facilities to be included in the STA request. Applicants should refer to the Form 601 Instructions for Schedule D to identify locations and to Schedule H to identify administrative data, antenna(s) and frequency(s).

NOTE: Applicants must provide a Control Point (including street address, city or town, county, state and telephone number.

NOTE: All coordinates must be referenced to the North American Datum of 1983 (NAD83), except for sites in the Northern Mariana Islands, Midway Island, and Wake Island, where applicants continue to reference the applicable local datum. NAD83 information can be determined in many ways, including a GPS receiver, a 7.5-minute topographical quadrangle map of the area, or applicants may consult the city or county surveyor in their area. Applicants can find additional information on determining and converting geographic coordinates on the ULS homepage at <http://www.fcc.gov/wtb/uls/utilities/nadcon.html>. Topographical maps may be purchased from the U.S. Geological Survey, Washington, DC, 20242 or from its office in Denver, CO, 80225.