

**Reducing uncertainties associated with  
terrestrial carbon cycle-climate system  
feedbacks: Improved Earth System  
Model process representation for arctic,  
tropical, and temperate systems.**

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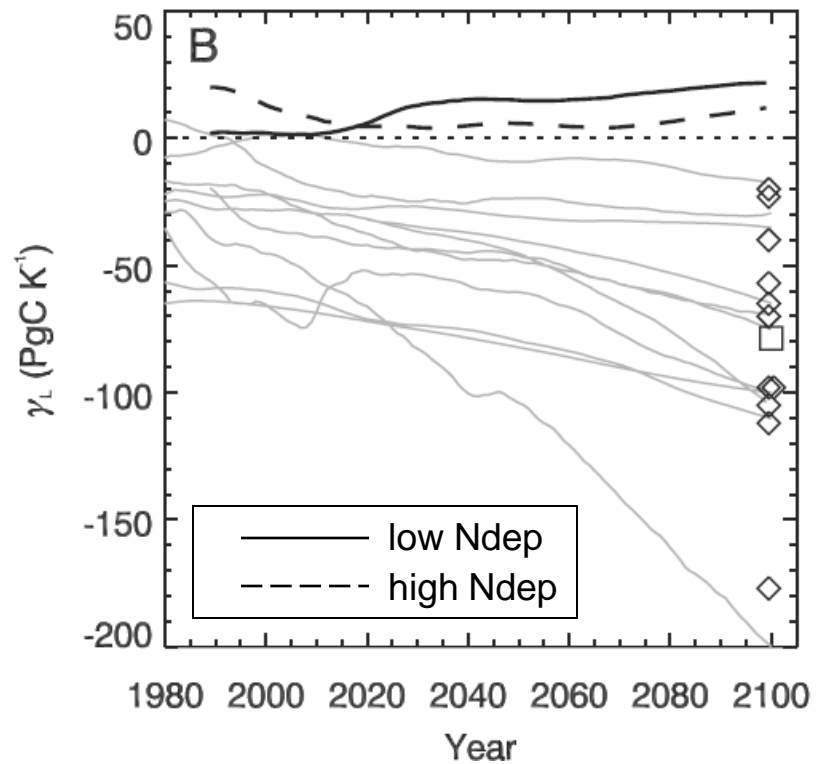
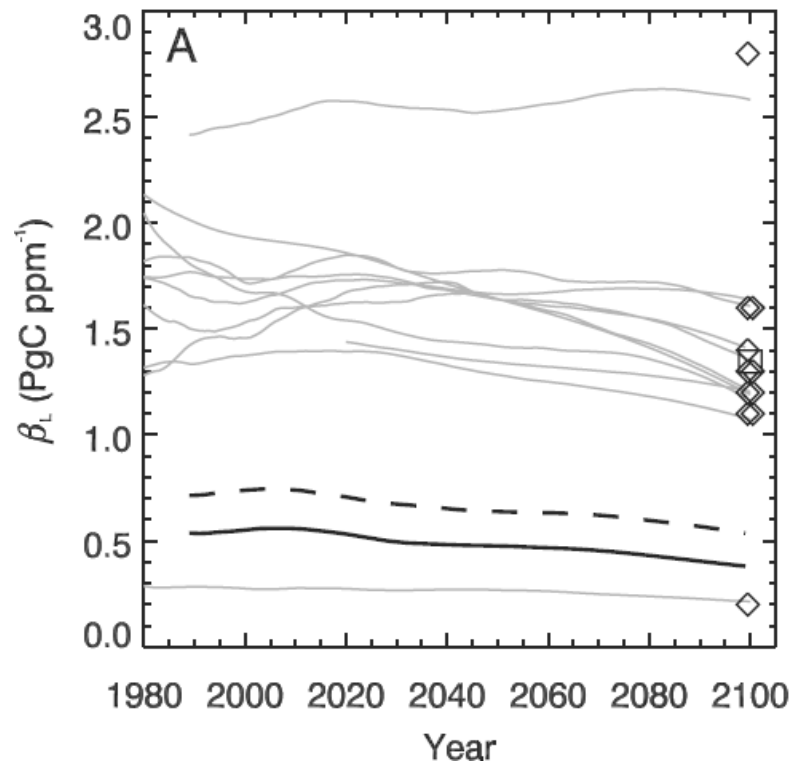
Umakant Mishra, Charles D. Koven; LBNL

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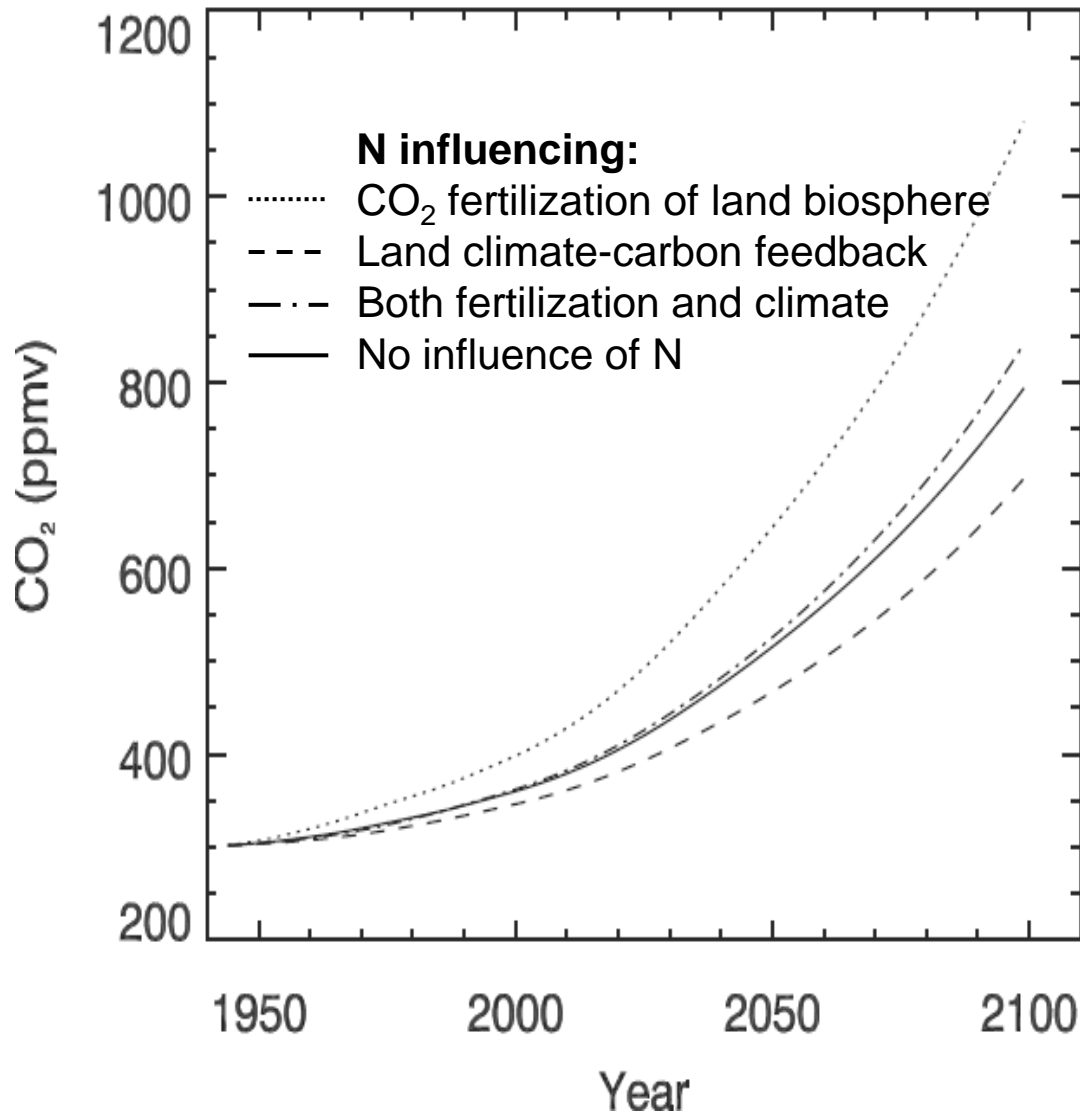
# Motivation:

Process understanding suggests large uncertainty in coupled model carbon cycle-climate feedback

Land components of climate-carbon cycle feedback



## Nitrogen cycle influence on atm CO<sub>2</sub>



- Our current best terrestrial ecosystem process knowledge is not incorporated in Earth System Models
- There is the potential for large influence of new processes on ecosystem-climate feedback
- We don't have the right diagnostic tools to evaluate the importance and credibility of new terrestrial ecosystem processes at the global scale.

Based on: Friedlingstein et al. 2006, Thornton et al. 2009

# Approach

- Prioritize new process representations
- Implement in CLM
- Develop new multi-scale diagnostics
- Evaluate CLM as new processes are coupled
- Extend application of diagnostic tools to CMIP5 models

# Project outline

- Tropical Forest (ORNL)
  - Integration of phosphorus with existing C-N coupling in CLM
  - Evaluation against experimental results
- Temperate Forest (LANL)
  - Improvement of ecosystem demography model (ED): nitrogen allocation and hydrology
  - Integration of ED within CLM: PFT cohorts
- Arctic ecosystems (LBNL)
  - Characterize soil C stocks and permafrost state
  - Evaluate candidate belowground models
- Integration (UCI, ORNL, all)
  - Develop new coupled-model diagnostic tools
  - Evaluate CMIP5 model results
  - Exercise and evaluate CESM with new CLM processes

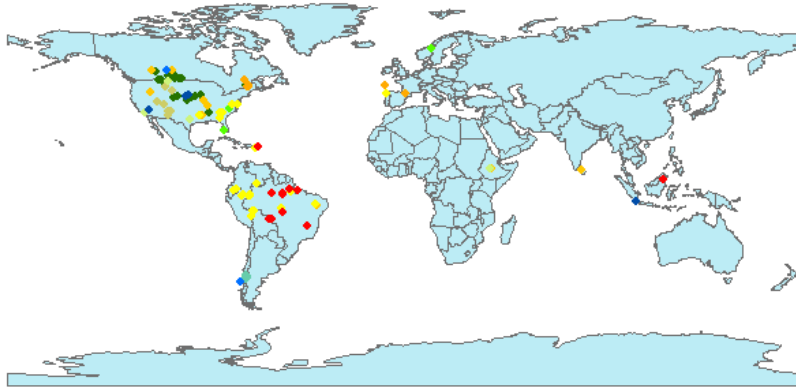
# Efforts on Phosphorus cycle

- Building Hedley P database from literature
- Developing spatially explicit global maps of different forms of P to provide initialization data for the global application of terrestrial C-N-P models
- Incorporating P cycle into CLM-CN model

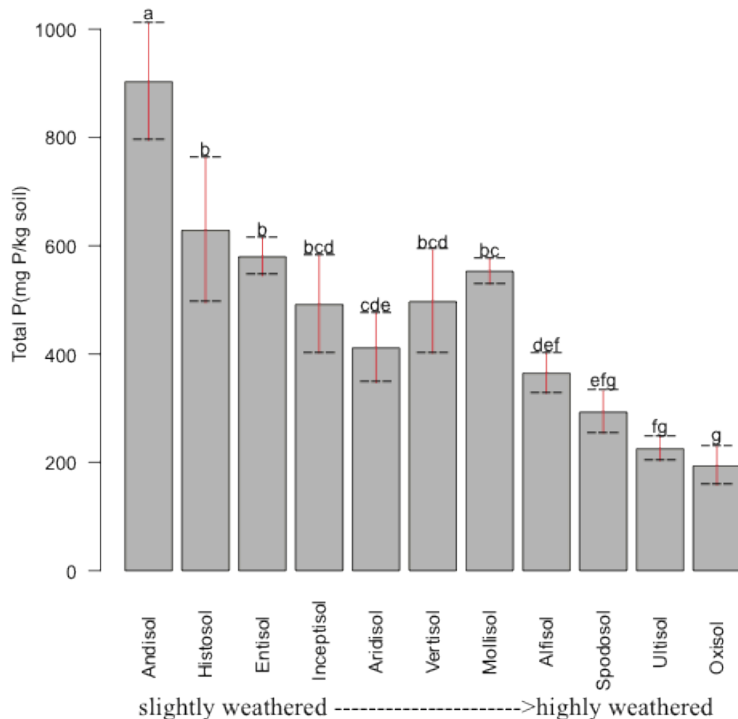
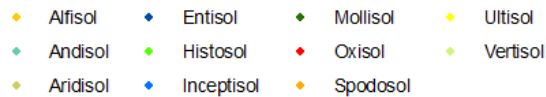
# Hedley P database

- Hedley sequential fractionation method- a useful tool to examine different forms of P in soils (Labile Pi, secondary mineral Pi, apatite P, occluded P, organic P)
- 178 soil measurements from literature
- Categorized by USDA soil order, useful for understanding of phosphorus transformations as a function of pedogenesis
- Useful for investigating C:N:P stoichiometry in soil organic matter by providing organic C,N,P measurements

# Hedley P database



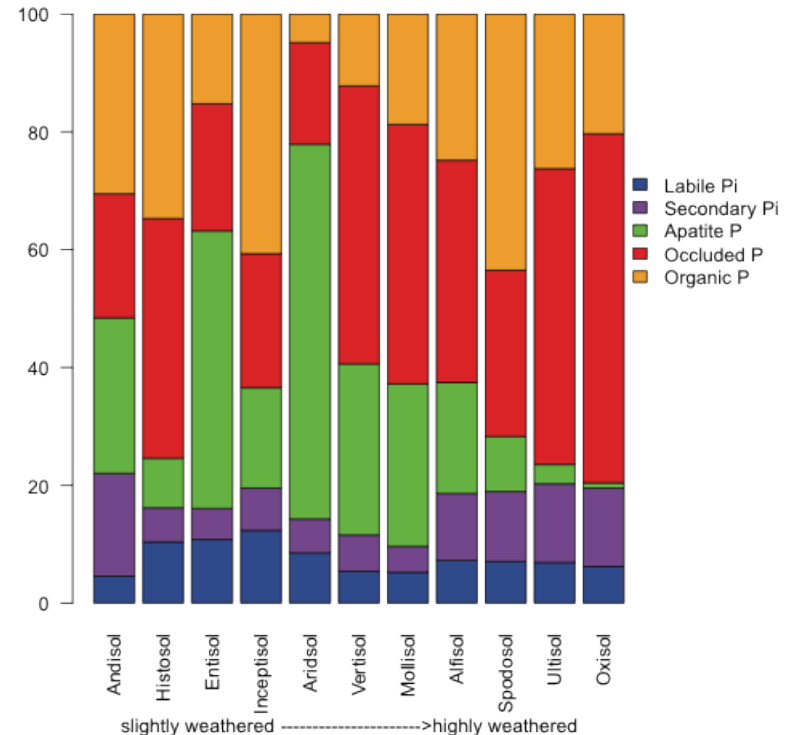
## Legend



• Supports the Walker and Syers(1976)' conceptual model for P transformation during pedogenesis:

- Decrease of total P
- Continual increase and eventual dominance of occluded P fraction
- First increase and then decrease of organic P fraction

• But we found persistence of non-occluded P fraction (Labile Pi plus secondary Pi) – dust deposition and dissolution of occluded P?

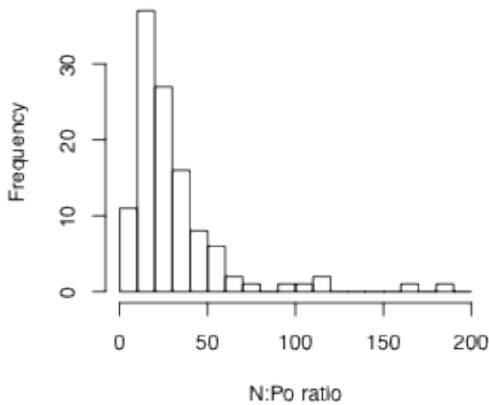




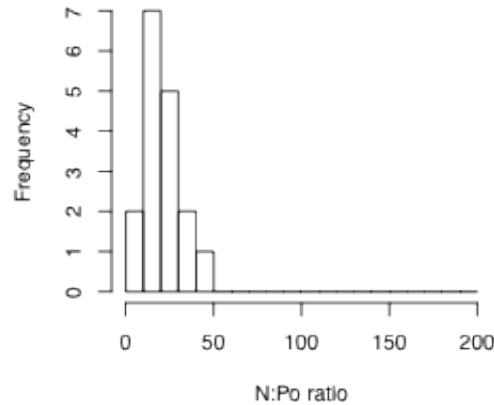
# Hedley P database

## C:N:Po stoichiometry in soil organic matter

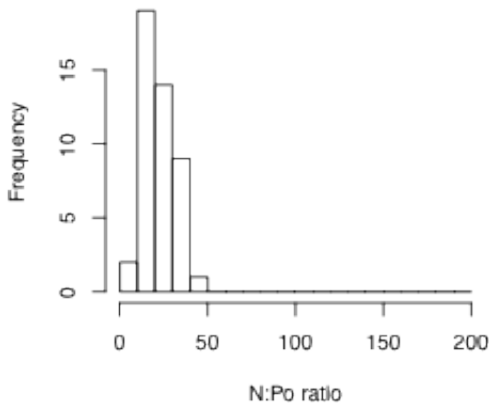
All soils



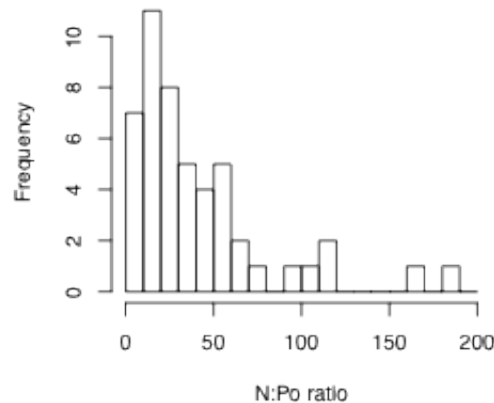
Slightly weathered soils



Intermediately weathered soils



Highly weathered soils



- Carbon and nitrogen in soil organic matter are closely linked in all soils

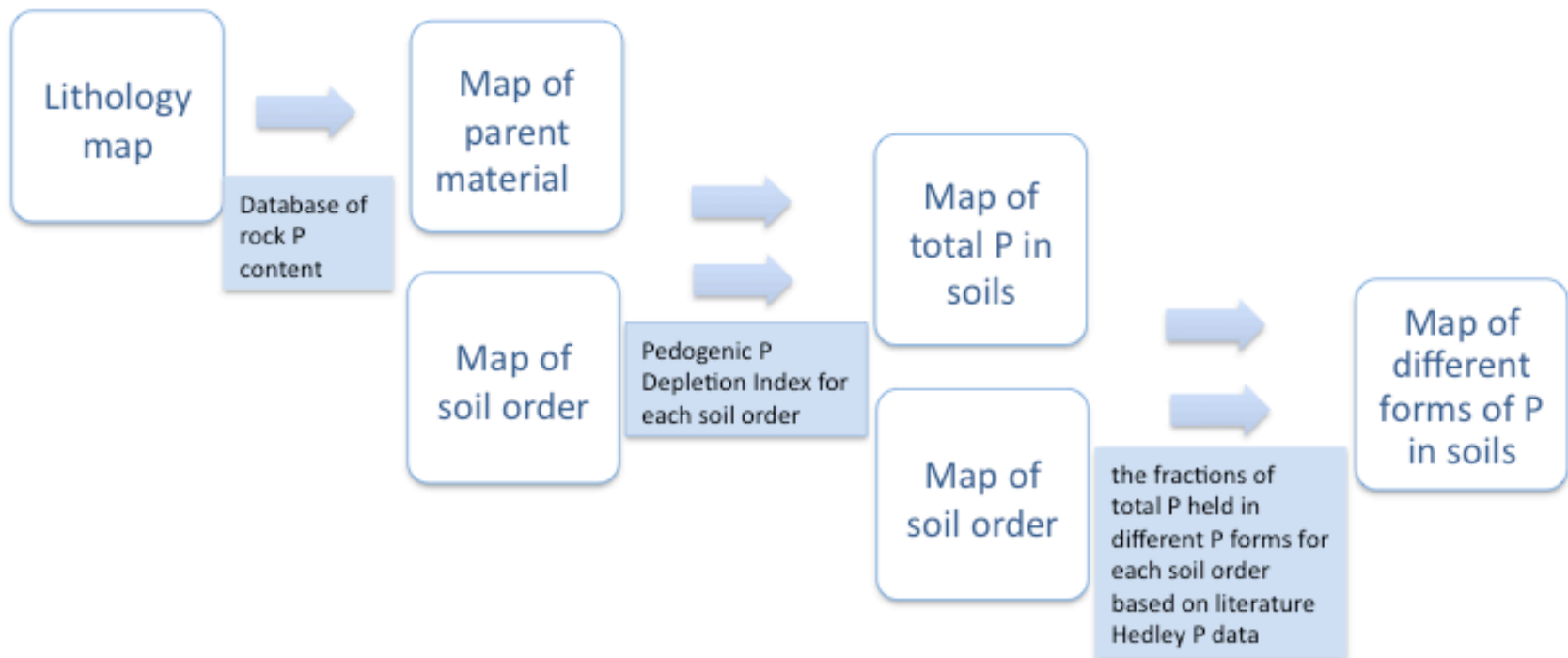
- The decoupling of P from C and N in highly weathered soils

- Larger variation of N:Po
- Higher mean values of N:Po

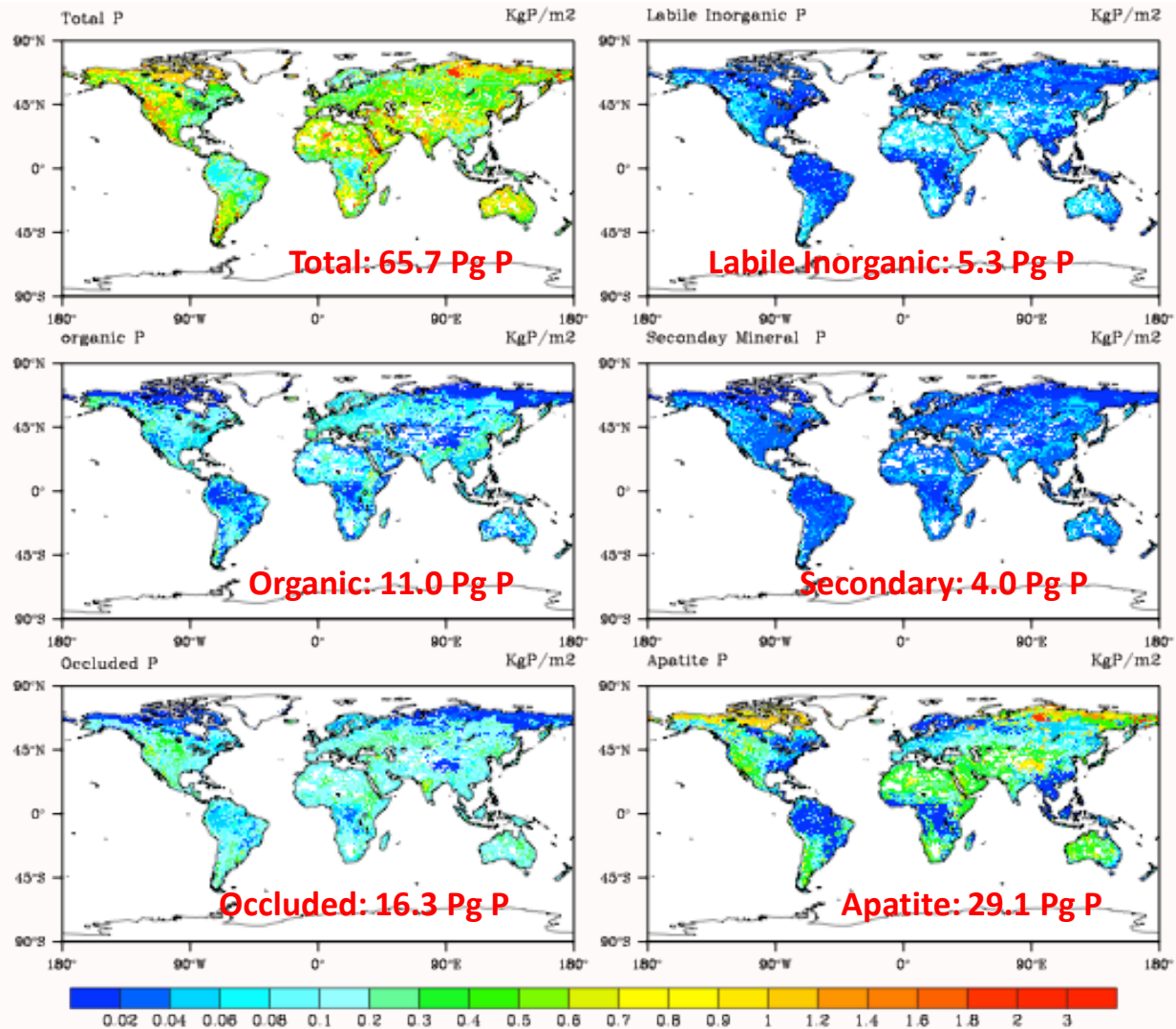
- Biological and biochemical mineralization of organic P

- Another indicator of P limitation in highly weathered tropical soils

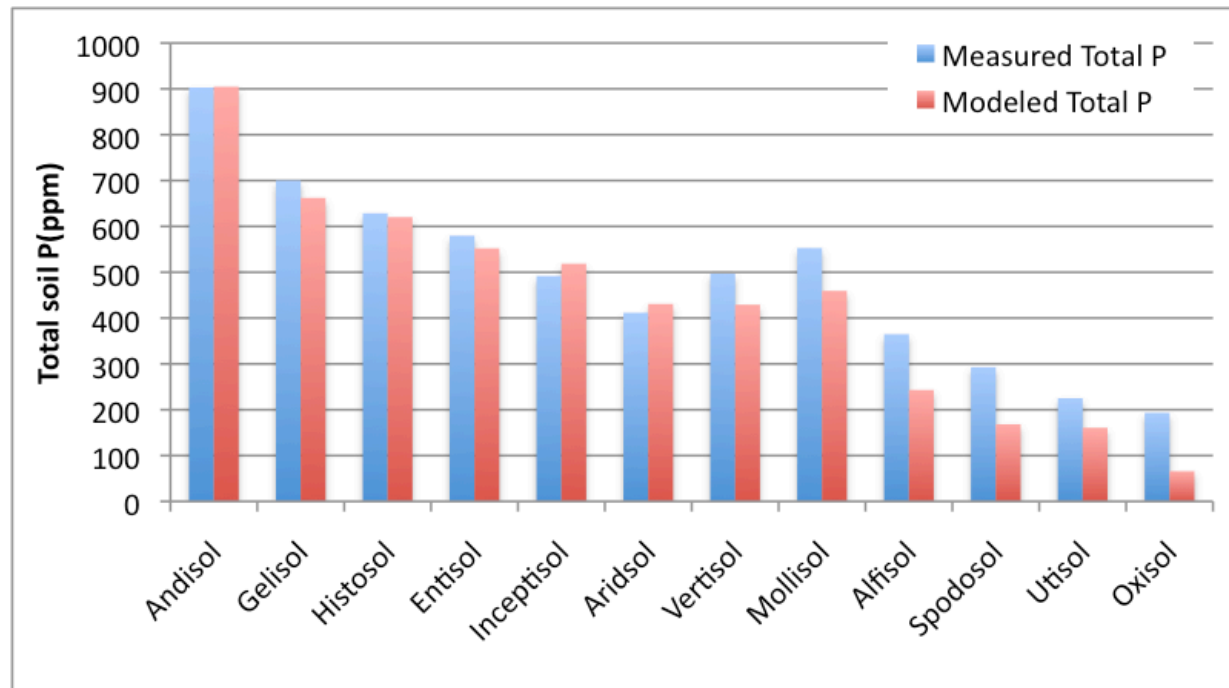
# A data based approach for the initialization of various P pools for global models



# Distribution of different forms of P in soils



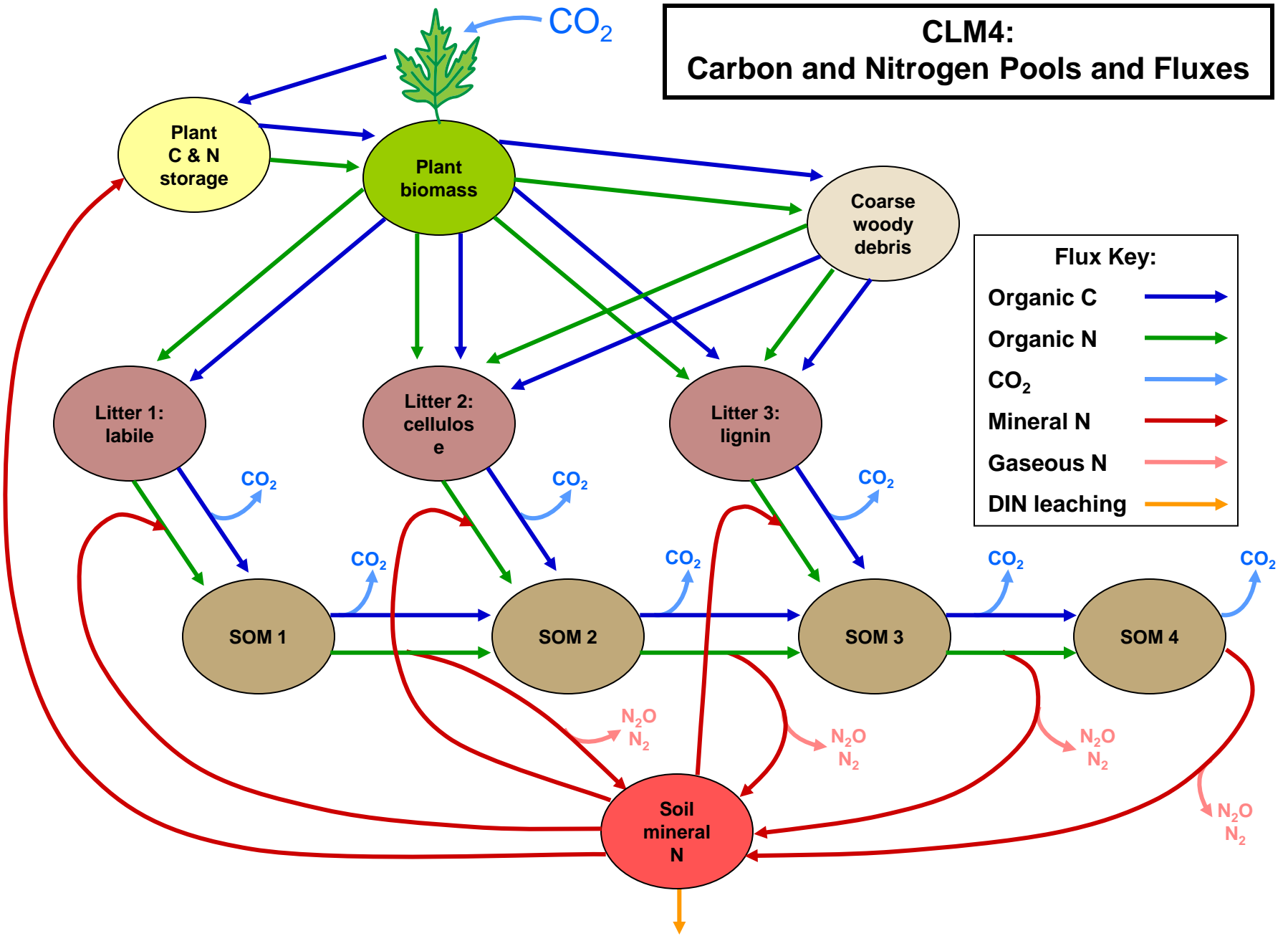
# The comparison of estimated total P with field measurement of total P for each soil order



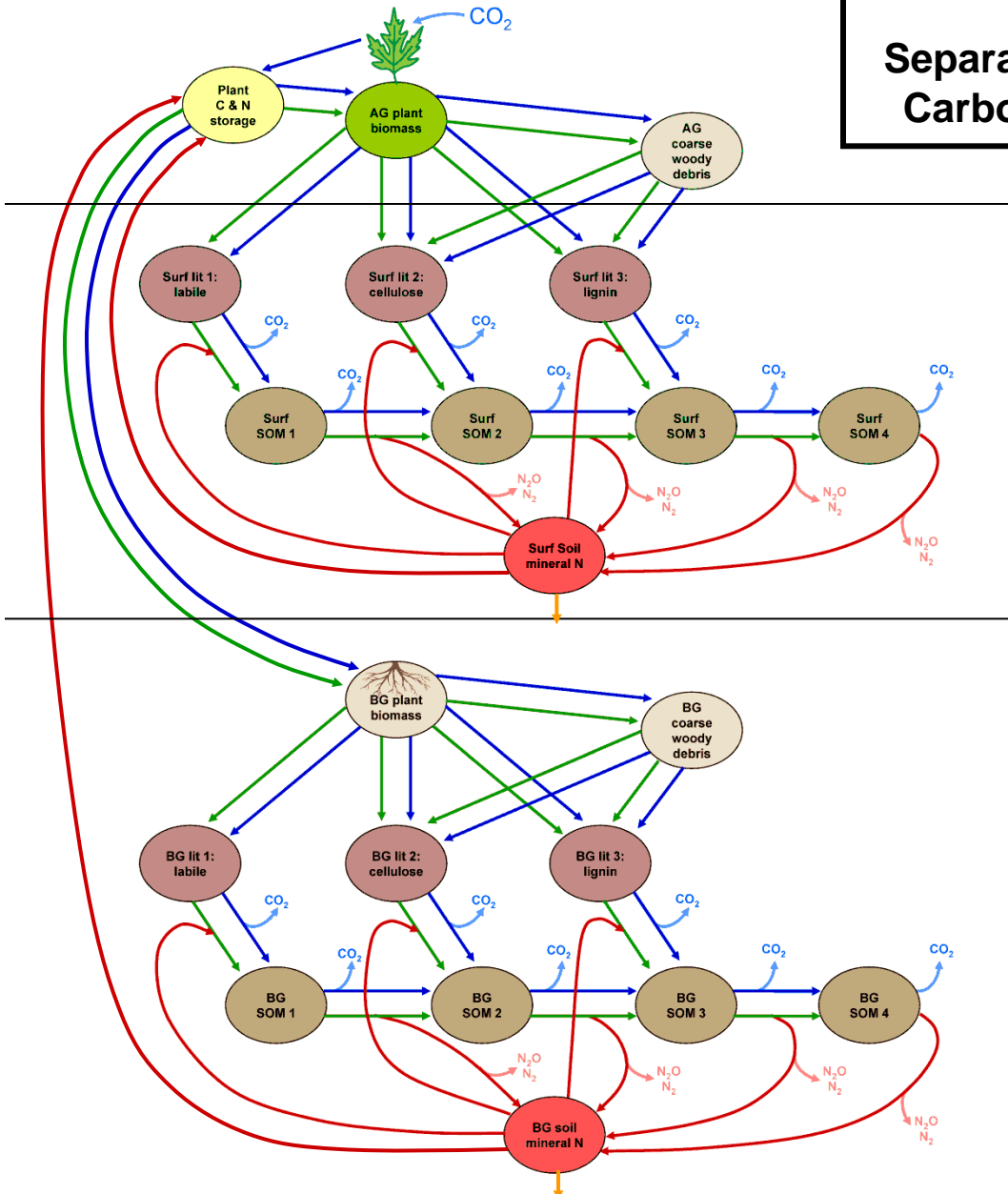
# Evaluation of CLM against tropical litter manipulation experiment

- Long-term manipulative experiment altering litter inputs in a mature lowland semi-evergreen tropical forest in Panama.
- 6-year study: aboveground litter removed from one set of plots and added to adjacent plots.
- Found enhanced leaf production on litter addition plots, suggesting alleviation of nutrient limitation
- Highlighted the importance of organic P in supplying tropical forest growth requirements
- Identified priming effect of litter addition on soil respiration
- [Sayer et al., 2011, Nature Climate Change]

**CLM4:  
Carbon and Nitrogen Pools and Fluxes**



# CLM4-EBIS: Separation of Surface and Below-ground Carbon and Nitrogen Pools and Fluxes



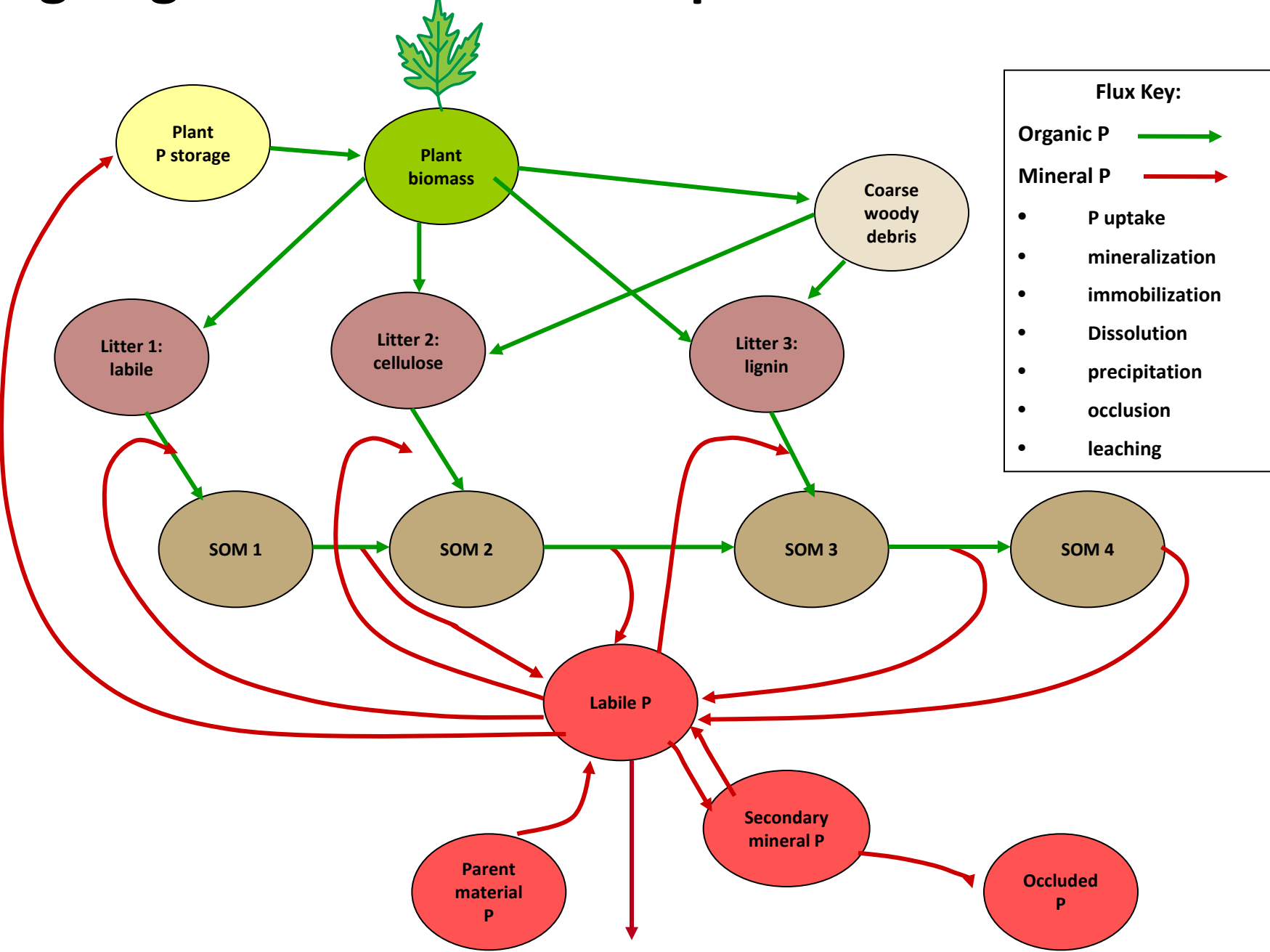
Surface layer

Flux Key:	
Organic C	Blue arrow
Organic N	Green arrow
$CO_2$	Light blue arrow
Mineral N	Red arrow
Gaseous N	Pink arrow
DIN leaching	Orange arrow

Mineral soil layer

**Leverage point:  
ORNL TES SFA, and CSSEF**

# Ongoing Efforts: CLM4 Phosphorus Pools and Fluxes



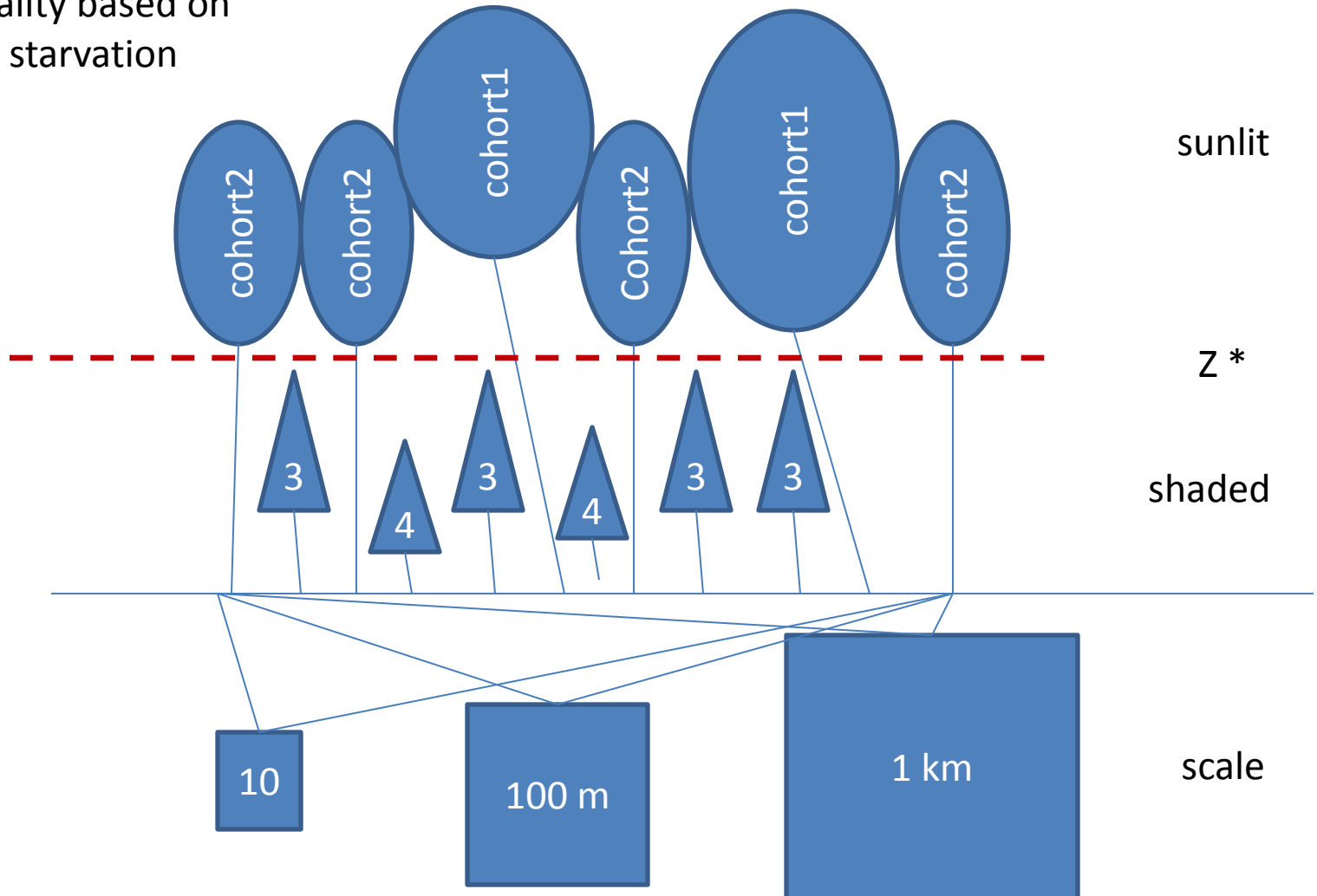


# Temperate Forest:

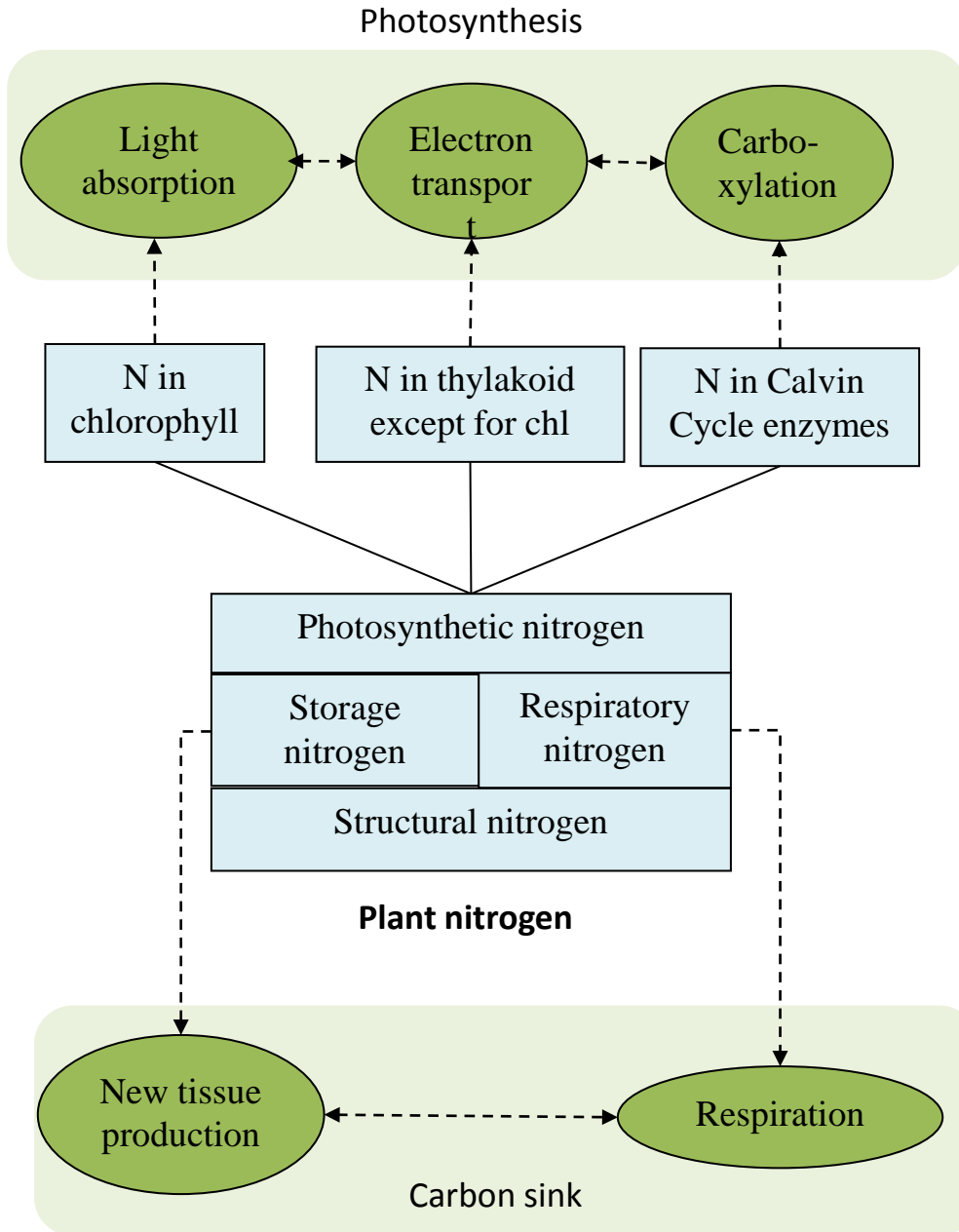
- Improvements in ED
- Coupling CLM + ED

# ED model: Scale and light competition

Tree mortality based on carbon starvation

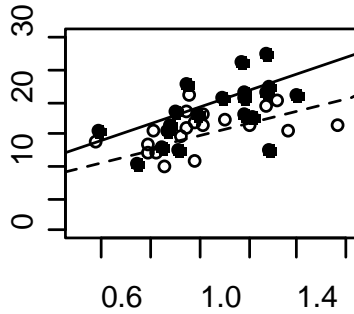


# Mechanistic nitrogen allocation model for ED



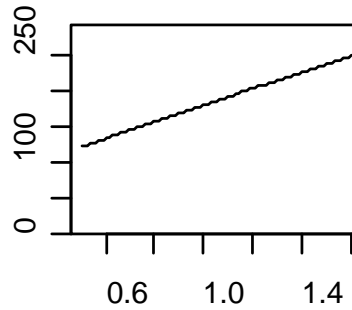
# Allocation model test against CO<sub>2</sub> enrichment

V<sub>c</sub>max25(μmol CC



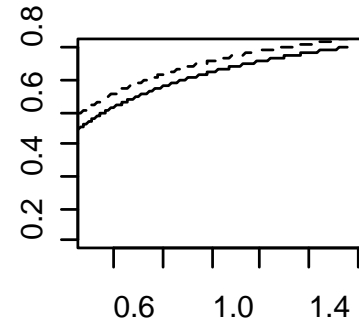
Leaf nitrogen (g/l)

Nitrogen storages



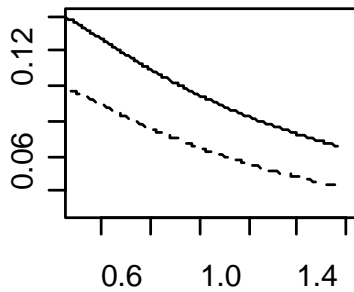
Functional nitrogen

Proportion of N for



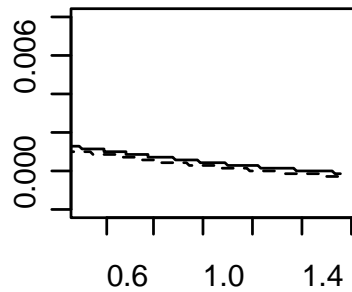
Leaf nitrogen(g/r)

Proportion of N for



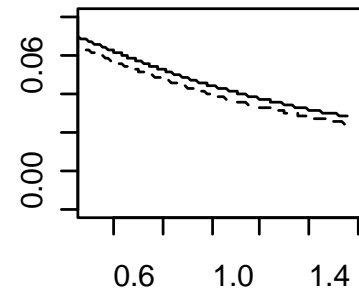
Leaf nitrogen(g/r)

Proportion of N for



Leaf nitrogen(g/r)

Proportion of N for



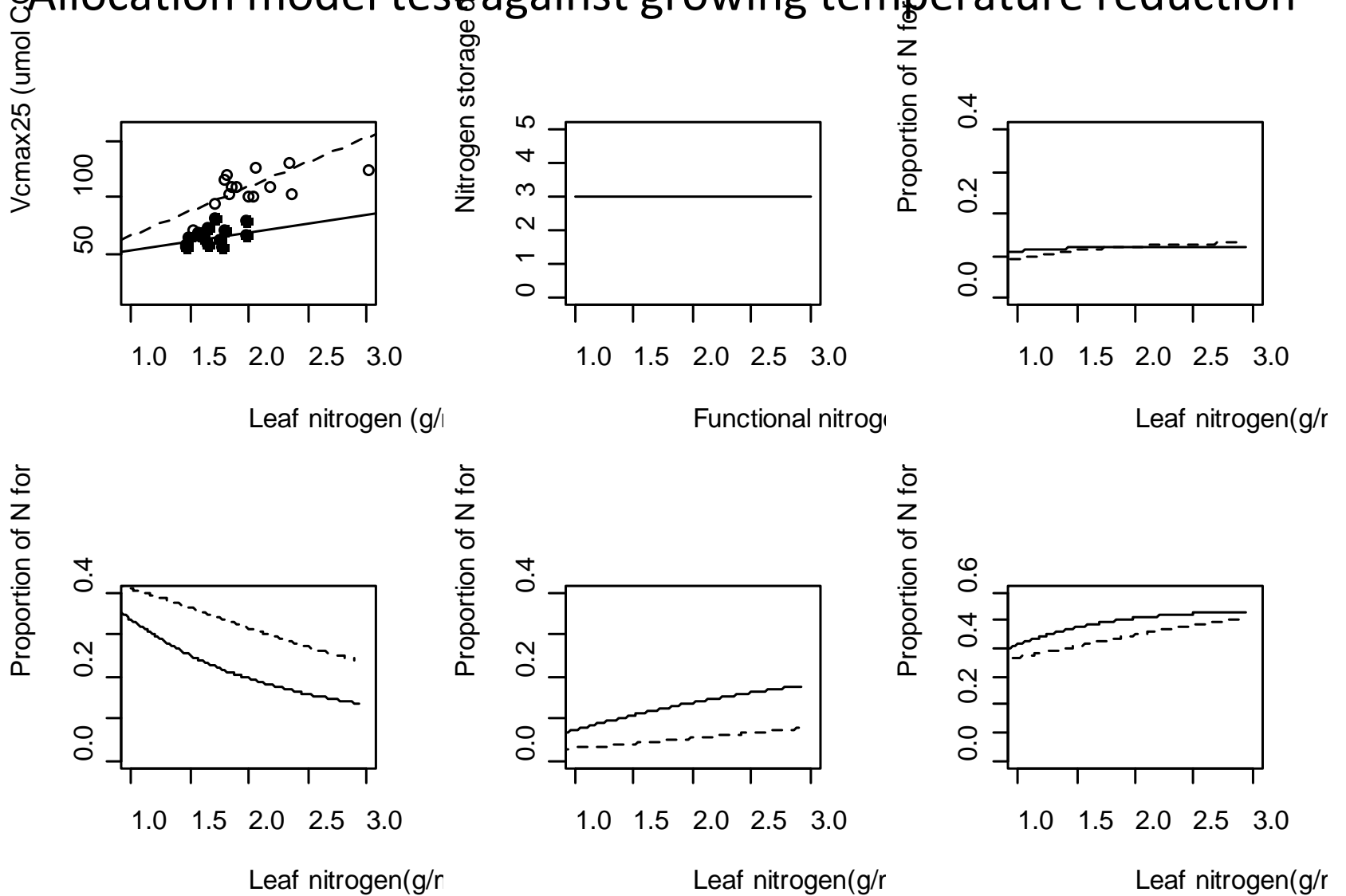
Leaf nitrogen(g/r)

Closed circles---[CO<sub>2</sub>]=370 ppm

Open circles- --[CO<sub>2</sub>]=570 ppm.

Data are from duke FACE experiment ([Crous et al., 2008](#)).

# Allocation model test against growing temperature reduction



or Closed circles---growth temperature =30°C

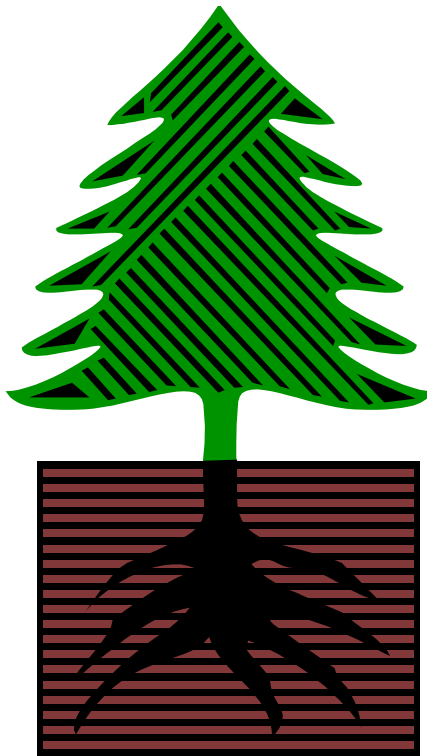
Open circles-growth temperature=15°C

Data are from Kobayashi et al. (2001).

# Plant-Soil hydrology for ED

## Original:

Transpiration =  $\alpha$  Potential transpiration



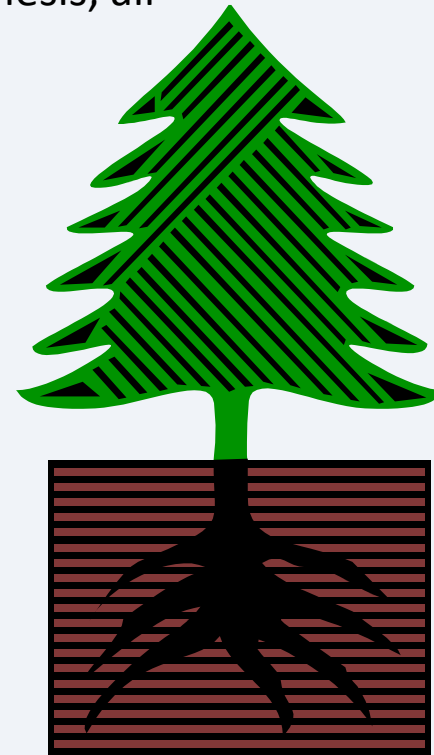
$\alpha$  is an empirical parameter depending on soil moisture

## Improved:

Water demand =  $f$ ( photosynthesis, air humidity, wind speed)

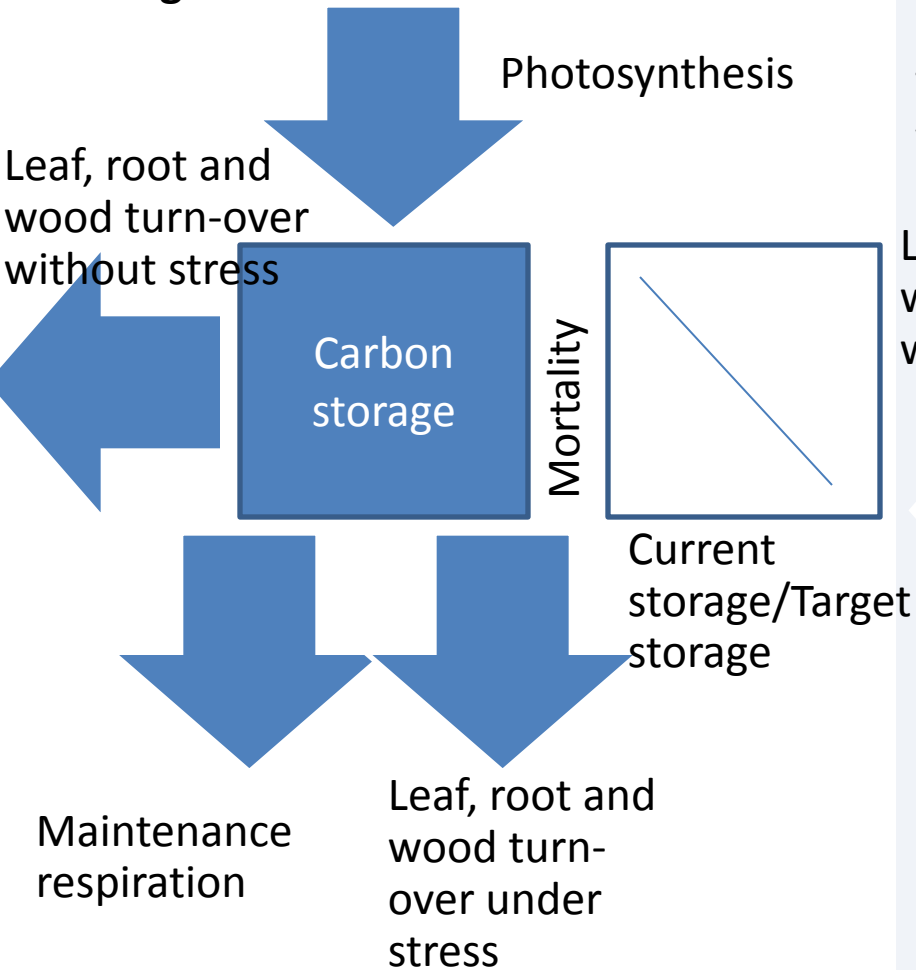
Water uptake = minimum (water demand, water supply)

Water supply =  $f$ (minimum leaf water potential, soil water potential, sap wood area, root, sap wood and leaf water conductivity)

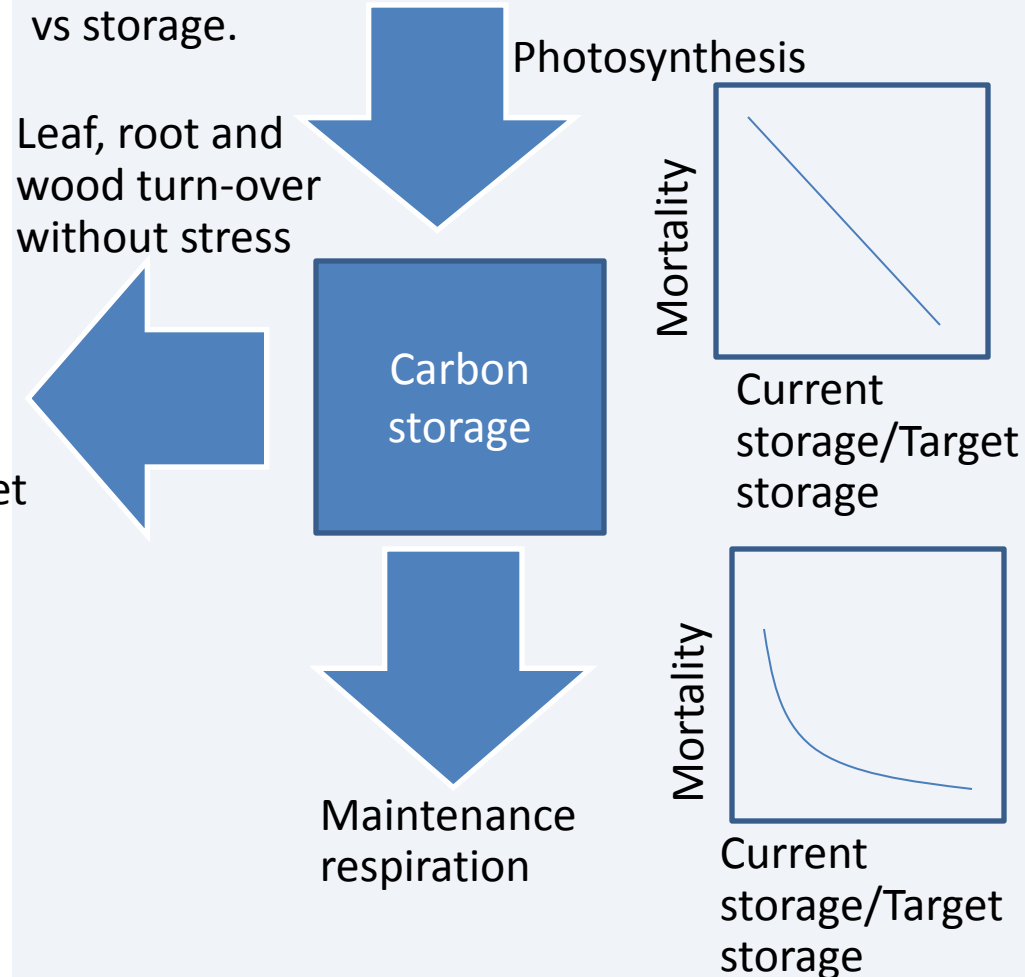


# Tree mortality in ED

**Original:**

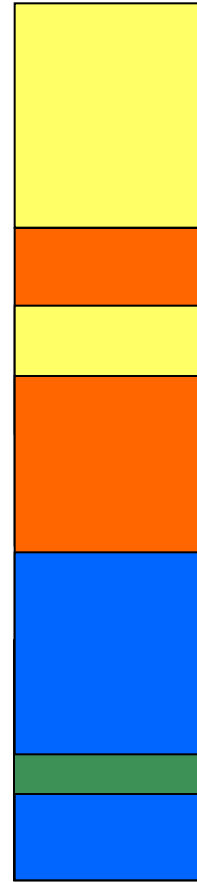


**Improved:** 1. reduced tree mortality under stress by reduce/stop leaf, root and wood turn-over from storage; 2. Exponential function of mortality vs storage.



# Ecosystem Demography Model (ED)

Moorcroft, Hurtt and Pacala. (2001)



Grass



Shrub



Broadleaf Tree



Needleleaf Tree

'Cohorts' of trees,  
Binned according to:

1. Plant type
2. Height
3. Successional stage

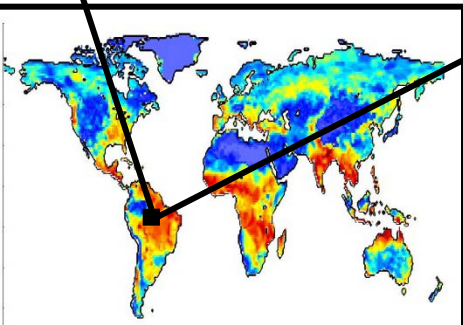
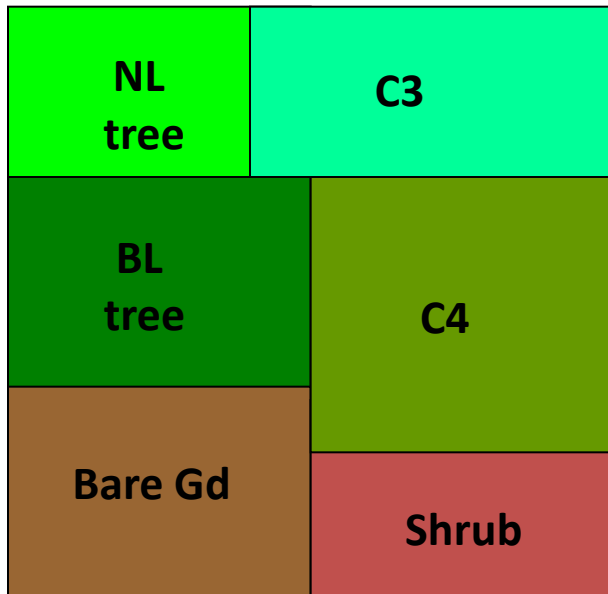


# Ecosystem Demography Model (ED)

“Size-and-age structured approximation of a gap model”

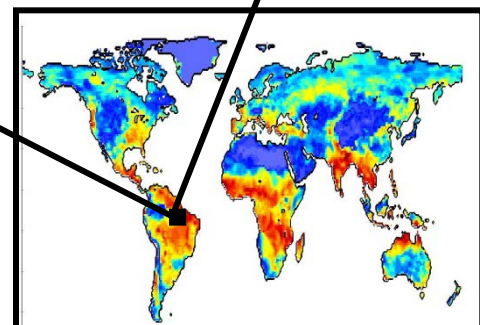
PFT-based tile structure.

e.g. CLM, TRIFFID, LPJ, SDGVM



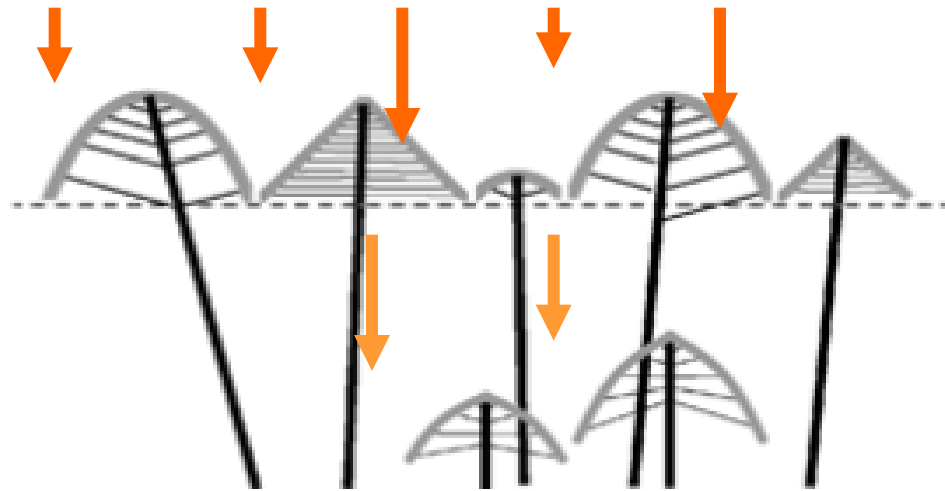
Age-based tile structure.

ED



# Implementation of competition for space in ED (required to simulate long term coexistence)

- ‘Perfect Plasticity Approximation’ (PPA)
  - Tree canopies are ‘perfectly plastic’ and fill in all the gaps.
  - Tree canopy splits into distinct layers.
- Canopy trees : 100% light on top leaf surface
- Under-story trees : All have the same light environment



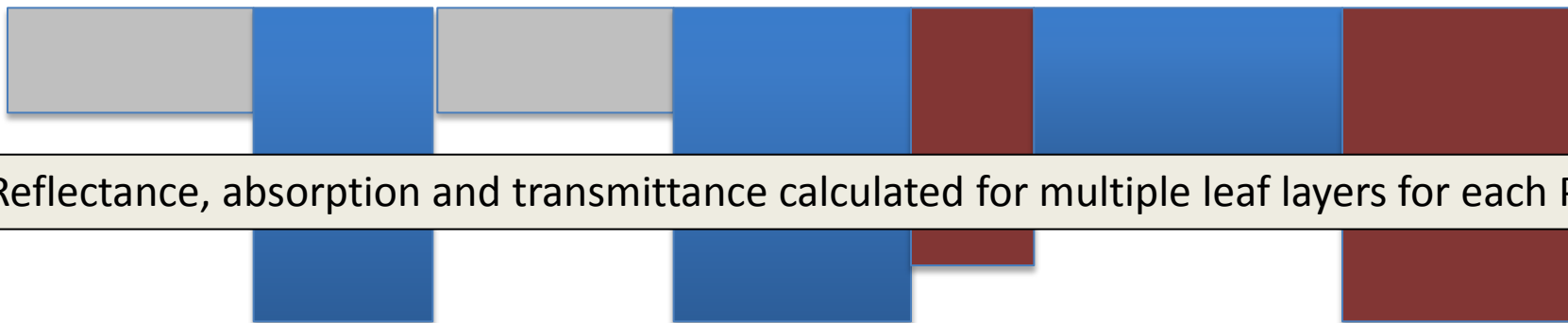
# Radiation and photosynthesis in ED-CLM

- CLM uses a ‘two-stream’ approximation to estimate absorption, reflectance and transmittance for ‘big leaf’ (one layer) canopies.
- ED requires that we have a vertical light profile to simulate vertical competition
- Using the two-stream approximation is thus overly complex, and it cannot be used for the multi-PFT canopy in the ED-PPA model
- Thus, we propose to use the Norman et al. (1979) explicit radiation scheme
- This scheme calculates transmittance, reflectance and absorption directly at each layer for direct and diffuse (& NIR and visible) light.
- An iterative solution is required to absorb all the radiation which bounces up (and down) off the soil and leaf surfaces.

# Norman Radiation scheme as implemented in ED-CLM.



Equal direct and diffuse radiation on canopy surface for all PFT's



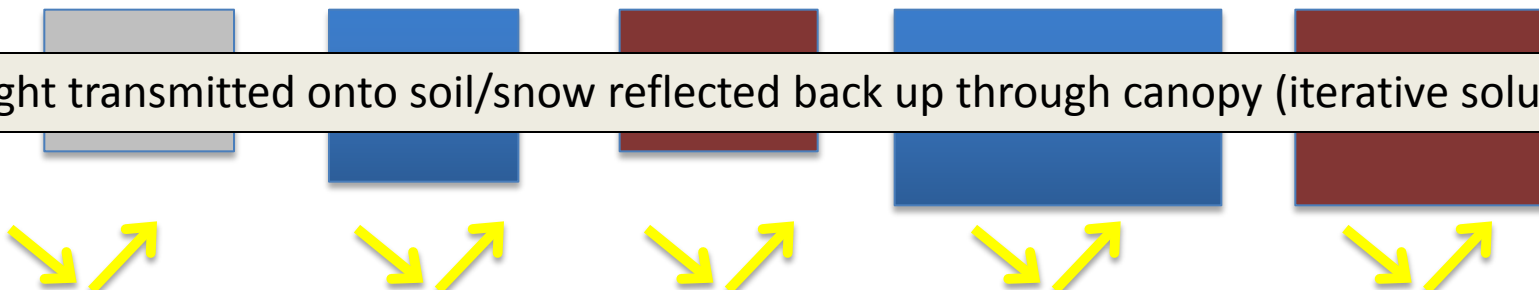
Reflectance, absorption and transmittance calculated for multiple leaf layers for each PFT.



Spatially average the direct and diffuse radiation transmitted onto the understory layer.



Light transmitted onto soil/snow reflected back up through canopy (iterative solution)



# Next steps for CLM-ED

- Multi-layer Nitrogen limitation (if there isn't enough N, which PFT and /or leaf layers are affected?)
- Land-use change (pasture/crops) representation
- Coupling to fire model.
- Expand PFT range using GLOPNET/TRY databases.
- Benchmarking with expanded iLAMB database.

# Active Layer and Permafrost SOC Stocks in Arctic Soils: Measurements, Modeling, and Uncertainty

Umakant Mishra,  
Charles D. Koven,  
William J. Riley  
LBNL



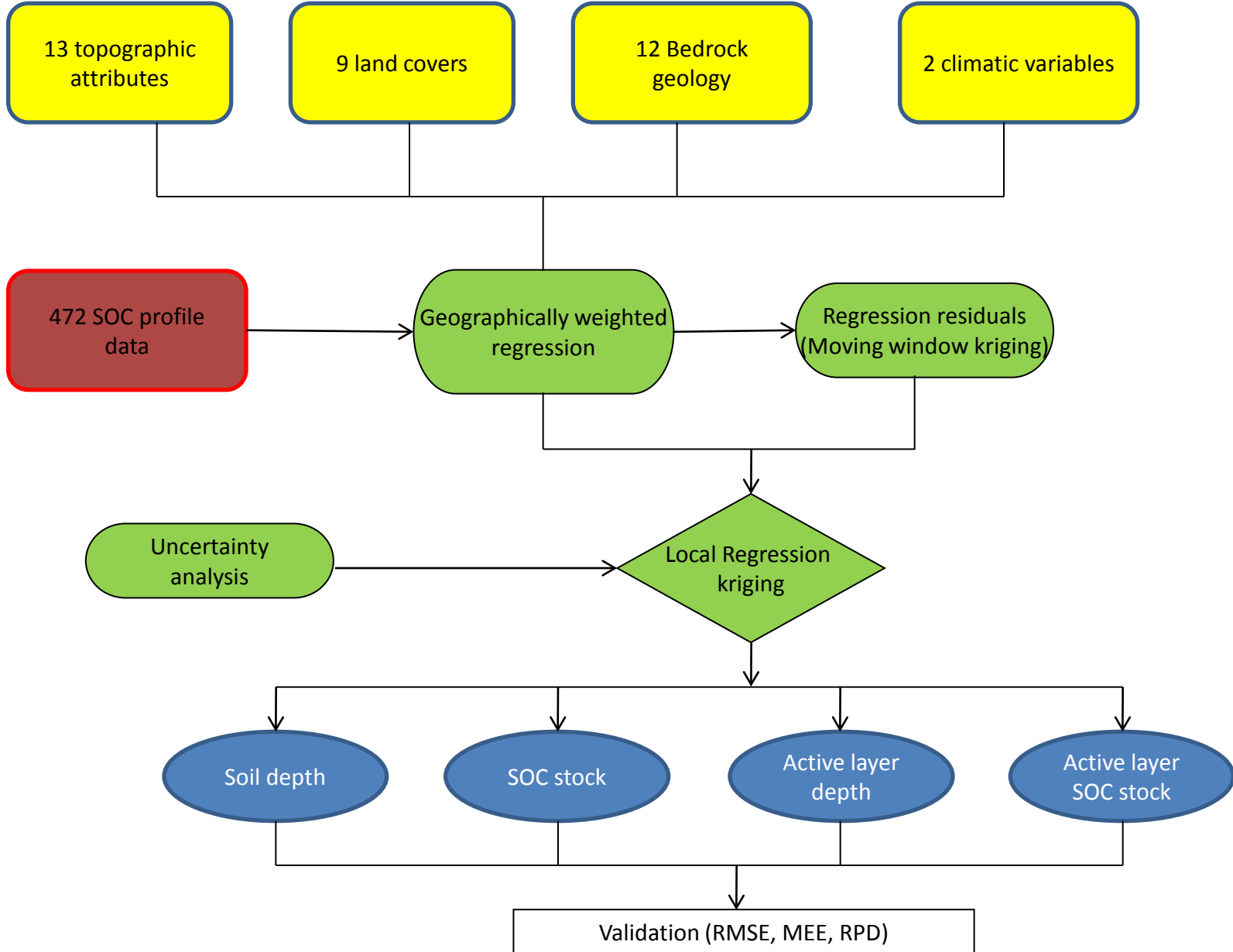
# Goals

- Characterize high-resolution maps of soil C stocks and permafrost state
  - Test ability of current LSM's to replicate observations
  - Identify uncertainty, dominant drivers of heterogeneity, and vulnerability of SOM

# Methods

- Applied GIS-based analysis with 472 pedon observations to generate soil C maps
- Compare default and new CLM4 belowground C cycle model predictions
  - Stocks and dominant drivers of heterogeneity
  - Investigating uncertainty and scaling methods

# GIS Model Methodology





# Alaska Active Layer Depth and SOC Stocks from GIS Pedon analysis

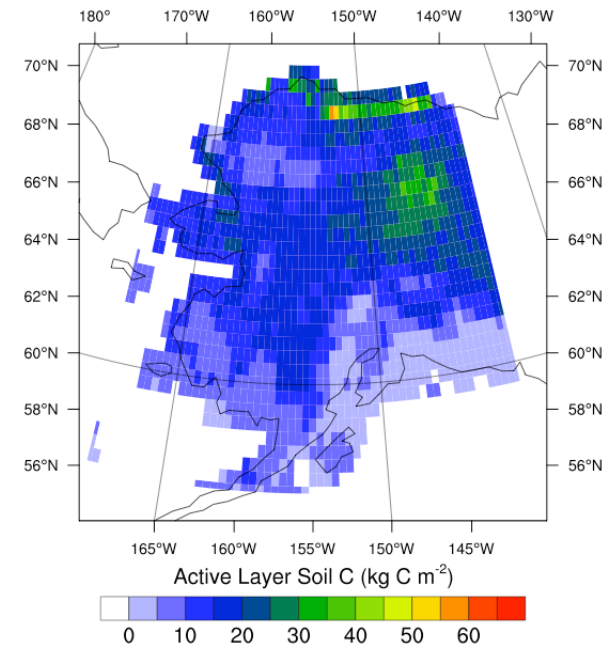
## **Dominant predictors:**

Latitude  
Precipitation  
Barren  
Bedrock type

## **Dominant predictors:**

CTI  
Specific catchment area  
Precipitation  
Temperature  
Scrub  
Herbaceous  
Barren  
Bedrock type

# Comparison of GIS Soil C mapping and CLM4- Vertical Soil C predictions for Active Layer C stocks



- Overall CLM soil C still underestimated
- Maxima in NE Alaska captured
- Maxima in SW Alaska not captured—likely due to lack of peatland processes

**Leverage point:  
IMPACTS**

# Coupled model evaluation

- Develop new metrics
- Apply to CMIP5 database (including default CESM1)
- Evaluate CESM with new CLM as development progresses.

# Temperature Dependence of Heterotrophic Respiration

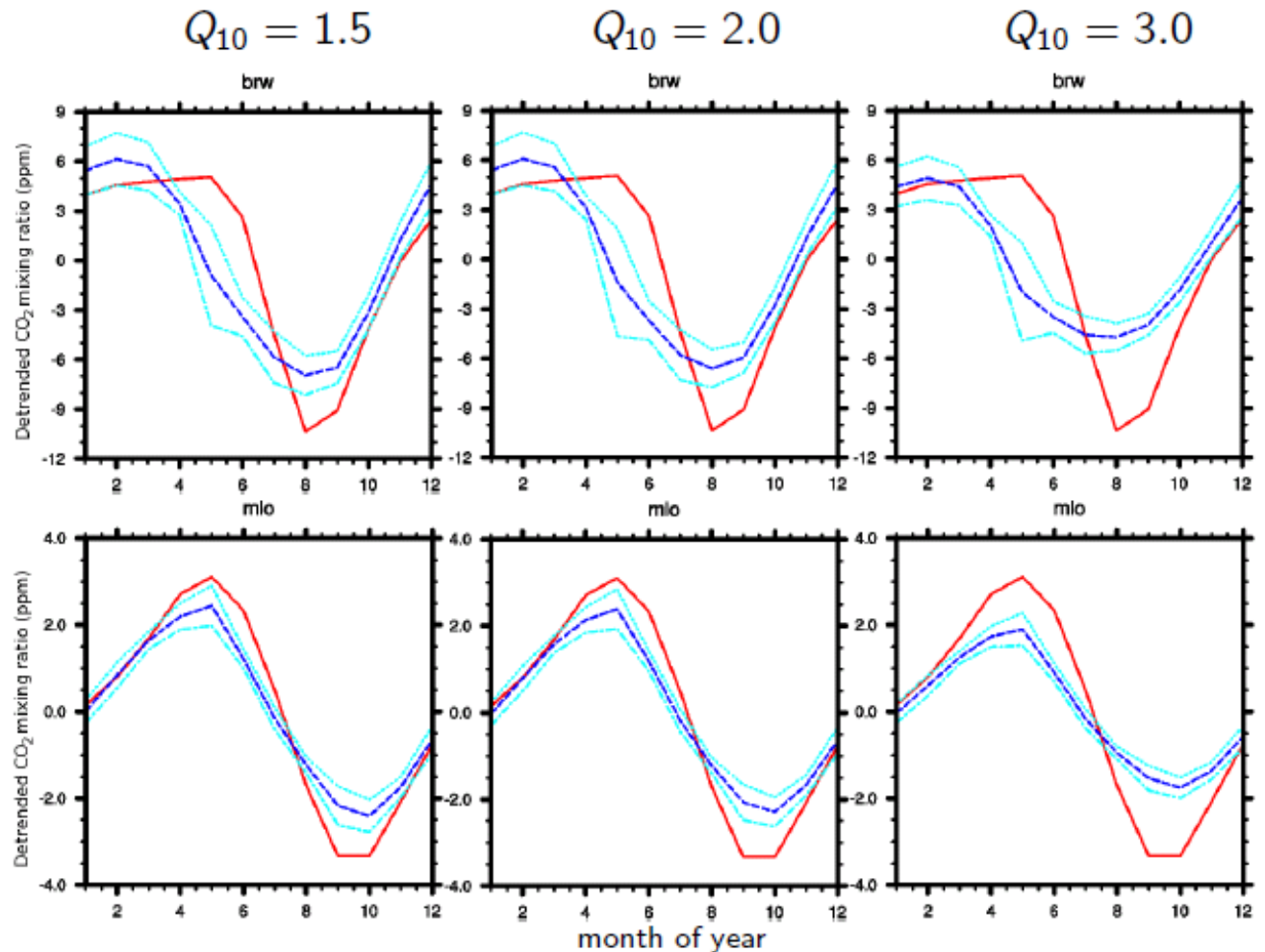
$$R_h = C_{bg} k_0 Q_{10}^{\left(\frac{T-T_0}{10}\right)}$$

Barrow  
(BRW)

71.32°N, -156.61°E

Mauna Loa  
(MLO)

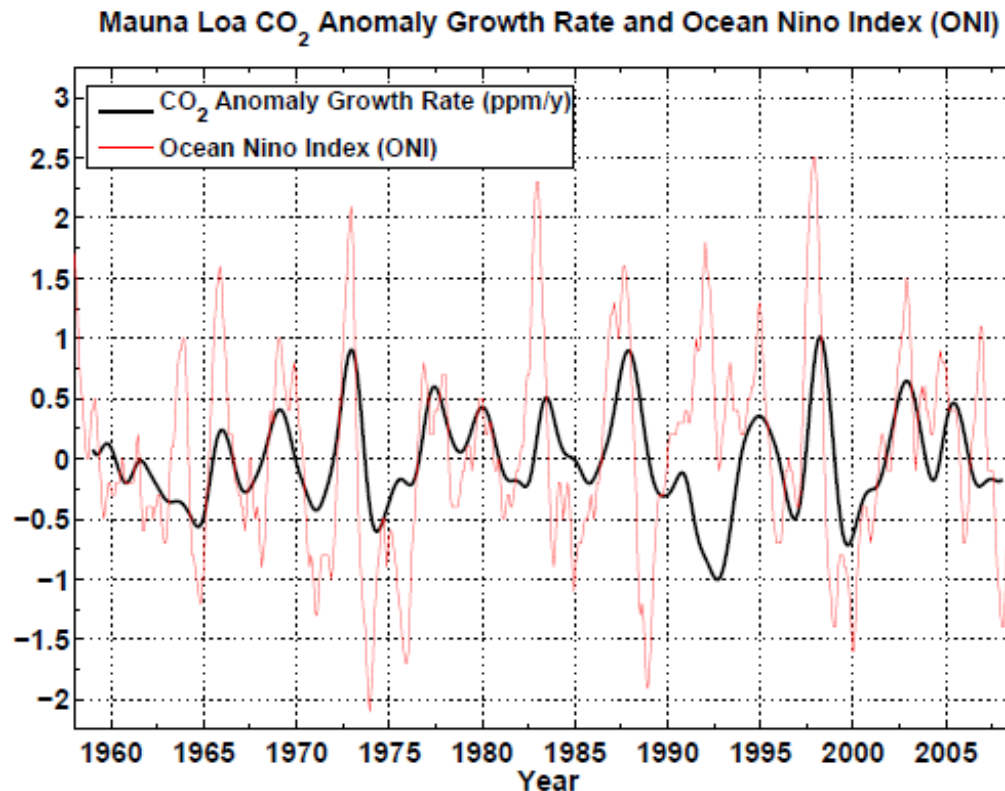
19.54°N, -155.58°E



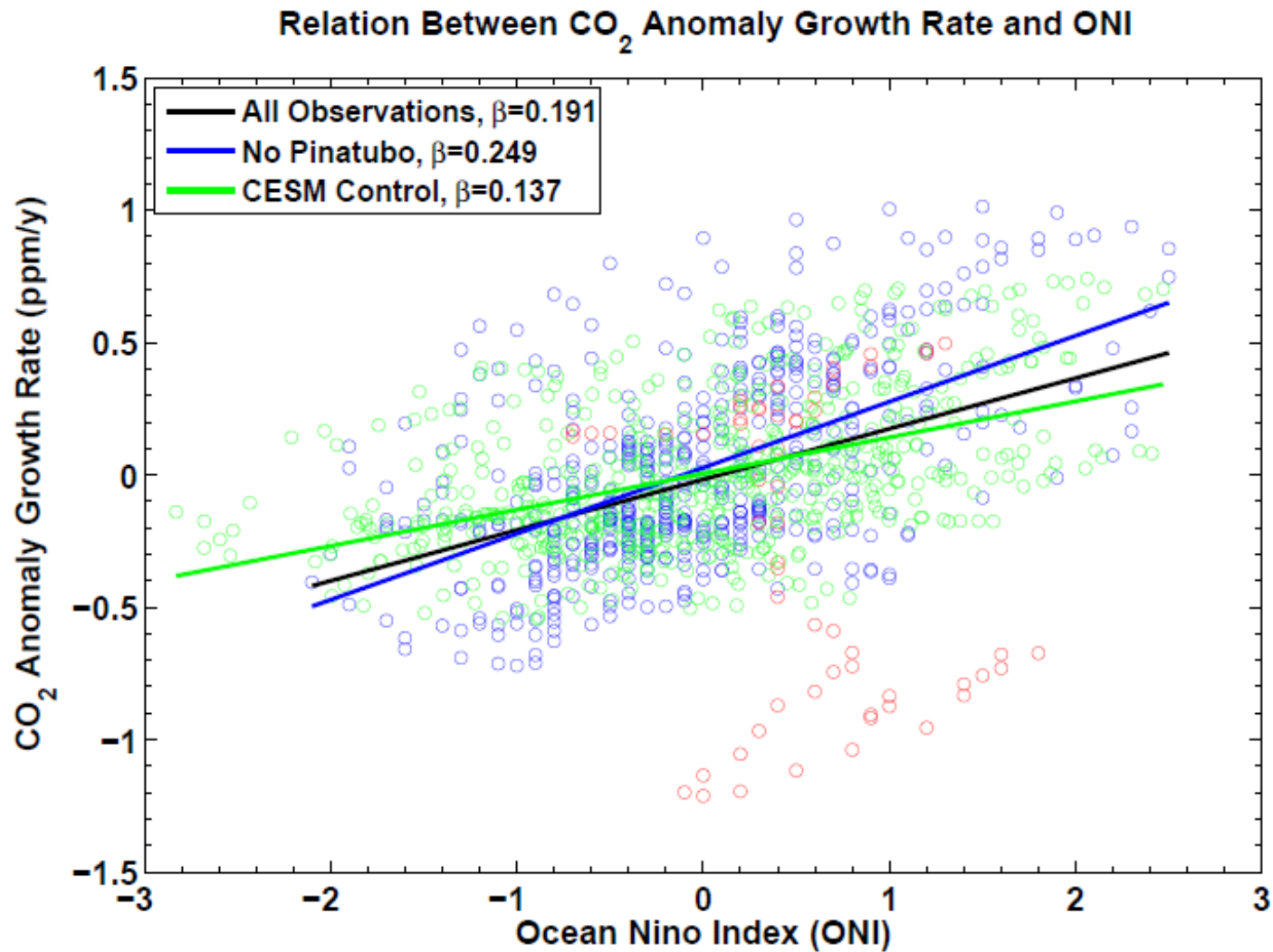
GLOBALVIEW-CO2/TIRF, CLM4/Mean TIRF, CLM4/TIRF bounds

## A New Metric for Evaluating Variability of CO<sub>2</sub> with ENSO

*The relationship between El Niño-Southern Oscillation (ENSO) and observed CO<sub>2</sub> anomalies at Mauna Loa may be exploited to evaluate ocean and terrestrial model responses.*

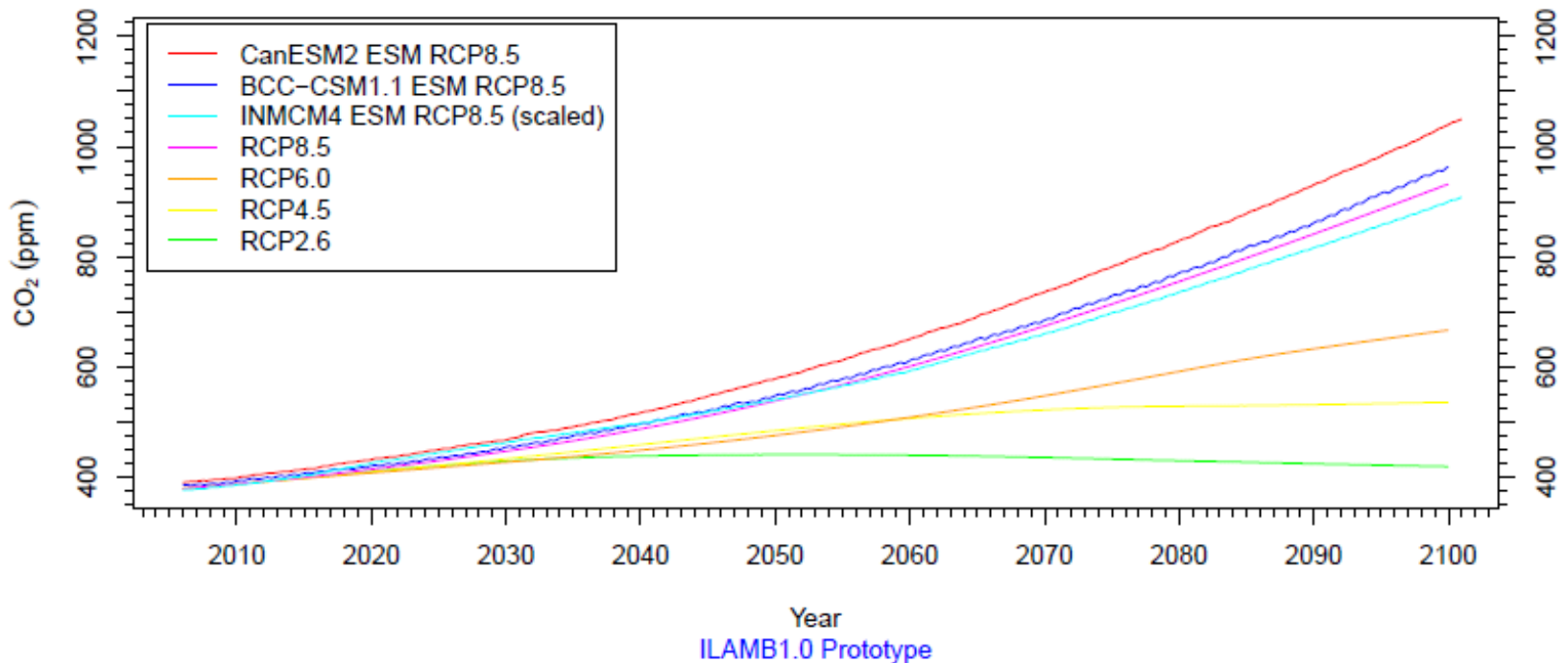


# CESM vs. Observations



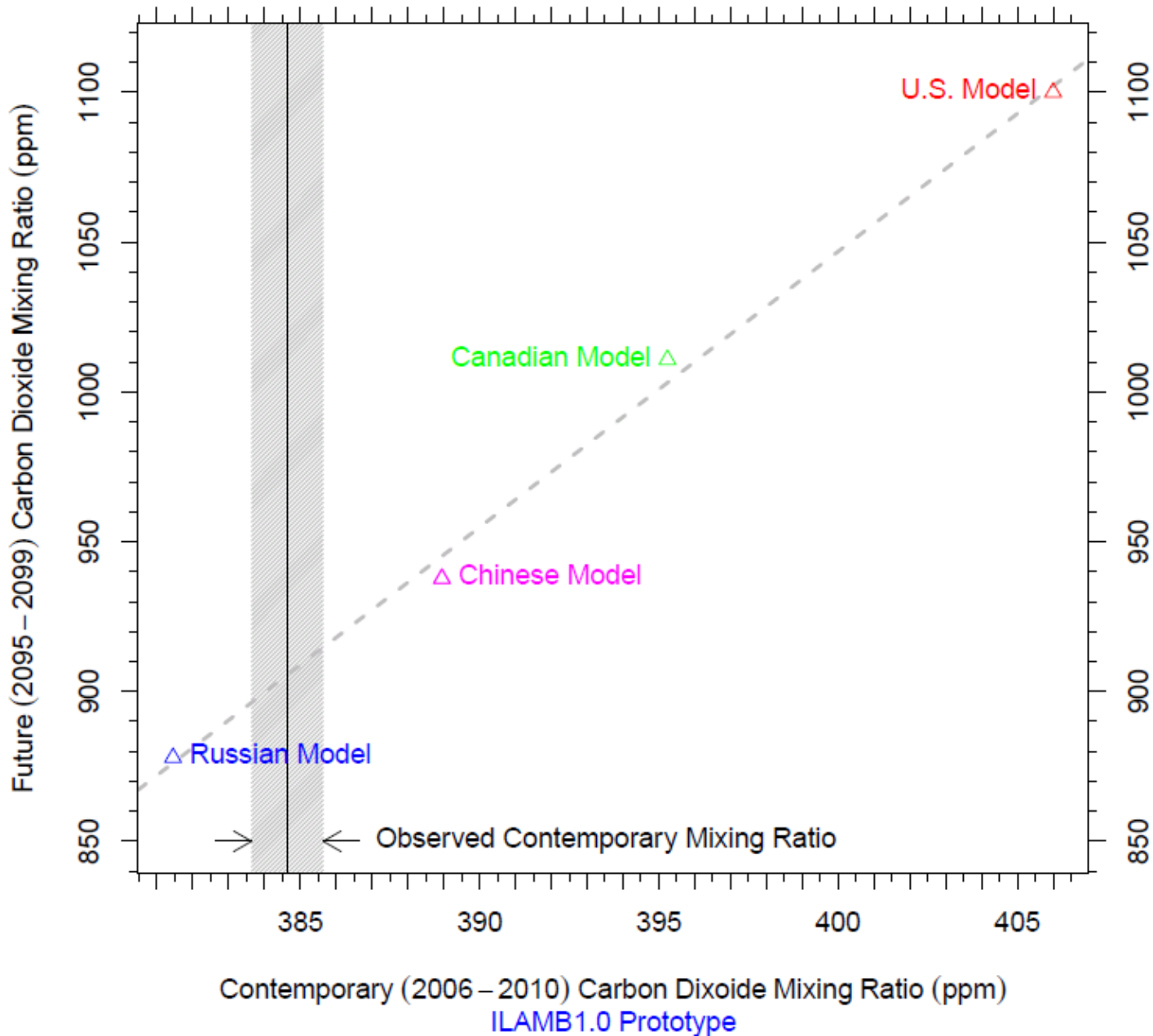
# CMIP5 ESM Model Comparison

Atmospheric CO<sub>2</sub> Concentration



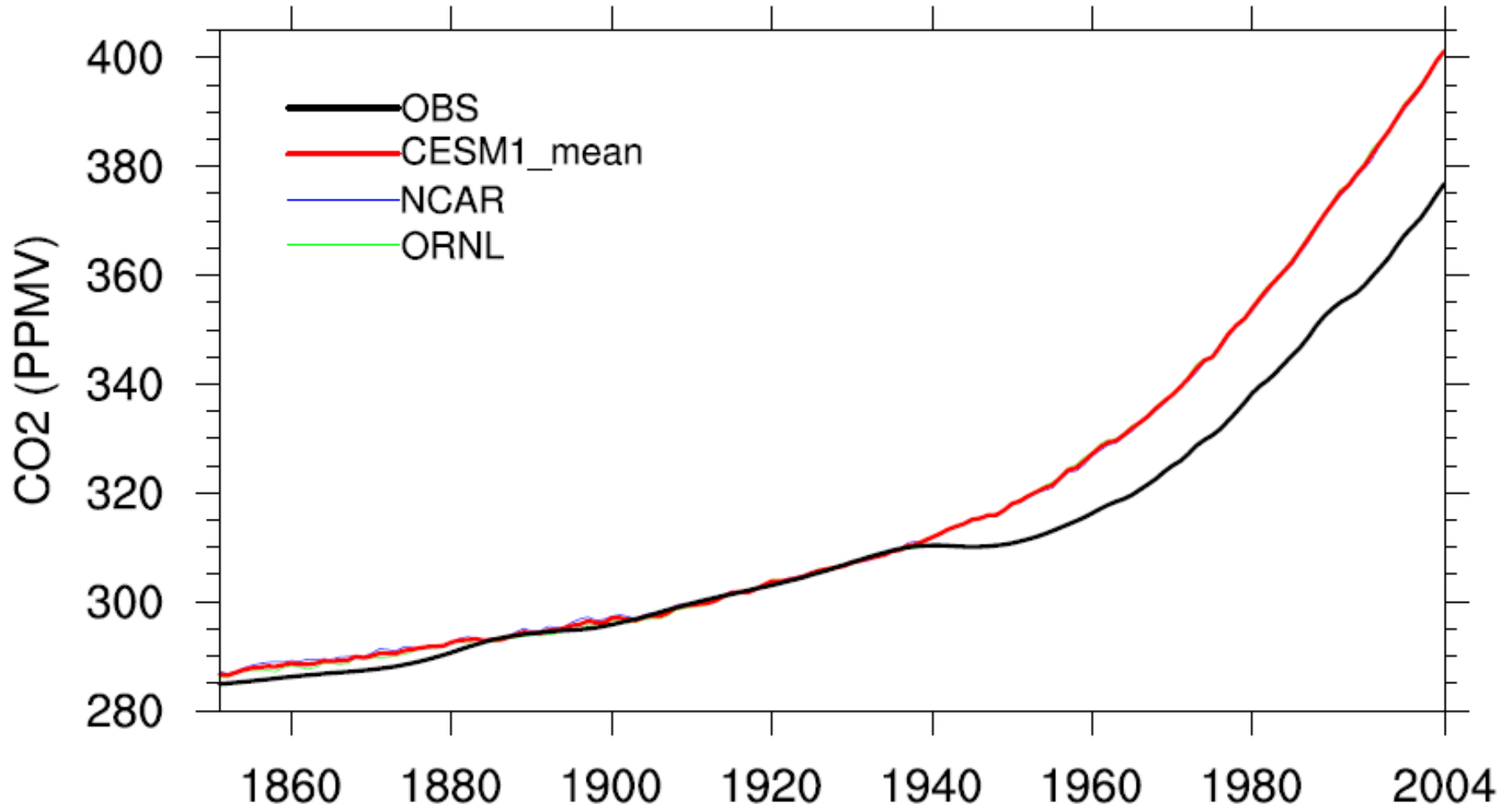
Initial evaluation of the CO<sub>2</sub> trajectories of the Earth System Models (ESMs) is being performed as results appear on the Earth System Grid (ESG).

# Future vs. Contemporary Atmospheric Carbon Dioxide





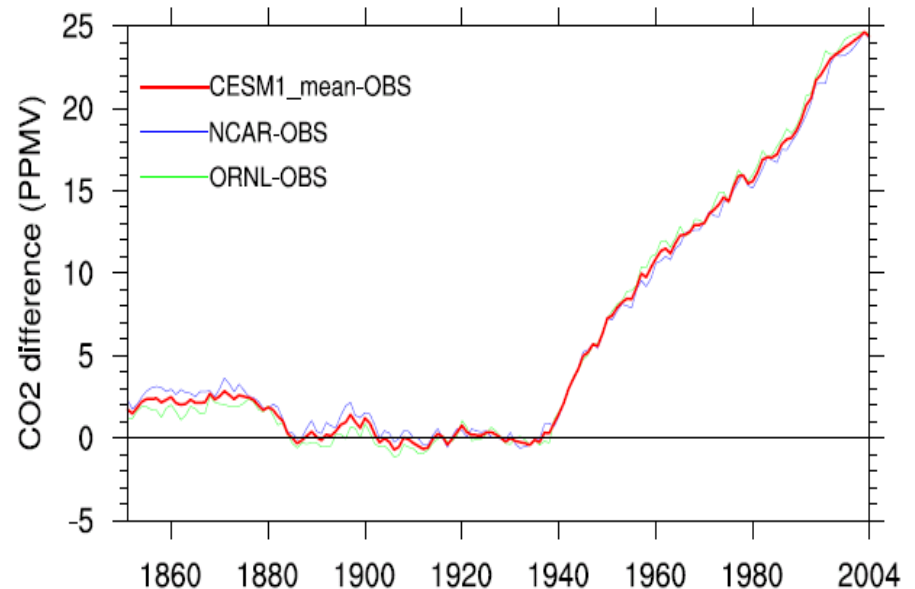
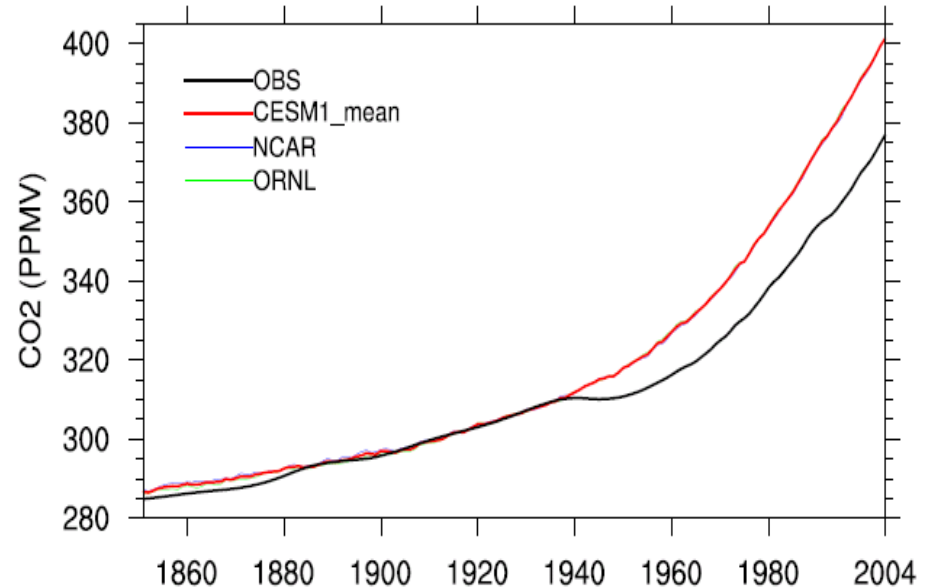
# Assessment of bias in global mean CO<sub>2</sub> concentration



## 1850-2004 CO<sub>2</sub> (ANN)

### What's wrong with this picture?

- Observed CO<sub>2</sub> concentration is biased
  - Ice core / instrument transition?
- Fossil fuel emissions are biased
  - Emissions forcing too high during WWII?
- Land use / land cover change data are biased.
  - Forest harvest too high? Regrowth too small?
- Model is biased
  - Internal climate variability?
  - Low land and/or ocean sensitivity to rising CO<sub>2</sub>?
  - Response to harvest too strong?
  - Regrowth response too weak?



**Leverage point:  
ORNL TES SFA**

# Next steps:

- Year 2
  - Complete new process integration in CLM4
  - Evaluate new process representation against plot-level observations/experiments
  - Continue development of new global metrics
  - Evaluate CMIP5 models against new global metrics
  - Commence coupled model integration
- Year 3
  - Continue development of new global metrics
  - Finish coupled model integration
  - Evaluate new CESM against new global metrics