

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
SEPTEMBER 30, 2004

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
1	ABN AMRO INCORPORATED	Y	CBOT	08/31/2004	888,483,110	65,623,691	121,565,890	766,917,220	1,732,328,564	167,888,833
2	ABN AMRO SAGE CORPORATION	Y	NFA	08/31/2004	89,388,534	410,841	410,841	88,977,693	8,440,673	0
3	ADM INVESTOR SERVICES INC	N	CBOT	08/31/2004	81,203,332	28,215,165	27,156,285	52,988,167	740,135,773	18,118,528
4	ADVANTAGE FUTURES LLC	N	CME	08/31/2004	9,927,389	3,267,371	1,422,825	6,660,018	79,755,287	9,163,654
5	ADVEST INC	Y	NFA	08/31/2004	49,158,525	1,000,000	0	48,158,525	0	0
6	AG EDWARDS & SONS INC	Y	CBOT	08/31/2004	644,956,132	45,166,312	5,290,498	599,789,820	121,611,950	3,574
7	AIG CLEARING CORPORATION	N	NYME	08/31/2004	181,423,593	250,000	19,553,448	161,870,145	0	0
8	ALARON TRADING CORPORATION	N	CME	08/31/2004	5,760,123	3,064,623	1,487,976	2,695,500	83,030,241	1,067,279
9	ALCO COMMODITIES INC	N	NFA	08/31/2004	453,019	250,000	0	203,019	0	0
10	AMERICAN MUTUAL HOLDING CORPORATION	N	NFA	08/31/2004	611,324	250,000	0	361,324	0	0
11	AMERICAN NATIONAL TRADING CORP	N	NFA	08/31/2004	1,633,948	729,987	362,948	903,961	19,651,730	132,974
12	BACERA CORPORATION	N	NFA	08/31/2004	629,550	250,000	0	379,550	0	0
13	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	08/31/2004	70,455,456	11,210,301	41,030,175	29,425,281	280,188,924	68,600
14	BARCLAYS CAPITAL INC	Y	NYME	08/31/2004	715,224,841	100,664,587	162,417,143	552,807,698	2,125,535,872	495,557,558
15	BATTERY ASSET MANAGEMENT LLC	N	NFA	08/31/2004	358,156	250,000	0	108,156	0	0
16	BEAR STEARNS & CO INC	Y	NFA	08/31/2004	2,280,453,157	68,399,701	0	2,212,053,456	0	0
17	BEAR STEARNS SECURITIES CORP	Y	CME	08/31/2004	3,923,698,711	1,126,340,893	162,047,115	2,797,357,818	2,462,945,777	419,113,232
18	BIELFELDT & COMPANY LLC	N	CBOT	08/31/2004	390,745	250,000	0	140,745	0	0
19	BLAYLOCK AND PARTNERS LP	Y	NFA	08/31/2004	3,726,859	342,491	0	3,384,368	0	0
20	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	08/31/2004	44,858,641	12,319,292	18,253,812	26,604,829	307,982,293	0
21	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	08/31/2004	47,325,063	13,516,538	21,341,312	25,983,751	316,484,352	53,742,645
22	BNP PARIBAS SECURITIES CORP	Y	CBOT	08/31/2004	266,577,330	3,077,604	0	263,499,726	0	0
23	C CZARNIKOW SUGAR FUTURES INC	N	NFA	08/31/2004	1,630,360	250,000	102,418	1,380,360	956,279	0
24	CADENT FINANCIAL SERVICES LLC	N	CME	08/31/2004	3,167,187	767,604	480,825	2,399,583	18,919,512	2,924,456
25	CAL FINANCIAL CORPORATION	N	NFA	08/31/2004	390,078	250,000	0	140,078	0	0
26	CALYON FINANCIAL INC	Y	CME	08/31/2004	293,711,258	169,688,068	243,268,224	50,443,034	3,886,332,474	1,917,203,862
27	CANTOR FITZGERALD & CO	Y	CBOT	08/31/2004	105,273,360	2,633,225	903,095	102,640,135	948,924	0
28	CAPITAL MARKET SERVICES LLC	N	NFA	08/31/2004	1,488,022	250,000	0	1,238,022	0	0
29	CARGILL INVESTOR SERVICES INC	N	CBOT	08/31/2004	86,528,286	48,820,682	64,530,200	21,998,086	1,221,695,905	90,298,366
30	CDC SECURITIES	Y	NFA	08/31/2004	79,312,495	250,000	0	79,062,495	0	0
31	CIBC WORLD MARKETS CORP	Y	CME	08/31/2004	815,291,366	33,654,263	14,813,459	781,637,103	0	0
32	CITIGROUP GLOBAL MARKETS INC.	Y	CBOT	08/31/2004	3,864,311,796	513,902,687	285,413,302	3,350,409,109	6,963,958,141	105,899,421
33	CLIFDEN FUTURES LLC	N	NFA	08/31/2004	725,062	439,020	284,450	286,042	8,086,177	2,889,328
34	CLIFF LARSON COMPANY THE	N	NFA	08/31/2004	530,072	250,000	40,355	280,072	1,495,927	0
35	CLR USA INC	N	NYME	08/31/2004	29,413,715	250,000	0	29,163,715	0	0
36	CMC GROUP PLC	N	NFA	08/31/2004	4,511,134	250,000	0	4,261,134	0	0
37	COESfx CLEARING INC	N	NFA	08/31/2004	471,563	250,000	0	221,563	0	0
38	COMMERZ FUTURES LLC	N	CME	08/31/2004	15,995,307	4,102,006	6,513,603	9,481,704	102,568,301	447,657
39	COUNTRY HEDGING INC	N	NFA	08/31/2004	14,520,162	1,317,648	2,514,823	12,005,339	34,567,474	78,450
40	CREDIT SUISSE FIRST BOSTON LLC	Y	CBOT	08/31/2004	3,500,604,838	132,177,529	138,629,358	3,361,975,480	1,841,290,574	669,965,216
41	CROSSWELL CAPITAL LLC	N	NFA	08/31/2004	2,069,958	268,556	133,311	1,801,402	7,955,336	0
42	CROSSLAND LLC	N	CBOT	08/31/2004	2,518,579	286,553	149,237	2,232,026	7,597,676	0
43	CUNNINGHAM COMMODITIES INC	N	CBOT	08/31/2004	2,074,788	691,437	409,192	1,383,351	17,469,082	40,585
44	DAIWA SECURITIES AMERICA INC	Y	CME	08/31/2004	187,139,481	1,845,730	1,739,438	185,293,751	38,851,444	5,722,125
45	DAVID A NOYES & CO	Y	NFA	08/31/2004	1,988,225	1,000,000	0	988,225	0	0
46	DEUTSCHE BANK SECURITIES INC	Y	CBOT	08/31/2004	1,692,480,376	110,486,527	222,743,521	1,469,736,855	1,555,960,622	680,959,304
47	DORMAN TRADING LLC	N	CME	08/31/2004	4,778,726	578,963	317,958	4,199,763	14,372,809	177,281
48	DUNAVANT COMMODITY CORP	N	NYCE	06/30/2004	18,948,266	847,820	1,061,920	17,866,346	22,311,384	0

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		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
49	EAGLE MARKET MAKERS INC	N	CBOT	08/31/2004	2,123,858	307,497	180,109	1,816,361	7,755,873	54,999
50	ED & F MAN COMMODITY ADVISORS INC	N	NFA	08/31/2004	452,752	250,000	0	202,752	0	0
51	ELECTRONIC BROKERAGE SYSTEMS LLC	N	NFA	08/31/2004	2,476,058	250,000	0	2,226,058	0	0
52	ENSKILDA FUTURES LIMITED	N	CME	08/31/2004	3,931,564	250,000	0	3,681,564	0	0
53	FARR FINANCIAL INC	N	NFA	08/31/2004	1,331,833	842,266	384,402	489,567	22,214,314	114,794
54	FC STONE LLC	N	CME	08/31/2004	23,097,376	11,842,162	12,837,128	10,260,248	377,555,966	684,210
55	FCT GROUP LLC	N	CME	08/31/2004	8,742,425	250,000	287,020	8,455,405	5,942,312	16,479
56	FIMAT USA INC	Y	CME	08/31/2004	286,659,205	154,516,436	193,972,513	92,686,692	4,303,895,112	848,354,513
57	FIRST CAPITOL GROUP LLC	N	NFA	08/31/2004	3,965,665	2,045,959	1,646,537	1,919,706	55,472,894	25,616
58	FOREX CAPITAL MARKETS LLC	N	NFA	08/31/2004	6,174,228	250,000	0	5,924,228	0	0
59	FORTIS CLEARING CHICAGO LLC	N	CBOT	08/31/2004	18,419,978	4,075,875	3,130,921	14,344,103	93,133,029	17,294,729
60	FRIEDBERG MERCANTILE GROUP INC	N	NFA	08/31/2004	1,850,976	250,000	33,863	1,600,976	3,352,508	5,332
61	FRONTIER FUTURES INC	N	NFA	08/31/2004	1,162,272	533,285	321,304	628,987	17,059,067	0
62	FUTURES TECH LLC	N	NFA	08/31/2004	409,159	250,000	0	159,159	0	0
63	FX SOLUTIONS LLC	N	NFA	08/31/2004	1,117,452	250,000	0	867,452	0	0
64	GAIN CAPITAL GROUP INC	N	NFA	08/31/2004	13,385,057	250,000	0	13,135,057	0	0
65	GAIN CAPITAL INC	N	NFA	08/31/2004	12,468,983	250,000	0	12,218,983	0	0
66	GARBAN FUTURES LLC	N	NFA	08/03/2004	5,480,529	250,000	0	5,230,529	0	0
67	GELBER GROUP LLC	N	CBOT	08/31/2004	18,327,984	746,539	194,081	17,581,445	23,957,253	318,737
68	GFS SECURITIES & FUTURES INC	N	NFA	08/31/2004	310,801	250,000	0	60,801	0	0
69	GILDER GAGNON HOWE AND CO LLC	Y	NFA	08/31/2004	21,049,409	250,000	0	20,799,409	0	0
70	GLOBAL FUTURES & FOREX LTD	Y	NFA	08/31/2004	6,690,339	2,143,015	0	4,547,324	0	0
71	GLOBAL FUTURES LLC	N	NFA	08/31/2004	375,966	250,000	0	125,966	0	0
72	GOLDENBERG HEHMEYER & CO	Y	CBOT	08/31/2004	8,151,351	2,790,557	2,018,626	5,360,794	92,629,867	5,142,975
73	GOLDMAN SACHS & CO	Y	CBOT	08/27/2004	4,037,102,169	981,113,932	524,974,702	3,055,988,237	8,307,264,203	4,371,342,209
74	GREENWICH CAPITAL MARKETS INC	Y	CBOT	08/31/2004	725,824,000	36,078,000	29,456,320	689,746,000	368,512,000	2,904,500
75	HAGERTY GRAIN CO INC	N	CBOT	08/31/2004	1,892,981	323,311	106,713	1,569,670	8,086,800	0
76	HORNBLOWER FISCHER & CO	Y	NFA	08/31/2004	433,255	250,000	40,584	183,255	2,328,141	0
77	HOTSPOT FX INC	N	NFA	08/31/2004	2,226,405	250,000	0	1,976,405	0	0
78	HSBC SECURITIES USA INC	Y	CME	08/31/2004	371,703,892	14,353,828	36,766,861	334,937,031	473,134,296	14,183,391
79	IFSC USA INC	N	NFA	08/31/2004	793,805	250,000	0	543,805	82,940	0
80	IFX MARKETS INC	N	NFA	08/31/2004	467,404	250,000	0	217,404	0	0
81	INTEGRATED BROKERAGE SERVICES INC	N	NFA	08/31/2004	1,191,532	250,000	89,041	941,532	1,821,228	0
82	INTERACTIVE BROKERS LLC	Y	NFA	08/31/2004	108,194,841	6,721,873	3,042,082	101,472,968	45,527,567	17,042,721
83	INTERNATIONAL COMMODITY CLEARING	N	NFA	08/31/2004	1,462,709	250,000	71,414	1,212,709	10,248,489	0
84	INVESTEC (US) INCORPORATED	Y	NFA	08/27/2004	19,865,815	250,000	0	19,615,815	0	0
85	IOWA GRAIN CO	N	CBOT	08/31/2004	12,340,082	4,979,081	5,753,944	6,586,138	133,179,945	11,992
86	ITAU SECURITIES INC	Y	NFA	08/31/2004	1,896,312	250,000	0	1,646,312	0	0
87	JP MORGAN FUTURES INC	N	NYME	08/31/2004	860,633,790	249,514,075	359,411,787	501,222,003	6,497,370,849	675,351,108
88	JULIUS BAER SECURITIES INC	Y	NFA	08/31/2004	19,721,251	1,972,440	0	17,748,811	0	0
89	KJW LLC	N	NFA	08/31/2004	1,271,112	250,000	0	1,021,112	0	0
90	KOTTKE ASSOCIATES LLC	N	CBOT	08/31/2004	11,833,933	311,672	204,609	11,522,261	16,977,583	0
91	LADENBURG THALMANN & CO INC	Y	NFA	08/31/2004	1,690,582	250,000	0	1,440,582	0	0
92	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	08/31/2004	2,521,124	295,484	81,386	2,225,640	7,467,122	0
93	LBS LIMITED PARTNERSHIP	N	CBOT	08/31/2004	644,150	250,000	0	394,150	0	0
94	LEADER INVESTMENTS INC	Y	NFA	08/31/2004	339,603	250,000	0	89,603	0	0
95	LEGG MASON WOOD WALKER INC	Y	NYME	08/31/2004	418,063,233	23,900,044	0	394,163,189	0	0
96	LEHMAN BROTHERS INC	Y	CBOT	08/31/2004	2,500,816,000	186,035,340	128,720,360	2,314,780,660	2,291,217,000	182,576,000
97	LINN GROUP (THE)	N	NFA	08/31/2004	382,752	250,000	0	132,752	0	0

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98	LINSCO/PRIVATE LEDGER CORP	Y	NFA	08/31/2004	102,380,231	4,171,294	0	98,208,937	0	0
99	LOEB PARTNERS CORPORATION	Y	NFA	08/31/2004	27,952,616	250,000	0	27,702,616	0	0
100	MAN FINANCIAL INC	N	CME	08/31/2004	235,552,815	137,299,008	187,148,314	48,404,501	3,432,674,537	1,265,155,897
101	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	08/31/2004	1,243,633	250,000	0	993,633	0	0
102	MAXCOR FINANCIAL INC	Y	NFA	08/31/2004	38,444,501	250,000	0	38,194,501	0	0
103	MBF CLEARING CORP	N	NYME	08/31/2004	8,055,604	3,083,853	1,389,787	4,971,751	82,192,386	370,632
104	MBT TECHNOLOGIES LLC	N	NFA	08/31/2004	682,152	250,000	0	432,152	0	0
105	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	08/31/2004	17,252,454	11,104,121	1,451,904	6,148,333	278,287,319	0
106	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	08/27/2004	2,929,847,833	364,021,129	294,582,943	2,565,826,704	5,819,819,647	762,238,386
107	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	08/27/2004	639,079,758	40,071,726	40,071,726	599,008,032	545,000,752	0
108	MID-CO COMMODITIES INC	N	NFA	08/31/2004	3,759,146	555,046	1,114,470	2,644,676	14,502,247	0
109	MITSUI & CO INVESTMENT PRODUITS CORP	N	NFA	08/31/2004	598,549	250,000	0	348,549	0	0
110	MIZUHO SECURITIES USA INC	Y	CME	08/31/2004	154,654,003	12,753,953	14,330,516	140,323,487	282,274,597	39,064,791
111	MONEY GARDEN CORP	N	NFA	08/31/2004	2,538,745	250,000	0	2,288,745	0	0
112	MORGAN KEEGAN & COMPANY INC	Y	NFA	08/31/2004	277,242,221	11,612,191	0	265,630,030	0	0
113	MORGAN STANLEY & CO INCORPORATED	Y	CME	08/31/2004	3,552,557,655	857,157,020	238,812,916	2,695,400,635	2,686,955,840	1,679,350,042
114	MORGAN STANLEY DW INC	Y	CBOT	08/31/2004	1,203,008,801	126,494,764	4,562,859	1,076,514,037	964,335,536	2,186,565,798
115	NEUBERGER BERMAN LLC	Y	NFA	08/31/2004	209,933,745	15,126,947	966,176	194,806,798	23,882,788	0
116	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	08/31/2004	14,635,274	250,000	0	14,385,274	0	0
117	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	08/31/2004	713,495,420	13,069,811	709,577	700,425,609	6,043,902	12,548
118	OANDA CORPORATION	N	NFA	08/31/2004	7,461,172	250,000	0	7,211,172	0	0
119	OCONNOR & COMPANY LLC	Y	CBOT	08/31/2004	42,721,406	3,584,281	3,584,281	39,137,125	120,100,765	1,089,165
120	OMEGA RESEARCH CORPORATION	Y	NFA	08/31/2004	920,165	250,000	0	670,165	0	0
121	OPEN E CRY LLC	N	NFA	08/31/2004	757,865	396,137	255,664	361,728	10,155,274	64,389
122	OPPENHEIMER & CO INC	Y	NFA	08/31/2004	192,548,171	19,075,710	0	173,472,461	12,435,391	0
123	PATTERSON CAPITAL MARKETS LTD	N	CBOT	08/31/2004	699,059	250,000	0	449,059	0	0
124	PAX CLEARING CORPORATION	Y	CME	08/31/2004	50,644,209	1,629,448	4,302,609	46,341,600	69,060,684	0
125	PENSON FINANCIAL FUTURES INC	N	NFA	08/31/2004	464,370	250,000	120,192	214,370	3,853,843	0
126	PEREGRINE FINANCIAL GROUP INC	N	NFA	08/31/2004	11,275,818	3,394,005	503,830	7,881,813	112,158,884	327,098
127	PERSHING LLC	Y	NFA	08/31/2004	975,703,000	162,680,100	0	813,022,900	0	0
128	PIONEER FUTURES INC	N	NYME	08/31/2004	8,902,385	4,099,403	1,856,878	4,802,982	115,316,954	317,055
129	PIPER JAFFRAY CO.	Y	NFA	08/31/2004	266,464,877	11,087,202	0	255,377,675	0	0
130	PREFERRED TRADE INC	Y	NFA	08/31/2004	9,422,913	850,139	0	8,572,774	486,813	0
131	PRUDENTIAL EQUITY GROUP LLC	Y	NFA	08/31/2004	304,078,000	250,000	0	303,828,000	0	0
132	PRUDENTIAL FINANCIAL DERIVATIVES LLC	N	CBOT	08/31/2004	94,812,000	50,567,720	77,034,280	17,777,720	1,354,623,000	107,812,000
133	QIX FUTURES INC	N	NFA	08/31/2004	919,134	250,000	0	669,134	0	0
134	QUALIFIED LEVERAGE PROVIDERS INC.	N	NFA	08/31/2004	5,164,385	250,000	0	4,914,385	0	0
135	RAND FINANCIAL SERVICES INC	N	CME	08/31/2004	15,195,200	5,184,796	9,006,104	6,189,096	123,235,200	19,096,100
136	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	08/27/2004	346,205,295	24,649,020	15,086	321,556,275	0	0
137	RBC CAPITAL MARKETS CORPORATION	Y	CME	08/31/2004	243,479,803	1,500,000	22,703,571	220,776,232	0	0
138	RBC DAIN RAUSCHER INC	Y	NFA	08/31/2004	301,239,089	29,877,318	0	271,361,771	0	0
139	REDSKY SECURITIES LLC	Y	NFA	08/31/2004	930,219	250,000	0	680,219	0	0
140	REFCO LLC	N	CME	08/31/2004	282,028,267	126,812,945	135,544,301	146,483,966	3,528,677,606	111,845,023
141	RESOURCE TRADING & BROKERAGE LLC	N	NFA	08/31/2004	737,125	250,000	0	487,125	167,393	0
142	RJ OBRIEN ASSOCIATES INC	N	CME	08/31/2004	60,755,248	34,704,711	39,771,063	20,984,185	921,358,676	7,109,755
143	ROBBINS FUTURES INC	N	NFA	08/31/2004	438,708	250,000	74,988	188,708	4,704,422	0
144	ROBECO USA LLC	Y	NFA	08/27/2004	45,384,142	1,500,000	0	43,884,142	0	0
145	ROSENTHAL COLLINS GROUP LLC	N	CME	08/31/2004	30,122,252	13,511,841	8,737,142	16,610,411	365,456,351	2,862,110
146	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	08/31/2004	7,760,751	1,015,465	0	6,745,286	0	0

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	(a)	(b)							
Deletions	0								
Name Change	0								
August Web Page Update	188								
<p>(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.</p>									
<p>(b): DSRO: Designated Self-Regulatory Organization.</p>									
<p>(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement applies to all FCMs which are a member of the National Futures Association was effective 10/31/00.</p>									
<p>(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.</p>									
<p>(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.</p>									
<p>(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.</p>									

